

# On subgraphs induced by transversals in vertex-partitions of graphs

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## Abstract

For a fixed graph  $H$  on  $k$  vertices, we investigate the graphs,  $G$ , such that for any partition of the vertices of  $G$  into  $k$  color classes, there is a transversal of that partition inducing  $H$ . For every integer  $k \geq 1$ , we find a family  $\mathcal{F}$  of at most six graphs on  $k$  vertices such that the following holds. If  $H \notin \mathcal{F}$ , then for any graph  $G$  on at least  $4k - 1$  vertices, there is a  $k$ -coloring of vertices of  $G$  avoiding totally multicolored induced subgraphs isomorphic to  $H$ . Thus, we provide a vertex-induced anti-Ramsey result, extending the induced-vertex-Ramsey theorems by Deuber, Rödl et al.

## 1 Introduction

Let  $G = (V, E)$  be a graph. Let  $c : V(G) \rightarrow [k]$  be a vertex-coloring of  $G$ . We say that  $G$  is *monochromatic* under  $c$  if all vertices have the same color and we say that  $G$  is *rainbow* or *totally multicolored* if all vertices of  $G$  have distinct colors. Investigating the existence of monochromatic or rainbow subgraphs isomorphic to  $H$  in vertex-colored graphs, the following questions naturally arise:

**Question M:** Can one find a small graph  $G$  such that in any vertex-coloring of  $G$  with fixed number of colors, there is an induced **monochromatic** subgraph isomorphic to  $H$ ?

**Question M-R:** Can one find a small graph  $G$  so that any vertex coloring of  $G$  contains an induced subgraph isomorphic to  $H$  which is either **monochromatic** or **rainbow**?

**Question R:** Can one find a large graph  $G$  such that any vertex-coloring of  $G$  in a fixed number of colors has a **rainbow** induced subgraph isomorphic to  $H$ ?

The first two questions are well-studied, e.g., [7], [8], [2]. Together with specific bounds given by Brown and Rödl [3], the following is known:

**Theorem 1 (Vertex-Induced Graph Ramsey Theorem).** *For any graph  $H$ , any integer  $t$ ,  $t \geq 2$ , there exists a graph  $R_t(H)$  such that if the vertices of  $R_t(H)$  are colored with  $t$  colors then there is an induced subgraph of  $R_t(H)$  isomorphic to  $H$  which is monochromatic. Let the smallest order of such a graph be  $r_t(H)$ . There are constants  $C_1, C_2$  such that*

$$C_1 k^2 \leq \max\{r_t(H) : |V(H)| = k\} \leq C_2 k^2 \log_2 k.$$

The topic of the second question belongs to the area of “canonization”, see, for example, a survey by Deuber [5]. The following result of Eaton and Rödl [6] provides specific bounds for vertex-colorings of graphs.

**Theorem 2 (Vertex-Induced-Canonical Graph Ramsey Theorem).** *For any graph  $H$ , there is a graph  $R_{can}(H)$  such that if  $R_{can}(H)$  is vertex-colored then there is an induced subgraph of  $R_{can}(H)$  isomorphic to  $H$  which is either monochromatic or rainbow. Let the smallest order of such a graph be  $r_{can}(H)$ . There is a constant  $C$  such that*

$$C k^3 \leq \max\{r_{can}(H) : |V(H)| = k\} \leq k^4 \log k.$$

In this paper we initiate the study of Question R when the number of colors in the coloring corresponds to the number of vertices in a graph  $H$ . We call a vertex-coloring using exactly  $k$  colors a  $k$ -coloring. In this manuscript we consider only simple graphs with no loops or multiple edges.

**Definition 3.** For a fixed graph  $H$  on  $k$  vertices, let  $f(H)$  be the maximum order of a graph  $G$  such that any coloring of  $V(G)$  in  $k$  colors has an induced rainbow subgraph isomorphic to  $H$ . Note that  $f(H) \geq k$ .

Since a vertex-coloring of  $G$  gives a partition of vertices, finding a rainbow induced copy of a graph  $H$  corresponds to finding a copy of  $H$  induced by a transversal of this partition. Note that  $f(H) = \infty$  if and only if for any  $n_0 \in \mathbb{N}$  there is  $n > n_0$  and a graph  $G$  on  $n$  vertices such that any  $k$ -coloring of vertices of  $G$  produces a rainbow induced copy of  $H$ . The results we obtain have a flavor quite different from those answering Questions M and M-R. In particular, there are few exceptional graphs for which function  $f$  is not finite.

Let  $\Lambda$  be a graph on 4 vertices with exactly two adjacent edges and one isolated vertex. Let  $K_n, E_n, S_n$  be a complete graph, an empty graph and a star on  $n$  vertices, respectively. We define a class of graphs

$$\mathcal{F} = \{K_n, E_n, S_n, \bar{S}_n, \Lambda, \bar{\Lambda} : n \in \mathbb{N}\}.$$

Note that any graph on at most three vertices is in  $\mathcal{F}$ .

