

Vertex-partitioning into fixed additive induced-hereditary properties is NP-hard

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Abstract

Can the vertices of an arbitrary graph G be partitioned into $A \cup B$, so that $G[A]$ is a line-graph and $G[B]$ is a forest? Can G be partitioned into a planar graph and a perfect graph? The NP-completeness of these problems are special cases of our result: if \mathcal{P} and \mathcal{Q} are additive induced-hereditary graph properties, then $(\mathcal{P}, \mathcal{Q})$ -colouring is NP-hard, with the sole exception of graph 2-colouring (the case where both \mathcal{P} and \mathcal{Q} are the set \mathcal{O} of finite edgeless graphs). Moreover, $(\mathcal{P}, \mathcal{Q})$ -colouring is NP-complete iff \mathcal{P} - and \mathcal{Q} -recognition are both in NP. This completes the proof of a conjecture of Kratochvíl and Schiermeyer, various authors having already settled many sub-cases.

Kratochvíl and Schiermeyer conjectured in [19] that for any additive hereditary graph properties \mathcal{P} and \mathcal{Q} , recognising graphs in $\mathcal{P} \circ \mathcal{Q}$ is NP-hard, with the obvious exception of bipartite graphs (the case where both \mathcal{P} and \mathcal{Q} are the set \mathcal{O} of finite edgeless graphs). They settled the case where $\mathcal{Q} = \mathcal{O}$, and it was natural to extend the conjecture to *induced*-hereditary properties. Berger's result [3] that reducible additive induced-hereditary properties have infinitely many minimal forbidden subgraphs provided support for the extended conjecture.

We prove the extension of the Kratochvíl-Schiermeyer conjecture in this paper. Problems such as the following (for an arbitrary graph G) are therefore NP-complete. Can $V(G)$ be partitioned into $A \cup B$, so that $G[A]$ is a line-graph and $G[B]$ is a forest? Can G be partitioned into a planar graph and a perfect graph? For fixed k, ℓ, m , can G be partitioned into a k -degenerate subgraph, a subgraph of maximum degree ℓ , and an m -edge-colourable subgraph?

Garey et al. [15, 22] essentially showed $(\mathcal{O}, \{\text{forests}\})$ -colouring to be NP-complete, while Brandstädt et al. [4, Thm. 3] proved the case $(\mathcal{O}, \{P_4, C_4\}$ - free graphs).

Let \mathcal{P} be a property and let \mathcal{P}^k be the product of \mathcal{P} with itself, k times. Brown and Corneil [6, 8] showed that \mathcal{P}^k -recognition is NP-hard when \mathcal{P} is the set of perfect graphs and $k \geq 2$, while Hakimi and Schmeichel [17] did the case $\{\text{forests}\}^2$. There was particular interest in G -free k -colouring (where \mathcal{P} has just one forbidden induced-subgraph G). When $G = K_2$ we get graph colouring, one of the best known NP-complete problems, while subchromatic number [2, 13] (partitioning into subgraphs whose components are all cliques) is the case $G = P_3$. Brown [7] proved the case where G is 2-connected, and Achlioptas [1] showed NP-completeness for all G . In fact, Achlioptas' proof settles the case \mathcal{R}^k for any irreducible additive induced-hereditary \mathcal{R} .

1 Preliminaries

We consider only simple finite graphs, referring to [14] and [25] for general definitions in complexity and graph theory. We write $G \leq H$ when G is an induced subgraph of H . We identify a graph property with the set of graphs that have that property. A property \mathcal{P} is *additive*, or *(induced-)hereditary*, if it is closed under taking vertex-disjoint unions, or (induced-)subgraphs. The properties we consider contain the null graph K_0 and at least one, but not all (finite simple non-null) graphs.

