

# Maximal projective degrees for strict partitions

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## Abstract

Let  $\lambda$  be a partition, and denote by  $f^\lambda$  the number of standard tableaux of shape  $\lambda$ . The asymptotic shape of  $\lambda$  maximizing  $f^\lambda$  was determined in the classical work of Logan and Shepp and, independently, of Vershik and Kerov. The analogue problem, where the number of parts of  $\lambda$  is bounded by a fixed number, was done by Askey and Regev – though some steps in this work were assumed without a proof. Here these steps are proved rigorously. When  $\lambda$  is strict, we denote by  $g^\lambda$  the number of standard tableau of shifted shape  $\lambda$ . We determine the partition  $\lambda$  maximizing  $g^\lambda$  in the strip. In addition we give a conjecture related to the maximizing of  $g^\lambda$  without any length restrictions.

## 1 Introduction

Let  $\lambda = (\lambda_1, \lambda_2, \dots)$  be a partition of  $n$ . We shall write  $\lambda \vdash n$ . As usual, we draw the Young diagram of a partition left and top justified. Let  $f^\lambda$  denote the number of standard tableaux of shape  $\lambda$ . Note that  $f^\lambda$  is the number of paths in the Young graph  $Y$  from its origin (1) to  $\lambda$ . Also,  $f^\lambda$  is the dimension of the Specht module, that is the degree of the corresponding irreducible character  $\chi^\lambda$  of the symmetric group  $S_n$ .

The partition  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_r)$  is *strict* if  $\lambda_1 > \lambda_2 > \dots > \lambda_r > 0$  for some  $r$ . If the partition  $\lambda$  is strict and  $|\lambda| = n$ , we write  $\lambda \models n$ . The strict partitions form precisely the

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subgraph  $SY$  in the Young graph  $Y$ . The number of paths in that subgraph from (1) to  $\lambda$  is denoted by  $g^\lambda$ . By a theorem of I. Schur,  $g^\lambda$  equals the degree of the corresponding projective representation of  $S_n$ .

The problem of determining the asymptotic shape of the partition  $\lambda$  which maximizes  $f^\lambda$ , as  $|\lambda|$  goes to infinity, is classical, and was solved in [11, 12]. This problem is closely related to that of the expected value of the length of the longest increasing subsequences in permutations, see also [3]. Let  $H(k, 0; n)$  denote the set of partitions of  $n$  with at most  $k$  parts, namely

$$H(k, 0; n) = \{(\lambda_1, \lambda_2, \dots) \vdash n \mid \lambda_{k+1} = 0\} = \{\lambda \vdash n \mid \ell(\lambda) \leq k\}.$$

We say that these partitions lie in the  $k$  strip. The asymptotic problem of maximizing  $f^\lambda$  in the  $k$ -strip was essentially solved in [1]. The solution in [1] tacitly assumed that there exist  $a, \delta > 0$  such that as  $n \rightarrow \infty$ , a maximizing  $\lambda$  in the  $k$ -strip does belong to the subsets  $H(k, 0; n, a, \delta) \subseteq H(k, 0; n, a)$  of  $H(k, 0; n)$ ; see Equations (4), (5) and (6) below for the definitions of these subsets. Later, one of these assumptions, namely that  $\lambda$  lies in  $H(k, 0; n, a)$ , was rigorously verified in [2] and in [6]. We call this the  $a$ -condition. In Section 5 of this paper we verify the additional " $\delta$ -condition", namely  $\lambda$  lies in  $H(k, 0; n, a, \delta)$ , thus completing the rigorous proof of the results in [1]. The  $a$ -condition and the  $\delta$ -condition also play a role in the problem of maximizing  $g^\lambda$  in the strip: In Section 4 we verify the " $a$ -condition", and in Section 5 we verify the " $\delta$ -condition", both for  $\lambda$  maximizing  $g^\lambda$  in the strip. In Section 6 we show that in the strip, the  $\lambda$  maximizing either  $g^\lambda$  or  $2^{|\lambda|-\ell(\lambda)}(g^\lambda)^2$ , have the same asymptotic shape which equals the shape maximizing  $f^\lambda$  given in [1].

A natural question arises which is to maximize  $g^\lambda$  over all strict partitions  $\lambda$  (not just in a  $k$ -strip). This problem is open, so far without even a conjecture of what the asymptotic shape of such maximizing  $\lambda$  might be. Based on some combinatorial identities, we suggest here an approach to study the asymptotic shape of such  $\lambda$ . Our strategy is as follows: It seems that the strict partition  $\lambda$  maximizing  $g^\lambda$  is almost the same as the strict partition maximizing  $2^{|\lambda|-\ell(\lambda)} \cdot (g^\lambda)^2$ , and asymptotically they might be the same, see Conjecture 8.2. In Section 8 we give a possible strategy for maximizing  $2^{|\lambda|-\ell(\lambda)} \cdot (g^\lambda)^2$ : We relate the latter to the problem of maximizing  $f^\mu$  for a certain subset of almost symmetric partitions  $\mu$  and argue why this in turn possibly is the same as maximizing  $f^\lambda$  for any partition  $\lambda$ .

## 2 Degrees formulas

We recall the Young-Frobenius formula and the hook formula for  $f^\lambda$ .

**The Young-Frobenius formula.** Let  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$  be a partition of  $n$  then

$$f^\lambda = \frac{n!}{\ell_1! \cdots \ell_k!} \cdot \prod_{1 \leq i < j \leq k} (\ell_i - \ell_j) \tag{1}$$

where  $\ell_i = \lambda_i + k - i$ .

**The hook-formula.** Again, let  $\lambda$  be a partition of  $n$ , then

$$f^\lambda = \frac{n!}{\prod_{x \in \lambda} h_\lambda(x)} \quad (2)$$

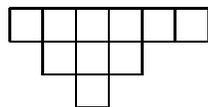
where  $h_\lambda(x)$  is the hook number corresponding to the cell  $x$  in the Young diagram  $\lambda$ .

Both these formulas have analogues for  $g^\lambda$  where  $\lambda$  is a strict partition. Consider a strict partition  $\lambda = (\lambda_1, \dots, \lambda_h)$ , that is  $\lambda_1 > \dots > \lambda_h > 0$ . The analogue of the Young-Frobenius formula is due to I. Schur [9].