**Theorem 4.** *Let  $H$  be a graph on  $k$  vertices. If  $H \in \mathcal{F}$  then  $f(H) = \infty$ , otherwise  $f(H) \leq 4k - 2$ .*

**Corollary 1.** *Let  $H$  be a graph on  $k$  vertices,  $H \notin \mathcal{F}$ . For every graph  $G$  on at least  $4k - 1$  vertices there is a  $k$ -vertex coloring of  $G$  avoiding rainbow induced subgraphs isomorphic to  $H$ .*

## 2 Proof of Theorem 4

Let  $H$  be a graph on  $k$  vertices and let  $\mathcal{I}n(H)$  be the set of graphs on at most  $k - 1$  vertices which are isomorphic to **induced** subgraphs of  $H$ .

One of our tools is the following theorem of Akiyama, Exoo and Harary, later strengthened by Bosák.

**Proposition 1** ([1], [4]). *Let  $G$  be a graph on  $n$  vertices such that all induced subgraphs of  $G$  on  $t$  vertices have the same size. If  $2 \leq t \leq n - 2$  then  $G$  is either a complete graph or an empty graph.*

**Proposition 2.** *Let  $H$  be a graph on  $k$  vertices. If  $G$  is a graph on at least  $k$  vertices such that  $G$  has an induced subgraph on at most  $k - 1$  vertices not isomorphic to any graph from  $\mathcal{I}n(H)$ , then there is a  $k$ -coloring of  $G$  with no rainbow induced copy of  $H$ .*

*Proof.* Let a set,  $S$ , of at most  $k - 1$  vertices in  $G$  induce a graph not in  $\mathcal{I}n(H)$ . Color the vertices of  $S$  with colors  $1, 2, \dots, |S|$  and assign all colors from  $\{|S| + 1, \dots, k\}$  to other vertices arbitrarily. Any rainbow subgraph of  $G$  on  $k$  vertices must use all of the vertices from  $S$ , but these vertices do not induce a subgraph of  $H$ . Therefore there is no rainbow induced copy of  $H$  in this vertex-coloring of  $G$ .  $\square$

We call a graph  $G$ ,  $H$ -good if any induced subgraph of  $G$  on at most  $|V(H)| - 1$  vertices is isomorphic to some graph from  $\mathcal{I}n(H)$ .

**Corollary 2.** *Let  $H \notin \mathcal{F}$  be a regular graph on  $k$  vertices. Then  $f(H) = k$ .*

*Proof.* Note that each graph in  $\mathcal{I}n(H)$  on  $k - 1$  vertices has the same size. Let  $G$  be a graph on  $k + 1$  vertices. By Proposition 2 we can assume that  $G$  is  $H$ -good. Thus all  $(k - 1)$ -subgraphs of  $G$  have the same size. It follows from Proposition 1 that  $G$  is either a complete or an empty graph. Therefore  $G$  does not contain  $H$  as an induced subgraph and any  $k$ -coloring of  $G$  does not result in a rainbow induced copy of  $H$ .  $\square$

We use the following notations for a graph  $H = (V, E)$ . Let  $\alpha(H)$  be the size of the largest independent set of  $H$ , let  $\omega(H)$  be the order of the largest complete subgraph of  $H$ . Let  $\delta(H), \Delta(H)$  be the minimum and the maximum degrees of  $H$  respectively. For two vertices  $x, y$ , such that  $\{x, y\} \notin E$ ,  $e = \{x, y\}$  is a non-edge, for a vertex  $v$ ,  $d(v)$  and  $cd(v)$  are the degree and the codegree of  $v$ , i.e., the number of edges and non-edges

incident to  $v$ , respectively. A  $(k-1)$ -subgraph of  $H$  is an induced subgraph of  $H$  on  $k-1$  vertices. For all other definitions and notations we refer the reader to [9].

Next several lemmas provide some preliminary results for the proof of Theorem 4. We consider the graph  $H$  according to the following cases:

- a)  $\alpha(H) = k-1$  or  $w(H) = k-1$ ,
- b)  $2 \leq \delta(H) \leq \Delta(H) \leq k-3$ ,
- c)  $\delta(H) \leq 1$  or  $\Delta(H) \geq k-2$ .

The cases **a)** and **b)** give us easy upper bounds on  $f(H)$ , the case **c)** requires some more delicate analysis. The first lemma follows immediately from the definition of function  $f$ .

**Lemma 1.**  $f(H) = f(\overline{H})$ .

**Lemma 2.** Let  $H$  be a graph on  $k$  vertices such that  $2 \leq \delta(H) \leq \Delta(H) \leq k-3$ . Then  $f(H) \leq 2k-6$ .

