Schreier graphs of an extended version of the binary adding machine

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Abstract

In this paper we give a complete classification of the infinite Schreier graphs of an automaton group generated by an extended version of the binary adding machine.

Keywords: Schreier graphs, ends, automata groups, bounded automaton.

1 Introduction

The motivation for this article is the paper [10] where R. Grigorchuk and V. Nekrashevych provide amenable actions of nonamenable groups by extending the action of a nonamenable group acting by automorphisms on a rooted tree (for example the Bellaterra group) to a larger tree in a suitable way. In this paper we consider a similar construction: we take the adding machine, isomorphic to \mathbb{Z} , which is the simplest finitely generated automaton group acting transitively on each level of the rooted binary tree. If a is the generator of the binary adding machine we extend its action on a ternary tree in such a way that whenever a reads the new symbol "2" then its action becomes trivial on the remaining word. In order to have transitivity we also add a new automorphism which generates the adding machine acting on the rooted ternary tree. The group G obtained by this construction is generated by the automorphisms a = (id, a, id)(01) and b = (id, id, b)(012). In our case, since the binary adding machine is generated by a bounded automaton then G is also generated by a bounded automaton [16], in particular G is amenable [1]. This way it is possible to define a new class of groups that can be regarded as extended versions of groups acting on a smaller tree and investigate the structure of the corresponding

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Schreier graphs, that represent the action on any level of the rooted tree, or can be defined through the vertex stabilizers. Passing to the boundary, G gives rise to uncountably many infinite Schreier graphs: the problem is to classify them. In this paper we give a complete (topological and isometric) classification of the infinite Schreier graphs of G, showing, in particular, that they are typically one ended (Theorem 3.6) and there are infinitely many isomorphism classes, each containing either one or two orbital graphs (Theorem 3.12). We think that most of the strategies used for the Schreier graphs of G can be exploited, at least for the topological classification, to study more sophisticated examples of such a construction, i.e. replacing the binary adding machine by another more complicated group (see, for instance Remark 3.13). On the other hand, the isomorphism problem can be directly treated in the case here discussed, because of the special structure of the two kinds of Schreier graphs involved in the construction. For more general examples the problem looks much more difficult. By the way it would be even interesting to apply our construction to examples of groups generated by non-bounded automata, such as Aleshyn free automaton or Bellaterra automaton. In this context one might discuss some questions related to Schreier graphs such as the existence of orbital graphs with different growth rate, the possibility of getting graphs of polynomial growth through the action of groups containing free subgroups, the construction of totally non-free boundary actions for groups which are not weakly regular branch [5, 9, 11].

It is worth mentioning here that the notion of Schreier graph is classical in group theory, and it corresponds to the action of a finitely generated group \mathfrak{G} on the set of cosets $\mathfrak{G}/\mathfrak{H}$ with respect to a subgroup \mathfrak{H} . In our context \mathfrak{H} represents the stabilizer of a vertex of the tree (finite Schreier graphs) or a vertex of the boundary of the (infinite Schreier graphs). From this description it is clear that, if a boundary point admits a trivial stabilizer the corresponding Schreier graph coincides with the Cayley graph of the group. In our case we can prove that no infinite Schreier graph equals the Cayley graph of G and moreover almost all stabilizers of boundary points are different. Connections between the structure of the Schreier graphs and properties of the generating group can be found in [8], where among other results the authors provide finiteness results in terms of boundary Schreier graphs by using the dual approach. In [15] V. Nekrashevych shows that if a group acting faithfully on a rooted tree has a free subgroup, then either there exists a point x of the boundary and a free subgroup with trivial stabilizer (and so the Schreier graph of x contains a subtree), or there exists a free subgroup fixing x and acting faithfully on arbitrarily small neighborhoods of x.

Our paper follows the results obtained for the Basilica group [6], for groups generated by bounded automata [4] and for a group generated by a linear automaton [3]. The study of Schreier graphs of some examples of automata groups was initiated by L. Bartholdi and R. Grigorchuk [2] in connection with the problem of determining the spectrum of the associated Laplace operator. Particularly interesting examples come from the class of self-similar groups, which are connected to self-similar sets via the notion of limit space, which is a compact space that can be associated with any contracting self-similar group [14]. A new interesting study of Schreier graphs appears in connection with colorings and subgroup dynamics [7, 9, 17].

2 Preliminaries: Automata groups

Let $X = \{0, 1, ..., q - 1\}$ denote an alphabet of q elements. We denote by X^n the set of words of length n in X and $X^* = \bigcup_n X^n$. The set of right (resp. left) infinite words is denoted by X^{ω} (resp. $X^{-\omega}$). The set X^* encodes the vertices of a rooted tree in a natural way and X^{ω} the relative boundary. An automorphism of X^* is a bijection preserving the adjacency relation in the corresponding tree. The action of an automorphism g on a vertex $v \in X^*$ is denoted by g(v). The orbit of v under g is $g \cdot v := \{g^n(v) : n \in \mathbb{Z}\}$.

An automaton is a quadruple $\mathcal{A} = (\mathcal{S}, X, \mu, \lambda)$, where: \mathcal{S} is a set, called set of states, X is an alphabet as before, $\mu: \mathcal{S} \times X \to \mathcal{S}$ is the transition map and $\lambda: \mathcal{S} \times X \to X$ is the output map. The automaton \mathcal{A} is said to be *finite* if \mathcal{S} is finite and it is said *invertible* if, for all $s \in \mathcal{S}$, the transformation $\lambda(s,\cdot): X \to X$ is a permutation of X. An automaton \mathcal{A} can be represented by its *Moore diagram*: a directed labelled graph whose vertices are identified with the states of \mathcal{A} . For every state $s \in \mathcal{S}$ and every letter $x \in X$, the diagram has an arrow from s to $\mu(s,x)$ labelled by $x|\lambda(s,x)$. A natural action on the words over X is induced, so that the maps μ and λ can be extended to $\mathcal{S} \times X^*$ as:

$$\mu(s, xw) = \mu(\mu(s, x), w) \tag{1}$$

$$\lambda(s, xw) = \lambda(s, x)\lambda(\mu(s, x), w), \tag{2}$$

by setting $\mu(s,\emptyset) = s$ and $\lambda(s,\emptyset) = \emptyset$, for all $s \in \mathcal{S}, x \in X$ and $w \in X^*$. Moreover, (2) uniquely defines a map $\lambda : \mathcal{S} \times X^{\omega} \to X^{\omega}$.

