

# On cross-intersecting uniform sub-families of hereditary families

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## Abstract

A family  $\mathcal{H}$  of sets is *hereditary* if any subset of any set in  $\mathcal{H}$  is in  $\mathcal{H}$ . If two families  $\mathcal{A}$  and  $\mathcal{B}$  are such that any set in  $\mathcal{A}$  intersects any set in  $\mathcal{B}$ , then we say that  $(\mathcal{A}, \mathcal{B})$  is a *cross-intersection pair* (*cip*). We say that a cip  $(\mathcal{A}, \mathcal{B})$  is *simple* if at least one of  $\mathcal{A}$  and  $\mathcal{B}$  contains only one set. For a family  $\mathcal{F}$ , let  $\mu(\mathcal{F})$  denote the size of a smallest set in  $\mathcal{F}$  that is not a subset of any other set in  $\mathcal{F}$ . For any positive integer  $r$ , let  $[r] := \{1, 2, \dots, r\}$ ,  $2^{[r]} := \{A : A \subseteq [r]\}$ ,  $\mathcal{F}^{(r)} := \{F \in \mathcal{F} : |F| = r\}$ .

We show that if a hereditary family  $\mathcal{H} \subseteq 2^{[n]}$  is *compressed*,  $\mu(\mathcal{H}) \geq r + s$  with  $r \leq s$ , and  $(\mathcal{A}, \mathcal{B})$  is a cip with  $\emptyset \neq \mathcal{A} \subset \mathcal{H}^{(r)}$  and  $\emptyset \neq \mathcal{B} \subset \mathcal{H}^{(s)}$ , then  $|\mathcal{A}| + |\mathcal{B}|$  is a maximum if  $(\mathcal{A}, \mathcal{B})$  is the simple cip  $(\{[r]\}, \{B \in \mathcal{H}^{(s)} : B \cap [r] \neq \emptyset\})$ ; Frankl and Tokushige proved this for  $\mathcal{H} = 2^{[n]}$ . We also show that for any  $2 \leq r \leq s$  and  $m \geq r + s$  there exist (non-compressed) hereditary families  $\mathcal{H}$  with  $\mu(\mathcal{H}) = m$  such that no cip  $(\mathcal{A}, \mathcal{B})$  with a maximum value of  $|\mathcal{A}| + |\mathcal{B}|$  under the condition that  $\emptyset \neq \mathcal{A} \subset \mathcal{H}^{(r)}$  and  $\emptyset \neq \mathcal{B} \subset \mathcal{H}^{(s)}$  is simple (we prove that this is not the case for  $r = 1$ ), and we suggest two conjectures about the extremal structures in general.

## 1 Introduction

We shall use small letters such as  $x$  to denote elements of a set or positive integers, capital letters such as  $X$  to denote sets, and calligraphic letters such as  $\mathcal{F}$  to denote *families* (i.e. sets whose members are sets themselves). Unless otherwise stated, it is to be assumed that sets and families are *finite*.

$\mathbb{N}$  is the set  $\{1, 2, \dots\}$  of positive integers. For  $m, n \in \mathbb{N}$  with  $m \leq n$ , the set  $\{i \in \mathbb{N} : m \leq i \leq n\}$  is denoted by  $[m, n]$ , and if  $m = 1$  then we also write  $[n]$ . The *power set*  $\{A : A \subseteq X\}$  of a set  $X$  is denoted by  $2^X$ , and  $\{A \subseteq X : |A| = r\}$  is denoted by  $\binom{X}{r}$ .

We next develop some notation for certain sets and families defined on a family  $\mathcal{F} \subseteq 2^X$ . Let  $U(\mathcal{F})$  denote the *union* of all sets in  $\mathcal{F}$ . Let  $\mathcal{F}^{(r)} := \{F \in \mathcal{F} : |F| = r\}$ . For a set  $Y$ , let  $\mathcal{F}(Y) := \{F \in \mathcal{F} : F \cap Y \neq \emptyset\}$  and  $\mathcal{F}[Y] := \{F \in \mathcal{F} : Y \subseteq F\}$ . For a single-element set  $\{y\}$ , we may abbreviate the notation  $\mathcal{F}(\{y\})$  to  $\mathcal{F}(y)$ , and we set  $\mathcal{F}\langle y \rangle := \{F \setminus \{y\} : F \in \mathcal{F}(y)\}$ .

For  $i, j \in [n]$ , let  $\Delta_{i,j} : 2^{2^{[n]}} \rightarrow 2^{2^{[n]}}$  be the *compression operation* (see [4]) defined by

$$\Delta_{i,j}(\mathcal{F}) := \{\delta_{i,j}(F) : F \in \mathcal{F}, \delta_{i,j}(F) \notin \mathcal{F}\} \cup \{F \in \mathcal{F} : \delta_{i,j}(F) \in \mathcal{F}\},$$

where  $\delta_{i,j} : 2^{[n]} \rightarrow 2^{[n]}$  is defined by

$$\delta_{i,j}(F) := \begin{cases} (F \setminus \{j\}) \cup \{i\} & \text{if } i \notin F \text{ and } j \in F; \\ F & \text{otherwise.} \end{cases}$$

A family  $\mathcal{F}$  is said to be

- a *hereditary family* (or an *ideal* or a *downset*) if all subsets of any set in  $\mathcal{F}$  are in  $\mathcal{F}$ ;
- *uniform* if the sets in  $\mathcal{F}$  have the same size;
- *intersecting* if any set in  $\mathcal{F}$  intersects any other set in  $\mathcal{F}$ ;
- *centred* if the sets in  $\mathcal{F}$  contain a common element;
- *compressed* if  $\mathcal{F} \subseteq 2^{[n]}$  and  $\Delta_{i,j}(\mathcal{F}) = \mathcal{F}$  for any  $i, j \in [n]$  with  $i < j$ ;
- *compressed with respect to*  $x \in U(\mathcal{F})$  if  $\Delta_{x,y}(\mathcal{F}) = \mathcal{F}$  for any  $y \in U(\mathcal{F})$ .

Two families  $\mathcal{A}$  and  $\mathcal{B}$  are said to be *cross-intersecting* if any set in  $\mathcal{A}$  intersects any set in  $\mathcal{B}$ . We say that  $(\mathcal{A}, \mathcal{B})$  is a *cross-intersection pair* (*cip*) if  $\mathcal{A}$  and  $\mathcal{B}$  are cross-intersecting. We say that a cip  $(\mathcal{A}, \mathcal{B})$  is *simple* if at least one of  $\mathcal{A}$  and  $\mathcal{B}$  contains only one set.

