

# Coefficients of Gaussian Polynomials modulo $N$

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## Abstract

Let  $\begin{bmatrix} n \\ k \end{bmatrix}_q$  be a  $q$ -binomial coefficient. Stanley conjectured that the function  $f_k(n) = \#\left\{\alpha : [q^\alpha] \begin{bmatrix} n \\ k \end{bmatrix}_q \equiv R \pmod{N}\right\}$  is quasipolynomial for  $N$  prime. We prove this for any integer  $N$  and obtain an expression for the generating function  $F_k(x)$  for  $f_k(n)$ .

**Mathematics Subject Classifications:** 05A10, 05A15

## 1 Introduction

The  $q$ -analogue of the binomial coefficient is typically denoted  $\begin{bmatrix} n \\ k \end{bmatrix}_q$  and is defined by the rational expression

$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{[n]!}{[n-k]![k]!},$$

where  $[n]! = \prod_{i=1}^n (1 - q^i)/(1 - q)$ . These are polynomials with degree  $k(n - k)$ .

These polynomials appear in combinatorics and have connections to the theory of symmetric polynomials as well as representation theory. In particular, an important characterization is that they enumerate the Grassmannian  $\mathbf{Gr}(k, \mathbb{F}_q^n)$ :

**Theorem 1.1.** *The number of  $k$ -dimensional subspaces of  $\mathbb{F}_q^n$  is  $\begin{bmatrix} n \\ k \end{bmatrix}_q$ .*

For a proof see, for example, [6]. While  $q$ -binomial coefficients are common objects in combinatorics, recent works such as [3] or [8] have sparked additional interest in these objects and their coefficients.

In this paper, we investigate the behavior of these coefficients modulo some positive integer  $N \in \mathbb{N}$ . One motivation for this is the classical Lucas' theorem:

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**Theorem 1.2** (Lucas' Theorem). For  $p$  prime, let  $n, k \in \mathbb{N}$  have base  $p$  expansions  $n = \sum_{i \geq 0} n_i p^i, k = \sum_{i \geq 0} k_i p^i$ . Then

$$\binom{n}{k} \equiv \prod_{i \geq 0} \binom{n_i}{k_i} \pmod{p}.$$

By fixing  $k$ , the values of  $\binom{n}{k} \pmod{p}$  can be shown to form a repeating sequence related to the base  $p$  expansion of  $k$ . This extends to modulo  $N$ , as seen by the following corollary from [1]:

**Theorem 1.3** (Kwong [1]). Let the prime factorization of  $N$  be given by  $\prod p_i^{e_i}$  for primes  $p_i$ . Then  $\binom{n}{k}$  is purely periodic modulo  $N$  for fixed  $k$ , with period

$$P = \prod p_i^{e_i + b_i - 1},$$

where  $b_i \in \mathbb{N}, p_i^{b_i - 1} < k < p_i^{b_i}$ .

Here, the term purely periodic means that a sequence  $(x_n)_{n \in \mathbb{N}}$  has  $x_n = x_{n+Q}$  for some  $Q$  and all  $n \in \mathbb{N}$ . The  $q$ -binomial coefficients are an example of a “ $q$ -analogue”, in the sense that  $\lim_{q \rightarrow 1} \begin{bmatrix} n \\ k \end{bmatrix}_q = \binom{n}{k}$ . As a result, it is reasonable to expect similar structured behavior modulo  $p$  or even with general composites in the coefficients of  $\begin{bmatrix} n \\ k \end{bmatrix}_q$ , since this shows  $\binom{n}{k} = \lim_{q \rightarrow 1} \begin{bmatrix} n \\ k \end{bmatrix}_q = \sum_{i \geq 0} [q^i] \begin{bmatrix} n \\ k \end{bmatrix}_q$ . Here,  $[q^i]f(q)$  denotes the coefficient of  $q^i$  in  $f$ .

We prove and generalize Conjecture 1.8, that the “residue counting” function for these coefficients is a *quasipolynomial*. From [6], we have the following definition of a quasipolynomial function:

**Definition 1.4.** A function  $f : \mathbb{N} \rightarrow \mathbb{C}$  is *quasipolynomial* with degree  $d$  if

$$f(n) = c_d(n)n^d + c_{d-1}(n)n^{d-1} + \dots + c_0(n)$$

where each  $c_i(n)$  is a periodic function with integer period and  $c_d(n)$  is not identically 0. We call  $Q$  a *quasiperiod* of  $f$  if it is a common period of all  $c_i(n)$ . Note that  $Q$  is not unique, since  $kQ$  is a quasiperiod for  $k \in \mathbb{N}$ .

Equivalently, we can say  $f(n) = P_i(n)$  for  $n \equiv i \pmod{Q}$  where  $P_i \in \mathbb{Z}[x]$ . In order to state the main result (Theorem 1.9), we make the following definitions.

**Definition 1.5.** For a natural number  $N$  that we call the *modulus*,  $R \in \mathbb{Z}/N\mathbb{Z}$ , and  $k \in \mathbb{N}$ , we define

$$f_k(n) = \#\left\{ \alpha : [q^\alpha] \begin{bmatrix} n \\ k \end{bmatrix}_q \equiv R \pmod{N} \right\}.$$

This function counts the number of coefficients congruent to  $R$  modulo  $N$ .

**Remark 1.** From [5], we see that  $p_{\leq k}(n)$ , the number of partitions of  $n$  with at most  $k$  parts, is also an example of a quasipolynomial function.

**Definition 1.6.** Define  $\pi_N(k)$  as the minimal period of  $p_{\leq k}(n)$  modulo  $N$ .

**Definition 1.7.** Define  $\pi'_N(k)$  as follows:

$$\pi'_N(k) = \pi'_N(k-1) \operatorname{lcm} \left( N, \frac{\pi_N(k)}{\pi_N(k-1)} \right).$$

We set  $\pi'_N(1) = 1$ .

This definition makes it so that  $N \mid \frac{\pi'_N(k)}{\pi'_N(k-1)}$  for  $k > 1$ . Stanley originally conjectured the following in [7]:

**Conjecture 1.8** (Stanley [7]). *The function  $f_k$  is quasipolynomial for  $N$  prime.*

The following theorem, which generalizes Conjecture 1.8, is the main result of this paper. This is shown in Sections 3 and 4.

**Theorem 1.9.** *For a modulus  $N$ , the function  $f_k(n)$  is quasipolynomial, with a quasiperiod  $\pi'_N(k)$  and degree one.*

The idea will be to formulate an equivalent restatement in Theorem 4.2, which makes a more direct statement about the structure of the coefficients modulo  $N$ . In Section 5, we investigate the structure of the generating function

$$F_k(x) = \sum_{n \geq k} f_k(n)x^n.$$

In section 6, we investigate some asymptotics of the proven quasiperiod and conjectured minimal quasiperiod.

## 2 Coefficients of low degree terms in $\begin{bmatrix} n \\ k \end{bmatrix}_q$

We first try to understand the behavior of the coefficient of  $q^i$  in  $\begin{bmatrix} n \\ k \end{bmatrix}_q$  for small  $i$ . The following result is well-known and follows from the identity  $\sum_{i \geq 0} p(n, k, i)q^i = \begin{bmatrix} n+k \\ k \end{bmatrix}_q$ , where  $p(n, k, i)$  denotes the number of partitions  $\lambda \vdash i$  with at most  $k$  parts and maximal part  $\leq n$ .

**Lemma 1.** *Let  $n_0, k \in \mathbb{N}$  be arbitrary, and  $n \geq n_0 + k$ . Then for  $0 \leq i < n_0$ , we have  $[q^i] \begin{bmatrix} n \\ k \end{bmatrix}_q = p_{\leq k}(i)$ .*

**Remark 2.** A similar result is true for the last  $n_0$  coefficients by the symmetry of the  $q$ -binomial coefficients.

This warrants an investigation of the function  $p_{\leq k}(i)$  modulo  $N$ . The following theorem from [4] shows that it is purely periodic, and determines the minimal period for primes. Then in [2], this is extended to prime powers. By the Chinese Remainder Theorem, understanding the behavior of  $p_{\leq k}(i)$  modulo prime powers is sufficient to understand its behavior modulo  $N$ .

**Theorem 2.1** (Kwong). For a prime power  $p^e$ , fix a set  $S = \{s_0, s_1, \dots, s_l\}$  with entries in  $\mathbb{N}$ . Let  $p(n; S)$  be given by the generating function

$$P(x; S) := \prod_{s \in S} \frac{1}{1 - x^s} = \sum_{n \geq 0} p(n; S) x^n,$$

hence  $p(n; S)$  is the number of partitions  $\lambda$  with parts in  $S$  and  $|\lambda| = n$ . Then  $p(*; S)$  is purely periodic modulo  $p^e$ , with minimal period

$$\pi_{p^e}(S) = p^{b_p(S)+e-1} L_p(S)$$

where  $b_p(S)$  is the smallest integer such that

$$p^{b_p(S)} \geq \sum_{s \in S} p^{\nu_p(s)}$$

where  $\nu_p(s)$  is the  $p$ -adic valuation of  $s$  and  $L_p(S) := \text{lcm}(S)/p^{\nu_p(\text{lcm}(S))}$  is the  $p$ -free part of  $\text{lcm}(S)$ .

For a more detailed discussion, see [2]. Lemma 1 then shows that for  $n_0$  sufficiently large, the first  $n_0$  coefficients of  $\begin{bmatrix} n \\ k \end{bmatrix}_q$  for  $n - k \geq n_0$  will follow a repeating pattern of period  $\pi_{p^e}(k) = p^{b_p([k])+e-1} L_p([k])$  modulo  $p^e$ . Here,  $[k] := \{1, 2, \dots, k\}$ .

