B_h Sequences in Higher Dimensions

Laurence Rackham

Department of Mathematics Royal Holloway, University of London Egham, Surrey, TW20 0EX, United Kingdom

l.rackham.00@cantab.net

Paulius Sarka

Department of Mathematics and Informatics Vilnius University Naugarduko 24, Vilnius LT-03225, Lithuania

paulius.sarka@gmail.com

Submitted: Sep 26, 2009; Accepted: Feb 7, 2010; Published: Feb 28, 2010 Mathematics Subject Classifications: 11B05, 11B99

Abstract

In this article we look at the well-studied upper bounds for |A|, where $A \subset \mathbb{N}$ is a B_h sequence, and generalise these to the case where $A \subset \mathbb{N}^d$. In particular we give *d*-dimensional analogues to results of Chen, Jia, Graham and Green.

1 Introduction

1.1 Infinite B_h sequences

Let $h, d \in \mathbb{N}$ with $h \ge 2$. A *d*-dimensional set $A \subset \mathbb{N}^d$ is called a *d*-dimensional B_h sequence if all sums $a_1 + a_2 + \cdots + a_h$, where $a_1, a_2, \ldots, a_h \in A$, are different up to rearrangement of summands.

We denote A(n) as number of elements of A in a box $[1, n]^d$. If A is a d-dimensional B_h sequence, then $\binom{A(n)}{h} \leq (hn)^d$ which implies

$$A(n) = \mathcal{O}(n^{d/h}). \tag{1}$$

Erdős improved this inequality for one-dimensional B_2 sequences showing that

$$\liminf_{n \to \infty} A(n) \sqrt{\frac{\log n}{n}} < \infty.$$

This result was generalised for d-dimensional B_2 sequences by J. Cilleruelo:

Theorem 1.1. [1] If $A \subset \mathbb{N}^d$ is a B_2 sequence, then

$$\liminf_{n \to \infty} A(n) \sqrt{\frac{\log n}{n^d}} < \infty.$$

and for one dimensional B_{2k} sequences by S. Chen:

Theorem 1.2. [2] If $A \subset \mathbb{N}$ is a B_{2k} sequence, then

$$\liminf_{n \to \infty} A(n) \sqrt[2^k]{\frac{\log n}{n}} < \infty.$$

As noted in [2], no results of this type are known for h odd.

1.2 Finite B_h sequences

Erdős and Turán gave the first upper bound for finite B_2 sequences, showing that if $A \subseteq [1, N]$ is a B_2 sequence then

$$|A| \leqslant N^{\frac{1}{2}} + \mathcal{O}\left(N^{\frac{1}{4}}\right).$$

Lindström [7] improved the method of this paper to obtain

$$|A| \leqslant N^{\frac{1}{2}} + N^{\frac{1}{4}} + 1.$$

If $A \subseteq [1, N]$ is a B_h sequence a simple counting argument gives

$$|A| \leqslant (hh!N)^{\frac{1}{h}}.$$

Lindström [8] improved this for $A \subseteq [1, N]$ a B_4 sequence, proving

$$|A| \leqslant 8^{\frac{1}{4}} N^{\frac{1}{4}} + \mathcal{O}(N^{\frac{1}{8}}).$$

Jia generalised this argument for even h to obtain:

Theorem 1.3 ([6], see also [5]). If $A \subseteq [1, N]$ is a B_{2k} sequence, then

$$|A| \leq k^{\frac{1}{2k}} (k!)^{\frac{1}{k}} N^{\frac{1}{2k}} + \mathcal{O}(N^{\frac{1}{4k}}).$$

For the case h is odd, the best known upper bound was given by Chen and Graham: **Theorem 1.4** ([5],[3]). If $A \subseteq [1, N]$ is a B_{2k-1} , then

$$|A| \leqslant (k!)^{\frac{2}{2k-1}} N^{\frac{1}{2k-1}} + \mathcal{O}(N^{\frac{1}{4k-2}}).$$

Finally, Green used the techniques of Fourier analysis to improve above theorems in three special cases:

Theorem 1.5. [4] If $A \subseteq [1, N]$ is a B_3 sequence, then

$$|A| \leq \left(\frac{7}{2}\right)^{\frac{1}{3}} N^{\frac{1}{3}} + o(N^{\frac{1}{3}}).$$

Theorem 1.6. [4] If $A \subseteq [1, N]$ is a B_4 sequence, then

$$|A| \leqslant (7)^{\frac{1}{4}} N^{\frac{1}{4}} + o\left(N^{\frac{1}{4}}\right).$$

