

# An Analogue of Covering Space Theory for Ranked Posets

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## Abstract

Suppose  $P$  is a partially ordered set that is locally finite, has a least element, and admits a rank function. We call  $P$  a weighted-relation poset if all the covering relations of  $P$  are assigned a positive integer weight. We develop a theory of covering maps for weighted-relation posets, and in particular show that any weighted-relation poset  $P$  has a universal cover  $\tilde{P} \rightarrow P$ , unique up to isomorphism, so that

1.  $\tilde{P} \rightarrow P$  factors through any other covering map  $P' \rightarrow P$ ;
2. every principal order ideal of  $\tilde{P}$  is a chain; and
3. the weight assigned to each covering relation of  $\tilde{P}$  is 1.

If  $P$  is a poset of “natural” combinatorial objects, the elements of its universal cover  $\tilde{P}$  often have a simple description as well. For example, if  $P$  is the poset of partitions ordered by inclusion of their Young diagrams, then the universal cover  $\tilde{P}$  is the poset of standard Young tableaux; if  $P$  is the poset of rooted trees ordered by inclusion, then  $\tilde{P}$  consists of permutations. We discuss several other examples, including the posets of necklaces, bracket arrangements, and compositions.

## 1 Introduction

For topological spaces, the notion of a covering space is familiar (see, e.g., [9]): a covering map  $p : X' \rightarrow X$  is a continuous surjection such that, for sufficiently small open sets  $U \subset X$ ,  $p^{-1}(U)$  is a disjoint union of open sets in  $X'$  each of which  $p$  maps homeomorphically onto  $U$ . For any space  $X$  satisfying appropriate hypotheses (e.g., that  $X$  is connected, locally arcwise connected and semilocally simply connected), there is a simply connected covering space  $\pi : \tilde{X} \rightarrow X$ , which is universal in the sense that it “factors through” any other connected cover of  $X$ , i.e., if  $p : X' \rightarrow X$  is any covering map with  $X'$  connected,

then there is a covering map  $f : \tilde{X} \rightarrow X'$  so that  $\pi = pf$ . The universal covering space of  $X$  is unique up to homeomorphism over  $X$ .

In this paper we develop a theory of covering maps for ranked posets. More precisely, we define covering maps of “weighted-relation” posets, which are locally finite ranked posets with least element that have a positive integer weight associated with each of their covering relations. We show that every such weighted-relation poset  $P$  has a universal cover  $\tilde{P} \rightarrow P$ , unique up to isomorphism in an appropriate category, which factors through any other cover  $P' \rightarrow P$ . The universal cover  $\tilde{P}$  is “simple” in the sense that its Hasse diagram is a tree and all its covering relations have weight 1.

In many cases where  $P$  is a poset of familiar combinatorial objects, the elements of the universal cover  $\tilde{P}$  also have a simple description. For example, the poset of monomials in commuting variables  $x_1, \dots, x_k$  has a universal cover whose elements are monomials in  $k$  noncommuting variables (Example 2 in §4 below); the poset of compositions (with an appropriate choice of weights) has as its universal cover the poset of Cayley permutations in the sense of [6] (Example 6). We discuss several other examples, including the posets of necklaces, bracket arrangements, partitions, and rooted trees.

## 2 Weighted-relation posets

Our terminology for posets follows [11]. Let  $(P, \preceq)$  be a locally finite poset with least element  $\hat{0}$  and rank function  $|\cdot|$ . By a weight system on the relations of  $P$ , we mean a function  $n$  that assigns a nonnegative integer  $n(x, y)$  to every pair  $x, y \in P$  so that

1.  $n(x, y) \neq 0$  if and only if  $x \preceq y$ ;
2. for all elements  $x \prec y$  and nonnegative integers  $|x| \leq k \leq |y|$ ,

$$n(x, y) = \sum_{|z|=k} n(x, z)n(z, y).$$

(Note that the second condition implies  $n(x, x) = 1$  for all  $x \in P$ .)

We call a poset  $P$  together with a weight system on its relations a weighted-relation poset. By induction on  $|y| - |x|$  it is easy to prove from the definition that for any  $x \prec y$  in  $P$

$$n(x, y) = \sum_{x=x_1 \prec x_2 \prec \dots \prec x_k=y} n(x_1, x_2)n(x_2, x_3) \cdots n(x_{k-1}, x_k),$$

where the sum is over all saturated chains  $x = x_1 \prec x_2 \prec \dots \prec x_k = y$  from  $x$  to  $y$ : thus, to define  $n$  it suffices to give  $n(x, y)$  when  $y$  covers  $x$ . In particular, any ranked, locally finite poset with least element can be made a weighted-relation poset by assigning 1 to every covering relation.

The motivation for this definition comes from thinking of a covering relation  $x \prec y$  of  $P$  as indicating  $y$  can be built from  $x$  by some kind of elementary operation:  $n(x, y)$  is the number of ways this can be done. Then in general  $n(u, v)$  is the number of ways that  $v$  can be built up from  $u$  via a sequence of elementary operations. For examples see §4 below.