Fixed an initial state s in \mathcal{A} , the transformation \mathcal{A}_s on the set $X^* \cup X^\omega$ is induced by the recursion (2). More generally, given an invertible automaton $\mathcal{A} = (\mathcal{S}, X, \mu, \lambda)$, one can consider the group generated by the transformations \mathcal{A}_s , for $s \in \mathcal{S}$: this group is called the *automaton group* generated by \mathcal{A} and is denoted by $G(\mathcal{A})$. A state s in \mathcal{A} with the property that $\mu(s, w) = s$ and $\lambda(s, w) = w$, for all $w \in X^*$ is said to be trivial and it is usually denoted by id. Clearly \mathcal{A}_{id} represents in this case, the identity element in $G(\mathcal{A})$. The post-critical (resp. critical) set \mathcal{P} of the automaton \mathcal{A} is the set of left(resp. right)-infinite words $\dots x_2 x_1 \in X^{-\omega}$ (resp. $x_1 x_2 \dots \in X^{\omega}$) such that there exists a path $\dots e_2 e_1$ (resp. $e_1 e_2 \dots$) in the Moore diagram of \mathcal{A} , ending in a non-trivial state (resp. avoiding the trivial state) such that the word $\dots x_2 x_1$ (resp. $x_1 x_2 \dots$) can be read on the left (resp. the right) labels of the path $\dots e_2 e_1$ (resp. $e_1 e_2 \dots$). A finite invertible automaton is bounded if $|\mathcal{P}| < \infty$.

Groups generated by automata are also known as self-similar groups [14], when one wants to emphasize the action on the rooted tree. We can represent any element $s \in \mathcal{S}$ in its self-similar form $s = (s_0, s_1, \ldots, s_{q-1})\sigma$ where $s_i = \mu(s, i)$ and σ is the permutation induced by s and λ on X. This representation extends to all elements of $G = G(\mathcal{A})$ after embedding into the wreath product $G \wr_X S_q = (G^q) \rtimes S_q$, where S_q is the symmetric group on q elements.

3 Constructions

The adding machine \mathcal{G} is the automaton group isomorphic to \mathbb{Z} generated by the automaton in the left side of Figure 1 or, equivalently by a=(id,a)(01). This group acts on the binary tree and it is, in some sense, the simplest example of a self-similar spherically transitive infinite group. Let us introduce a new symbol "2", so that the new alphabet is $X=\{0,1,2\}$. We denote by b the new automorphism guaranteeing the transitivity: it acts as the adding machine b=(id,id,b)(012) on the ternary tree. The automorphism a keeps its action on the set $\{0,1\}^{\omega}$ and is such that $\lambda(a,2)=2$ and $\mu(a,2)=id$. In self-similar form we get a=(id,a,id)(01). Consider the automorphisms a=(id,a,id)(01) and b=(id,id,b)(012). Let G be the automaton group generated by a and b, see the right picture in Figure 1.

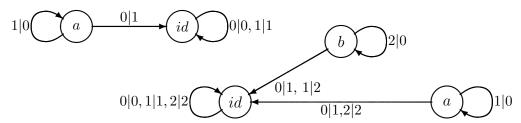


Figure 1. The automata generating the Adding machine and G

3.1 Schreier graphs: definitions

In this section we introduce some basic facts about Schreier graphs. Let G be a finitely generated group generated by a finite set S and suppose that $id \notin S$ and $S = S^{-1}$. Let G act faithfully on a set M. The Schreier graph $\Gamma = \Gamma(G, S, M)$ is the graph with vertices and edges given by $V(\Gamma) = M$ and $E(\Gamma) = \{(m, m') : \text{ there exists } s \in S \text{ such that } s(m) = m'\}$. In this case the edge (m, m') is labeled by s. In our classification we remove any label from the edges.

In our setting the group G acts on the set X^n , since G is transitive the corresponding Schreier graph $\Gamma_n = \Gamma(G, S, X^n)$ is connected, and it represents the orbital graph of (any) point v in X^n under the action of the generators.

Consider now the action of G on X^{ω} and the orbital Schreier graphs $\Gamma_{\xi} = \Gamma(G, S, G \cdot \xi)$, for $\xi = \xi_1 \xi_2 \dots \in X^{\omega}$. Put $\underline{\xi}_n = \xi_1 \xi_2 \dots \xi_n$. We recall that a marked graph (Γ, v) is a graph in which a vertex v is distinguished. It turns out that the graphs (Γ_{ξ}, ξ) are limits of the Schreier graphs $(\Gamma_n, \underline{\xi}_n)$ in the sense of the topology induced by the metric Dist on the space of marked graphs defined as follows [12]:

$$Dist((\Gamma_1, v_1), (\Gamma_2, v_2)) := \inf \left\{ \frac{1}{n+1}; B_{\Gamma_1}(v_1, n) \simeq B_{\Gamma_2}(v_2, n) \right\}$$

where $B_{\Gamma}(v, n)$ is the ball of radius n in Γ centered at v [13]

Remark 3.1. One can show that if there exists K > 0 such that the distance in Γ_n between $\underline{\xi}_n$ and $\underline{\eta}_n$ is smaller than K for each $n \in \mathbb{N}$, then $\Gamma_{\xi} = \Gamma_{\eta}$ (as non-marked graphs) [6].