Theorem 1.7. [4] For sufficiently large k:

(i) If $A \subseteq [1, N]$ is a B_{2k} sequence, then

$$|A| \leqslant \pi^{\frac{1}{4k}} k^{\frac{1}{4k}} (k!)^{\frac{1}{k}} (1 + \epsilon(k)) N^{\frac{1}{2k}} + \mathcal{O}(N^{\frac{1}{4k}}).$$

(ii) If $A \subseteq [1, N]$ is a B_{2k-1} sequence, then

$$|A| \leqslant \pi^{\frac{1}{2(2k-1)}} k^{\frac{-1}{2(2k-1)}} (k!)^{\frac{2}{2k-1}} (1+\epsilon(k)) N^{\frac{1}{2k-1}} + \mathcal{O}(N^{\frac{1}{2(2k-1)}}).$$

2 Preliminaries

We denote

$$rA = \{ x = x_1 + \dots + x_r : x_s \in A, 1 \le s \le r \},\$$

$$r * A = \{ x = x_1 + \dots + x_r : x_s \in A, x_i \neq x_j, 1 \le i < j \le r \}.$$

For any $x = x_1 + \cdots + x_r \in rA$, we let \overline{x} be the set $\{x_1, \ldots, x_r\}$ (counting multiplicities). For a B_h -sequence $A \subseteq [1, N]^d$ we define

$$D_j(z;r) = \{(x,y) : x-y=z, x, y \in jA, |\overline{x} \cap \overline{y}|=r\},\$$

and write $d_j(z; r)$ for its cardinality.

Lemma 2.1.1. Let $A \subseteq [1, N]^d$.

(i) If A is a B_{2k} sequence, for $1 \leq j \leq k$,

$$d_j(z;0) \leqslant 1;$$

(ii) If A is B_{2k} sequence, for $1 \leq r \leq k$,

$$\sum_{z \in \mathbb{Z}^d} d_k(z; r) \leqslant |A|^{2k-r}$$

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Proof.

- (i) If $(x, y), (x', y') \in D_j(z; 0)$ then we have x + y' = x' + y. Since A is a B_h sequence, the two representations correspond to different permutations of the same h elements and as $\overline{x} \cap \overline{y} = \overline{x'} \cap \overline{y'} = \emptyset$, then x = x' and y = y'.
- (ii) There are at most $|A|^r$ possible values for $\overline{x} \cap \overline{y}$ (where the intersection is taken with multiplicities), so

$$d_k(z;r) \leqslant |A|^r d_{k-r}(z;0)$$

Then

$$\sum_{z \in \mathbb{Z}^d} d_k(z; r) \leqslant |A|^r \sum_{z \in \mathbb{Z}^d} d_{k-r}(z; 0)$$

$$\leqslant |A|^r |(k-r)A|^2 \qquad (using (i))$$

$$\leqslant |A|^{2k-r}.$$

Similarly for a B_h -sequence $A \subseteq [1, N]^d$ we define

$$D_{j}^{*}(z;r) = \{(x,y) : x - y = z, x, y \in j * A, |\overline{x} \cap \overline{y}| = r\}, \\ D_{j}^{*}(z;r;a) = \{(x,y) \in D_{j}^{*}(z,r) : a \in \overline{x}\}$$

and write $d_j^*(z;r)$ and $d_j^*(z;r;a)$ for their respective cardinalities.

Lemma 2.1.2. Let $A \subseteq [1, N]^d$.

(i) If A is a B_{2k-1} sequence, for $1 \leq j \leq k-1$,

$$d_i^*(z;0) \leqslant 1;$$

(ii) If A is a B_{2k-1} sequence,

$$d_k^*(z;0) \leqslant \frac{|A|}{k}.$$

(iii) If A is a B_{2k-1} sequence, for $1 \leq r \leq k$,

$$\sum_{z \in \mathbb{Z}^d} d_k^*(z; r) \leqslant |A|^{2k-r}$$

Proof.

(i) We may use the same proof as in (i) previous lemma.

(ii) We show that $d_k^*(z; 0; a) \leq 1$. Assume not. Then there exists $x = x_1 + \ldots + x_k, x' = x'_1 + \ldots + x'_k, y = y_1 + \ldots + y_k, y' = y'_1 + \ldots + y'_k \in k * A$ such that x - y = x' - y' = z. In addition, without loss of generality, we may assume $x_k = x'_k = a$. Hence we have

 $x_1 + \ldots + x_{k-1} + y'_1 + \ldots + y'_k = x'_1 + \ldots + x'_{k-1} + y_1 + \ldots + y_k.$

Once again, since A is a B_{2k-1} sequence, the two representations correspond to different permutations of the same 2k - 1 elements and as $\overline{x} \cap \overline{y} = \overline{x} \cap \overline{y} = \emptyset$ we must have x = x' and y = y', giving a contradiction.