Let  $\mathcal{W}$  be the category whose objects are weighted-relation posets, and whose morphisms are defined as follows. A morphism of weighted-relation posets  $P, P'$  is a rank-preserving function  $f : P \rightarrow P'$  such that, for any elements  $t, s$  of  $P$ ,

$$n(f(t), f(s)) \geq \sum_{s' \in f^{-1}(f(s))} n(t, s'). \quad (1)$$

In particular, any such function  $f$  is order-preserving. Also, if  $f$  has an inverse  $f^{-1}$  that is also a morphism of weighted-relation posets, then  $n(f(t), f(s)) = n(t, s)$  for all  $t, s \in P$ .

We call a weighted-relation poset  $P$  simple if  $n(x, y)$  is 1 or 0 for any  $x, y \in P$ . The following result is evident.

**Proposition 2.1.** *If  $P$  is a weighted-relation poset, the following are equivalent:*

- (i)  $P$  is simple;
- (ii) the Hasse diagram of  $P$  is a tree, and every covering relation has weight 1;
- (iii) for every  $x \in P$ ,  $n(\hat{0}, x) = 1$ .

We also record the following fact, which is an immediate consequence of inequality (1).

**Proposition 2.2.** *If  $f : P \rightarrow P'$  is a morphism of weighted-relation posets and  $P'$  is simple, then  $f$  is an injective function and  $P$  is simple.*

### 3 Covering maps

Let  $P'$  and  $P$  be weighted-relation posets. We say that a rank-preserving function  $\pi : P' \rightarrow P$  is a covering map if, whenever  $s, r \in P$  with  $\pi(s') = s$ ,

$$n(s, r) = \sum_{r' \in \pi^{-1}(r)} n(s', r'). \quad (2)$$

Note that equation (2) implies that  $\pi$  is a morphism of weighted-relation posets, and taking  $s = \hat{0}$ , we see that  $\pi$  is also surjective.

To prove that a given rank-preserving function is a covering map, it suffices to prove equation (2) for  $|r| - |s| = 1$ . For suppose (2) holds when  $|r| - |s| = 1$ , and suppose inductively it holds for  $|r| - |s| < n$ ,  $n > 1$ . Let  $r, s \in P$  with  $|r| - |s| = n$ , and let  $\pi(s') = s$ . Then

$$n(s, r) = \sum_{|t|=|s|+1} n(s, t)n(t, r) = \sum_{|t|=|s|+1} \sum_{t' \in \pi^{-1}(t)} \sum_{r' \in \pi^{-1}(r)} n(s', t')n(t', r'),$$

and since the sets  $\pi^{-1}(t)$ , as  $t$  runs through the rank- $(|s| + 1)$  elements of  $P$ , partition the rank- $(|s| + 1)$  elements of  $P'$ ,

$$n(s, r) = \sum_{|t|=|s|+1} \sum_{r' \in \pi^{-1}(r)} n(s', t')n(t', r') = \sum_{r' \in \pi^{-1}(r)} n(s', r').$$

If  $P$  is a fixed weighted-relation poset, there is a category  $\mathcal{W}/P$  of covers of  $P$  whose objects are covering maps  $\pi : P' \rightarrow P$ . A morphism from  $\pi_1 : P_1 \rightarrow P$  to  $\pi_2 : P_2 \rightarrow P$  in  $\mathcal{W}/P$  is a morphism  $f : P_1 \rightarrow P_2$  in  $\mathcal{W}$  such that  $\pi_2 f = \pi_1$ . In fact, all such functions  $f$  are covering maps.

**Theorem 3.1.** *Suppose  $\pi_i : P_i \rightarrow P$  is a covering map for  $i = 1, 2$ , and suppose  $f : P_1 \rightarrow P_2$  is a morphism of weighted-relation posets such that  $\pi_2 f = \pi_1$ . Then  $f$  is a covering map.*

*Proof.* We show  $f$  satisfies equation (2) above. Let  $s, r \in P_2$ ,  $s' \in P_1$  with  $f(s') = s$ . Since  $\pi_2$  is a covering map,

$$n(\pi_2(s), \pi_2(r)) = \sum_{i=1}^k n(s, r_i),$$

where  $\pi_2^{-1}(\pi_2(r)) = \{r_1, \dots, r_k\}$ . For each  $r_i$  in the image of  $f$ ,

$$\sum_{r' \in f^{-1}(r_i)} n(s', r') \leq n(s, r_i). \quad (3)$$

Now  $\bigcup_{i=1}^k f^{-1}(r_i) = \pi_1^{-1}(\pi_2(r))$ , and since  $\pi_1$  is a covering map we have

$$\sum_{r' \in \pi_1^{-1}(\pi_2(r))} n(s', r') = n(\pi_2(s), \pi_2(r)) = \sum_{i=1}^k n(s, r_i). \quad (4)$$

