

Crossings and Nestings for Arc-Coloured Permutations and Automation

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Abstract

Symmetric joint distribution between crossings and nestings was established in several combinatorial objects. Recently, Marberg extended Chen and Guo's result on coloured matchings to coloured set partitions following a multi-dimensional generalization of the bijection and enumerative methods from Chen, Deng, Du, Stanley, and Yan. We complete the study for arc-coloured permutations by establishing symmetric joint distribution for crossings and nestings and by showing that the ordinary generating functions for j -noncrossing, k -nonnesting, r -coloured permutations according to size n are rational functions. Finally, we automate the generation of these rational functions and analyse the first 70 series.

Keywords: arc-coloured permutation, crossing, nesting, bijection, enumeration, tableau, generating tree, finite state automaton, transfer matrix, automation.

1 Introduction

Crossing and nesting statistics have intrigued combinatorialists for many decades. For example, it is well known that Catalan numbers, $c_n = \frac{1}{n+1} \binom{2n}{n}$, count the number of noncrossing matchings on $[2n]$ which is also the number of nonnesting matchings of the same size. The concept of crossing and nesting was then extended to higher numbers where symmetric joint distribution continues to hold not only for matchings [11], but also for set partitions [5, 13], labelled graphs [8], set partitions of classical types [16], permutations [2], and type B permutations [12]. In all cases, bijective proofs were given; and for some, generating functions were found.

Inspired by recent works of Chen and Guo [4] on coloured matchings and Marberg [14] on coloured set partitions, we combine two theorems of [14] to establish symmetric joint

distribution of crossing and nesting statistics for *arc-coloured permutations*. We also show that the ordinary generating functions for j -noncrossing, k -nonnesting, r -coloured permutations according to size n are rational functions, and automate the generation of these rational functions. The study of over seventy initial rational functions yields very interesting information on patterns of singularities and degrees of numerator and denominator polynomials. Furthermore, the difference in complexity of rational series also sheds some light on the dichotomy of their limiting functions: D-finite or non-D-finite.

In addition to being in bijection with type B (or signed) permutations, 2-coloured permutations provide a compact representation of genome arrangements tracking orientation of each segment. In general, r -coloured permutations may be a natural model for the study of genome rearrangement problems, in particular, for tracking different types of distance metrics [10]. With automated generation of their rational series according to crossing and nesting statistics, random generation algorithms like Boltzmann sampling [9] can be applied for the investigation of the distribution of such structures modelled by coloured permutations.

1.1 Definitions and terminology

A permutation S of the set $[n] := \{1, 2, \dots, n\}$ is a bijection from $[n]$ to itself, $\sigma : [n] \rightarrow [n]$. Using a two-line notation, we can write $S = (\sigma(1) \ \sigma(2) \ \sigma(3) \ \dots \ \sigma(n))$. An *arc annotated diagram* is a labelled graph on n vertices increasingly labelled horizontally such that $\text{Arc}(i, j)$ joins vertex i to vertex j . A permutation can be represented as an arc annotated diagram where $\text{Arc}(i, \sigma(i))$ is drawn as an upper arc for $\sigma(i) \geq i$, and a lower arc for $\sigma(i) < i$. Note that the dissymmetry draws a fixed point in S as an upper loop. When this diagram is restricted to only the upper arcs (or lower arcs) with all n vertices, then it also represents a set partition of $[n]$. Separately, we call these *upper* and *lower arc diagrams* of a permutation. From such a diagram, we define a k -*crossing* (resp. k -*nesting*) as k arcs $\{(i_1, j_1), (i_2, j_2), \dots, (i_k, j_k)\}$ all mutually cross, or $i_1 < i_2 < \dots < i_k < j_1 < j_2 < \dots < j_k$ (resp. nest, i. e. $i_1 < i_2 < \dots < i_k < j_k < j_{k-1} < \dots < j_1$) as shown in Figure 1 (resp. Figure 2). We also need a variant: *enhanced k -crossing* (resp. *enhanced k -nesting*) where $i_1 < i_2 < \dots < i_k \leq j_1 < j_2 < \dots < j_k$ (resp. $i_1 < i_2 < \dots < i_k \leq j_k < j_{k-1} < \dots < j_1$) as shown in Figure 3 (resp. Figure 4).

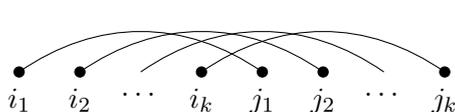


Figure 1: A k -crossing

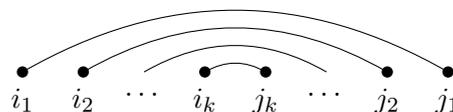


Figure 2: A k -nesting

We need both notions of crossings and nestings for permutations because the *enhanced* definitions are used for upper arc diagrams whereas the other definitions (without *enhanced*), for lower arc diagrams. This is in accordance with the literature [7] on permutation statistics for weak exceedances and pattern avoidance. We define the *crossing*

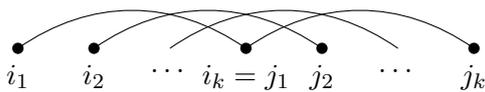


Figure 3: An *enhanced* k -crossing

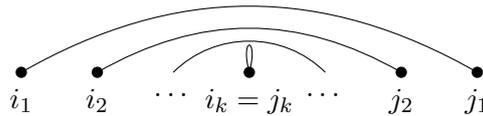


Figure 4: An *enhanced* k -nesting

number, $\text{cr}(S) = j$ (resp. *nesting number*, $\text{ne}(S) = k$) of a permutation S as the maximum j (resp. k) such that S has a j -enhanced crossing (resp. k -enhanced nesting) in the upper arc diagram or a j -crossing (resp. k -nesting) in the lower arc diagram. When a permutation S does not have a j -(enhanced)-crossing (resp. k -(enhanced)-nesting), then we say S is j -noncrossing (resp. k -nonnesting). Burril, Mishna and Post[2] gave an involution mapping between the set of permutations of $[n]$ with $\text{cr}(S) = j$ and $\text{ne}(S) = k$ and those with $\text{cr}(S) = k$ and $\text{ne}(S) = j$, thus extending the result of symmetric joint distribution for matchings and set partitions of Chen, Deng, Du, Stanley and Yan [5] and Krattenthaler [13] to permutations.

Next, Chen and Guo [4] generalized symmetric equidistribution of crossing and nesting statistics to *coloured* complete matchings. Recently, Marberg [14] extended Chen et al's enumerative results on matchings to coloured set partitions proving that the ordinary generating functions of j -noncrossing, k -nonnesting, r -coloured partitions according to size n are rational functions. We further extend symmetric joint distribution and rationality of generating functions to r -arc-coloured permutations, or r -coloured permutations in short.

Some caution on terminology is in order here. Group properties of coloured permutations have been widely studied since the 1990's [1, 18], but there the colours are assigned to *vertices* instead of *arcs*.

