Anti-power Prefixes of the Thue-Morse Word

Colin Defant
Department of Mathematics
University of Florida, U.S.A.
cdefant@ufl.edu

Submitted: Jul 20, 2016; Accepted: Feb 1, 2017; Published: Feb 17, 2017
Mathematics Subject Classifications: 05A05; 68R15

Abstract
Recently, Fici, Restivo, Silva, and Zamboni defined a $k$-anti-power to be a word of the form $w_1w_2\cdots w_k$, where $w_1, w_2, \ldots, w_k$ are distinct words of the same length. They defined $AP(x,k)$ to be the set of all positive integers $m$ such that the prefix of length $km$ of the word $x$ is a $k$-anti-power. Let $t$ denote the Thue-Morse word, and let $F(k) = \{AP(t,k) \cap (2\mathbb{Z}^+ - 1)\}$ for $k \geq 3$. For $k \geq 3$, $\gamma(k) = \min(F(k))$ and $\Gamma(k) = \max((2\mathbb{Z}^+ - 1) \setminus F(k))$ are well-defined odd positive integers. Fici et al. speculated that $\gamma(k)$ grows linearly in $k$. We prove that this is indeed the case by showing that $1/2 \leq \liminf_{k \to \infty} (\gamma(k)/k) \leq 9/10$ and $1 \leq \limsup_{k \to \infty} (\gamma(k)/k) \leq 3/2$. In addition, we prove that $\liminf_{k \to \infty} (\Gamma(k)/k) = 3/2$ and $\limsup_{k \to \infty} (\Gamma(k)/k) = 3$.

Keywords: Thue-Morse word; anti-power; infinite word

1 Introduction
A well-studied notion in combinatorics on words is that of a $k$-power; this is simply a word of the form $w^k$ for some word $w$. It is often interesting to ask questions related to whether or not certain types of words contain factors (also known as substrings) that are $k$-powers for some fixed $k$. For example, in 1912, Axel Thue [7] introduced an infinite binary word that does not contain any 3-powers as factors (we say such a word is cube-free). This infinite word is now known as the Thue-Morse word; it is arguably the world’s most famous (mathematical) word [1, 2, 3, 4, 5].

Definition 1. Let $\overline{w}$ denote the Boolean complement of a binary word $w$. Let $A_0 = 0$. For each nonnegative integer $n$, let $B_n = \overline{A}_n$ and $A_{n+1} = A_nB_n$. The Thue-Morse word $t$ is defined by

$$t = \lim_{n \to \infty} A_n.$$
Recently, Fici, Restivo, Silva, and Zamboni [6] introduced the very natural concept of a \( k \)-anti-power; this is a word of the form \( w_1w_2 \cdots w_k \), where \( w_1, w_2, \ldots, w_k \) are distinct words of the same length. For example, 001011 is a 3-anti-power, while 001010 is not. In [6], the authors prove that for all positive integers \( k \) and \( r \), there is a positive integer \( N(k, r) \) such that all words of length at least \( N(k, r) \) contain a factor that is either a \( k \)-power or an \( r \)-anti-power. They also define \( AP(x, k) \) to be the set of all positive integers \( m \) such that the prefix of length \( km \) of the word \( x \) is a \( k \)-anti-power. We will consider this set when \( x = t \) is the Thue-Morse word. It turns out that \( AP(t, k) \) is nonempty for all positive integers \( k \) [6, Corollary 6]. It is not difficult to show that if \( k \) and \( m \) are positive integers, then \( m \in AP(t, k) \) if and only if \( 2^m \in AP(t, k) \). Therefore, the only interesting elements of \( AP(t, k) \) are those that are odd. For this reason, we make the following definition.

**Definition 2.** Let \( F(k) \) denote the set of odd positive integers \( m \) such that the prefix of \( t \) of length \( km \) is a \( k \)-anti-power. Let \( \gamma(k) = \min(F(k)) \) and \( \Gamma(k) = \sup((2\mathbb{Z}^+ - 1) \setminus F(k)) \).

**Remark 3.** It is immediate from Definition 2 that \( F(1) \supseteq F(2) \supseteq F(3) \supseteq \cdots \). Therefore, \( \gamma(1) \leq \gamma(2) \leq \gamma(3) \leq \cdots \) and \( \Gamma(1) \leq \Gamma(2) \leq \Gamma(3) \leq \cdots \).

For convenience, we make the following definition.

**Definition 4.** If \( m \) is a positive integer, let \( \mathcal{F}(m) \) denote the smallest positive integer \( k \) such that the prefix of \( t \) of length \( km \) is not a \( k \)-anti-power.

If \( k \geq 3 \), then \((2\mathbb{Z}^+ - 1) \setminus F(k)\) is nonempty because it contains the number 3 (the prefix of \( t \) of length 9 is 011010011, which is not a 3-anti-power). We will show (Theorem 9) that \((2\mathbb{Z}^+ - 1) \setminus F(k)\) is finite so that \( \Gamma(k) \) is a positive integer for each \( k \geq 3 \). For example, \((2\mathbb{Z}^+ - 1) \setminus F(6) = \{1, 3, 9\}\). This means that \( AP(t, 6) \) is the set of all positive integers of the form \( 2^\ell m \), where \( \ell \) is a nonnegative integer and \( m \) is an odd integer that is not 1, 3, or 9.

Fici et al. [6] give the first few values of the sequence \( \gamma(k) \) and speculate that the sequence grows linearly in \( k \). We will prove that this is indeed the case. In fact, it is the aim of this paper to prove the following:

\[
\begin{align*}
\bullet \quad & \frac{1}{2} \leq \liminf_{k \to \infty} \frac{\gamma(k)}{k} \leq \frac{9}{10} \\
\bullet \quad & 1 \leq \limsup_{k \to \infty} \frac{\gamma(k)}{k} \leq \frac{3}{2} \\
\bullet \quad & \liminf_{k \to \infty} \frac{\Gamma(k)}{k} = \frac{3}{2} \\
\bullet \quad & \limsup_{k \to \infty} \frac{\Gamma(k)}{k} = 3.
\end{align*}
\]

Despite these asymptotic results, there are many open problems arising from consideration of the sets \( F(k) \) (such as the cardinality of \((2\mathbb{Z}^+ - 1) \setminus F(k)\)) that we have not investigated; we discuss some of these problems at the end of the paper.
2 The Thue-Morse Word: Background and Notation

Our primary focus is on the Thue-Morse word $t$. In this brief section, we discuss some of the basic properties of this word that we will need when proving our asymptotic results.

Let $t_i$ denote the $i$th letter of $t$ so that $t = t_1t_2t_3 \cdots$. The number $t_i$ has the same parity as the number of 1's in the binary expansion of $i - 1$. For any positive integers $\alpha, \beta$ with $\alpha \leq \beta$, define $\langle \alpha, \beta \rangle = t_\alpha t_{\alpha+1} \cdots t_\beta$. In his seminal 1912 paper, Thue proved that $t$ is overlap-free [7]. This means that if $x$ and $y$ are finite words and $x$ is nonempty, then $xyxyx$ is not a factor of $t$. Equivalently, if $a, b, n$ are positive integers satisfying $a < b \leq a + n$, then $\langle a, a + n \rangle \neq \langle b, b + n \rangle$. Note that this implies that $t$ is cube-free.

We write $A^{\leq \omega}$ to denote the set of all words over an alphabet $A$. Let $W_1$ and $W_2$ be sets of words. A morphism $f : W_1 \to W_2$ is a function satisfying $f(xy) = f(x)f(y)$ for all words $x, y \in W_1$. A morphism is uniquely determined by where it sends letters. Let $\mu : \{0, 1\}^{\leq \omega} \to \{01, 10\}^{\leq \omega}$ denote the morphism defined by $\mu(0) = 01$ and $\mu(1) = 10$. Also, define a morphism $\sigma : \{01, 10\}^{\leq \omega} \to \{0, 1\}^{\leq \omega}$ by $\sigma(01) = 0$ and $\sigma(10) = 1$ so that $\sigma = \mu^{-1}$. The words $t$ and $\bar{t}$ are the unique one-sided infinite words over the alphabet $\{0, 1\}$ that are fixed by $\mu$. Because $\mu(t) = t$, we may view $t$ as a word over the alphabet $\{01, 10\}$. In particular, this means that $t_{2i-1} \neq t_{2i}$ for all positive integers $i$. In addition, if $\alpha$ and $\beta$ are nonnegative integers with $\alpha < \beta$, then $\langle 2\alpha + 1, 2\beta \rangle \in \{01, 10\}^{\leq \omega}$. Recall the definitions of $A_n$ and $B_n$ from Definition 1. Observe that $A_n = \mu^n(0)$ and $B_n = \mu^n(1)$. Because $\mu^n(t) = t$, the Thue-Morse word is actually a word over the alphabet $\{A_n, B_n\}$. This leads us to the following simple but useful fact.

