

k -fold Sidon sets

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Abstract

Let $k \geq 1$ be an integer. A set $A \subset \mathbb{Z}$ is a k -fold Sidon set if A has only trivial solutions to each equation of the form $c_1x_1 + c_2x_2 + c_3x_3 + c_4x_4 = 0$ where $0 \leq |c_i| \leq k$, and $c_1 + c_2 + c_3 + c_4 = 0$. We prove that for any integer $k \geq 1$, a k -fold Sidon set $A \subset [N]$ has at most $(N/k)^{1/2} + O((Nk)^{1/4})$ elements. Indeed we prove that given any k positive integers $c_1 < \dots < c_k$, any set $A \subset [N]$ that contains only trivial solutions to $c_i(x_1 - x_2) = c_j(x_3 - x_4)$ for each $1 \leq i \leq j \leq k$, has at most $(N/k)^{1/2} + O((c_k^2 N/k)^{1/4})$ elements. On the other hand, for any $k \geq 2$ we can exhibit k positive integers c_1, \dots, c_k and a set $A \subset [N]$ with $|A| \geq (\frac{1}{k} + o(1))N^{1/2}$, such that A has only trivial solutions to $c_i(x_1 - x_2) = c_j(x_3 - x_4)$ for each $1 \leq i \leq j \leq k$.

Keywords: Sidon sets, k -fold Sidon sets

1 Introduction

Let Γ be an abelian group. A set $A \subset \Gamma$ is a Sidon set if $a + b = c + d$ and $a, b, c, d \in A$ implies $\{a, b\} = \{c, d\}$. Sidon sets in \mathbb{Z} and in the group $\mathbb{Z}_N := \mathbb{Z}/N\mathbb{Z}$ have been studied extensively. Erdős and Turán [5] proved that a Sidon set $A \subset [N]$ has at most $N^{1/2} + O(N^{1/4})$ elements. Constructions of Singer [10], Bose and Chowla [2], and Ruzsa [9] show that this upper bound is asymptotically best possible. It is a prize problem of Erdős [4] to determine whether or not the error term is bounded. For more on Sidon sets we recommend O'Bryant's survey [8].

Let

$$c_1x_1 + \dots + c_r x_r = 0 \tag{1}$$

be an integer equation where $c_i \in \mathbb{Z} \setminus \{0\}$, and $c_1 + \dots + c_r = 0$. Call such an equation an *invariant equation*. A solution $(x_1, \dots, x_r) \in \mathbb{Z}^r$ to (1) is *trivial* if there is a partition of $\{1, \dots, r\}$ into nonempty sets T_1, \dots, T_m such that for every $1 \leq i \leq m$, we have

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$\sum_{j \in T_i} c_j = 0$, and $x_{j_1} = x_{j_2}$ whenever $j_1, j_2 \in T_i$. A natural extremal problem is to determine the maximum size of a set $A \subset [N]$ with only trivial solutions to (1). This problem was investigated in detail by Ruzsa [9]. One of the important open problems from [9] is the genus problem. Given an invariant equation $E : c_1x_1 + \dots + c_rx_r = 0$, the *genus* $g(E)$ is the largest integer m such that there is a partition of $\{1, \dots, r\}$ into nonempty sets T_1, \dots, T_m , such that $\sum_{j \in T_i} c_j = 0$ for $1 \leq i \leq m$. Ruzsa proved that if E is an invariant equation and $A \subset [N]$ has only trivial solutions to E , then $|A| \leq c_E N^{1/g(E)}$. Here c_E is a positive constant depending only on the equation E . Determining if there are sets $A \subset [N]$ with $|A| = N^{1/g(E)-o(1)}$ and having only trivial solutions to E is open for most equations. In particular, the genus problem is open for the equation $2x_1 + 2x_2 = 3x_3 + x_4$. This equation has genus 1 but the best known construction [9] gives a set $A \subset [N]$ with $|A| \geq cN^{1/2}$ where $c > 0$ is a positive constant. More generally, Ruzsa showed that for any four variable equation $E : c_1x_1 + c_2x_2 = c_3x_3 + c_4x_4$ with $c_1 + c_2 = c_3 + c_4$ and $c_i \in \mathbb{N}$, there is a set $A \subset [N]$ with only trivial solutions to E and $|A| \geq c_E N^{1/2-o(1)}$. In this paper we consider special types of four variable invariant equations.