Hilton and Milner [7] proved that if  $r \leq n/2$  and  $\mathcal{A}, \mathcal{B}$  are non-empty cross-intersecting sub-families of  $\binom{[n]}{r}$ , then  $|\mathcal{A}| + |\mathcal{B}| \leq \binom{n}{r} - \binom{n-r}{r} + 1 = |\mathcal{A}_0| + |\mathcal{B}_0|$ , where  $\mathcal{A}_0$  is  $\{[r]\}$  and  $\mathcal{B}_0$  is  $\{B \in \binom{[n]}{r} : B \cap [r] \neq \emptyset\}$ . A streamlined proof of this result was later obtained by Simpson [10] by means of the compression (also known as *shifting*) technique introduced in the seminal paper [4] (see [5] for a good survey on the uses of this technique in extremal set theory). Frankl and Tokushige [6] instead used the Kruskal-Katona Theorem [8, 9] to establish the following extension.

**Theorem 1.1 (Frankl and Tokushige [6])** *If  $r \leq s$ ,  $n \geq r + s$ , and  $(\mathcal{A}, \mathcal{B})$  is a cip with  $\emptyset \neq \mathcal{A} \subseteq \binom{[n]}{r}$  and  $\emptyset \neq \mathcal{B} \subseteq \binom{[n]}{s}$ , then  $|\mathcal{A}| + |\mathcal{B}| \leq \binom{n}{s} - \binom{n-r}{s} + 1 = |\mathcal{A}_0| + |\mathcal{B}_0|$ , where  $(\mathcal{A}_0, \mathcal{B}_0)$  is the simple cip  $(\{[r]\}, \{B \in \binom{[n]}{s} : B \cap [r] \neq \emptyset\})$ .*

In this paper we are interested in cip's  $(\mathcal{A}, \mathcal{B})$  having a maximum value of  $|\mathcal{A}| + |\mathcal{B}|$  under the condition that both  $\mathcal{A}$  and  $\mathcal{B}$  are non-empty uniform sub-families of a hereditary family  $\mathcal{H}$ . Note that Theorem 1.1 deals with the special case when  $\mathcal{H}$  is the power set  $2^{[n]}$ , which is the simplest example of a hereditary family. It is easy to see that a family is hereditary if and only if it is a union of power sets. There are many interesting examples of hereditary families, such as the family of independent sets of a graph or matroid.

Before stating our results, we shall introduce a few more definitions.

We say that a set  $M$  is  $\mathcal{F}$ -maximal if  $M$  is not a subset of any set in  $\mathcal{F} \setminus \{M\}$ . We denote the size of a smallest  $\mathcal{F}$ -maximal set in  $\mathcal{F}$  by  $\mu(\mathcal{F})$ .

For a family  $\mathcal{F}$ , we denote the set  $\{(\mathcal{A}, \mathcal{B}) : (\mathcal{A}, \mathcal{B}) \text{ is a cip with a maximum value of } |\mathcal{A}| + |\mathcal{B}| \text{ under the condition that } \emptyset \neq \mathcal{A} \subset \mathcal{F}^{(r)} \text{ and } \emptyset \neq \mathcal{B} \subset \mathcal{F}^{(s)}\}$  by  $C(\mathcal{F}, r, s)$ .

Using the compression technique, we generalise Theorem 1.1 as follows.

**Theorem 1.2** *If  $r \leq s$ ,  $n \geq r + s$ , and  $\mathcal{H}$  is a compressed hereditary sub-family of  $2^{[n]}$  with  $\mu(\mathcal{H}) \geq r + s$ , then the simple cip  $(\{[r]\}, \{B \in \mathcal{H}^{(s)} : B \cap [r] \neq \emptyset\})$  is in  $C(\mathcal{H}, r, s)$ .*

Theorem 1.1 is the case  $\mathcal{H} = 2^{[n]}$ , in which  $[n]$  is the only  $\mathcal{H}$ -maximal set in  $\mathcal{H}$  and hence  $\mu(\mathcal{H}) = n$ . Note that we cannot relax the condition that  $\mu(\mathcal{H}) \geq r + s$ . Indeed, if  $\mathcal{H} = 2^{[n]}$  and  $s \leq \mu(\mathcal{H}) < r + s$ , then any set in  $\mathcal{H}^{(r)} = \binom{[n]}{r}$  intersects any set in  $\mathcal{H}^{(s)} = \binom{[n]}{s}$  (since  $n = \mu(\mathcal{H}) < r + s$ ), and hence  $(\mathcal{H}^{(r)}, \mathcal{H}^{(s)})$  is the only cip in  $C(\mathcal{H}, r, s)$ . Note that if  $\mathcal{H} = 2^{[n]}$  and  $\mu(\mathcal{H}) < s$ , then  $C(\mathcal{H}, r, s) = \emptyset$  (since  $n = \mu(\mathcal{H}) < s$  and hence  $\mathcal{H}^{(s)} = \emptyset$ ).

**Remark 1.3** One of the central problems in extremal set theory is the famous Chvátal Conjecture [2], which claims that at least one of the largest intersecting sub-families of any hereditary family  $\mathcal{H}$  is centred. Chvátal [3] proved his conjecture for the case when  $\mathcal{H}$  is compressed. Snevily [11] improved Chvátal's result to the case when  $\mathcal{H}$  is compressed with respect to an element of  $U(\mathcal{H})$ . In the next section we show that no similar improvement can be made to Theorem 1.2 for  $r \geq 2$ ; more precisely, we show that for any  $2 \leq r \leq s$  and  $m \geq r + s$  there are hereditary families  $\mathcal{H}$  with  $\mu(\mathcal{H}) = m$  such that  $\mathcal{H}$  is compressed with respect to an element of  $U(\mathcal{H})$  and no cip in  $C(\mathcal{H}, r, s)$  is simple. We then suggest two conjectures about the structure of at least one of the cip's in  $C(\mathcal{H}, r, s)$  for any hereditary family  $\mathcal{H}$  with  $\mu(\mathcal{H}) \geq r + s$ .

For  $r = 1$  we do have the desired general result.

**Theorem 1.4** *If  $\mathcal{H}$  is a hereditary family with  $\mu(\mathcal{H}) \geq 1 + s$ , then  $C(\mathcal{H}, 1, s)$  has a simple cip  $(\mathcal{A}_0, \mathcal{B}_0)$  with  $\mathcal{A}_0 = \{\{x\}\}$  and  $\mathcal{B}_0 = \{B \in \mathcal{H}^{(s)} : x \in B\}$  for some  $x \in U(\mathcal{H})$ .*

**Proof.** Let  $(\mathcal{A}, \mathcal{B}) \in C(\mathcal{H}, 1, s)$ . Suppose  $|\mathcal{A}| = 1$ . Then, since  $\mathcal{A} \subset \mathcal{H}^{(1)}$ ,  $\mathcal{A} = \{\{x\}\}$  for some  $x \in U(\mathcal{H})$ . Since  $\mathcal{B} \subset \mathcal{H}^{(s)}$  and  $|\mathcal{A}| + |\mathcal{B}|$  is a maximum (under the cross-intersection condition),  $\mathcal{B}$  must consist of all the sets in  $\mathcal{H}^{(s)}$  which contain  $x$ .

