Infinite Graphs with Finite 2-Distinguishing Cost

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Abstract

A graph G is said to be 2-distinguishable if there is a labeling of the vertices with two labels such that only the trivial automorphism preserves the labels. Call the minimum size of a label class in such a labeling of G the cost of 2-distinguishing G.

Within the class of connected, locally finite, infinite graphs, we show that those with finite 2-distinguishing cost are precisely the graphs with countable automorphism group. Further we show that, for such graphs, the cost is less than three times the size of a smallest determining set (a set which only the trivial automorphism fixes pointwise). Finally we show that graphs with linear growth rate c have the even smaller upper bound of c + 1 on their cost of 2-distinguishing.

Key words: Distinguishing number, Distinguishability, Automorphism, Determining set, Determining number, Infinite graph

1 Introduction

A labeling of the vertices of a graph G with the integers $1, \ldots, d$ is called *d*-distinguishing if no nontrivial automorphism of G preserves the labels. A graph is called *d*-distinguishable if it has a *d*-distinguishing labeling. This concept was introduced by Albertson and Collins in [2] and has spawned a wealth of results, in particular for finite graphs, but also for infinite ones.

For finite graphs it was shown that many infinite families of graphs have the property that all but finitely many members are 2-distinguishable; see [9]. Interestingly, in such cases the size of the smaller color class can be extremely small. For example the hypercube Q_{2^k} of dimension 2^k can be 2-distinguished by coloring k+2 vertices black and the others white; see [5]. There are also large classes of 2-distinguishable infinite graphs. In this paper, we consider the question of whether one of the two color classes can be finite, and how to obtain good bounds for the size of such a class.

To aid in addressing this question, we will call a label class in a 2-distinguishing labeling a *distinguishing class*. Following [4] we call the minimum size of a distinguishing class for a 2-distinguishable graph G the *cost of 2-distinguishing G* and denote it by $\rho(G)$.

In the finite case it is natural to restrict the investigation to connected graphs. Thus the first natural extension to the infinite is to connected, locally finite, infinite graphs. That is, connected graphs that are infinite, and whose vertices have finite, but possibly arbitrarily large degrees. We show that such graphs G have finite $\rho(G)$ if and only if $\operatorname{Aut}(G)$ is countable. Further we provide two general bounds for $\rho(G)$ and a sharper one for graphs of linear growth.

2 Preliminaries

Recall that the set stabilizer of $S \subseteq V(G)$, denoted SetStab(S), is the set of all $\varphi \in Aut(G)$ for which $\varphi(x) \in S$ for all $x \in S$. In this case we say that S is invariant under φ , or φ preserves S, and we write $\varphi(S) = S$. The point stabilizer of S, denoted PtStab(S) is the set of all $\varphi \in Aut(G)$ for which $\varphi(x) = x$ for all $x \in S$.

A labeling of the vertices of a graph G with the integers $1, \ldots, d$ is called a *d*distinguishing labeling if no non-trivial automorphism of G preserves the labels. A graph is called *d*-distinguishable if it has a *d*-distinguishing labeling. If a graph is 2-distinguishable, call a color class in a 2-distinguishing labeling a *distinguishing class* for G. The minimum size of a distinguishing class for a 2-distinguishable graph G is called the *cost of* 2-distinguishing G and is denoted by $\rho(G)$.

A significant tool used in this work is a *determining set* [3] (or equivalently, a *base* of the automorphism group action). A determining set S has the property that whenever $\varphi, \psi \in \operatorname{Aut}(G)$ so that $\varphi(x) = \psi(x)$ for all $x \in S$, then $\varphi = \psi$. Thus every automorphism of G is uniquely determined by its action on the vertices of a determining set. Equivalently, a determining set is a set of vertices S with $\operatorname{PtStab}(S) = \{\operatorname{id}\}$. The *determining number* of the graph G, denoted by $\operatorname{Det}(G)$, is the minimum size of a determining set of G.

Note that for $F \subseteq V(G)$, an automorphisms $\varphi \in \text{SetStab}(F)$ can be thought of as a permutation in Sym(F) by restricting the action of φ to F, denoted $\varphi|F$. Thus we have a natural map $\Psi : \text{SetStab}(F) \to \text{Aut}(G)|F \leq \text{Sym}(F)$. Note that this map is injective if and only if F is a determining set for G. In such a case, we get that $\text{SetStab}(F) \cong \text{Aut}(G)|F$.