3.2 Schreier graphs of G

The Schreier graphs of the adding machine \mathcal{G} are easily described. These can be represented by "polygons" (we will use this word) of prescribed length. One can identify $\{0,1,\ldots,q-1\}^n$ with the elements of the abelian group $\mathbb{Z}/q^n\mathbb{Z}$. The vertex $x_1x_2\cdots x_n$, $x_i \in \{0,1,\ldots,q-1\}$ corresponds to the element $\sum_{i=1}^n x_i q^{i-1} \pmod{q^n}$. The generating element of the adding machine \mathcal{G} acts on a vertex by adding +1 to the corresponding element in the group. In our case we are combining two graphs of this type: the n-th Schreier graphs Υ_n of the group generated by a = (id, a)(01) and Σ_n of the group generated by b = (id, id, b)(012). As we remarked Υ_n is a polygon of length 2^n and Σ_n a polygon of length 3^n .

Denote by Γ_n the n-th Schreier graph of the group G. Let \underline{x}_m represent a word of length m in the alphabet X and introduce the partition given by the sets

$$X_k^n(\underline{x}_{n-k-1}) = \{x_1 \cdots x_k 2x_{k+2} \cdots x_n : x_i \in \{0, 1\} \}$$

 $\forall i = 1, \dots, k, \ \underline{x}_{n-k-1} = x_{k+2} \cdots x_n\},$

for any $k = 1, \dots, n-1$. Fixed k we have 3^{n-k-1} disjoint copies of such subsets. Moreover define

$$X_n^n := X_n^n(\emptyset) = \{x_1 \cdots x_n : x_i \in \{0, 1\} \ \forall i = 1, \dots, n\}.$$

Each of the sets $X_k^n(\underline{x}_{n-k-1})$, for $k=1,\ldots,n-1$ and X_n^n is invariant under the action of the subgroup $\langle a \rangle$ of G. Moreover the action of $\langle a \rangle$ on $X_k^n(\underline{x}_{n-k-1})$ and on X_n^n is clearly transitive. In Γ_n the set $X_k^n(\underline{x}_{n-k-1})$ together with the edges given by the a-action, is a copy of the Schreier graph Υ_k , so that in Γ_n we have 3^{n-1} loops corresponding to the words starting by 2, 3^{n-k-1} copies of Υ_k , for any $k=1,\ldots,n-1$ and one copy of Υ_n .

A suitable way for describing the structure of the graph Γ_n is the following: an "external" polygon of size 3^n isomorphic to Σ_n and labeled by b (containing all vertices and corresponding to the action of the generator b). Inside this polygon other polygons isomorphic to the Υ_k 's (in number established above) corresponding to the action of a. In Figure 2 there are the first two Schreier graphs of G.

From this we get the following result.

Proposition 3.2. For every n, the graph Γ_n is planar.

Proof. Suppose, by contradiction, that there are four vertices $u_i \in \Gamma_n$, i = 1, 2, 3, 4, and positive numbers t_i such that $b^{t_i}(u_i) = u_{i+1}$, for i = 1, 2, 3, $a(u_i) = u_{i+1}$, for i = 1, 2. We can assume $u_1, u_3 \in X_k^n(\underline{x}_{n-k-1})$ and $u_2, u_4 \in X_h^n(\underline{x}_{n-h-1})$, for some $k, h, \underline{x}_{n-k-1}, \underline{x}_{n-h-1}'$ in such a way that $X_k^n(\underline{x}_{n-k-1}) \neq X_h^n(\underline{x}_{n-h-1}')$. By the assumption we have that $u_1 = u_1'2p$, $u_3 = u_3'2p$, $u_2 = u_2'2q$ and $u_4 = u_4'2q$, for some subwords $u_i', p, q, p \neq q$ and we can suppose, without loss of generality, that $|p| \leq |q|$. This implies that, for every

 $i=1,\ldots,t_1+t_2$ one has $b^i|_{u_1'^2}=id$ and this is in contradiction with the fact that $p\neq q$.

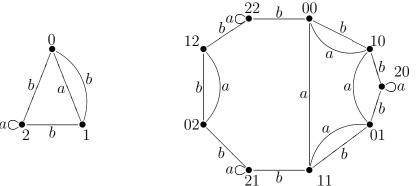


Figure 2. The Schreier graphs Γ_1 and Γ_2 of G

3.3 Orderings in Γ_n

Any vertex $x = x_1 \cdots x_n$ in Γ_n represents the number (that we improperly denote by) $x = \sum_{i=1}^n 3^{i-1}x_i$, so that there exists a natural order in Γ_n , coherent with the action of b. Given $x, v, w \in \Gamma_n$, we write $x \in [v, w]$ if $v \leq x \leq w$, so that for any x we have $x \in [0^n, 2^n]$.

Remark 3.3. For every n, consider the sets of pairs $\{(\alpha_k, \beta_k) : \alpha_k = b^k(0^n), \beta_k = b^{-k}(1^n)\}$, $k = 0, 1, \ldots, \frac{3^n+1}{2}\}$ and $\{(\varsigma_k, \theta_k) : \varsigma_k = b^k(1^n), \theta_k = b^{-k}(2^n)\}$, $k = 1, \ldots, \frac{3^n+1}{2}\}$. It follows by induction that only for such couples one has $\alpha_k + \beta_k = \sum_{i=0}^{n-1} 3^i$ (for $k = 0, 1, \ldots, \frac{3^n+1}{2}$) and $\theta_k + \varsigma_k = \sum_{i=0}^n 3^i$ (for $k = 1, \ldots, \frac{3^n+1}{2}$), where the sum of the strings is considered in base 3.

Definition 3.4. Let v be a vertex in Γ_n , we say that v is under Υ_n (we write $v \uparrow \Upsilon_n$) if $v \in [0^n, 1^n]$ and $v \notin X_n^n$.

