

Bartholdi Zeta Functions for Hypergraphs

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Abstract

Recently, Storm [8] defined the Ihara-Selberg zeta function of a hypergraph, and gave two determinant expressions of it. We define the Bartholdi zeta function of a hypergraph, and present a determinant expression of it. Furthermore, we give a determinant expression for the Bartholdi zeta function of semiregular bipartite graph. As a corollary, we obtain a decomposition formula for the Bartholdi zeta function of some regular hypergraph.

1 Introduction

Graphs and digraphs treated here are finite. Let G be a connected graph and D the symmetric digraph corresponding to G . Set $D(G) = \{(u, v), (v, u) \mid uv \in E(G)\}$. For $e = (u, v) \in D(G)$, set $u = o(e)$ and $v = t(e)$. Furthermore, let $e^{-1} = (v, u)$ be the *inverse* of $e = (u, v)$.

A *path* P of length n in D (or G) is a sequence $P = (e_1, \dots, e_n)$ of n arcs such that $e_i \in D(G)$, $t(e_i) = o(e_{i+1})$ ($1 \leq i \leq n-1$). If $e_i = (v_{i-1}, v_i)$ for $i = 1, \dots, n$, then we write $P = (v_0, v_1, \dots, v_{n-1}, v_n)$. Set $|P| = n$, $o(P) = o(e_1)$ and $t(P) = t(e_n)$. Also, P is called an $(o(P), t(P))$ -*path*. We say that a path $P = (e_1, \dots, e_n)$ has a *backtracking* or a *bump* at $t(e_i)$ if $e_{i+1}^{-1} = e_i$ for some i ($1 \leq i \leq n-1$). A (v, w) -path is called a *v-cycle* (or *v-closed path*) if $v = w$. The *inverse cycle* of a cycle $C = (e_1, \dots, e_n)$ is the cycle $C^{-1} = (e_n^{-1}, \dots, e_1^{-1})$.

We introduce an equivalence relation between cycles. Two cycles $C_1 = (e_1, \dots, e_m)$ and $C_2 = (f_1, \dots, f_m)$ are called *equivalent* if $f_j = e_{j+k}$ for all j . The inverse cycle of C is not equivalent to C . Let $[C]$ be the equivalence class which contains a cycle C . Let B^r be the cycle obtained by going r times around a cycle B . Such a cycle is called a *multiple* of B . A cycle C is *reduced* if both C and C^2 have no backtracking. Furthermore, a cycle C is *prime* if it is not a multiple of a strictly smaller cycle. Note that each equivalence

class of prime, reduced cycles of a graph G corresponds to a unique conjugacy class of the fundamental group $\pi_1(G, v)$ of G at a vertex v of G .

Let G be a connected graph. Then the *cyclic bump count* $cbc(\pi)$ of a cycle $\pi = (\pi_1, \dots, \pi_n)$ is

$$cbc(\pi) = |\{i = 1, \dots, n \mid \pi_i = \pi_{i+1}^{-1}\}|,$$

where $\pi_{n+1} = \pi_1$.

Bartholdi [1] introduced the Bartholdi zeta function of a graph. The *Bartholdi zeta function* of G is defined by

$$\zeta(G, u, t) = \prod_{[C]} (1 - u^{cbc(C)} t^{|C|})^{-1},$$

where $[C]$ runs over all equivalence classes of prime cycles of G , and u, t are complex variables with $|u|, |t|$ sufficiently small.

If $u = 0$, then, since $0^0 = 1$, the Bartholdi zeta function of G is the (Ihara) zeta function of G (see [5]):

$$\zeta(G, 0, t) = \mathbf{Z}(G, t) = \prod_{[C]} (1 - t^{|C|})^{-1},$$

where $[C]$ runs over all equivalence classes of prime, reduced cycles of G . Ihara [5] defined zeta functions of graphs, and showed that the reciprocals of zeta functions of regular graphs are explicit polynomials. A zeta function of a regular graph G associated with a unitary representation of the fundamental group of G was developed by Sunada [9,10]. Hashimoto [4] treated multivariable zeta functions of bipartite graphs. Bass [2] generalized Ihara's result on the zeta function of a regular graph to an irregular graph G , and showed that the reciprocal of the zeta function of G is given by