1.2 An extension to coloured permutations

Since crossing and nesting statistics involves arcs, we define an r -coloured permutation parallel to [14] as a pair, (S, ϕ) consisting of a permutation of $[n]$ and an arc-colour assigning map $\phi : \text{Arc}(S) \rightarrow [r]$, and use a capital Greek letter, Σ , to denote these objects. We say Σ has a k -crossing (resp. k -nesting) if k arcs of the *same* colour cross (resp. nest). Throughout this paper, *enhanced* statistics is applied to *upper* arc diagrams while *non-enhanced* for *lower* arc diagrams of permutations. Define $\text{cr}(\Sigma)$ (resp. $\text{ne}(\Sigma)$) as the maximum integer k such that Σ has a k -crossing (resp. k -nesting). The bijection of [2] can be extended to establish symmetric joint distribution of the numbers $\text{cr}(\Sigma)$ and $\text{ne}(\Sigma)$ over r -coloured permutations preserving opener and closer sequences (equivalently, sets of minimal and maximal elements of each block when upper arc and lower arc diagrams are viewed separately as set partitions).

More formally, vertices of a permutation are of five types, an opener (\curvearrowright), a closer (\curvearrowleft), a fixed point (\bullet), an upper transitory (\curvearrowright), and a lower transitory (\curvearrowleft). For a particular permutation, Σ , restricting to only one colour, both upper arc and lower arc diagrams can be seen separately as set partitions whose minimal block elements are the

openers (\curvearrowright or \curvearrowleft), and maximal block elements are the closers (\smile or \frown). Let $\min(P)$ (resp. $\max(P)$) denote the set of minimum (resp. maximum) elements of the blocks of a set partition P . Chen et al. [5] proved the following theorem for symmetric joint distribution of crossing and nesting statistics in set partitions.

Theorem 1 (Theorem 1.1 of [5]). *Fix a positive integer n and subsets $S, T \subseteq [n]$. The statistics $\text{cr}(P)$ and $\text{ne}(P)$ have a symmetric joint distribution over all partitions P of $[n]$ with $\min(P) = S$ and $\max(P) = T$.*

For coloured set partitions, Marberg [14] generalized Theorem 1.1 of [5] to maintain symmetric joint distribution for r -coloured set partitions. In Marberg's notation, for given positive integers j, k , and subsets $S, T \subseteq [n]$, we write $\text{NCN}_{j,k}^{S,T}(n, r)$ for the number of r -coloured partitions $\Lambda = (P, \phi)$ of $[n]$ with $\text{cr}(\Lambda) < j$ and $\text{ne}(\Lambda) < k$, and $\min(\Lambda) = S$, and $\max(\Lambda) = T$, where we define $\min(\Lambda) := \min(P)$ and $\max(\Lambda) := \max(P)$. Marberg proved the following.

Theorem 2 (Theorem 1.4 of [14]). $\text{NCN}_{j,k}^{S,T}(n, r) = \text{NCN}_{k,j}^{S,T}(n, r)$ for all integers j, k and subsets $S, T \subseteq [n]$.

Also from [14] for *enhanced* crossing and nesting numbers of r -coloured set partitions, using $\bar{\Lambda} = (P, \phi)$ to denote an r -coloured enhanced set partition, Marberg proved the following.

Theorem 3 (Theorem 5.7 of [14]). *Let $S, T \subseteq [n]$. The enhanced crossing and nesting numbers $\text{cr}(\bar{\Lambda})$ and $\text{ne}(\bar{\Lambda})$ have a symmetric joint distribution over all r -coloured enhanced partitions $\bar{\Lambda}$ of $[n]$ with $\min(\bar{\Lambda}) \setminus \max(\bar{\Lambda}) = S$ and $\max(\bar{\Lambda}) \setminus \min(\bar{\Lambda}) = T$.*

Further extension of symmetric joint distribution to r -coloured permutations requires a similar set-up: Given an r -coloured permutation $\Sigma = (S, \phi)$, let the set of openers (resp. the set of closers) be $\mathcal{O}(\Sigma)$ (resp. $\mathcal{C}(\Sigma)$) of the uncoloured permutation, S . For all positive integers, j and k , and subsets $O, C \subseteq [n]$, define $\text{NCN}_{j,k}^{O,C}(n, r)$ to be the number of r -coloured permutations Σ of $[n]$ with $\text{cr}(\Sigma) < j$, $\text{ne}(\Sigma) < k$, $\mathcal{O}(\Sigma) = O$, and $\mathcal{C}(\Sigma) = C$. Then we reach an analogous result to Theorem 1.1 in [5, 14] for r -coloured permutations in the following Corollary.

Corollary 1. *For all positive integers, j and k , and subsets $O, C \subseteq [n]$, $\text{NCN}_{j,k}^{O,C}(n, r) = \text{NCN}_{k,j}^{O,C}(n, r)$.*

Proof. An r -coloured permutation $\Sigma = (S, \phi)$ can be viewed as an ordered pair $(\bar{\Lambda}, \Lambda)$ of an r -coloured enhanced partition $\bar{\Lambda}$ from the upper arc diagram of Σ and an r -coloured set partition Λ from the lower arc diagram of Σ . The sets \mathcal{O} (resp. \mathcal{C}) $\subseteq [n]$ are precisely $\min(\bar{\Lambda})$ and $\min(\Lambda)$ (resp. $\max(\bar{\Lambda})$ and $\max(\Lambda)$). Thus Theorem 3 (Theorem 5.7 of [14]) applies to $\bar{\Lambda}$, and similarly Theorem 2 (Theorem 1.4 of [14]) applies to Λ .

Therefore, symmetric joint distribution of nesting and crossing statistics with respect to each colour is preserved for coloured permutations. \square

As in Marberg[14], we also let $\text{NCN}_{j,k}(n, r)$ denote the number of all r -coloured, j -noncrossing, k -nonnesting permutations of $[n]$. Summing both sides of Corollary 1 over all $O, C \subseteq [n]$ gives the generalization of [5, 14] for Corollary 2. We also let $\text{NC}_k(n, r)$ (resp. $\text{NN}_k(n, r)$) denote the number of k -noncrossing (resp. k -nonnesting) r -coloured permutations on $[n]$.

Corollary 2. *For all integers, j, k, n, r , $\text{NCN}_{j,k}(n, r) = \text{NCN}_{k,j}(n, r)$ and $\text{NC}_k(n, r) = \text{NN}_k(n, r)$.*

1.3 Plan

The tools needed for the enumeration of j -noncrossing, k -nonnesting, r -coloured permutations are given in Section 2. Section 3 describes the encoding process translating an r -coloured permutation to a pair of r -tuple tableau sequence managing both notions of crossing and nesting for upper and lower arc diagrams. Section 4 first reviews Marberg’s enumerative approach then provides a more direct interpretation for coloured set partitions. Section 5 begins with the more direct interpretation for coloured permutations, then proves that the generating series of j -noncrossing, k -nonnesting, r -coloured permutations according to size n is rational. Automating the generation of over seventy rational series leads to some conjectures. We end with an example which connects to permutations of type B.

2 Background

The translation of a set partition’s arc annotated diagram to a tableau sequence as exhibited by Chen et al. in [5] forms the basis of our extension of symmetric joint distribution of crossing and nesting statistics for coloured permutations. The process of taking an r -coloured permutation and producing a pair of r -tuple sequence of tableaux leads to automation of the enumeration of such objects according to its crossing and nesting numbers. Understanding the process requires working knowledge of the theory of integer partition, especially its representation as Young diagrams, the Hasse diagram of the Young lattice, and the Robinson-Knuth-Schensted (RSK, in short)-algorithm for filling positive integers to obtain the beginning of some standard Young tableau. We refer the reader to Volume 2 of Stanley’s Enumerative Combinatorics [17] for more details.