*Proof.* If a graph  $G$  has a vertex of degree at least  $k-2$  or of codegree at least  $k-3$ , then  $G$  contains a subgraph on  $k-1$  vertices not in  $\mathcal{In}(H)$  and by Proposition 2, there is a  $k$ -coloring of  $G$  avoiding rainbow induced copies of  $H$ . Therefore, if any  $k$ -coloring of  $G$  contains a rainbow induced copy of  $H$  then for  $v \in V(G)$  we have  $|V(G)| \leq d(v) + cd(v) + 1 \leq (k-3) + (k-4) + 1 = 2k-6$ .  $\square$

**Lemma 3.** Let  $H \notin \mathcal{F}$  be a graph on  $k$  vertices, such that  $\alpha(H) = k-1$  or such that  $w(H) = k-1$ . Then  $f(H) = k$ , for  $k \geq 5$  and  $f(H) = k+2$  for  $k = 4$ .

*Proof.* Let  $H$  be a graph on  $k$  vertices with  $\alpha(H) = k-1$ ,  $H \notin \mathcal{F}$ . Then  $H$  is a disjoint union of a star with  $k'$  edges and  $k-k'-1$  isolated vertices,  $1 \leq k' \leq k-2$ .

Assume first that  $k \geq 5$ . Let  $G$  be a graph on  $n$  vertices,  $n \geq k+1$ . If  $G$  has two nonadjacent edges  $e, e'$ , or a triangle, or no edges at all, by Proposition 2 there is a coloring of  $G$  avoiding a rainbow induced copy of  $H$ . Therefore,  $G$  must be a disjoint union of a star  $S$  with  $l$  edges and  $n-l-1$  isolated vertices,  $1 \leq l \leq n-1$ . Then either  $l > k'$  or  $n-l-1 > k-k'-1$ . If  $l > k'$ , we can use colors from  $\{1, \dots, k'+1\}$  on the vertices of  $S$  and colors from  $\{k'+2, \dots, k\}$  on isolated vertices of  $G$ . If  $n-l-1 > k-k'-1$  then we can use colors from  $\{1, \dots, k-k'\}$  on isolated vertices of  $G$  and other colors on the vertices of  $S$ . These colorings do not contain an induced rainbow subgraph isomorphic to  $H$ .

Let  $k = 4$ . Since  $H \notin \mathcal{F}$ , we have that  $H$  is a disjoint union of an edge and two vertices. If a graph  $G$  has two adjacent edges  $e, e'$ , we are done by Proposition 2. Otherwise,  $G$  is a vertex disjoint union of isolated edges and vertices. Lets color  $G$  so that the adjacent vertices get the same color. This coloring does not contain an induced rainbow copy of  $H$ . Moreover, if  $|V(G)| \geq 7$  then there is such a coloring using 4 colors. Thus,  $f(H) < 7$ . On the other hand, any 4-coloring of a graph  $G$  consisting of three disjoint edges gives a rainbow induced  $H$ , thus  $f(H) \geq 6$ . We have then that  $f(H) = 6$ .

If  $w(H) = k-1$ , Lemma 1 implies the same result.  $\square$

**Lemma 4.** *Let  $H$  be a graph on  $k$  vertices,  $H \notin \mathcal{F}$ ,  $\alpha(H) < k - 1$ ,  $\omega(H) < k - 1$ . If  $H$  has at least two nontrivial components then  $f(H) \leq 2k - 1$ .*

*Proof.* Note that if  $H$  has at least two nontrivial components and  $\delta(H) \geq 2$ , then we are done by Lemma 2. Let  $m$  be the largest order of a connected component in  $H$ . Let  $G$  be a graph on  $n \geq 2k$  vertices. We can assume by Proposition 2 that  $G$  is  $H$ -good. Then there is no component in  $G$  of order larger than  $m$ . Moreover, since  $H$  is contained in  $G$  as an induced subgraph, all components of  $H$  of order  $m$  appear in  $G$  as connected components. Let  $F_1, F_2, \dots, F_t$  be components of  $G$  of order  $m$ , let  $x_i, y_i \in V(F_i)$ ,  $i = 1, \dots, t$ . Assign color  $i$  to both vertices  $x_i$  and  $y_i$ ,  $i = 1, \dots, t$ , and assign all colors from  $\{t + 1, \dots, k\}$  to other vertices arbitrarily. Since  $k \leq n/2$ ,  $t \leq n/2$ , we have that  $t + k \leq n$  and such coloring exists. Consider a copy of  $H$  in  $G$ . It contains at least one of the components of order  $m$ , thus it has at least two vertices of the same color. Therefore there is no rainbow induced subgraph of  $G$  isomorphic to  $H$  in this coloring.  $\square$

**Lemma 5.** *Let  $H \notin \mathcal{F}$  be a graph on  $k$  vertices such that  $\delta(H) \leq 1$ ,  $\alpha(H) < k - 1$  and  $\omega(H) < k - 1$ . Then  $f(H) \leq 4k - 2$ .*

*Proof.* Let  $H$  be a graph on  $k$  vertices,  $H \notin \mathcal{F}$  such that  $\alpha(H) < k - 1$  and  $\omega(H) < k - 1$ . Let  $G$  be a graph on  $n \geq 4k - 1$  vertices. We can assume by Proposition 2 that  $G$  is  $H$ -good.