Comparing (3) and (4), we see there is a contradiction unless each of the sets  $f^{-1}(r_i)$  is nonempty and (3) is an equality for all  $i$ .  $\square$

**Theorem 3.2.** *Suppose  $\pi : P' \rightarrow P$  is a covering map and  $f : Q \rightarrow P$  is a morphism of weighted-relation posets, with  $Q$  simple. Then  $f$  can be lifted to  $P'$ , i.e., there is a morphism of weighted-relation posets  $f' : Q \rightarrow P'$  such that  $\pi f' = f$ .*

*Proof.* We define  $f' : Q \rightarrow P'$  by induction on rank; there is no problem getting started since  $f'$  must take  $\hat{0} \in Q$  to  $\hat{0} \in P'$ . Suppose  $f'$  has already been defined for rank  $< n$ . For a rank- $(n-1)$  element  $z \in Q$  and a rank- $n$  element  $x \in f(Q)$  with  $x \succ f(z)$ , let

$$C(x, z) = \{z' \in Q \mid z' \succ z, |z'| = n, \text{ and } f(z') = x\}.$$

Since the Hasse diagram of  $Q$  is a tree, sets of the form  $C(x, z)$  partition the rank- $n$  elements of  $Q$ . We shall extend  $f'$  to  $C(x, z)$ . For  $z' \in C(x, z)$ ,

$$n(f(z), x) \geq \sum_{z' \in C(x, z)} n(z, z') = \text{card } C(x, z).$$

Let  $S = \{y \in P' \mid y \succ f'(z) \text{ and } \pi(y) = x\}$ . For any  $y \in S$ ,

$$n(f(z), x) = n(\pi f'(z), \pi(y)) = \sum_{y' \in S} n(f'(z), y')$$

and hence

$$\text{card } C(x, z) \leq \sum_{i=1}^k n(f'(z), y_i), \quad (5)$$

where  $S = \{y_1, y_2, \dots, y_k\}$ . Choose a partition of  $C(x, z)$  into disjoint subsets  $S_1, \dots, S_k$  (some possibly empty) so that  $S_i$  has cardinality at most  $n(f'(z), y_i)$ : this is possible because of inequality (5). Extend  $f'$  to  $C(x, z)$  by setting  $f'(z') = y_i$  for all  $z' \in S_i$ . Then for all  $z' \in C(x, z)$ ,

$$n(f'(z), f'(z')) \geq \sum_{f'(z'')=f'(z')} n(z, z'').$$

Reasoning in the same way as in the paragraph following equation (2) above, we can conclude that  $f'$  is extended as a morphism of weighted-relation posets; and by construction

$$\pi f'(z') = x = f(z')$$

for all  $z' \in C(x, z)$ . □

**Theorem 3.3.** *If  $P$  is a weighted-relation poset, there is a poset  $\tilde{P}$  and a covering map  $\pi : \tilde{P} \rightarrow P$  so that  $\tilde{P}$  is a simple weighted-relation poset. Further, the fiber  $\pi^{-1}(x)$  of each  $x \in P$  contains  $n(\hat{0}, x)$  elements.*

*Proof.* Again we proceed by induction on the rank. Let  $P^{(n)}$  be the set of elements of  $P$  of rank at most  $n$ . Suppose a covering  $\pi : \tilde{P}^{(n-1)} \rightarrow P^{(n-1)}$  with  $\tilde{P}^{(n-1)}$  simple has already been constructed, and let  $x$  be a rank- $n$  element of  $P$ . Since  $P$  is locally finite, the set  $C(x)$  of elements covered by  $x$  is finite: let  $C(x) = \{x_1, \dots, x_r\}$ . Each fiber  $\pi^{-1}(x_i)$  contains  $n(\hat{0}, x_i)$  rank- $(n-1)$  elements of  $\tilde{P}$ : call them  $\tilde{x}_{i1}, \tilde{x}_{i2}, \dots, \tilde{x}_{im_i}$ , where  $m_i = n(\hat{0}, x_i)$ . Let  $K(x)$  be the set

$$\{(i, j, k) \mid 1 \leq i \leq \text{card } C(x), 1 \leq j \leq n(\hat{0}, x_i), 1 \leq k \leq n(x_i, x)\},$$

and define

$$\tilde{P}^{(n)} = \tilde{P}^{(n-1)} \cup \coprod_{x \in P, |x|=n} K(x).$$

Extend the weight system (and order) of  $\tilde{P}^{(n-1)}$  to  $\tilde{P}^{(n)}$  by putting

$$n(z, (i, j, k)) = \begin{cases} 1, & \text{if } z \preceq \tilde{x}_{ij}, \\ 0, & \text{otherwise,} \end{cases}$$

for any  $(i, j, k) \in K(x)$ ,  $z \in \tilde{P}^{(n-1)}$ . Then  $\tilde{P}^{(n)}$  is simple: for any  $(i, j, k) \in K(x)$  there is a unique chain to  $\hat{0}$  passing through  $\tilde{x}_{ij}$ , so

$$n(\hat{0}, (i, j, k)) = n(\hat{0}, \tilde{x}_{ij})n(\tilde{x}_{ij}, (i, j, k)) = 1.$$

(The set  $C(x) \cap C(x')$  may be nonempty for  $x \neq x'$ , so the same point of  $\tilde{P}^{(n-1)}$  may be labelled as both  $\tilde{x}_{ij}$  and  $\tilde{x}'_{pq}$ , but this does not affect the conclusion since we are taking a disjoint union of the  $K(x)$ .)