Remark 3.5. It is clear that if $v \notin X_n^n$ and $v_n = 0$ then $v \uparrow \Upsilon_n$. Moreover if $v \notin X_n^n$, $v_n = 1$ and $v_k = 0$, with k the largest index such that $v_k \neq 1$, $v_{k+1} = 1$, then $v \uparrow \Upsilon_n$.

Now we can describe what is the position (in the graph Γ_{n+1}) of a vertex $v \in \Gamma_n$, after adding the final letter 0, 1, 2. We distinguish the following cases.

- If $v \in X_n^n$, then $v0, v1 \in X_{n+1}^{n+1}$ and $v2 \in [0^n2, 1^n2]$.
- If $v \notin X_n^n$ and $v \uparrow \Upsilon_n$, then $v0, v1 \uparrow \Upsilon_{n+1}$ and $v2 \in [0^n2, 1^n2]$.

3.4 Infinite Schreier graphs of G: the number of ends

In this section we describe the infinite Schreier graphs associated with the group G. We already defined in Section 3.1 the infinite Schreier graphs of the action of the group G on the boundary of the tree.

G is generated by a bounded automaton, it is easy to check that the post-critical set consists of three elements $\mathcal{P} = \{0^{-\omega}, 1^{-\omega}, 2^{-\omega}\}$. For the group G we will provide a topological classification of the infinite Schreier graphs (in terms of ends) and a classification up to isomorphism.

We recall that two (right) infinite words $\xi = \xi_1 \xi_2 \cdots$ and $\eta = \eta_1 \eta_2 \cdots$ are *cofinal* (an we shall write $\xi \sim \eta$) if there exists $n \in \mathbb{N}$ such that $\xi_k = \eta_k$ for all $k \ge n$. Given $\xi \in X^\omega$, as before $\underline{\xi}_n$ denotes the prefix $\xi_1 \cdots \xi_n$ of length n of ξ . The set of all words cofinal to ξ is denoted by $Cof(\xi)$.

It is easy to prove that if $\xi \sim \eta$ then the corresponding infinite Schreier graphs are isomorphic (as non-marked graphs) $\Gamma_{\xi} \simeq \Gamma_{\eta}$. In fact $\xi \sim \eta$ implies that the elements ξ and η belong to the same orbital graph (see [6]). More precisely if ξ is not critical the vertex set of Γ_{ξ} coincides with $Cof(\xi)$. In our case the vertex set of $\Gamma_{0\omega}$ (= $\Gamma_{1\omega}$, $\Gamma_{2\omega}$) is given by $Cof(0^{\omega}) \sqcup Cof(1^{\omega}) \sqcup Cof(2^{\omega})$.

Let $\Gamma = (V, E)$ be an infinite graph, a ray is an infinite sequence of distinct vertices such that any two consecutive vertices of this sequence are adjacent in Γ . Consider an equivalence relation on the set of rays: two rays R and R' are equivalent if for any finite set $S \subseteq V$ both R and R' have a tail in the same component of $\Gamma \setminus S$. An end is an equivalence class of rays. Note that every infinite, locally finite graph must have at least one end.

In what follows we generalize some notions introduced before to the case of infinite Schreier graphs. Given $\xi, v, w \in X^{\omega}$, we write v < w if there exist k > 0 such that $b^k(v) = w$ and we write $\xi \in [v, w]$ if there exist $k, h \in \mathbb{N}$ such that $b^h(v) = \xi$ and $b^k(\xi) = w$. Moreover $\xi \uparrow \Upsilon_n$ if $\xi \in [0^n 2\xi_{n+2} \cdots, 1^n 2\xi_{n+2} \cdots]$.

Let E_i be the set of right-infinite words whose infinite Schreier graph is i—ended. More precisely

$$E_i = \{ \xi \in X^\omega : \Gamma_\xi \text{ is } i\text{-ended} \}$$

The uniform measure on the boundary, generated by the cones wX^{ω} of the tree is denoted by m.

Theorem 3.6. Let G be the group defined above, then:

- $E_4 = Cof(0^{\omega}) \sqcup Cof(1^{\omega}) \sqcup Cof(2^{\omega})$, and consists of one orbit, so that $m(E_4) = 0$.
- $E_2 = (A(2) \sqcup A(0)) \setminus E_4$ consists of uncountably many orbits where

$$A(2) = \{ \xi \in X^{\omega} : \xi \sim \vartheta, \ \vartheta_i \neq 2 \ \forall i \},$$

$$A(0) = \{ \xi \in X^{\omega} : \ \xi \sim \theta, \ \theta_i \neq 0 \ \forall i \}.$$

Moreover $m(E_2) = 0$.

• $E_1 = X^{\omega} \setminus (E_4 \sqcup E_2)$ consists of uncountably many orbits and $m(E_1) = 1$.

Proof. The vertices 0^{ω} , 1^{ω} , 2^{ω} are in the same orbit since $b(2^{\omega}) = 0^{\omega}$ and $a(1^{\omega}) = 0^{\omega}$. These three elements give rise to the only non-cofinal words which belong to the same graph. The b-orbit of 2^{ω} contains $Cof(0^{\omega})$ and $Cof(2^{\omega})$. The a-action on 1^{ω} contains $Cof(0^{\omega})$ and $Cof(1^{\omega})$. The generator b sends vertices in $Cof(2^{\omega}) \setminus 2^{\omega} \sqcup Cof(1^{\omega}) \sqcup Cof(0^{\omega})$ to vertices in $Cof(2^{\omega}) \setminus 2^{\omega} \sqcup Cof(2^{\omega}) \sqcup Cof(0^{\omega})$. On the other hand a sends vertices in $Cof(1^{\omega}) \setminus 1^{\omega} \sqcup Cof(2^{\omega}) \sqcup Cof(0^{\omega})$. If we consider $Cof(0^{\omega}) \sqcup Cof(2^{\omega})$, it consists of one line isomorphic to the Cayley graph of \mathbb{Z} with generators ± 1 (the b-orbit) with some extra edges (the a-orbit) which do not join vertices of type $\{b^k(2^{\omega})\}$ to vertices in $\{b^{-k}(0^{\omega})\}$ (for k > 0), since these are not cofinal. This gives two ends. The vertex 0^{ω} is connected to 1^{ω} . The b-orbit on 1^{ω} is another copy of the Cayley graph of \mathbb{Z} disjoint from the b-orbit on 2^{ω} . For any k > 0 the elements in $\{b^k(1^{\omega})\}$ are not joined to the elements in $\{b^{-k}(1^{\omega})\}$ by a, since all elements in $\{b^k(1^{\omega})\}$ are of type $w21^{\omega}$, for some w and $\{b^{-k}(1^{\omega})\}$ contains elements of type $v201^{\omega}$, with |v| = |w|. So that we get two ends, and the graph $\Gamma_{0^{\omega}}$ is 4-ended.