A $(\mathcal{P}, \mathcal{Q})$ -colouring of G is a partition of $V(G)$ into red and blue vertices, such that the red vertices induce a subgraph $G_{\mathcal{P}} \in \mathcal{P}$, and the blue vertices induce a subgraph $G_{\mathcal{Q}} \in \mathcal{Q}$. The *product* of \mathcal{P} and \mathcal{Q} is $\mathcal{P} \circ \mathcal{Q}$, the set of $(\mathcal{P}, \mathcal{Q})$ -colourable graphs. We use $(\mathcal{P}, \mathcal{Q})$ -colouring, $(\mathcal{P}, \mathcal{Q})$ -partition and $(\mathcal{P} \circ \mathcal{Q})$ -recognition interchangeably.

Let \mathcal{P} be an additive induced-hereditary property. Then \mathcal{P} is *reducible* if it is the product of two additive induced-hereditary properties; otherwise it is *irreducible*. It is true, though by no means obvious, that if \mathcal{P} is the product of *any* two properties, then it is also the product of two additive induced-hereditary properties [11].

Now let \mathcal{P} be any induced-hereditary property. The set of minimal forbidden induced-subgraphs for \mathcal{P} is $\mathcal{F}(\mathcal{P}) := \{H \notin \mathcal{P} \mid \forall G < H, G \in \mathcal{P}\}$. Note that $\mathcal{F}(\mathcal{O}) = \{K_2\}$, while all other induced-hereditary properties have forbidden subgraphs with at least 3 vertices. \mathcal{P} is also additive iff every graph in $\mathcal{F}(\mathcal{P})$ is connected. Every hereditary property is induced-hereditary, and the product of additive (induced-hereditary) properties is additive (induced-hereditary).

A graph H is *strongly¹ uniquely $(\mathcal{P}_1, \dots, \mathcal{P}_n)$ -partitionable* if there is exactly one *ordered* partition (V_1, \dots, V_n) of $V(H)$ such that for all i , $H[V_i] \in \mathcal{P}_i$. More precisely, suppose $V(H) = U_1 \cup \dots \cup U_n$, where $H[U_i] \in \mathcal{P}_i$ for all i . Then there is a permutation ϕ of $\{1, \dots, n\}$ such that, for every i :

- (a) $V_i = U_{\phi(i)}$;
- (b) $\mathcal{P}_i = \mathcal{P}_{\phi(i)}$.

When the \mathcal{P}_i 's are additive induced-hereditary and irreducible, Mihók [21] gave a construction that can easily be adapted (cf. [10, Thm. 5.3], [11], [5]) to give a strongly uniquely $(\mathcal{P}_1, \dots, \mathcal{P}_n)$ -partitionable graph H with $V_n \neq \emptyset$. We use H to show that $\mathcal{A} \circ \mathcal{B}$ -

¹Without condition (b), H would just be *uniquely $(\mathcal{P}_1, \dots, \mathcal{P}_n)$ -partitionable*.

recognition is at least as hard as \mathcal{A} -recognition, when \mathcal{A} and \mathcal{B} are additive induced-hereditary properties (the result is not true for all properties, e.g., $\mathcal{B} := \{G \mid |V(G)| \geq 10\}$).

1. Theorem. *Let \mathcal{A} and \mathcal{B} be additive induced-hereditary properties. Then there is a polynomial-time transformation from the \mathcal{A} -recognition problem to the $(\mathcal{A} \circ \mathcal{B})$ -recognition problem.*

Proof: It is clearly enough to prove this when \mathcal{B} is irreducible. For any graph G we will construct (in time linear in $|V(G)|$) a graph G' such that $G \in \mathcal{A}$ if and only if $G' \in \mathcal{A} \circ \mathcal{B}$.

Let $\mathcal{A} = \mathcal{P}_1 \circ \dots \circ \mathcal{P}_{n-1}$, $\mathcal{B} = \mathcal{P}_n$, where the \mathcal{P}_i 's are irreducible additive induced-hereditary properties. Let H be a fixed strongly uniquely $(\mathcal{P}_1, \dots, \mathcal{P}_n)$ -partitionable graph, with partition (V_1, \dots, V_n) , such that $V_n \neq \emptyset$. Let v_H be some fixed vertex that is not in V_n , say $v_H \in V_1$.