It is worth noting that the statement is slightly incorrect: the theorem does not hold in the trivial case  $k = 1$  where  $\pi_N(k) = 1$ . However, there seem to be no other errors otherwise with the proof. Fortunately, this case is simple and can be ignored. From now on, we have  $k \geq 2$ .

**Definition 2.2.** Fix  $k$ , a modulus  $N \in \mathbb{N}$ , and  $n > \pi_N(k)$ . Let  $\mathcal{S}$  be the sequence of the first  $\pi_N(k)$  coefficients of  $\begin{bmatrix} n+k \\ k \end{bmatrix}_q$  reduced mod  $N$ . It is given by  $\mathcal{S} = (s_0, s_1, \dots, s_{\pi_N(k)-1})$ , where  $s_\alpha \equiv [q^\alpha] \begin{bmatrix} n+k \\ k \end{bmatrix}_q \pmod{N}$ .

Theorem 2.1 and Lemma 1 show that  $\mathcal{S}$  determines the periodic sequence  $p_{\leq k}(i)$  modulo  $N$ .

**Example 1.** One example of this sequence for  $N = 2$  and  $k = 3$  is shown in Figure 1.

$s_0$	$s_1$	$s_2$	$s_3$	$s_4$	$s_5$	$s_6$	$s_7$	$s_8$	$s_9$	$s_{10}$	$s_{11}$
1	1	0	1	0	1	1	0	0	0	0	0

Figure 1: Values of  $\mathcal{S}$  modulo 2.

Next, we study  $\mathcal{S}$  for prime powers  $p^e$  as the modulus. The generating function of  $p_{\leq k}(n)$  is given by

$$P_{\leq k}(q) := \sum_{n \geq 0} p_{\leq k}(n) q^n = \frac{1}{\prod_{i \in [k]} (1 - q^i)}.$$

**Lemma 2.**  $\text{lcm}([k]) \mid \pi_{p^e}(k)$ .

*Proof.* By Theorem 2.2, it suffices to show that  $b_p([k]) \geq \nu_p(\text{lcm}([k]))$ . We can then see

$$p^{b_p([k])} \geq \sum_{i \in [k]} p^{\nu_p(i)} \geq p^{\max_{i \in [k]} \nu_p(i)} = p^{\nu_p(\text{lcm}([k]))}.$$

Taking logs, the result follows. □

**Definition 2.3.** Define the operator  $\Delta_Q : \mathbb{Z}[[q]] \rightarrow \mathbb{Z}[[q]]$  for  $Q \in \mathbb{N}$  via the formula

$$\begin{aligned} (\Delta_Q F)(q) &= F(q) - q^Q F(q) \\ &= \sum_{n \geq 0} f(n)q^n - \sum_{n \geq Q} f(n-Q)q^n, \end{aligned}$$

This can be viewed as an analogue of the finite difference operator ( $\Delta$ , as in [6] §1.9) acting on formal power series.

**Example 2.** Consider the generating function  $F(q) = \frac{q}{(1-q)^2} = \sum_{i \geq 0} iq^i$ . Suppose we want to calculate  $\Delta_5 F(q)$ : then we have

$$\begin{aligned} \Delta_5 F(q) &= \frac{q}{(1-q)^2} - \frac{q^6}{(1-q)^2} \\ &= \frac{5q^5}{1-q} + (4q^4 + 3q^3 + 2q^2 + 1q). \end{aligned}$$

This demonstrates a key aspect of the  $\Delta_Q$  operator: the lowest  $Q$  monomials remain unchanged, while the rest of the sequence can be viewed as a union of  $Q$  subsequences with the traditional finite difference operator applied.

**Theorem 2.4.** Fix  $n, k$ . Consider the sequence  $\mathcal{S}$  where we take coefficients modulo  $N = p^e$ . Then  $s_{|S|-1}, \dots, s_{|S| - \binom{k+1}{2} + 1}$  are all 0 modulo  $N$ , and  $s_i = (-1)^{k+1} s_{\pi_N(k) - \binom{k+1}{2} - i}$  for  $\pi_N(k) - \binom{k+1}{2} - i \geq 0$  and  $i \geq 0$ .

*Proof.* The main idea behind this result is to exploit the simple form of the generating function  $P_{\leq k}(q)$ . We can re-write it as follows, letting  $Q := \pi_{p^e}(k)$ :

$$P_{\leq k}(q) = \frac{1}{\prod_{i \in [k]} (1 - q^i)} = \frac{\gamma(q)}{(1 - q^Q)^k}, \tag{1}$$

where we can obtain

$$\gamma(q) = \frac{(1 - q^Q)^k}{\prod_{i \in [k]} (1 - q^i)} = \prod_{d|Q} \Phi_d(q)^{f(d)} \tag{2}$$

where  $f(d) = k - \#\{i \in [k] : d \mid i\} \geq 0$  and  $\Phi_d$  denotes the  $d$ th cyclotomic polynomial. To show (2), note that  $f(d)$  accounts for every factor in the denominator, and is bounded

below by 0. This follows from Lemma 2 and the fact that each cyclotomic factor of the denominator can appear at most  $k$  times (at most once for each factor  $(1 - q^i)$ ). Thus we conclude that  $\gamma(q) \in \mathbb{Z}[q]$  and that  $\deg \gamma(q) = kQ - \binom{k+1}{2}$ . Using  $\Delta_Q$  as in Definition 2.3, we obtain

$$\Delta_Q^k P_{\leq k}(q) = \gamma(q).$$

Using the fact that  $P_{\leq k}(q)$  can be written as  $P_{\leq k}(q) \equiv \frac{\gamma_0(q)}{1-q^Q} \pmod{p^e}$  for some unique  $\gamma_0(q)$  with  $\deg \gamma_0 < Q$  by Theorem 2.1, we can see that  $\Delta_Q P_{\leq k}(q) \equiv \gamma_0(q) \pmod{p^e}$ . It follows from this that

$$\Delta_Q^k P_{\leq k}(q) \equiv \sum_{i \geq 0} (-1)^i \binom{k-1}{i} \gamma_0(q) q^{Qi} \pmod{p^e},$$

using the formula for  $\Delta^k f(n)$  from [6] in §1.9. This is straightforward to verify using induction. Thus, for  $r \in \mathbb{Z}/Q\mathbb{Z}$  we have

$$[q^{r+Q(k-1)}] \gamma(q) \equiv (-1)^{k-1} [q^r] \gamma(q) \pmod{p^e}.$$

Knowing that  $\deg \gamma = kQ - \binom{k+1}{2}$ , there must be  $\binom{k+1}{2} - 1$  zeroes at the end of  $\mathcal{S}$ . Furthermore, the polynomial  $\gamma(q)$  can be shown to be symmetric using (2) and the symmetry of the cyclotomic polynomials (this is only true for  $\Phi_d$  when  $d > 1$ , but  $d = 1$  is not an issue as  $f(1) = 0$ ). Referring to Figure 2, this shows the symmetry of  $\mathcal{S}$  when the trailing zeroes are ignored: by the symmetry of  $\gamma(q)$ , the elements with label  $i$  in Figure 2 are equal. These are also identical instances of  $\mathcal{S}$  without the trailing zeroes up to sign, so  $\{s_0, \dots, s_{|\mathcal{S}| - \binom{k+1}{2}}\}$  is symmetric or “anti-symmetric” about its center. Precisely, this says that  $s_i = (-1)^{k+1} s_{\pi_N(k) - \binom{k+1}{2} - i}$ .  $\square$

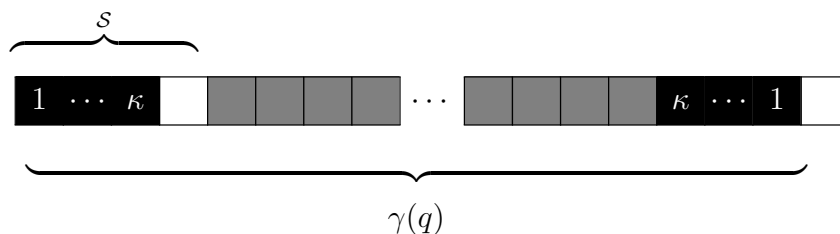


Figure 2: Symmetry in  $\mathcal{S}$ . White boxes represent sections of zeroes modulo  $p^e$ , gray sections represent the other values of coefficients of  $\gamma(q)$  modulo  $p^e$ . The coefficients are ordered from left to right by increasing associated powers of  $q$ . We define  $\kappa = Q - \binom{k+1}{2}$ , so that the white numbers  $1, 2, \dots, \kappa$  enumerate coefficients in the black sections.

These ideas can be generalized using the Chinese Remainder Theorem.

**Lemma 3.** *Partitions with at most  $k$  parts are purely periodic modulo  $N$  for all  $N \in \mathbb{N}$ , with period*

$$\pi_N(k) = \operatorname{lcm}_{p|N} (\pi_{p^{\nu_p(N)}}(k)).$$

**Corollary 2.5.** *Theorem 2.4 also holds for  $\mathcal{S}$  for arbitrary moduli  $N$ .*

**Theorem 2.6.** *Let  $k \geq 0$  and  $N$  be odd. If  $k$  is odd and  $\gcd\left(\frac{\pi_N(k+1)}{\pi_N(k)}, N\right) > 1$ , then we have*

$$\frac{\pi_N(k+1)}{\pi_N(k)} \sum_{i \in \mathbb{Z}/\pi_N(k)\mathbb{Z}} p_{\leq k}(i) \equiv 0 \pmod{N}.$$

*Otherwise we have the stronger result  $\sum_{i \in \mathbb{Z}/\pi_N(k)\mathbb{Z}} p_{\leq k}(i) \equiv 0 \pmod{N}$ .*

*Proof.* First, we prove this for when  $k$  is even. We have two cases. First, suppose  $\frac{\pi_N(k) - \binom{k+1}{2} + 1}{2} \notin \mathbb{Z}$ . This means there exists a ‘‘central’’ element that is self-inverse ( $x = -x \pmod{N}$ ) in  $\mathcal{S}$  by Corollary 2.5. Since  $N$  is odd it is  $0 \pmod{N}$ . Using Corollary 2.5 we pair all other terms in  $\sum_{i \in \mathbb{Z}/\pi_N(k)\mathbb{Z}} p_{\leq k}(i)$  in zero-sum pairs.