Let ξ be an element in A(2). We can suppose that $\xi_n \in \{0,1\}$ for all n and $\xi \sim 0^{\omega}$, 1^{ω} . The a-orbit $a \cdot \xi$ is a subset of $b \cdot \xi$, since it corresponds to the elements of $b \cdot \xi$ which do not contain 2. Moreover $a \cdot \xi$ consists of infinite elements (this is the only infinite a-orbit in the graph) and since the order induced by a is coherent with the order given by b (i.e. v < w implies a(v) < a(w)) and the vertex set of Γ_{ξ} is $Cof(\xi)$, we have that the graph Γ_{ξ} is 2-ended.

Let ξ be an element in A(0). We can suppose that for every $n, \, \xi_n \in \{1,2\}$ and $\xi \nsim 1^\omega, 2^\omega$. From Section 3.4 we know that ξ is not under a polygon Υ_n . For any n we have that $\underline{\xi}_n \in [1^n, 0^{n-1}2] \sqcup [1^{n-1}2, 2^n]$, with the distances $d(\underline{\xi}_n, 1^n)$ and $d(\underline{\xi}_n, 2^n)$ growing with n from Remark 3.1. Observe that $a(1^n) = b(2^n) = 0^n$. For n large we have $\xi \in [1^n \xi_{n+1} \cdots, 2^n \xi_{n+1} \cdots]$, with $\xi_{n+1} \in \{1, 2\}$. We have $b(2^n \xi_{n+1} \cdots) = 0^n b(\xi_{n+1} \cdots)$, so that $a(1^n \xi_{n+1} \cdots) \neq 0^n b(\xi_{n+1} \cdots)$. Hence there is no edge connecting two non equivalent rays in Γ_{ξ} . Clearly m(A(0)) = m(A(2)) = 0 and so $m(E_2) = 0$. Moreover both A(0) and A(2) are uncountable sets.

If $\xi \in E_1$, then ξ contains infinitely many 0 and 2. This implies $\xi \uparrow \Upsilon_n$ for infinitely many n. The b-orbit $b \cdot \xi$ of ξ consists of a copy of the Cayley graph of $\mathbb Z$ and $a \cdot \xi$ is a subset of $b \cdot \xi$. Two non-equivalent rays in $b \cdot \xi$ contain infinitely many vertices of type $0^n \xi_{n+1} \cdots$ and $1^n \xi_{n+1} \cdots$ respectively, since $\xi \in [0^n \xi_{n+1} \cdots, 1^n \xi_{n+1} \cdots]$. We can suppose that $\xi_{n+1} = 2$ for infinitely many indices. The rays are joint by the a-edges of type $(0^n \xi_{n+1} \cdots, 1^n \xi_{n+1} \cdots)$. Moreover $m(E_1) = m(X^\omega) = 1$ and E_1 is clearly an uncountable set.

Figure 3 shows the shape of the (unique) orbit which is a 4-ended graph, Figure 4 an example of a 2-ended infinite Schreier graph, Figure 5 an example of a 1-ended infinite Schreier graph.

Remark 3.7. The previous result agrees with the results obtained in [4], where the authors show that if G is a group generated by a bounded automaton whose post-critical set contains more than 2 elements then $m(E_1) = 1$.

3.5 Isomorphisms

Now we pass to the problem of describing the isomorphism classes of the infinite Schreier graphs of G. As we said in Section 3.1 the limit of infinite Schreier graphs is defined in the space of marked graphs. If we forget the special vertex, we can compare the structure of infinite graphs and establish if they are isomorphic.

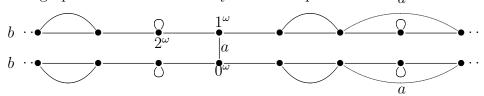


Figure 3. A finite portion of the 4-ended infinite Schreier graph

Observe that if $\xi \in E_i$ and $\eta \in E_j$, with $i \neq j$, then Γ_{ξ} and Γ_{η} are not isomorphic.

Let us introduce some notation: let ξ be an infinite word such that $\xi_n \in \{0,1\}, \xi \nsim 0^{\omega}, 1^{\omega}$. Notice that $\xi \in A(2)$, therefore $\xi \in E_2$. From Theorem 3.6, for any $k \neq 0$ one has $b^k(\xi) \neq \xi$ and $a^k(\xi) \neq \xi$ so that the Schreier graph Γ_{ξ} consist of two copies of the Cayley graph of \mathbb{Z} which intersect infinitely many times, together with some finite a-labeled subgraphs isomorphic to the finite polygons Υ_n . It may happen that $v, w \in \Gamma_{\xi}$ and there is k > 0, such that $b^k(v) = w$ and a(v) = w. Given $\xi \in \{0,1\}^{\omega}$ we can associate with it a (two-infinite) sequence $\{\xi(z)\}_{z\in\mathbb{Z}}$ of natural numbers in the following way:

- 1. Take a copy of the graph of the Cayley graph of \mathbb{Z} with generators ± 1 and label the vertex 0 by 0 (corresponding to the vertex ξ).
- 2. Label the vertex m > 0 by k > 0 if $a^m(\xi) = b^k(a^{m-1}(\xi))$.
- 3. Label the vertex m < 0 by k > 0 if $a^{m}(\xi) = b^{-k}(a^{m+1}(\xi))$.