For any graph G , we construct G' by taking a copy of G and a copy of H , and making every vertex of G adjacent to every vertex of $N(v_H) \cap V_n$. By additivity of \mathcal{A} , if G is in \mathcal{A} , then G' is in $\mathcal{A} \circ \mathcal{B}$.

Conversely, if $G' \in \mathcal{A} \circ \mathcal{B} = \mathcal{P}_1 \circ \dots \circ \mathcal{P}_n$, then it has an ordered partition (W_1, \dots, W_n) with $W_i \in \mathcal{P}_i$ for each i . Since the \mathcal{P}_i 's are induced-hereditary, $G'[W_i] \in \mathcal{P}_i$ implies $G'[W_i \cap V(H)] \in \mathcal{P}_i$. Then² $(W_1 \cap V(H), \dots, W_n \cap V(H)) = (V_1, \dots, V_n)$; in particular, $v_H \in W_1$.

Suppose some $z \in V(G)$ is in W_n . Now $(V_1 \setminus \{v_H\}, V_2, \dots, V_{n-1}, V_n \cup \{z\})$ is a $(\mathcal{P}_1, \dots, \mathcal{P}_n)$ -partition of $(H - v_H) + z \cong H$. Then $(V_1 \setminus \{v_H\}, V_2, \dots, V_{n-1}, V_n \cup \{v_H\})$ is a $(\mathcal{P}_1, \dots, \mathcal{P}_n)$ -partition of H that is different from (V_1, \dots, V_n) (since $V_n \neq \emptyset$), a contradiction.

Thus no vertex of G is in W_n , and so $G \leq G'[W_1 \cup \dots \cup W_{n-1}] \in \mathcal{P}_1 \circ \dots \circ \mathcal{P}_{n-1} = \mathcal{A}$, and $G \in \mathcal{A}$ as required. \square

2 NP-hardness

We will prove the main result by transforming a version of p -IN- r -SAT to $(\mathcal{P}, \mathcal{Q})$ -colouring, where p and r are fixed integers depending on \mathcal{P} and \mathcal{Q} . We recall that p -IN- r -SAT is the problem of determining whether an arbitrary formula with clauses of size r has a valid truth assignment that sets exactly p literals to TRUE in each clause? Schaefer [24] showed this to be NP-complete even for formulae with all literals unnegated, for any fixed p and r , so long as $1 \leq p < r$ and $r \geq 3$. We restate this version as:

p -IN- r -COLOURING

Instance: an r -uniform hypergraph.

Problem: is there a set of vertices U such that, for each hyper-edge e , $|U \cap e| = p$?

²Up to some permutation of the subscripts as in (a), (b).

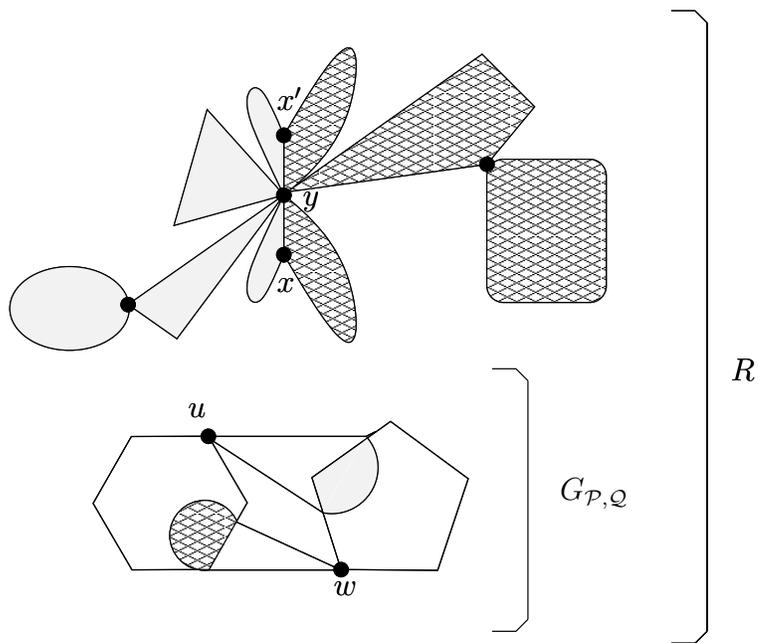
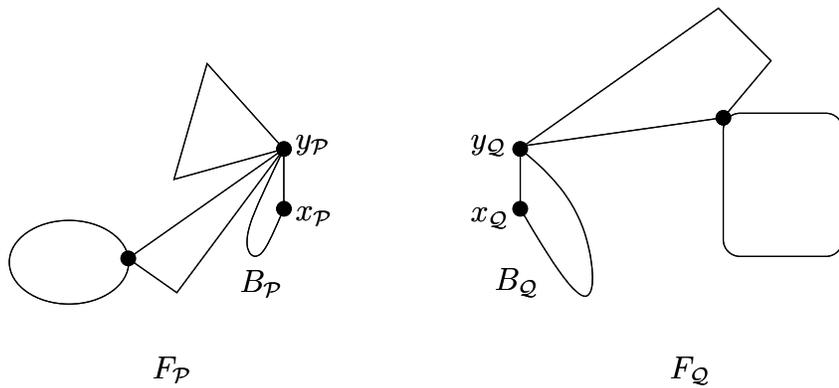