Let $k \geq 1$ be an integer. A set $A \subset \mathbb{Z}$ is a *k-fold Sidon set* if A has only trivial solutions to each equation of the form

$$c_1x_1 + c_2x_2 + c_3x_3 + c_4x_4 = 0$$

where $0 \leq |c_i| \leq k$, and $c_1 + c_2 + c_3 + c_4 = 0$. A 1-fold Sidon set is a Sidon set. A 2-fold Sidon set has only trivial solutions to each of the equations

$$x_1 + x_2 - x_3 - x_4 = 0, \quad 2x_1 + x_2 - 2x_3 - x_4 = 0, \quad 2x_1 - x_2 - x_3 = 0.$$

One can also define *k-fold Sidon sets* in \mathbb{Z}_N . We must add the condition that N is relatively prime to all integers in the set $\{1, 2, \dots, k\}$. The reason for this is that if a coefficient $c_i \in \{1, 2, \dots, k\}$ has a common factor with N , then in \mathbb{Z}_N one could have $c_i(a_1 - a_2) = 0$ with $a_1 \neq a_2$. In this case, if $|A| \geq 3$, we can choose $a_3 \in A \setminus \{a_1, a_2\}$, and obtain the nontrivial solution $(x_1, x_2, x_3, x_4) = (a_1, a_2, a_3, a_3)$ to the equation $c_i(x_1 - x_2) + x_3 - x_4 = 0$.

Lazebnik and Verstraëte [6] were the first to define *k-fold Sidon sets*. They conjectured the following.

Conjecture 1 (Lazebnik, Verstraëte [6]). For any integer $k \geq 3$, there is a positive constant $c_k > 0$ such that for all integers $N \geq 1$, there is a *k-fold Sidon set* $A \subset [N]$ with $|A| \geq c_k N^{1/2}$.

This conjecture is still open. Lazebnik and Verstraëte proved that for infinitely many N , there is a 2-fold Sidon set $A \subset \mathbb{Z}_N$ with $|A| \geq \frac{1}{2}N^{1/2} - 3$. Axenovich [1] and Verstraëte (unpublished) observed that one can adapt Ruzsa's construction for four variable equations (Theorem 7.3, [9]) to construct *k-fold Sidon sets* $A \subset [N]$ or $A \subset \mathbb{Z}_N$ with $|A| \geq c_k N^{1/2} e^{-c_k \sqrt{\log N}}$ for any $k \geq 3$. An affirmative answer to Conjecture 1, even in the case when $k = 3$, would have applications to hypergraph Turán problems [6] and extremal graph theory [11].

Since any k -fold Sidon set is a Sidon set, the trivial upper bound $|A| \leq \sqrt{N-3/4} + 1/2$ for a Sidon set $A \subset \mathbb{Z}_N$, and the Erdős-Turán bound $|A| \leq N^{1/2} + O(N^{1/4})$ for any Sidon set $A \subset [N]$, also hold for k -fold Sidon sets. We will obtain better upper bounds for k -fold Sidon sets. Instead of considering all the possible equations $c_1x_1 + c_2x_2 + c_3x_3 + c_4x_4 = 0$ with $c_1 + c_2 + c_3 + c_4 = 0$, we will take advantage only of the equations of the form

$$c_1(x_1 - x_2) = c_2(x_3 - x_4).$$

For any c_1, \dots, c_k with $(c_i, N) = 1$, if $A \subset \mathbb{Z}_N$ contains only trivial solutions to $c_i(x_1 - x_2) = c_j(x_3 - x_4)$ for each $1 \leq i \leq j \leq k$, then

$$|A| \leq \sqrt{\frac{N-1}{k} + \frac{1}{4}} + \frac{1}{2}. \quad (2)$$

To see this, consider all elements of the form $c_i(x - y)$ where $1 \leq i \leq k$, and $x \neq y$ are elements of A . All of these elements are distinct and nonzero. Therefore, $k|A|(|A| - 1) \leq N - 1$ which is equivalent to (2).