**Fact 5.** For any positive integers $n$ and $r$, $\langle 2^n r + 1, 2^n (r + 1) \rangle = \mu^n(t_{r+1})$.

3 Asymptotics for $\Gamma(k)$

In this section, we prove that $\liminf_{k \to \infty} \Gamma(k)/k = 3/2$ and $\limsup_{k \to \infty} \Gamma(k)/k = 3$. The following proposition will prove very useful when we do so.

**Proposition 6.** Let $m \geq 2$ be an integer, and let $\delta(m) = \lfloor \log_2(m/3) \rfloor$.

(i) If $y$ and $v$ are words such that $yvy$ is a factor of $t$ and $|y| = m$, then $2^{\delta(m)}$ divides $|yv|$.

(ii) There is a factor of $t$ of the form $yvy$ such that $|y| = m$ and $2^{\delta(m)+1}$ does not divide $|yv|$.

**Proof.** We first prove (ii) by induction on $m$. If $m = 2$, we may simply set $y = 01$ and $v = 1$. If $m = 3$, we may set $y = 101$ and $v = \varepsilon$ (the empty word). Now, assume $m \geq 4$. First, suppose $m$ is even. By induction, we can find a factor of $t$ of the form $yvy$ such that $|y| = m/2$ and such that $2^{\delta(m/2)+1}$ does not divide $|yv|$. Note that $\mu(y)\mu(v)\mu(y)$ is a factor of $t$ and that $2^{\delta(m/2)+2}$ does not divide $2|yv| = |\mu(y)\mu(v)|$. Since $\delta(m/2) + 2 = \delta(m) + 1$, we are done. Now, suppose $m$ is odd. Because $m + 1$ is even, we may use the above
argument to find a factor $y'v'y'$ of $t$ with $|y'| = m + 1$ such that $2^{\delta(m+1)+1}$ does not divide $|y'v'|$. It is easy to show that $\delta(m) = \delta(m+1)$ because $m > 3$ is odd. This means that $2^{\delta(m)+1}$ does not divide $|y'v'|$. Let $a$ be the last letter of $y'$, and write $y' = y''a$. Put $v'' = av'$. Then $y''v''v''$ is a factor of $t$ with $|y''| = m$ and $|y''v''v''| = |y'v'|$. This completes the inductive step.

We now prove $(i)$ by induction on $m$. If $m \leq 3$, the proof is trivial because $\delta(2) = \delta(3) = 0$. Therefore, assume $m \geq 4$. Assume that $yvy$ is a factor of $t$ and $|y| = m$. Let us write $t = xvyvy$.

Suppose by way of contradiction that $|vy|$ is odd. Then $|xy|$ and $|xyvy|$ have different parities. Write $y = y_1a$, where $a$ is the last letter of $y$. Either $xy$ or $xyvy$ is an even-length prefix of $t$, and is therefore a word in $\{01, 10\}^\omega$. It follows that the second-to-last letter of $y$ is $a$, so we may write $y_1 = y_2a$. We now observe that one of the words $xy_1$ and $xyvy_1$ is an even-length prefix of $t$, so the same reasoning as before tells us that the second-to-last letter in $y_1$ is $a$. Therefore, $y = y_2a\bar{a}a$ for some word $y_3$. We can continue in this fashion to see that $a\bar{a}a\bar{a}a$ is a suffix of $vy$. This is impossible since $t$ is overlap-free. Hence, $|vy|$ must be even. We now consider four cases corresponding to the possible parities of $|x|$ and $m$.

Case 1: $|x|$ and $|y| = m$ are both even. We just showed $|vy|$ is even, so all of the words $x, xy, xyv, xyvy$ are even-length prefixes of $t$. This means that $x, y, v, z \in \{01, 10\}^\omega$, so $t = \sigma(x)\sigma(y)\sigma(v)\sigma(y)\sigma(z)$. By induction, we see that $2^{\delta(\sigma(y))}$ divides $|\sigma(y)\sigma(v)|$. Because $\delta(\sigma(y)) = \delta(m/2) = \delta(m) - 1$ and $|\sigma(y)\sigma(v)| = |yv|/2$, it follows that $2^{\delta(m)}$ divides $|yv|$.

Case 2: $|x|$ is odd and $m$ is even. As in the previous case, $|v|$ must be even. Let $a, b, c$ be the last letters of $y, v, x$, respectively. Write $y = y_0a$, $v = v_0b$, $x = x_0c$. We have $t = x_0cy_0av_0by_0aaz$. Note that $|x_0|, |cy_0|, |av_0|, |by_0|$ are all even. In particular, $cy_0$ and $by_0$ are both in $\{01, 10\}^\omega$. As a consequence, $b = c$. Setting $x' = x_0$, $y' = by_0$, $v' = av_0$, $z' = az$, we find that $t = x'y'v'y'z'$. We are now in the same situation as in the previous case because $|x'|$ is even and $|y'| = m$. Consequently, $2^{\delta(m)}$ divides $|y'v'| = |vy|$.

Case 3: $m$ is odd and $|x|$ is even. Let $a$ be the last letter of $y$. Both $v$ and $z$ start with the letter $\overline{a}$, so we may write $v = \overline{a}v_1$ and $z = \overline{a}z_1$. Put $x_1 = x$ and $y_1 = \overline{a}$. We have $t = x_1y_1v_1y_1z_1$. Because $|x_1|$ and $|y_1| = m + 1$ are both even, we know from the first case that $2^{\delta(m+1)}$ divides $|y_1v_1| = |yv|$. Now, simply observe that $\delta(m) = \delta(m + 1)$ because $m > 3$ is odd.

Case 4: $m$ and $|x|$ are both odd. Let $d$ be the first letter of $y$. Both $x$ and $v$ end in the letter $\overline{d}$, so we may write $x = x_2d$ and $v = v_2d$. Let $y_2 = \overline{d}y$ and $z_2 = z$. Then $t = x_2y_2v_2y_2z_2$. Because $|x_2|$ and $|y_2| = m + 1$ are both even, we know that $2^{\delta(m+1)}$ divides $|y_2v_2| = |vy|$. Again, $\delta(m) = \delta(m + 1)$.

Corollary 7. Let $m$ be a positive integer, and let $\delta(m) = \lceil \log_2(m/3) \rceil$. If $k \geq 3$ and $m \in (2\mathbb{Z}^+ - 1) \setminus \mathcal{F}(k)$, then $k - 1 \geq 2^{\delta(m)}$.

Proof. There exist integers $n_1$ and $n_2$ with $0 \leq n_1 < n_2 \leq k - 1$ such that $\langle n_1m + 1, (n_1 + 1)m \rangle = (nm + 1, (n_2 + 1)m)$. Let $y = \langle n_1m + 1, (n_1 + 1)m \rangle$ and $v = \langle (n_1 + 1)m + 1, nm \rangle$. The word $yvy$ is a factor of $t$, and $|y| = m$. According to Proposition 6, $2^{\delta(m)}$ divides
This shows that $k - 1 \geq n_2 \geq n_2 - n_1 \geq 2^{\delta(m)}$.

The following lemma is somewhat technical, but it will be useful for constructing specific pairs of identical factors of the Thue-Morse word. These specific pairs of factors will provide us with odd positive integers $m$ for which $\mathcal{R}(m)$ is relatively small. We will then make use of the fact, which follows immediately from Definitions 2 and 4, that $\Gamma(k) \geq m$ whenever $k \geq \mathcal{R}(m)$.