Define a partition of $n \in \mathbf{N}$ to be a sequence $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k) \in \mathbf{N}^k$ such that $\sum_{i=1}^k \lambda_i = n$, and $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k$. If λ is a partition of n , we write $\lambda \vdash n$ or $|\lambda| = n$. The non-zero terms λ_i are called the parts of λ , and we say λ has k parts if $\lambda_k > 0$. We can draw λ using a left-justified array of boxes with λ_i boxes in row i . For example, $\lambda = (5, 3, 2, 2, 1)$ is drawn as $\begin{array}{cccccc} \square & \square & \square & \square & \square & \\ \square & \square & \square & & & \\ \square & \square & & & & \\ \square & \square & & & & \\ \square & & & & & \end{array}$. This representation is the Young diagram of a partition. To “add a box” to a partition λ means to obtain a partition μ such that $|\lambda| + 1 = |\mu|$, and λ ’s Young diagram is included in that of μ . This inclusion induces a partial order on the set of partitions of non-negative integers, denoted by \mathbf{Y} , or the Young lattice. When we place integers $1, 2, \dots, n$ in all n boxes of a Young diagram so that entries increase

in each row and column, we produce a standard Young tableau, abbreviated as SYT. As one builds an SYT from the empty set through the process of adding a box at a time, a sequence of integer partitions, $(\lambda^0 = \emptyset, \lambda^1, \lambda^2, \dots, \lambda^n)$ emerges where $\lambda^{i-1} \subset \lambda^i$, and $|\lambda^i| = |\lambda^{i-1}| + 1$. In addition to adding a box, we include “deleting a box” and “doing nothing” for the following two types in Definition 1 adopted from [5].

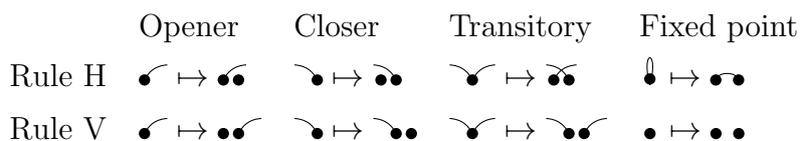
Definition 1. We define two types of sequences of tableaux, $T = (\lambda^0 = \emptyset, \lambda^1, \lambda^2, \dots, \lambda^n)$, where $\lambda^0 = \lambda^n = \emptyset$ such that λ^i is obtained from λ^{i-1} for each $i \in [n]$ by one of the three actions: adding a box, deleting a box, or doing nothing.

1. A hesitating tableau is any such sequence T which has $\lambda^{i-1} \subseteq \lambda^i$ when i is odd, and $\lambda^{i-1} \supseteq \lambda^i$ when i is even.
2. A vacillating tableau is any such sequence T which has $\lambda^{i-1} \subseteq \lambda^i$ when i is even, and $\lambda^{i-1} \supseteq \lambda^i$ when i is odd.

In the uncoloured case, Marberg [14] links the sequence T to an n -step walk on the Hasse diagram of the Young lattice, \mathbf{Y} where “doing nothing” is also counted as a step. For his enumeration purposes, Marberg’s definitions differ slightly from [5] to achieve that these n -step walks are closed walks from \emptyset . Though we will not walk on an ordered pair of r -tuple Hasse diagrams, we will keep the requirement that each sequence T begins and ends with \emptyset .

3 Encoding process

Translating a coloured permutation to its pair of r -tuple sequence of tableaux requires treating the upper (resp. lower) arc diagram as an enhanced (resp. non-enhanced) coloured set partition. We then apply two local rules for *inflating* the vertices while changing set partitions to involutions: Rule H for hesitating tableaux tracking *enhanced* statistics in upper arcs and Rule V for vacillating tableaux for the lower arcs, one sequence for each colour.



Then we follow the steps below to construct an r -tuple of hesitating tableaux (resp. vacillating tableaux) for the upper (resp. lower) arc diagrams.

Step 1 For each colour i , $i \in [r]$, of the arc diagram of a given permutation on $[n]$, apply Rules H and V to inflate each vertex to obtain a sequence of $2n$ vertices.

Step 2 Begin each tableau sequence with an empty tableau, $\lambda_i^0 = \emptyset$.

Step 3 Scanning each inflated vertex k , $k \in [2n]$, from left to right,

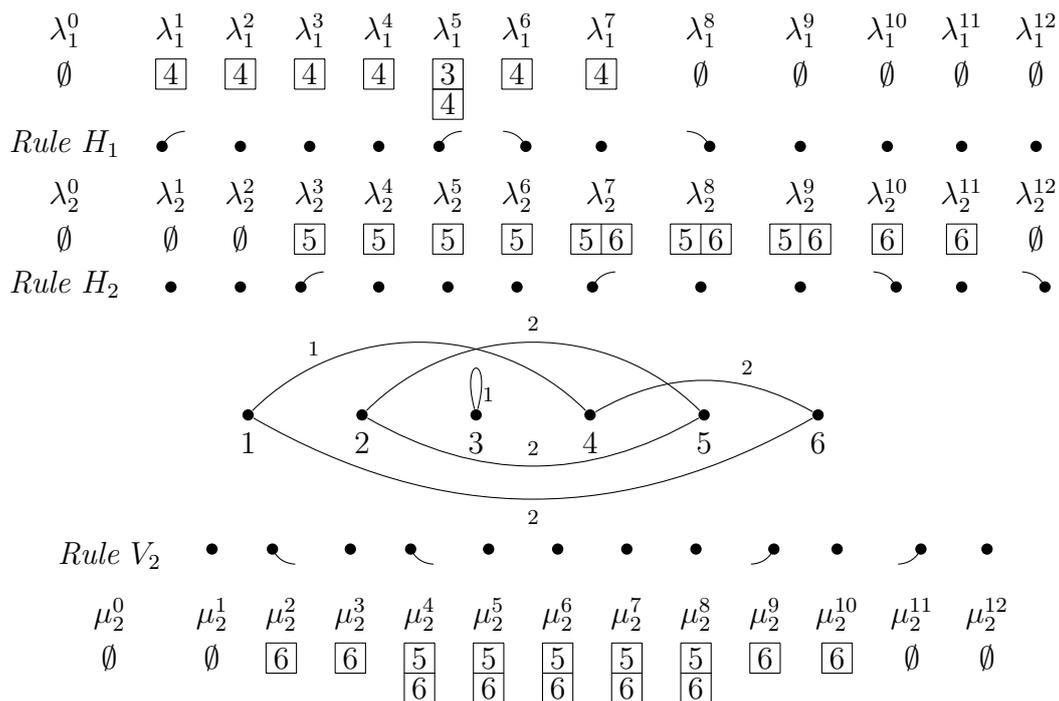
1. we add a box to the previous tableau λ_i^{k-1} for an opener and label the box by the closer vertex label in the permutation's arc diagram according to RSK-algorithm;
2. we do nothing to λ_i^{k-1} to get λ_i^k for a single vertex;
3. and for a closer, we delete the box in λ_i^{k-1} whose label is the corresponding closer label in the permutation's arc diagram, and then reverse RSK-algorithm to obtain λ_i^k .