Now extend  $\pi$  to  $\tilde{P}^{(n)}$  by having  $\pi$  send each element of  $K(x)$  to  $x$ . Then  $\pi^{-1}(x) = K(x)$  contains

$$\sum_{i=1}^r n(\hat{0}, x_i) n(x_i, x) = n(\hat{0}, x)$$

elements. Also, for any  $z \in \tilde{P}^{(n-1)}$  and rank- $n$  element  $x$  of  $P$ , we have

$$\begin{aligned} n(\pi(z), x) &= \sum_{i=1}^r n(\pi(z), x_i) n(x_i, x) = \\ &= \sum_{i=1}^r \sum_{j=1}^{m_i} \sum_{k=1}^{n(x, x_i)} n(z, \tilde{x}_{ij}) n(\tilde{x}_{ij}, (i, j, k)) = \sum_{w \in \pi^{-1}(x)} n(z, w), \end{aligned}$$

so  $\pi$  is extended as a covering map.  $\square$

By a universal cover of  $P$ , we mean a cover  $\tilde{P} \rightarrow P$  so that, for any other cover  $P' \rightarrow P$ , there is a morphism of  $\mathcal{W}/P$  from  $\tilde{P} \rightarrow P$  to  $P' \rightarrow P$ .

**Theorem 3.4.** *If  $P$  is a weighted-relation poset, a cover  $\tilde{P} \rightarrow P$  is universal if and only if  $\tilde{P}$  is simple, and such a cover is unique up to isomorphism in  $\mathcal{W}/P$ .*

*Proof.* Suppose that  $p : \tilde{P} \rightarrow P$  is a cover with  $\tilde{P}$  simple, and let  $\pi : P' \rightarrow P$  be another cover. By Theorem 3.2,  $p$  can be lifted to a morphism  $p' : \tilde{P} \rightarrow P'$  of weighted-relation posets so that  $\pi p' = p$ : but this means  $p : \tilde{P} \rightarrow P$  is a universal cover. Thus, a simple cover is universal.

Now suppose  $\pi' : P' \rightarrow P$  is a universal cover. By Theorem 3.3 there is a simple cover  $\pi : \tilde{P} \rightarrow P$ , and by universality there is a morphism of  $\mathcal{W}/P$  from  $\pi'$  to  $\pi$ . Thus there is a morphism of weighted posets  $f : P' \rightarrow \tilde{P}$  which (by Theorem 3.1) is a covering map, hence surjective; and since  $\tilde{P}$  is simple, Proposition 2.2 says  $f$  is injective and  $P'$  is simple. It follows that  $f$  is an isomorphism of  $\mathcal{W}/P$ .  $\square$

## 4 Examples

*Example 1.* Let  $P$  be the poset of subsets of  $\{1, 2, \dots, n\}$ , ordered by inclusion, with each covering relation given weight 1. Then the universal cover  $\tilde{P}$  can be identified with the set of linearly ordered subsets of  $\{1, 2, \dots, n\}$ , with  $A \preceq B$  in  $\tilde{P}$  if  $A$  is an initial segment of  $B$ ; and  $\tilde{P} \rightarrow P$  forgets the order. Evidently the fiber of any rank- $k$  element of  $P$  has  $k!$  elements, so there are a total of  $k! \binom{n}{k}$  rank- $k$  elements in  $\tilde{P}$ .

*Example 2.* Let  $M$  be the poset of monomials in  $k$  commuting variables  $x_1, \dots, x_k$ , with  $m \preceq m'$  in  $M$  if there is a monomial  $m''$  such that  $m' = mm''$ . The rank on  $M$  is given by total degree, each of the  $x_i$  having degree one; the least element of  $M$  is the empty monomial 1; and the covering relations are all given weight 1. Then the universal cover