We identify $\xi(z)$ with the label of z. Roughly speaking, the elements of the sequence $\{\xi(z)\}_{z\in\mathbb{Z}}$ represent the steps made by b-action to join consecutive a-connected elements. Since $\xi \in \{0,1\}^{\omega}$ the sequence is well defined. For example, for $\xi = (01)^{\omega}$ (see Figure 4) one can easily verify that $\xi(1) = 1, \xi(-1) = 2, \xi(2) = 5, \xi(-2) = 1$ etc.

Lemma 3.8. Let ξ be an infinite word in $\{0,1\}^{\omega}$ such that $\xi \nsim 0^{\omega}, 1^{\omega}$.

- 1. If ξ starts by 0 then $\xi(2s+1)=1$ and $\xi(-2s)=1$ for any $s\in\mathbb{N}$. If ξ starts by 1 then $\xi(2s)=1$ and $\xi(-2s+1)=1$ for any $s\in\mathbb{N}$.
- 2. If $a^s(\xi) = 1^p 0 \xi_{p+2} \cdots$, s > 0 then $\xi(s+1) = \frac{3^p+1}{2}$.
- 3. If $a^s(\xi) = 0^p 1\xi_{p+2} \cdots$, s < 0 then $\xi(s-1) = \frac{3^p 1}{2}$.

Proof. Part 1 follows from the fact that a(0w) = 1w = b(0w) and $a^{-1}(1w) = 0w = b^{-1}(1w)$. Part 2 follows from the fact that $a(1^p0\xi_{p+2}\cdots) = b^{\frac{3^p+1}{2}}(1^p0\xi_{p+2}\cdots) = 0^p1\xi_{p+2}\cdots$. Part 3 is analogous to Part 2 for negative indices.

Proposition 3.9. Let ξ, η be infinite words in $\{0,1\}^{\omega}$ such that $\xi, \eta \nsim 0^{\omega}, 1^{\omega}$. Then $\Gamma_{\xi} \simeq \Gamma_{\eta}$ if and only if there exists $\gamma \in \Gamma_{\eta}$ with either $\xi(z) = \gamma(z)$ $z \in \mathbb{Z}$, or $\xi(z) = \gamma(-z)$ for any $z \in \mathbb{Z}$.

Proof. Identify $b \cdot \xi$ and $b \cdot \gamma$ with the graph of \mathbb{Z} in such a way that ξ and γ coincide with 0. Suppose that $\xi(z) = \gamma(z)$ (resp. $\xi(z) = \gamma(-z)$) for every z and define $\varphi : \Gamma_{\xi} \to \Gamma_{\eta}$ such that $\varphi(\xi) = \gamma$ and $\varphi(v) = v$ (resp. -v), $v \in \mathbb{Z}$. From Lemma 3.8 it is easy to check that φ is an isomorphism since the subgraph containing the vertices $v \in [a^t(\xi), a^{t+1}(\xi)]$, for any t, is uniquely determined.

On the other hand let φ be an isomorphism between Γ_{ξ} and Γ_{η} such that $\varphi(\xi) = \gamma$. Suppose that there exists $z' \in \mathbb{Z}$, such that |z'| is minimal and $\xi(z') \neq \pm \gamma(z')$. Assume that z' > 0. By definition we have $a^{z'}(\xi) = b^{\xi(z')}a^{z'-1}(\xi)$. This implies $\varphi(b^{\xi(z')}a^{z'-1}(\xi)) = \varphi(a^{z'}(\xi)) = a^{z'}(\gamma) \neq b^{\gamma(z')}a^{z'-1}(\gamma)$. A contradiction.

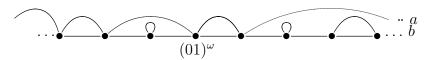


Figure 4. A finite portion of a 2-ended infinite Schreier graph

Let ξ be an infinite word containing infinitely many 0 and 2 such that $\xi \sim 0^{\omega}$, 2^{ω} , i.e. $\xi \in E_1$. In Section 3.2 we remarked that $\xi \uparrow \Upsilon_n$ for infinitely many n. More precisely, if $\xi_k = 2$ and h is the first index greater than k such that $\xi_h = 0$, then $\xi \uparrow \Upsilon_h$. Suppose that $\xi_1 = 2$ then we can associate with ξ an infinite sequence of polygons $\{\wp_i\}_{i\geqslant 1} \subseteq \{\Upsilon_i\}_{i\geqslant 1}$ such that $\xi \uparrow \wp_i$ for any i and the number of edges, or length, of \wp_i is less than the length of \wp_{i+1} . Moreover we can associate with ξ a sequence $\{l_{\xi}(n)\}_{n\geqslant 1}$ where $l_{\xi}(k) = t$ if $\wp_k = \Upsilon_t$. More precisely, any subword or block of ξ of type $2w0, w \in \{1, 2\}^n$, $0 = \xi_t$ (i.e. $\underline{\xi}_t = 2w0, w \in \{1, 2\}^{t-2}$), gives rise to a new element equal to t in $\{l_{\xi}(n)\}_{n\geqslant 1}$. Any block of type $0w2, w \in \{0, 1\}^n$ gives rise to n+1 elements $(t, t+1, \ldots, t+n)$ in $\{l_{\xi}(n)\}_{n\geqslant 1}$ if $0 = \xi_t$.