Notice that

$$\sum_{a\in A}d_k^*(z;0;a)=kd_k^*(z;0)$$

and the statement of the lemma follows.

(iii) We may use the same proof as in (ii) in previous lemma.

3 Infinite *d*-dimensional B_{2k} sequences

In this section we prove the following amalgamation of Theorems 1.1 and 1.2: **Theorem 3.1.** If $A \subset \mathbb{N}^d$ is a B_{2k} sequence, then

$$\liminf_{n \to \infty} A(n) \sqrt[2k]{\frac{\log n}{n^d}} < \infty$$

We fix a large enough positive integer n and set $u = \lfloor n^{1/(2k-1)} \rfloor$. For any *d*-dimensional vector \vec{i} use the L_{∞} norm defined as follows:

$$|\vec{i}|_{\infty} = |(i_1, i_2, ..., i_d)|_{\infty} = \max_{1 \le k \le d} \{|i_k|\}.$$

For any d-dimensional set B denote

$$B_{\vec{i}} = B \cap \bigotimes_{j=1}^{d} ((i_j - 1)kn, i_j kn].$$

We set

$$\begin{aligned} A' &= A \cap [1, un]^d, \\ C &= kA', \\ c_{\vec{i}} &= |C_{\vec{i}}|, \\ \Delta_j &= \sum_{|\vec{i}|_{\infty}=j} c_{\vec{i}}, \\ \tau(n) &= \min_{n \leqslant m \leqslant un} \frac{A(m)}{m^{d/2k}}. \end{aligned}$$

Lemma 3.1.1.

$$au(n)^{2k} n^d \log n = \mathcal{O}\left(\sum_{\vec{i} \in [1,u]^d} c_{\vec{i}}^2\right).$$

Proof. Note that

$$\left(\sum_{\vec{i}\in[1,u]^d} \frac{c_{\vec{i}}}{|\vec{i}|_{\infty}^{d/2}}\right)^2 \leqslant \left(\sum_{\vec{i}\in[1,u]^d} \frac{1}{|\vec{i}|_{\infty}^d}\right) \left(\sum_{\vec{i}\in[1,u]^d} c_{\vec{i}}^2\right)$$
$$\leqslant \left(\sum_{i=1}^u \frac{di^{d-1}}{i^d}\right) \left(\sum_{\vec{i}\in[1,u]^d} c_{\vec{i}}^2\right)$$
$$\leqslant \mathcal{O}\left(\log n \sum_{\vec{i}\in[1,u]^d} c_{\vec{i}}^2\right).$$
(2)

On the other hand, for any positive $i \ (1 \leq i \leq u)$,

$$C(ikn) \geqslant cA(in)^k,$$

where c > 0 is an absolute constant depending only on k, and

$$A(in)^{k} = \left(\frac{A(in)}{(in)^{d/2k}}\right)^{k} (in)^{d/2}$$

$$\geqslant \tau(n)^{k} (in)^{d/2}.$$

Hence, for absolute constants c_1, c_2, c_3 depending on d and k,

$$\begin{split} \sum_{\vec{i} \in [1,u]^d} \frac{c_{\vec{i}}}{|\vec{i}|_{\infty}^{d/2}} &= \sum_{i=1}^u \frac{\Delta_i}{i^{d/2}} \\ &= \sum_{i=1}^u \left(\frac{1}{i^{d/2}} - \frac{1}{(i+1)^{d/2}} \right) \sum_{j=1}^i \Delta_j + \frac{1}{(u+1)^{d/2}} \sum_{j=1}^u \Delta_j \\ &\geqslant c_1 \sum_{i=1}^u \frac{C(ikn)}{i^{d/2+1}} \\ &\geqslant c_2 \sum_{i=1}^u \frac{\tau(n)^k (in)^{d/2}}{i^{d/2+1}} \\ &= c_2 \tau(n)^k n^{d/2} \sum_{i=1}^u \frac{1}{i} \\ &\geqslant c_3 \tau(n)^k n^{d/2} \log n. \end{split}$$

Combining inequalities (2) and (3), Lemma 3.1.1 follows.

