On a Partition Function of Richard Stanley

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In honor of my friend Richard Stanley

Abstract

In this paper, we examine partitions π classified according to the number $r(\pi)$ of odd parts in π and $s(\pi)$ the number of odd parts in π' , the conjugate of π . The generating function for such partitions is obtained when the parts of π are all $\leq N$. From this a variety of corollaries follow including a Ramanujan type congruence for Stanley's partition function t(n).

1 Introduction

Let π denote a partition of some integer and π' its conjugate. For definitions of these concepts, see [1; Ch.1]. Let $\mathcal{O}(\pi)$ denote the number of odd parts of π . For example, if π is 6+5+4+2+2+1, then the Ferrers graph of π is

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Reading columns we see that π' is 6+5+3+3+2+1. Hence $\mathcal{O}(\pi) = 2$ and $\mathcal{O}(\pi') = 4$. Richard Stanley ([4] and [5]) has shown that if t(n) denotes the number of partitions π of n for which $\mathcal{O}(\pi) \equiv \mathcal{O}(\pi') \pmod{4}$, then

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$$t(n) = \frac{1}{2} \left(p(n) + f(n) \right), \tag{1}$$

where p(n) is the total number of partitions of n [1, p. 1], and

$$\sum_{n=0}^{\infty} f(n)q^n = \prod_{i \ge 1} \frac{(1+q^{2i-1})}{(1-q^{4i})(1+q^{4i-2})^2}.$$
(2)

Note that t(n) is Stanley's partition function referred to in the title of this paper. Stanley's result for t(n) is related nicely to a general study of sign-balanced, labeled posets [5]. In this paper, we shall restrict our attention to $S_N(n, r, s)$, the number of partition π of n where each part of π is $\leq N$, $\mathcal{O}(\pi) = r$, $\mathcal{O}(\pi') = s$. In Section 2, we shall prove our main result:

Theorem 1.

$$\sum_{n,r,s \ge 0} S_{2N}(n,r,s)q^n z^r y^s = \frac{\sum_{j=0}^N \left[{N \atop j}; q^4 \right] (-zyq; q^4)_j (-zy^{-1}q; q^4)_{N-j} (yq)^{2N-2j}}{(q^4; q^4)_N (z^2q^2; q^4)_N}, \qquad (3)$$

and

$$\sum_{n,r,s \ge 0} S_{2N+1}(n,r,s)q^n z^r y^s = \frac{\sum_{j=0}^N \left[{N \atop j}; q^4 \right] (-zyq; q^4)_{j+1} (-zy^{-1}q; q^4)_{N-j} (yq)^{2N-2j}}{(q^4; q^4)_N (z^2q^2; q^4)_{N+1}}, \quad (4)$$

where

$$\begin{bmatrix} N\\ j \\ \end{bmatrix} = \begin{cases} \frac{(1-q^N)(1-q^{N-1})\dots(1-q^{N-j+1})}{(1-q^j)(1-q^{j-1})\dots(1-q)}, & \text{for } 0 \leq j \leq N, \\ 0, & \text{if } j < 0 \text{ or } j > N, \end{cases}$$
(5)

and

$$(A;q)_M = (1-A)(1-Aq)\dots(1-Aq^{M-1}).$$
(6)

From Theorem 1 follows an immediate lovely corollary:

Corollary 1.1.

$$\sum_{n,r,s \ge 0} S_{\infty}(n,r,s)q^{n}z^{r}y^{s} = \prod_{j=1}^{\infty} \frac{(1+yzq^{2j-1})}{(1-q^{4j})(1-z^{2}q^{4j-2})(1-y^{2}q^{4j-2})}.$$
(7)

From Corollary 1.1, we shall see in Section 3 that

Corollary 1.2.

$$t(5n+4) \equiv 0 \pmod{5}.$$
(8)

Also,

Corollary 1.3.

$$\sum_{n=0}^{\infty} t(n)q^n = \frac{Q(q^2)^2 Q(q^{16})^5}{Q(q)Q(q^4)^5 Q(q^{32})^2},\tag{9}$$

where

$$Q(q) = (q;q)_{\infty} = \prod_{j=1}^{\infty} (1-q^j).$$
 (10)

We conclude with some open questions.