**The Schur formula.** Let  $\lambda \vdash n$  be strict, then

$$g^\lambda = \frac{n!}{\lambda_1! \cdots \lambda_h!} \cdot \frac{\prod_{1 \leq i < j \leq h} (\lambda_i - \lambda_j)}{\prod_{1 \leq i < j \leq h} (\lambda_i + \lambda_j)}. \quad (3)$$

For the analogue hook formula for  $g^\lambda$  we need some notations. Recall that for a strict partition, one can also draw its *shifted* diagram. For example, the shifted diagram of  $\lambda = (6, 3, 1)$  is



**Definition 2.1** Let  $\lambda = (\lambda_1, \dots, \lambda_r) \models n$  be a strict partition with  $\lambda_r > 0$ . We define a partition  $\mu = \mu(\lambda)$  of  $2n$  (using the Frobenius notation for partitions) by

$$\mu = \mu(\lambda) = \text{proj}(\lambda) := (\lambda_1, \lambda_2, \dots, \lambda_r \mid \lambda_1 - 1, \lambda_2 - 1, \dots, \lambda_r - 1).$$

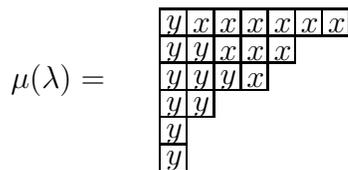
Conversely, given the partition  $\mu = (\lambda_1, \dots, \lambda_r \mid \lambda_1 - 1, \dots, \lambda_r - 1) \vdash 2n$  in the Frobenius notation, then  $\lambda_1 > \lambda_2 > \dots > \lambda_r > 0$  and we denote

$$\sqrt{\mu} := (\lambda_1, \lambda_2, \dots) \models n,$$

see [7]. We say  $\mu \vdash 2n$  is shift-symmetric if there exists  $\lambda \models n$  such that  $\mu = \mu(\lambda)$ .

Note that if  $\mu \vdash 2n$  is shift-symmetric then  $\mu_i = \mu'_i + 1$  for  $1 \leq i \leq \ell(\lambda)$ . Note also that when  $n$  is large, the diagram of a shift-symmetric partition is nearly symmetric.

Figure 1 shows the diagram of a partition  $\mu(\lambda)$  of  $2n$ . Area  $A_1$  in this diagram is the shifted diagram of the partition  $\lambda$  and area  $A_2$  is the (shifted) conjugate of  $A_1$ . For example, when  $\lambda = (6, 3, 1)$ , then  $\mu(\lambda) = \text{proj}(6, 3, 1) = (7, 5, 4, 2, 1, 1)$  and  $\sqrt{(7, 5, 4, 2, 1, 1)} = (6, 3, 1)$ :



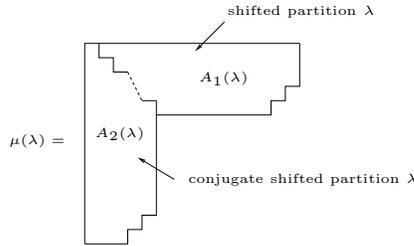


Figure 1

The projective analogue of the hook formula is due to I. G. Macdonald, and is as follows (see [4], page 267 – with the slight correction that  $D(\lambda) = (\lambda_1, \lambda_2, \dots \mid \lambda_1 - 1, \lambda_2 - 1, \dots)$  in the Frobenius notation). Fill  $\mu = \mu(\lambda)$  with its (ordinary) hook numbers  $\{h_\mu(x) \mid x \in \mu\}$ . Then:

**Theorem 2.2** [4] *Let  $\lambda$  be a strict partition with  $\mu = \text{proj}(\lambda)$ , then*

$$g^\lambda = \frac{|\lambda|!}{\prod_{x \in A_1(\lambda)} h_\mu(x)}$$

where  $A_1(\lambda)$  is defined as in Figure 1.

### 3 Maximal degrees in the strip

Recall that  $H(k, 0; n)$  denotes the partitions  $\lambda$  of  $n$  with  $\ell(\lambda) \leq k$ . Denote by  $SH(k, 0; n)$  the subset of strict partitions in  $H(k, 0; n)$ . Given a partition  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$  of  $n$ , define for  $1 \leq j \leq k$  the numbers  $c_j(\lambda)$  via the equation

$$\lambda_j = \frac{n}{k} + c_j(\lambda) \cdot \sqrt{n}. \quad (4)$$

Thus  $c_j(\lambda)$  parameterizes the deviation of  $\lambda_j$  from the average value  $\frac{n}{k}$ . Fix a real number  $a$ , and let

$$H(k, 0; n, a) = \{\lambda \in H(k, 0; n) \mid \text{all } |c_j(\lambda)| \leq a \}. \quad (5)$$

With  $a$  fixed,  $n$  large and with  $\lambda \in \{k, 0; n, a\}$ , all  $\lambda_j$  are approximately  $\frac{n}{k}$ .

In addition, also fix some  $\delta > 0$ , then denote

$$H(k, 0; n, a, \delta) = \{\lambda \in H(k, 0; n) \mid \text{all } |c_j(\lambda)| \leq a, c_i(\lambda) - c_{i+1}(\lambda) \geq \delta\}. \quad (6)$$

Note that if  $\lambda \in H(k, 0; n, a, \delta)$  then  $\lambda$  is a strict partition of length either  $k - 1$  or  $k$ .

**The problem.** For a fixed  $k$ , and for each  $n$ , we look for partitions  $\lambda_{fmax} = \lambda_{fmax(k)}^{(n)}$  and  $\lambda_{gmax} = \lambda_{gmax(k)}^{(n)}$  such that

$$\begin{aligned} f^{\lambda_{fmax}} &= \max\{f^\nu \mid \nu \in H(k, 0; n)\}, \\ g^{\lambda_{gmax}} &= \max\{g^\nu \mid \nu \in SH(k, 0; n)\}. \end{aligned}$$

The asymptotics of  $\lambda_{fmax}$  – that is the shape obtained when  $n$  goes to infinity – is given in [1], and we briefly describe it here. Let  $\mathcal{H}_k(x)$  denote the  $k$ -th Hermit polynomial. It is defined via the equation

$$\frac{d^k}{dx^k} \left( e^{-x^2} \right) = (-1)^k \mathcal{H}_k(x) e^{-x^2}.$$

For example,  $\mathcal{H}_0(x) = 1$ ,  $\mathcal{H}_1(x) = 2x$ ,  $\mathcal{H}_2(x) = 4x^2 - 2$ ,  $\mathcal{H}_3(x) = 4x(2x^2 - 3)$ ,  $\mathcal{H}_4(x) = 16x^4 - 48x^2 + 12$ , etc. The degree of  $\mathcal{H}_k(x)$  is  $k$ , and it is known that its roots are real and distinct, denoted by

$$x_1^{(k)} < x_2^{(k)} < \dots < x_k^{(k)}.$$

Also,  $x_1^{(k)} + x_2^{(k)} + \dots + x_k^{(k)} = 0$ . The following theorem is proved in [1]:

**Theorem 3.1** [1] *As  $n \rightarrow \infty$ , the maximum  $\max\{f^\lambda \mid \lambda \in H(k, 0; n)\}$  occurs when*

$$\lambda = \lambda_{fmax} \sim \left( \frac{n}{k} + x_k^{(k)} \sqrt{\frac{n}{k}}, \dots, \frac{n}{k} + x_1^{(k)} \sqrt{\frac{n}{k}} \right).$$

Recall that for two sequences  $a_n, b_n$ , then  $a_n \sim b_n$  if  $\lim_{n \rightarrow \infty} a_n/b_n = 1$ .