Otherwise,  $\frac{\pi_N(k) - \binom{k+1}{2} + 1}{2} \in \mathbb{Z}$ . There is no central entry, and pairing via Corollary 2.5 suffices to show  $\sum_{i \in \mathbb{Z}/\pi_N(k)\mathbb{Z}} p_{\leq k}(i) \equiv 0 \pmod{N}$ .

For  $k$  odd, we use a different method since  $(-1)^{k+1} = 1$ . We have

$$\begin{aligned} \sum_{i \in \mathbb{Z}/\pi_N(k+1)\mathbb{Z}} p_{\leq k+1}(i) - p_{\leq k}(i) &\equiv \sum_{i \in \mathbb{Z}/\pi_N(k+1)\mathbb{Z}} p_{=(k+1)}(i) \pmod{N} \\ &\equiv \sum_{i \in \mathbb{Z}/\pi_N(k+1)\mathbb{Z}} p_{\leq k+1}(i - (k+1)) \pmod{N} \\ &\equiv 0 \pmod{N}. \quad (\text{by even case, shift invariance}) \end{aligned}$$

Thus, we obtain

$$\frac{\pi_N(k+1)}{\pi_N(k)} \sum_{i \in \mathbb{Z}/\pi_N(k)\mathbb{Z}} p_{\leq k}(i) \equiv 0 \pmod{N}$$

Unless  $\gcd\left(\frac{\pi_N(k+1)}{\pi_N(k)}, N\right) > 1$ , the stronger statement  $\sum_{i \in \mathbb{Z}/\pi_N(k)\mathbb{Z}} p_{\leq k}(i) \equiv 0 \pmod{N}$  holds since  $\frac{\pi_N(k+1)}{\pi_N(k)}$  would be invertible modulo  $N$ .  $\square$

**Corollary 2.7.** *Suppose  $\sum_{i \in \mathbb{Z}/\pi_N(k)\mathbb{Z}} p_{\leq k}(i) \equiv 0 \pmod{N}$  for odd  $N$ . Then the same holds for modulo  $2N$  and  $\pi_{2N}$  given  $\frac{\pi_2(k) - \binom{k+1}{2} + 1}{2} \in \mathbb{Z}$ .*

*Proof.* Because  $\pi_N(k) \mid \pi_{2N}(k)$ , we get that  $\sum_{i \in \mathbb{Z}/\pi_{2N}(k)\mathbb{Z}} p_{\leq k}(i) \equiv 0 \pmod{N}$ . Next, consider the sum modulo 2. We have  $\sum_{i \in \mathbb{Z}/\pi_2(k)\mathbb{Z}} p_{\leq k}(i) \equiv 0 \pmod{2}$ , since modulo 2 the symmetry relation in Theorem 2.4 becomes  $s_i \equiv -s_{\pi_2(k) - \binom{k+1}{2} - i}$  because  $1 \equiv -1 \pmod{2}$  - hence, the reasoning in Theorem 2.6 even  $k$  applies to all  $k$ . Since  $\pi_2(k) \mid \pi_{2N}(k)$ , we see that

$$\sum_{i \in \mathbb{Z}/\pi_{2N}(k)\mathbb{Z}} p_{\leq k}(i) \equiv 0 \pmod{2}.$$

Using the Chinese Remainder Theorem, this is 0 modulo  $2N$ .  $\square$

### 3 Decomposition of $\begin{bmatrix} n \\ k \end{bmatrix}_q$

In this section and the next, we exploit the results from Section 2 regarding the periodicity of  $\mathcal{S}$  and the structure of  $\mathcal{S}$  (as described by Theorem 2.4) in order to prove Theorem 1.7.

**Definition 3.1.** For a modulus  $N$ , we define the function  $\mathcal{L}_k^{(i)}$  by

$$f_k(n) = \mathcal{L}_k^{(i)} \left( \frac{n-i}{\pi'_N(k)} \right),$$

if  $n \equiv i \pmod{\pi'_N(k)}$ .

**Remark 3.** The change of variables  $n \mapsto \frac{n-i}{\pi'_N(k)}$  is used to simplify proofs.

The aim is now to show that the functions  $\mathcal{L}_k^{(i)}$  are linear, from which it follows by definition that  $f_k$  is quasipolynomial. To do this, we will use the following general strategy:

- Partition the coefficients of  $\begin{bmatrix} n+k \\ k \end{bmatrix}_q$  into different classes with periodic behavior.
- Using the periodicity of the first  $\pi_N(k)$  coefficients (by Lemma 3), inductively show that these sections are also periodic using a partition decomposition (Lemma 4).
- Use this last fact to show that  $n \mapsto n + \pi'_N(k)$  changes  $f_k(n+r)$  a constant amount depending only on  $r$ .
- Conclude  $f_k$  is quasipolynomial, since the previous point shows  $\mathcal{L}_k^{(i)}$  are linear.

We begin with the division of coefficients in  $\begin{bmatrix} n+k \\ k \end{bmatrix}_q$  into different sections.

**Definition 3.2.** The  $i$ th *section* of the  $q$ -binomial coefficient  $\begin{bmatrix} n+k \\ k \end{bmatrix}_q$  is the sequence of coefficients denoted by  $S_i$  with  $j$ th term given by

$$p_{\leq k}^{(i)}(j) = [q^{in+j}] \begin{bmatrix} n+k \\ k \end{bmatrix}_q,$$

where  $j \in \mathbb{Z}/n\mathbb{Z}$ . As a special case,  $S_0$  is just a concatenation of copies of  $\mathcal{S}$ .

Recall the identity

$$\sum_{i \geq 0} p(n, k, i) q^i = \begin{bmatrix} n+k \\ k \end{bmatrix}_q,$$

where  $p(n, k, i)$  denotes the number of partitions  $\lambda \vdash i$ , with at most  $k$  parts and maximal part  $\leq n$ .

This definition allows us to loosely determine a section by saying terms in the sequence contain the number of partitions which fit in a  $n \times k$  box of size  $|\lambda| = l$  for  $l$  such that there exists a partition  $\lambda \vdash l$  covering  $i$  complete rows but no partition covering  $i+1$  rows.



**Definition 3.3.** Let  $X = (x_0, \dots, x_{|X|-1})$  and  $Y = (y_0, \dots, y_{|Y|-1})$  be finite sequences. The concatenation operator  $\oplus$  is defined as  $X \oplus Y = (x_0, x_1, \dots, x_{|X|-1}, y_0, y_1, \dots, y_{|Y|-1})$ .

We then make the following decomposition of  $S_i$  that proves useful:

$$S_i = B_i^1 \oplus B_i^2 \oplus \dots \oplus B_i^l \oplus R_i,$$

where the  $B_i^j$  are  $\pi'_N(k)$ -length subsequences and  $R_i$  is the remainder after these  $l = \lfloor \frac{n}{\pi'_N(k)} \rfloor$  consecutive subsequences are removed from  $S_i$ . Informally, if we regard  $\begin{bmatrix} n+k \\ k \end{bmatrix}_q$  as a sequence ordered by the associated exponents of  $q$ , we can relate  $X = \bigoplus_{i \in [k]} S_{i-1} \oplus (1)$  to its corresponding  $q$ -binomial coefficient. Here, (1) is just a sequence only containing 1. We can index  $X$  starting at 0, obtaining

$$\begin{bmatrix} n+k \\ k \end{bmatrix}_q = \sum_{x_i \in X} x_i q^i.$$

The net result of this decomposition is illustrated in Figure 3.

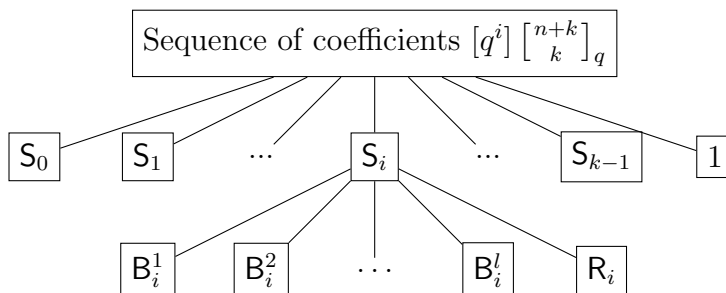


Figure 3: Decomposition of a  $q$ -binomial coefficient into sections modulo  $N$ . Here, edge connections denote concatenation (as per Definition 3.3) from left to right and  $l := \lfloor \frac{n}{\pi'_N(k)} \rfloor$ .

## 4 Proving $f_k$ is quasipolynomial

Using the definitions from Section 3, we investigate the structure of each individual section.

**Definition 4.1.** Fix a  $q$ -binomial coefficient  $\begin{bmatrix} n+k \\ k \end{bmatrix}_q$ . Let  $\mathcal{P}_{i,m}^{\text{bad}}(j)$  be the set containing all pairs of partitions  $(\lambda, \mu)$  such that

- $|\lambda| + |\mu| = mn + j$ .
- $\lambda$  has at most  $k$  parts each at most  $n$ , of which  $i$  are equal to  $n$ .
- $\mu$  has exactly  $i$  parts.