2 The Main Theorem

We begin with some preliminaries about partitions and their conjugates. For a given partition π with parts each $\leq N$, we denote by $f_i(\pi)$ the number of appearances of i as a part of π . The parts of π' in non-increasing order are thus

$$\sum_{i=1}^{N} f_i(\pi), \ \sum_{i=2}^{N} f_i(\pi), \ \sum_{i=3}^{N} f_i(\pi), \dots, \sum_{i=N}^{N} f_i(\pi).$$
(11)

Note that some of the entries in this sequence may well be zero; the non-zero entries make up the parts of π' . However in light of the fact that 0 is even, we see that $\mathcal{O}(\pi')$ is the number of odd entries in the sequence (11) while

$$\mathcal{O}(\pi) = f_1(\pi) + f_3(\pi) + f_5(\pi) + \dots$$
 (12)

We now define

$$\sigma_N(q, z, y) = \left(\sum_{n, r, s \ge 0} S_N(n, r, s) q^n z^r y^s\right) (q^4; q^4)_{\lfloor \frac{N}{2} \rfloor} (z^2 q^2; q^4)_{\lfloor \frac{N+1}{2} \rfloor}.$$
 (13)

Lemma 2.1. $\sigma_0(q, z, y) = 1$, and for $N \ge 1$,

$$\sigma_{2N}(q,z,y) = \sigma_{2N-1}(q,z,y) + y^{2N}q^{2N}\sigma_{2N-1}(q,z,y^{-1})$$
(14)

$$\sigma_{2N-1}(q, z, y) = \sigma_{2N-2}(q, z, y) + zy^{2N-1}q^{2N-1}\sigma_{2N-2}(q, z, y^{-1}).$$
(15)

Proof. We shall in the following be dealing with partitions whose parts are all \leq some given N. We let $\bar{\pi}$ be that partition made up of the parts of π that are $\langle N$. In light of (11) we see that if N is a part of π an even number of times, then $\mathcal{O}(\pi') = \mathcal{O}(\bar{\pi}')$ and if N appears an odd number of times in π , then $\mathcal{O}(\bar{\pi}') = N - \mathcal{O}(\pi)$ (because the removal of $f_N(\pi)$ from each sum in (11) reverses parity). Initially we note that the only partition with at most zero parts is the empty partition of 0; hence $\sigma_0(q, z, y) = 1$. Next, for $N \geq 1$,

$$\begin{split} & \frac{\sigma_{2N}(q,z,y)}{(q^4;q^4)_N(z^2q^2;q^4)_N} \\ = & \sum_{\pi,\text{parts} \leq 2N} q^{\sum if_i(\pi)} z^{f_1(\pi)+f_3(\pi)+\ldots+f_{2N-1}(\pi)} y^{\mathcal{O}(\pi')} \\ = & \sum_{\pi,\text{parts} \leq 2N} q^{\sum if_i(\bar{\pi})+2Nf_{2N}(\pi)} z^{f_1(\pi)+f_3(\pi)+\ldots+f_{2N-1}(\pi)} y^{\mathcal{O}(\bar{\pi}')} \\ & + \sum_{\substack{\pi,\text{parts} \leq 2N \\ f_{2N}(\pi) \text{ oven}}} q^{\sum if_i(\bar{\pi})+2Nf_{2N}(\pi)} z^{f_1(\pi)+f_3(\pi)+\ldots+f_{2N-1}(\pi)} y^{2N-\mathcal{O}(\pi')} \\ & = & \frac{1}{(1-q^{4N})} \frac{\sigma_{2N-1}(q,z,y)}{(q^4;q^4)_{N-1}(z^2q^2;q^4)_N} + \frac{y^{2N}q^{2N}}{(1-q^{4N})} \frac{\sigma_{2N-1}(q,z,y^{-1})}{(q^4;q^4)_{N-1}(z^2q^2;q^4)_N} \end{split}$$

which is equivalent to (14).

Finally,

$$\begin{split} & \frac{\sigma_{2N+1}(q,z,y)}{(q^4;q^4)_N(z^2q^2;q^4)_{N+1}} \\ &= \sum_{\substack{\pi, \text{parts} \leq 2N+1 \\ g_{\pi, \text{parts}} \leq 2N+1 \\ f_{2N+1}(\pi) \text{ even} \\ + \sum_{\substack{\pi, \text{parts} \leq 2N+1 \\ f_{2N+1}(\pi) \text{ even} \\ f_{2N+1}(\pi) \text{ odd} \\ g_{\pi, \text{parts}} \leq 2N+1 \\ f_{2N+1}(\pi) \text{ odd} \\ &= \frac{1}{(1-z^2q^{4N+2})} \frac{\sigma_{2N}(q,z,y)}{(q^4;q^4)_N(z^2q^2;q^4)_N} + \frac{y^{2N+1}q^{2N+1}z}{(1-z^2q^{4N+2})} \frac{\sigma_{2N}(q,z,y)}{(q^4;q^4)_N(z^2q^2;q^4)_N}, \end{split}$$

which is equivalent to (15) with N replaced by N + 1.