**Claim 0.** If all graphs from  $\mathcal{I}n(H)$  on  $k - 1$  vertices with a spanning star are isomorphic or do not exist, then  $\Delta(G) \leq k - 1$ . If all graphs from  $\mathcal{I}n(H)$  on  $k - 1$  vertices with an isolated vertex are isomorphic or do not exist, then  $\Delta(\overline{G}) \leq k - 1$ .

To prove the Claim, assume that all graphs from  $\mathcal{I}n(H)$  on  $k - 1$  vertices with a spanning star are isomorphic. Consider  $S$ , a neighborhood of a vertex  $v$  of maximum degree in  $G$ . Then, all subsets of  $S$  of size  $k - 2$  induce isomorphic graphs. Therefore, if  $|S| \geq k$  we have, by Proposition 1, that  $S$  induces an empty or a complete graph on at least  $k$  vertices, a contradiction. Thus,  $|S| = \Delta(v) \leq k - 1$ . If there is no graph from  $\mathcal{I}n(H)$  on  $k - 1$  vertices with a spanning star and  $G$  has a vertex  $v$  of degree at least  $k - 2$ , then  $v$  and  $k - 2$  of its neighbors induce a subgraph with a spanning star on  $k - 1$  vertices, a contradiction. The second statement can be proved in the same manner, concluding the proof of Claim 0.

*Case 1.*  $\delta(H) = 0$ .

We can assume by Lemma 4 that  $H$  has exactly one nontrivial component. Observe that either there is no  $(k - 1)$ -vertex subgraph of  $H$  with a spanning star, or all such subgraphs are isomorphic. Thus, by Claim 0,  $\Delta(G) \leq k - 1$ . Consider two adjacent vertices of  $G$ ,  $u$  and  $v$ . There is a set  $T$  of vertices,  $|T| \geq n - 2 - 2(k - 1) = n - 2k$ , such that neither  $u$  nor  $v$  is adjacent to any vertex in  $T$ . Observe also, that since  $G$  has no independent set of size  $k - 1$ , the largest size of an independent set induced by vertices of  $T$  is at most  $k - 2$ . Let  $T' \subset T$  induce the largest independent set in  $G[T]$ . Then, for each

$x \in T \setminus T'$ , there is  $x' \in T'$  such that  $xx' \in E(G)$ . Since  $|T \setminus T'| \geq n - 2k - k + 2 \geq k$ , it is clear that we can build a subgraph of  $G[T]$  on  $k - 3$  vertices with no isolated vertices using some vertices from  $T \setminus T'$  and some of their neighbors from  $T'$  (provided that  $k \geq 5$ ). Together with  $uv$  it forms a subgraph on  $(k - 1)$  vertices with at least two nontrivial components and no isolated vertices. But each disconnected subgraph of  $H$  on  $k - 1$  vertices has an isolated vertex, a contradiction.

Let  $k = 4$ . Since  $\delta(H) = 0$  and  $\alpha(H) < 3$ ,  $H$  must be a disjoint union of an isolated vertex and  $K_3$ . But then  $H \in \mathcal{F}$ , which is impossible.

*Case 2.*  $\delta(H) = 1$ .

Lets call the vertices of degree 1, leaves. We can assume that  $H$  is connected by Lemma 4.

*Case 2.1.* All leaves in  $H$  have a common neighbor,  $v$ .

Then all  $(k - 1)$ -subgraphs of  $H$  which have an isolated vertex are isomorphic to  $H - v$ , thus, by Claim 0, we have that  $\Delta(\overline{G}) \leq k - 1$ . Note that all  $(k - 1)$ -subgraphs of  $H$  having two adjacent vertices of degree  $k - 2$  are either isomorphic or do not exist. Consider  $x, y$ , two adjacent vertices of  $G$ . Since the codegree of each vertex is at most  $k - 1$  we have that there is a set  $S$  of vertices,  $|S| \geq n - 2 - 2(k - 1) \geq k - 1$ , such that each vertex of  $S$  is adjacent to  $x$  and to  $y$ . Thus, all  $(k - 3)$ -subsets of  $S$  induce isomorphic graphs, and  $S$  must induce a complete or an empty graph on at least  $k - 1$  vertices by Proposition 1, a contradiction.

*Case 2.2.* There are at least two leaves in  $H$  which do not have a common neighbor.

It is easy to see that either  $H$  does not have a vertex of degree  $k - 2$  or all subgraphs of  $H$  on  $k - 1$  vertices with a spanning star are isomorphic. Then, by Claim 0,  $\Delta(G) \leq k - 1$ . Consider a set  $S$  of vertices of  $G$  inducing  $H$  and let  $S' \subseteq S$  correspond to the set of leaves in  $H$ . Let  $l$  be the largest number of leaves in  $H$  having a common neighbor, let  $x(l)$  be the number of distinct vertices in  $H$  each adjacent to  $l$  leaves.