This encoding process differs slightly from the one used in the bijection of Chen et al. [5] for interchanging nesting and crossing numbers in set partitions. However, as proved in [5], by RSK-algorithm, we know that each tableau sequence thus constructed has at most j columns and k rows if the permutation, Σ , has $\text{cr}(\Sigma) = j$ and $\text{ne}(\Sigma) = k$.

3.1 An example of a 2-coloured permutation

We show a 2-coloured permutation and its tableau sequence encoding.

Example 1. A permutation encoded by a hesitating tableau sequence, λ_1 for colour 1, λ_2 for colour 2 in the upper arcs and a vacillating tableau sequence, μ_2 for colour 2 in the lower arcs.



As a bonus, we also show the result of transposing every tableau in each sequence λ_1 , λ_2 , and μ_2 , and filling the tableau from the right yielding the following 2-coloured permutation in Figure 5.

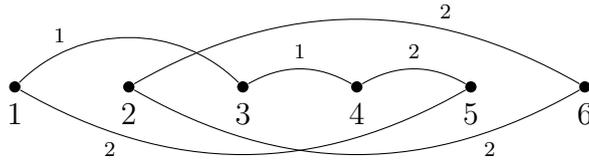


Figure 5: Interchanging nesting and crossing numbers of Example 1

4 Enumeration of coloured set partitions—another approach

A quick overview of Marberg’s approach [14] for the enumeration of coloured set partitions helps set the stage for a new interpretation.

4.1 Marberg’s $\mathcal{G}_{j,k,r}$ for set partitions

Marberg viewed r sequences of vacillating tableaux, one for each colour, as $r \times (k - 1)$ matrices $A = [A_{i,l}]$ encoding λ_i^l in a vacillating tableau sequence T for colour i . If the set partition is j -noncrossing and k -nonnesting, then this tableau has a maximum of $j - 1$ columns and $k - 1$ rows. For colour i , the i th row of matrix A just lists parts of λ^l , thus at most $k - 1$ non-zero parts. The multigraph $\mathcal{G}_{j,k,r}$ is drawn using all such allowable A ’s as vertices, and edges and loops connecting vertices corresponding to adding a box, deleting a box, or doing nothing in the construction of vacillating tableaux so that the resulting sequence contains only tableaux of at most $j - 1$ columns and $k - 1$ rows. Once completed, the multigraph $\mathcal{G}_{j,k,r}$ gives rise to an adjacency matrix. To find the number $\text{NCN}_{j,k}(n, r)$ which is also the number of $(n - 1)$ -step walks on $\mathcal{G}_{j,k,r}$ from the zero matrix to itself, the method of transfer matrix gives a quotient of two polynomials (determinants actually), thus concluding that the ordinary generating function $\sum_{n \geq 0} \text{NCN}_{j,k}(n + 1, r)x^n$ is rational.

4.2 From exhaustive generation to $\mathcal{G}_{j,k,r}$ for set partitions

To illustrate the construction of $\mathcal{G}_{j,k,r}$, we first reconstruct Marberg’s $\mathcal{G}_{2,2,1}$ and $\mathcal{G}_{2,2,2}$ via the first three steps of the following **enumeration scheme**:

1. Generate all set partitions according to size (level of the tree).
2. Organize each level according to the types of *consecutive gaps* (described below).
3. Construct a finite state automaton (also Marberg’s multigraph, $\mathcal{G}_{j,k,r}$) where states track the number of openers with their corresponding crossing and nesting statistics, and edges track the types of consecutive gaps in set partitions.
4. Apply Marberg’s bijection from the set of all j -noncrossing, k -nonnesting, r -coloured set partitions of size $n + 1$ to the set of all n -step closed walks on $\mathcal{G}_{j,k,r}$ from its initial vertex.

5. Apply the method of transfer matrix on the adjacency matrix of $\mathcal{G}_{j,k,r}$ to yield a rational series.

4.2.1 Construction of $\mathcal{G}_{2,2,1}$

The arc annotated diagram of a set partition on $[n]$ has $n - 1$ consecutive gaps, i.e. between each pair of adjacent points. Let the set of noncrossing, nonnesting, uncoloured set partitions on $[n]$ be denoted by $\mathcal{P}_{2,2,1}(n)$. Figure 6 shows the first three levels of exhaustive generation.

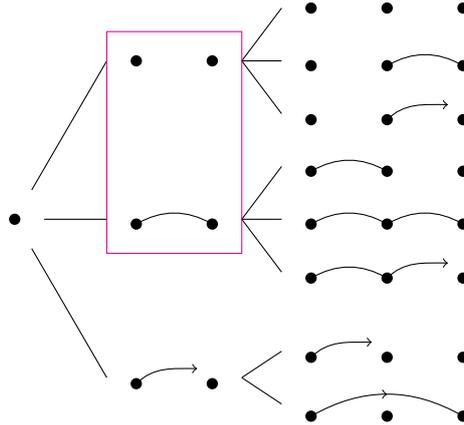


Figure 6: Noncrossing, nonnesting, uncoloured set partitions

Domain	Set partition	Types of arcs	Types of steps in $\mathcal{G}_{2,2,1}$ and $\mathcal{G}_{2,2,2}$
$m \geq 2$	$m-1 \quad m$	no arc	\bullet^{\times}
$m \geq 2$	$m-1 \quad m$ $\overset{r}{\curvearrowright}$	a 1-arc coloured r	\bullet^r , $r \in [2]$
$m \geq 2$	$m-1 \quad m$ $\overset{r}{\curvearrowleft}$	an opener	$v_i \xrightarrow{r} v_{i+1}$
$m \geq 3$	$m-1 \quad m$ $\overset{r}{\curvearrowright}$	a closer	$v_i \xleftarrow{r} v_{i+1}$
$m \geq 3$	$m-1 \quad m$ $\overset{1 \quad 2}{\curvearrowright}$	a closer and an opener	$\begin{array}{c} \bullet v_{i_1} \\ \downarrow 12 \\ \bullet v_{i_2} \end{array}$

Table 1: Consecutive gaps in set partitions and their corresponding steps in $\mathcal{G}_{2,2,r}$.

To organize the set partitions on each level, we note that for each $P \in \mathcal{P}_{2,2,1}(n)$, a consecutive gap belongs to one of the first four types in Table 1 where the matching steps in $\mathcal{G}_{2,2,1}$ are also given. Since $r = 1$, only two states exist in the finite state automaton,

$\mathcal{G}_{2,2,1}$: v_0 , the initial state with no opener (boxed in Figure 6 for level 2), and v_1 , for one opener. No other states are present because any state v_i with $i \geq 2$ openers will form at least a 2-nesting or 2-crossing when closed. Incident at v_0 are three types of edges: two loops, \downarrow^\times for no arc in the consecutive gap, and \downarrow^1 for a distance 1-arc both of which do not change the number of openers present as consecutive gaps are encountered; the last type is a directed edge from v_0 to v_1 to indicate that an opener is present in the consecutive gap. Once at v_1 , only the loop, \downarrow^\times , is allowed because a 1-arc \downarrow^1 will create a 2-nesting in P with the existing opener. A directed edge from v_1 to v_0 means that an opener is closed. To simplify drawing, an edge without arrows is bidirectional. The result is shown in Figure 8.

4.2.2 Construction of $\mathcal{G}_{2,2,2}$

We first show the tree of exhaustive generation in Figure 7. Rectangular boxes group *consecutive gaps* according to the number of openers. Dashed and shaded triangles indicate similar children generation of a partition: without any opener, a dashed triangle repeats the generation established in the big dashed triangle, and similarly for the shaded box for the generation of children from one opener in their parent.