Now suppose  $|\mathcal{A}| > 1$ . Let  $Z := \{z \in U(\mathcal{H}) : \{z\} \in \mathcal{A}\}$ ; so  $|Z| = |\mathcal{A}|$  and hence  $|Z| > 1$ . Since every set in  $\mathcal{B}$  must intersect every (single-element) set in  $\mathcal{A}$ , we clearly have  $\mathcal{B} \subseteq \mathcal{H}^{(s)}[Z]$  ( $= \{H \in \mathcal{H}^{(s)} : Z \subseteq H\}$ ). Let  $B \in \mathcal{B}$ . Since every (single-element) set in  $\mathcal{A}$  must intersect  $B$ , we have  $Z \subseteq B$  and hence  $|Z| \leq s$ . Let  $x \in Z$  and let  $M$  be an  $\mathcal{H}$ -maximal set in  $\mathcal{H}$  such that  $B \subset M$ . Then  $|M| \geq 1 + s$  (as  $|M| \geq \mu(\mathcal{H})$ ),  $Z \subset M$  (as  $Z \subseteq B$ ), and  $\binom{M}{s} \subseteq \mathcal{H}^{(s)}$  (as  $\mathcal{H}$  is hereditary). Now let  $(\mathcal{A}_0, \mathcal{B}_0)$  be the simple cip  $(\{\{x\}\}, \mathcal{H}^{(s)}(x))$ . Since  $(\mathcal{A}, \mathcal{B}) \in C(\mathcal{H}, 1, s)$ ,  $|\mathcal{A}_0| + |\mathcal{B}_0| \leq |\mathcal{A}| + |\mathcal{B}|$ . Also,

$$\begin{aligned}
|\mathcal{A}_0| + |\mathcal{B}_0| &= 1 + |\mathcal{H}^{(s)}(x)| \\
&\geq 1 + |\mathcal{H}^{(s)}[Z]| + \left| \left\{ A \in \binom{M}{s} : x \in A, |A \cap Z| = |Z| - 1 \right\} \right| \\
&= 1 + |\mathcal{H}^{(s)}[Z]| + \binom{|Z| - 1}{|Z| - 2} \binom{|M| - |Z|}{s - (|Z| - 1)} \\
&\geq |Z| + |\mathcal{H}^{(s)}[Z]| = |\mathcal{A}| + |\mathcal{H}^{(s)}[Z]| \geq |\mathcal{A}| + |\mathcal{B}|.
\end{aligned}$$

So we actually have  $|\mathcal{A}_0| + |\mathcal{B}_0| = |\mathcal{A}| + |\mathcal{B}|$ , and hence  $(\mathcal{A}_0, \mathcal{B}_0) \in C(\mathcal{H}, 1, s)$ .  $\square$

The above result will be used in the proof of Theorem 1.2. It is easy to see from its proof that if  $\mu(\mathcal{H}) > 1 + s$ , then any  $(\mathcal{A}, \mathcal{B})$  in  $C(\mathcal{H}, 1, s)$  is a simple cip as in the result.

## 2 A construction and two conjectures

The following is the proof of the claim in Remark 1.3.

**Proposition 2.1** *Let  $2 \leq l + 1 \leq r \leq s$ ,  $m \geq r + s$  and  $p > \left( \binom{m-l}{s} - \binom{m-r}{s} + 1 \right) / \binom{m-l}{r-l}$ . For each  $i \in [p]$ , let  $M_i := [l] \cup [(i-1)(m-l) + l + 1, i(m-l) + l]$ . Let  $\mathcal{E} = \bigcup_{i=1}^p 2^{M_i}$ . Then  $\mathcal{E}$  is hereditary,  $\mathcal{E}$  is compressed with respect to 1,  $\mu(\mathcal{E}) = m$ , and no cip in  $C(\mathcal{E}, r, s)$  is simple.*

**Proof.** It is straightforward that  $\mathcal{E}$  is hereditary,  $\mathcal{E}$  is compressed with respect to 1, and  $\mu(\mathcal{E}) = |M_1| = \dots = |M_p| = m$ . Let  $(\mathcal{A}, \mathcal{B})$  be a simple cip with  $\emptyset \neq \mathcal{A} \subseteq \mathcal{E}^{(r)}$  and  $\emptyset \neq \mathcal{B} \subseteq \mathcal{E}^{(s)}$ . Let  $L := [l]$ ,  $\mathcal{A}_1 := \{L \cup C : C \in \binom{M_i \setminus L}{r-l} \text{ for some } i \in [p]\}$ ,  $\mathcal{B}_1 = \mathcal{E}^{(s)}(L)$  ( $= \{E \in \mathcal{E}^{(s)} : E \cap L \neq \emptyset\}$ ). Since  $(\mathcal{A}_1, \mathcal{B}_1)$  is a non-simple cip with  $\emptyset \neq \mathcal{A}_1 \subseteq \mathcal{E}^{(r)}$  and  $\emptyset \neq \mathcal{B}_1 \subseteq \mathcal{E}^{(s)}$ , the result follows if we show that  $|\mathcal{A}| + |\mathcal{B}| < |\mathcal{A}_1| + |\mathcal{B}_1|$ .

Let  $R := [r]$ ,  $\mathcal{A}_0 := \{R\}$ ,  $\mathcal{B}_0 := \mathcal{E}^{(s)}(R)$ . We will show that

$$|\mathcal{A}| + |\mathcal{B}| \leq |\mathcal{A}_0| + |\mathcal{B}_0|. \tag{1}$$

Let us first assume this. Note that  $\mathcal{B}_0$  is the disjoint union of  $\mathcal{B}_1$  and the family  $\mathcal{R}$  of sets in  $\mathcal{E}^{(s)}$  that intersect  $R$  but not  $L$ . Since  $R$  is a subset of  $M_1$  but not a subset of any other set  $M_i$ , we clearly have  $\mathcal{R} = \{A \in \binom{M_1 \setminus L}{s} : A \cap (R \setminus L) \neq \emptyset\}$ . We have

$$\begin{aligned}
(|\mathcal{A}_1| + |\mathcal{B}_1|) - (|\mathcal{A}| + |\mathcal{B}|) &\geq (|\mathcal{A}_1| + |\mathcal{B}_1|) - (|\mathcal{A}_0| + |\mathcal{B}_0|) \quad (\text{by (1)}) \\
&= (|\mathcal{A}_1| + |\mathcal{B}_1|) - (|\mathcal{A}_0| + |\mathcal{B}_1| + |\mathcal{R}|) = |\mathcal{A}_1| - |\mathcal{A}_0| - |\mathcal{R}| \\
&= p \binom{m-l}{r-l} - \binom{m-l}{s} + \binom{m-r}{s} - 1 \\
&> 0 \quad (\text{by choice of } p)
\end{aligned}$$

and hence  $|\mathcal{A}| + |\mathcal{B}| < |\mathcal{A}_1| + |\mathcal{B}_1|$  as required.