$$\mathbf{Z}(G, t)^{-1} = (1 - t^2)^{r-1} \det(\mathbf{I} - t\mathbf{A}(G) + t^2(\mathbf{D}_G - \mathbf{I})),$$

where r is the Betti number of G , and $\mathbf{D}_G = (d_{ij})$ is the diagonal matrix with $d_{ii} = \deg_G v_i$ ($V(G) = \{v_1, \dots, v_n\}$). Stark and Terras [7] gave an elementary proof of this formula, and discussed three different zeta functions of any graph. Various proofs of Bass' Theorem were given by Kotani and Sunada [6], and Foata and Zeilberger [3].

Bartholdi [1] gave a determinant expression of the Bartholdi zeta function of a graph.

Theorem 1 (Bartholdi) *Let G be a connected graph with n vertices and m unoriented edges. Then the reciprocal of the Bartholdi zeta function of G is given by*

$$\zeta(G, u, t)^{-1} = (1 - (1 - u)^2 t^2)^{m-n} \det(\mathbf{I} - t\mathbf{A}(G) + (1 - u)(\mathbf{D}_G - (1 - u)\mathbf{I})t^2).$$

Storm [8] defined the Ihara-Selberg zeta function of a hypergraph. A hypergraph $H = (V(H), E(H))$ is a pair of a set of *hypervertices* $V(H)$ and a set of *hyperedges* $E(H)$, which the union of all hyperedges is $V(H)$. A hypervertex v is *incident* to a hyperedge e if $v \in e$. For a hypergraph H , its dual H^* is the hypergraph obtained by letting its hypervertex set be indexed by $E(H)$ and its hyperedge set by $V(H)$.

A bipartite graph B_H associated with a hypergraph H is defined as follows: $V(B_H) = V(H) \cup E(H)$ and $v \in V(H)$ and $e \in E(H)$ are *adjacent* in B_H if v is incident to e . Let $V(H) = \{v_1, \dots, v_n\}$. Then an *adjacency matrix* $\mathbf{A}(H)$ of H is defined as a matrix whose rows and columns are parameterized by $V(H)$, and (i, j) -entry is the number of directed paths in B_H from v_i to v_j of length 2 with no backtracking.

Let H be a hypergraph. A *path* P of length n in H is a sequence $P = (v_1, e_1, v_2, e_2, \dots, e_n, v_{n+1})$ of $n+1$ hypervertices and n hyperedges such that $v_i \in V(H)$, $e_j \in E(H)$, $v_1 \in e_1$, $v_{n+1} \in e_n$ and $v_i \in e_i, e_{i-1}$ for $i = 2, \dots, n-1$. Set $|P| = n$, $o(P) = v_1$ and $t(P) = v_{n+1}$. Also, P is called an $(o(P), t(P))$ -*path*. We say that a path P has a *hyperedge backtracking* if there is a subsequence of P of the form (e, v, e) , where $e \in E(H)$, $v \in V(H)$. A (v, w) -path is called a v -*cycle* (or v -*closed path*) if $v = w$.

We introduce an equivalence relation between cycles. Such two cycles $C_1 = (v_1, e_1, v_2, \dots, e_m, v_1)$ and $C_2 = (w_1, f_1, w_2, \dots, f_m, w_1)$ are called *equivalent* if $w_j = v_{j+k}$ and $f_j = e_{j+k}$ for all j . Let $[C]$ be the equivalence class which contains a cycle C . Let B^r be the cycle obtained by going r times around a cycle B . Such a cycle is called a *multiple* of B . A cycle C is *reduced* if both C and C^2 have no hyperedge backtracking. Furthermore, a cycle C is *prime* if it is not a multiple of a strictly smaller cycle.

The *Ihara-Selberg zeta function* of H is defined by

$$\zeta_H(t) = \prod_{[C]} (1 - t^{|C|})^{-1},$$

where $[C]$ runs over all equivalence classes of prime, reduced cycles of H , and t is a complex variable with $|t|$ sufficiently small (see [8]).

Let H be a hypergraph with $E(H) = \{e_1, \dots, e_m\}$, and let $\{c_1, \dots, c_m\}$ be a set of m colors, where $c(e_i) = c_i$. Then an *edge-colored graph* GH_c is defined as a graph with vertex set $V(H)$ and edge set $\{vw \mid v, w \in V(H); v, w \in e \in E(H)\}$, where an edge vw is colored c_i if $v, w \in e_i$.