Lemma 8. Suppose $r, m, t, h, p, q$ are nonnegative integers satisfying the following conditions:

- $h < 2^{\ell-2}$
- $rm = p \cdot 2^{\ell+1} + 2^{\ell-1} + h$
- $(r + 1)m \leq p \cdot 2^{\ell+1} + 5 \cdot 2^{\ell-2}$
- $(r + 2^{\ell-2})m = q \cdot 2^{\ell+1} + 3 \cdot 2^{\ell-2} + h$
- $t_{p+1} \neq t_{q+1}$.

Then $\langle rm + 1, (r + 1)m \rangle = \langle (r + 2^{\ell-2})m + 1, (r + 2^{\ell-2} + 1)m \rangle$, and $\mathcal{R}(m) \leq r + 2^{\ell-2} + 1$.

Proof. Let $u = \langle rm + 1, (r + 1)m \rangle$ and $v = \langle (r + 2^{\ell-2})m + 1, (r + 2^{\ell-2} + 1)m \rangle$. Let us assume $t_{p+1} = 0$; a similar argument holds if we assume instead that $t_{p+1} = 1$. According to Fact 5,

$$\langle p \cdot 2^{\ell+1} + 1, (p + 1)2^{\ell+1} \rangle = A_{t+1} = A_{t-2}B_{t-2}A_{t-2}B_{t-2}A_{t-2}A_{t-2}B_{t-2}.$$  

We may now use the first three conditions to see that $B_{t-2}A_{t-2}B_{t-2} = xuy$ for some words $x$ and $y$ such that $|x| = h$ and $|y| = p \cdot 2^{\ell+1} + 5 \cdot 2^{\ell-2} - (r + 1)m$ (see Figure 1).

We know from the last condition that $t_{q+1} = 1$, so

$$\langle q \cdot 2^{\ell+1} + 1, (q + 1)2^{\ell+1} \rangle = B_{t+1} = B_{t-2}A_{t-2}A_{t-2}B_{t-2}A_{t-2}B_{t-2}A_{t-2}B_{t-2}.$$  

The fourth condition tells us that $B_{t-2}A_{t-2}B_{t-2} = x'y'v'y'$ for some words $x'$ and $y'$ with $|x'| = h$. We have shown that $xuy = x'y'v'$, where $|x| = |x'|$ and $|u| = |v|$. Hence, $u = v$. It follows that the prefix of $t$ of length $(r + 2^{\ell-2} + 1)m$ is not a $(r + 2^{\ell-2} + 1)$-anti-power, so $\mathcal{R}(m) \leq r + 2^{\ell-2} + 1$ by definition. 

We may now use Lemma 8 and Proposition 6 to prove that $\limsup_{k \to \infty} \Gamma(k)/k = 3$. Recall that if $k \geq 3$, then $\Gamma(k) \geq 3$ because $3 \in (2\mathbb{Z}^+ - 1) \setminus \mathcal{F}(k)$. A particular consequence of the following theorem is that $(2\mathbb{Z}^+ - 1) \setminus \mathcal{F}(k)$ is finite. It follows that if $k \geq 3$, then $\Gamma(k)$ is an odd positive integer.

Theorem 9. Let $\Gamma(k)$ be as in Definition 2. For all integers $k \geq 3$, we have $\Gamma(k) \leq 3k - 4$. Furthermore, $\limsup_{k \to \infty} \frac{\Gamma(k)}{k} = 3$. 

The Electronic Journal of Combinatorics 24(1) (2017), #P1.32
Let us fix an integer $\alpha$. Consequently, $\Gamma(m)$ so assume $k \geq 3$. Therefore, $\Gamma(m)$ is odd, $\delta(m) > \log_2(m/3)$. This shows that $k - 1 \geq 2^\delta(m) > m/3$, so $m \leq 3k - 4$. Consequently, $\Gamma(k) \leq 3k - 4$.

We now show that $\limsup_{k \to \infty} \frac{\Gamma(k)}{k} = 3$. For each positive integer $\alpha$, let $k_\alpha = 2^{2\alpha} + 2^{\alpha} + 2$. Let us fix an integer $\alpha \geq 3$ and set $r = 2^{\alpha} + 1$, $m = 3 \cdot 2^{2\alpha} - 2^{\alpha} + 1$, $\ell = 2\alpha + 2$, $h = 1$, $p = 3 \cdot 2^{\alpha-3}$, and $q = 3 \cdot 2^{2\alpha-3} + 2^{\alpha-2}$. One may easily verify that these values of $r, m, \ell, h, p,$ and $q$ satisfy the first four of the five conditions listed in Lemma 8. Recall that the parity of $t_i$ is the same as the parity of the number of 1’s in the binary expansion of $i - 1$. The binary expansion of $p$ has exactly two 1’s, and the binary expansion of $q$ has exactly three 1’s. Therefore, $t_{p+1} = 0 \neq 1 = t_{q+1}$. This shows that all of the conditions in Lemma 8 are satisfied, so $R(m) \leq r + 2^{\ell/2} + 1 = k_\alpha$. The prefix of $t$ of length $k_\alpha m$ is not a $k_\alpha$-anti-power, so $\Gamma(k_\alpha) \geq m = 3 \cdot 2^{2\alpha} - 2^{\alpha} + 1$. For each $\alpha \geq 3$,

$$\frac{\Gamma(k_\alpha)}{k_\alpha} \geq \frac{3 \cdot 2^{2\alpha} - 2^{\alpha} + 1}{2^{2\alpha} + 2^{\alpha} + 2}. \quad \square$$

In the preceding proof, we found an increasing sequence of positive integers $(k_\alpha)_{\alpha \geq 3}$ with the property that $\Gamma(k_\alpha)/k_\alpha \to 3$ as $\alpha \to \infty$. It will be useful to have two other sequences with similar properties. This is the content of the following lemma.

**Lemma 10.** For integers $\alpha \geq 3$, $\beta \geq 9$, and $\rho \geq 4$, define

$$k_\alpha = 2^{2\alpha} + 2^{\alpha} + 2, \quad K_\beta = 2^{2\beta+1} + 3 \cdot 2^{3\beta+3} + 49, \quad \text{and} \quad \kappa_\rho = 2^\rho + 2.$$ 

We have

$$\Gamma(k_\alpha) \geq 3 \cdot 2^{2\alpha} - 2^{\alpha} + 1, \quad \Gamma(K_\beta) \geq 3 \cdot 2^{2\beta+1} - 2^{\beta-1} + 1, \quad \text{and} \quad \Gamma(\kappa_\rho) \geq 5 \cdot 2^{\rho-1} - 8 \chi(\rho) + 1,$$

where $\chi(\rho) = \begin{cases} 1, & \text{if } \rho \equiv 0 \pmod{2}; \\ 2, & \text{if } \rho \equiv 1 \pmod{2}. \end{cases}$

**Proof.** We already derived the lower bound for $\Gamma(k_\alpha)$ in the proof of Theorem 9. To prove the lower bound for $\Gamma(K_\beta)$, put $r = 3 \cdot 2^{3\beta+3} + 48, m = 3 \cdot 2^{2\beta+1} - 2^{\beta-1} + 1, \ell = 2\beta + 3, h = 48, p = 9 \cdot 2^{\beta} + 17$, and $q = 3 \cdot 2^{2\beta-2} + 143 \cdot 2^{\beta-4} + 17$. Straightforward calculations show that these choices of $r, m, \ell, h, p,$ and $q$ satisfy the first four conditions of Lemma 8.
The binary expansion of \( p \) has exactly four 1’s while that of \( q \) has exactly nine 1’s (it is here that we require \( \beta \geq 9 \)). It follows that \( t_{q+1} \neq 1 = t_{q+1} \), so the final condition in Lemma 8 is also satisfied. The lemma tells us that \( \mathcal{R}(m) \leq r + 2^{\ell - 2} + 1 = K_\beta \), so the prefix of \( t \) of length \( K_\beta m \) is not a \( K_\beta \)-anti-power. Hence, \( \Gamma(K_\beta) \geq m = 3 \cdot 2^{3\beta+1} - 2^{\beta-1} + 1 \).