We now prove (1). Suppose  $\mathcal{A}$  contains only one set  $A$ . Then  $\mathcal{B} \subseteq \mathcal{E}^{(s)}(A)$ . Since  $l < r$  and  $M_i \cap M_j = L$  for any distinct  $i$  and  $j$  in  $[p]$ , there is a unique  $k$  in  $[p]$  such that  $A \subset M_k$ , and it is therefore easy to see that  $|\mathcal{E}^{(s)}(A)| \leq |\mathcal{B}_0|$ ; so (1) holds in this case. Now suppose  $|\mathcal{A}| > 1$ . Then, since  $(\mathcal{A}, \mathcal{B})$  is a simple cip,  $\mathcal{B}$  contains only one set  $B$  and  $\mathcal{A} \subseteq \mathcal{E}^{(r)}(B)$ . Let  $S := [s]$ ,  $\mathcal{C}_0 := \mathcal{E}^{(r)}(S)$ ,  $\mathcal{D}_0 := \{S\}$ . Similarly to the above, it is easy to see that  $|\mathcal{E}^{(r)}(B)| \leq |\mathcal{C}_0|$ ; so  $|\mathcal{A}| + |\mathcal{B}| \leq |\mathcal{C}_0| + |\mathcal{D}_0|$ . If  $r = s$  then  $|\mathcal{C}_0| + |\mathcal{D}_0| = |\mathcal{A}_0| + |\mathcal{B}_0|$  and hence (1) holds again. Suppose  $r < s$ . For each  $i \in [p]$ , let  $\mathcal{F}_i := \binom{M_i}{s}$  and  $\mathcal{G}_i := \binom{M_i}{r}$ . Since  $R \subset M_1$  and  $R \cap M_i = S \cap M_i = L$  for each  $i \in [2, p]$ , we clearly have  $|\mathcal{B}_0| = |\mathcal{F}_1(R)| + \sum_{i=2}^p |\mathcal{F}_i(L)|$  and  $|\mathcal{C}_0| = |\mathcal{G}_1(S)| + \sum_{i=2}^p |\mathcal{G}_i(L)|$ . We have  $|\mathcal{G}_1(S)| < |\mathcal{F}_1(R)|$  since

$$\begin{aligned} |\mathcal{F}_1(R)| - |\mathcal{G}_1(S)| &= \left( \binom{m}{s} - \binom{m-r}{s} \right) - \left( \binom{m}{r} - \binom{m-s}{r} \right) \\ &= \left( \binom{m}{s} - \binom{m}{r} \right) - \left( \binom{m-r}{s} - \binom{m-s}{r} \right) \\ &= \binom{m}{r} \left( \frac{r!(m-r)\dots(m-s+1)}{s!} - 1 \right) - \binom{m-s}{r} \left( \frac{r!(m-r)\dots(m-s+1)}{s!} - 1 \right) > 0. \end{aligned}$$

By a similar calculation, we obtain that  $|\mathcal{G}_i(L)| < |\mathcal{F}_i(L)|$  for each  $i \in [2, p]$ . So we have

$$|\mathcal{C}_0| + |\mathcal{D}_0| = |\mathcal{G}_1(S)| + \sum_{i=2}^p |\mathcal{G}_i(L)| + 1 < |\mathcal{F}_1(R)| + \sum_{i=2}^p |\mathcal{F}_i(L)| + 1 = |\mathcal{A}_0| + |\mathcal{B}_0|$$

and hence, since  $|\mathcal{A}| + |\mathcal{B}| \leq |\mathcal{C}_0| + |\mathcal{D}_0|$ , (1) holds again.  $\square$

Something common to the cip  $(\mathcal{A}_1, \mathcal{B}_1)$  in the above proof and the extremal structures determined in Theorems 1.2 and 1.4 is that the first family in the pair is centred. We conjecture that there always exist cip's  $(\mathcal{A}, \mathcal{B})$  with  $\mathcal{A}$  centred that are extremal under the conditions we have been considering, where by extremal we mean that  $|\mathcal{A}| + |\mathcal{B}|$  is a maximum.

**Conjecture 2.2 (Weak Form)** *If  $r \leq s$  and  $\mathcal{H}$  is a hereditary family with  $\mu(\mathcal{H}) \geq r + s$ , then for some  $(\mathcal{A}_0, \mathcal{B}_0) \in C(\mathcal{H}, r, s)$ ,  $\mathcal{A}_0$  is centred.*

**Conjecture 2.3 (Strong Form)** *If  $r \leq s$  and  $\mathcal{H}$  is a hereditary family with  $\mu(\mathcal{H}) \geq r + s$ , then there exists a set  $H$  in  $\mathcal{H}$  with  $1 \leq |H| \leq r$  such that for some  $(\mathcal{A}_0, \mathcal{B}_0) \in C(\mathcal{H}, r, s)$ ,  $\mathcal{A}_0 = \{A \in \mathcal{H}^{(r)} : H \subseteq A\}$  and  $\mathcal{B}_0 = \{B \in \mathcal{H}^{(s)} : B \cap H \neq \emptyset\}$ .*

Note that the families  $\mathcal{A}_1$  and  $\mathcal{B}_1$  in the proof of Proposition 2.1 have the structure of  $\mathcal{A}_0$  and  $\mathcal{B}_0$  in the above conjecture.

### 3 Some tools

This section provides the main tools we need for the proof of Theorem 1.2. We start with a crucial lemma concerning the levels of a hereditary family (see [1, Corollary 3.2]).

**Lemma 3.1 (Borg [1])** *If  $\mathcal{H}$  is a hereditary family and  $r < s \leq \mu(\mathcal{H}) - r$ , then*

$$|\mathcal{H}^{(r)}| \leq \frac{\binom{s}{s-r}}{\binom{\mu(\mathcal{H})-r}{s-r}} |\mathcal{H}^{(s)}|.$$

The following is our second important lemma, which purely concerns the parameter  $\mu(\mathcal{F})$  of a family  $\mathcal{F}$ .