As was already mentioned, the proof of Theorem 3.1 in [1] tacitly assumed that there exist  $a, \delta > 0$  such that for all large  $n$ , partition  $\lambda_{fmax}$  lies in  $H(k, 0; n, a, \delta)$ . This  $a$ -condition was verified in [2] and was further simplified in [6]. In Section 5 we verify the  $\delta$ -condition for  $\lambda_{fmax}$ , thus completing the rigorous proof of Theorem 3.1. In Sections 4 and 5 we also verify the corresponding  $a$ -condition and  $\delta$ -condition for  $\lambda_{gmax}$ . Thus, Equation (7) of the following lemma shows that  $\lambda_{fmax}$  and  $\lambda_{gmax}$  both have the same asymptotics.

**Lemma 3.2** *Let  $0 < a, \delta$  be fixed and let  $\lambda \in H(k, 0; n, a, \delta)$ . Then, as  $n$  goes to infinity,*

$$g^\lambda \sim 2^{-k(k-1)/2} \cdot f^\lambda, \quad \text{and also} \tag{7}$$

$$g^\lambda \sim b_\lambda \cdot \left[ \prod_{1 \leq i < j \leq k} (c_i - c_j) \right] \cdot e^{-(k/2)(\sum c_i^2)} \cdot \left( \frac{1}{n} \right)^{(k-1)(k+2)/4} \cdot k^n, \tag{8}$$

where

$$b_\lambda = \left( \frac{1}{2} \right)^{k(k-1)/2} \cdot \left( \frac{1}{\sqrt{2\pi}} \right)^{k-1} \cdot k^{k^2/2}.$$

**Proof.** (1) In the following arguments we only use the condition  $|c_i| \leq a$ . Show first that  $\lambda'_1 = k$ , namely in Equation (3) we have  $h = k$ : Assume not, then  $\lambda_k = 0$ . By Equations (4) and (6), all other parts  $\lambda_i \leq \frac{n}{k} + a\sqrt{n}$ , so  $n = \lambda_1 + \dots + \lambda_{k-1} \leq (k-1) \cdot (\frac{n}{k} + a\sqrt{n}) < n$  for  $n$  large, contradiction. So  $\lambda'_1 = k$ .

Calculate  $f^\lambda/g^\lambda$  by applying Equations (1) and (3) with  $h = k$ . Note that if  $x \in \{\lambda_j, \lambda_j + 1, \dots, \ell_j\}$  then  $x \sim n/k$  (using  $|c_i| \leq a$ ), and hence  $\ell_j!/\lambda_j! \sim (n/k)^{k-j}$ . Therefore

$$\frac{\ell_1! \dots \ell_k!}{\lambda_1! \dots \lambda_k!} \sim \left( \frac{n}{k} \right)^{k(k-1)/2}.$$

Similarly  $\ell_i + \ell_j \sim 2 \cdot \frac{n}{k}$ , hence

$$\prod_{1 \leq i < j \leq k} (\ell_i + \ell_j) \sim 2^{k(k-1)/2} \cdot \left(\frac{n}{k}\right)^{k(k-1)/2}.$$

(2) In the following argument we use the condition  $c_i - c_j \geq \delta$ : Since  $\delta > 0$ , we have

$$\lambda_i - \lambda_j = (c_i - c_j)\sqrt{n} \sim (c_i - c_j)\sqrt{n} + j - i = \ell_i - \ell_j,$$

hence  $\prod(\lambda_i - \lambda_j) \sim \prod(\ell_i - \ell_j)$ .

(3) The proof now follows from parts (1) and (2). Combined with Equation (F.1.1) in [5], this implies the second approximation. ■

## 4 The $a$ -condition for $\lambda_{gmax}$

The  $a$ -condition for  $\lambda_{fmax}$  – namely that  $\lambda_{fmax}$  lies in  $H(k, 0; n, a)$  – was verified in [2] via a certain algorithm, and that algorithm was further simplified in [6]. As a result the following Proposition was obtained, see Theorem 2.2 in [6].

**Proposition 4.1** *As  $n$  goes to infinity, the partitions  $\lambda \in H(k, 0; n)$  maximizing  $f^\lambda$  occur in the subsets  $H(k, 0; n, a)$  where  $a = (k - 1)\sqrt{2}$ .*

In this section we verify, by a similar algorithm, the analogue  $a$ -condition for the partitions  $\lambda$  maximizing  $g^\lambda$  (as well as  $2^{n-\ell(\lambda)}(g^\lambda)^2$ ) in the strip. That is:

**Proposition 4.2** *As  $n$  goes to infinity, the partitions  $\lambda \in SH(k, 0; n)$  maximizing  $g^\lambda$  – and  $2^{n-\ell(\lambda)}(g^\lambda)^2$  – occur in the subsets  $H(k, 0; n, a)$  where  $a = (k - 1)\sqrt{3}$ . In particular, when  $n$  is large,  $\lambda_j \sim n/k$  for  $j = 1, \dots, k$ .*

The rest of this section is devoted to the proof of Proposition 4.2. The proof is based on the algorithm given in [6] – with the slight modification that  $\sqrt{3n}$  replaces  $\sqrt{2n}$ . We first recall the algorithm, and then prove that when applying the algorithm, starting with an arbitrary strict partition  $\lambda \in SH(k, 0; n)$ , the output is a strict partition  $\mu \in SH(k, 0; n)$  satisfying  $g^\lambda \leq g^\mu$  and  $\mu_i - \mu_{i+1} \leq \sqrt{3n}$  for  $i = 1, \dots, k-1$ . This, together with Lemma 4.5, clearly proves Proposition 4.2.