Let GH_c^o be the symmetric digraph corresponding to the edge-colored graph GH_c . Then the *oriented line graph* $H_L^o = (V_L, E_L^o)$ associated with GH_c^o by

$$V_L = D(GH_c^o), \text{ and } E_L^o = \{(e_i, e_j) \in D(GH_c^o) \times D(GH_c^o) \mid c(e_i) \neq c(e_j), t(e_i) = o(e_j)\},$$

where $c(e_i)$ is the color assigned to the oriented edge $e_i \in D(GH_c^o)$. The *Perron-Frobenius operator* $T : C(V_L) \rightarrow C(V_L)$ is given by

$$(Tf)(x) = \sum_{e \in E_o(x)} f(t(e)),$$

where $E_o(x) = \{e \in E_L^o \mid o(e) = x\}$ is the set of all oriented edges with x as their origin vertex, and $C(V_L)$ is the set of functions from V_L to the complex number field \mathbf{C} .

Storm [8] gave two nice determinant expressions of the Ihara-Selberg zeta function of a hypergraph by using the results of Kotani and Sunada [6], and Bass [2].

Theorem 2 (Storm) *Let H be a finite, connected hypergraph such that every hypervetex is in at least two hyperedges. Then*

$$\zeta_H(t)^{-1} = \det(\mathbf{I} - tT) = (1 - t)^{m-n} \det(\mathbf{I} - \sqrt{t}\mathbf{A}(B_H) + t\mathbf{Q}_{B_H}),$$

where $n = |V(B_H)|$, $m = |E(B_H)|$ and $\mathbf{Q}_{B_H} = \mathbf{D}_{B_H} - \mathbf{I}$.

Furthermore, Storm [8] presented the Ihara-Selberg zeta function of a (d, r) -regular hypergraph by using the results of Hashimoto [4].

In Section 2, we define the Bartholdi zeta function of a hypergraph, and present a determinant expression of it. In Section 3, we give a decomposition formula (Theorem 4) for the Bartholdi zeta function of semiregular bipartite graph. As a corollary, we obtain a decomposition formula for the Bartholdi zeta function of some regular hypergraph. In Section 4, we prove Theorem 4 by using an analogue of Hashimoto's method [4].

2 Bartholdi zeta function of a hypergraph

Let H be a hypergraph. Then a path $P = (v_1, e_1, v_2, e_2, \dots, e_n, v_{n+1})$ has a (*broad*) *backtracking* or (*broad*) *bump* at e or v if there is a subsequence of P of the form (e, v, e) or (v, e, v) , where $e \in E(H)$, $v \in V(H)$. Furthermore, the *cyclic bump count* $cbc(C)$ of a cycle $C = (v_1, e_1, v_2, e_2, \dots, e_n, v_1)$ is

$$cbc(C) = |\{i = 1, \dots, n \mid v_i = v_{i+1}\}| + |\{i = 1, \dots, n \mid e_i = e_{i+1}\}|,$$

where $v_{n+1} = v_1$ and $e_{n+1} = e_1$.

The *Bartholdi zeta function* of H is defined by

$$\zeta(H, u, t) = \prod_{[C]} (1 - u^{cbc(C)} t^{|C|})^{-1},$$

where $[C]$ runs over all equivalence classes of prime cycles of H , and u, t are complex variables with $|u|, |t|$ sufficiently small.

If $u = 0$, then the Bartholdi zeta function of H is the Ihara-Selberg zeta function of H .

A determinant expression of the Bartholdi zeta function of a hypergraph is given as follows:

Theorem 3 *Let H be a finite, connected hypergraph such that every hypervetex is in at least two hyperedges. Then*

$$\zeta(H, u, t) = \zeta(B_H, u, \sqrt{t}) = (1 - (1-u)^2 t)^{-(m-n)} \det(\mathbf{I} - \sqrt{t}\mathbf{A}(B_H) + (1-u)t(\mathbf{D}_{B_H} - (1-u)\mathbf{I}))^{-1}$$

where $n = |V(B_H)|$ and $m = |E(B_H)|$.