**Lemma 3.2** *Let  $\emptyset \neq \mathcal{F} \subseteq 2^{[n]}$  and  $a \in [n]$ . Let  $\mathcal{D} := \mathcal{F} \setminus \mathcal{F}(a)$  and  $\mathcal{E} := \mathcal{F} \setminus \mathcal{F}(n)$ .*

- (i) If  $\mathcal{F}(a) \neq \emptyset$ , then  $\mu(\mathcal{F}\langle a \rangle) \geq \mu(\mathcal{F}) - 1$ .*
- (ii) If  $\mathcal{F}$  is hereditary, then  $\mu(\mathcal{D}) \geq \mu(\mathcal{F}) - 1$ .*
- (iii) If  $\mathcal{F}$  is compressed and  $[n] \notin \mathcal{F}$ , then  $\mu(\mathcal{E}) \geq \mu(\mathcal{F})$ .*

**Proof.** Suppose  $\mathcal{F}(a) \neq \emptyset$ . Let  $M$  be an  $\mathcal{F}\langle a \rangle$ -maximal set in  $\mathcal{F}\langle a \rangle$ . Then  $M' := M \cup \{a\}$  is an  $\mathcal{F}$ -maximal set in  $\mathcal{F}$ . So  $|M| = |M'| - 1 \geq \mu(\mathcal{F}) - 1$ . Hence (i).

Suppose  $\mathcal{F}$  is hereditary. Then, since  $\mathcal{F} \neq \emptyset$ ,  $\emptyset \in \mathcal{F}$ . So  $\mathcal{D} \neq \emptyset$ . Let  $M$  be a  $\mathcal{D}$ -maximal set in  $\mathcal{D}$ . Suppose also that  $|M| < \mu(\mathcal{F})$ . So  $M$  is not  $\mathcal{F}$ -maximal, and hence there exists a set  $M' \in \mathcal{F}(a)$  such that  $M \subset M'$  and  $M'$  is  $\mathcal{F}$ -maximal. Since  $\mathcal{F}$  is hereditary,  $M'' := M' \setminus \{a\} \in \mathcal{F}$ . Since  $M$  is  $\mathcal{D}$ -maximal and  $M \subseteq M'' \in \mathcal{D}$ ,  $M = M''$ . So  $M' = M \cup \{a\}$ . Therefore  $|M| = |M'| - 1 \geq \mu(\mathcal{F}) - 1$ . Hence (ii).

Suppose  $\mathcal{F}$  is compressed and  $[n] \notin \mathcal{F}$ . Let  $M$  be an  $\mathcal{E}$ -maximal set in  $\mathcal{E}$ . Suppose  $|M| < \mu(\mathcal{F})$ . Then there exists a set  $M' \in \mathcal{F}(n)$  such that  $M \subset M'$ . Since  $[n] \notin \mathcal{F}$ ,  $X := [n] \setminus M' \neq \emptyset$ . Let  $x \in X$  and  $M'' := \delta_{x,n}(M') = (M' \setminus \{n\}) \cup \{x\}$ . Since  $\mathcal{F}$  is compressed,  $M'' \in \mathcal{F}$ . But then  $M \subsetneq M'' \in \mathcal{E}$ , a contradiction (as  $M$  is  $\mathcal{E}$ -maximal). So  $|M| \geq \mu(\mathcal{F})$ . Hence (iii).  $\square$

We remark that the inequalities above cannot be replaced by equalities. An example for (iii) is that if  $n \geq 3$  and  $\mathcal{F}$  is the compressed (hereditary) family  $2^{[n-1]} \cup 2^{[n-3] \cup \{n\}}$ , then  $\mu(\mathcal{E}) = n - 1 > n - 2 = \mu(\mathcal{F})$ .

We shall say that a family  $\mathcal{F} \subseteq 2^{[n]}$  is *quasi-compressed* if  $\delta_{i,j}(F) \in \mathcal{F}$  for any  $F \in \mathcal{F}$  and any  $i, j \in U(\mathcal{F})$  with  $i < j$ . Therefore a quasi-compressed family  $\mathcal{F} \subseteq 2^{[n]}$  is isomorphic to a compressed sub-family of  $2^{[|U(\mathcal{F})|]}$ , and the isomorphism is induced by the bijection  $\beta: U(\mathcal{F}) \rightarrow [|U(\mathcal{F})|]$  defined by  $\beta(u_i) := i$ ,  $i = 1, \dots, |U(\mathcal{F})|$ , where  $\{u_1, \dots, u_{|U(\mathcal{F})|}\} = U(\mathcal{F})$  and  $u_1 < \dots < u_{|U(\mathcal{F})|}$ .

The next lemma is straightforward, so we omit its proof.

**Lemma 3.3** *Let  $\mathcal{H} \subseteq 2^{[n]}$  and  $a \in [n]$ .*

- (i) If  $\mathcal{H}$  is hereditary, then  $\mathcal{H} \setminus \mathcal{H}(a)$  and  $\mathcal{H}\langle a \rangle$  are hereditary.*
- (ii) If  $\mathcal{H}$  is quasi-compressed, then  $\mathcal{H} \setminus \mathcal{H}(a)$  and  $\mathcal{H}\langle a \rangle$  are quasi-compressed.*

We shall frequently use the following property of quasi-compressed families.

**Lemma 3.4** *Let  $\mathcal{F} \subseteq 2^{[n]}$  be a quasi-compressed family with  $U(\mathcal{F}) \neq \emptyset$ . Let  $Z \subseteq [n]$  and let  $i, j \in U(\mathcal{F})$ ,  $i \leq j$ . Then  $|\mathcal{F}(Z)| \leq |\mathcal{F}(\delta_{i,j}(Z))|$ .*

**Proof.** Let  $Y := \delta_{i,j}(Z)$ . Suppose  $Y \neq Z$ , and let  $W := Z \cap Y$ . Then  $i < j$ ,  $Z = W \cup \{j\} \neq W$  and  $Y = W \cup \{i\} \neq W$ . Let  $\mathcal{D} := \{F \in \mathcal{F} : i \in F, F \cap W = \emptyset\}$ ,  $\mathcal{E} := \{F \in \mathcal{F} : j \in F, F \cap W = \emptyset\}$ . Since  $\mathcal{F}$  is quasi-compressed and  $i, j \in U(\mathcal{F})$ , we have  $\Delta_{i,j}(\mathcal{E} \setminus \mathcal{E}(i)) \subseteq \mathcal{D} \setminus \mathcal{D}(j)$ ; so  $|\mathcal{D} \setminus \mathcal{D}(j)| \geq |\Delta_{i,j}(\mathcal{E} \setminus \mathcal{E}(i))| = |\mathcal{E} \setminus \mathcal{E}(i)|$ . Note that  $\mathcal{D}(j) = \mathcal{E}(i)$ . Thus, since  $|\mathcal{F}(Y)| - |\mathcal{F}(Z)| = (|\mathcal{F}(W)| + |\mathcal{D}|) - (|\mathcal{F}(W)| + |\mathcal{E}|) = (|\mathcal{D}(j)| + |\mathcal{D} \setminus \mathcal{D}(j)|) - (|\mathcal{E}(i)| + |\mathcal{E} \setminus \mathcal{E}(i)|) = |\mathcal{D} \setminus \mathcal{D}(j)| - |\mathcal{E} \setminus \mathcal{E}(i)| \geq 0$ , the result follows.  $\square$

For a set  $X := \{x_1, \dots, x_n\} \subset \mathbb{N}$  with  $x_1 < \dots < x_n$  and  $r \in [n]$ , call  $\{x_1, \dots, x_r\}$  the *initial  $r$ -segment* of  $X$ . For convenience, we call  $\emptyset$  the *initial 0-segment* of  $X$ .