If  $l \leq 2$  or  $(l = 3$  and  $x(l) = 1)$  then all  $(k - 1)$ -subgraphs of  $H$  with at least three isolated vertices either do not exist or isomorphic. Consider three pairwise nonadjacent vertices  $w, w', w''$  in  $G$ . Since  $\Delta(G) \leq k - 1$ , there are at least  $n - 3 - 3(k - 1) \geq k - 1$  vertices of  $G$  non-adjacent to either of  $w, w', w''$ . This is either impossible, or these vertices must induce an independent set or a clique, a contradiction.

Thus, we can assume that there are at least two distinct vertices in  $H$  adjacent to at least three leaves each. Let  $u, u' \in S$  correspond to these vertices, and let  $s, s' \in N(u) \cap S$ ,  $s'' \in N(u') \cap S$ . Since  $V \setminus S$  has size at least  $k - 1$ , it does not induce an independent set; thus there is an edge  $vv'$ ,  $v, v' \in V \setminus S$ . If  $v, v'$  are not adjacent to any vertex in  $S$ , then  $G[S \setminus \{s, s', s''\} \cup \{v, v'\}]$  is a  $(k - 1)$ -subgraph of  $G$  with an isolated edge, no isolated vertices and with  $|S'| - 1$  leaves. This is impossible, since each  $(k - 1)$ -subgraph of  $H$  with an isolated edge and no isolated vertices has at least  $|S'|$  leaves. If  $v$  or  $v'$  is adjacent to some vertex  $q \in S$  (we can always assume that  $q \notin \{s, s', s''\}$  by choosing  $s, s', s''$  accordingly), then  $G[S \setminus \{s, s', s''\} \cup \{v, v'\}]$  is a connected  $(k - 1)$ -subgraph of  $G$

with at most  $|S'| - 2$  leaves. This is impossible since each connected subgraph of  $H$  has at least  $|S'| - 1$  leaves.  $\square$

Now, we can quickly complete the proof of the main theorem using the result about the special graph  $\Lambda$  proven in the next section.

*Proof of Theorem 4.* If  $H = S_k$ , then any  $k$ -coloring of  $S_n$ ,  $n \geq k$  induces a rainbow  $H$ . If  $H = K_k$ , then any  $k$ -coloring of  $K_n$ ,  $n \geq k$  induces a rainbow  $H$ . Using Proposition 3 for a graph  $\Lambda$  and the fact that  $f(H) = f(\overline{H})$  we have now established that for any  $H \in \mathcal{F}$ ,  $f(H) = \infty$ .

Now, assume that  $H$  is a graph on  $k$  vertices,  $H \notin \mathcal{F}$ . If  $\alpha(H) = k - 1$  or  $\omega(H) = k - 1$ , then, by Lemma 3,  $f(H) \leq k + 2$ . If  $\alpha(H) < k - 1$  and  $\omega(H) < k - 1$  then at least one of the following holds:

- 1)  $2 \leq \delta(H) \leq \Delta(H) \leq k - 3$ , and by Lemma 2,  $f(H) \leq 2k - 6$ ,
- 2)  $\delta(H) \leq 1$ , and by Lemmas 4 and 5,  $f(H) \leq 4k - 2$ ,
- 3)  $\Delta(H) \geq k - 2$ , by 2) and Lemma 1,  $f(H) \leq 4k - 2$ .  $\square$

### 3 Treating $\Lambda$

**Definition 5.** Let  $G(m) = (V, E)$ ,

$$V = \{v(i, j) : 1 \leq i \leq 7, 1 \leq j \leq m\},$$

$$E = \{v(i, j)v(i + 1, k) : 1 \leq j, k \leq m, j \neq k, 1 \leq i \leq 7\} \cup \\ \{v(i, j)v(i + 3, j) : 1 \leq j \leq m, 1 \leq i \leq 7\},$$

addition is taken modulo 7.

We have  $V = V_1 \cup \dots \cup V_7 = L_1 \cup \dots \cup L_m$ , where  $V_i = \{v(i, j) : 1 \leq j \leq m\}$ ,  $1 \leq i \leq 7$ ,  $L_j = \{v(i, j) : 1 \leq i \leq 7\}$ ,  $1 \leq j \leq m$ . We shall refer to  $V_i$ s as vertex parts and  $L_j$ s as vertex layers. The edge-set of  $G(m)$  can be constructed by first taking all the edges between consecutive (in cyclic order)  $V_i$ s,  $i = 1, \dots, 7$  then removing the edges induced by each layer  $L_j$ ,  $j = 1, \dots, m$ , and finally adding, for each  $j = 1, \dots, m$ , a new 7 cycle induced by  $L_j$ , see Figure 1. Note that  $G(1)$  is isomorphic to a 7-cycle,  $G(2)$  has a spanning 14-cycle, and can be drawn as in the Figure 2.

**Proposition 3.** *For any positive integer  $m$  and any coloring of  $V(G(m))$  into 4 colors, there is a rainbow induced subgraph of  $G$  isomorphic to  $\Lambda$ .*

*Proof.* We prove the statement, for  $m = 1, 2, 3$  and for  $m > 3$  use induction. This is a somewhat tedious but straightforward case analysis.

