Convexly independent subsets of the Minkowski sum of planar point sets

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Abstract

Let P and Q be finite sets of points in the plane. In this note we consider the largest cardinality of a subset of the Minkowski sum $S \subseteq P \oplus Q$ which consist of convexly independent points. We show that, if |P| = m and |Q| = n then $|S| = O(m^{2/3}n^{2/3} + m + n)$.

1 Introduction

In connection with a class of convex combinatorial optimization problems (Onn and Rothblum, 2004), Halman et al. (2007) raised the following question. Given a set X of n points in the plane, what is the maximum number of pairs that can be selected from X so that the midpoints of their connecting segments are *convexly independent*, that is, they form the vertex set of a convex polygon? In the special case when the elements of X themselves are convexly independent, they found a linear upper bound, 5n-6, on this quantity. They asked whether there exists a subquadratic upper bound in the general case. In this note, we answer this question in the affirmative by establishing an upper bound of $O(n^{4/3})$.

We first reformulate the question in a slightly more general form. Let P and Q be sets of size m and n in the plane. The *Minkowski sum* of P and Q is $P \oplus Q = \{p + q \mid p \in P, q \in Q\}$.

What is the maximum size of a convexly independent subset of $P \oplus Q$?

More precisely, we would like to estimate the function M(m, n), which is the largest cardinality of a convexly independent set S, which is a subset of the Minkowski sum of some planar point sets P and Q with |P| = m and |Q| = n.

Notice that the set of all midpoints of the connecting segments of an *n*-element set P can be expressed as $\frac{1}{2}(P \oplus P)$, so that M(n, n) is an upper bound on the quantity studied by Halman et al.

Let S be a convexly independent subset of $P \oplus Q$. Consider the bipartite graph G on the vertex set $P \cup Q$, in which $p \in P$ and $q \in Q$ are connected by an edge if and only if $p + q \in S$. It is easy to check that G cannot contain $K_{2,3}$ as a subgraph. Applying the forbidden subgraph theorem (Kővári et al., 1954), see also (Pach and Agarwal, 1995), it follows that $|S| = O(\sqrt{m} \cdot n + m)$.

Our next result provides a better bound.

Theorem 1. Let P and Q be two planar point sets with |P| = m and |Q| = n. For any convexly independent subset $S \subseteq P \oplus Q$, we have $|S| = O(m^{2/3}n^{2/3} + m + n)$.

2 Proof of Theorem 1

We reduce the problem to a point-curve incidence problem in the plane. A closed set $K \subseteq \mathbb{R}^2$ is strictly convex, if for each $a, b \in K$ the interior of the line-segment conv $(\{a, b\})$ is contained in the interior of K. A closed curve C is strictly convex if it is the boundary of a strictly convex set. Consider now n translated copies $C + t_1, \ldots, C + t_n$ of C, and m points p_1, \ldots, p_m . Let I(m, n) denote the maximum number of point-curve incidences which occur in such a configuration. Notice that $C + t_i$ and $C + t_j$ intersect in at most two points for $i \neq j$. Furthermore, for any two distinct points p_{μ} and p_{ν} , there exist at most two curves $C + t_i$ incident to both p_{μ} and p_{ν} . We can apply the following well known upper bound on the number I(m, n) of incidences between m points and n "well-behaved" curves with the above properties, see (Pach and Sharir, 1998).

$$I(m,n) = O(m^{2/3}n^{2/3} + m + n).$$
(1)

Thus, to establish Theorem 1, it remains to prove

Theorem 2. For any positive integers m and n, we have $M(m,n) \leq I(m,n)$.

Proof. Let $P = \{p_1, \ldots, p_m\}$, $Q = \{q_1, \ldots, q_n\}$, and assume that S is a convexly independent subset of $P \oplus Q$. Clearly, there is a strictly convex closed curve C passing through all points in S. Consider the n translates $C - q_1, \ldots, C - q_n$ of C. Count the number of incidences between these curves and the elements of P. Notice that if the point p + q belongs to S, then p is incident to C - q. Since no two distinct points $p_1 + q_1 \neq p_2 + q_2 \in S$ are associated with the same incidence, the result follows.

Unit distances

Theorem 1 can also be deduced from the known upper bounds on the number of unitdistance pairs induced by n points in a normed (Minkowski) plane. For this, notice that one can replace C by a centrally symmetric strictly convex curve C' such that the number I' of incidences between the curves $C' - q_1, \ldots, C' - q_n$ and the points in P is at least half of the number I of incidences between the curves $C - q_1, \ldots, C - q_n$ and the points in P. The curve C' defines a *norm*, and thus a *metric*, in the plane, with respect to which the unit circle is a translate of C'. Therefore, I' can be bounded from above by the number of unit-distance pairs between the set of centers of the curves $C' - q_1, \ldots, C' - q_n$ and the elements of P, which is known to be $O(m^{2/3}n^{2/3} + m + n)$.

In particular, for m = n, this number cannot exceed the maximum number u(2n) of unit-distance pairs in a set of 2n points in a normed plane with a strictly convex unit circle. It is known that $u(2n) = O(n^{4/3})$ (see e.g. (Brass, 1996)), and a gridlike construction shows that this bound can be attained for certain norms (Brass, 1998; Valtr, 2005). Note that in the Euclidean norm, the number of unit-distance pairs induced by n points is $ne^{\Omega(\log n/\log \log n)}$, and this estimate is conjectured to be not far from best possible (Erdős, 1946).

The question arises whether any of the examples establishing the tightness of the upper bounds on I(m, n) and u(n) can be used to show that Theorem 1 is also optimal. Unfortunately, in all known constructions, most elements of $P \oplus Q$ can be written in the form p + q ($p \in P, q \in Q$) in many different ways. Therefore, any element of a convexly independent subset of $P \oplus Q$ may be associated with several incidences between a curve C - q and a point of P. This suggests that the maximum size of a convexly independent subset of $P \oplus Q$ can be much smaller than I(m, n). For m = n, we do not know any example for which $P \oplus Q$ has a convexly independent subset with a superlinear number of elements.

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