**Corollary 3.5** *Let  $\mathcal{F} \subseteq 2^{[n]}$  be quasi-compressed. Let  $\emptyset \neq Z \subseteq [n]$  and let  $Y \in \binom{[n]}{|Z|}$  such that  $Y$  contains the initial  $|Z \cap U(\mathcal{F})|$ -segment of  $U(\mathcal{F})$ . Then  $|\mathcal{F}(Z)| \leq |\mathcal{F}(Y)|$ .*

**Proof.** Let  $Z' := Z \cap U(\mathcal{F})$ . If  $Z' = \emptyset$  then  $|\mathcal{F}(Z)| = 0 \leq |\mathcal{F}(Y)|$ . Suppose  $Z' \neq \emptyset$ . Let  $Y'$  be the initial  $|Z'|$ -segment of  $U(\mathcal{F})$ . Since  $\mathcal{F}$  is quasi-compressed and  $Z' \subseteq U(\mathcal{F})$ , we can construct a composition of compressions  $\delta_{i,j}$  with  $i, j \in U(\mathcal{F})$ ,  $i \leq j$ , that yields  $Y'$  when applied on  $Z'$ . Thus  $|\mathcal{F}(Z')| \leq |\mathcal{F}(Y')|$  by repeated application of Lemma 3.4. Since  $Y' \subseteq Y$  and  $|\mathcal{F}(Z)| = |\mathcal{F}(Z')|$ , we have  $|\mathcal{F}(Z)| \leq |\mathcal{F}(Y')| \leq |\mathcal{F}(Y)|$ .  $\square$

The following is a well-known fundamental property of compressions that emerged in [4] and that is not difficult to prove.

**Lemma 3.6** *If  $\mathcal{A} \subset 2^{[n]}$  is intersecting and  $i, j \in [n]$ , then  $\Delta_{i,j}(\mathcal{A})$  is intersecting.*

## 4 Proof of Theorem 1.2

**Lemma 4.1** *Let  $r, s, n$  and  $\mathcal{H}$  be as in Theorem 1.2, and let  $(\mathcal{A}, \mathcal{B})$  be a cip with  $\emptyset \neq \mathcal{A} \subset \mathcal{H}^{(r)}$  and  $\emptyset \neq \mathcal{B} \subset \mathcal{H}^{(s)}$ . Let  $1 \leq i < j \leq n$ . Then:*

- (i)  $\Delta_{i,j}(\mathcal{A})$  and  $\Delta_{i,j}(\mathcal{B})$  are cross-intersecting;
- (ii) if either  $\Delta_{m,n}(\mathcal{A}) = \mathcal{A}$  for all  $m \in [n-1]$  or  $\Delta_{m,n}(\mathcal{B}) = \mathcal{B}$  for all  $m \in [n-1]$ , then  $(A \cap B) \setminus \{n\} \neq \emptyset$  for any  $A \in \mathcal{A}$  and  $B \in \mathcal{B}$ .

**Proof.** Let  $\mathcal{A}' := \{A \cup \{n+1\} : A \in \mathcal{A}\}$ ,  $\mathcal{A}'' := \{A^* \cup \{n+1\} : A^* \in \Delta_{i,j}(\mathcal{A})\}$ ,  $\mathcal{B}' := \{B \cup \{n+2\} : B \in \mathcal{B}\}$ ,  $\mathcal{B}'' := \{B^* \cup \{n+2\} : B^* \in \Delta_{i,j}(\mathcal{B})\}$ . Clearly, the family  $\mathcal{C} := \mathcal{A}' \cup \mathcal{B}'$  is intersecting, and hence  $\Delta_{i,j}(\mathcal{C})$  is intersecting by Lemma 3.6. Since  $\Delta_{i,j}(\mathcal{C}) = \mathcal{A}'' \cup \mathcal{B}''$ , (i) clearly follows.

Suppose without loss of generality that  $\Delta_{m,n}(\mathcal{A}) = \mathcal{A}$  for all  $m \in [n-1]$ . Suppose  $A \cap B = \{n\}$  for some  $A \in \mathcal{A}$  and  $B \in \mathcal{B}$ . Then, since  $|(A \cup B) \setminus \{n\}| = r + s - 2 < n - 1$ , the set  $X := [n-1] \setminus (A \cup B)$  is non-empty. Let  $x \in X$ . Since  $\Delta_{x,n}(\mathcal{A}) = \mathcal{A}$ ,  $\delta_{x,n}(A) \in \mathcal{A}$ . But  $\delta_{x,n}(A) \cap B = \emptyset$ , a contradiction. Hence (ii).  $\square$

**Proof of Theorem 1.2.** Let  $R := [r]$  and let  $(\mathcal{A}_0, \mathcal{B}_0)$  be the simple cip  $(\{R\}, \mathcal{H}^{(s)}(R))$ . We clearly have  $[\mu(\mathcal{H})] \in \mathcal{H}$  (since  $\mathcal{H}$  is compressed) and hence

$$2^{[\mu(\mathcal{H})]} \subseteq \mathcal{H} \tag{2}$$

(since  $\mathcal{H}$  is hereditary). So  $R \in \mathcal{H}^{(r)}$ . We therefore have  $\emptyset \neq \mathcal{A}_0 \subset \mathcal{H}^{(r)}$  and  $\emptyset \neq \mathcal{B}_0 \subset \mathcal{H}^{(s)}$ . It remains to show that  $|\mathcal{A}| + |\mathcal{B}| \leq |\mathcal{A}_0| + |\mathcal{B}_0|$  for any cip  $(\mathcal{A}, \mathcal{B})$  with  $\emptyset \neq \mathcal{A} \subset \mathcal{H}^{(r)}$  and  $\emptyset \neq \mathcal{B} \subset \mathcal{H}^{(s)}$ , and we do this using induction on  $r$ .