The short counting argument used to obtain (2) does not work in \mathbb{Z} . Using a more sophisticated argument, we can show that a bound similar to (2) does hold in \mathbb{Z} .

Theorem 2. *Let $k \geq 1$ be an integer and $1 \leq c_1 < c_2 < \dots < c_k$ be a set of k distinct integers. If $A \subset \mathbb{Z}_N$ is a set with only trivial solutions to $c_i(x_1 - x_2) = c_j(x_3 - x_4)$ for each $1 \leq i \leq j \leq k$, then*

$$|A| \leq \left(\frac{N}{k}\right)^{1/2} + O\left(\left(\frac{c_k^2 N}{k}\right)^{1/4}\right).$$

Taking $c_j = j$ for $1 \leq j \leq k$, we have the following corollary.

Corollary 3. *If $k \geq 1$ is an integer and $A \subset [N]$ is a k -fold Sidon set, then*

$$|A| \leq \left(\frac{N}{k}\right)^{1/2} + O((kN)^{1/4}).$$

It is natural to ask if we can improve Corollary 3 if we make full use of the assumption that A is a k -fold Sidon set. For example, the bound $|A| \leq (N/3)^{1/2} + O(N^{1/4})$ holds under the assumption that $A \subset [N]$ has only trivial solutions to $c_1(x_1 - x_2) = c_2(x_3 - x_4)$ for each $1 \leq c_1 \leq c_2 \leq 3$. A 3-fold Sidon set additionally has only trivial solutions to $2x_1 + 2x_2 = 3x_3 + x_4$. Our argument does not capture this property. It is not known if this additional assumption would improve the upper bound $|A| \leq (N/3)^{1/2} + O(N^{1/4})$.

The method used by Lazebnik and Verstraëte to construct 2-fold Sidon sets is rather robust. Using this method, we prove the following theorem.

Theorem 4. *There exist k distinct integers c_1, \dots, c_k and infinitely many N , such that there is a set $A \subset \mathbb{Z}_N$ with*

$$|A| \geq \frac{N^{1/2}}{k}(1 - o(1))$$

and having only trivial solutions to $c_i(x_1 - x_2) = c_j(x_3 - x_4)$ for each $1 \leq i \leq j \leq k$.

The next section contains the proof of Theorem 2. Section 3 contains the proof of Theorem 4.

2 Proof of Theorem 2

For finite sets $B, C \subset \mathbb{Z}$, define

$$r_{B-C}(x) = |\{(b, c) : b - c = x, b \in B, c \in C\}|.$$

The following useful lemma has appeared in the literature (see [3] or [9]).

Lemma 5. *For any finite sets $B, C \subset \mathbb{Z}$,*

$$\frac{(|B||C|)^2}{|B+C|} \leq |B||C| + \sum_{x \neq 0} r_{B-B}(x)r_{C-C}(x). \quad (3)$$

Proof. By Cauchy-Schwarz,

$$\begin{aligned} \frac{(|B||C|)^2}{|B+C|} &= \frac{(\sum_{x \in B+C} r_{B+C}(x))^2}{|B+C|} \leq \sum_x r_{B+C}^2(x) \\ &= \sum_x r_{B-B}(x)r_{C-C}(x) = |B||C| + \sum_{x \neq 0} r_{B-B}(x)r_{C-C}(x). \end{aligned}$$

□

Proof of Theorem 2. Let $1 \leq c_1 < c_2 < \dots < c_k$ be k distinct integers. Let $A \subset [N]$ be a set with only trivial solutions to $c_i(x_1 - x_2) = c_j(x_3 - x_4)$ for each $1 \leq i \leq j \leq k$. Let

$$B_{r,i} = \{x : c_r x + i \in A\}$$

for $1 \leq r \leq k$ and $0 \leq i \leq c_r - 1$. Therefore,

$$|A| = \sum_{i=0}^{c_r-1} |\{a \in A : a \equiv i \pmod{c_r}\}| = \sum_{i=0}^{c_r-1} |B_{r,i}|$$

so by Cauchy-Schwarz,

$$|A|^2 = \left(\sum_{i=0}^{c_r-1} |B_{r,i}| \right)^2 \leq c_r \sum_{i=0}^{c_r-1} |B_{r,i}|^2. \quad (4)$$