**The Algorithm.** Let  $\lambda = (\lambda_1, \dots, \lambda_k)$  be a partition of  $n$ . Assume that for some (say, minimal)  $t \leq k - 1$ ,  $\lambda_t - \lambda_{t+1} \geq \sqrt{3n}$ . Then the algorithm changes  $\lambda$  to  $\lambda^{(1)}$ , where

$$\lambda_i^{(1)} = \begin{cases} \lambda_i & \text{if } i \neq t, t + 1, \\ \lambda_t - 1 & \text{if } i = t, \\ \lambda_{t+1} + 1 & \text{if } i = t + 1. \end{cases}$$

Now take  $\lambda$  to be  $\lambda^{(1)}$  and repeat the above step. If at some point no such  $t \leq k - 1$  exists, the algorithm stops, and we denote the corresponding partition by  $\mu$ .

**Lemma 4.3** Let  $n > 3$  and  $\lambda \in SH(k, 0; n)$ . Assume after one step of the above algorithm we obtain a partition  $\lambda^{(1)}$ . Then  $\lambda^{(1)}$  is strict.

**Proof.** Note that in one step of the algorithm, say from  $\lambda$  to  $\lambda^{(1)}$ , the differences  $\lambda_i - \lambda_{i+1}$  increase except for  $i = t$ . More precisely,

$$\begin{aligned}\lambda_i^{(1)} - \lambda_{i+1}^{(1)} &\geq \lambda_i - \lambda_{i+1} \quad \text{if } i \neq t, \\ \lambda_t^{(1)} - \lambda_{t+1}^{(1)} &= \lambda_t - \lambda_{t+1} - 2 \geq \sqrt{3n} - 2 \geq 3 - 2\end{aligned}$$

where the last inequality holds if  $n \geq 3$ . Hence if  $\lambda$  is strict, then also  $\lambda^{(1)}$  obtained after one step of the algorithm is strict, provided  $n \geq 3$ . ■

**Lemma 4.4** Let  $n > 3$  and  $\lambda \in SH(k, 0; n)$ . Assume after one step of the above algorithm we obtain a partition  $\lambda^{(1)}$ . Then  $g^\lambda \leq g^{\lambda^{(1)}}$ .

**Proof.** Let  $\lambda = (\lambda_1, \dots, \lambda_h)$  with  $h = \lambda'_1 \leq k$ . By Equation (3),  $g^\lambda/g^{\lambda^{(1)}} = A \cdot B$  where

$$A = \left( \frac{\lambda_{t+1} + 1}{\lambda_t} \right) \cdot \left( \frac{\lambda_t - \lambda_{t+1}}{\lambda_t - \lambda_{t+1} - 2} \right)$$

and

$$B = \prod_{i \neq t, t+1} \frac{(\lambda_i - \lambda_t)(\lambda_i - \lambda_{t+1})(\lambda_i + \lambda_t - 1)(\lambda_i + \lambda_{t+1} + 1)}{(\lambda_i + \lambda_t)(\lambda_i + \lambda_{t+1})(\lambda_i - \lambda_t + 1)(\lambda_i - \lambda_{t+1} - 1)}.$$

We show first that  $B < 1$  by showing that each factor  $x_i/y_i$  in  $B$  satisfies

$$\frac{x_i}{y_i} = \frac{(\lambda_i - \lambda_t)(\lambda_i - \lambda_{t+1})(\lambda_i + \lambda_t - 1)(\lambda_i + \lambda_{t+1} + 1)}{(\lambda_i + \lambda_t)(\lambda_i + \lambda_{t+1})(\lambda_i - \lambda_t + 1)(\lambda_i - \lambda_{t+1} - 1)} < 1.$$

Start by checking that  $x_i, y_i > 0$ . Indeed, if  $i < t$  then  $\lambda_i > \lambda_t \geq \lambda_{t+1} + \sqrt{3n}$  and all the factors in both  $x_i$  and in  $y_i$  are  $> 0$ . If  $i > t + 1$  then the four factors involving  $\lambda_i - \lambda_t$  and  $\lambda_i - \lambda_{t+1}$  are  $< 0$ , while the other four factors are obviously  $> 0$ , and again  $x_i, y_i > 0$ . Thus, to show that  $B < 1$  it suffices to show that each  $y_i - x_i > 0$ . This follows since, by elementary manipulations,  $y_i - x_i = 2\lambda_i(\lambda_t + \lambda_{t+1})(\lambda_t - \lambda_{t+1} - 1)$ . But  $\lambda_t - \lambda_{t+1} \geq \sqrt{3n} > 1$ , so  $y_i - x_i > 0$  and  $B < 1$ .

Show next that  $A \leq 1$ . Write  $A = x/y$  where  $x = (\lambda_{t+1} + 1)(\lambda_t - \lambda_{t+1})$  and  $y = \lambda_t(\lambda_t - \lambda_{t+1} - 2)$ . We need to show that  $y - x \geq 0$ . This follows since  $y - x = (\lambda_t - \lambda_{t+1})^2 - 3\lambda_t + \lambda_{t+1} \geq (\lambda_t - \lambda_{t+1})^2 - 3\lambda_t \geq 0$  since  $(\lambda_t - \lambda_{t+1})^2 \geq 3n$  while  $\lambda_t \leq n$ . ■

**Lemma 4.5** Let  $b > 0$  and let  $\mu \in H(k, 0; n)$  satisfy  $\mu_i - \mu_{i+1} \leq b\sqrt{n}$  for  $i = 1, \dots, k - 1$ . Write  $\mu_j = \frac{n}{k} + c_j\sqrt{n}$ , then  $|c_j| \leq (k - 1)b$  for all  $1 \leq j \leq k$ .

**Proof.** Since  $\mu$  is a partition of  $n$  and by the assumption we have

$$\begin{aligned}n &= k\mu_k + (k - 1)(\mu_{k-1} - \mu_k) + (k - 2)(\mu_{k-2} - \mu_{k-1}) + \dots + (\mu_1 - \mu_2) \\ &\leq k\mu_k + \frac{k(k - 1)}{2}b\sqrt{n}.\end{aligned}$$

Therefore

$$\frac{n}{k} - \frac{(k-1)}{2} b\sqrt{n} \leq \mu_k.$$

Also  $\mu_1 = (\mu_1 - \mu_2) + (\mu_2 - \mu_3) + \cdots + (\mu_{k-1} - \mu_k) + \mu_k \leq \frac{n}{k} + (k-1)b\sqrt{n}$  since  $\mu_k \leq \frac{n}{k}$ . Thus  $\frac{n}{k} - \frac{(k-1)}{2} b\sqrt{n} \leq \mu_k \leq \mu_j \leq \mu_1 \leq \frac{n}{k} + (k-1)b\sqrt{n}$  for all  $1 \leq j \leq k$ , which implies the proof. ■