Proof. The argument is an analogue of Storm's method [8].

At first, we show that there exists a one-to-one correspondence between equivalence classes of prime cycles of length l in H and those of prime cycles of length $2l$ in B_H , and $cbc(C) = cbc(\tilde{C})$ for any prime cycle C in H and the corresponding cycle \tilde{C} in B_H .

Let $C = (v_1, e_1, v_2, \dots, v_l, e_l, v_1)$ be a prime cycle of length l in H . Then a cycle $\tilde{C} = (v_1, v_1e_1, e_1, \dots, v_l, v_l e_l, e_l, e_l v_1, v_1)$ is a prime cycle of length $2l$ in B_H . Thus, there exists a one-to-one correspondence between equivalence classes of prime cycles of length l in H and those of prime cycles of length $2l$ in B_H .

Let C a prime cycle in H and \tilde{C} a prime cycle corresponding to C in B_H . Then there exists a subsequence (v, e, v) (or (e, v, e)) in C if and only if there exists a subsequence (v, ve, e, ev, v) (or (e, ev, v, ve, e)) in \tilde{C} . Thus, we have $cbc(C) = cbc(\tilde{C})$.

Therefore, it follows that

$$\zeta(H, u, t) = \prod_{[C]} (1 - u^{cbc(C)} t^{|C|})^{-1} = \prod_{[\tilde{C}]} (1 - u^{cbc(\tilde{C})} t^{|\tilde{C}|/2})^{-1} = \zeta(B_H, u, \sqrt{t}),$$

where $[C]$ and $[\tilde{C}]$ runs over all equivalence classes of prime cycles in H and B_H , respectively.

By Theorem 1, we have

$$\zeta(H, u, t) = (1 - (1 - u)^2 t)^{-(m-n)} \det(\mathbf{I} - \sqrt{t} \mathbf{A}(B_H) + (1 - u)t(\mathbf{D}_{B_H} - (1 - u)\mathbf{I}))^{-1},$$

where $n = |V(H)|$ and $m = |E(H)|$. \square

If $u = 0$, then Theorem 3 implies Theorem 2.

Corollary 1 *Let H be a finite, connected hypergraph such that every hypervetex is in at least two hyperedges. Then*

$$\zeta(H, u, t) = \zeta(H^*, u, t).$$

Proof. By the fact that $B_H = B_{H^*}$. \square

3 Bartholdi zeta functions of (d, r) -regular hypergraphs

At first, we state a decomposition formula for the Bartholdi zeta function of a semiregular bipartite graph. Hashimoto [4] presented a determinant expression for the Ihara zeta function of a semiregular bipartite graph. We generalize Hashimoto's result on the Ihara zeta function to the Bartholdi zeta function.

A graph G is called *bipartite*, denoted by $G = (V_1, V_2)$ if there exists a partition $V(G) = V_1 \cup V_2$ of $V(G)$ such that the vertices in V_i are mutually nonadjacent for $i = 1, 2$. A bipartite graph $G = (V_1, V_2)$ is called $(q_1 + 1, q_2 + 1)$ -*semiregular* if $\deg_G v = q_i + 1$ for each $v \in V_i (i = 1, 2)$. For a $(q_1 + 1, q_2 + 1)$ -semiregular bipartite graph $G = (V_1, V_2)$, let $G^{[i]}$ be the graph with vertex set V_i and edge set $\{P : \text{reduced path} \mid |P| = 2; o(P), t(P) \in V_i\}$ for $i = 1, 2$. Then $G^{[1]}$ is $(q_1 + 1)q_2$ -regular, and $G^{[2]}$ is $(q_2 + 1)q_1$ -regular.

A determinant expression for the Bartholdi zeta function of a semiregular bipartite graph is given as follows. For a graph G , let $\text{Spec}(G)$ be the set of all eigenvalues of the adjacency matrix of G .