Albertson and Boutin showed in [1] that a graph is d-distinguishable if and only if it has a determining set that is (d-1)-distinguishable. In particular, such a determining set is a distinguishing class for a 2-distinguishable graph G. Thus, a graph is 2-distinguishable if and only if it has a determining set S for which $\operatorname{SetStab}(S) = \operatorname{PtStab}(S) = \{\operatorname{id}\}$. In such a case, the determining set and its complement provide the two necessary label classes for a 2-distinguishing labeling. Thus in particular, the cost of 2-distinguishing a graph G is bounded below by the size of a smallest determining set. The *motion* of an automorphism $\varphi \in \operatorname{Aut}(G)$, denoted $\operatorname{m}(\varphi)$, is the number of vertices moved by φ . The *motion* of the automorphism group, denoted $\operatorname{m}(G)$, is the minimum motion of the non-trivial elements of $\operatorname{Aut}(G)$.

Throughout this paper let Γ denote the class of infinite, connected, locally finite graphs. For such graphs Halin proved the following result, which is foundational for the work that follows.

Theorem 2.1 [8] (Halin, 1973) A connected, locally finite infinite graph G has uncountable $\operatorname{Aut}(G)$ if and only if for every finite $F \subset V(G)$ there exists a non-trivial automorphism φ of G such that $\varphi(v) = v$ for each $v \in F$.

In other words, $G \in \Gamma$ has uncountable automorphism group if and only if it does not have a finite determining set. Therefore, a 2-distinguishable graph G with uncountable automorphism group has infinite $\rho(G)$. We are thus interested in graphs G of Γ whose automorphism group is not uncountable. We first consider those that have infinite automorphism group. For them we have the following extension of Theorem 2.1.

Theorem 2.2 [9] (Imrich, Smith, Tucker, Watkins, 2014) If $G \in \Gamma$ so that $\aleph_0 \leq |\operatorname{Aut}(G)| < 2^{\aleph_0}$, then $\operatorname{Det}(G) < \aleph_0$, $|\operatorname{Aut}(G)| = \aleph_0$, $m(G) = \aleph_0$, and G is 2-distinguishable. This holds independently of the Continuum Hypothesis.

There is yet another consequence of Theorem 2.1 that is folklore. It says that the vertex stabilizers of graphs $G \in \Gamma$ are finite if $\operatorname{Aut}(G)$ is countably infinite. This follows, for example, from the slightly more general Corollary 3.10 from [9]. Below we state and prove a related result that invokes neither Theorem 2.1 nor Theorem 2.2, but needs the following definition: The set of vertices $u \in V(G)$ for which $d(u, v) \leq n$ is called *ball of radius n centered at v*, and denoted $B_v(n)$. For later reference we also define the *sphere* $S_v(n)$ of radius n centered at v as the set of vertices $u \in V(G)$ for which d(u, v) = n.

Lemma 2.3 If $G \in \Gamma$ has finite determining set, then the vertex stabilizers of G are finite.

Proof Suppose *B* is a finite determining set for *G* and PtStab(v) is infinite. Since *B* is finite, we may choose *k* so that $B \subseteq B_v(k)$. Hence, the orbit C = PtStab(v)(B) of *B* under PtStab(v) is a subset of $B_v(k)$ and thus is finite. Because the infinite group PtStab(v) stabilizes the finite set *C* setwise, there must be at least two different elements of PtStab(v) whose actions are identical on *C*, and thus also on *B*. This contradicts the choice of *B* as a determining set.

3 Countable Automorphism Group

By Theorem 2.2 the automorphism groups of graphs G in Γ that satisfy $\aleph_0 \leq |\operatorname{Aut}(G)| < 2^{\aleph_0}$ are countable. Moreover, such graphs have infinite motion, are 2-distinguishable, and have finite determining sets. Below we show that such graphs G have finite 2-distinguishing cost and give two bounds for $\rho(G)$.

Lemma 3.1 If $G \in \Gamma$ with infinite automorphism group and $Det(G) = n < \aleph_0$, then $\rho(G)$ is finite and satisfies the inequality

$$\rho(G) \leqslant n+n!-1.$$

Proof Let F be a minimum determining set for G. Since F is a determining set, as discussed in Section 2, SetStab $(F) \cong \operatorname{Aut}(G)|F \leq \operatorname{Sym}(F)$. Since F is finite, so is $\operatorname{Sym}(F)$, and hence so is SetStab(F). Let SetStab $(F) = \{ \operatorname{id} = \alpha_0, \alpha_1, \alpha_2, \ldots, \alpha_k \}$. If |F| = 1, then k = 0. We can thus assume that |F| > 1.