**Lemma 4.** Fix  $n, m, k$ . For  $\left[ \begin{smallmatrix} n+k \\ k \end{smallmatrix} \right]_q$  we have the following identity for the associated functions  $p_{\leq k}^{(m)}$ :

$$p_{\leq k}^{(m)}(j) = p_{\leq k}(mn + j) - \sum_{i \in [m]} \#\mathcal{P}_{i,m}^{\text{bad}}(j).$$

*Proof.* Let  $S$  be the set of partitions counted by  $p_{\leq k}^{(m)}(j)$  and  $S'$  be defined similarly for  $p_{\leq k}(mn + j)$ . It is clear that  $S \subseteq S'$ , so we wish to show that  $\sum_{i \in [m]} \#\mathcal{P}_{i,m}^{\text{bad}}(j)$  enumerates all of the additional partitions that “leave the  $n \times k$  box” or have some part greater than  $n$ . Consider Figure 4 below.

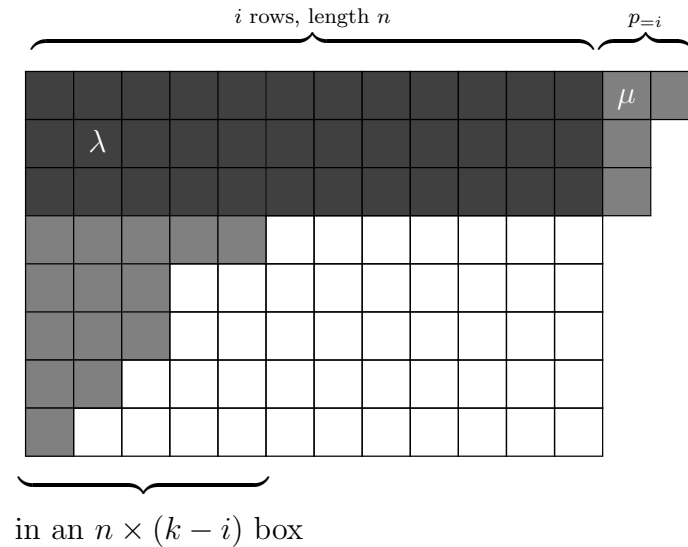


Figure 4: Classification of partitions in  $\mathcal{P}_{i,m}^{\text{bad}}(j)$

Figure 4 depicts a pair  $(\lambda, \mu) \in \mathcal{P}_{i,m}^{\text{bad}}(j)$ . Here,  $\lambda$  is represented by the shaded boxes inside the  $n \times k$  box. The darker boxes depict the  $i$  parts of  $\lambda$  that are exactly  $n$ , while the lighter gray boxes below depict the part of  $\lambda$  that can vary. Outside of the  $n \times k$  boxes is  $\mu$ , with precisely  $i$  parts. As labelled in the diagram, it is easy to see that  $\lambda$  are enumerated by  $p_{\leq k-i}^{(m-i)}$  and  $\mu$  are enumerated by  $p_{=i}$ . Construct a partition  $\Pi = \lambda + \mu$  via part-wise addition. This is counted in  $S'$  by  $p_{\leq k}$  but not in  $S$  since it *must* leave the  $n \times k$  box. Thus, sending  $(\lambda, \mu) \in \bigcup_{i \in [m]} \mathcal{P}_{i,m}^{\text{bad}}(j)$  to  $\Pi = \lambda + \mu$  is a map  $\phi$  from  $\bigcup_{i \in [m]} \mathcal{P}_{i,m}^{\text{bad}}(j)$  to  $S' \setminus S$ . We claim  $\phi$  is a bijection. It is not too difficult to see that  $\phi$  is an injection: if  $\lambda + \mu = \lambda' + \mu'$  then  $\mu$  and  $\mu'$  have the same number of parts and from this it is evident  $\mu = \mu', \lambda = \lambda'$ .

Now we show  $\phi$  is a surjection. Take a “bad” partition  $\Pi = \{\pi_1, \dots, \pi_k\}$  with  $|\Pi| = mn + j$  leaving the box. Such a partition must leave the box for the first  $i$  rows for some  $i \in [m]$  (we cannot have  $i > m$ , since  $|\Pi| = mn + j \leq (m + 1)n$ ). Setting  $\mu = \{\pi_\alpha - n : \pi_\alpha > n\}$  and  $\lambda = \{\pi_\alpha : \pi_\alpha \leq n\} \cup \{n : \pi_\alpha > n\}$ , we construct a pair  $(\lambda, \mu)$ . Both  $\lambda, \mu$  satisfy the last two conditions of Definition 4.1 due to the construction. The

first condition  $|\lambda| + |\mu| = mn + j$  is also satisfied, as

$$|\lambda| + |\mu| = \sum_{\pi_\alpha > n} (\pi_\alpha - n) + n + \sum_{\pi_\alpha \leq n} \pi_\alpha = |\Pi| = mn + j.$$

Thus  $(\lambda, \mu) \in \mathcal{P}_{i,m}^{\text{bad}}(j)$ , and  $\lambda + \mu = \Pi$ .

Thus, we have a bijection  $\phi$  from  $\bigcup_{i \in [m]} \mathcal{P}_{i,m}^{\text{bad}}(j)$  to  $S' \setminus S$ , and it follows that

$$\sum_{i \in [m]} \#\mathcal{P}_{i,m}^{\text{bad}}(j) = |S' \setminus S|. \quad \square$$

The following is a restatement of Theorem 1.9 and is the main result.

**Theorem 4.2.** *Let  $N \in \mathbb{N}$  be the modulus of  $f_k$ . Then  $f_k$  is quasipolynomial, with quasiperiod  $\pi'_N(k)$ . Additionally, all  $\mathcal{L}_k^{(i)}$  are linear functions.*

*Proof.* Let  $Q := \pi'_N(k)$ . To prove the claim, the central idea of the argument is to show that in the section  $S_i$  of  $\left[ \begin{smallmatrix} Ql+r+k \\ k \end{smallmatrix} \right]_q$  we have  $B_i^1 \equiv B_i^2 \equiv \dots \equiv B_i^l \pmod{N}$ . From this fact, we make a simple argument that shows the claim. We use the  $q$ -binomial coefficient  $\left[ \begin{smallmatrix} n+k \\ k \end{smallmatrix} \right]_q = \left[ \begin{smallmatrix} Ql+k+r \\ k \end{smallmatrix} \right]_q$  so that we can read off that  $B_i$  have length  $Q$ ,  $R_i$  has length  $r$ , and that  $l$  is the number of  $B_i$  in the decomposition of  $S_i$ .

To prove that  $B_i^1 \equiv B_i^2 \equiv \dots \equiv B_i^l \pmod{N}$ , we induct on the indices  $m = 1, 2, \dots, k-1$  of the sections, holding  $k$  fixed, and then induct on  $k$ .

In §2, we already showed that  $S_0$  has the aforementioned property for all  $k$  by considering partitions with at most  $k$  parts. One can also show that  $B_i^1 = B_i^2 = \dots = B_i^l$  holds when  $k = 2$  by explicit computation of  $p_{\leq 2}(j) = \lfloor \frac{j}{2} \rfloor + 1$ . This establishes the base cases  $m = *, k = 2$  and  $m = 0, k = *$ .

We show the claim holds for  $S_m$  assuming it holds for  $S_i$  ( $i < m$ ) and all smaller  $k$ . Using Lemma 4, we have for  $j \in \mathbb{Z}/(Ql+r)\mathbb{Z}$ ,

$$\begin{aligned} p_{\leq k}^{(m)}(j) &\equiv p_{\leq k}(j + mr) - \sum_{i \in [m]} \#\mathcal{P}_{i,m}^{\text{bad}}(j) \\ &\equiv p_{\leq k}(j + mr) - \sum_{i \in [m]} \sum_{\ell + \ell' = C_{i,m}(j)} [q^\ell] \left[ \begin{smallmatrix} n + (k-i) \\ k-i \end{smallmatrix} \right]_q p_{=i}(\ell') \pmod{N} \end{aligned} \quad (3)$$

where  $C_{i,m}(j) = |\lambda| + |\mu| - ni$  for  $(\lambda, \mu) \in \mathcal{P}_{i,m}^{\text{bad}}(j)$  (or more explicitly  $C_{i,m}(j) = (m-i)(Ql+r) + j$ ) and  $\ell, \ell' \geq 0$ . The functions  $p_{=i}$  and  $[q^\ell] \left[ \begin{smallmatrix} n+(k-i) \\ k-i \end{smallmatrix} \right]_q$  count  $\mu$  and  $\lambda$  in  $\mathcal{P}_{i,m}^{\text{bad}}$  respectively in (3). Note that

$$p_{=i}(\ell) = p_{\leq i}(\ell - i),$$

an explicit bijection being given by taking  $\tau \vdash n$  counted by  $p_{=i}$  and decreasing each part by one. Thus, we see  $p_{=i}$  has period  $Q$  as  $\pi_N(i) | \pi_N(k) | Q$ . We claim that the map  $j \mapsto j+Q$  leaves  $p_{\leq k}^{(m)}(j)$  unchanged modulo  $N$ , or that  $p_{\leq k}^{(m)}(j+Q) - p_{\leq k}^{(m)}(j) \equiv 0 \pmod{N}$ . Note here that  $j+Q < n$ , otherwise this statement does not make sense (so for example,  $l \geq 1$

so we have a complete block  $B_i$ ). Since  $p_{\leq k}$  has period  $\pi_N(k) \mid \pi'_N(k)$ , the function  $p_{\leq k}$  will vanish in the difference. So it suffices to show that  $\Delta := \#\mathcal{P}_{i,m}^{\text{bad}}(j+Q) - \#\mathcal{P}_{i,m}^{\text{bad}}(j) \equiv 0 \pmod{N}$ .