Lemma 3.10. Let ξ, η be infinite words containing infinitely many 0 and 2 such that $\xi, \eta \nsim 0^{\omega}, 2^{\omega}$. If for any h there exists infinitely many indices n such that $l_{\xi}(n) \neq l_{\eta}(n+h)$, then the graphs Γ_{ξ} and Γ_{η} are not isomorphic.

Proof. Any vertex $\gamma \in \Gamma_{\eta}$ is such that the distance between η and γ is finite. This implies the sequences $\{l_{\eta}(k)\}_{k\geqslant 1}$ and $\{l_{\gamma}(k)\}_{k\geqslant 1}$ coincide up to a finite shifting of the elements, since there exists a polygon Υ_n such that $\eta, \gamma \uparrow \Upsilon_n$ and $\eta \sim \gamma$.

If $\Gamma_{\xi} \simeq \Gamma_{\eta}$ and φ is an isomorphism such that $\varphi(\xi) = \gamma$ then the finite subgraph of Γ_{ξ} induced by the vertices $v \in [0^{l_{\xi}(n)}\gamma_{l_{\gamma}(n)+1}\cdots, 1^{l_{\xi}(n)}\gamma_{l_{\gamma}(n)+1}\cdots]$ has an isomorphic image in Γ_{γ} . Hence the distances of ξ and γ from $\{0^{l_{\xi}(n)}\xi_{l_{\xi}(n)+1}\cdots, 1^{l_{\xi}(n)}\xi_{l_{\xi}(n)+1}\cdots\}$ and $\{0^{l_{\gamma}(n)}\gamma_{l_{\gamma}(n)+1}\cdots, 1^{l_{\gamma}(n)}\gamma_{l_{\gamma}(n)+1}\cdots\}$, respectively, coincide for every n. This implies that $l_{\xi}(n) = l_{\gamma}(n)$ for every n.

Let ξ be an infinite word as before. If \wp_n is a polygon of length 2^t (i.e. $l_{\xi}(n) = t$), it contains $0^t \xi_{t+1} \cdots$ and $1^t \xi_{t+1} \cdots$ (as vertices), and such vertices are (among the vertices of the polygon) at maximal distance with respect to the b-action. Looking at the graph Γ_{ξ} , this means that $\xi \in [0^t \xi_{t+1} \cdots, 1^t \xi_{t+1} \cdots]$ for the infinitely many indices t's such that $l_{\gamma}(n) = t$.

We introduce the following sequence of binary vectors associated with ξ : let d_b denote the distance between two vertices in the graph $\Gamma_{\langle b \rangle}$, where $\Gamma_{\langle b \rangle}$ is the subgraph of Γ_{ξ} with only b-labelled edges. Let $\{d_n(\xi)\}_{n\geqslant 1}$ be a sequence where $d_n(\xi)=(d_n^0(\xi),d_n^1(\xi))$ and $d_n^i(\xi)=d_b(\xi,i^{l_{\xi}(n)}\xi_{l_{\xi}(n)+1}\cdots)$, for i=0,1. Roughly speaking, the sequence $\{d_n(\xi)\}_{n\geqslant 1}$ measures the b-distances of $\underline{\xi}_t$ from 0^t and 1^t in Γ_t whenever $\underline{\xi}_t$ is under the polygon \wp_n of length 2^t . The sequence $\{d_n(\xi)\}_{n\geqslant 1}$ characterizes the one ended graphs.

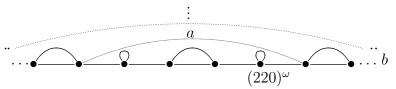


Figure 5. A finite portion of a 1-ended infinite Schreier graph

Lemma 3.11. Let ξ, η be infinite words containing infinitely many 0 and 2 such that $\xi, \eta \sim 0^{\omega}, 2^{\omega}$. Then $\Gamma_{\xi} \simeq \Gamma_{\eta}$ if and only if there exists $\gamma \in \Gamma_{\eta}$ such that for every $n \geqslant 1$ either $d_n^i(\xi) = d_n^i(\gamma)$ or $d_n^i(\xi) = d_n^{1-i}(\gamma)$, for i = 0, 1.

Proof. Let $\Gamma_{\xi} \simeq \Gamma_{\eta}$ and suppose there are infinitely many n such that $d_n^i(\xi) \neq d_n^i(\gamma)$ and $d_n^i(\xi) \neq d_n^{1-i}(\gamma)$. If $\varphi(\xi) = \gamma$ then there exists n large enough such that the balls of radius $\min\{d_n^0(\xi), d_n^1(\xi)\}$ centered at ξ and γ are not isomorphic. A contradiction.

On the other hand if either $d_n^i(\xi) = d_n^i(\gamma)$ or $d_n^i(\xi) = d_n^{1-i}(\gamma)$, then $\underline{\xi}_t$ and $\underline{\gamma}_t$ represent either the same vertex in $[0^t, 1^t]$ or vertices of type (α_k, β_k) equal to $b^k(0^t)$ and $b^{-k}(0^t)$, for some k > 0 (see Remark 3.3). This implies that taking the sequence of (increasing in size) balls of radius $\min\{d_n^0(\xi), d_n^1(\xi)\}$ (that goes to ∞ with n), these are isomorphic. \square

Given an infinite word θ such that $\theta_i \neq 2$ for every i, we denote by $\overline{\theta}$ the word with $\overline{\theta}_i = 1 - \theta_i$ for every i.

Given an infinite word η such that $\eta_i \neq 0$ for every i, we denote by $\overline{\overline{\eta}}$ the word with $\overline{\overline{\eta}}_i = 3 - \eta_i$ for every i.

Theorem 3.12. Let G be the group defined above, then

- E_4 consists of one orbit so there is only one 4-ended graph which coincides with a class of isomorphism.
- Let $\xi, \eta \in A(2)$, then there are uncountable many non isomorphic classes, each containing two orbital graphs: $\Gamma_{\xi} \simeq \Gamma_{\eta}$ if and only if $\xi \sim \eta$ or $\xi \sim \overline{\eta}$.