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(3)

Lemma 3.1.2.

$$\sum_{\vec{i} \in [1,u]^d} c_{\vec{i}}^2 = \mathcal{O}(n^d).$$

Proof. We have

$$\begin{split} \sum_{i \in [1,u]^d} c_i^2 &\leqslant \sum_{r=0}^k \sum_{|z|_\infty \leqslant kn} d_k(z;r) \\ &= \sum_{|z|_\infty \leqslant kn} d_k(z;0) + \sum_{r=1}^k \sum_{|z|_\infty \leqslant kn} d_k(z;r) \\ &\leqslant \sum_{|z|_\infty \leqslant kn} 1 + \sum_{r=1}^k |A'|^{2k-r} \quad \text{(using Lemma 2.1.1 (i) and (iv))} \\ &= (2kn)^d + \mathcal{O}\left((un)^{d(1-1/(2k))}\right) \quad \text{(using equation (1))} \\ &= \mathcal{O}(n^d). \end{split}$$

We are now able to prove Theorem 3.1:

Proof of Theorem 3.1. From Lemmas 3.1.1 and 3.1.2 we have $\tau(n)^{2k} \log n = \mathcal{O}(1)$. Hence,

$$\liminf_{n \to \infty} A(n) \sqrt[2k]{\frac{\log n}{n^d}} = \lim_{n \to \infty} \inf_{n \leq m \leq un} A(m) \sqrt[2k]{\frac{\log m}{m^d}}$$
$$\leqslant \lim_{n \to \infty} \inf_{n \leq m \leq un} \frac{A(m)}{m^{d/2k}} \sqrt[2k]{\log un}$$
$$\leqslant 2 \lim_{n \to \infty} \tau(n) \sqrt[2k]{\log n} < \infty.$$

4 Finite *d*-dimensional *B_h*-sequences

4.1 Preliminaries

The following lemma will be our main tool for the subsequent two sections:

Lemma 4.1.1. Let G be an additive group and $A_1, A_2, X \subset G$ such that $A_1 + A_2 = X$. Write

$$d_{A_i}(g) = \#\{(a, a') : a, a' \in A_i, a - a' = g\}, i = 1, 2,$$

$$r_{A_1 + A_2}(g) = \#\{(a, a') : a \in A_1, a' \in A_2, a + a' = g\}.$$

Then

$$\sum_{g \in G} d_{A_1}(g) d_{A_2}(g) - \frac{|A_1|^2 |A_2|^2}{|X|} = \sum_{g \in X} \left(r_{A_1 + A_2}(g) - \frac{|A_1| |A_2|}{|X|} \right)^2.$$

In particular, we have

$$\sum_{g \in G} d_{A_1}(g) d_{A_2}(g) - \frac{|A_1|^2 |A_2|^2}{|X|} \ge 0.$$
(4)

Proof. Note that

$$\begin{split} \sum_{g \in X} r_{A_1 + A_2}(g)^2 &= \#\{(a_1, a_2, a_3, a_4) : a_1, a_3 \in A_1, a_2, a_4 \in A_2, a_1 + a_2 = a_3 + a_4\} \\ &= \#\{(a_1, a_2, a_3, a_4) : a_1, a_3 \in A_1, a_2, a_4 \in A_2, a_1 - a_3 = a_2 - a_4\} \\ &= \sum_{g \in G} d_{A_1}(g) d_{A_2}(g). \end{split}$$

Therefore

$$\begin{split} \sum_{g \in X} \left(r_{A_1 + A_2}(g) - \frac{|A_1||A_2|}{|X|} \right)^2 \\ &= \sum_{g \in X} r_{A_1 + A_2}(g)^2 - 2 \frac{|A_1||A_2|}{|X|} \sum_{g \in X} r_{A_1 + A_2}(g) + \sum_{g \in X} \frac{|A_1|^2 |A_2|^2}{|X|^2} \\ &= \sum_{g \in G} d_{A_1}(g) d_{A_2}(g) - 2 \frac{|A_1||A_2|}{|X|} |A_1||A_2| + \frac{|A_1|^2 |A_2|^2}{|X|^2} |X| \\ &= \sum_{g \in G} d_{A_1}(g) d_{A_2}(g) - \frac{|A_1|^2 |A_2|^2}{|X|}. \end{split}$$

4.2 Finite *d*-dimensional B_{2k} sequences

In this section we show the multidimensional analogue of Theorem 1.3:

Theorem 4.1. If $A \subseteq [1, N]^d$ is a B_{2k} sequence, then

$$|A| \leqslant N^{\frac{d}{2k}} k^{\frac{d}{2k}} (k!)^{\frac{1}{k}} + \mathcal{O}(N^{\frac{d^2}{2k(d+1)}}).$$