To prove the lower bound for \( \kappa_p \), we again invoke Lemma 8. Let \( r' = 1, m' = 5 \cdot 2^{p-1} - 8\chi(p) + 1, \ell' = \rho + 2, h' = 2^{\rho-1} - 8\chi(\rho) + 1, p' = 0 \), and \( q' = 5 \cdot 2^{\rho-4} - \chi(\rho) \). These choices satisfy the first four conditions in Lemma 8. The binary expansion of \( q' \) has an odd number of 1’s, so \( t_{q'+1} = t_1 = 0 \neq 1 = t_{q'+1} \). We now know that \( \mathcal{R}(m') \leq r' + 2^{\ell' - 2} + 1 = \kappa_{p'} \), so \( \Gamma(\kappa_{p'}) \geq m' = 5 \cdot 2^{p-1} - 8\chi(p) + 1 \).

We now use the sequences \((k_\alpha)_{\alpha \geq 3}, (K_\beta)_{\beta \geq 9}, \) and \((\kappa_{p})_{p \geq 4}\) to prove that \( \lim_{k \to \infty} \inf \Gamma(k)/k = 3/2 \).

**Theorem 11.** Let \( \Gamma(k) \) be as in Definition 2. We have \( \lim_{k \to \infty} \frac{\Gamma(k)}{k} = \frac{3}{2} \).

**Proof.** Let \( k \geq 3 \) be a positive integer, and let \( m = \Gamma(k) \). Put \( \delta(m) = \lceil \log_2(m/3) \rceil \). Corollary 7 tells us that \( k - 1 \geq 2^{\delta(m)} \). Suppose \( k \) is a power of 2, say \( k = 2^\lambda \). Then the inequality \( k - 1 \geq 2^{\delta(m)} \) forces \( \delta(m) \leq \lambda - 1 \). Thus, \( m \leq 3 \cdot 2^{\lambda-1} = \frac{3}{2} k \). This shows that \( \frac{\Gamma(k)}{k} \leq \frac{3}{2} \) whenever \( k \) is a power of 2, so \( \lim_{k \to \infty} \frac{\Gamma(k)}{k} \leq \frac{3}{2} \).

To prove the reverse inequality, we will make use of Lemma 10. Recall the definitions of \( k_\alpha, K_\beta, \kappa_p, \) and \( \chi(\rho) \) from that lemma. Fix \( k \geq k_{18}, \) and put \( m = \Gamma(k) \). Because \( k \geq k_{18}, \) we may use Lemma 10 and the fact that \( \Gamma \) is nondecreasing (see Remark 3) to see that \( m = \Gamma(k) \geq \Gamma(k_{18}) \geq 5 \cdot 2^{17} - 7. \) Let \( \ell = \lceil \log_2 m \rceil \) so that \( 2^{\ell - 1} < m < 2^\ell \). Note that \( \ell \geq 20 \). Let us first assume that \( 3 \cdot 2^{\ell - 2} - 2^{(\ell - 2)/2} < m < 2^\ell \). Lemma 10 tells us that \( \Gamma(k_{\ell - 1}) \geq 5 \cdot 2^{\ell - 2} - 8\chi(\ell - 1) + 1 \). We also know that \( 5 \cdot 2^{\ell - 2} - 8\chi(\ell - 1) + 1 > m \), so \( \Gamma(k_{\ell - 1}) > m \). Because \( \Gamma \) is nondecreasing, \( k_{\ell - 1} > k \). Thus,

\[
\frac{\Gamma(k)}{k} > \frac{3 \cdot 2^{\ell - 2} - 2^{(\ell - 2)/2}}{\kappa_{\ell - 1}} = \frac{3 \cdot 2^{\ell - 2} - 2^{(\ell - 2)/2}}{2^{\ell - 1} + 2}
\]

if \( 3 \cdot 2^{\ell - 2} - 2^{(\ell - 2)/2} < m < 2^\ell \).

Next, assume \( 2^{\ell - 1} < m \leq 3 \cdot 2^{\ell - 2} - 2^{(\ell - 2)/2} \) and \( \ell \) is even. According to Lemma 10, \( \Gamma(k_{(\ell - 2)/2}) \geq 3 \cdot 2^{\ell - 2} - 2^{(\ell - 2)/2} + 1 > m \). Because \( \Gamma \) is nondecreasing, \( k < k_{(\ell - 2)/2} \). Therefore,

\[
\frac{\Gamma(k)}{k} > \frac{2^{\ell - 1}}{k_{(\ell - 2)/2}} = \frac{2^{\ell - 1}}{2^{\ell - 2} + 2^{(\ell - 2)/2} + 2}.
\]

Finally, suppose \( 2^{\ell - 1} < m \leq 3 \cdot 2^{\ell - 2} - 2^{(\ell - 2)/2} \) and \( \ell \) is odd. Lemma 10 states that \( \Gamma(K_{(\ell - 3)/2}) \geq 3 \cdot 2^{\ell - 2} - 2^{(\ell - 5)/2} + 1 > m \). We know that \( k < K_{(\ell - 3)/2} \) because \( \Gamma \) is nondecreasing. As a consequence,

\[
\frac{\Gamma(k)}{k} > \frac{2^{\ell - 1}}{K_{(\ell - 3)/2}} = \frac{2^{\ell - 1}}{2^{\ell - 2} + 3 \cdot 2^{(\ell + 3)/2} + 49}.
\]
The inequalities in (1), (2), and (3) show that in all cases, \( \Gamma(k)/k \geq 3/2 \).

Because \( \ell \to \infty \) as \( k \to \infty \) (\( \Gamma(k) \) cannot be bounded since we have just shown \( \Gamma(k)/k \) is bounded away from 0), we find that \( \liminf_{k \to \infty} \Gamma(k)/k \geq 3/2 \).

\[ Q.E.D. \]

4 Asymptotics for \( \gamma(k) \)

Having demonstrated that \( \liminf_{k \to \infty} \Gamma(k)/k = 3/2 \) and \( \limsup_{k \to \infty} \Gamma(k)/k = 3 \), we turn our attention to \( \gamma(k) \). To begin the analysis, we prove some lemmas that culminate in an upper bound for \( \mathfrak{R}(m) \) for any odd positive integer \( m \). It will be useful to keep in mind that if \( j \) is a nonnegative integer, then \( t_{2j} \neq t_{2j+1} = t_{j+1} \) and \( t_{4j+2} = t_{4j+3} \).

Lemma 12. Let \( m \) be an odd positive integer, and let \( \ell = \lceil \log_2 m \rceil \). If \( \mathfrak{R}(m) > 2^\ell + 1 \), then \( t_{m+1}t_{m+2} = 11 \) and \( t_{2m+1}t_{2m+2} = 10 \).

Proof. Let \( w_0 = (1, m), w_1 = (2^{\ell-1}m + 1, 2^{\ell-1}m + 1), \) and \( w_2 = (2^\ell m + 1, 2^\ell m + 1) \).

The words \( w_0, w_1, w_2 \) must be distinct because \( \mathfrak{R}(m) > 2^\ell + 1 \). For each \( n \in \{0, 1, 2\} \), \( w_n \) is a prefix of \( \langle nm2^{\ell-1} + 1, (nm + 2)2^{\ell-1} \rangle = \mu^{\ell-1}(a_{nm+1}a_{nm+2}) \). It follows that \( t_1t_2, t_{m+1}t_{m+2}, \) and \( t_{2m+1}t_{2m+2} \) are distinct. Since \( t_1t_2 = 01 \) and \( t_{2m+1} \neq t_{2m+2} \), we must have \( t_{2m+1}t_{2m+2} = 10 \). Now, \( t_{2m+1}t_{2m+2} = \mu(t_{m+1}) \), so \( t_{m+1} = 1 \). This forces \( t_{m+1}t_{m+2} = 11 \).

Lemma 13. Let \( m \geq 3 \) be an odd integer, and let \( \ell = \lceil \log_2 m \rceil \). Suppose there is a positive integer \( j \) such that \( t_jt_{j+1} = t_{m+j}t_{m+j+1} \). Then \( \mathfrak{R}(m) < \left( 1 + \frac{j+1}{m} \right)2^\ell \).