For any $y \neq 0$,

$$\sum_{r=1}^k \sum_{i=0}^{c_r-1} r_{B_{r,i}-B_{r,i}}(y) \leq 1. \quad (5)$$

To see this, suppose

$$y = x_1 - x_2 = x_3 - x_4 \quad (6)$$

where $x_1, x_2 \in B_{r,i}$ and $x_3, x_4 \in B_{r',i'}$ for some $1 \leq r, r' \leq k$, $1 \leq i \leq c_r - 1$, and $1 \leq i' \leq c_{r'} - 1$. There are elements $a_1, a_2, a_3, a_4 \in A$ such that

$$c_r x_1 + i = a_1, \quad c_r x_2 + i = a_2, \quad c_{r'} x_3 + i' = a_3, \quad \text{and} \quad c_{r'} x_4 + i' = a_4.$$

Then (6) implies

$$\frac{1}{c_r}(a_1 - i) - \frac{1}{c_r}(a_2 - i) = \frac{1}{c_{r'}}(a_3 - i') - \frac{1}{c_{r'}}(a_4 - i'),$$

thus $c_{r'}(a_1 - a_2) = c_r(a_3 - a_4)$. Since $y \neq 0$, we have $a_1 \neq a_2$ and $a_3 \neq a_4$ and the we would have a non trivial solution of the equation.

Let $C = \{0, 1, \dots, m - 1\}$. For any $1 \leq r \leq k$ and $0 \leq i \leq c_r - 1$, the set $B_{r,i} + C$ is contained in the interval $\{0, 1, \dots, N/c_r + m - 1\}$. This gives the trivial estimate $|B_{r,i} + C| \leq N/c_r + m$. By Lemma 5,

$$\frac{|B_{r,i}|^2 m^2}{N/c_r + m} \leq |B_{r,i}|m + \sum_{y \neq 0} r_{B_{r,i}-B_{r,i}}(y) r_{C-C}(y).$$

We sum this inequality over all $1 \leq r \leq k$ and $0 \leq i \leq c_r - 1$ to get

$$\begin{aligned} m^2 \sum_{r=1}^k \frac{1}{N/c_r + m} \sum_{i=0}^{c_r-1} |B_{r,i}|^2 &\leq \sum_{r=1}^k \sum_{i=0}^{c_r-1} |B_{r,i}|m \\ &+ \sum_{y \neq 0} \sum_{r=1}^k \sum_{i=0}^{c_r-1} r_{B_{r,i}-B_{r,i}}(y) r_{C-C}(y) \\ &\leq k|A|m + \sum_{y \neq 0} r_{C-C}(y) \\ &\leq m(k|A| + m). \end{aligned}$$

From (4) we deduce

$$m^2 |A|^2 \sum_{r=1}^k \frac{1}{N + c_r m} \leq m(k|A| + m). \quad (7)$$

The left hand side of (7) is at least $\frac{|A|^2 k m^2}{N + c_k m}$. Therefore, $\frac{|A|^2 k m}{N + c_k m} \leq k|A| + m$, and

$$|A|^2 k m \leq (N + c_k m)(m + k|A|).$$

From this inequality, we obtain

$$\begin{aligned} \left(|A| - \left(\frac{N}{2m} + \frac{c_k}{2} \right) \right)^2 &\leq \frac{N}{k} + \frac{c_k m}{k} + \left(\frac{N}{2m} + \frac{c_k}{2} \right)^2 \\ &\leq \frac{N}{k} + \frac{c_k m}{k} + \frac{N^2}{2m^2} + \frac{c_k^2}{2} \\ &= \frac{N}{k} \left(1 + \frac{c_k m}{N} + \frac{Nk}{2m^2} + \frac{k c_k^2}{2N} \right). \end{aligned}$$