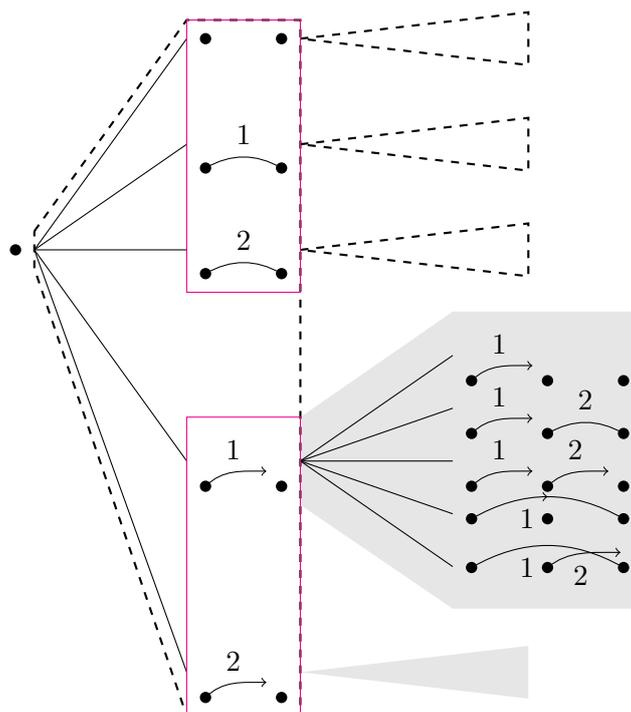


Figure 7: Noncrossing, nonnesting, 2-coloured set partitions

To construct $\mathcal{G}_{2,2,2}$, we organize the types of consecutive gaps in set partitions into four states in our finite state automaton: v_0 as the initial state for no opener, two states indicating one r -coloured ($r \in [2]$) opener, v_{1_1} and v_{1_2} , and one more state, $v_{2_{12}}$, for two

openers, one of each colour, since two arcs of different colours do not create a crossing or nesting. As in $\mathcal{G}_{2,2,1}$, the loops and edges are placed according to what is allowed in P , but a new edge between v_{1_1} and v_{1_2} is added in the last row of Table 1 for the closing of one colour on point m while an opener is present at point $m - 1$ in P . The result is shown in Figure 9.

For details on how the adjacency matrices for Figures 8 and 9 give rise to generating functions, please see [19].

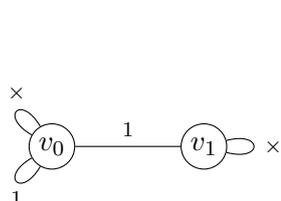


Figure 8: An uncoloured set partition graph, $\mathcal{G}_{2,2,1}$.

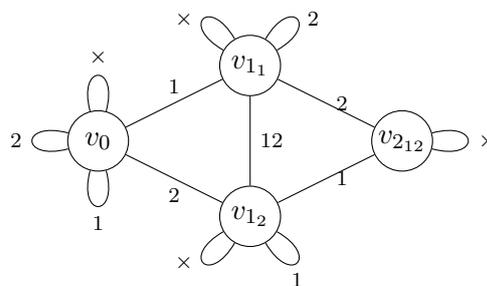


Figure 9: A 2-coloured set partition graph, $\mathcal{G}_{2,2,2}$.

To construct the multigraph, $\mathcal{G}_{j,k,r}$, for general $j, k > 2$, the organization of vertices according to the number of openers (as in Figure 10) combined with the crossing and nesting statistics produced by the openers (as in Marberg's tableaux sequence bijection [14]) yields an automation algorithm.

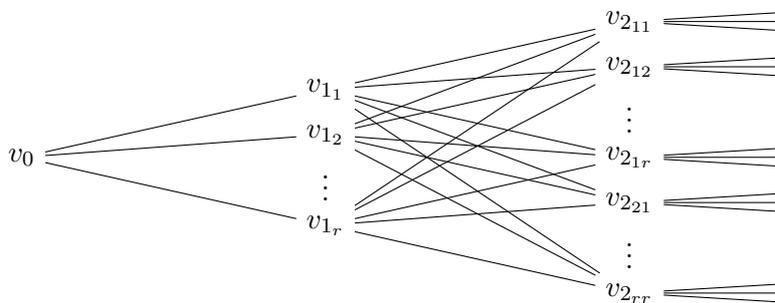


Figure 10: The line-up for states of the same number of openers

We list the first few series for $\mathcal{G}_{2,2,r}$, $r = \{3, 4\}$. The first two series, $r = 1, 2$ were found by Marberg [14] where A216949 in [15] is for $r = 2$. Our series mark the number of consecutive gaps, namely, x^k counts the number of such coloured set partitions on $k + 1$ elements. For more terms and the rational functions, please consult A225029–A225033 in

[15] for $r = 3$ to 7.

$$\begin{aligned} \sum_{n \geq 0} \text{NCN}_{2,2}(n, 3)x^n &= \frac{1 - 10x + 22x^2 - x^3}{1 - 14x + 59x^2 - 74x^3 + x^4} \\ &= 1 + 4x + 19x^2 + 103x^3 + 616x^4 + 3949x^5 + \dots \\ \sum_{n \geq 0} \text{NCN}_{2,2}(n, 4)x^n &= \frac{1 - 20x + 122x^2 - 224x^3 + x^4}{1 - 25x + 218x^2 - 782x^3 + 973x^4 - x^5} \\ &= 1 + 5x + 29x^2 + 193x^3 + 1441x^4 + \dots \end{aligned}$$

Using an average personal computer, Maple 15 can generate up to 7 colours. The next case, $r = 8$, with a matrix size of 256×256 , computation would take too long to find the determinants.

5 Enumeration of r -coloured permutations

Similar to the construction of $\mathcal{G}_{j,k,r}(\Pi)$ (i.e. the multigraph for set partitions), we modify the first three steps of the **enumeration scheme** from Section 4.2 for the construction of $\mathcal{G}_{j,k,r}(\Sigma)$, the multigraph for permutations. Once constructed, a similar bijection from the set of all j -noncrossing, k -nonnesting, r -coloured permutations of size n to the set of all n -step closed walks on $\mathcal{G}_{j,k,r}(\Sigma)$ from its initial vertex permits a routine application of the method of transfer matrix to the adjacency matrix of $\mathcal{G}_{j,k,r}(\Sigma)$, thus resulting in a rational series.