Proof. First, observe that

\[
\langle 2^\ell(j-1) + 1, 2^\ell(j+1) \rangle = \mu^\ell(t_jt_{j+1}) = \mu^\ell(t_{m+j}t_{m+j+1}) = \langle 2^\ell(m+j-1) + 1, 2^\ell(m+j+1) \rangle.
\]

Because \(|\langle 2^\ell(j-1) + 1, 2^\ell(j+1) \rangle| = 2^{\ell+1} > 2m\), there is a nonnegative integer \( r \) such that

\[
\langle 2^\ell(j-1) + 1, 2^\ell(j+1) \rangle = w\langle rm + 1, (r+1)m \rangle z
\]

for some nonempty words \( w \) and \( z \). Note that \( r+1 < \frac{2^\ell(j+1)}{m} \). It follows from (5) that

\[
2^\ell(m+j-1) + 1 < 2^\ell m + rm + 1 < 2^\ell m + (r+1)m < 2^\ell(m+j+1),
\]

so

\[
\langle 2^\ell(m+j-1) + 1, 2^\ell(m+j+1) \rangle = w'\langle (2^\ell + r)m + 1, (2^\ell + r + 1)m \rangle z'
\]

for some nonempty words \( w' \) and \( z' \). Note that \( |w'| = (2^\ell + r)m - 2^\ell(m+j-1) = rm - 2^\ell(j-1) = |w| \). Combining this fact with (4), we find that

\[
\langle rm + 1, (r+1)m \rangle = \langle (2^\ell + r)m + 1, (2^\ell + r + 1)m \rangle.
\]

Consequently,

\[
\mathfrak{R}(m) \leq 2^\ell + r + 1 < 2^\ell + \frac{2^\ell(j+1)}{m}.
\]

\[ Q.E.D. \]
Lemma 14. Let $m$ be an odd positive integer with $m \not\equiv 1 \pmod{8}$, and let $\ell = \lceil \log_2 m \rceil$. We have $\mathcal{R}(m) < (1 + \frac{37}{m}) 2^\ell$.

Proof. Suppose instead that $\mathcal{R}(m) \geq (1 + \frac{37}{m}) 2^\ell$. Let us assume for the moment that $m \not\equiv 29 \pmod{32}$. We will obtain a contradiction to Lemma 13 by exhibiting a positive integer $j \leq 36$ such that $t_j \, t_{j+1} = t_{m+j} \, t_{m+j+1}$. Because $\mathcal{R}(m) > 2^\ell + 1$, Lemma 12 tells us that $t_{m+1} \, t_{m+2} = 11$ and $t_{2m+1} \, t_{2m+2} = 10$.

First, assume $m \equiv 3 \pmod{4}$. We have $\langle m + 2, m + 5 \rangle = \mu^2(t_{(m+5)/4})$, so either $\langle m + 2, m + 5 \rangle = 0110$ or $\langle m + 2, m + 5 \rangle = 1001$. Since $t_{m+2} = 1$, we must have $\langle m + 2, m + 5 \rangle = 1001$. This shows that $t_{m+4} \, t_{m+5} = 01 = t_4 \, t_5$, so we may set $j = 4$.

Next, assume $m \equiv 5 \pmod{8}$. Let $x0101$ be the binary expansion of $m$, where $x$ is some (possibly empty) string of 0's and 1's. As $m \equiv 5 \pmod{8}$ and $m \not\equiv 29 \pmod{32}$, we must have $1 \leq s \leq 2$. Because $t_{m+1} = 1$, the number of 1's in the binary expansion of $m$ is odd. This means that the parity of the number of 1's in $x$ is the same as the parity of $s$.

Suppose $s = 1$. The binary expansion of $m + 3$ is the string $x1000$, which contains an even number of 1's. As a consequence, $t_{m+4} = 0$. The binary expansion of $m + 4$ is $x1001$, so $t_{m+4} = 1$. This shows that $t_{m+4} \, t_{m+5} = 01 = t_4 \, t_5$, so we may set $j = 4$.

Suppose that $s = 2$ and that $x$ ends in a 0, say $x = y0$. Note that $y$ contains an even number of 1's. The binary expansions of $m + 19$ and $m + 20$ are $y100000$ and $y100001$, respectively, so $t_{m+20} \, t_{m+21} = 10 = t_{20} \, t_{21}$. We may set $j = 20$ in this case.

Assume now that $s = 2$ and that $x$ ends in a 1. Let us write $x = x'01'$, where $x'$ is a (possibly empty) binary string. For this last step, we may need to add additional 0's to the beginning of $x$. Doing so does not raise any issues because it does not change the number of 1's in $x$. The binary expansion of $m$ is $x'01'01101$. Note that the parity of the number of 1's in $x'$ is the same as the parity of $s'$. The binary expansions of $m + 19$ and $m + 35$ are $x'01'010000$ and $x'01'100000$, respectively. If $s'$ is even, then we may put $j = 20$ because $t_{m+20} \, t_{m+21} = 10 = t_{20} \, t_{21}$. If $s'$ is odd, then we may set $j = 36$ because $t_{m+36} \, t_{m+37} = 10 = t_{36} \, t_{37}$.

We now handle the case in which $m \equiv 29 \pmod{32}$. Say $m = 32n - 3$. Let $b$ be the number of 1's in the binary expansion of $n$. The binary expansion of $m + 17 = 32n + 14$ has $b + 3$ 1's. Similarly, the binary expansions of $m + 18$, $m + 19$, $2m + 17$, $2m + 18$, and $2m + 19$ have $b + 4$, $b + 1$, $b + 3$, $b + 2$, and $b + 3$ 1's, respectively. This means that $t_{m+18} \, t_{m+19} \, t_{m+20} = t_{2m+18} \, t_{2m+19} \, t_{2m+20}$. Therefore,

$$\langle (m + 17)2^{\ell-1} + 1, (m + 20)2^{\ell-1} \rangle = \mu^{\ell-1}(t_{m+18} \, t_{m+19} \, t_{m+20})$$

$$= \mu^{\ell-1}(t_{2m+18} \, t_{2m+19} \, t_{2m+20}) = \langle (2m + 17)2^{\ell-1} + 1, (2m + 20)2^{\ell-1} \rangle. \quad (6)$$

We have $\sum_{r=9}^{17} \left( \frac{17}{2r} - \frac{10}{r+1} \right) = \left( \frac{1}{2} \right)^{17}$, so there exists some $r \in \{9, 10, \ldots, 17\}$ such that $17 \cdot 2^{\ell-1} < rm < (r + 1)m < 20 \cdot 2^{\ell-1}$. It follows that there are nonempty words $w$ and $z$ such that $\langle (m + 17)2^{\ell-1} + 1, (m + 20)2^{\ell-1} \rangle =$
\[ w((r + 2^{\ell-1})m + 1, (r + 2^{\ell-1} + 1)m)z. \] Similarly, there are nonempty words \( w' \) and \( z' \) such that \( \langle (2m + 17)2^{\ell-1} + 1, (2m + 20)2^{\ell-1} \rangle = w'((r + 2^\ell)m + 1, (r + 2^\ell + 1)m)z' \). Note that \( |w| = rm - 17 \cdot 2^{\ell-1} = |w'| \). Invoking (6) yields \( (r + 2^{\ell-1})m + 1, (r + 2^{\ell-1} + 1)m = \langle (r + 2^\ell)m + 1, (r + 2^\ell + 1)m \rangle \). This shows that \( \mathfrak{R}(m) \leq r + 2^\ell + 1 \ll 2^\ell + 18 \), securing our final contradiction to the assumption that \( \mathfrak{R}(m) \geq (1 + \frac{33}{m})2^\ell \).

**Lemma 15.** Let \( m \) be an odd positive integer, and let \( \ell = \lceil \log_2 m \rceil \). Suppose \( m = 2^L + 1 \), where \( L \) and \( h \) are integers with \( L \geq 3 \) and \( h \) odd. We have \( \mathfrak{R}(m) < \left( 1 + \frac{2^{L+1} + 4}{m} \right)2^\ell \).