$\widetilde{M}$  is isomorphic to the poset of monomials in  $k$  noncommuting variables  $X_1, \dots, X_k$ , with weights given by

$$n(w, w') = \begin{cases} 1, & \text{if } w' = wX_i \text{ for some } i, \\ 0, & \text{otherwise,} \end{cases}$$

for  $|w'| - |w| = 1$ . Clearly  $\widetilde{M}$  is simple. The function  $\pi : \widetilde{M} \rightarrow M$  that sends  $X_i$  to  $x_i$  (so, e.g.,  $\pi^{-1}(x_1^2 x_2) = \{X_1^2 X_2, X_1 X_2 X_1, X_2 X_1^2\}$ ) is a covering map. The cardinality of the fiber of any monomial is given by

$$n(1, x_1^{i_1} x_2^{i_2} \cdots x_k^{i_k}) = \binom{i_1 + \cdots + i_k}{i_1 \ i_2 \ \cdots \ i_k},$$

and the total number of rank- $n$  elements of  $\widetilde{M}$  is

$$\sum_{i_1 + \cdots + i_k = n} \binom{n}{i_1 \ \cdots \ i_k} = k^n.$$

*Example 3.* Let  $\mathcal{N}$  be the set of circular necklaces made of beads of  $k$  colors: a rank- $m$  element of  $\mathcal{N}$  is a necklace with  $m$  beads, and the least element is the empty necklace  $\emptyset$ . For a rank- $(m-1)$  necklace  $p$  and a rank- $m$  necklace  $q$ ,  $p \prec q$  if  $q$  can be obtained from  $p$  by insertion of a bead of any color, and  $n(p, q)$  is the number of ways to insert a bead into  $p$  to get  $q$ . For example, in the case  $k = 2$ ,

$$n(\text{○}, \text{○●}) = 2 \quad \text{and} \quad n(\text{○●}, \text{○●○}) = 1.$$

The universal cover  $\widetilde{\mathcal{N}}$  can be described as the poset of necklaces with labelled beads, i.e., the beads of a rank- $m$  necklace are labelled  $1, 2, \dots, m$ , with  $\widetilde{\mathcal{N}} \rightarrow \mathcal{N}$  the function that forgets the labels. It is clear that  $\widetilde{\mathcal{N}}$  is simple, since there is a unique chain from any labelled necklace to  $\emptyset$  via the operation of removing the highest-label bead. A rank- $m$  element of  $\widetilde{\mathcal{N}}$  can be thought of as a “ $k$ -colored permutation” mod rotation, so there are  $k^m(m-1)!$  such elements. Also, the fiber of a given necklace  $p \in \mathcal{N}$  with  $m$  beads has  $n(\emptyset, p) = m!/N(p)$  elements, where  $N(p)$  is the number of rotations that take  $p$  to itself (necessarily a divisor of  $m$ ):  $p$  is called primitive if  $N(p) = 1$ . Evidently a necklace  $p$  with  $N(p) = d$  has a primitive “quotient necklace” of size  $\frac{m}{d}$ . Thus, if  $P(m)$  is the number of primitive necklaces of size  $m$ , we have

$$\sum_{d|m} P\left(\frac{m}{d}\right) \frac{m!}{d} = \sum_{|p|=m} n(\emptyset, p) = k^m(m-1)!,$$

or  $\sum_{d|m} P(d)d = k^m$ . By Möbius inversion we obtain the classical result

$$P(m) = \frac{1}{m} \sum_{d|m} \mu(d) k^{\frac{m}{d}}.$$

Cf. [7, Theorem 7.1].

*Example 4.* Let  $\mathcal{B}$  be the set of balanced bracket arrangements: a rank- $n$  element of  $\mathcal{B}$  is a sequence of  $n$  left brackets and  $n$  right brackets so that, reading left to right, the number of right brackets never exceeds the number of left brackets. For  $b, b' \in \mathcal{B}$  with  $|b'| - |b| = 1$ , let  $n(b, b')$  be the number of ways to insert a balanced pair  $\langle \rangle$  into  $b$  to obtain  $b'$ , e.g.,  $n(\langle \rangle, \langle \langle \rangle \rangle) = 1$  and  $n(\langle \rangle, \langle \rangle \langle \rangle) = 3$ . The least element is the empty arrangement  $\emptyset$ . Then  $\mathcal{B}$  is a weighted-relation poset.

The universal cover  $\tilde{\mathcal{B}}$  has rank- $n$  elements that are permutations  $a_1 a_2 \cdots a_{2n}$  of the multiset  $\{1, 1, 2, 2, \dots, n, n\}$  such that, if  $a_i > a_j$  and  $i < j$ , then there is some  $k < j$ ,  $k \neq i$ , with  $a_k = a_i$ . In particular, if  $s$  is a rank- $n$  element of  $\tilde{\mathcal{B}}$ , then the two occurrences of  $n$  in  $s$  must be adjacent. We define a partial order on  $\tilde{\mathcal{B}}$  by declaring that the rank- $n$  element  $a_1 a_2 \cdots a_{2n}$  covers the rank- $(n-1)$  element  $a_1 \cdots a_{i-1} a_{i+2} \cdots a_{2n}$ , where  $a_i = a_{i+1} = n$ , and define the weight of all covering relations to be 1. Then  $\tilde{\mathcal{B}}$  is evidently simple.