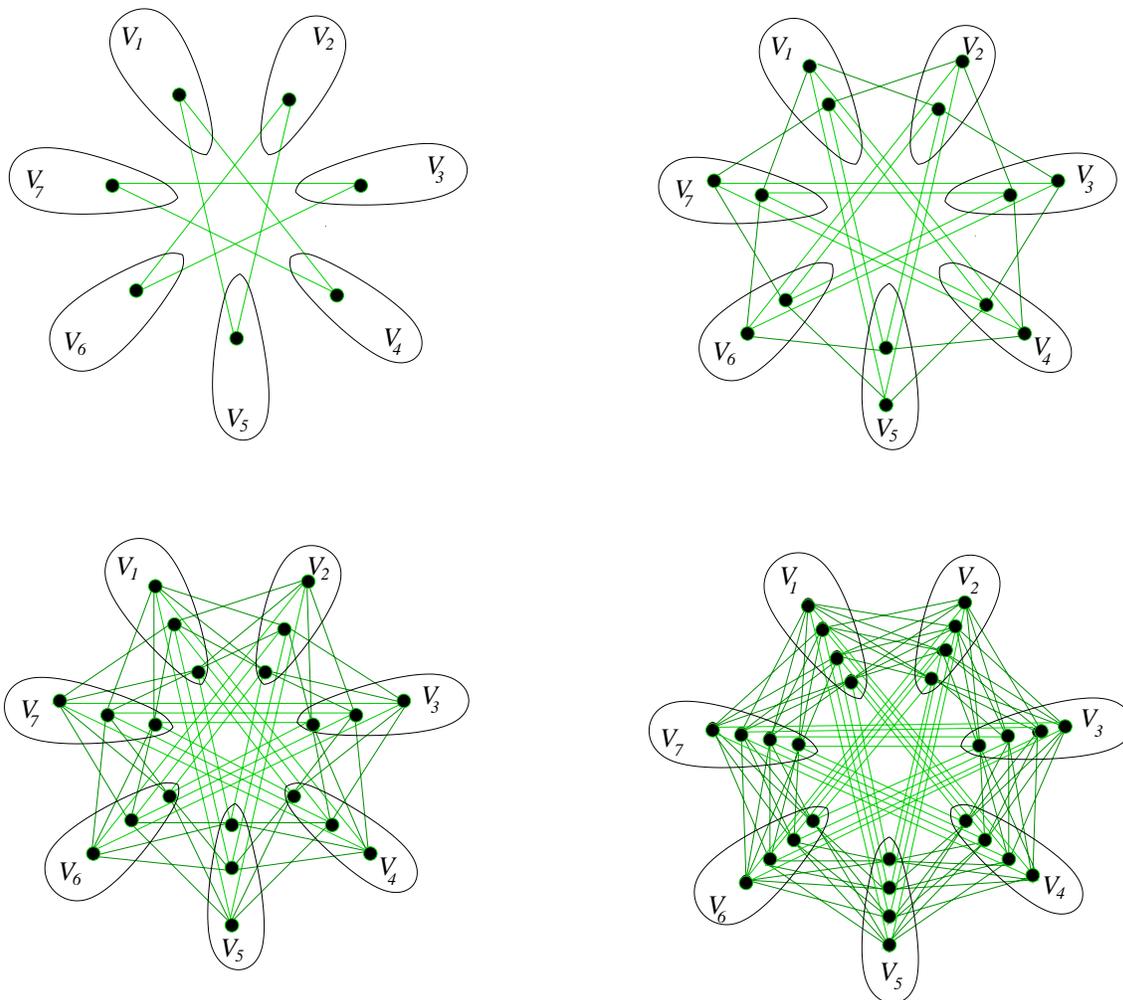


Figure 1:  $G(1)$ ,  $G(2)$ ,  $G(3)$  and  $G(4)$

**Claim 1.** Any coloring of  $G(1)$  in 4 colors contains an induced rainbow  $\Lambda$ .

Let  $G(1)$  have vertices  $x_1, \dots, x_7$  and edges  $x_i x_{i+1}$ ,  $i = 1, \dots, 7$ , addition taken modulo 7. Assume that there is a 4-coloring  $c$  with no induced rainbow  $\Lambda$ . First observe that any 4-coloring of  $C_7$  must have three consecutive vertices with distinct colors, say  $c(x_i) = i$ , for  $i = 1, 2, 3$ . Then  $c(x_5) \neq 4$ ,  $c(x_6) \neq 4$ , thus, without loss of generality  $c(x_4) = 4$ . Note that then  $c(x_7) \neq 1$ ,  $c(x_7) \neq 3$ . If  $c(x_7) = 4$  then  $x_6$  must have color 3, and there is no color available for  $x_5$ . If  $c(x_7) = 2$  then  $c(x_6) = 2$  and there is no available color for  $x_5$ .