**Proof.** Suppose instead that \( \mathfrak{R}(m) \geq \left( 1 + \frac{2^{L+1} + 4}{m} \right)2^\ell \). We will obtain a contradiction to Lemma 13 by finding a positive integer \( j \leq 2^{L+1} + 3 \) satisfying \( t_it_j+1 = t_{m+j}t_{m+j+1} \). Let \( x01^s0^{L-1} \) be the binary expansion of \( m \), and note that \( s \geq 1 \). Let \( N \) be the number of 1’s in \( x \). The binary expansions of \( m + 2^L + 2, m + 2^L + 3, m + 2^{L+1} + 2, \) and \( m + 2^{L+1} + 3 \) are \( x10^s+2^{L-1}11, x10^{s+1}+2^{L-1}100, x10^s+2^{L-1}11, \) and \( x10^{s-1}+2^{L-1}1100 \). This shows that \( t_{m+2^L+3}+t_{2^L+4} = 10 \) if \( N \) is even and \( t_{m+2^{L+1}+4}+t_{m+2^{L+1}+4} = 10 \) if \( N \) is odd. Observe that \( t_{2^L+3}t_{2^L+4} = t_{2^L+3}t_{2^L+4} = 10 \). Therefore, we may put \( j = 2^L + 3 \) if \( N \) is even and \( j = 2^{L+1} + 3 \) if \( N \) is odd.

**Lemma 16.** Let \( m \) be an odd positive integer, and let \( \ell = \lceil \log_2 m \rceil \). Assume \( m = 2^L + 1 \) for some integers \( L \) and \( h \) with \( L \geq 3 \) and \( h \) odd. If \( n \) is an integer such that \( 2 \leq n \leq 2^L-1 \), \( t_{m-n} = t_{m-n+1} \), and \( m \leq (1 - \frac{1}{2n+2})2^\ell \), then \( \mathfrak{R}(m) \leq 2^\ell - n \).

**Proof.** Let \( y \) and \( z \) be the binary expansions of \( 2^L-m = n \) and \( 2^L-m+1 = n+1 \), respectively. If necessary, let the strings \( y \) and \( z \) begin with additional 0’s so that \( |y| = |z| = L - 1 \). Let \( x10^L \) be the binary expansion of \( m - 1 \). The binary expansions of \( m - 1 - 2n - 1 \) and \( m - 1 - 2n + 1 \) are \( x0y0 \) and \( x0y1 \), respectively. The quantities of 1’s in these strings are of the same parity, so \( t_{m-2n} = t_{2m-2n} \). Similarly, \( t_{m-2n+2} = t_{2m-2n+2} \) because the binary expansions of \( m - 2n - 1 \) and \( m - 2n + 1 \) are \( x0y0 \) and \( x0y1 \), respectively. Let \( a = t_{m-n} \). Because \( t_{m-n} = t_{m-n+1} \) by hypothesis, we have \( t_{2m-2n} = t_{2m-2n+2} = \overline{a} \). Therefore, \( t_{m-2n} = t_{m-2n+2} = \overline{a} \). The word \( t \) is cube-free, so \( t_{m-2n}t_{m-2n+1}t_{m-2n+2} = \overline{a}a \overline{a} = t_{2m-2n}t_{2m-2n+1}t_{2m-2n+2} \). Hence,

\[
\langle (m - 2n - 1)2^{\ell-1} + 1, (m - 2n + 2)2^{\ell-1} \rangle = \mu^{\ell-1}(t_{m-2n}t_{m-2n+1}t_{m-2n+2}) = \mu^{\ell-1}(t_{2m-2n}t_{2m-2n+1}t_{2m-2n+2}) = \langle (2m - 2n - 1)2^{\ell-1} + 1, (2m - 2n + 2)2^{\ell-1} \rangle.
\]

Now, \( m \in \left( 2^{\ell-1}, 2^{\ell-1} + \frac{1}{2n+2} \right) \subseteq \bigcup_{r=n}^{2n-1} \left[ \frac{2n - 2^{\ell-1}}{r}, \frac{2n + 1}{r + 1}2^{\ell-1} \right] \), so there is some \( r \in \{ n, n + 1, \ldots, 2n - 1 \} \) such that \( \frac{2n - 2^{\ell-1}}{r} \leq m \leq \frac{2n + 1}{r + 1}2^{\ell-1} \). Equivalently, \( (m - 2n - 1)2^{\ell-1} \leq (2^{\ell-1} - r) - m \leq (m - 2n + 2)2^{\ell-1} \). We find that

\[
\langle (m - 2n - 1)2^{\ell-1} + 1, (m - 2n + 2)2^{\ell-1} \rangle = w((2^{\ell-1} - r - 1)m + 1, (2^{\ell-1} - r)m)z
\]
and 

\[(2m - 2n - 1)2^{\ell - 1} + 1, (2m - 2n + 2)2^{\ell - 1}) = w(\ell - r - 1)m + 1, (2\ell - r)m)z' \]

for some words \(w, w', z, z'\). Because \(|w| = (2n + 1)2^{\ell - 1} - (r + 1)m = |w'|\), we may use (7) to deduce that 

\[((2^{\ell - 1} - r - 1)m + 1, (2\ell - r)m) = ((2^\ell - r - 1)m + 1, (2^\ell - r)m).\]

This shows that \(\mathfrak{R}(m) < 2^\ell - r \leq 2^\ell - n\) as desired. \(\square\)

**Lemma 17.** If \(m\) is an odd positive integer and \(\ell = \lceil \log_2 m \rceil\), then \(\mathfrak{R}(m) < 2^\ell + 2^{(\ell+5)/2} + 10\).

*Proof.* We will assume that \(m \geq 65\) (so \(\ell \geq 7\)). One may easily use a computer to check that the desired result holds when \(m < 65\).

If \(m \equiv 1 \pmod{8}\), then Lemma 14 tells us that 

\[\mathfrak{R}(m) < \left(1 + \frac{37}{m}\right)2^\ell < 2^\ell + 74 \leq 2^\ell + 2^{(\ell+5)/2} + 10.\]

Suppose that \(m \equiv 1 \pmod{8}\), and let \(m = 2^Lh + 1\), where \(L \geq 3\) and \(h\) is odd. First, assume \(m > \left(1 - \frac{1}{2^L - 4}\right)2^\ell\). Because \(2^L|2^\ell - m + 1\) and \(2^\ell - m + 1 > 0\), we have \(2^L \leq 2^\ell - m + 1 < \frac{2^\ell}{2^L - 4} + 1\). This implies that \(2^{2L} - 4 \cdot 2^L < 2^\ell + 2^L - 4\), so 2\(^L < 2^{\ell-L} + 5 - 4 \cdot 2^{-L} < 2^{\ell-L+2}\). Hence, \(L \leq \frac{\ell + 1}{2}\). By Lemma 15,

\[\mathfrak{R}(m) < \left(1 + \frac{2^{L+1} + 4}{m}\right)2^\ell < 2^\ell + 2^{L+2} + 8 < 2^\ell + 2^{(\ell+5)/2} + 10.\]

Next, assume \(m \equiv 0 \pmod{4}\) and \(n \equiv 2^{\ell-1}\). Let \(n\) be the largest integer satisfying \(m - n \equiv 2 \pmod{4}\) and \(n \leq 2^{L-1}\). Note that \(m \leq \left(1 - \frac{1}{2^n + 2}\right)2^\ell\) because \(n \geq 2^{L-1} - 3\).

As \(m - n \equiv 2 \pmod{4}\), we have \(t_{m-n} = t_{m-n+1}\). We have shown that \(n\) satisfies the criteria specified in Lemma 16, so \(\mathfrak{R}(m) \leq 2^\ell - n < 2^\ell + 2^{(\ell+5)/2} + 10\).