Define  $\pi : \tilde{\mathcal{B}} \rightarrow \mathcal{B}$  by sending  $s \in \tilde{\mathcal{B}}$  to the bracket arrangement obtained by replacing the first occurrence of each positive integer in  $s$  by  $\langle$ , and the second occurrence of each positive integer by  $\rangle$ . Let  $s$  be a rank- $(n-1)$  element of  $\mathcal{B}$ , with  $\pi(s') = s$ . Then a rank- $n$  element  $r' \succ s'$  is obtained by inserting  $nn$  into  $s'$ , corresponding to inserting  $\langle \rangle$  into  $s$ . Thus, for any  $r \succ s$  in  $\mathcal{B}$  with  $|r| - |s| = 1$ ,

$$\begin{aligned} n(s, r) &= \text{number of ways to insert } \langle \rangle \text{ into } s \text{ to get } r \\ &= \sum_{r' \in \pi^{-1}(r)} n(s', r'), \end{aligned}$$

so  $\pi$  is a covering map.

It is well known that there are  $C_n$  rank- $n$  elements of  $\mathcal{B}$ , where

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$

is the  $n$ th Catalan number. The number of rank- $n$  elements of  $\tilde{\mathcal{B}}$  can be seen to be

$$(2n-1)!! = (2n-1)(2n-3) \cdots 3 \cdot 1$$

as follows. If  $s = a_1 a_2 \cdots a_{2n} \in \tilde{\mathcal{B}}$ , there are  $2n-1$  possible choices of  $i$  so that  $a_i$  is the first occurrence of  $n$  in  $s$ . Once  $i$  is chosen, then  $a_{i+1} = n$ , so  $s$  covers the rank- $(n-1)$  element  $a_1 \cdots a_{i-1} a_{i+2} \cdots a_{2n}$  of  $\tilde{\mathcal{B}}$ , which by induction can be chosen in  $(2n-3)!!$  ways. The phenomenon that labelling elements of a set enumerated by Catalan numbers gives a set enumerated by double factorials was noted in [3].

*Example 5.* Let  $\mathcal{F}$  be the set of partitions of nonnegative integers, ordered by inclusion of their Young diagrams. Thus, a partition  $\lambda$  of  $n$  covers a partition  $\mu$  of  $n-1$  if  $\lambda$  can be obtained from  $\mu$  by increasing one part of  $\mu$  by 1, or by adding a new part of size 1 to  $\mu$ : and we assign weight 1 to every covering relation. Then a rank- $n$  element of the universal cover  $\tilde{\mathcal{F}}$  is a Young diagram with boxes labelled  $1, 2, \dots, n$  so that the labels increase from left to right and from top to bottom, i.e., a standard Young tableau. The ordering on  $\tilde{\mathcal{F}}$  is by inclusion, and  $\tilde{\mathcal{F}} \rightarrow \mathcal{F}$  is the obvious function. The cardinality  $n(\emptyset, \lambda)$  of the fiber of



a partition  $\lambda$  is given by the hook-length formula (see [12, Cor. 7.21.6]). More generally, when  $\mu \prec \lambda$  the number  $n(\mu, \lambda)$  counts standard Young tableaux of skew shape  $\lambda/\mu$  (see [12, Cor. 7.16.3] for a formula). There is also an algebraic interpretation of the numbers  $n(\mu, \lambda)$ : if we let  $s_\lambda$  be the Schur symmetric function corresponding to the partition  $\lambda$ , then

$$s_1^k s_\mu = \sum_{|\lambda|=|\mu|+k} n(\mu, \lambda) s_\lambda$$

(see [12, Sect. 7.15]).

*Example 6.* Let  $\mathcal{C}$  be the poset of compositions, i.e., finite sequences of integers, with rank given by the sum, and least element  $\emptyset$ . For compositions  $I, J$  with  $|J| - |I| = 1$ , we define

$$n(I, J) = \begin{cases} 1, & \text{if } J \text{ is obtained from } I \text{ by increasing one part;} \\ m, & \text{if there are } m \text{ ways to insert } 1 \text{ into } I \text{ to get } J; \\ 0, & \text{otherwise.} \end{cases}$$

Thus, e.g.,  $n(121, 122) = 1$ ,  $n(121, 1121) = 2$ , and  $n(121, 212) = 0$ . This defines a weight system of  $\mathcal{C}$ , so  $\mathcal{C}$  is a weighted-relation poset.

A rank- $n$  element of the universal cover  $\tilde{\mathcal{C}}$  is a Cayley permutation of length  $n$  as defined in [6], i.e., a length- $n$  sequence  $s$  of positive integers such that any positive integer  $i < j$  appears in  $s$  whenever  $j$  does. The partial order on  $\tilde{\mathcal{C}}$  is defined as follows. If  $s = a_1 \cdots a_n$  is a Cayley permutation, let  $m(s) = \max\{a_1, \dots, a_n\}$ . Then  $s$  covers  $a_1 \cdots a_{n-1}$  if the latter is a Cayley permutation; otherwise,  $s$  covers  $p(a_1) \cdots p(a_{n-1})$ , where  $p$  is the order-preserving bijection from  $\{a_1, \dots, a_{n-1}\}$  to  $\{1, 2, \dots, m(s) - 1\}$ . For example, the order ideal generated by 41332 is

$$41332 \succ 3122 \succ 312 \succ 21 \succ 1 \succ \emptyset.$$

If we give each covering relation weight 1, then  $\tilde{\mathcal{C}}$  is evidently simple.