**Claim 2.** Any coloring of  $G(2)$  in 4 colors contains an induced rainbow  $\Lambda$ .

Note that  $G(2)$  can be drawn as  $C_{14}$  with chords as in Figure 2. Let the vertices of  $G(2)$  be  $x_1, \dots, x_{14}$  in order on the cycle and let the edges be  $x_i, x_{i+1}, x_{i+4}$ ,  $i = 1, \dots, 14$ , where addition is taken modulo 14. We shall use the fact that the following sets of vertices

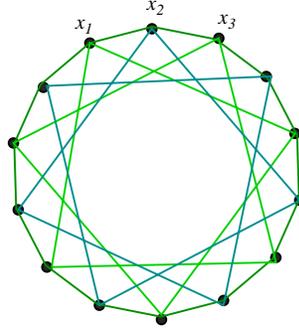


Figure 2: Different drawing of  $G(2)$

induce  $C_7$  and thus cannot use all 4 colors:

$$\{x_i, x_{i+2}, x_{i+3}, x_{i+4}, x_{i-2}, x_{i-3}, x_{i-4}\},$$

$i = 1, \dots, 14$  and addition is taken modulo 14. We shall also use an easy fact that it is impossible to have a 4-colored  $C_4$  in  $G(2)$ .

*Case 1.* There are three consecutive vertices, using distinct colors, say  $c(x_i) = i, i = 1, 2, 3$ .

Then, considering all induced cycles of length 7 containing these three vertices, we see that the only vertices which could have color 4 are  $x_4, x_6, x_{14}$  or  $x_{12}$ .

*Case 1.1.*  $c(x_4) = 4$ .

Consider vertex  $x_8$ . If  $c(x_8) = 1$  then  $\{x_2, x_3, x_4, x_6, x_8, x_9, x_{10}\}$  induces a  $C_7$  using 4 colors. If  $c(x_8) = 2$  then  $\{x_1, x_3, x_4, x_8\}$  induces a rainbow  $\Lambda$ . If  $c(x_8) = 3$  then  $\{x_{14}, x_1, x_2, x_4, x_6, x_7, x_8\}$  induces a  $C_7$  using 4 colors. Thus  $x_8$  cannot be assigned any color and this case is impossible.

*Case 1.2.*  $c(x_6) = 4$ .

Consider vertex  $x_7$ . If  $c(x_7) = 1$  then  $\{x_2, x_3, x_6, x_7\}$  is a 4-colored  $C_4$ . If  $c(x_7) = 2$  then  $\{x_1, x_3, x_7, x_6\}$  induces a rainbow  $\Lambda$ . If  $c(x_7) = 3$  then  $\{x_{14}, x_1, x_2, x_4, x_6, x_7, x_8\}$  induces a  $C_7$  using 4 colors. Therefore  $x_7$  cannot be assigned a color and this case is impossible as well.

By symmetry  $c(x_{14}) \neq 4$  and  $c(x_{12}) \neq 4$ , so there is no vertex colored 4, a contradiction.

*Case 2.* There are no three consecutive vertices using distinct colors.

Then, without loss of generality, there are consecutive vertices  $x_i, x_{i+1}, \dots, x_j$  such that  $c(x_i) = a, c(x_j) = b$  and  $c(x_m) = c$ , for  $i < m < j$ , such that  $a, b, c$  are distinct. Consider smallest such set of vertices and assume that  $i = 1, a = 2, b = 3, c = 1$ . Then clearly,  $j \geq 4$ , moreover  $j \leq 5$  since otherwise there is a smaller such set.

*Case 2.1.*  $j = 4$ .

By considering all induced  $C_7$  containing vertices of colors 1, 2, 3 from  $\{x_1, x_2, x_3, x_4\}$ , and using the fact that  $x_{14}$  and  $x_5$  cannot have color 4 without creating three consecutive

vertices of distinct colors, we see that the only vertices which could have color 4 are  $x_9$  and  $x_{10}$ . If  $c(x_{10}) = 4$  then consider vertex  $x_{14}$ . If  $c(x_{14}) = 3$  or  $4$  then  $x_{14}, x_1, x_2$  are three consecutive vertices using distinct colors. If  $c(x_{14}) = 2$  then  $\{x_{14}, x_{10}, x_4, x_2\}$  induces a rainbow  $\Lambda$ . Thus  $c(x_{14}) = 1$ . Consider  $x_5$ :  $c(x_5) \neq 4$  and  $c(x_5) \neq 2$  since otherwise there are three consecutive vertices of distinct colors. If  $c(x_5) = 3$  then  $\{x_2, x_1, x_5, x_9\}$  induces a rainbow  $\Lambda$ . If  $c(x_5) = 1$  then  $\{x_4, x_5, x_1, x_9\}$  induces a rainbow  $\Lambda$ . Thus this case is impossible. If  $c(x_9) = 4$  we arrive at a contradiction by symmetry.