**The proof of Proposition 4.2.** Let  $\lambda \in SH(k, 0; n)$  and apply the above algorithm to obtain a partition  $\mu$ . Then  $\mu_i - \mu_{i+1} \leq \sqrt{3n}$  for  $i = 1, \dots, k-1$ , and hence by Lemma 4.3 and Lemma 4.4, the partition  $\mu$  is strict with  $g^\lambda \leq g^\mu$ . By Lemma 4.5, such a partition  $\mu$  lies in  $H(k, 0; n, (k-1)\sqrt{3})$ . The second claim is true whenever we work with partitions in a set  $H(k, 0; n, a)$  with fixed  $a > 0$ . ■

## 5 The $\delta$ -condition for $\lambda_{fmax}$ and $\lambda_{gmax}$ in the strip

In this section we prove the  $\delta$ -condition for maximizing  $f^\lambda$  and  $g^\lambda$  in the strip. More precisely, we show:

**Proposition 5.1** *For all large  $n$ , if  $\lambda \in H(k, 0; n)$  and  $f^\lambda = \max\{f^\nu | \nu \in H(k, 0; n)\}$ , then  $\lambda \in H(k, 0; n, a, \delta)$  where  $a = (k-1)\sqrt{2}$  and  $\delta = \frac{1}{2k^3}$ .*

**Proposition 5.2** *For all large  $n$ , if  $\lambda \in SH(k, 0; n)$  and  $g^\lambda = \max\{g^\nu | \nu \in SH(k, 0; n)\}$ , then  $\lambda \in H(k, 0; n, a, \delta)$  where  $a = (k-1)\sqrt{3}$  and  $\delta = \frac{1}{4k^3\sqrt{3}}$ .*

**Proof of Proposition 5.1.** Suppose that  $\lambda \in H(k, 0; n, a) \setminus H(k, 0; n, a, \delta)$ . By Proposition 4.1, it suffices to show that in this case,  $f^\lambda$  is not maximal. Let  $t = \min\{1 \leq i < k \mid \lambda_i - \lambda_{i+1} < \delta\sqrt{n}\}$ , and let

$$r = \begin{cases} t & \text{if } t \leq k/2, \\ k-t & \text{otherwise.} \end{cases}$$

Note that  $r \leq \frac{k}{2}$ . Let  $\mu = (\mu_1, \dots, \mu_k) \in H(k, 0; n)$  be such that

$$\mu = \begin{cases} (\lambda_1 + 1, \dots, \lambda_r + 1, \lambda_{k-r+1} - 1, \dots, \lambda_k - 1) & \text{if } t = k/2, \\ (\lambda_1 + 1, \dots, \lambda_r + 1, \lambda_{r+1}, \dots, \lambda_{k-r}, \lambda_{k-r+1} - 1, \dots, \lambda_k - 1) & \text{otherwise.} \end{cases}$$

Clearly  $\mu$  is a partition of  $n$  into  $k$  parts. By the Young-Frobenius formula (1),

$$\frac{f^\lambda}{f^\mu} = \prod_{i=1}^r \frac{\lambda_i + k - i + 1}{\lambda_{k-i+1} + i - 1} \prod_{i < j} \frac{\lambda_i - \lambda_j + j - i}{\lambda_i - \lambda_j + j - i + \Delta_{i,j}}$$

where

$$\Delta_{i,j} = \begin{cases} 0, & \text{if } i < j \leq r \text{ or } j > i > k - r, \\ 1, & \text{if } i \leq r < j \leq k - r \text{ or } j > k - r \geq i > r, \\ 2, & \text{if } i \leq r \text{ and } j > k - r. \end{cases}$$

For all  $i < j$ , then  $\frac{\lambda_i - \lambda_j + j - i}{\lambda_i - \lambda_j + j - i + \Delta_{i,j}} \leq 1$ , and since  $\Delta_{t,t+1} \geq 1$ , also  $\frac{\lambda_t - \lambda_{t+1} + 1}{\lambda_t - \lambda_{t+1} + 1 + \Delta_{t,t+1}} < \frac{\delta\sqrt{n} + 1}{\delta\sqrt{n} + 2}$ . Thus

$$\begin{aligned} \frac{f^\lambda}{f^\mu} &< \left( \prod_{i=1}^r \frac{\lambda_i + k - i + 1}{\lambda_{k-i+1} + i - 1} \right) \frac{\delta\sqrt{n} + 1}{\delta\sqrt{n} + 2} \leq \left( \frac{\lambda_1 + k}{\lambda_k} \right)^r \frac{\delta\sqrt{n} + 1}{\delta\sqrt{n} + 2} \\ &\leq \left( \frac{\frac{n}{k} + a\sqrt{n} + k}{\frac{n}{k} - a\sqrt{n}} \right)^r \frac{\delta\sqrt{n} + 1}{\delta\sqrt{n} + 2} = \frac{\alpha_0 n^{r+1/2} + \alpha_1 n^r + O(n^{r-1/2})}{\beta_0 n^{r+1/2} + \beta_1 n^r + O(n^{r-1/2})}. \end{aligned}$$

where

$$\begin{aligned} \alpha_0 = \beta_0 &= \left(\frac{1}{k}\right)^r \delta > 0, & \alpha_1 &= \alpha_0 \left(r \frac{a}{1/k} + \frac{1}{\delta}\right), \\ \beta_1 &= \beta_0 \left(-r \frac{a}{1/k} + \frac{2}{\delta}\right). \end{aligned}$$

We have  $\alpha_1 - \beta_1 = \alpha_0(2rak - \frac{1}{\delta}) \leq \alpha_0(\sqrt{2}k^3 - 2k^3) < 0$ , so  $\alpha_1 < \beta_1$ . Thus  $\frac{f^\lambda}{f^\mu} < 1$  for all sufficiently large  $n$ . ■

**Proof of Proposition 5.2.** Suppose that  $\lambda \in SH(k, 0; n)$  maximizes  $g^\lambda$ . By Proposition 4.2, partition  $\lambda$  lies in  $H(k, 0; n, a)$ . Suppose that  $\lambda \notin H(k, 0; n, a, \delta)$ . Let  $t = \min\{1 \leq i < k \mid \lambda_i - \lambda_{i+1} < \delta\sqrt{n}\}$ , and let

$$r = \begin{cases} t & \text{if } t \leq k/2, \\ k - t & \text{otherwise.} \end{cases}$$