Let  $\pi : \tilde{\mathcal{C}} \rightarrow \mathcal{C}$  be the function that sends a sequence  $s$  to the sequence  $i_1 i_2 \cdots i_k$ , where  $i_j$  is the number of times  $j$  occurs in  $s$ ; e.g.,  $\pi^{-1}(13) = \{1222, 2122, 2212, 2221\}$ . To see that  $\pi$  is a covering map, consider compositions  $I, J$  with  $|J| = |I| + 1$ . Let  $I = i_1 \cdots i_k$  and  $s = a_1 \cdots a_n \in \tilde{\mathcal{C}}$  with  $\pi(s) = I$ . Suppose first that  $J$  is obtained from  $I$  by increasing the size of one part, so  $J = i_1 \cdots i_{r-1}(i_r + 1)i_{r+1} \cdots i_k$ . Then  $n(I, J) = 1$  and there is only one  $t \succ s$  with  $\pi(t) = J$ , namely  $t = a_1 \cdots a_n r$ . Now suppose  $J$  is obtained from  $I$  by inserting 1, i.e.,  $J = i_1 \cdots i_r 1 i_{r+1} \cdots i_k$ ; without loss of generality we can assume  $i_r \neq 1$ . Then  $J$  contains a string of 1's of length  $n(I, J)$  after  $i_r$ . The possible elements  $t \succ s$  in  $\tilde{\mathcal{C}}$  with  $\pi(t) = J$  are of the form  $t = q(a_1)q(a_2) \cdots q(a_n)(r + i)$ , where  $i$  runs from 1 to  $n(I, J)$  and  $q$  is the order-preserving bijection from  $\{a_1, \dots, a_n\} = \{1, \dots, k\}$  to  $\{1, \dots, r + i - 1, r + i + 1, \dots, k + 1\}$ . Finally, if  $n(I, J) = 0$ , we must have  $n(s, t) = 0$  for any  $t \in \tilde{\mathcal{C}}$  with  $\pi(t) = J$  since the previous two cases have exhausted all the possibilities for  $t$  to cover  $s$ . So in any case,

$$n(I, J) = \sum_{t \in \pi^{-1}(J)} n(s, t)$$

when  $|J| - |I| = 1$  and  $\pi(s) = I$ .

The cardinality of  $n(\emptyset, I)$  of the fiber of a composition  $I = i_1 \cdots i_k$  is evidently the multinomial coefficient

$$\binom{|I|}{i_1 \cdots i_k}.$$

There is an algebraic interpretation of the numbers  $n(I, J)$  analogous to that of the preceding example: if  $M_I$  is the monomial quasisymmetric function corresponding to the composition  $I$  (see [7, Sect. 9.4], or [12, Sect. 7.19] for definitions), then

$$M_1^k M_I = \sum_{|J|=|I|+k} n(I, J) M_J.$$

In particular, the multinomial coefficients  $n(\emptyset, J)$  appear in the expansion of  $M_1^k$ .

*Example 7.* Let  $\mathcal{T}$  be the poset of rooted trees ordered by inclusion, i.e.,  $t' \succ t$  if  $t'$  can be obtained from  $t$  by adding new edges and vertices. The rank function is given by

$$|t| = \text{number of vertices of } t - 1,$$

and the least element is the tree  $\bullet$  consisting of the root vertex. The weight system is defined as follows: if  $|t'| - |t| = 1$ , let  $n(t, t')$  be the number of vertices of  $t$  to which a new edge and terminal vertex may be added to obtain  $t'$ .

Rank- $n$  elements of  $\tilde{\mathcal{T}}$  are permutations of  $\{1, 2, \dots, n\}$ . A permutation  $\sigma = s_1 s_2 \cdots s_n$  of  $\{1, \dots, n\}$  with  $s_i = n$  covers the permutation

$$\tau = s_1 \cdots s_{i-1} s_{i+1} \cdots s_n$$

of  $\{1, \dots, n-1\}$  (and no other). The least element is the empty permutation  $\emptyset$ . Then  $\tilde{\mathcal{T}}$  is clearly simple if we give each covering relation weight 1.