We first prove the following lemma:

Lemma 4.2.1. For $I = [0, u - 1]^d$,

$$\sum_{z \in \mathbb{Z}^d} d_{kA}(z) d_I(z) \leqslant u^{2d} + \mathcal{O}(u^d |A|^{2k-1}).$$

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Proof.

$$\sum_{z \in \mathbb{Z}^d} d_{kA}(z) d_I(z) = \sum_{z \in \mathbb{Z}^d} d_I(z) \sum_{r=0}^k d_k(z; r)$$

=
$$\sum_{z \in \mathbb{Z}^d} d_I(z) d_k(z; 0) + \sum_{r=1}^k \sum_{z \in \mathbb{Z}^d} d_I(z) d_k(z; r)$$

 $\leqslant u^{2d} + \mathcal{O}(u^d |A|^{2k-1}).$ (using Lemma 2.1.1 (i) and (ii))

Proof of Theorem 4.1. We will use Lemma 4.1.1 with $G = \mathbb{Z}^d$, $A_1 = kA$, $A_2 = I = [0, u - 1]^d$ (where the positive integer u will be chosen later) and X = kA + I.

$$|kA| \geq \frac{1}{k!} |A|^k,$$

$$|I| = u^d,$$

$$|X| \leq (kN+u)^d.$$

Thus, using Lemma 4.2.1 and equation (4), we have (after simplification)

$$\frac{|A|^{2k}u^d}{k!^2(kN+u)^d} \leqslant u^d + \mathcal{O}\left(|A|^{2k-1}\right),$$

or

$$|A|^{2k} \leqslant k!^2 (kN+u)^d + \mathcal{O}\left(\left(\frac{kN}{u}+1\right)^d |A|^{2k-1}\right)$$

$$\leqslant k!^2 (kN+u)^d + \mathcal{O}\left(\left(\frac{kN}{u}+1\right)^d N^{\frac{(2k-1)d}{2k}}\right). \quad \text{(using equation (1))}$$

To minimise the error term we need $\left(\frac{N}{u}\right)^d N^{\frac{(2k-1)d}{2k}} = uN^{d-1}$, so we take $u = N^{1-\frac{d}{(d+1)2k}}$ giving

$$|A|^{2k} \leqslant k!^2 k^d N^d + \mathcal{O}\left(N^{d-\frac{d}{(d+1)2k}}\right)$$
$$\leqslant k!^2 k^d N^d \left(1 + \mathcal{O}\left(N^{-\frac{d}{(d+1)2k}}\right)\right).$$

Taking $2k^{\text{th}}$ roots ends the proof.

4.3 Finite *d*-dimensional B_{2k-1} sequences

In this section we show the multidimensional analogue of Theorem 1.4.

Theorem 4.2. If $A \subset [1, N]^d$ is a B_{2k-1} sequence, then

$$|A| \leqslant (k!)^{\frac{2}{2k-1}} k^{\frac{d-1}{2k-1}} N^{\frac{d}{2k-1}} + \mathcal{O}\left(N^{\frac{d^2}{(d+1)(2k-1)}}\right).$$

Lemma 4.3.1. For $I = [0, u - 1]^d$,

$$\sum_{z\in\mathbb{Z}^d} d_{k*A}(z)d_I(z) \leqslant \frac{|A|}{k}u^{2d} + \mathcal{O}\left(u^d|A|^{2k-1}\right).$$

Proof. The proof follows the same course as that of Lemma 4.2.1 except using Lemma 2.1.2 (i), (ii) and (iii) in the final step. \Box

Proof of Theorem 4.2. As before we make use of Lemma 4.1.1, taking $G = \mathbb{Z}^d$, $A_1 = k * A$, $A_2 = I = [0, u-1]^d$ (where the positive integer u will be chosen later) and $X = A_1 + A_2$. We have

$$|k * A| \ge \frac{1}{k!} |A|^k (1 - \frac{c}{|A|}),$$

where constant c depends on k, which with Lemma 4.3.1 and equation (4) gives:

$$\frac{(1-\frac{c}{|A|})^2 |A|^{2k} u^{2d}}{(k!)^2 (kN+u)^d} \leqslant u^{2d} \frac{|A|}{k} + \mathcal{O}(|A|^{2k-1} u^d),$$

or

$$\frac{|A|^{2k}u^{2d}}{(k!)^2(kN+u)^d} \leqslant u^{2d}\frac{|A|}{k} + \mathcal{O}(|A|^{2k-1}u^d)$$

thus

$$|A|^{2k-1} \leqslant \frac{(k!)^2(kN+u)^d}{k} + \mathcal{O}\left(\left(\frac{kN}{u}+1\right)^d |A|^{2k-2}\right)$$
$$\leqslant \frac{(k!)^2(kN+u)^d}{k} + \mathcal{O}\left(\left(\frac{kN}{u}+1\right)^d N^{d\frac{2k-2}{2k-1}}\right).$$