Upon solving for $|A|$, we get

$$\begin{aligned} |A| &\leq \left(\frac{N}{k} \right)^{1/2} \left(1 + \frac{c_k m}{N} + \frac{Nk}{2m^2} + \frac{k c_k^2}{2N} \right) + \frac{N}{2m} + \frac{c_k}{2} \\ &\leq \left(\frac{N}{k} \right)^{1/2} + \frac{c_k m}{k^{1/2} N^{1/2}} + \frac{N^{3/2} k^{1/2}}{2m^2} + \frac{k^{1/2} c_k^2}{2N^{1/2}} + \frac{N}{2m} + \frac{c_k}{2}. \end{aligned}$$

Take $m = \lceil (N^{3/4}k^{1/4})/c_k^{1/2} \rceil$ to get $|A| \leq \left(\frac{N}{k}\right)^{1/2} + O((c_k^2 N/k)^{1/4})$. This completes the proof of Theorem 2. □

3 Proof of Theorem 4

Let $k \geq 2$ be an integer. Let p be a prime, and let $M \geq 1$ be a large integer. Let r be any prime with $r > Mk$. Let $i \geq 1$ be an integer, and set $t = r^i$ and $q = p^t$.

We will prove that for $c_j = p^{j-1}$ for $j = 1, \dots, k$ there exists a set $A \subset \mathbb{Z}_{q^2-1}$ with $|A| \geq \frac{q}{k} \left(1 - \frac{1}{M}\right) - (p^4 - 1)(M - 1)$ and having only trivial solutions to

$$x_1 - x_2 = p^{j-1}(x_3 - x_4)$$

for $1 \leq j \leq k$. This proves Theorem 4 because as i tends to infinity, the term $\frac{q}{k} \left(1 - \frac{1}{M}\right)$ is the dominant term. M can be taken as large as we want, and $(p^4 - 1)(M - 1)$ is constant with respect to i .

Let θ be a generator of the cyclic group $\mathbb{F}_{q^2}^*$. Bose and Chowla [2] proved that the set

$$C(q, \theta) = \{a \in \mathbb{Z}_{q^2-1} : \theta^a - \theta \in \mathbb{F}_q\}$$

is a Sidon set in \mathbb{Z}_{q^2-1} . Lindström [7] proved

$$B(q, \theta) = \{b \in \mathbb{Z}_{q^2-1} : \theta^b + \theta^{qb} = 1\}$$

is a translate of $C(q, \theta)$ and is therefore a Sidon set.

Lemma 6. *The map $x \mapsto px$ is an injection from \mathbb{Z}_{q^2-1} to \mathbb{Z}_{q^2-1} that maps $B(q, \theta)$ to $B(q, \theta)$.*

Proof. The map $x \mapsto px$ is 1-to-1 since p is relatively prime to $q^2 - 1$. If $b \in B(q, \theta)$, then

$$1 = (\theta^b + \theta^{qb})^p = \theta^{pb} + \theta^{q(pb)}$$

so $pb \in B(q, \theta)$. □

Let $\pi : B(q, \theta) \rightarrow B(q, \theta)$ be the permutation $\pi(b) = pb$. As in [6], we use the cycles of π to define A . Let $\sigma = (b_1, \dots, b_m)$ be a cycle of π . If $m < k$, then remove all elements of σ from $B(q, \theta)$. If $m \geq k$, then remove all b_j in σ for which j is not divisible by k . Do this for each cycle of π . Let A be the resulting subset of $B(q, \theta)$.

Lemma 7. *For each $c \in \{1, p, p^2, \dots, p^{k-1}\}$, A has only trivial solutions to*

$$x_1 - x_2 = c(x_3 - x_4).$$

Proof. Suppose $a_1, a_2, a_3, a_4 \in A$ and $a_1 - a_2 = p^j(a_3 - a_4)$ for some $0 \leq j \leq k - 1$. By Lemma 6, there are elements $b_3, b_4 \in B(q, \theta)$ such that $p^j a_3 = b_3$ and $p^j a_4 = b_4$. This gives $a_1 - a_2 = b_3 - b_4$. Since $B(q, \theta)$ is a Sidon set, either $a_1 = a_2, b_3 = b_4$ or $a_1 = b_3, a_2 = b_4$.