1. Generate all permutations from \emptyset as shown in Figure 11 for $\mathcal{G}_{2,2,2}(\Sigma)$.

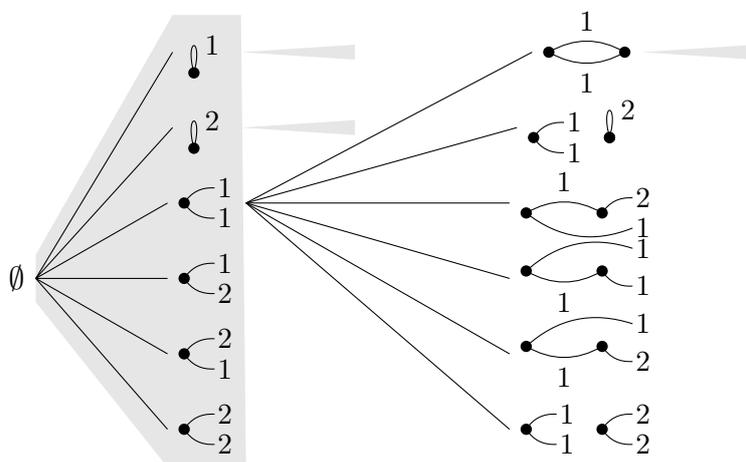


Figure 11: Noncrossing, nonnesting, 2-coloured permutations

2. Organize each level according to types of vertices present.

3. Construct a finite state automaton $\mathcal{G}_{j,k,r}(\Sigma)$ (i.e. the multigraph for permutations) where the states track the number of opener pairs with their corresponding crossing and nesting statistics, and edges track the types of vertices in permutations.

We remark that an analogous bijection from the set of all j -noncrossing, k -nonnesting, r -coloured permutations of size n to the set of all n -step closed walks on $\mathcal{G}_{j,k,r}(\Sigma)$ from its initial state leads to a rational series via the method of transfer matrix.

5.1 Warm-up examples

Instead of translating consecutive gaps from set partitions into steps in the multigraph $\mathcal{G}_{j,k,r}(\Pi)$, we examine each vertex in the arc diagram of a coloured permutation and assign each type of vertex to a step in $\mathcal{G}_{j,k,r}(\Sigma)$. The construction of the first two cases help build intuition for the general case.

5.1.1 Construction of $\mathcal{G}_{2,2,1}(\Sigma)$

As for set partitions, we first construct the multigraph $\mathcal{G}_{2,2,1}(\Sigma)$ for noncrossing, nonnesting, uncoloured permutations. Let us denote the set of all such permutations on $[n]$ by $\mathcal{S}_{2,2,1}(n)$. If $S \in \mathcal{S}_{2,2,1}(n)$, then a vertex is either a fixed point (\downarrow), an opener (\curvearrowright), a closer (\curvearrowleft), or a lower transitory (\curvearrowright). We can't have an upper transitory which contributes to a 2-(enhanced) crossing.

In Figure 12, v_0 still indicates the initial state with 0 opener; v_1 indicates the state with 1 opener. The loop labelled 1 is the step taken when a fixed point coloured 1 is encountered in the vertex set of the permutation. The loop labelled 1_t is the presence of a lower transitory with coloured 1 arcs on both sides; this is possible only when an opener coloured 1 is present, thus at v_1 . Note that a lower transitory does not alter the state. The directed edge (v_0, v_1) indicates the presence of an opener, and the edge traversed in reverse indicates that of a closer. An edge drawn without arrows still means a bidirectional edge.

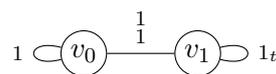


Figure 12: An uncoloured permutation graph, $\mathcal{G}_{2,2,1}$.

5.1.2 Construction of $\mathcal{G}_{2,2,2}(\Sigma)$

The construction of $\mathcal{G}_{2,2,2}$ involves more types of states and edges which we summarize in Table 2. The tree of generation in Figure 11 shows the types of vertices to organize for the finite state automaton. Each state with one opener has the colour of the opener as its subscript. When a state has two openers, both colours are used, thus only one such

vertex in v_2 . The method of transfer matrix gives the following generating function. Here x marks the size of the permutation.

$$\sum_{n \geq 0} \text{NCN}_{2,2}(n, 2)x^n = \frac{1 - 6x + 4x^2}{(1 - 2x)(1 - 6x)}$$

$$= 1 + 2x + 8x^2 + 40x^3 + 224x^4 + 1312x^5 + 7808x^6 + O(x^7).$$

This series, A092807 in [15], counts (with interpolated zeros) the number of closed walks of length n at a vertex of the edge-vertex incidence graph of K_4 , the complete graph on 4 vertices associated with the edges of K_4 .

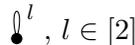
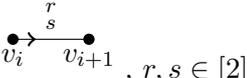
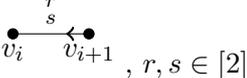
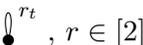
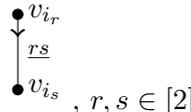
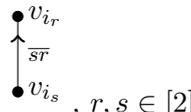
Domain	Permutation Vertex	Arcs	Steps in $\mathcal{G}_{2,2,2}(\Sigma)$
all vertices		a fixed point	
all except the last		an opener	
all except the first		a closer	
no first, no last		a lower transitory	
no first, no last		an upper transitory	
no first, no last		a lower transitory	

Table 2: Vertices in permutations and their corresponding steps in $\mathcal{G}_{2,2,2}(\Sigma)$.

5.2 Proof of Rationality through Multigraphs for r -coloured permutations

To construct $\mathcal{G}_{j,k,r}(\Sigma)$ for $j, k \geq 2$ (otherwise, the trivial permutation), we first define the set of labels for the vertices followed by the edge set. To track completed crossing and nesting statistics in addition to the number of opener pairs, we use r copies of hesitating (resp. vacillating) tableau bijection sequences for upper (resp. lower) arc diagrams of r -coloured permutations. An important detail is to track the changes in upper and lower arc diagrams simultaneously for permutations.

Extending Marberg's matrix label [14] for each vertex of $\mathcal{G}_{j,k,r}(\Pi)$, we use a pair of matrices $\begin{bmatrix} U \\ L \end{bmatrix}$ to label each vertex of $\mathcal{G}_{j,k,r}(\Sigma)$ where each matrix $A \in \{U, L\}$ satisfies the following:

1. A is a non-negative integer matrix of dimension $r \times (k - 1)$.

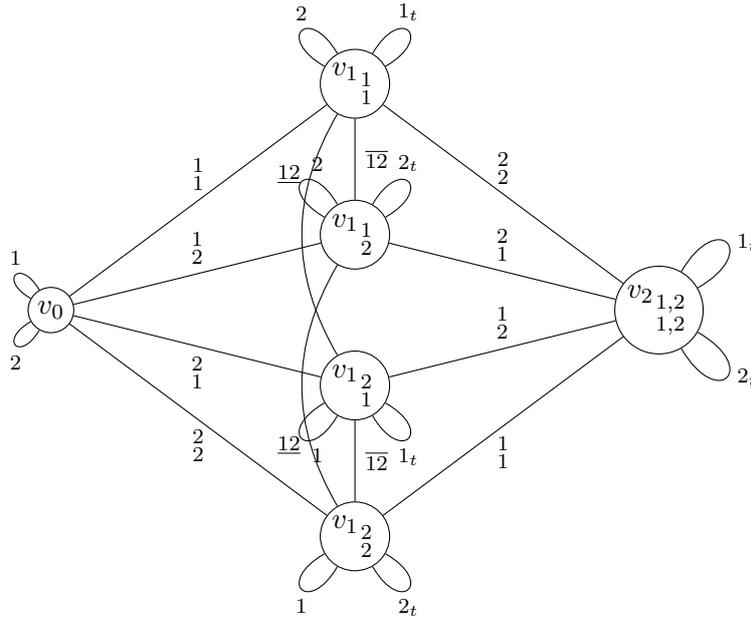


Figure 13: A 2-coloured permutation multigraph, $\mathcal{G}_{2,2,2}(\Sigma)$

- Each row i of $A = [A_{i,l}]$ codes the tableau translation of colour i as an integer partition, namely,

$$j > A_{i,1} \geq A_{i,2} \geq \dots \geq A_{i,k-1} \geq 0, \quad \text{for all } i \in [r].$$