*Case 2.2.  $j = 5$ .*

By considering all induced  $C_7$  containing vertices of colors 1, 2, 3 from  $\{x_1, \dots, x_5\}$  we see that the only vertex which might, and thus must have color 4 is  $x_{10}$ . But then  $\{x_{10}, x_1, x_2, x_5\}$  induces a rainbow  $\Lambda$ , a contradiction.

**Claim 3.** Any coloring of  $G(3)$  in 4 colors contains an induced rainbow  $\Lambda$ .

Let  $c$  be a coloring of  $G(3)$  using colors 1, 2, 3, 4 and containing no induced rainbow copy of  $\Lambda$ . If there is a subgraph of  $G(3)$  isomorphic to  $G(2)$  and using four colors, there is a rainbow induced  $\Lambda$  by Claim 2. Therefore, we can assume that each vertex layer of  $G(3)$  has a color used only on its vertices and on no vertex of any other layer. In particular, assume that color  $i$  is used only in  $L_i$ ,  $i = 1, 2, 3$ . So,  $L_1$  uses colors from  $\{1, 4\}$ ,  $L_2$  uses colors from  $\{2, 4\}$ , and  $L_3$  uses colors from  $\{3, 4\}$ .

If there is a part, say  $V_1$ , using colors 1, 2, 3, then it is easy to see that none of the vertices of  $V_2$  could have color 4 and moreover  $V_2$  must use all three colors 1, 2, 3 again, in respective layers. This shows that in this case all sets  $V_i$ ,  $i = 1, \dots, 7$  must use only colors 1, 2, 3 and there is no vertex of color 4, a contradiction. Since there is no part  $V_i$ ,  $i = 1, \dots, 7$  using all colors 1, 2, 3, each part must have color 4 on some vertex.

Assume that there is a part, say  $V_1$ , having exactly one vertex of color 4. Without loss of generality, we have  $c(v(1, 1)) = 4, c(v(1, 2)) = 2, c(v(1, 3)) = 3$ , then  $c(v(7, 1)) = c(v(2, 1)) = 4$ . Moreover,  $c(v(i, 1)) \neq 1$  for  $i = 3, 4, 5, 6$ , otherwise one of these vertices together with either  $\{v(2, 1), v(1, 2), v(1, 3)\}$  or with  $\{v(7, 1), v(1, 2), v(1, 3)\}$  induces a rainbow  $\Lambda$ . Therefore, there is no vertex of color 1 in the graph, a contradiction.

Thus, each part  $V_i$  has at least two vertices of color 4. Then, it is easy to see that there is always a rainbow induced  $\Lambda$  in such a coloring of  $G(3)$ , a contradiction.

*Induction step.* Assume that  $m \geq 4$ . If there is a vertex layer  $L_i$  such that  $G[V - L_i]$  uses all 4 colors, then, since  $G[V - L_i]$  is isomorphic to  $G(m - 1)$ , there is a rainbow induced subgraph isomorphic to  $\Lambda$ . Thus we can assume that each layer  $L_1, L_2, \dots, L_m$  uses a color not present in other layers. It is possible only if  $m = 4$ , in which case all vertices of each layer have the same color. We can assume that all vertices of layer  $L_i$  have color  $i$ ,  $i = 1, 2, 3, 4$ . But then it is easy to see that there is an induced rainbow  $\Lambda$  in this coloring.  $\square$

It is interesting to see that if  $G$  is a bipartite graph then there is always a coloring of  $V(G)$  in 4 colors avoiding induced rainbow  $\Lambda$ . Indeed, if  $G$  is a complete bipartite graph, it does not have any induced copies of  $\Lambda$ , so any 4-coloring will work. Thus, we can assume that there are two nonadjacent vertices from different partite sets  $A$  and  $B$ ,  $x \in A$  and  $y \in B$ . Let  $c(x) = 3$ ,  $c(y) = 4$ ,  $c(N(x)) = 1$ ,  $c(N(y)) = 2$ ,  $c(A \setminus (N(y) \cup \{x\})) = 1$  and  $c(B \setminus (N(x) \cup \{y\})) = 2$ . It is easy to see that this coloring does not have a rainbow induced  $\Lambda$ .

**Concluding Remark:** We have proven that for any graph  $H \notin \mathcal{F}$  on  $k$  vertices and any graph  $G$  on  $4k - 1$  vertices there is a coloring of  $G$  in  $k$  colors avoiding rainbow induced subgraph isomorphic to  $H$ . Together with definition of  $f$ , this implies that

$$k \leq \max\{f(H) : |V(H)| = k, H \notin \mathcal{F}\} \leq 4k - 2.$$

There are many classes of graphs for which  $f(H) = k$ , which follows, for example, from Proposition 2. We believe that the above upper bound could be improved to  $2k - 1$  with a more careful analysis, and, perhaps to  $k + c$ , where  $c$  is a constant. As far as the lower bound is concerned, we have only one example when  $f(H) = k + 2$  for  $k = 4$ , provided by Lemma 3. It will be very interesting to see constructions of graphs giving better lower bounds on  $f$ .

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