Theorem 4 Let $G = (V_1, V_2)$ be a connected $(q_1 + 1, q_2 + 1)$ -semiregular bipartite graph with ν vertices and ϵ edges. Set $|V_1| = n$ and $|V_2| = m (n \leq m)$. Then

$$\begin{aligned} \zeta(G, u, t)^{-1} &= (1 - (1 - u)^2 t^2)^{\epsilon - \nu} (1 + (1 - u)(q_2 + u)t^2)^{m - n} \\ &\times \prod_{j=1}^n (1 - (\lambda_j^2 - (1 - u)(q_1 + q_2 + 2u))t^2 + (1 - u)^2 (q_1 + u)(q_2 + u)t^4) \\ &= (1 - (1 - u)^2 t^2)^{\epsilon - \nu} (1 + (1 - u)(q_2 + u)t^2)^{m - n} \det(\mathbf{I}_n - (\mathbf{A}^{[1]} - ((q_2 - 1) \\ &\quad + (q_1 + q_2 - 2)u + 2u^2)\mathbf{I}_n)t^2 + (1 - u)^2 (q_1 + u)(q_2 + u)t^4 \mathbf{I}_n) \\ &= (1 - (1 - u)^2 t^2)^{\epsilon - \nu} (1 + (1 - u)(q_1 + u)t^2)^{n - m} \det(\mathbf{I}_m - (\mathbf{A}^{[2]} - ((q_1 - 1) \\ &\quad + (q_1 + q_2 - 2)u + 2u^2)\mathbf{I}_m)t^2 + (1 - u)^2 (q_1 + u)(q_2 + u)t^4 \mathbf{I}_m), \end{aligned}$$

where $\text{Spec}(G) = \{\pm\lambda_1, \dots, \pm\lambda_n, 0, \dots, 0\}$ and $\mathbf{A}^{[i]} = \mathbf{A}(G^{[i]} (i = 1, 2))$.

The proof of Theorem 4 is given in section 4.

A hypergraph H is a (d, r) -regular if every hypervertex is incident to d hyperedges, and every hyperedge contains r hypervertices. If H is a (d, r) -regular hypergraph, then the associated bipartite graph B_H is (d, r) -semiregular. Let $V_1 = V(H)$, $V_2 = E(H)$ and $d \geq r$. Set $n = |V_1|$ and $m = |V_2|$. Then we have $\mathbf{A}^{[1]} = \mathbf{A}(H)$ and $\mathbf{A}^{[2]} = \mathbf{A}(H^*)$. By Theorems 3 and 4, we obtain the following result. Let $\text{Spec}(\mathbf{B})$ be the set of all eigenvalues of the square matrix \mathbf{B} .

Theorem 5 Let H be a finite, connected (d, r) -regular hypergraph with $d \geq r$. Set $n = |V(H)|$ and $m = |E(H)|$. Then

$$\begin{aligned} \zeta(H, u, t)^{-1} &= (1 - (1 - u)^2 t)^{\epsilon - \nu} (1 + (1 - u)(r - 1 + u)t)^{m - n} \\ &\times \prod_{j=1}^n (1 - (\lambda_j^2 - (1 - u)(d + r - 2 + 2u))t + (1 - u)^2 (d - 1 + u)(r - 1 + u)t^2) \\ &= (1 - (1 - u)^2 t)^{\epsilon - \nu} (1 + (1 - u)(r - 1 + u)t)^{m - n} \det(\mathbf{I}_n - (\mathbf{A}(H) - (r - 2 \\ &\quad + (d + r - 4)u + 2u^2)\mathbf{I}_n)t + (1 - u)^2 (d - 1 + u)(r - 1 + u)t^2 \mathbf{I}_n) \\ &= (1 - (1 - u)^2 t)^{\epsilon - \nu} (1 + (1 - u)(d - 1 + u)t)^{n - m} \det(\mathbf{I}_m - (\mathbf{A}(H^*) - (d - 2 \\ &\quad + (d + r - 4)u + 2u^2)\mathbf{I}_m)t + (1 - u)^2 (d - 1 + u)(r - 1 + u)t^2 \mathbf{I}_m), \end{aligned}$$

where $\epsilon = nd = mr$, $\nu = n + m$ and $\text{Spec}(\mathbf{A}(H)) = \{\pm\lambda_1, \dots, \pm\lambda_n, 0, \dots, 0\}$.

In the case of $u = 0$, we obtain Theorem 16 in [8].