$$\begin{aligned} \Delta &\equiv \sum_{\ell+\ell'=C_{i,m}(j+Q)} [q^\ell] \begin{bmatrix} n+(k-i) \\ k-i \end{bmatrix}_q p_{=i}(\ell') - \sum_{\ell+\ell'=C_{i,m}(j)} [q^\ell] \begin{bmatrix} n+(k-i) \\ k-i \end{bmatrix}_q p_{=i}(\ell') \\ &\equiv \sum_{\substack{\ell+\ell' \equiv j \\ \pmod{Q}}} p_{\leq k-i}^{(m-i)}(\ell) p_{=i}(\ell'). \pmod{N} \end{aligned} \tag{4}$$

The final congruence requires some elaboration. Here, we observe that  $\ell$  determines  $\ell'$  entirely, and that  $C_{i,m}(j+Q) - C_{i,m}(j) = Q$ . Since  $p_{=i}(\ell')$  has period  $Q$  modulo  $N$ , we can add  $Q$  to  $\ell'$  to eliminate all terms except for  $C_{i,m}(j) < \ell \leq C_{i,m}(j+Q)$ . The exact bounds for  $\ell$  are unimportant, since  $p_{=i}(0) = 0$  and hence we can ignore terms where  $\ell \equiv 0 \pmod{Q}$  in the sum - thus, equivalently we have  $C_{i,m}(j) \leq \ell \leq C_{i,m}(j+Q)$  or  $C_{i,m}(j) \leq \ell < C_{i,m}(j+Q)$ . Hence, this corresponds to  $p_{\leq k-i}^{(m-i)}(\bar{\ell}) := [q^{(m-i)n+\bar{\ell}}] \begin{bmatrix} n+(k-i) \\ k-i \end{bmatrix}_q$  for  $\bar{\ell} \in \mathbb{Z}/Q\mathbb{Z}$ . Note that since  $l \geq 1$ , this stays within bounds for  $\ell$  for  $p_{\leq k-i}^{(m-i)}(\ell)$ .

In the final sum in (4),  $\ell, \ell' \in \mathbb{Z}/Q\mathbb{Z}$ . It follows immediately from the definition of  $\pi'_N(k)$  that  $\pi'_N(k)/\pi'_N(k-1) \in N\mathbb{Z}$ . But note that  $p_{\leq k-i}^{(m-i)}$  and  $p_{=i}$  have periods dividing  $\pi'_N(k-1)$  as it is always true that  $1 \leq i \leq k-1$  for each sum, and hence residues modulo  $N$  are repeated some multiple of  $N$  times in the sum. Thus  $p_{\leq k}^{(m)}(j)$  is  $Q$ -periodic since the sum is 0 modulo  $N$ , and by strong induction the same is true for each  $S_m$ . This completes the induction.

Then  $l \mapsto l+1$  simply adds on another identical period in each  $S_m$ . Hence, we may write

$$S_i = B_i \oplus B_i \oplus \dots \oplus B_i \oplus R_i,$$

where the  $B_i$  are identical modulo  $N$ . For short, denote this  $S_i = B_i^{\oplus l} \oplus R_i$ . More importantly, this indicates that  $f_k(Q(l+1)+r) - f_k(Ql+r)$  is a constant depending on  $r$ . Thus, we can write

$$f_k(n) = \mathcal{L}_k^{(i)} \left( \frac{n-i}{Q} \right),$$

which is precisely what we wanted. □

The decomposition used in the Theorem 4.2 also allows us to prove the following observation about a special case of  $p_{\leq k}^{(m)}$ :

**Corollary 4.3.** *The last  $\binom{k+1-m}{2} - 1$  entries of each component  $B_m^i$  of  $S_m$  are 0 modulo  $N$  for  $\begin{bmatrix} \pi'_N(k)l+k \\ k \end{bmatrix}_q$ .*

*Proof.* This is given for  $m=0$  by Corollary 2.5, so let  $m > 0$ . Similarly,  $k=2$  is trivial. We proceed by strong induction on  $k, m$ . By Lemma 4, for  $j \in \mathbb{Z}/\pi'_N(k)l\mathbb{Z}$  we have

$$p_{\leq k}^{(m)}(j) \equiv p_{\leq k}(j) - \sum_{i \in [m]} \#\mathcal{P}_{i,m}^{\text{bad}}(j) \pmod{N}.$$

Noting that  $\binom{a}{2} < \binom{b}{2}$  when  $a < b$  we see for  $j \in [\pi'_N(k) - \binom{k+1-m}{2}, \pi'_N(k) - 1]$  that  $p_{\leq k}(j) \equiv 0 \pmod{N}$  by Corollary 2.5. Therefore, for such  $j$  we have the simplified form  $p_{\leq k}^{(m)}(j) \equiv -\sum_{i \in [m]} \#\mathcal{P}_{i,m}^{\text{bad}}(j) \pmod{N}$ . We wish to show  $\sum_{i \in [m]} \#\mathcal{P}_{i,m}^{\text{bad}}(j) \equiv 0 \pmod{N}$  for such  $j$ . To do this, we use the expansion of  $\#\mathcal{P}_{i,m}^{\text{bad}}(j)$  from the main theorem and exploit that  $\#\mathcal{P}_{i,m}^{\text{bad}}(j + \pi'_N(k)) \equiv \#\mathcal{P}_{i,m}^{\text{bad}}(j) \pmod{N}$  to obtain

$$\begin{aligned} \#\mathcal{P}_{i,m}^{\text{bad}}(j) &\equiv \sum_{\ell + \ell' = j} [q^\ell] \begin{bmatrix} n + (k - i) \\ k - i \end{bmatrix}_q p_{=i}(\ell') \pmod{N} \\ &\equiv \sum_{\substack{\ell + \ell' = j \\ \pmod{\pi'_N(k)}}} p_{\leq k-i}^{(0)}(\ell) p_{=i}(\ell') \pmod{N} \end{aligned}$$

The final congruence is because the last  $\binom{(k-i)+1}{2} - 1 \geq \binom{k+1-m}{2} - 1$  entries of  $[q^\ell] \begin{bmatrix} n+(k-i) \\ k-i \end{bmatrix}_q$  are 0 by Corollary 2.5 and Lemma 1 as  $\ell < \pi'_N(k)$ . All added terms in the summation will have  $\ell, \ell'$  lie in the interval  $[j, \pi'_N(k) - 1]$  and our specifically chosen  $j$  makes it so that each new term added must then be 0 mod  $N$ . The final sum is 0 modulo  $N$  for the same reasons as in the main theorem, since  $k - 1 \geq i \geq 1$  and hence as a function of  $\ell$  the function  $p_{\leq k-i}^{(0)}(\ell) p_{=i}(\ell')$  has a period  $P$  so  $\pi'_N(k)/P \in N\mathbb{Z}$  by definition of  $\pi'_N(k)$ .  $\square$

**Remark 4.** Using the symmetry of the  $q$ -binomial coefficients, we can show that a similar claim holds for the first entries of  $\mathbf{B}_m^i$ . Explicitly, the first  $\binom{k+1 - \binom{k-1-m}{2}}{2} - 1 = \binom{m+2}{2} - 1$  entries are 0 modulo  $N$ .

## 5 The generating function of $f_k$

The result from the previous section allows for the generating function for  $f_k$  to be explicitly calculated.

**Theorem 5.1.** *For a modulus  $N \in \mathbb{N}$ , we have*

$$F_k(x) := \sum_{n \geq 0} f_k(n) x^n = \frac{1}{(1 - x^Q)^2} \sum_{i \in \mathbb{Z}/Q\mathbb{Z}} (1 - x^Q) b_i x^i + m_i x^{Q+i},$$

where  $\mathcal{L}_k^{(i)}$  has constant term  $b_i$  and slope  $m_i$  and  $Q = \pi'_N(k)$ .

*Proof.* For simplicity, let  $\mathcal{L}_i = \mathcal{L}_k^{(i)}$ , and  $Q = \pi'_N(k)$  as above. Then we let  $F_{\mathcal{L}_i}(x) = \sum_{j \geq 0} \mathcal{L}_i(j) x^{jQ+i}$ , so that

$$F_k(x) = \sum_{i \in \mathbb{Z}/Q\mathbb{Z}} F_{\mathcal{L}_i}(x).$$

Fortunately, each term is simple to find. We have

$$\begin{aligned} \sum_{i \in \mathbb{Z}/Q\mathbb{Z}} F_{\mathcal{L}_i}(x) &= \sum_{i \in \mathbb{Z}/Q\mathbb{Z}} x^i \left( \sum_{j \geq 0} b_i x^{jQ} + \sum_{j \geq 0} m_{ij} x^{jQ} \right) \\ &= \sum_{i \in \mathbb{Z}/Q\mathbb{Z}} x^i \left( \frac{b_i}{1-x^Q} + \frac{m_i x^Q}{(1-x^Q)^2} \right) \\ &= \frac{1}{(1-x^Q)^2} \sum_{i \in \mathbb{Z}/Q\mathbb{Z}} (1-x^Q)b_i x^i + m_i x^{Q+i} \end{aligned}$$

which proves the theorem. □

Letting  $Q' = \pi'_N(k-1)$ , it turns out that one can often rewrite this as

$$F_k(x) = \frac{1}{(1-x^Q)^2} \left( \sum_{i \in \mathbb{Z}/Q\mathbb{Z}} (1-x^Q)b_i x^i + \sum_{i \in \mathbb{Z}/Q'\mathbb{Z}} m_i x^{Q+i} \frac{x^Q - 1}{x^{Q'} - 1} \right).$$

This stems from the fact that the slopes of the functions  $\mathcal{L}_k^{(i)}$  often have a smaller period (in  $i$ , where  $k, R$  are fixed) than the actual quasiperiod itself, namely  $Q'$ . This is formalized by Theorem 5.3, and an example is given in Figure 5.