Finally, if \(L = 3\), then Lemma 15 tells us that 

\[\mathfrak{R}(m) < \left(1 + \frac{20}{m}\right)2^\ell < 2^\ell + 40 < 2^\ell + 2^{(\ell+5)/2} + 10.\]

At last, we are in a position to prove lower bounds for \(\limsup_{k \to \infty} (\gamma(k)/k)\) and \(\liminf_{k \to \infty} (\gamma(k)/k)\).
Theorem 18. Let \( \gamma(k) \) be as in Definition 2. We have
\[
\liminf_{k \to \infty} \frac{\gamma(k)}{k} \geq \frac{1}{2} \quad \text{and} \quad \limsup_{k \to \infty} \frac{\gamma(k)}{k} \geq 1.
\]

Proof. For each positive integer \( \ell \), let \( g(\ell) = \lceil 2\ell + 2(\ell+5)/2 + 10 \rceil + 1 \). Lemma 17 implies that \( R(m) < g(\ell) \) for all odd positive integers \( m < 2^\ell \). It follows from the definition of \( \gamma \) that \( \gamma(g(\ell)) \geq 2^\ell + 1 \). Therefore,
\[
\limsup_{k \to \infty} \frac{\gamma(k)}{k} \geq \limsup_{\ell \to \infty} \frac{\gamma(g(\ell))}{g(\ell)} \geq \lim_{\ell \to \infty} \frac{2^\ell + 1}{2^\ell + 2(\ell+5)/2 + 11} = 1.
\]

Now, choose an arbitrary positive integer \( k \), and let \( \ell = \lceil \log_2(\gamma(k)) \rceil \). By the definition of \( \gamma \), \( k < R(\gamma(k)) \). We may use Lemma 17 to find that
\[
\frac{\gamma(k)}{k} > \frac{\gamma(k)}{2^\ell + 2(\ell+5)/2 + 10} > \frac{2^\ell - 1}{2^\ell + 2(\ell+5)/2 + 10}.
\]

Note that this implies that \( \gamma(k) \to \infty \) as \( k \to \infty \). It follows that \( \ell \to \infty \) as \( k \to \infty \), so
\[
\liminf_{k \to \infty} \frac{\gamma(k)}{k} \geq \lim_{\ell \to \infty} \frac{2^\ell - 1}{2^\ell + 2(\ell+5)/2 + 10} = \frac{1}{2}.
\]
\( \square \)

In our final theorem, we provide upper bounds for \( \liminf_{k \to \infty} (\gamma(k)/k) \) and \( \limsup_{k \to \infty} (\gamma(k)/k) \). This will complete our proof of all the asymptotic results mentioned in the introduction. Before proving this theorem, we need one lemma. In what follows, recall that the Thue-Morse word \( t \) is overlap-free. This means that if \( a, b, n \) are positive integers satisfying \( a < b \leq a + n \), then \( \langle a, a + n \rangle \neq \langle b, b + n \rangle \).

Lemma 19. For each integer \( \ell \geq 3 \), we have
\[
R(3 \cdot 2\ell - 2 + 1) > \frac{5 \cdot 2^{2\ell - 3}}{3 \cdot 2\ell - 2 + 1} \quad \text{and} \quad R(2\ell - 1 + 3) > \frac{2^{2\ell - 2}}{2\ell - 1 + 3}.
\]

Proof. Fix \( \ell \geq 3 \), and let \( m = 3 \cdot 2\ell - 2 + 1 \) and \( m' = 2\ell - 1 + 3 \). By the definitions of \( R(m) \) and \( R(m') \), there are nonnegative integers \( r < R(m) - 1 \) and \( r' < R(m') - 1 \) such that \( \langle rm + 1, (r + 1)m \rangle = \langle (R(m) - 1)m + 1, R(m)m \rangle \) and \( \langle r'm' + 1, (r' + 1)m' \rangle = \langle (R(m') - 1)m' + 1, R(m')m' \rangle \). According to Proposition 6, \( 2\ell - 1 \) divides \( (R(m) - 1)m - rm \) and \( 2\ell - 2 \) divides \( (R(m') - 1)m' - r'm' \). Since \( m \) and \( m' \) are odd, we know that \( 2\ell - 1 \) divides \( R(m) - r - 1 \) and \( 2\ell - 2 \) divides \( R(m') - r' - 1 \). If \( R(m) - r - 1 \geq 2\ell \), then \( R(m) > \frac{5 \cdot 2^{2\ell - 3}}{3 \cdot 2\ell - 2 + 1} \) as desired. Therefore, we may assume \( R(m) = r + 2\ell - 1 + 1 \). By the same token, we may assume that \( R(m') = r' + 2\ell - 2 + 1 \).

With the aim of finding a contradiction, let us assume \( R(m) \leq \frac{5 \cdot 2^{2\ell - 3}}{m} \). Put
\[
u = (\langle rm + 1, (r + 1)m \rangle \quad \text{and} \quad v = (\langle (R(m) - 1)m + 1, R(m)m \rangle).
\]

the electronic journal of combinatorics 24(1) (2017), #P1.32

12
We have
\[ \mu^{2\ell-3}(01) = \mu^{2\ell-3}(t_4t_5) = \langle 3 \cdot 2^{2\ell-3} + 1, 5 \cdot 2^{2\ell-3} \rangle = wz \]
for some words \( w \) and \( z \). Observe that \( |w| = (\mathcal{R}(m) - 1)m - 3 \cdot 2^{2\ell-3} = rm + 2^\ell - 1 \). Since \( \mu^{2\ell-3}(01) = \mu^{2\ell-3}(t_1t_2) = (1, 2^{2\ell-3}) \), we have \( v = (rm + 2^\ell - 1, (r + 1)m + 2^\ell - 1) \). If we set \( a = rm + 1 \) and \( b = rm + 2^\ell - 1 + 1 \), then \( a < b \leq a + m \). It follows from the fact that \( t \) is overlap-free that \( u \neq v \) This is a contradiction.

Assume now that \( \mathcal{R}(m') \leq \frac{2^{2\ell-2}}{m'} \). Let
\[ u' = \langle r'm' + 1, (r' + 1)m' \rangle \quad \text{and} \quad v' = \langle (\mathcal{R}(m') - 1)m' + 1, \mathcal{R}(m')m' \rangle. \]
Let \( q = [(r'm' + 1)/2^{\ell-2}] \) and \( H = \min\{(r' + 1)m', (q + 2)2^{\ell-2}\} \). Finally, put \( U = \langle r'm' + 1, H \rangle \) and \( V = \langle (r' + 2^{\ell-2})m' + 1, H + 2^{\ell-2}m' \rangle \). The word \( U \) is the prefix of \( u' \) of length \( H - r'm' \). Because \( \mathcal{R}(m') = r' + 2^{\ell-2} + 1 \), \( V \) is the prefix of \( v' \) of length \( H - r'm' \). Since \( u' = v' \), we must have \( U = V \).

There are words \( w' \) and \( z' \) such that
\[ \mu^{\ell-2}(t_qt_q+1t_q+2) = \langle (q - 1)2^{\ell-2} + 1, (q + 2)2^{\ell-2} \rangle = w'Uz'. \]
Furthermore,
\[ \mu^{\ell-2}(t_{q+m'}t_{q+m'+1}t_{q+m'+2}) = \langle (q + m' - 1)2^{\ell-2} + 1, (q + m' + 2)2^{\ell-2} \rangle = w''Vz'' \]
for some words \( w'' \) and \( z'' \). Note that \( 0 \leq |w'| = r'm' - (q - 1)2^{\ell-2} = |w''| < 2^{\ell-2} \) (the inequalities follow from the definition of \( q \)). The suffix of \( \mu^{\ell-2}(t_q) \) of length \( 2^{\ell-2} - |w'| \) is a prefix of \( U \). Similarly, the suffix of \( \mu^{\ell-2}(t_{q+m'}) \) of length \( 2^{\ell-2} - |w''| \) is a prefix of \( V \). Since \( |w'| = |w''| \) and \( U = V \), we must have \( t_q = t_{q+m'} \). Similar arguments show that \( t_{q+1} = t_{q+m'+1} \) and \( t_{q+2} = t_{q+m'+2} \) (see Figure 2).

Now,
\[ r' = \mathcal{R}(m') - 2^{\ell-2} - 1 \leq \frac{2^{2\ell-2}}{m'} - 2^{\ell-2} - 1 = \frac{2^{2\ell-3} - 5 \cdot 2^{\ell-2} - 3}{m'}, \]
so \( r'm' + 1 < 2^{\ell-1} - 5 \). Therefore, \( q + 4 < 2^{\ell-1} \). It follows that for each \( j \in \{0, 1, 2\} \), the binary expansion of \( q + m' + j - 1 \) has exactly one more 1 than the binary expansion of \( q + j + 2 \). We find that \( t_{q+3}t_{q+4}t_{q+5}t_{q+m'+1}t_{q+m'+2} = t_qt_{q+1}t_{q+2} \). However, utilizing the fact that \( t \) is cube-free, it is easy to check that \( X\overline{X} \) is not a factor of \( t \) whenever \( X \) is a word of length 3. This yields a contradiction when we set \( X = t_{q+1}t_{q+2} \).