Let $\xi, \eta \in A(0)$, then there are uncountable many non isomorphic classes, each containing two orbital graphs: $\Gamma_{\xi} \simeq \Gamma_{\eta}$ if and only if $\xi \sim \eta$ or $\xi \sim \overline{\overline{\eta}}$.

• Let $\xi, \eta \in E_1$, then there are uncountable many non isomorphic classes, each containing one or two orbital graph: $\Gamma_{\xi} \simeq \Gamma_{\eta}$ if and only if

$$\xi \sim 2x_1^1 x_1^2 \cdots x_1^{n_1} 0y_1^1 y_1^2 \cdots y_1^{m_1} 2x_2^1 x_2^2 \cdots x_2^{n_2} 0y_2^1 \cdots y_2^{m_2} 2x_3^1 \cdots$$

with $x_i^j \in \{1, 2\}, y_h^k \in \{0, 1\}$ and $n_i, m_h \geqslant 0$;

$$\eta \sim 2z_1^1 z_1^2 \cdots z_1^{p_1} 0 w_1^1 w_1^2 \cdots w_1^{q_1} 2z_2^1 z_2^2 \cdots z_2^{p_2} 0 w_2^1 \cdots w_2^{q_2} 2z_3^1 \cdots$$

with $z_i^j \in \{1, 2\}$ and $w_h^k \in \{0, 1\}$, $p_i, q_h \ge 0$; such that:

- 1. $n_i = p_i$ and $m_h = q_h$ for every i, h;
- 2. either $z_i^j = x_i^j$ and $w_h^k = y_h^k$ or $z_i^j = \overline{\overline{x}}_i^j$ and $w_h^k = \overline{y}_h^k$ for every i, j, h, k.

Proof. Since E_4 consists of one graph there is nothing to prove.

Let $\xi \in E_2$. First we observe that if $\eta \in A(0)$ and $\zeta \in A(2)$ then Γ_{η} and Γ_{ζ} are not isomorphic because Γ_{η} does not contain any a-infinite orbit.

Let us study the case of A(2). It is easy to prove from Lemma 3.8 that ξ and $\overline{\xi}$ give rise to sequences such that $\xi(z) = \overline{\xi}(-z)$ for any \mathbb{Z} . In fact, if $b^s(a^{k-1}(\xi)) = a^k(\xi)$ then $b^{-s}(a^{-(k-1)}(\overline{\xi})) = a^{-k}(\overline{\xi})$, so that $\xi(k) = \overline{\xi}(-k)$. From Proposition 3.9 it follows that $\Gamma_{\xi} \simeq \Gamma_{\overline{\xi}}$. If $\eta \nsim \xi$ or $\eta \nsim \overline{\xi}$ then there exists infinitely many indices i such that $\xi_i = \eta_i$ and $\xi_{i+1} \neq \eta_{i+1}$. Without loss of generality assume, for example, that $\xi_i = 1$ and $\xi_{i+1} = 1$. Suppose there exists an isomorphism φ between Γ_{ξ} and Γ_{η} . Take i large enough and let k be such that $a^{k-1}(\xi) = 1^{i-1}\xi_i \cdots$ and $a^{k-1}(\eta) = 1^{i-1}\eta_i \cdots$. Hence from Lemma 3.8 one has $\xi(k) \geqslant \frac{3^{i+1}+1}{2}$ and $\varphi(\xi)(k) = \frac{3^{i}+1}{2}$. This is a contradiction.

Now suppose $\xi, \eta \in E_1$. Observe that, if the assumption (1) of the theorem is not valid, then Lemma 3.10 applies and Γ_{ξ} and Γ_{η} are not isomorphic. Let us show that given condition (1), $\Gamma_{\xi} \simeq \Gamma_{\eta}$ if and only if condition (2) is satisfied. It is enough to consider

$$\xi = 2x_1^1 x_1^2 \cdots x_1^{n_1} 0 y_1^1 y_1^2 \cdots y_1^{m_1} 2x_2^1 x_2^2 \cdots x_2^{n_2} 0 y_2^1 \cdots y_2^{m_2} 2x_3^1 \cdots \text{ and }$$

$$\eta = 2z_1^1 z_1^2 \cdots z_1^{p_1} 0 w_1^1 w_1^2 \cdots w_1^{q_1} 2z_2^1 z_2^2 \cdots z_2^{p_2} 0 w_2^1 \cdots w_2^{q_2} 2z_3^1 \cdots.$$

A letter 0 after a sequence of 1, 2 gives rise to a new polygon Υ_i such that $\xi \uparrow \Upsilon_i$ and $\eta \uparrow \Upsilon_i$. In what follows we use Lemma 3.11 and Remark 3.3. Notice that $l_{\xi}(i) = l_{\eta}(i)$ for every i, and so $d_i^j(\xi) = d_i^j(\eta)$ $(j \in \{0,1\})$ if and only if $\underline{\xi}_{l_{\xi}(i)} = \underline{\eta}_{l_{\eta}(i)}$ and $d_i^j(\xi) = d_i^{1-j}(\eta)$ $(j \in \{0,1\})$ if and only if

$$\sum_{j=1}^{l_{\xi}(i)} (\xi_j + \eta_j) 3^{j-1} = \sum_{j=1}^{l_{\xi}(i)} 3^{j-1}.$$

Fixed ξ the only two words satisfying the previous conditions are those which satisfy condition (2) of the theorem.

The proof about elements in A(0) is analogous by considering vectors of b-distances from $1^t \xi_{t+1} \cdots$ and $2^t \xi_{t+1} \cdots$.

Remark 3.13. We want to stress the fact that to establish the number of ends (and the isomorphism classes) of the vertices in A(0), we have not used the properties of the group G, but only the structure of the graphs given by the construction. This means that, for such vertices, the same results hold independently of the choice of the base group acting on the binary tree (the binary adding machine in our case).

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