Consider the base case  $r = 1$ . By Theorem 1.4, there exists a (single-element) set  $Z \in \mathcal{H}^{(1)}$  such that  $(\{Z\}, \mathcal{H}^{(s)}(Z)) \in C(\mathcal{H}, 1, s)$  and hence  $|\mathcal{A}| + |\mathcal{B}| \leq 1 + |\mathcal{H}^{(s)}(Z)|$ . By Corollary 3.5,  $|\mathcal{H}^{(s)}(Z)| \leq |\mathcal{B}_0|$ . So  $|\mathcal{A}| + |\mathcal{B}| \leq |\mathcal{A}_0| + |\mathcal{B}_0|$ .

Now consider  $r \geq 2$ . Suppose  $n = r + s$ . So  $\mu(\mathcal{H}) = n$  and hence  $[n] \in \mathcal{H}$ . Thus, since  $\mathcal{H}$  is hereditary,  $\mathcal{H}^{(p)} = \binom{[n]}{p}$  for each  $p \in [n]$ . Having  $n = r + s$  means that for every  $A \in \binom{[n]}{r}$  there is only one set  $B \in \binom{[n]}{s}$  such that  $A \cap B = \emptyset$ , so  $|\mathcal{A}| + |\mathcal{B}| \leq |\mathcal{A}| + \left(\binom{[n]}{s} - |\mathcal{A}|\right) = |\mathcal{A}_0| + |\mathcal{B}_0|$ .

We now consider  $n \geq r + s + 1$  and proceed by induction on  $n$ . Let  $n' := n - 1$ .

In view of Lemma 4.1(i) and the assumption that  $\mathcal{H}$  is compressed, if  $\Delta_{m,n}(\mathcal{A}) \neq \mathcal{A}$  or  $\Delta_{m,n}(\mathcal{B}) \neq \mathcal{B}$  for some  $m \in [n - 1]$ , then we can replace  $\mathcal{A}$  and  $\mathcal{B}$  by  $\mathcal{A}' := \Delta_{m,n}(\mathcal{A})$  and  $\mathcal{B}' := \Delta_{m,n}(\mathcal{B})$ , respectively, and repeat the procedure until we obtain families  $\mathcal{A}^* \subset \mathcal{H}^{(r)}$  and  $\mathcal{B}^* \subset \mathcal{H}^{(s)}$  such that  $\Delta_{m,n}(\mathcal{A}^*) = \mathcal{A}^*$  and  $\Delta_{m,n}(\mathcal{B}^*) = \mathcal{B}^*$  for all  $m \in [n - 1]$  (it is well-known and easy to see that such a procedure indeed takes a finite number of steps). We can therefore assume that

$$\Delta_{m,n}(\mathcal{A}) = \mathcal{A} \text{ and } \Delta_{m,n}(\mathcal{B}) = \mathcal{B} \text{ for all } m \in [n - 1]. \quad (3)$$

Thus, by Lemma 4.1(ii),

$$(A \cap B) \setminus \{n\} \neq \emptyset \text{ for any } A \in \mathcal{A} \text{ and } B \in \mathcal{B}. \quad (4)$$

Let  $\mathcal{I} := \mathcal{H} \setminus \mathcal{H}(n) = \{H \in \mathcal{H} : n \notin H\}$ . Similarly, let  $\mathcal{C} := \mathcal{A} \setminus \mathcal{A}(n)$ ,  $\mathcal{D} := \mathcal{B} \setminus \mathcal{B}(n)$ ,  $\mathcal{E} := \mathcal{B}_0 \setminus \mathcal{B}_0(n)$ . So  $\mathcal{C} \subset \mathcal{I}^{(r)}$  and  $\mathcal{D}, \mathcal{E} \subset \mathcal{I}^{(s)}$ . Note that  $\mathcal{C} \neq \emptyset$  and  $\mathcal{D} \neq \emptyset$  by (3). Since  $\mathcal{H}$  is hereditary, if  $[n] \in \mathcal{H}$  then  $\mu(\mathcal{I}) = n - 1$ . Thus, if  $[n] \in \mathcal{H}$  then  $\mu(\mathcal{I}) \geq r + s$ , and if  $[n] \notin \mathcal{H}$  then, since  $\mu(\mathcal{H}) \geq r + s$ , it follows by Lemma 3.2(iii) that  $\mu(\mathcal{I}) \geq r + s$ . Clearly  $\mathcal{I}$  is a compressed hereditary sub-family of  $2^{[n-1]}$ . Therefore, by the inductive hypothesis,

$$|\mathcal{C}| + |\mathcal{D}| \leq |\mathcal{A}_0| + |\mathcal{E}|. \quad (5)$$

Let  $\mathcal{J} := \mathcal{H}\langle n \rangle$ . Clearly  $\mathcal{J}$  is a compressed hereditary sub-family of  $2^{[n-1]}$ , and  $\mu(\mathcal{J}) \geq \mu(\mathcal{H}) - 1$  by Lemma 3.2(i). Let  $r' := r - 1$  and  $s' := s - 1$ . So

$$r' \leq s' \text{ and } \mu(\mathcal{J}) \geq \mu(\mathcal{H}) - 1 \geq r + s - 1 > r' + s'. \quad (6)$$

We have  $\mathcal{A}\langle n \rangle \subset \mathcal{J}^{(r')}$  and  $\mathcal{B}\langle n \rangle \subset \mathcal{J}^{(s')}$ . By (4),  $\mathcal{A}\langle n \rangle$  and  $\mathcal{B}\langle n \rangle$  are cross-intersecting.

Suppose  $\mathcal{A}\langle n \rangle \neq \emptyset$  and  $\mathcal{B}\langle n \rangle \neq \emptyset$ . Let  $R' := [r'] = R \setminus \{r\}$ . By the inductive hypothesis,  $|\mathcal{A}\langle n \rangle| + |\mathcal{B}\langle n \rangle| \leq 1 + |\mathcal{J}^{(s')}(R')|$ . Similarly to (2),  $2^{[\mu(\mathcal{J})]} \subseteq \mathcal{J}$ ; so  $\binom{[\mu(\mathcal{J})]}{s'} \subseteq \mathcal{J}^{(s')}$ . Since  $\mathcal{B}_0\langle n \rangle = \mathcal{J}^{(s')}(R)$ ,

$$\begin{aligned} |\mathcal{B}_0\langle n \rangle| &= \left| \mathcal{J}^{(s')}(R') \right| + \left| \left\{ B \in \mathcal{J}^{(s')} : B \cap R' = \emptyset, r \in B \right\} \right| \\ &\geq \left| \mathcal{J}^{(s')}(R') \right| + \left| \left\{ B \in \binom{[\mu(\mathcal{J})]}{s'} \setminus R' : r \in B \right\} \right| = \left| \mathcal{J}^{(s')}(R') \right| + \binom{\mu(\mathcal{J}) - r' - 1}{s' - 1} \end{aligned}$$

and hence, by (6),  $|\mathcal{B}_0\langle n \rangle| \geq |\mathcal{J}^{(s')}(R')| + 1$ . So  $|\mathcal{A}\langle n \rangle| + |\mathcal{B}\langle n \rangle| \leq |\mathcal{B}_0\langle n \rangle|$ . Since  $|\mathcal{A}| + |\mathcal{B}| = |\mathcal{C}| + |\mathcal{D}| + |\mathcal{A}\langle n \rangle| + |\mathcal{B}\langle n \rangle|$ , (5) and the last inequality give us  $|\mathcal{A}| + |\mathcal{B}| \leq |\mathcal{A}_0| + |\mathcal{E}| + |\mathcal{B}_0\langle n \rangle| = |\mathcal{A}_0| + |\mathcal{B}_0|$ .