Note that  $r \leq \frac{k}{2}$ . Let  $\mu = (\mu_1, \dots, \mu_k) \in SH(k, 0; n)$  be such that

$$\mu = \begin{cases} (\lambda_1 + 1, \dots, \lambda_r + 1, \lambda_{k-r+1} - 1, \dots, \lambda_k - 1) & \text{if } t = k/2, \\ (\lambda_1 + 1, \dots, \lambda_r + 1, \lambda_{r+1}, \dots, \lambda_{k-r}, \lambda_{k-r+1} - 1, \dots, \lambda_k - 1) & \text{otherwise.} \end{cases}$$

Clearly  $\mu$  is a partition of  $n$  into  $k$  parts. By formula (3),

$$\frac{g^\lambda}{g^\mu} = \prod_{i=1}^r \frac{\lambda_i + 1}{\lambda_{k-i+1}} \prod_{i < j} \left( \frac{\lambda_i - \lambda_j}{\lambda_i - \lambda_j + \Delta_{i,j}} \cdot \frac{\lambda_i + \lambda_j + \Gamma_{i,j}}{\lambda_i + \lambda_j} \right)$$

where

$$\Delta_{i,j} = \begin{cases} 0, & \text{if } i < j \leq r \text{ or } j > i > k - r, \\ 1, & \text{if } i \leq r < j \leq k - r \text{ or } j > k - r \geq i > r, \\ 2, & \text{if } i \leq r \text{ and } j > k - r \end{cases}$$

and

$$\Gamma_{i,j} = \begin{cases} -2, & \text{if } k-r < i < j, \\ -1, & \text{if } r < i \leq k-r < j, \\ 0, & \text{if } i \leq r \leq k-r < j \text{ or } r < i < j \leq k-r, \\ 1, & \text{if } i \leq r < j \leq k-r, \\ 2, & \text{if } i < j \leq r. \end{cases}$$

For all  $i < j$ , then  $\frac{\lambda_i - \lambda_j}{\lambda_i - \lambda_j + \Delta_{i,j}} \leq 1$ , and since  $\Delta_{t,t+1} \geq 1$ , also  $\frac{\lambda_t - \lambda_{t+1}}{\lambda_t - \lambda_{t+1} + \Delta_{t,t+1}} < \frac{\delta\sqrt{n}}{\delta\sqrt{n}+1}$ . Thus

$$\begin{aligned} \frac{g^\lambda}{g^\mu} &< \left( \prod_{i=1}^r \frac{\lambda_i + 1}{\lambda_{k-i+1}} \right) \frac{\delta\sqrt{n}}{\delta\sqrt{n}+1} \prod_{i < j} \frac{\lambda_i + \lambda_j + \Gamma_{i,j}}{\lambda_i + \lambda_j} \\ &\leq \left( \frac{\lambda_1 + 1}{\lambda_k} \right)^r \frac{\delta\sqrt{n}}{\delta\sqrt{n}+1} \left( \frac{2\lambda_k + 2}{2\lambda_k} \right)^{k(k-1)/2} \\ &\leq \left( \frac{\frac{n}{k} + a\sqrt{n} + 1}{\frac{n}{k} - a\sqrt{n}} \right)^r \frac{\delta\sqrt{n}}{\delta\sqrt{n}+1} \left( \frac{\frac{n}{k} - a\sqrt{n} + 1}{\frac{n}{k} - a\sqrt{n}} \right)^{k(k-1)/2} \\ &= \frac{\alpha_0 n^{r+1/2+k(k-1)/2} + \alpha_1 n^{r+k(k-1)/2} + O(n^{r-1/2+k(k-1)/2})}{\beta_0 n^{r+1/2+k(k-1)/2} + \beta_1 n^{r+k(k-1)/2} + O(n^{r-1/2+k(k-1)/2})} \end{aligned}$$

where

$$\begin{aligned} \alpha_0 = \beta_0 &= \left(\frac{1}{k}\right)^{r+k(k-1)/2} \delta > 0, & \alpha_1 &= \alpha_0 \left( (r - k(k-1)/2) \frac{a}{1/k} \right), \\ \beta_1 &= \beta_0 \left( (-r - k(k-1)/2) \frac{a}{1/k} + \frac{1}{\delta} \right). \end{aligned}$$

We have  $\alpha_1 - \beta_1 = \alpha_0(2rak - \frac{1}{\delta}) \leq \alpha_0(2k^3\sqrt{3} - 4k^3\sqrt{3}) < 0$ , so  $\alpha_1 < \beta_1$ . Thus  $\frac{g^\lambda}{g^\mu} < 1$  if  $n$  is sufficiently large, in contradiction to the maximality of  $g^\lambda$ .  $\blacksquare$

## 6 Maximal $g^\lambda$ in the strip

Recall that  $\lambda_{fmax}$  is the partition maximizing  $f^\lambda$ , and  $\lambda_{gmax}$  the partition maximizing  $g^\lambda$ . Denote by  $\lambda_{2gmax}$  the partition maximizing  $2^{|\lambda| - \ell(\lambda)}(g^\lambda)^2$ . Here in all three cases, maximizing means with respect to the corresponding  $k$ -strip. The main theorem of this section is:

**Theorem 6.1** *As  $n \rightarrow \infty$ , the maximizing partitions in the  $k$ -strip  $\lambda_{2gmax}$ ,  $\lambda_{gmax}$ , and  $\lambda_{fmax}$  are asymptotically equal. Thus*

$$\lambda_{fmax}, \lambda_{gmax}, \lambda_{2gmax} \sim \left( \frac{n}{k} + x_k^{(k)} \sqrt{\frac{n}{k}}, \dots, \frac{n}{k} + x_1^{(k)} \sqrt{\frac{n}{k}} \right),$$

where  $x_1^{(k)} < \dots < x_k^{(k)}$  are the roots of the  $k$ th Hermit polynomial, see Theorem 3.1.

**Proof.** (i) Define the sets

$$\begin{aligned} SH(k, 0; n, a) &= SH(k, 0; n) \cap H(k, 0; n, a), \\ SH(k, 0; n, a, \delta) &= SH(k, 0; n) \cap H(k, 0; n, a, \delta). \end{aligned}$$

By Proposition 4.2, it follows that maximizing  $g^\lambda$  with  $\lambda \in SH(k, 0; n)$  is the same as maximizing  $g^\lambda$  with  $\lambda \in SH(k, 0; n, a_1)$  where  $a_1 = (k-1)\sqrt{3}$ . Let now  $n$  be large. Then by the previous section, this is the same as maximizing  $g^\lambda$  with  $\lambda \in SH(k, 0; n, a_1, \delta_1)$  for  $\delta_1 = \frac{1}{4k^3\sqrt{3}}$ .