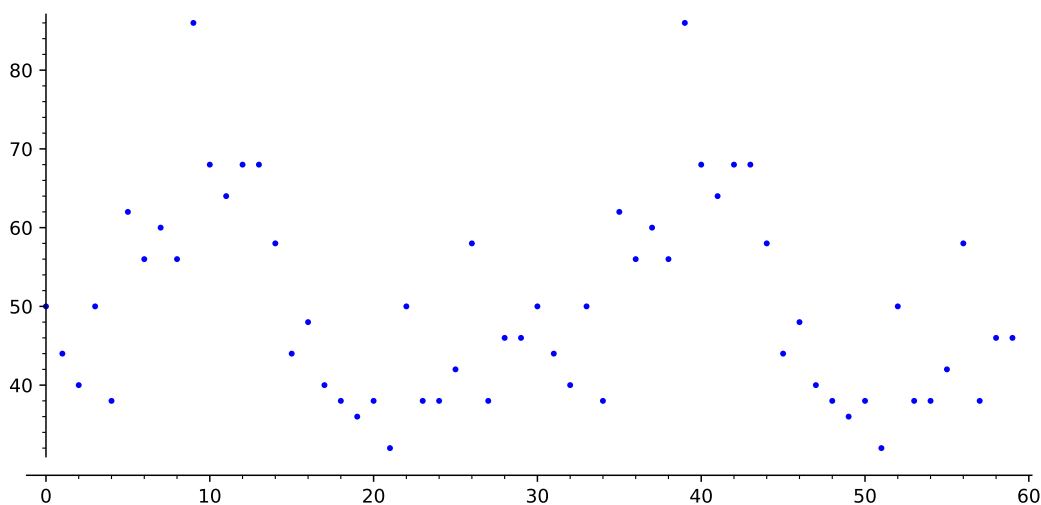


Figure 5: **An illustration of Theorem 5.3.** This figure shows the slopes of the functions  $\mathcal{L}_4^{(i)}$  when  $N = 5, R = 1$ . The horizontal axis is  $i$ , while the vertical axis is the slope. Notice that the slopes have a period that is half of the actual minimal quasiperiod (in this case, given by the function  $\pi_5$ ) and  $\pi_5(4)/\pi_5(3) = 60/30 = 2$ , as claimed.

**Theorem 5.2.** Let  $\begin{bmatrix} n+k \\ k \end{bmatrix}_q$  be a  $q$ -binomial coefficient where  $n > \pi'_N(k)$  so that we have an entire block  $\mathbf{B}_i$  in each section. Fix a pair  $(k, N)$  such that  $\sum_{i \in \mathbb{Z}/\pi'_N(k)\mathbb{Z}} p_{\leq k}(i) \equiv 0 \pmod{N}$  by Theorem 2.6. Then  $\sum_{i \in \mathbb{Z}/\pi'_N(k)\mathbb{Z}} p_{\leq k}^{(m)}(i) \equiv 0 \pmod{N}$ .

*Proof.* We use Lemma 4. This yields, following the same expansion as in Theorem 4.2,

$$p_{\leq k}^{(m)}(j) \equiv p_{\leq k}(j + mn) - \sum_{i \in [m]} \left( \sum_{\ell + \ell' = C_{i,m}(j)} [q^\ell] \begin{bmatrix} n + (k - i) \\ k - i \end{bmatrix}_q p_{=i}(\ell') \right) \pmod{N}.$$

Summing over  $j \in \mathbb{Z}/\pi'_N(k)\mathbb{Z}$ , by assumption the  $p_{\leq k}$  term disappears since  $p_{\leq k}$  has period  $\pi_N(k)$ . It suffices to show that

$$\sum_{j \in \mathbb{Z}/\pi'_N(k)\mathbb{Z}} \sum_{\ell + \ell' = C_{i,m}(j)} [q^\ell] \begin{bmatrix} n + (k - i) \\ k - i \end{bmatrix}_q p_{=i}(\ell') \equiv 0 \pmod{N}.$$

We can equivalently sum over  $\ell$  and then  $\ell'$  so that  $\ell + \ell' \in [C_{i,m}(0), C_{i,m}(\pi'_N(k) - 1)]$ . Suppose that  $\ell \leq C_{i,m}(0)$ . Observe that

$$\sum_{j \in \mathbb{Z}/\pi'_N(k)\mathbb{Z}} [q^\ell] \begin{bmatrix} n + (k - i) \\ k - i \end{bmatrix}_q p_{=i}(\ell' + j) \equiv 0 \pmod{N},$$

since  $[q^\ell] \begin{bmatrix} n + (k - i) \\ k - i \end{bmatrix}_q$  is fixed and  $p_{=i}(\ell)$  is  $p_{\leq i}(\ell - i)$  and so this sum becomes 0 by our assumption.

We need to show that the sum of all terms  $\ell > C_{i,m}(0)$  is zero. Our reformulation gives us a sum over  $\ell$  and  $\ell'$  so  $\ell + \ell' \leq C_{i,m}(\pi'_N(k) - 1)$  and  $\ell > C_{i,m}(0)$ . Although we can ignore  $\ell = C_{i,m}(0)$  because it has no contribution, we include it in the following calculation to make it simpler. We can observe that by the restrictions on  $n$  that  $[q^\ell] \begin{bmatrix} n + (k - i) \\ k - i \end{bmatrix}_q$  stays in the same section. Let  $Q = \max(\pi'_N(k - i), \pi'_N(i))$ . Each possible pairing  $([q^\ell] \begin{bmatrix} n + (k - i) \\ k - i \end{bmatrix}_q, p_{=i}(\ell'))$  modulo  $N$  is repeated a multiple of  $\sum_{x \in [\pi'_N(k)/Q]} x = \frac{1}{2}(\pi'_N(k)/Q)(\pi'_N(k)/Q + 1)$  times due to their respective periods. But since  $N$  divides  $\pi'_N(k)/Q$  ( $i \leq m \leq k - 1$ ) and  $N$  is odd (the pairs in Theorem 2.6 have odd  $N$ ), this is a multiple of  $N$  and hence the entire sum becomes 0 modulo  $N$ .  $\square$

**Theorem 5.3.** Fix a pair  $(k, N)$  such that

$$\sum_{i \in \mathbb{Z}/\pi'_N(k-1)\mathbb{Z}} p_{\leq k-1}(i) \equiv 0 \pmod{N}$$

by Theorem 2.6. Then the slope of  $\mathcal{L}_k^{(i)}$  is equal to that of  $\mathcal{L}_k^{(i')}$  where  $i' \equiv i + \pi'_N(k - 1) \pmod{\pi'_N(k)}$ .

*Proof.* In order to prove the theorem, we actually make a deeper claim. Consider the  $q$ -binomial coefficients  $\begin{bmatrix} n+k \\ k \end{bmatrix}_q, \begin{bmatrix} \tilde{n}+k \\ k \end{bmatrix}_q$  where  $\tilde{n} = n + \pi'_N(k-1)$  and decompose the coefficients into  $S_i$  and  $\tilde{S}_i$  respectively. Then when we make the decompositions  $S_i = B_i^{\oplus l} \oplus R_i$  and  $\tilde{S}_i = \tilde{B}_i^{\oplus l} \oplus \tilde{R}_i$ , we want to show that  $B_i$  is a cyclic shift of  $\tilde{B}_i$ . Since the main theorem implies that  $B_i$  is determined by the residue class of  $n \pmod{\pi'_N(k)}$ , it suffices to show this for  $n$  sufficiently large.

Using Lemma 4, we have

$$p_{\leq k}^{(m)}(j) \equiv p_{\leq k}(j + mn) - \sum_{i \in [m]} \left( \sum_{\ell + \ell' = C_{i,m}(j)} [q^\ell] \begin{bmatrix} n + (k-i) \\ k-i \end{bmatrix}_q p_{=i}(\ell') \right) \pmod{N}$$

where  $C_{i,m}(j) = (m-i)n + j$ . Now we take  $n \mapsto \tilde{n} = n + \pi'_N(k-1)$  and obtain a function  $\tilde{p}_{\leq k}^{(m)}(j)$  for  $\tilde{S}_m$ . If we take  $j \mapsto \tilde{j} = j + m\pi'_N(k-1)$ , we claim that

$$p_{\leq k}^{(m)}(\tilde{j}) \equiv \tilde{p}_{\leq k}^{(m)}(j) \pmod{N}.$$

This is equivalent to  $B_i$  being a cyclic shift of  $\tilde{B}_i$ . Here, we are implicitly taking  $\tilde{j} \pmod{\pi'_N(k)}$  and treating  $p_{\leq k}^{(m)}$  as a periodic sequence - this avoids confusion with  $R_i$ , as the shift is within each block  $B_i \mapsto \tilde{B}_i$ . Using Lemma 4 again, we see this is equivalent to

$$p_{\leq k}(\tilde{j} + mn) - \sum_{i \in [m]} \#\mathcal{P}_{i,m}^{\text{bad}}(\tilde{j}) \equiv p_{\leq k}(j + m\tilde{n}) - \sum_{i \in [m]} \#\mathcal{P}_{i,m}^{\text{bad}}(j) \pmod{N}.$$