Next, suppose  $\mathcal{A}\langle n \rangle = \emptyset$ . Let  $A \in \mathcal{C}$  (recall that  $\mathcal{C} \neq \emptyset$ ). By (4),  $|\mathcal{B}\langle n \rangle| \leq |\mathcal{J}^{(s')}(A)|$ . It is easy to see that  $U(\mathcal{J}^{(s')}) = [l]$  for some  $l \in [n']$  (since  $\mathcal{J}$  is compressed); so  $|\mathcal{J}^{(s')}(A)| \leq |\mathcal{J}^{(s')}(R)|$  by Corollary 3.5. Since  $|\mathcal{A}| + |\mathcal{B}| = |\mathcal{C}| + |\mathcal{D}| + |\mathcal{A}\langle n \rangle| + |\mathcal{B}\langle n \rangle|$ , where  $\mathcal{A}\langle n \rangle = \emptyset$  and  $|\mathcal{B}\langle n \rangle| \leq |\mathcal{J}^{(s')}(R)| = |\mathcal{B}_0\langle n \rangle|$ , it follows by (5) that  $|\mathcal{A}| + |\mathcal{B}| \leq |\mathcal{A}_0| + |\mathcal{B}_0|$ .

Finally, suppose  $\mathcal{B}\langle n \rangle = \emptyset$ . If  $r' = s'$  (i.e.  $r = s$ ) then  $|\mathcal{A}| + |\mathcal{B}| \leq |\mathcal{A}_0| + |\mathcal{B}_0|$  follows by an argument similar to the one for the previous case. Suppose  $r' < s'$ . Let  $\mathcal{K}_0 := \mathcal{J} \setminus \mathcal{J}(1) := \{J \in \mathcal{J} : 1 \notin J\}$  and  $\mathcal{K}_1 := \mathcal{J}(1)$ . So  $\mathcal{K}_0, \mathcal{K}_1 \subseteq 2^{[2, n-1]}$ . By Lemma 3.3,  $\mathcal{K}_0$  and  $\mathcal{K}_1$  are hereditary and quasi-compressed. By (i) and (ii) of Lemma 3.2,  $\mu(\mathcal{K}_0) \geq \mu(\mathcal{J}) - 1$  and  $\mu(\mathcal{K}_1) \geq \mu(\mathcal{J}) - 1$ . Thus, by (6),  $\mu(\mathcal{K}_0) \geq r' + s'$ . Let  $R^* := [2, r]$  and  $S^* := [2, s]$ . It is clear from (2) that  $R^*, S^* \in \mathcal{K}_0$ . Note that therefore  $R^*$  and  $S^*$  are initial segments of  $U(\mathcal{K}_0)$ . Since  $(\mathcal{K}_0^{(r')}(S^*), \{S^*\})$  is a cip with the first family contained in  $\mathcal{K}_0^{(r')}$  and the second family contained in  $\mathcal{K}_0^{(s')}$ , the inductive hypothesis gives us  $|\mathcal{K}_0^{(r')}(S^*)| + |\{S^*\}| \leq |\{R^*\}| + |\mathcal{K}_0^{(s')}(R^*)|$  and hence

$$|\mathcal{K}_0^{(r')}(S^*)| \leq |\mathcal{K}_0^{(s')}(R^*)|. \quad (7)$$

Let  $\mathcal{L}_0 := \{A \in \mathcal{J}^{(r')}(S) : 1 \notin A\}$  and  $\mathcal{L}_1 := \{A \setminus \{1\} : 1 \in A \in \mathcal{J}^{(r')}(S)\}$ . Let  $\mathcal{M}_0 := \{B \in \mathcal{B}_0\langle n \rangle : 1 \notin B\}$  and  $\mathcal{M}_1 := \{B \setminus \{1\} : 1 \in B \in \mathcal{B}_0\langle n \rangle\}$ . Note that  $\mathcal{L}_0 = \mathcal{K}_0^{(r')}(S^*)$  and  $\mathcal{M}_0 = \mathcal{K}_0^{(s')}(R^*)$ . So  $|\mathcal{L}_0| \leq |\mathcal{M}_0|$  by (7). Let  $r'' := r' - 1$  and  $s'' := s' - 1$ . Similarly to (6),  $\mu(\mathcal{K}_1) > r'' + s''$ . By Lemma 3.1,  $|\mathcal{K}_1^{(r'')}| < |\mathcal{K}_1^{(s'')}|$ . Thus, since  $\mathcal{L}_1 = \mathcal{K}_1^{(r'')}$  and  $\mathcal{M}_1 = \mathcal{K}_1^{(s'')}$ ,  $|\mathcal{L}_1| < |\mathcal{M}_1|$ . We therefore have

$$|\mathcal{J}^{(r')}(S)| = |\mathcal{L}_0| + |\mathcal{L}_1| < |\mathcal{M}_0| + |\mathcal{M}_1| = |\mathcal{B}_0\langle n \rangle|. \quad (8)$$

Now let  $D \in \mathcal{D}$ . By (4),  $|\mathcal{A}\langle n \rangle| \leq |\mathcal{J}^{(r')}(D)|$ . It is easy to see that  $U(\mathcal{J}^{(r')}) = [l]$  for some  $l \in [n']$  (since  $\mathcal{J}$  is compressed); so  $|\mathcal{J}^{(r')}(D)| \leq |\mathcal{J}^{(r')}(S)|$  by Corollary 3.5. Thus, by (8),  $|\mathcal{A}\langle n \rangle| < |\mathcal{B}_0\langle n \rangle|$ . Together with (5) and  $\mathcal{B}\langle n \rangle = \emptyset$ , this gives us  $|\mathcal{A}| + |\mathcal{B}| < |\mathcal{A}_0| + |\mathcal{B}_0|$ .  $\square$

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