Proof of Theorem 1. We let $\tau_{2N}(q, z, y)$ denote the numerator on the right-hand side of (3) and $\tau_{2N+1}(q, z, y)$ denote the numerator on the right-hand side of (4). If we can show that $\tau_N(q, z, y)$ satisfies (14) and (15), then noting immediately that $\tau_0(q, z, y) = 1$, we will have proved that $\sigma_N(q, z, y) = \tau_N(q, z, y)$ for each $N \ge 0$ (by mathematical induction) and will then prove Theorem 1 once we recall (13).

First,

$$\begin{split} \tau_{2N-1}(q,z,y) &+ y^{2N}q^{2N}\tau_{2N-1}(q,z,y^{-1}) \\ &= \sum_{j \geqq 0} \begin{bmatrix} N-1 \\ j \end{bmatrix}; q^4 \end{bmatrix} (-zyq; q^4)_{j+1}(-zy^{-1}q; q^4)_{N-j-1}(yq)^{2(N-1-j)} \\ &+ y^{2N}q^{2N}\sum_{j \geqq 0} \begin{bmatrix} N-1 \\ j \end{bmatrix}; q^4 \end{bmatrix} (-zy^{-1}q; q^4)_{N-j}(-zyq; q^4)_j(y^{-1}q)^{2j} \\ &\quad \text{(where } j \to N-1-j \text{ in the second sum)} \\ &= \sum_{j \geqq 0} \begin{bmatrix} N-1 \\ j-1 \end{bmatrix}; q^4 \end{bmatrix} (-zyq; q^4)_j(-zy^{-1}q; q^4)_{N-j}(yq)^{2(N-j)} \\ &+ y^{2N}q^{2N}\sum_{j \geqq 0} \begin{bmatrix} N-1 \\ j \end{bmatrix}; q^4 \end{bmatrix} (-zy^{-1}q; q^4)_{N-j}(-zyq; q^4)_j(y^{-1}q)^{2j} \\ &\quad \text{(where } j \to j-1 \text{ in the first sum)} \\ &= \sum_{j \geqq 0} (-zyq; q^4)_j(-zy^{-1}q; q^4)_{N-j}(yq)^{2(N-j)} \bigg\{ \begin{bmatrix} N-1 \\ j-1 \end{bmatrix}; q^4 \bigg] + q^{4j} \begin{bmatrix} N-1 \\ j \end{bmatrix}; q^4 \bigg] \bigg\} \\ &= \sum_{j \geqq 0} (-zyq; q^4)_j(-zy^{-1}q; q^4)_{N-j}(yq)^{2(N-j)} \begin{bmatrix} N \\ j \end{bmatrix}; q^4 \bigg] \\ &\quad \text{(by } [1, p.35, eq.(3.3.4)]) \\ &= \tau_{2N}(q, z, y). \end{split}$$

Finally,

$$\begin{split} \tau_{2N}(q,z,y) &+ zy^{2N+1}q^{2N+1}\tau(q,z,y^{-1}) \\ &= \sum_{j=0}^{N} \begin{bmatrix} N\\j;q^4 \end{bmatrix} (-zyq;q^4)_j (-zy^{-1}q;q^4)_{N-j}(yq)^{2N-2j} \\ &+ zq^{2N+1}y^{2N+1}\sum_{j=0}^{N} \begin{bmatrix} N\\j;q^4 \end{bmatrix} (-zy^{-1}q;q^4)_{N-j}(-zyq;q^4)_j (qy^{-1})^{2j} \\ & \text{(where } j \to N-j \text{ in the second sum)} \\ &= \sum_{j=0}^{N} \begin{bmatrix} N\\j;q^4 \end{bmatrix} (-zyq;q^4)_j (-zy^{-1}q;q^4)_{N-j}(yq)^{2N-2j} (1+zyq^{4j+1}) \\ &= \sum_{j=0}^{N} \begin{bmatrix} N\\j;q^4 \end{bmatrix} (-zyq;q^4)_{j+1} (-zy^{-1}q;q^4)_{N-j}(yq)^{2N-2j} \\ &= \tau_{2N+1}(q,z,y). \end{split}$$

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Proof of Corollary 1.1. From Theorem 1 (either (3) or (4) with $j \to N - j$),

which is Corollary 1.1.