- For each vertex label, $[\begin{smallmatrix} U \\ L \end{smallmatrix}]$,

$$\sum_{l=1}^{k-1} \sum_{i=1}^r U_{i,l} = \sum_{l=1}^{k-1} \sum_{i=1}^r L_{i,l}.$$

An edge connects vertices labelled $[\begin{smallmatrix} U \\ L \end{smallmatrix}]$ and $[\begin{smallmatrix} U' \\ L' \end{smallmatrix}]$, if

- an opener or closer pair is encountered in a permutation's arc diagram, that is,

$$U - U' = \pm E_{i,l} \quad \text{and} \quad L - L' = \pm E_{m,n}$$

where the same sign applies, and $E_{i,l}$ denotes the $r \times (k-1)$ matrix with 1 in position (i, l) and 0 elsewhere, or

- a lower or upper transitory is encountered causing a change in tableau sequence, that is, either

$$U = U', \quad \text{and} \quad L - L' = E_{i,l} - E_{m,n},$$

or

$$L = L', \quad \text{and} \quad U - U' = E_{i,l} - E_{m,n},$$

for some $(i, l) \neq (m, n)$, or

- a fixed point, a lower transitory, or an upper transitory is encountered causing no change in the tableau sequence, that is, $[\begin{smallmatrix} U \\ L \end{smallmatrix}] = [\begin{smallmatrix} U' \\ L' \end{smallmatrix}]$, and the number of loops at $[\begin{smallmatrix} U \\ L \end{smallmatrix}]$ is $\sum_{i=1}^r (u_i + l_i)$ where u_i is the number of distinct entries in the i th row of U which are less than $j - 1$, and l_i is the number of distinct entries in the i th row of L greater than 0.

Note that a fixed point in the arc diagram of a permutation adds a new row to its tableau translation in that colour, whereas upper (resp. lower) transitories add a box then delete a box (resp. vice versa) for the corresponding rows of the matrix; thus, in the case no change happens to the r -tableau sequence, tracking where in the tableaux such a manoeuvre is possible leads to the number of loops for each vertex of $\mathcal{G}_{j,k,r}(\Sigma)$. No other edges are present.

Once constructed, $\mathcal{G}_{j,k,r}(\Sigma)$ yields an adjacency matrix which permits the application of the method of transfer matrix, thus resulting in a rational generating function for j -noncrossing, k -nonnesting, r -coloured permutations.

Once automated, the construction of $\mathcal{G}_{j,k,r}(\Sigma)$ together with the method of transfer matrix yields the rational series, some of which are shown in Table 3. Table 4 lists the degrees of numerator and denominator polynomials for pattern complexity analysis.

5.3 Observations from 70 initial series

The generation of series is primarily limited by the symbolic computation of determinants of large matrices with many non-zero entries. For example, Maple 17 took 8 hours to find the rational function for $j = 2 = k$ and $r = 5$, a 252×252 matrix with about 5% of its entries being non-zero.

Let $\sum_n \text{NC}_k^{\text{perm}}(n, r)x^n$ denote the ordinary generating function for the number of k -noncrossing, r -coloured permutations of $[n]$.

5.3.1 Noncrossing permutations

For $k = 2$, and $r = 1$, as noted in Table 2 of [2], $\text{NC}_2^{\text{perm}}(n, 1) = \frac{1}{n+1} \binom{2n}{n}$, the n th Catalan number, thus $\sum_n \text{NC}_2^{\text{perm}}(n, 1)x^n$ is algebraic. Let

$$\sum_n \text{NCN}_{j,2}^{\text{perm}}(n, 1)x^n = R_{j,2,1}(x) = \frac{P_{j,2,1}(x)}{Q_{j,2,1}(x)}.$$

For $2 \leq j \leq 50$, $\deg P_{j,2,1}(x) = \deg Q_{j,2,1}(x) = j - 1$. Moreover, all zeroes of $Q_{j,2,1}(x)$ are distinct positive reals with the smallest zero approaching $\frac{1}{4}$ as j increases. Recently, Chen [3] proved the following for partitions.

Theorem 4.

$$\lim_{j \rightarrow \infty} \sum_n \text{NCN}_{j,2}^{\text{part}}(n, 1)x^n = \frac{1 - \sqrt{1 - 4x}}{2x}.$$

j	k	r	
2	2	1	$\frac{1-x}{1-2x}$
2	3	1	$\frac{1-3x+x^2}{(1-3x)(1-x)}$
2	4	1	$\frac{1-5x+6x^2-x^3}{(1-2x)(1-4x+2x^2)}$
2	5	1	$\frac{(1-x)(1-6x+9x^2-x^3)}{(1-3x+x^2)(1-5x+5x^2)}$
2	6	1	$\frac{1-9x+28x^2-35x^3+15x^4-x^5}{(1-3x)(1-2x)(1-x)(1-4x+x^2)}$
2	7	1	$\frac{1-11x+45x^2-84x^3+70x^4-21x^5+x^6}{(1-7x+14x^2-7x^3)(1-5x+6x^2-x^3)}$
2	8	1	$\frac{(1-x)(1-3x+x^2)(1-9x+26x^2-24x^3+x^4)}{(1-2x)(1-4x+2x^2)(1-8x+20x^2-16x^3+2x^4)}$
2	9	1	$\frac{1-15x+91x^2-286x^3+495x^4-462x^5+210x^6-36x^7+x^8}{(1-3x)(1-x)(1-6x+9x^2-3x^3)(1-6x+9x^2-x^3)}$
2	10	1	$\frac{1-17x+120x^2-455x^3+1001x^4-1287x^5+924x^6-330x^7+45x^8-x^9}{(1-2x)(1-3x+x^2)(1-5x+5x^2)(1-8x+19x^2-12x^3+x^4)}$
3	3	1	$\frac{1-11x+34x^2-30x^3+4x^4}{(1-8x+10x^2)(1-4x+2x^2)}$
2	2	2	$\frac{1-6x+4x^2}{(1-6x)(1-2x)}$
2	3	2	$\frac{1-18x+95x^2-150x^3+36x^4}{(1-10x)(1-6x)(1-3x)(1-x)}$
2	2	3	$\frac{1-17x+66x^2-36x^3}{(1-12x)(1-6x)(1-2x)}$
2	3	3	$\frac{1-53x+1012x^2-8529x^3+31059x^4-39690x^5+8100x^6}{(1-21x)(1-15x)(1-10x)(1-6x)(1-3x)(1-x)}$
2	2	4	$\frac{1-36x+380x^2-1200x^3+576x^4}{(1-20x)(1-12x)(1-6x)(1-2x)}$
2	2	5	$\frac{1-65x+1408x^2-11804x^3+32880x^4-14400x^5}{(1-30x)(1-20x)(1-12x)(1-6x)(1-2x)}$
2	2	6	$\frac{1-106x+4048x^2-68232x^3+496944x^4-1270080x^5+518400x^6}{(1-42x)(1-30x)(1-20x)(1-12x)(1-6x)(1-2x)}$
2	2	7	$\frac{1-161x+9842x^2-287632x^3+4152216x^4-27460656x^5+65862720x^6-(7!)^2x^7}{(1-56x)(1-42x)(1-30x)(1-20x)(1-12x)(1-6x)(1-2x)}$

Table 3: The rational series for selected values of j , k , and r .