As  $\tilde{j} + mn = j + m\tilde{n}$ , we need only consider the sums  $\sum_{i \in [m]} \#\mathcal{P}_{i,m}^{\text{bad}}(\cdot)$ . We want to show that this is invariant modulo  $N$  under  $j \mapsto \tilde{j}$  and  $n \mapsto \tilde{n}$ . We get for individual terms (indexed by  $i$ ) the difference

$$\sum_{\ell + \ell' = C_{i,m}(\tilde{j})} [q^\ell] \begin{bmatrix} n + (k-i) \\ k-i \end{bmatrix}_q p_{=i}(\ell') - \sum_{\ell + \ell' = \tilde{C}_{i,m}(j)} [q^\ell] \begin{bmatrix} n + (k-i) \\ k-i \end{bmatrix}_q p_{=i}(\ell') \pmod{N}$$

where  $\tilde{C}_{i,m}(j) = (m-i)\tilde{n} + j$ , differing from  $C_{i,m}(j)$  by a multiple of  $\pi'_N(k-1)$ . We claim that this is 0 for  $i > 1$ . Because  $p_{=i}$  has period  $\pi_N(i) \mid \pi'_N(k-1)$  and  $\pi'_N(k-1) \mid C_{i,m}(\tilde{j}) - \tilde{C}_{i,m}(j)$ , we can add this quantity to  $\ell'$  in the second sum (leaving it unchanged) to cancel terms. After this, we are left with

$$\sum_{\substack{\ell + \ell' = C_{i,m}(\tilde{j}) \\ \ell > \tilde{C}_{i,m}(j)}} [q^\ell] \begin{bmatrix} n + (k-i) \\ k-i \end{bmatrix}_q p_{=i}(\ell') \pmod{N}.$$

The difference is  $C_{i,m}(\tilde{j}) - \tilde{C}_{i,m}(j) = i\pi'_N(k-1)$ . For  $k-1 > i > 1$ , recall the division of residues in  $\begin{bmatrix} n+(k-i) \\ k-i \end{bmatrix}_q \pmod{N}$  - the blocks have size  $\pi'_N(k-i) = \text{len}(B_\bullet)$  in each



section. For  $n$  sufficiently large, we can keep all  $\ell$  in the same section as  $n = \text{len}(\mathbf{S}_\bullet)$  and  $i\pi'_N(k-1)$  is independent of  $n$ . Note also that  $\pi'_N(i)$  is a period of  $p_{=i}$ . As  $\pi'_N(k-1)/\pi'_N(k-i), \pi'_N(k-1)/\pi'_N(i) \in N\mathbb{Z}$  for  $1 < i < k-1$ , this implies the entire sum is 0 because we repeat each period a multiple of  $N$  times and either  $\pi'_N(i) \mid \pi'_N(k-i)$  or the other way around. At  $i = k-1$ , the  $q$ -binomial coefficient has all coefficients 1 so this becomes 0 by the restrictions on  $(k, N)$ . For  $i = 1$ ,  $p_{=1}(\ell^l) = 1$  and the sum is 0 again by the restrictions on  $(k, N)$  and Theorem 5.2, since  $n$  is large enough that the  $\ell$  values stay in the same section and we just sum over a period  $i$  times.

Thus, for sufficiently large  $n$  we have  $p_{\leq k}^{(m)}(\tilde{j}) = \tilde{p}_{\leq k}^{(m)}(j)$ . We conclude that  $\tilde{\mathbf{B}}_i$  is a cyclic shift of  $\mathbf{B}_i$  and the result follows since the slopes of  $\mathcal{L}_k^{(i)}$  depend only on the number of occurrences of the residue  $R$  in each  $\mathbf{B}_i$  (which clearly is the same under a cyclic shift).  $\square$

## 6 Asymptotics for the quasiperiod

Given the complex nature of the definition for  $\pi'_N(k)$  it is worth investigating asymptotics to understand how quickly  $f_k(n)$  and its generating function grow in complexity. First we investigate asymptotics for  $\pi_p(k)$  for each prime  $p$ . We have the expansion

$$\pi_p(k) = p^{b_p([k])} L_p([k]),$$

where  $b_p([k])$  and  $L_p([k])$  are as previously defined in Theorem 2.1. Note that  $\text{lcm}([k]) = e^{\psi(k)}$  where  $\psi(k)$  is the Chebyshev function. Let

$$\Pi(k) := \sum_{i \in [k]} p^{\nu_p(i)}.$$

We first consider the asymptotics of this function in Lemma 5.

**Lemma 5.**

$$\Pi(k) = \sum_{i \in [k]} p^{\nu_p(i)} \sim \frac{p-1}{p} k \log_p(k).$$

*Proof.* This can be done by observing that  $\sum_{i \in [k]} p^{\nu_p(i)} = \sum_{i=0}^{\lfloor \log_p(k) \rfloor} \#V_{i,p} p^i$ , where  $V_{i,p} = \{j \mid j \in [k], \nu_p(j) = i\}$ . Now we can take  $\#V_{i,p} = \left\lfloor \frac{k}{p^i} \right\rfloor - \left\lfloor \frac{k}{p^{i+1}} \right\rfloor$ , yielding

$$\sum_{i=0}^{\lfloor \log_p(k) \rfloor} \#V_{i,p} p^i = \sum_{i \geq 0} \left( \left\lfloor \frac{k}{p^i} \right\rfloor - \left\lfloor \frac{k}{p^{i+1}} \right\rfloor \right) p^i \sim (p-1) \left( \sum_{i \geq 1} \left\lfloor \frac{k}{p^i} \right\rfloor p^{i-1} \right).$$

We now want to show  $\sum_{i \geq 1} \left\lfloor \frac{k}{p^i} \right\rfloor p^{i-1} \sim \frac{k \log_p(k)}{p}$  for large  $k$ . That is, we want to show that  $\lim_{k \rightarrow \infty} \frac{k \log_p(k)}{\sum_{i \geq 1} \left\lfloor \frac{k}{p^i} \right\rfloor p^i} = 1$ . We obtain upper and lower bounds for the limit via  $\frac{k}{p^i} \geq \left\lfloor \frac{k}{p^i} \right\rfloor \geq \frac{k}{p^i} - 1$ , yielding the bounds

$$k \log_p(k) \geq \sum_{i \geq 1} \left\lfloor \frac{k}{p^i} \right\rfloor p^i \geq k \left( \log_p(k) - 1 - \frac{p}{p-1} \right).$$

Upon dividing we see

$$\frac{k \log_p(k)}{\sum_{i \geq 1} \left\lfloor \frac{k}{p^i} \right\rfloor p^i} \leq \frac{k \log_p(k)}{k(\log_p(k) - 1 - \frac{p}{p-1})} = 1 + \frac{(1 + \frac{p}{p-1})k}{k \log_p(k) - (1 + \frac{p}{p-1})k}.$$

Thus, the limit is bounded above by 1. The lower bound clearly goes to 1, and we conclude that

$$\sum_{i \in [k]} p^{\nu_p(i)} \sim \frac{p-1}{p} k \log_p(k). \quad \square$$

The following lemma will be useful in understanding  $L_p([k])$ .

**Lemma 6.**  $\nu_p(\text{lcm}([k])) = \lfloor \log_p(k) \rfloor$ .

Now we can make an asymptotic analysis for the log of  $\pi_p(k)$ , since we have asymptotics relating to both components of  $\pi_p(k)$ .

**Theorem 6.1.** *We have*

$$\log_p(\pi_p(k)) \sim \log_p \log_p(k) + \frac{\psi(k)}{\ln p}.$$

*Proof.* Using Lemma 6, we see

$$\pi_p(k) = p^{b_p([k])} L_p([k]) = \text{lcm}([k]) p^{b_p([k]) - \lfloor \log_p(k) \rfloor}.$$

This can be simplified to

$$\begin{aligned} \log_p \pi_p(k) &= \frac{\psi(k)}{\ln p} + (b_p([k]) - \lfloor \log_p(k) \rfloor) \\ &= \frac{\psi(k)}{\ln p} + (\lceil \log_p \Pi(k) \rceil - \lfloor \log_p(k) \rfloor). \end{aligned} \quad (5)$$

We use Lemma 5 to show  $\log_p \Pi(k) \sim \log_p \left( \frac{p-1}{p} k \log_p(k) \right)$ . Ignoring constant terms, we simplify this asymptotic to

$$\log_p \Pi(k) \sim \log_p \log_p(k) + \log_p(k),$$

and in the limit floors become irrelevant so the  $\log_p(k)$  term is cancelled in (5), yielding the desired asymptotic.  $\square$

**Lemma 7.**  $\log_p \left( \frac{\pi'_p(k)}{\pi_p(k)} \right) \sim k - \log_p(k) - \log_p \log_p(k)$

*Proof.* Note that

$$\frac{\pi'_p(k)}{\pi_p(k)} = p^{\#S_k},$$

where

$$S_k = \left\{ i : i \leq k, \frac{\pi_p(i)}{\pi_p(i-1)} \notin p\mathbb{Z} \right\}.$$

The condition in  $S_k$  can be re-written in terms of the  $p$ -adic valuation as  $\nu_p(\pi_p(i)) = \nu_p(\pi_p(i-1))$ . But this valuation is just  $b_p([i])$ , so we really have  $\#S_k = k - b_p([k])$ . Now we can write

$$\#S_k = k - \lceil \log_p(\Pi(k)) \rceil$$

where we already have an asymptotic formula for  $\Pi(k)$ . We can obtain

$$\#S_k \sim k - \log_p \left( \frac{p-1}{p} k \log_p(k) \right).$$

This implies that

$$\begin{aligned} \log_p \left( \frac{\pi'_p(k)}{\pi_p(k)} \right) &= \#S_k \\ &\sim k - \log_p \left( \frac{p-1}{p} k \log_p(k) \right). \end{aligned}$$

Ignoring constants in the above asymptotic, we obtain the desired asymptotic.  $\square$

By understanding  $\pi_p$ , we can easily derive formulas for  $\pi'_p$  by simply accounting for a power of  $p$  as above. That is,

$$\begin{aligned} \log_p \pi'_p(k) &\sim \log_p \pi_p(k) + k - \log_p(k) - \log_p \log_p(k) \\ &\sim \frac{\psi(k)}{\ln p} + k - \log_p(k), \end{aligned}$$

where we have already bounded  $\pi_p$  via Theorem 6.1. The next task is to understand  $\pi'_{p^e}(k)$ . The lemma below yields an asymptotic estimate for  $\log_p \pi'_{p^e}(k)$  through Theorem 6.1 and Lemma 7.