Corollary 2.1. Identity (1) is valid.

Proof. We note that $\mathcal{O}(\pi) \equiv \mathcal{O}(\pi') \pmod{2}$ because each is clearly congruent (mod 2) to the number being partitioned. Hence,

$$\sum_{n \ge 0} t(n)q^n = \sum_{\substack{n,r,s \ge 0 \\ \frac{r-s}{2} \text{ even}}} S_{\infty}(n,r,s)q^n$$

$$= \frac{1}{2} \sum_{\substack{n,r,s \ge 0 \\ n,r,s \ge 0}} S_{\infty}(n,r,s)q^n(1+i^{r-s})$$

$$= \frac{1}{2} \left(\frac{(-q;q^2)_{\infty}}{(q^4;q^4)_{\infty}(q^2;q^4)_{\infty}^2} + \frac{(-q;q^2)_{\infty}}{(q^4;q^4)_{\infty}(-q^2;q^4)_{\infty}^2} \right)$$

$$= \frac{1}{2} \left(\frac{1}{(q;q)_{\infty}} + \frac{(-q;q^2)_{\infty}}{(q^4;q^4)_{\infty}(-q^2;q^4)_{\infty}^2} \right)$$

$$= \frac{1}{2} \sum_{n=0}^{\infty} (p(n) + f(n))q^n,$$
(17)

and comparing coefficients of q^n in the extremes of this identity we deduce (1).

3 Further Properties of t(n)

Corollary 1.2. $t(5n + 4) \equiv 0 \pmod{5}$.

Proof. Ramanujan proved [3, p.287, Th. 359] that

$$p(5n+4) \equiv 0 \pmod{5}.$$

So it follows from (1) that to prove 5|t(5n+4) we need only prove that 5|f(5n+4).

By (2),

$$\sum_{n=0}^{\infty} f(n)q^n = \frac{(-q;q^2)}{(q^4;q^4)_{\infty}(-q^2;q^4)_{\infty}^2}$$
(18)

$$= \frac{(-q;q^4)_{\infty}(-q^3;q^4)_{\infty}(q^4;q^4)_{\infty}}{(q^4;q^4)_{\infty}^2(-q^2;q^4)_{\infty}^2}$$

$$= \frac{1}{(-q^2;-q^2)_{\infty}^2} \sum_{n=-\infty}^{\infty} q^{2n^2-n}$$
(by [1, p.21, eq.(2.2.10)])

$$= \frac{(-q^2;-q^2)_{\infty}^3}{(-q^2;-q^2)_{\infty}^5} \sum_{n=-\infty}^{\infty} q^{2n^2-n}$$

$$= \frac{1}{(-q^{10};-q^{10})_{\infty}} \sum_{n=\infty}^{\infty} q^{2n^2-n} \sum_{j=0}^{\infty} (-1)^{j+(j+1)/2} (2j+1)q^{j^2+j}$$
(mod 5)
(by [3, p.285, Thm. 357]).

Now the only time an exponent of q in the numerator is congruent to 4 (mod 5) is when $n \equiv 4 \pmod{5}$ and $j \equiv 2 \pmod{5}$. But then $(2j + 1) \equiv 0 \pmod{5}$, i.e. the coefficient of q^{5m+4} in the numerator must be divisible by 5. Given that the denominator is a function of q^5 , it cannot possibly affect the residue class of any term when it is divided into the numerator. So,

$$f(5n+4) \equiv 0 \pmod{5}.$$

Therefore,

$$t(5n+4) \equiv 0 \pmod{5}.$$

Corollary 1.3.

$$\sum_{n \ge 0} t(n)q^n = \frac{Q(q)^2 Q(q^{16})^5}{Q(q)Q(q^4)^5 Q(q^{32})^2},$$
(19)

where

$$Q(q) = (q;q)_{\infty}.$$
(20)

Proof. By (17),

where the last line follows from several applications of the two identities

$$(q;q^2)_{\infty} = \frac{Q(q)}{Q(q^2)}$$

and

$$(-q;q^2)_{\infty} = \frac{Q(q^2)^2}{Q(q)Q(q^4)}.$$

Corollary 1.3 allows us to multisect the generating function for t(n) modulo 4. Corollary 3.1.