Figure 1: The forbidden graphs F_P and F_Q , and the replicator gadget R .
 The shaded neighbours of u in $G_{P,Q}$ are connected to the other shaded vertices in R .
 The hatched neighbours of w in $G_{P,Q}$ are connected to the other hatched vertices in R .

2. Theorem. *Let \mathcal{P} and \mathcal{Q} be additive induced-hereditary properties, $\mathcal{P} \circ \mathcal{Q} \neq \mathcal{O}^2$. Then $(\mathcal{P} \circ \mathcal{Q})$ -recognition is NP-hard. Moreover, it is NP-complete iff \mathcal{P} - and \mathcal{Q} -recognition are both in NP.*

Proof: We will prove the first part. For the second part, one direction is easy, while the other follows from Theorem 1. Also by Theorem 1 (and by the well-known NP-hardness of recognising \mathcal{O}^3 [18]), we need only consider the case where \mathcal{P} and \mathcal{Q} are irreducible. By Theorem 1 there is a strongly uniquely $(\mathcal{P}, \mathcal{Q})$ -colourable graph $G_{\mathcal{P}, \mathcal{Q}}$ that we use to “force” vertices to be in \mathcal{P} or \mathcal{Q} .

More formally, let the unique partition be $V(G_{\mathcal{P}, \mathcal{Q}}) = U_{\mathcal{P}} \cup U_{\mathcal{Q}}$. Choose $u \in U_{\mathcal{P}}$. If $G_{\mathcal{P}, \mathcal{Q}} \leq H$, and $v \notin V(G_{\mathcal{P}, \mathcal{Q}})$ satisfies $N(v) \cap U_{\mathcal{Q}} = N(u) \cap U_{\mathcal{Q}}$, then in any $(\mathcal{P}, \mathcal{Q})$ -colouring of H , v must be in the \mathcal{P} -part³; otherwise, in $G_{\mathcal{P}, \mathcal{Q}}$ we could transfer u over to the \mathcal{Q} part, giving us a different $(\mathcal{P}, \mathcal{Q})$ -colouring. Similarly we choose $w \in U_{\mathcal{Q}}$, whose neighbours we use to force vertices to be in \mathcal{Q} . $G_{\mathcal{P}, \mathcal{Q}}$ is our first gadget.

An *end-block* of a graph G is a block of G that contains at most one cut-vertex of G ; in particular, if G has no cut-vertices, then G is itself an end-block. Let $B_{\mathcal{P}}$ be an end-block of $F_{\mathcal{P}} \in \mathcal{F}(\mathcal{P})$, chosen to have the least number of vertices among all the end-blocks of all the graphs in $\mathcal{F}(\mathcal{P})$ (see Figure 1). Because \mathcal{P} is additive and non-trivial, $F_{\mathcal{P}}$ is connected and has at least two vertices, so $B_{\mathcal{P}}$ has $k \geq 2$ vertices. The point to note is that, if H is a graph in \mathcal{P} , then adding an end-block with fewer than k vertices produces another graph in \mathcal{P} .