**Theorem 20.** Let \( \gamma(k) \) be as in Definition 2. We have
\[
\liminf_{k \to \infty} \frac{\gamma(k)}{k} \leq \frac{9}{10} \quad \text{and} \quad \limsup_{k \to \infty} \frac{\gamma(k)}{k} \leq \frac{3}{2}.
\]

---

*The Electronic Journal of Combinatorics 24(1) (2017), #P1.32*
\[
\begin{array}{|c|c|c|}
\hline
\langle(q−1)2^{\ell−2}+1,(q+2)2^{\ell−2}\rangle & \langle(q+m′−1)2^{\ell−2}+1,(q+m′+2)2^{\ell−2}\rangle \\
\mu_{2}(t_{q}) & \mu_{2}(t_{q+1}) & \mu_{2}(t_{q+2}) \\
\mu_{2}(t_{q+1}) & \mu_{2}(t_{q+2}) & \mu_{2}(t_{q+m′}) \\
\mu_{2}(t_{q+m′}) & \mu_{2}(t_{q+m′+1}) & \mu_{2}(t_{q+m′+2}) \\
w' & U & z' \\
w'' & V & z'' \\
\hline
\end{array}
\]

Figure 2: An illustration of the proof of Lemma 19.

**Proof.** For each positive integer \(\ell\), let \(f(\ell) = \left\lfloor \frac{5 \cdot 2^{2\ell−3}}{3 \cdot 2^{\ell−2} + 1} \right\rfloor\) and \(h(\ell) = \left\lceil \frac{2^{2\ell−2}}{2^{\ell−1} + 3} \right\rceil\). One may easily verify that \(h(\ell) < f(\ell) \leq h(\ell + 1)\) for all \(\ell \geq 3\). Lemma 19 informs us that \(h(3 \cdot 2^{\ell−2} + 1) > f(\ell)\). This means that the prefix of \(t\) of length \((3 \cdot 2^{\ell−2} + 1)f(\ell)\) is an \(f(\ell)\)-anti-power, so \(\gamma(f(\ell)) \leq 3 \cdot 2^{\ell−2} + 1\). As a consequence,

\[
\lim_{k \to \infty} \frac{\gamma(k)}{k} \leq \lim_{\ell \to \infty} \frac{\gamma(f(\ell))}{f(\ell)} \leq \lim_{\ell \to \infty} \frac{3 \cdot 2^{\ell−2} + 1}{f(\ell)} = \frac{9}{10}.
\]

Now, choose an arbitrary integer \(k \geq 3\). If \(h(\ell) < k \leq f(\ell)\) for some integer \(\ell \geq 3\), then the prefix of \(t\) of length \((3 \cdot 2^{\ell−2} + 1)f(\ell)\) is an \(f(\ell)\)-anti-power. This implies that \(\gamma(k) \leq 3 \cdot 2^{\ell−2} + 1\), so

\[
\frac{\gamma(k)}{k} < \frac{3 \cdot 2^{\ell−2} + 1}{h(\ell)}.
\]

Alternatively, we could have \(f(\ell) < k \leq h(\ell + 1)\) for some \(\ell \geq 3\). In this case, Lemma 19 tells us that the prefix of \(\ell\) of length \((2^\ell + 3)h(\ell + 1)\) is an \(h(\ell + 1)\)-anti-power. It follows that

\[
\frac{\gamma(k)}{k} < \frac{2^\ell + 3}{f(\ell)}
\]

in this case.

Combining the above cases, we deduce that

\[
\limsup_{k \to \infty} \frac{\gamma(k)}{k} \leq \limsup_{\ell \to \infty} \left\lceil \frac{3 \cdot 2^{\ell−2} + 1}{h(\ell)} \cdot \frac{2^{\ell+1} + 3}{f(\ell)} \right\rceil = \max\left\{\frac{3}{2}, \frac{6}{5}\right\} = \frac{3}{2}. \quad \square
\]

**Remark 21.** Preserve the notation from the proof of Theorem 20. We showed that

\[
\frac{\gamma(k)}{k} < \frac{3 \cdot 2^{\ell−2} + 1}{h(\ell)} = \frac{3}{2} + o(1)
\]

if \(h(\ell) < k \leq f(\ell)\) and

\[
\frac{\gamma(k)}{k} < \frac{2^\ell + 3}{f(\ell)} = \frac{6}{5} + o(1)
\]

whenever \(f(\ell) < k \leq h(\ell + 1)\) (the \(o(1)\) terms refer to asymptotics as \(k \to \infty\)). This is indeed reflected in the top image of Figure 3, which portrays a plot of \(\gamma(k)/k\) for \(3 \leq k \leq 2100\).
Figure 3: Plots of $\gamma(k)/k$ for $3 \leq k \leq 2100$ (top) and $\Gamma(k)/k$ for $3 \leq k \leq 135$ (bottom). In the top image, the green lines are at $y = 9/10$ and $y = 3/2$. In the bottom image, the green lines are at $y = 3/2$ and $y = 3$. 
5 Concluding Remarks

In Theorems 9 and 11, we obtained the exact values of \( \liminf_{k \to \infty} \frac{\Gamma(k)}{k} \) and \( \limsup_{k \to \infty} \frac{\Gamma(k)}{k} \). Unfortunately, we were not able to determine the exact values of \( \liminf_{k \to \infty} \frac{\gamma(k)}{k} \) and \( \limsup_{k \to \infty} \frac{\gamma(k)}{k} \). Figure 3 suggests that the upper bounds we obtained are the correct values.

Conjecture 22. We have

\[
\liminf_{k \to \infty} \frac{\gamma(k)}{k} = \frac{9}{10} \quad \text{and} \quad \limsup_{k \to \infty} \frac{\gamma(k)}{k} = \frac{3}{2}.
\]

Recall that we obtained lower bounds for \( \liminf_{k \to \infty} (\Gamma(k)/k) \) and \( \limsup_{k \to \infty} (\gamma(k)/k) \) by first showing that \( \mathcal{R}(m) \leq 2^{\lfloor \log_2 m \rfloor} (1 + o(m)) \). If Conjecture 22 is true, its proof will most likely require a stronger upper bound for \( \mathcal{R}(m) \).

We know from Theorem 9 that \((2\mathbb{Z}^+ - 1) \setminus \mathcal{F}(k)\) is finite whenever \( k \geq 3 \). A very natural problem that we have not attempted to investigate is that of determining the cardinality of this finite set. Similarly, one might wish to explore the sequence \((\Gamma(k) - \gamma(k))_{k \geq 3}\).

Recall that if \( w \) is an infinite word whose \( i \)-th letter is \( w_i \), then \( AP(w,k) \) is the set of all positive integers \( m \) such that \( w_1 w_2 \cdots w_{km} \) is a \( k \)-anti-power. An obvious generalization would be to define \( AP_j(w,k) \) to be the set of all positive integers \( m \) such that

Figure 4: A plot of \( \mathcal{R}(m) \) for all odd positive integers \( m \leq 299 \). In purple is the graph of \( y = 2^{\lfloor \log_2 x \rfloor} \).
$w_{j+1}w_{j+2}\cdots w_{j+km}$ is a $k$-anti-power. Of course, we would be particularly interested in analyzing the sets $AP_j(t, k)$.

Define a $(k, \lambda)$-anti-power to be a word of the form $w_1w_2\cdots w_k$, where $w_1, w_2, \ldots, w_k$ are words of the same length and $\{|i \in \{1, 2, \ldots, k\}: w_i = w_j\} \leq \lambda$ for each fixed $j \in \{1, 2, \ldots, k\}$. With this definition, a $(k, 1)$-anti-power is simply a $k$-anti-power. Let $\mathfrak{A}_\lambda(m)$ be the smallest positive integer $k$ such that the prefix of $t$ of length $km$ is not a $(k, \lambda)$-anti-power. What can we say about $\mathfrak{A}_\lambda(m)$ for various positive integers $\lambda$ and $m$?

Finally, note that we may ask questions similar to the ones asked here for other infinite words. In particular, it would be interesting to know other nontrivial examples of infinite words $x$ such that $\min AP(x, k)$ grows linearly in $k$.

Acknowledgments

This work was supported by the grants NSF-1358659 and NSA H98230-16-1-0026.

The author would like to thank Jacob Ford for writing a program that produced the values of $\gamma(k)$ for $3 \leq k \leq 2100$. The author would also like to thank Joe Gallian and Sam Elder for reading thoroughly through this manuscript and providing helpful feedback.

References