Color all elements of F black and consider α_1 . By Theorem 2.2, G has infinite motion. In other words, every nontrivial automorphism moves infinitely many vertices. Since α_1 moves infinitely many vertices, there is a vertex v_1 , moved by α_1 , whose distance $d(v_1, F)$ from F is larger than the diameter of F. Color it black. Similarly there is a vertex v_2 , moved by α_2 , with $d(v_2, F) > d(v_1, F) + \operatorname{diam}(F)$. Color it black as well. Similarly construct the vertices v_3 to v_k and color them black.

Suppose that φ preserves the set of black vertices. Since each of v_1, \ldots, v_k is farther from F than any pair of vertices in F is, φ must preserve F. Thus $\varphi \in \text{SetStab}(F)$. Thus either $\varphi = id$ or $\varphi = \alpha_i$ for some $i \in [k]$. Recall that, for each $i \in [k]$, since v_i is the only black vertex of distance $d(v_i, F)$ from F, we have that $\varphi(v_i) = v_i$. Since v_i is moved by α_i , we infer $\varphi \neq \alpha_i$. Thus $\varphi = id$ and the set of black vertices is a distinguishing class. Clearly its size is $|\text{SetStab}(F)| - 1 + |F| \leq n! + n - 1$. Hence, $\rho(G) < \aleph_0$.

Interestingly this crude bound is sharp for the 2-sided infinite path P_{\aleph_0} . To see this, observe that no single vertex of P_{\aleph_0} can be a determining set, but that the set of endpoints of any edge is a determining set. By the lemma, $\rho(P_{\aleph_0}) \leq 3$. It is easy to see that $\rho(P_{\aleph_0})$ cannot be 1 or 2. Hence $\rho(P_{\aleph_0}) = 3$ and the bound is sharp.

A better bound is the following, which we formulate as theorem. It uses a strong result of Cameron, Solomon and Turull [6], which asserts that the largest length of a chain of subgroups of the symmetric group on n elements is $\left\lceil \frac{3n}{2} \right\rceil - b(n) - 1$, where b(n) denotes the number of 1s in the base-2 representation of n.

Theorem 3.2 If $G \in \Gamma$ with infinite automorphism group and $Det(G) = n < \aleph_0$, then $\rho(G) \leq \lfloor \frac{5n}{2} \rfloor - b(n) - 1$, where b(n) denotes the number of 1s in the base-2 representation of n.

Proof Let F be a minimum determining set for G. Then n = |F| = Det(G). Let k, α_i, v_i for $i \in [k]$ be as in the proof of Lemma 3.1. As shown above, coloring all elements of Fblack breaks all automorphisms of G that do not preserve F. The automorphisms that preserve F form a (not necessarily proper) subgroup A_0 of Sym(F). Coloring v_1 black leaves a subgroup $A_1 < A_0$ of still unbroken elements of Aut(G). Using the notation A_i for the group that preserves the set $F \cup \{v_1, \ldots, v_i\}$ we thus arrive at a chain of subgroups

$${id} = A_k < A_{k-1} < \dots < A_0$$

of length k. By [6], $k \leq \left\lceil \frac{3n}{2} \right\rceil - b(n) - 1$. Because we colored k + n vertices black the result follows.

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Again, this bound is sharp for P_{\aleph_0} . From $\text{Det}(P_{\aleph_0}) = 2$ we infer $\rho(P_{\aleph_0}) \leq \lceil 5 \cdot |F|/2 \rceil - b(|F|) - 1 = 5 - 1 - 1 = 3$, which we know is the cost of 2-distinguishing P_{\aleph_0} .

We now combine some of the above results for our main theorem.

Theorem 3.3 Let $G \in \Gamma$ with infinite automorphism group. Then $\rho(G)$ is finite if and only if $\operatorname{Aut}(G)$ is countable.

Proof Let G be a graph in Γ with finite $\rho(G)$. Since a distinguishing class is necessarily a determining set, we can conclude that G has a finite determining set. Then, by Theorem 2.1, Aut(G) cannot be uncountable. Thus it is countable.

Suppose $G \in \Gamma$ has countable automorphism group. By Theorem 2.2, G is both 2distinguishable and has a finite determining set. By using either Lemma 3.1 or Theorem 3.2 we conclude that $\rho(G)$ is finite.