Let $y_{\mathcal{P}}$ be the unique cut-vertex contained in $B_{\mathcal{P}}$ (if $B_{\mathcal{P}} = F_{\mathcal{P}}$, pick $y_{\mathcal{P}}$ arbitrarily), and let $x_{\mathcal{P}}$ be a vertex of $B_{\mathcal{P}}$ adjacent to $y_{\mathcal{P}}$. Let $F'_{\mathcal{P}}$ be the graph obtained by adding an extra copy of $B_{\mathcal{P}}$ (incident to the same cut-vertex $y_{\mathcal{P}}$), and let $x'_{\mathcal{P}}$ be a vertex in this new copy that is adjacent to $y_{\mathcal{P}}$.

Similarly, we choose $B_{\mathcal{Q}}$ to be an end-block of $F_{\mathcal{Q}} \in \mathcal{F}(\mathcal{Q})$, minimal among the end-blocks of graphs in $\mathcal{F}(\mathcal{Q})$; we add a copy of $B_{\mathcal{Q}}$, and pick $x_{\mathcal{Q}}$, $y_{\mathcal{Q}}$ and $x'_{\mathcal{Q}}$ as above. We identify $x_{\mathcal{P}}$ with $x_{\mathcal{Q}}$, $y_{\mathcal{P}}$ with $y_{\mathcal{Q}}$, $x'_{\mathcal{P}}$ with $x'_{\mathcal{Q}}$, and label the identified vertices x, y, x' .

Finally, we force all the vertices of $F'_{\mathcal{P}}$ (except for x, y, x') to be in \mathcal{P} , and all the vertices of $F'_{\mathcal{Q}}$ (except for x, y, x') to be in \mathcal{Q} . That is, we add a copy of $G_{\mathcal{P}, \mathcal{Q}}$, and make every vertex of $F'_{\mathcal{P}} - \{x, y, x'\}$ adjacent to every vertex of $N(u) \cap U_{\mathcal{Q}}$, and every vertex of $F'_{\mathcal{Q}} - \{x, y, x'\}$ adjacent to every vertex of $N(w) \cap U_{\mathcal{P}}$ (cf. Figure 1).

It can be checked that the resulting gadget R (for ‘replicator’) has the following properties:

Claim 1. In a $(\mathcal{P}, \mathcal{Q})$ -colouring of R , if x is in \mathcal{P} , then y is in \mathcal{Q} and x' is in \mathcal{P} ; similarly, if x is in \mathcal{Q} , then y is in \mathcal{P} and x' is in \mathcal{Q} . So x and x' always have the same colour, that is different from that of y . Moreover, there is at least one colouring (in fact, exactly one) in which x and x' are in \mathcal{P} , and at least one in which both are in \mathcal{Q} .

³To be precise, we mean that v is coloured the same as u : if $\mathcal{P} = \mathcal{Q}$ then a $(\mathcal{P}, \mathcal{Q})$ -colouring is also a $(\mathcal{Q}, \mathcal{P})$ -colouring, but we adopt the convention that the \mathcal{P} -part is the part containing u .

Claim 2. Let H be an arbitrary graph, and let H_R be a graph obtained by identifying some vertex $z \in H$ with the vertex $x \in R$ (so this becomes a cut-vertex in H_R). Then a red-blue colouring of H_R is a $(\mathcal{P}, \mathcal{Q})$ -colouring iff it is a $(\mathcal{P}, \mathcal{Q})$ -colouring of H and a $(\mathcal{P}, \mathcal{Q})$ -colouring of R .