(ii) The same phenomena occurs when maximizing  $f^\lambda$  for  $\lambda \in H(k, 0; n)$  when  $n$  is large: a maximizing partition  $\lambda$  lies in  $H(k, 0; n, a_2, \delta_2)$  for  $a_2 = (k-1)\sqrt{2}$  and  $\delta_2 = \frac{1}{2k^3}$ . See Proposition 4.1 for the  $a$ -condition and Proposition 5.1 for the  $\delta$ -condition.

(iii) Let  $a = \max\{a_1, a_2\}$  and  $\delta = \min\{\delta_1, \delta_2\}$ . Then the partitions  $\mu$  and  $\nu$  maximizing  $f^\lambda$  and  $g^\lambda$  respectively, lie in the same set  $H(k, 0; n, a, \delta)$ . Equation (7) implies that the partitions maximizing  $f^\lambda$  and the partitions maximizing  $g^\lambda$  have the same asymptotics when  $n$  goes to infinity. Hence  $\lambda_{gmax}$  and  $\lambda_{fmax}$  are asymptotically the same.

(iv) We show next that  $\lambda_{2gmax}$  and  $\lambda_{gmax}$  are asymptotically the same. Clearly, the problem of maximizing  $2^{n-\ell(\lambda)}(g^\lambda)^2$  is the same as that of maximizing  $2^{-\ell(\lambda)}(g^\lambda)^2$ . By part (1) of the proof of Lemma 3.2, a maximizing  $\lambda_{2gmax}$  must satisfy  $\ell(\lambda_{2gmax}) = k$  for large  $n$ , and therefore it also maximizes  $g^\lambda$ . ■

## 7 Some combinatorial identities

Recall the following two well-known identities for  $f^\lambda$  and  $g^\lambda$ .

$$(a) \quad \sum_{\lambda \vdash n} (f^\lambda)^2 = n! \quad \text{and} \quad (b) \quad \sum_{\lambda \models n} 2^{n-\ell(\lambda)} \cdot (g^\lambda)^2 = n! \quad (9)$$

For a bijective proof of identity (a) by the RSK, see [8], and for a bijective proof of identity (b) by a modified RSK, see [7, 13].

**Proposition 7.1** *Let  $\lambda \models n$  and let  $\mu = \mu(\lambda) = \text{proj}(\lambda)$ , so  $\mu \vdash 2n$ . Then*

$$f^{\mu(\lambda)} = \frac{1 \cdot 3 \cdot 5 \cdots (2n-1)}{n!} \cdot 2^{n-\ell(\lambda)} \cdot (g^\lambda)^2, \quad (10)$$

$$\sum_{\lambda \models n} f^{\mu(\lambda)} = \sum_{\lambda \models n} f^{\text{proj}(\lambda)} = 1 \cdot 3 \cdot 5 \cdots (2n-1). \quad (11)$$

The proof of this proposition follows from the following lemma.

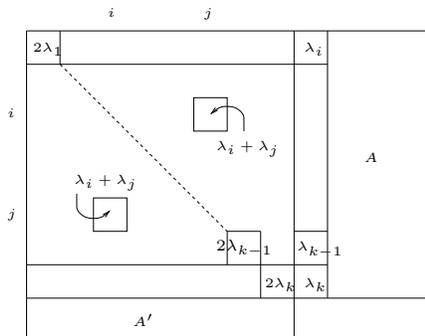


Figure 2

**Lemma 7.2** *Let  $\lambda \models n$  and let  $\mu = \mu(\lambda) = \text{proj}(\lambda)$ , with  $A_1(\lambda)$  and  $A_2(\lambda)$  as in Figure 1. Then*

$$\prod_{x \in \mu} h_\mu(x) = 2^{\ell(\lambda)} \cdot \left( \prod_{x \in A_1(\lambda)} h_\mu(x) \right)^2. \quad (12)$$

**Proof.** Check that  $\mu$  with its hook numbers looks as in Figure 2: here the part  $A'$  is the conjugate of the part  $A$  and hence has the same hook numbers. The area  $A_1$  (in Figure 1) contains  $A$  together with the North-East half of the corner rectangle (a  $k \times (k + 1)$ -rectangle). Similarly for  $A_2$ . Verify that the hook numbers in the corner rectangle are those indicated in Figure 2. This implies the proof of the lemma. ■

**The proof of Proposition 7.1** now follows from Lemma 7.2 and Theorem 2.2:

$$f^\mu = \frac{(2n)!}{\prod_{x \in \mu} h_\mu(x)} = \frac{(2n)!}{2^{\ell(\lambda)} \cdot \left( \prod_{x \in A_1(\lambda)} h_\mu(x) \right)^2} = \frac{(2n)!}{n!n!} \cdot 2^{-\ell(\lambda)} (g^\lambda)^2.$$

Equation (11) follows from Equation (9b), summing Equation (10) over all  $\lambda \models n$ . ■

## 8 A strategy for maximizing $2^{|\lambda| - \ell(\lambda)} \cdot (g^\lambda)^2$

It is a natural question to ask which strict partitions  $\lambda$  maximize  $g^\lambda$ , without restricting to the  $k$ -strip. We conjecture that these partitions are very close to the strict partitions  $\lambda$  maximizing  $2^{|\lambda| - \ell(\lambda)} \cdot (g^\lambda)^2$ . In this section, we give a strategy of how one possibly may find the limit shape of those strict partitions  $\lambda$  maximizing  $2^{|\lambda| - \ell(\lambda)} \cdot (g^\lambda)^2$ . Denote

$$LP(2n) = \{\mu \vdash 2n \mid \mu \text{ is shift-symmetric}\}.$$

Proposition 7.1 shows that the strict partition  $\lambda$  maximizes  $2^{|\lambda| - \ell(\lambda)} \cdot (g^\lambda)^2$  if and only if the shift-symmetric partition  $\mu = \mu(\lambda)$  maximizes  $\{f^\mu \mid \mu \in LP(2n)\}$ . Thus, we need to find asymptotically which shift-symmetric  $\mu \vdash 2n$  maximizes  $\{f^\mu \mid \mu \in LP(2n)\}$ . Note the following, not necessarily rigorous, arguments:

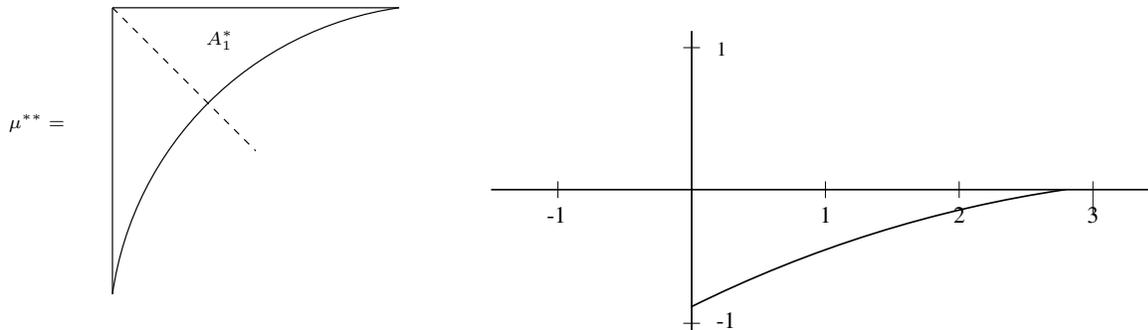


Figure 3 and Figure 4

1. For large  $n$ , a shift-symmetric diagram  $\mu$  is nearly symmetric.
2. By [3], [11, 12] the asymptotic shape of the general  $\nu$  maximizing  $f^\nu$  (with no restrictions) is symmetric.
3. Small changes in large diagrams  $\nu$  result in small changes in the hook numbers, hence in the degrees  $f^\nu$ .

It is therefore reasonable to conjecture that such a shift-symmetric partition  $\mu = \mu(\lambda) \vdash 2n$  maximizing  $f^\mu$  is asymptotically very close to the  $\nu \vdash 2n$  maximizing  $f^\nu$  in the general case, that is without any restrictions on the partitions  $\nu$ . Such  $\nu$  is given by the classical work of Logan-Shepp [3] and Vershik and Kerov [11, 12], which we briefly describe: Given  $\nu \vdash n$ , we take the area of each box of the diagram  $\nu$  to be one. Re-scale the boxes by multiplying each of the  $x$ -axis and the  $y$ -axis by  $1/\sqrt{n}$ , and denote the re-scaled diagram by  $\bar{\nu}$ . Thus the area of  $\bar{\nu}$  equals one. For each  $n$  let  $\nu_{max}^{(n)}$  denote a partition  $\nu \vdash n$  with maximal  $f^\nu$ :  $f^{\nu_{max}^{(n)}} = \max\{f^\nu \mid \nu \vdash n\}$ . Although  $\nu_{max}^{(n)}$  might not be unique for some values  $n$ , when  $n$  goes to infinity,  $\bar{\nu}_{max}^{(n)}$  has a unique asymptotic shape  $\nu^*$  given by Theorem 8.1 below. Similarly, consider  $\mu(\lambda) = \text{proj}(\lambda) \vdash 2n$ , and denote by  $\tilde{\mu}(\lambda) = \text{proj}(\bar{\lambda})$  the rescaling of  $\mu(\lambda)$  by  $1/\sqrt{n}$ ; hence  $\tilde{\mu}(\lambda)$  is of area two. If  $n \rightarrow \infty$  then  $\bar{\lambda}$  tends to the limit shape  $\lambda^*$  (of area one) if and only if  $\tilde{\mu}(\lambda)$  tends to the symmetric limit shape  $\mu^{**} = \mu(\lambda)^{**}$  (of area two).

**Theorem 8.1** ([3], [11, 12]) *The limit shape  $\nu^*$  of the re-scaled diagrams  $\bar{\nu}_{max}^{(n)}$  exists, and is given by the two axes and by the parametric curve*

$$x = \left(\frac{2}{\pi}\right) (\sin \theta - \theta \cos \theta) + 2 \cos \theta, \quad y = -\left(\frac{2}{\pi}\right) (\sin \theta - \theta \cos \theta), \quad 0 \leq \theta \leq \pi. \quad (13)$$

The curve in Equation (13) is given in Figure 3; it is symmetric with respect to  $y = -x$ . The last theorem, together with the discussion at the beginning of this section, leads to the following conjecture.

**Conjecture 8.2** *The limit shape  $\lambda^*$  of  $\lambda \models n$  maximizing  $2^{n-\ell(\lambda)} \cdot (g^\lambda)^2$  (and possibly maximizing  $g^\lambda$ ) is given by the two axes and by the parametric curve (Figure 4)*

$$x = 2\sqrt{2} \cdot \cos \theta, \quad y = \left( \frac{2\sqrt{2}}{\pi} \right) \cdot (\theta \cos \theta - \sin \theta), \quad 0 \leq \theta \leq \frac{\pi}{2}. \quad (14)$$

Conjecture 8.2 follows from the assumption that to maximize  $f^\mu$  over the shift-symmetric partitions is asymptotically the same as maximizing  $f^\nu$  over all partitions  $\nu$ . By Proposition 7.1 the  $\lambda$  maximizing  $2^{|\lambda|-\ell(\lambda)} \cdot (g^\lambda)^2$  satisfies  $\lambda = \sqrt{\mu}$ . So  $\lambda^* = \sqrt{\mu^{**}}$  for the limit shapes, where the limit shape  $\mu^{**}$  is of area two. We therefore dilate the curve (13) by multiplying both the  $x$ -values and the  $y$ -values by  $\sqrt{2}$ . This yields the limit shape  $\mu^{**}$  of area two, given by the axes and by the curve

$$x = \sqrt{2} \left[ \left( \frac{2}{\pi} \right) (\sin \theta - \theta \cos \theta) + 2 \cos \theta \right],$$

$$y = -\sqrt{2} \left( \frac{2}{\pi} \right) (\sin \theta - \theta \cos \theta), \quad 0 \leq \theta \leq \pi.$$

To obtain  $\lambda^*$ , first obtain its shifted shape  $A_1^*$  by cutting  $\mu^{**}$  into two halves along the line  $y = -x$ , see Figure 3:  $A_1^*$  is bounded by the  $x$ -axis, by the line  $y = -x$  and by the part of the (dilated) LSVK curve with  $0 \leq \theta \leq \frac{\pi}{2}$ . To obtain  $\lambda^*$  from  $A_1^*$ , pull the line  $y = -x$  to the left, until it equals the (negative)  $y$ -axis. Thus each point  $(x, y)$  in  $A_1^*$  is transformed to  $(x - |y|, y)$  in  $\lambda^*$ . Under this transformation the  $x$ -axis stays invariant, the line  $y = -x$  becomes the (negative)  $y$ -axis, and (half of) the dilated LSVK curve becomes the curve (14) of Conjecture 8.2.

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