Though the adjacency matrix of permutations' $\mathcal{G}_{j,2,1}(\Sigma)$ differs from that of set partitions' $\mathcal{G}_{j,2,1}(\Pi)$ only by 1 in the $(1,1)$ th entry, except for the $(1,1)$ th entry, we still have

$$\lim_{j \rightarrow \infty} R_{j,2,1}(x) = \frac{1 - \sqrt{1 - 4x}}{2x}.$$

The sequence of rational functions $R_{j,2,1}$ approaching an algebraic function is an interesting phenomenon.

Conjecture 1. For $r = 1$ and $j = 2$, as k increases, $Q_{2,k,1}(x) | Q_{2,mk,1}(x)$ for $m \in \mathbb{N}$.

j	k	r	N	D	j	k	r	N	D	j	k	r	N	D
2	2	1	1	1	2	26	1	25	25	2	50	1	49	49
2	3	1	2	2	2	27	1	26	26	3	3	1	4	4
2	4	1	3	3	2	28	1	27	27	3	4	1	14	14
2	5	1	4	4	2	29	1	28	28	3	5	1	21	21
2	6	1	5	5	2	30	1	29	29	3	6	1	44	44
2	7	1	6	6	2	31	1	30	30	3	7	1	61	61
2	8	1	7	7	2	32	1	31	31	3	8	1	100	100
2	9	1	8	8	2	33	1	32	32	3	9	1	131	131
2	10	1	9	9	2	34	1	33	33	3	10	1	190	190
2	11	1	10	10	2	35	1	34	34	4	4	1	20	20
2	12	1	11	11	2	36	1	35	35	4	5	1	114	114
2	13	1	12	12	2	37	1	36	36	2	2	2	2	2
2	14	1	13	13	2	38	1	37	37	2	3	2	4	4
2	15	1	14	14	2	39	1	38	38	2	4	2	14	14
2	16	1	15	15	2	40	1	39	39	2	5	2	22	22
2	17	1	16	16	2	41	1	40	40	2	6	2	43	43
2	18	1	17	17	2	42	1	41	41	2	7	2	62	62
2	19	1	18	18	2	43	1	42	42	3	3	2	21	21
2	20	1	19	19	2	44	1	43	43	2	2	3	3	3
2	21	1	20	20	2	45	1	44	44	2	3	3	6	6
2	22	1	21	21	2	46	1	45	45	2	2	4	4	4
2	23	1	22	22	2	47	1	46	46	2	2	5	5	5
2	24	1	23	23	2	48	1	47	47					
2	25	1	24	24	2	49	1	48	48					

Table 4: The degrees, N and D , of the numerator and denominator of the rational function for selected values of j , k , and r

Conjecture 2. For j and k fixed at 2, as r increases from 1, the quotient of consecutive denominators of $R_{2,2,r}$ is

$$\frac{Q_{2,2,r}(x)}{Q_{2,2,r-1}(x)} = (1 - r(r+1)x), \quad \text{for } r > 1.$$

Furthermore, $\deg P_{2,2,r}(x) = \deg Q_{2,2,r}(x) = r$ for all $r \in \mathcal{N}$.

Although the increase in degree in P and Q follows the same pattern as the first case, namely, nonnesting, 1-coloured permutations, the zeroes of the $Q_{2,2,r}$ approach 0 as r increases.

Conjecture 3. For $j = 2$, and $k = 3$, as r increases from 1,

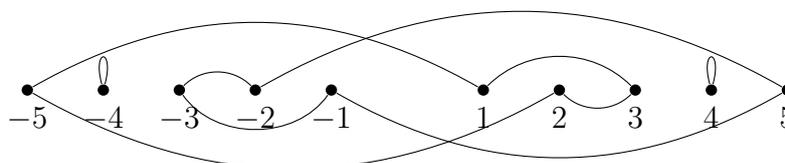
$$\frac{Q_{2,3,r}(x)}{Q_{2,3,r-1}(x)} = \left(1 - \binom{2r}{2}x\right) \left(1 - \binom{2r+1}{2}x\right), \quad \text{for } r > 1.$$

5.3.2 A non-D-finite conjecture

For a fixed $j \geq 3$, $r = 1$, as k increases from 2, $R_{j,k,1}$ grows in complexity unpredictably seen from degrees of numerator and denominator polynomials of R , further confirming the conjecture [14]: The ordinary generating series for j -noncrossing permutations is non-D-finite for $j \geq 3$.

5.4 Permutations of type B

A *type B* permutation, also a *signed* permutation on $[n]$ is a permutation σ of $\{-n, \dots, -2, -1, 1, 2, \dots, n\}$ that satisfies $\sigma(-i) = -\sigma(i)$ for each $i \in [n]$. For example, we write $\sigma = (3, -5, 2, 4, -1)$ to mean $\sigma = \begin{pmatrix} -5 & -4 & -3 & -2 & -1 & 1 & 2 & 3 & 4 & 5 \\ 1 & -4 & -2 & 5 & -3 & 3 & -5 & 2 & 4 & -1 \end{pmatrix}$ with an arc diagram representation:



Hamdi [12] extended Corteel's result [7] on symmetric joint distribution of crossings and nestings for permutations to signed permutations. A signed permutation like σ above can be drawn as a 2-coloured permutation where arcs of colour 1 (resp. colour 2) connect elements of the same (resp. opposite) sign. For example, a 2-coloured arc diagram representation of $\sigma = (3, -5, 2, 4, -1)$ is shown in Figure 14. This bijection mapping between

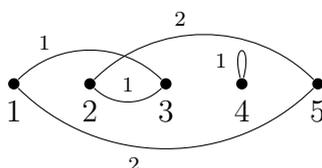


Figure 14: A 2-coloured arc diagram of $\sigma = (3, -5, 2, 4, -1)$

the set of type B permutations on $[n]$ and 2-coloured permutations on $[n]$ does not transfer results of symmetric joint distribution for crossings and nestings; rather, 2-coloured arc diagrams of type B permutations differentiate crossings and nestings between same-signed and opposite-signed elements.

6 Concluding Remarks

When both nesting and crossing numbers are bounded, a finite multigraph can be constructed, leading to a rational generating series. The method of transfer matrix may be

extended to the enumeration of set partitions of classical types as in the works of Rubey and Stump [16], even their coloured counterparts. The challenge lies in finding the generating function when only one of the bounds is present. For instance, Marberg [14] showed that the ordinary generating function for noncrossing 2-coloured set partitions is D-finite, but conjectured non-D-finite series for noncrossing r -coloured set partitions when $r \geq 3$. Experimental data shows that noncrossing 2-coloured permutations have unpredictable complexity for the rational functions, thus placing the series in the non-D-finite category.

The automation of generating series for coloured set partitions and permutations of arbitrarily fixed nesting and crossing numbers opens a new window into the classification of series. As one of the parameters increases, a sequence of rational functions emerges with a myriad of patterns to understand. See Chen [3] for examples of such results. Since crossing and nesting numbers are bounded in biological models, specific series also open the door to random generations for topological structural studies of secondary RNA's (coloured set partitions [6]) and genome rearrangement problems (coloured permutations).

Acknowledgements

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