4 Linear Growth

For graphs of linear growth we can obtain an even better bound for the cost of 2distinguishing. We begin with a few remarks about graphs of linear growth.

A connected, locally finite, infinite graph G is said to have *linear growth* if there exists a vertex v and a constant c, such that $|B_v(n)| \leq cn$, for all $n \in \mathbb{N}$. The definition is independent of the choice of v, but c may have to be replaced by a different constant if v is changed.

Notice that $B_v(n) = \bigcup_{i=0}^n S_v(i)$ and that the growth is obviously linear if $|S_v(n)| \leq c$ for all n. Nonetheless, it is possible that infinitely many spheres have more than c elements. Moreover, the size of the spheres need not even be bounded. We leave it to the reader to construct examples.

More important for us is the fact that there must be infinitely many spheres of size at most c. To see this, suppose only $m < \aleph_0$ of the spheres around v contain at most c vertices. Consider $B_v(cm+1) = \bigcup_{i=0}^{cm+1} S_v(i)$. The m spheres of size at most c contain at least one vertex each, and thus in total contain at least m vertices. The (c-1)m+1 spheres with more than c vertices contain at least c+1 vertices each, and thus contain at least (c+1)(cm+1-m) in total. Thus $|B_v(cm+1)| = \bigcup_{i=0}^{cm+1} |S_v(i)| \ge m + ((c-1)m+1)(c+1) =$ c(cm+1) + 1 which contradicts the assumption on the growth of G. Thus there are an infinite number of spheres of size at most c. Because c is finite, infinitely many of these spheres must have the same size.

Interestingly, for graphs $G \in \Gamma$ with linear growth and infinite automorphism group, $m(G) = \aleph_0$ if and only if $|\operatorname{Aut}(G)| = \aleph_0$. That $|\operatorname{Aut}(G)| = \aleph_0$ implies infinite motion follows from Theorem 2.2. The reverse implication is not hard to show. In [7] it is attributed to [9], but only the consequence that G is 2-distinguishable is mentioned there. As that paper contains no proof of it, we include one here for the sake of completeness. The proof uses the following lemma, which is similar to Lemma 2.4 in [7]. **Lemma 4.1** Suppose that G is a connected, locally finite graph and $\operatorname{Aut}(G)$ has infinite motion. If $\alpha, \beta \in \operatorname{PtStab}(v)$ and $\alpha | S_v(n) = \beta | S_v(n)$ for some n > 0, then $\alpha | B_v(n) = \beta | B_v(n)$. Furthermore, if $\alpha, \beta \in \operatorname{PtStab}(v)$ have distinct actions on $S_v(n)$, then they have distinct actions on all $S_v(m)$ where m > n.

Proof Define the mapping γ on V(G) by $\gamma(u) = u$ for all u with $d(v, u) \ge n$ and $\gamma(u) = \alpha \beta^{-1}(u)$ for all u with d(v, u) < n. Clearly, γ is one-to-one and onto and preserves adjacency, so γ is an automorphism. Since Aut(G) has infinite motion, but γ moves at most finitely many vertices (those inside $B_v(n-1)$), $\gamma = id$. This proves the first assertion. The second assertion easily follows from the first.

Lemma 4.2 Let $G \in \Gamma$ be a graph of linear growth with infinite automorphism group. Then Aut(G) is countable if and only if G has infinite motion.

Proof By Theorem 2.2 we only have to show that infinite motion implies that $\operatorname{Aut}(G)$ is countable. For distinct $\alpha, \beta \in \operatorname{PtStab}(v)$ there is some k so that α, β differ in their action on $S_v(k)$. If $\operatorname{PtStab}(v)$ is finite then there exists some k so that all pairs of automorphisms in $\operatorname{PtStab}(v)$ differ in their actions on the finite set $B_v(k)$. In such a case $\operatorname{Det}(G)$ is finite and thus by Theorem 2.1, $\operatorname{Aut}(G)$ is countable. Thus it is sufficient to prove that point stabilizers in G are finite. Suppose $\operatorname{PtStab}(v)$ is infinite. Since G has linear growth, by our previous argument we know that there are infinitely many spheres with center v that have size at most c. Since $\operatorname{PtStab}(v)$ is infinite, we may consider c! + 1 distinct elements of $\operatorname{PtStab}(v)$. Any pair of these must act distinctly on some sphere $S_v(n)$, and thus by Lemma 4.1, on all spheres $S_v(m)$ for m > n. Hence, there must be a sphere of size c on which all c! + 1 automorphisms have distinct action. But this is impossible since there are at most c! distinct actions on a set of size c. Thus $|\operatorname{PtStab}(v)| < \aleph_0$. In particular, if $G \in \Gamma$ has infinite motion and linear growth rate c from vertex v, then then $|\operatorname{PtStab}(v)| \leq b!$ where $b \leq c$ is the minimum sphere size that occurs infinitely often.