Proof of Claim 2. The “only if” follows from the induced-heredity of \mathcal{P} and \mathcal{Q} . For the converse we need to show, without loss of generality, that if every red component of H and of R is in \mathcal{P} , then every red component C of H_R is in \mathcal{P} . If $x \notin C$, then C must be a red component of H or of R .

If $x \in C$, then C is formed from a red component C_H of H containing z , and a red component C_R of R containing x . Since x is red, by Claim 1, y is blue, so $C_R \subseteq B_{\mathcal{P}} - y_{\mathcal{P}}$. Now $B_{\mathcal{P}}$, on k vertices, was a smallest possible end-block among the forbidden subgraphs for \mathcal{P} . Since C_H is in \mathcal{P} , adding an end-block C_R (or successively adding a sequence of end-blocks) on at most $k - 1$ vertices produces another graph in \mathcal{P} .

We thus have a gadget that “replicates” the colour of x on x' , while preserving valid colourings.

Let $H_{\mathcal{P}}$ be a forbidden subgraph for \mathcal{P} with the least possible number of vertices, say $p + 1$; similarly choose $H_{\mathcal{Q}} \in \mathcal{F}(\mathcal{Q})$ on $q + 1$ vertices, where $q + 1$ is as small as possible, so any graph on at most p (resp. q) vertices is in \mathcal{P} (resp. \mathcal{Q}). Since \mathcal{P} and \mathcal{Q} are not both \mathcal{O} , $p + q \geq 3$, and so p -IN- $(p + q)$ -COLOURING is NP-complete. We will construct a third gadget to transform this to $(\mathcal{P}, \mathcal{Q})$ -colouring.

We start with an independent set S on $p + q$ vertices, $\{x_1, \dots, x_{p+q}\}$. For every $(p + 1)$ -subset of S , say $T_j = \{x_1, \dots, x_{p+1}\}$, add a disjoint copy of $H_{\mathcal{P}}$ whose vertices are labeled x_1^j, \dots, x_{p+1}^j . For each $i = 1, \dots, p + 1$, use a new copy $R_{i,j}$ of R to ensure that x_i and x_i^j are always coloured the same; to do this, identify the vertices x and x' of $R_{i,j}$ with x_i and x_i^j . For every $(q + 1)$ -subset of S we add a copy of $H_{\mathcal{Q}}$ in the same manner. Thus every vertex $x_i \in S$ will have $\ell = \binom{p+q-1}{p} + \binom{p+q-1}{q}$ ‘shadow vertices’ x_i^1, \dots, x_i^{ℓ} from copies of $H_{\mathcal{P}}$ and $H_{\mathcal{Q}}$. Call this gadget N (for ‘pin cushion’ — the copies of $H_{\mathcal{P}}$ and $H_{\mathcal{Q}}$ being stuck into the independent set S by ‘pins’ or ‘replicators’).

In a $(\mathcal{P}, \mathcal{Q})$ -colouring of N , no $p + 1$ vertices of S can be in \mathcal{P} , and no $q + 1$ vertices can be in \mathcal{Q} , so exactly p vertices of S are in \mathcal{P} , and exactly q are in \mathcal{Q} . Conversely, suppose that exactly p vertices of S are coloured red, and the other q are blue; colour each vertex x_i^j the same as x_i , $1 \leq i \leq p + q$, $1 \leq j \leq \ell$. Then each copy of $H_{\mathcal{P}}$ has at most p red and at most q blue vertices, giving it a valid $(\mathcal{P}, \mathcal{Q})$ -colouring. The colouring on the rest of each gadget $R_{i,j}$ is then forced, and we have a $(\mathcal{P}, \mathcal{Q})$ -colouring of all of N .

Now, given a $(p + q)$ -uniform hypergraph \mathcal{H} , we stick a copy of N onto every hyper-edge. The resulting graph is $(\mathcal{P}, \mathcal{Q})$ -colourable iff \mathcal{H} has a p -IN- $(p + q)$ -COLOURING. \square

3 New directions

How far can the main result be extended? Uniquely $(\mathcal{P}_1, \dots, \mathcal{P}_n)$ -partitionable graphs exist even in many cases where the \mathcal{P}_i 's are not additive [12]; however, this includes finite \mathcal{P}_i 's, so the existence of uniquely colourable graphs does not guarantee NP-hardness.