$$\sum_{n\geq 0} t(4n)q^n = (q^{16}; q^{16})_{\infty} (-q^7; q^{16})_{\infty} (-q^9; q^{16})_{\infty} W(q),$$
(21)

$$\sum_{n\geq 0} t(4n+1)q^n = (q^{16}; q^{16})_{\infty} (-q^5; q^{16})_{\infty} (-q^{11}; q^{16})_{\infty} W(q),$$
(22)

$$\sum_{n \ge 0} t(4n+2)q^n = q(q^{16}; q^{16})_{\infty}(-q; q^{16})_{\infty}(-q^{15}; q^{16})_{\infty}W(q),$$
(23)

$$\sum_{n \ge 0}^{-} t(4n+3)q^n = (q^{16}; q^{16})_{\infty} (-q^3; q^{16})_{\infty} (-q^{13}; q^{16})_{\infty} W(q),$$
(24)

where

$$W(q) = \frac{Q(q^4)^5}{Q(q)^5 Q(q^8)^2}.$$
(25)

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Proof. We begin with Gauss's special case of the Jacobi Triple Product Identity [1, p.23, eq.(2.2.13)]

$$\sum_{n=-\infty}^{\infty} q^{2n^2 - n} = \frac{(q^2; q^2)_{\infty}}{(q; q^2)_{\infty}} = \frac{Q(q^2)^2}{Q(q)}$$
(26)

Therefore by Corollary 1.3, we see that

$$\sum_{n \ge 0} t(n)q^n = W(q^4) \sum_{n = -\infty}^{\infty} q^{2n^2 - n}.$$
(27)

Now $2n^2 - n \equiv n \pmod{4}$. So to obtain (3.4)–(3.7) we multisect the right-hand series in (27) by setting $n = 4m + j \ (0 \leq j \leq 3)$, so

$$\sum_{n \ge 0} t(n)q^n = W(q^4) \sum_{j=0}^3 \sum_{m=-\infty}^\infty q^{2(4m+j)^2 - (4m+j)}.$$

One then obtains four identities arising from the four residue classes mod 4. We carry out the full calculations in the case j = 0:

$$\sum_{n \ge 0} t(4n)q^{4n} = W(q^4) \sum_{m = -\infty}^{\infty} q^{32m^2 - 4m}$$
$$= W(q^4)(q^{64}; q^{64})_{\infty}(-q^{28}; q^{64})_{\infty}(-q^{36}; q^{64})_{\infty}$$

a result equivalent to (3.4) once q is replaced by $q^{1/4}$. The remaining results are proved similarly.

4 Conclusion

As is obvious, Theorem 1 is easily proved once it is stated, but the sums appearing in (3) and (4) seem to arise from nowhere.

I note that by considering the cases N = 1, 2, 3, 4, I discovered empirically that

$$\sum_{n,r,s \ge 0} S_{2N}(n,r,s)q^n z^r y^s = \frac{1}{(q^4;q^4)_N} \sum_{j=0}^N \frac{(-zyq;q^2)_{2j}}{(z^2q^2;q^4)_j} \begin{bmatrix} N\\ j \end{bmatrix} (y^2q^2)^{N-j}$$
(28)

and

$$\sum_{n,r,s \ge 0} S_{2N+1}(n,r,s)q^n z^r y^s = \frac{1}{(q^4;q^4)_N} \sum_{j=0}^N \frac{(-zyq;q^2)_{2j+1}}{(z^2q^2;q^4)_{j+1}} \begin{bmatrix} N\\ j \end{bmatrix} (y^2q^2)^{N-j}.$$
 (29)

One can then pass to (3) and (4) by means of a $_3\phi_2$ transformation [2, p.242, eq.(III.13)], and the proof of Theorem 1 is easiest using (3) and (4).

The referee notes that both (1.3) and (1.4) can be written as a $_2\phi_1$. These $_2\phi_1$ series can both be transformed into $_3\phi_1$ series, equivalent to (1.4) and (4.2) by (III.8) of [2].

There are many mysteries surrounding many of the identities in this paper.

Problem 1. Is there a partition statistic that will divide the partitions enumerated by t(5n + 4) into five equinumerous classes? Dyson's rank (largest part minus number of parts) provides such a division at least for n = 0 and 1 (cf. [1, p.175]).

Problem 2. Identity (7) cries out for combinatorial proof.

I have been informed that A. Sills, A. J. Yee, and C. Boulet have independently found such proofs in addition to further results.

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