To minimise the error term we need $N^{d-1}u = N^d N^{d(2k-2)/(2k-1)}$ so we take $u = N^{1-\frac{d}{(d+1)(2k-1)}}$ which gives

$$|A|^{2k-1} \leqslant (k!)^2 N^d k^{d-1} + \mathcal{O}(N^{d-\frac{d}{(d+1)(2k-1)}}) \leqslant (k!)^2 N^d k^{d-1} \left(1 + \mathcal{O}(N^{-\frac{d}{(d+1)(2k-1)}})\right).$$

Taking $2k - 1^{\text{th}}$ roots gives the result.

4.4 Finite B_h sequences for large h

4.4.1 Fourier Analysis Prerequisites

We use the notation of Green [4].

Let $f : \mathbb{Z}_N^d \to \mathbb{C}$ be any function. We define the dot product of two vectors $a = (a_1, a_2, \ldots, a_d)$ and $b = (b_1, b_2, \ldots, b_d)$ from an orthonormal vector space as

$$a \cdot b = \sum_{i=1}^d a_i b_i.$$

For $r \in \mathbb{Z}_N^d$, we define the Fourier transform

$$\hat{f}(r) = \sum_{x \in \mathbb{Z}_N^d} f(x) e^{\frac{2\pi i r \cdot x}{N}}$$

If $f, g: G \to \mathbb{C}$ are two functions on an abelian group G, we define the convolution

$$(f * g)(x) = \sum_{y \in G} f(y)\overline{g(y - x)}.$$

We adopt the convention that

$$f_1 * f_2 * \cdots * f_k = f_1 * (f_2 * \cdots * (f_{k-1} * f_k)).$$

We shall denote $A^{*2k}(x) = (\underbrace{A * A * \cdots * A}_{2k \text{ times}})(x)$. Notice that $A^{*2k}(x)$ is the number of ordered representations of $x = a_1 + \cdots + a_k - a_{k+1} - \cdots - a_{2k}$ for $a_1, a_2, \ldots, a_{2k} \in A$. We shall use the following two well-known identities:

Lemma 4.4.1 (Parseval's Identity). If $f, g : \mathbb{Z}_N^d \to \mathbb{C}$ are two functions then

$$N^{d} \sum_{x \in \mathbb{Z}_{N}^{d}} f(x)\overline{g(x)} = \sum_{r \in \mathbb{Z}_{N}^{d}} \hat{f}(r)\overline{\hat{g}(r)}.$$

Lemma 4.4.2. If $f, g : \mathbb{Z}_N^d \to \mathbb{C}$ are two functions then

$$\widehat{(f*g)}(r) = \widehat{f}(r)\overline{\widehat{g}(r)}.$$

From now on we will let A(x) be the characteristic function of the set, i.e.

$$A(x) = \begin{cases} 1 & \text{if } x \in A; \\ 0 & \text{otherwise.} \end{cases}$$

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4.4.2 B_h sequences for large h

In this section we show the multidimensional analogue of Theorem 1.7.

Theorem 4.3. For k sufficiently large and $A \subseteq [1, N]^d$

(i) If A is a B_{2k} sequence

$$|A| \leq (\pi d)^{\frac{d}{4k}} (1 + \epsilon(k)) k^{\frac{d}{4k}} (k!)^{\frac{1}{k}} N^{\frac{d}{2k}} + \mathcal{O}(N^{\frac{d^2}{2k(d+1)}}).$$

(ii) If A is a B_{2k-1} sequence

$$|A| \leqslant (\pi d)^{\frac{d}{2(2k-1)}} (1+\epsilon(k)) k^{\frac{d-2}{2(2k-1)}} (k!)^{\frac{2}{2k-1}} N^{\frac{d}{2k-1}} + \mathcal{O}(N^{\frac{d^2}{(2k-1)(d+1)}}).$$

Proof.