It may be useful to restate the result as follows: if the graphs in $\mathcal{F}(\mathcal{P})$ and $\mathcal{F}(\mathcal{Q})$ are all connected, then $(\mathcal{P}, \mathcal{Q})$ -colouring is NP-hard. This is also true if the graphs in $\mathcal{F}(\mathcal{P})$ and $\mathcal{F}(\mathcal{Q})$ are all disconnected, since $G \in \mathcal{P} \circ \mathcal{Q} \Leftrightarrow \overline{G} \in \overline{\mathcal{P}} \circ \overline{\mathcal{Q}}$, where $\overline{\mathcal{P}}$ is defined by $\mathcal{F}(\overline{\mathcal{P}}) := \{\overline{H} \mid H \in \mathcal{F}(\mathcal{P})\}$.

A natural problem to tackle next would be classifying the complexity of \mathcal{R}^k -recognition, where \mathcal{R} has both connected and disconnected minimal forbidden induced-subgraphs. One of the simplest such cases is $\mathcal{R} = (\mathcal{O} \cup \mathcal{K})$, where \mathcal{K} is the set of all cliques: $\mathcal{F}(\mathcal{O} \cup \mathcal{K}) = \{P_3, \overline{P}_3\}$. Gimbel et al. [16] noted that $G \in \mathcal{O}^k \Leftrightarrow nG \in (\mathcal{O} \cup \mathcal{K})^k$ (where $n = |V(G)|$); so $(\mathcal{O} \cup \mathcal{K})^k$ -recognition is NP-complete for $k \geq 3$ (and, in fact, polynomial for $k = 1, 2$).

Another natural problem is $(\mathcal{P}, \mathcal{Q})$ -colouring, where all graphs in $\mathcal{F}(\mathcal{P})$ are connected, and all those in $\mathcal{F}(\mathcal{Q})$ are disconnected. In all problems, it may make sense to restrict attention to hereditary properties with finitely many forbidden subgraphs.

Another class of problems often considered in the literature is $(\mathcal{D} : \mathcal{P})$ -recognition: given a graph G in the domain \mathcal{D} , is G in \mathcal{P} ? This is just $(\mathcal{D} \cap \mathcal{P})$ -recognition; if \mathcal{D} and \mathcal{P} are both additive induced-hereditary, then so is $\mathcal{D} \cap \mathcal{P}$, with $\mathcal{F}(\mathcal{D} \cap \mathcal{P}) = \min_{\leq}(\mathcal{F}(\mathcal{D}) \cup \mathcal{F}(\mathcal{P}))$. We leave it as an open question, for reducible \mathcal{P} , to determine when $\mathcal{D} \cap \mathcal{P}$ is also reducible; Mihók's characterisations [20, 21] of reducibility may be useful in finding an answer.

4 Notes and acknowledgements

The most important part of the proof is the 'replicator' gadget. Phelps and Rödl [23, Thm. 6.2] and Brown [7, Thm. 2.3] used different gadgets to perform similar roles. The forcing technique of Theorem 1 was first used in [19, Thm. 2] and [5, Lemma 3].

Contacts with Lozin were very helpful, as they spurred the author to look at $(K_m$ -free, K_n -free)-colouring, not knowing it had been settled in [9]. Kratochvíl and Schiermeyer [19] proved a special case of Theorem 2 that covered the case $m = 2$; $(K_2$ -free, K_n -free)-colouring; I started my proof for general m and n by adapting theirs, and ended up strengthening and simplifying it considerably.

I would like to thank Bruce Richter for many helpful conversations, detailed comments that improved the presentation of the paper, and for spotting a flaw in my original 'pin cushion' gadget. The result here forms part of the Ph.D. thesis that I am writing under his supervision. I would also like to thank the Canadian government for fully funding my studies through a Commonwealth Scholarship.

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