Now we define the covering map  $\pi : \tilde{\mathcal{T}} \rightarrow \mathcal{T}$ . Let  $\pi(\emptyset) = \bullet$ , and given a nonempty permutation  $\sigma = s_1 s_2 \cdots s_n$  define a rooted tree with vertices labelled  $0, 1, \dots, n$  as follows. Label the root  $0$ , and attach the vertex labelled  $i$  to the vertex labelled  $j < i$  if  $j$  is the last element of the sequence  $s_1 s_2 \cdots s_{k-1}$  that is smaller than  $i$ , where  $s_k = i$ ; attach  $i$  to the root if no such  $j$  exists. This associates a labelled rooted tree with each  $\sigma \in \tilde{\mathcal{T}}$ , and  $\pi(\sigma)$  is just the rooted tree obtained by forgetting the labels. Thus, e.g.,

$$\pi(4231) = \begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ \bullet \quad \bullet \\ | \\ \bullet \end{array} .$$

To see that  $\pi$  is a covering map, note first that terminal vertices of  $\pi(\sigma)$  correspond either to descents of  $\sigma$  (i.e., terms  $s_i$  with  $s_i > s_{i+1}$ ), or to the final term. Now a permutation  $\sigma$  with  $|\sigma| = n$  covers  $\tau$  exactly when  $\sigma$  is obtained by inserting  $n$  into  $\tau$ , e.g.,  $2413 \succ 213$ . This always introduces a new descent (or new final term) into  $\tau$ , and corresponds to adding a new edge and terminal vertex to  $\pi(\tau)$ ; moreover, the  $n$  possible

places to insert  $n$  in a rank- $(n - 1)$  permutation  $\tau$  correspond to the  $n$  vertices of  $\pi(\tau)$  where a new edge and vertex can be attached. Thus, for trees  $r, s$  with  $|r| - |s| = 1$  and  $s = \pi(\tau)$ ,

$$\begin{aligned} n(s, r) &= \text{number of permutations } \sigma \succ \tau \text{ with } \pi(\sigma) = r \\ &= \sum_{\sigma \in \pi^{-1}(r)} n(\tau, \sigma). \end{aligned}$$

The cardinality  $n(\bullet, t)$  of the fiber of a rank- $n$  rooted tree  $t$  is the number of distinct labelled rooted trees (with labels coming from  $\{0, 1, \dots, n\}$  and strictly increasing as one moves away from the root) that are isomorphic to  $t$  when the labels are removed. Since there are  $n!$  rank- $n$  elements of  $\tilde{\mathcal{T}}$ ,

$$\sum_{|t|=n} n(\bullet, t) = n!.$$

In fact, if we let  $e(t)$  be the number of terminal vertices of the tree  $t$  we have

$$\sum_{|t|=n, e(t)=k} n(\bullet, t) = \left\langle \begin{matrix} n \\ k-1 \end{matrix} \right\rangle,$$

where  $\left\langle \begin{matrix} p \\ q \end{matrix} \right\rangle$  is the number of permutations of  $\{1, \dots, p\}$  with  $q$  descents (Eulerian number), because of the correspondence between descents of  $\sigma$  and terminal vertices of  $\pi(\sigma)$ . Cf. [11, Prop. 1.3.16].

The numbers  $n(\bullet, t)$  appear in connection with the “growth operator” on the Hopf algebra of rooted trees studied by Connes and Kreimer [2]. In [1, 5],  $n(\bullet, t)$  is called the “Connes-Moscovici weight” of  $t$ , and some results about it are obtained. To describe them requires a few definitions. Given a tree  $t$ , let  $V(t)$  be the set of vertices of  $t$ , and for  $v \in V(t)$  let  $t_v$  be the subtree consisting of  $v$  and all its descendents (with  $v$  as root): thus  $t_r = t$  if  $r$  is the root of  $t$ , and  $t_v = \bullet$  if  $v$  is a terminal vertex. Define the “tree factorial” of  $t$  by

$$t! = \prod_{v \in V(t)} (|t_v| + 1).$$

Also, if  $v_1, \dots, v_k$  are the children of the root of  $t$ , define the symmetry group  $SG(t)$  to be the group of permutations  $\sigma$  of  $\{1, \dots, k\}$  such that  $t_{v_i}$  and  $t_{v_j}$  are isomorphic rooted trees when  $\sigma(i) = j$ . Define the symmetry degree of  $t$  to be

$$S_t = \prod_{v \in V(t)} \text{card } SG(t_v).$$

For example, the tree  $t = \pi(4231)$  above has  $t! = 10$  and  $S_t = 2$ . In [1] it is shown that

$$n(\bullet, t) = \frac{(|t| + 1)!}{t! S_t}. \tag{6}$$

Equation (6) is actually a variant of the generalized hook-length formula for rooted trees that appears in [10, Sect. 22], [4, Ex. 5.1.4-20], and [8]. To see this, note that if  $T$  is a realization of the rooted tree  $t$  as a planar directed graph (with arrows coming out from the root), then the number of ways to attach the labels  $\{0, 1, \dots, |t|\}$  to the vertices of  $T$  so that the labels strictly increase outward from the root is  $n(\bullet, t)S_t$ . Also of interest is the result of [5] that

$$\sum_{|t|=n} \frac{n(\bullet, t)}{t!} = \frac{n!}{2^n}.$$

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