(i) We regard A as a subset of \mathbb{Z}_{kN+v}^d where $v \ll N$ so that $A^{*2k}(x)$ remains the same for $x \in [-v, v]^d$ as it was when we regarded A as a subset of \mathbb{Z}^d . Let $I = [0, u - 1]^d$ where $u \ll v$. Notice that, for all $x \in [-v, v]^d$, $A^{*2k}(x) \leq (k!)^2 d_{kA}(x)$ and $I * I(x) = d_I(x)$. Hence, arguing as in the proof of Lemma 4.2.1, we obtain

$$\sum_{x \in \mathbb{Z}_{kN+v}^{d}} A^{*2k}(x)(I*I)(x) = \sum_{x \in [-u+1,u-1]^{d}} A^{*2k}(x)(I*I)(x)$$
$$\leqslant (k!)^{2} u^{2d} + \mathcal{O}\left(|A|^{2k-1} u^{d}\right).$$
(5)

Parseval's identity (Lemma 4.4.1) and Lemma 4.4.2 give

$$\sum_{x \in \mathbb{Z}_{kN+v}^{d}} A^{*2k}(x) (I * I)(x) = \frac{1}{(kN+v)^{d}} \sum_{r \in \mathbb{Z}_{kN+v}^{d}} \widehat{A^{*2k}(x)} \overline{\hat{I} * I(x)}$$

$$= \frac{1}{(kN+v)^{d}} \sum_{r \in \mathbb{Z}_{kN+v}^{d}} |\hat{A}(r)|^{2k} |\hat{I}(r)|^{2}$$

$$\geqslant \frac{1}{(kN+v)^{d}} \sum_{|r_{1}|+\dots+|r_{d}| \leq k/2} |\hat{A}(r)|^{2k} |\hat{I}(r)|^{2}. \quad (6)$$

Claim 1. $|\hat{I}(r)| \ge u^d - \frac{2\pi |r_1 + r_2 + \dots + r_d| u^{d+1}}{kN}$.

$$\begin{aligned} |u^{d} - \hat{I}(r)| &\leq \sum_{x \in [0, u-1]^{d}} \left| 1 - e^{\frac{2\pi i r \cdot x}{kN + v}} \right| \\ &= \sum_{x \in [0, u-1]^{d}} \left| 1 - \cos\left(\frac{2\pi r \cdot x}{kN + v}\right) - i\sin\left(\frac{2\pi r \cdot x}{kN + v}\right) \right| \\ &\leq u^{d} \left(\frac{2\pi (|r_{1}| + |r_{2}| + \dots + |r_{d}|)(u-1)}{kN + v}\right) \\ &\leq \frac{2\pi (|r_{1}| + |r_{2}| + \dots + |r_{d}|)u^{d+1}}{kN}, \end{aligned}$$

proving Claim 1.

Claim 2.
$$\sum_{|r_1|+\dots+|r_d|\leqslant k/2} |\hat{A}(r)|^{2k} \ge |A|^{2k} \left(\frac{k}{\pi d}\right)^{\frac{a}{2}} (1-\epsilon(k)).$$

Note that the set

$$\{x_1r_1 + \dots + x_dr_d : |r_1| + \dots + |r_d| \leq k/2, x \in [1, N]^d\}$$

is contained in an interval of length $\frac{k}{2}N$. Therefore for such r, vectors in the complex plane corresponding to elements of A in Fourier transform will not cancel each other. Furthermore, we can expect elements of A to be more-or-less distributed in the whole of $[1, N]^d$, thus rotating by N/2 in each dimension should almost align the sum of the these vectors with the real axis.

$$\begin{aligned} |\hat{A}(r)|^{2k} &= \left| \sum_{x \in \mathbb{Z}_{kN+v}^{d}} A(x) e^{2\pi i \frac{x_1 r_1 + \dots + x_d r_d}{kN+v}} \right|^{2k} \\ &= \left| \sum_{x \in \mathbb{Z}_{kN+v}^{d}} A(x) e^{2\pi i \frac{(x_1 - N/2)r_1 + \dots + (x_d - N/2)r_d}{kN+v}} \right|^{2k} \\ &\geqslant \left| \sum_{x \in \mathbb{Z}_{kN+v}^{d}} A(x) \cos\left(\frac{\pi (r_1 + \dots + r_d)}{k}\right) \right|^{2k}. \end{aligned}$$