Corollary 2 (Storm) *Let H be a finite, connected (d, r) -regular hypergraph with $d \geq r$. Set $n = |V(H)|$, $m = |E(H)|$ and $q = (d - 1)(r - 1)$. Then*

$$\begin{aligned}\zeta_H(t)^{-1} &= (1 - t)^{\epsilon - \nu} (1 + (r - 1)t)^{m - n} \det(\mathbf{I}_n - (\mathbf{A}(H) - r + 2)t + qt^2) \\ &= (1 - t)^{\epsilon - \nu} (1 + (d - 1)t)^{n - m} \det(\mathbf{I}_m - (\mathbf{A}(H^*) - d + 2)t + qt^2),\end{aligned}$$

where $\epsilon = nd = mr$ and $\nu = n + m$.

4 A proof of Theorem 4

The argument is an analogue of Hashimoto's method [4].

By Theorem 1, we have

$$\zeta(G, u, t)^{-1} = (1 - (1 - u)^2 t^2)^{\epsilon - \nu} \det(\mathbf{I}_\nu - t\mathbf{A} + (1 - u)t^2(\mathbf{Q}_G + u\mathbf{I}_\nu)).$$

Let $V_1 = \{u_1, \dots, u_n\}$ and $V_2 = \{v_1, \dots, v_m\}$. Arrange vertices of G in $n + m$ blocks: $u_1, \dots, u_n; v_1, \dots, v_m$. We consider the matrix $\mathbf{A} = \mathbf{A}(G)$ under this order. Then, let

$$\mathbf{A} = \begin{bmatrix} \mathbf{0} & \mathbf{E} \\ {}^t\mathbf{E} & \mathbf{0} \end{bmatrix},$$

where ${}^t\mathbf{E}$ is the transpose of \mathbf{E} .

Since \mathbf{A} is symmetric, there exists a orthogonal matrix $\mathbf{W} \in O(m)$ such that

$$\mathbf{E}\mathbf{W} = \begin{bmatrix} \mathbf{F} & \mathbf{0} \end{bmatrix} = \begin{bmatrix} \mu_1 & & 0 & 0 & \cdots & 0 \\ & \ddots & & \vdots & & \vdots \\ \star & & \mu_n & 0 & \cdots & 0 \end{bmatrix}.$$

Now, let

$$\mathbf{P} = \begin{bmatrix} \mathbf{I}_n & \mathbf{0} \\ \mathbf{0} & \mathbf{W} \end{bmatrix}.$$

Then we have

$${}^t\mathbf{P}\mathbf{A}\mathbf{P} = \begin{bmatrix} \mathbf{0} & \mathbf{F} & \mathbf{0} \\ {}^t\mathbf{F} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \end{bmatrix}.$$

Furthermore, we have

$${}^t\mathbf{P}(\mathbf{Q}_G + u\mathbf{I}_\nu)\mathbf{P} = \mathbf{Q}_G + u\mathbf{I}_\nu.$$

Thus,

$$\begin{aligned}\zeta(G, u, t)^{-1} &= (1 - (1 - u)^2 t^2)^{\epsilon - \nu} (1 + (1 - u)(q_2 + u)t^2)^{m - n} \det \begin{bmatrix} a\mathbf{I}_n & -t\mathbf{F} \\ -t {}^t\mathbf{F} & b\mathbf{I}_n \end{bmatrix} \\ &= (1 - (1 - u)^2 t^2)^{\epsilon - \nu} (1 + (1 - u)(q_2 + u)t^2)^{m - n} \det \begin{bmatrix} a\mathbf{I}_n & \mathbf{0} \\ -t {}^t\mathbf{F} & b\mathbf{I}_n - a^{-1}t^2 {}^t\mathbf{F}\mathbf{F} \end{bmatrix} \\ &= (1 - (1 - u)^2 t^2)^{\epsilon - \nu} (1 + (1 - u)(q_2 + u)t^2)^{m - n} \det(ab\mathbf{I}_n - t^2 {}^t\mathbf{F}\mathbf{F}),\end{aligned}$$

Hence

$$\det(ab\mathbf{I}_n - (\mathbf{A}^{[1]} + (q_1 + 1)\mathbf{I}_n)t^2) = \prod_{j=1}^n (ab - \lambda_j^2 t^2).$$

Thus, the second equation follows.

Similarly to the proof of the second equation, the third equation is obtained. \square

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