**Lemma 8.** *For prime powers of an odd prime, we have the formula*

$$\pi'_{p^e}(k) = p^{(k-1)(e-1)} \pi'_p(k). \tag{6}$$

For  $p = 2$ , this is off by a constant factor of  $\frac{1}{2}$  if  $e > 1$ .

*Proof.* The idea behind this is to show  $\nu_p(\pi_p(j+1)/\pi_p(j)) \leq 1$  for  $j \geq 2$ . This follows from  $b_p([j+1]) - b_p([j]) \leq 1$ , so we prove this claim instead. Observe that  $\Pi(j+1) - \Pi(j) \leq j+1$ . Let  $p^i \leq j$  be the largest prime power of  $p$  less than or equal to  $j$ . But then

$$\Pi(j+1) \leq p^{b_p([j])} + j + 1 \leq p^{b_p([j])} + p^{i+1} \leq p^{b_p([j])+1}.$$

Note that  $b_p([j]) \geq i + 1$ , since  $j \geq 2$  implies  $\Pi(j) > p^i$ . Then we conclude the final inequality from  $p^{b_p([j])} + p^{i+1} = (1 + p^{-\ell})p^{b_p([j])}$  for some  $\ell \geq 0$ , and  $p \geq 1 + p^{-\ell}$  as  $p \geq 2$ . It follows that  $b_p([j + 1]) - b_p([j]) \leq 1$  and  $\nu_p(\pi_p(j + 1)/\pi_p(j)) \leq 1$  for  $j \geq 2$ .

Suppose we know  $\nu_p(\pi_p(j + 1)/\pi_p(j)) \leq 1$  at  $j$ . First consider the case when  $\nu_p(\pi_p(j + 1)/\pi_p(j)) = 1$ . By definition

$$\pi'_{p^e}(j + 1) = \pi'_{p^e}(j) \operatorname{lcm} \left( p^e, \frac{\pi_p(j + 1)}{\pi_p(j)} \right)$$

since  $\pi_p(j + 1)/\pi_p(j) = \pi_{p^e}(j + 1)/\pi_{p^e}(j)$ . In this case, to obtain  $\pi'_{p^e}(j + 1)$  we scale  $\pi'_{p^e}(j)$  by  $p^{e-1}$  in addition to scaling by  $\frac{\pi_p(j+1)}{\pi_p(j)}$ . In the case where  $\nu_p(\pi_p(j + 1)/\pi_p(j)) = 0$ , the very same reasoning shows we scale  $\pi'_{p^e}(j)$  by  $p^e$  in addition to scaling by  $\frac{\pi_p(j+1)}{\pi_p(j)}$ .

Using this, we can obtain the exact formula for  $\pi'_{p^e}(k)$  recursively if we assume  $\nu_p(\pi_p(j + 1)/\pi_p(j)) \leq 1$  holds for  $1 \leq j < k$  (we proved it for  $j \geq 2$ ). In  $k - 1$  recursive steps, we arrive at  $\pi'_{p^e}(1) := 1$ . Using the results above, we obtain

$$\pi'_{p^e}(k) = \prod_{1 \leq j < k} p^{e - \nu_p \left( \frac{\pi_p(j+1)}{\pi_p(j)} \right)} \frac{\pi_p(j + 1)}{\pi_p(j)}$$

by compactly summarizing the factors across the two cases  $\nu_p \left( \frac{\pi_p(j+1)}{\pi_p(j)} \right) = 1$  and  $0$ . Since these are the only possibilities, when we pull out  $p^{(e-1)(k-1)}$  we see that the factor which remains is  $p^\ell \left( \prod_{1 \leq j < k} \frac{\pi_p(j+1)}{\pi_p(j)} \right)$  where  $\ell = \#\{j : 1 \leq j < k, \nu_p \left( \frac{\pi_p(j+1)}{\pi_p(j)} \right) = 0\}$  is the number of times we have  $p^e$  instead of  $p^{e-1}$ . Applying the same recursive computation in the  $e = 1$  case for  $\pi'_p(k)$ , this is  $\pi'_p(k)$ . Hence, assuming  $\nu_p(\pi_p(j + 1)/\pi_p(j)) \leq 1$  for all steps we obtain  $\pi'_{p^e}(k) = p^{(k-1)(e-1)} \pi'_p(k)$ .

For  $p \neq 2$ , we encounter no issues because we still have  $\nu_p(\pi_p(2)/\pi_p(1)) \leq 1$ , and so the exact formula holds. This is because  $b_p([2]) - b_p([1]) = 1 - 0 = 1$ . For  $p = 2$  this does not hold, but our description of the recursive steps holds for all but the  $j = 1$  step where it is off by a constant factor, and so for  $p = 2$  the formula is off by a constant factor which is straightforward to compute.  $\square$

A useful consequence of this lemma is that

$$\nu_q(\pi'_{p^e}(k)) \sim \nu_q(p^{(k-1)(e-1)} \pi'_p(k))$$

for any prime  $q$  since for odd primes these are equal and for  $p = 2$  they differ by a constant. Similarly, replacing  $\nu_q$  with  $\log_q$  or  $\ln$  we have asymptotics for the logs.

We wish to use this result to understand the growth of  $\ln \pi'_N(k)$ . We can obtain a loose upper bound on  $\ln \pi'_N(k) = \ln \operatorname{lcm}_{p|N} \pi'_{p^{\nu_p(N)}}(k)$  by taking the product of these asymptotics. However, we can do better. As  $k \rightarrow \infty$ , we simply need to identify which term has the largest power of  $p$  associated to it for a given  $p$ . Set  $\nu_q(N) = e_q$ . For a prime  $q \mid N$  not equal to  $p$ , we get

$$\nu_p(\pi'_{q^{e_q}}(k)) \sim \nu_p \left( q^{(k-1)(e_q-1)} \pi'_q(k) \right) = \nu_p(\pi'_q(k)).$$

The last equality is because  $\nu_p(q) = 0$ . We have  $\nu_p(\pi'_q(k)) \sim \log_p(k)$  by Lemma 6. For  $p$ , we get

$$\nu_p(p^{(k-1)(e_p-1)}\pi'_p(k)) = \nu_p(\pi'_p(k)) + (k-1)(e_p-1).$$

Asymptotically, it is clear that this will quickly become dominant. We have

$$\nu_p(\pi'_p(k)) \sim k - \log_p(k) - \log_p \log_p(k) + \log_p \Pi(k)$$

by Lemma 7,  $\nu_p(\pi_p(k)) = b_p([k]) \sim \log_p \Pi_p(k)$ , and that  $\pi'_p(k)/\pi_p(k)$  is a power of  $p$ . Thus, using Lemma 5 we see that only the  $k$  is relevant for the asymptotic, so for  $p \mid N$  we have  $\nu_p(\pi'_N(k)) \sim \nu_p(\pi'_{p^{e_p}}(k)) \sim ke_p$ . For primes  $p \nmid N$ , we get an overall contribution to  $\ln \pi'_N(k)$  in the limit of  $\ln(\text{lcm}([k])) - \sum_{p \mid N} \ln k$ , where the sum comes from Lemma 6. Putting these together, since the logs in the sum are dominated by other terms we have

$$\begin{aligned} \ln \pi'_N(k) &\sim \sum_{p \mid N} ke_p \ln p + \psi(k) \\ &= k \ln N + \psi(k). \end{aligned}$$

Thus, we have proven the following theorem:

**Theorem 6.2.** *We have*

$$\ln \pi'_N(k) \sim k \ln N + \psi(k).$$

## 7 Conclusion and future directions

We have shown that the function  $f_k(n)$  is quasipolynomial modulo any  $N \in \mathbb{N}$ , from which an explicit formula for the generating function  $F_k(x)$  follows. Additionally, the structure of the coefficients of  $\begin{bmatrix} n \\ k \end{bmatrix}_q$  has been described in terms of the sections  $S_i$  of that  $q$ -binomial coefficient, and the repeating period in each section has been shown to retain some of the properties of  $S$ .

A good future direction is to determine the minimal quasiperiod of  $f_k(n)$ . It is expected to lie somewhere between  $\pi_N(k)$  and  $\pi'_N(k)$  but it is unclear how the function actually behaves.

It is also interesting to investigate symmetry in the minimal period of the slopes of the functions  $\mathcal{L}_k^{(i)}$  — if we let this period be  $P$ , we mean to determine when the slope of  $\mathcal{L}_k^{(i)}$  matches that of  $\mathcal{L}_k^{(P-i)}$  for  $0 \leq i \leq P$ . Figure 5 gives a counterexample (the slopes for  $0 \leq i < 30$  are not symmetric in this way), but in many examples the pattern holds true.

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