If $a_1 = a_2$ and $b_3 = b_4$, then $a_3 = a_4$ and the solution (a_1, a_2, a_3, a_4) is trivial. Suppose $a_1 = b_3$ and $a_2 = b_4$. This implies $b_3 \in A$, so both $p^j a_3$ and a_3 are in A . This contradicts the way in which A was constructed. \square

Lemma 8. $|A| \geq \frac{q}{k} \left(1 - \frac{1}{M}\right) - (p^4 - 1)(M - 1)$.

Proof. In order to obtain a lower bound on $|A|$, we need to estimate the number of cycles of π that are short. For instance, if all cycles of π have length less than k , then $|A| = 0$. For a cycle σ of π with length $mk \geq Mk$, we delete at most $m(k - 1)$ elements from $B(q, \theta)$ and keep at least $m - 1$ elements.

We estimate the number of cycles of length at most $Mk - 1$. Let $\sigma = (b, pb, \dots, p^{e-1}b)$ be a cycle of π of length e where $e \leq Mk - 1$. The integer e is the smallest positive integer such that $p^e b \equiv b \pmod{q^2 - 1}$. This is the same as saying that the order of p in the multiplicative group of units \mathbb{Z}_n^* is e where $n = \frac{q^2 - 1}{\gcd(b, q^2 - 1)}$. Since

$$p^{4t} - 1 = (p^{2t} - 1)(p^{2t} + 1) = (q^2 - 1)(p^{2t} + 1)$$

we have $p^{4t} \equiv 1 \pmod{q^2 - 1}$, so e must divide $4t = 4r^i$. Since r is prime and $r \geq Mk$, e cannot divide r , so e must divide 4. To count the number of cycles of π with length at most $Mk - 1$, it is enough to count the elements $x \in \mathbb{Z}_{q^2 - 1} \setminus \{0\}$ such that $p^4 x \equiv x \pmod{q^2 - 1}$. This follows from the fact that if $e \in \{1, 2\}$ and $p^e x \equiv x \pmod{q^2 - 1}$, then $p^4 x \equiv x \pmod{q^2 - 1}$. The number of solutions to this congruence is $\gcd(p^4 - 1, q^2 - 1) \leq p^4 - 1$. Therefore, there are at most $p^4 - 1$ cycles of π of length at most $Mk - 1$. For a cycle of length at least Mk , the proportion of elements of the cycle that are put into A is at least $\frac{M-1}{Mk}$ (the function $f(x) = \frac{x-1}{xk}$ is increasing provided $k > 0$). Since $|B(q, \theta)| = q$,

$$|A| \geq (q - (p^4 - 1)Mk) \left(\frac{M-1}{Mk}\right) = \frac{q}{k} \left(1 - \frac{1}{M}\right) - (p^4 - 1)(M - 1).$$

\square

Theorem 4 follows from Lemmas 7 and 8.

4 Concluding Remarks

The most important open problem concerning k -fold Sidon sets is an answer to Conjecture 1. The case $k = 3$ is particularly interesting. A 3-fold Sidon set $A \subset [N]$ with $|A| \geq cN^{1/2}$ is known to imply the existence of a graph with $c_1 N$ vertices, $c_2 N^{3/2}$ edges, and every edge is in exactly one cycle of length four [11].

Another problem is to determine the maximum size of a 2-fold Sidon set in \mathbb{Z}_N or $[N]$. Let $S_k(N)$ be the maximum size of a k -fold Sidon set in \mathbb{Z}_N . For any integer $t \geq 1$, there are 2-fold Sidon sets $A \subset \mathbb{Z}_N$, $N = 2^{2^{t+1}} + 2^{2^t} + 1$, with $|A| \geq \frac{1}{2}N^{1/2} - 3$ (see [6]). Theorem 2 gives an upper bound of $(N/2)^{1/2} + O(N^{1/4})$ so

$$\frac{1}{2} \leq \limsup_{N \rightarrow \infty} \frac{S_2(N)}{N^{1/2}} \leq \frac{1}{2^{1/2}}.$$

It would be interesting to determine the above limit. In the case of Sidon sets, we have $\limsup_{N \rightarrow \infty} \frac{S_1(N)}{N^{1/2}} = 1$ by [5] and [10].

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