Since $|r_1| + \cdots + |r_d| \leq k/2$, this is greater or equal than

$$|A|^{2k} \left| 1 - \frac{\pi^2 (r_1 + \dots + r_d)^2}{2k^2} \right|^{2k}.$$

Now we can give a bound for the sum:

$$\begin{split} \sum_{|r_1|+\dots+|r_d|\leqslant k/2} |\hat{A}(r)|^{2k} &\geqslant |A|^{2k} \sum_{|r_1|+\dots+|r_d|\leqslant k/2} \left|1 - \frac{\pi^2(r_1+\dots+r_d)^2}{2k^2}\right|^{2k} \\ &\geqslant |A|^{2k} \sum_{|r_1|+\dots+|r_d|\leqslant k^{5/8}} \left|1 - \frac{\pi^2(r_1+\dots+r_d)^2}{2k^2}\right|^{2k} \end{split}$$

Since k is large, this is greater or equal than

$$|A|^{2k} \sum_{|r_1|+\dots+|r_d| \leqslant k^{5/8}} \left| 1 - \frac{\pi^4 (r_1 + \dots + r_d)^4}{4k^4} \right|^{2k} e^{\frac{-\pi^2 (r_1 + \dots + r_d)^2}{k}}.$$

In the last step we used inequality $1 - s \ge e^{-s}(1 - s^2)$, which is true for $s \le 1$. Note that, under restrictions $|r_1| + \cdots + |r_d| \le k^{5/8}$, we have

$$\left|1 - \frac{\pi^4 (r_1 + \dots + r_d)^4}{4k^4}\right|^{2k} \to 1$$

as $k \to \infty$. The remaining sum can be rearranged using the Cauchy-Schwarz inequality:

$$\sum_{|r_1|+\dots+|r_d|\leqslant k^{5/8}} e^{\frac{-\pi^2(r_1+\dots+r_d)^2}{k}} \geq \sum_{|r_i|\leqslant \frac{k^{5/8}}{d}} e^{\frac{-d\pi^2(r_1^2+\dots+r_d^2)}{k}}$$
$$= \prod_{i=1}^d \sum_{|r_i|\leqslant \frac{k^{5/8}}{d}} e^{\frac{-\pi^2 dr_i^2}{k}}.$$

Now the claim follows from the fact

$$\sum_{|r_i| \leqslant \frac{k^{5/8}}{d}} e^{\frac{-\pi^2 dr_i^2}{k}} \to \int_{-\infty}^{\infty} e^{\frac{-\pi^2 dt^2}{k}} dt = \left(\frac{k}{\pi d}\right)^{1/2}.$$

Combining equations (5) and (6) with Claims 1 and 2, we obtain

$$(k!)^{2}u^{2d} + \mathcal{O}\left(|A|^{2k-1}u^{d}\right) \geq \frac{u^{2d}}{(kN+v)^{d}} \left(1 - \frac{\pi u d}{N}\right)^{2} \sum_{|r_{1}|+|r_{2}|+\dots+|r_{d}| \leq \frac{k}{2}} |\hat{A}(r)|^{2k}$$
$$\geq \frac{u^{2d}}{(kN+v)^{d}} \left(1 - \frac{\pi u d}{N}\right)^{2} |A|^{2k} \left(\frac{k}{\pi d}\right)^{\frac{d}{2}} (1 - \epsilon(k)).$$

So, using equation (1),

$$|A|^{2k} \leqslant \frac{(k!)^2 (kN+v)^d + \mathcal{O}\left(N^{d(2-\frac{1}{2k})} u^{-d}\right)}{\frac{u^d}{(kN+v)^d} \left(1 - \frac{\pi u d}{N}\right) \left(\frac{k}{\pi d}\right)^{\frac{d}{2}} (1 - \epsilon(k))}.$$

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We can minimise the error term by choosing $u = v = N^{1-\frac{d}{2k(d+1)}}$ which, using Taylor's expansions, gives

$$|A|^{2k} \leq (\pi d)^{\frac{d}{2}} (1 + \epsilon(k)) k^{\frac{d}{2}} (k!)^2 N^d \left(1 + \mathcal{O}\left(N^{-\frac{d}{2k(d+1)}}\right) \right).$$

Taking $2k^{\text{th}}$ roots gives the result.

(ii) This uses essentially the same proof except arguing as in Lemma 4.3.1 to obtain the equivalent of equation (5):

$$\sum_{x \in \mathbb{Z}_{kN+v}^d} A^{*2k}(x) (I * I)(x) \leqslant |A| k! (k-1)! \ u^{2d} + \mathcal{O}\left(|A|^{2k-1} u^d\right).$$

Acknowledgements

This work was done during Doccourse in Barcelona, which was hosted by Centre de Recerca Mathemàtica. Authors would like to thank the organisers and especially Francisco Javier Cilleruelo, who not only brought the problems considered in this paper to our attention, but also made numerous useful suggestions.

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