The part of the lemma which asserts that linear growth and infinite motion imply countability of the automorphisms group is based on an observation by T. Tucker¹.

Theorem 4.3 Let G be a graph with countably infinite automorphism group and linear growth. If $|B_v(n)| \leq cn$ for a fixed $v \in V(G)$ and $c \in \mathbb{R}$, then $\rho(G) \leq c+1$.

Proof Notice first that since G has a countable automorphism group, by Theorem 2.2, it has infinite motion. By our previous argument, there are infinitely many spheres of size at most c centered at v. Denote these by $S_i = S_v(n_i)$, for $n_1 < n_2 < \cdots$. Since c is finite, infinitely many of these spheres must have the same size. Without loss of generality we can assume that the S_i already have the same size, say d.

Note that for j < i, the minimal distance between an arbitrary vertex in S_i and one in S_j is $n_i - n_j$ and the maximal distance is $n_i + n_j$. Hence, if we choose the n_i such that

$$n_i > 2\sum_{j < i} n_j \,,$$

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¹Private communication.

then for j < i the distance between an arbitrary vertex in S_i and one in S_j is larger than the maximal distance of any two vertices in $\bigcup_{j < i} S_j$.

By Lemma 4.1, since G has infinite motion, every non-identity element of PtStab(v) acts non-trivially on all but finitely many spheres. As PtStab(v) is finite by Lemma 2.3, without loss of generality, we can assume that $PtStab(v) \setminus \{id\}$ acts non-trivially on all spheres S_i .

For the sequel we wish to remind the reader that we have chosen the notation such that mappings act on the left.

For each *i* greater than 1, fix an arbitrary bijection ϕ_i from S_1 to S_i . Let $\phi_1 = id$ on S_1 . For each *i*, denote by A_i the group $\phi_i^{-1}(\operatorname{PtStab}(v)|S_i)\phi_i$. By definition, each of the A_i is a subgroup of $\operatorname{Sym}(S_1)$. Further, consider an arbitrarily chosen $\alpha \in \operatorname{PtStab}(v)$ and the infinite set of permutations $\{\beta_i = \phi_i^{-1}(\alpha|S_i)\phi_i\} \subseteq \operatorname{Sym}(S_1)$. As $\operatorname{Sym}(S_1)$ has only finitely many elements, infinitely many of the β_i must identical. Let J be the set of indices for which this is the case. Notice, that this set need not include 1. Let j_0 be the smallest of these indices. Clearly, for $j \in J$ all

$$\gamma_j = \phi_{j_0} \phi_j^{-1}(\alpha | S_j) \phi_j \phi_{j_0}^{-1}$$

are identical on S_{j_0} , and

$$\gamma_{j_0} = \phi_{j_0} \phi_{j_0}^{-1}(\alpha | S_{j_0}) \phi_{j_0} \phi_{j_0}^{-1} = \alpha | S_{j_0} \,.$$

Notice that the $\phi_j \phi_{j_0}^{-1}$ are bijections from S_{j_0} to S_j . Hence, we can assume without loss of generality that J is \mathbb{N} , in other words that all β_i are identical and that $\beta_1 = \alpha | S_1$.

Because PtStab(v) is finite, we can, proceeding successively, suppose that for any element $\psi \in PtStab(v)$ the equalities

$$\psi|S_1 = \phi_i^{-1}(\psi|S_i)\phi_i$$

hold.

Again we can assume without loss of generality that this is already the case for the chosen S_i .

Suppose $\alpha \in \text{PtStab}(v)$ fixes a vertex $\phi_i(u)$ in S_i . Then $\alpha(u) = \phi_1^{-1}\alpha\phi_1(u) = \phi_i^{-1}\alpha\phi_i(u) = \phi_i^{-1}\alpha(\phi_i(u)) = \phi_i^{-1}\phi_i(u) = u$. That is, if $\alpha \in \text{PtStab}(v)$ fixes $\phi_i(u)$ in S_i then α fixes u in S_1 .

Finally, let $v_1, v_2, \ldots v_d$ be the vertices of S_1 . Color the vertices of $X = \{v, \phi_1(v_1), \phi_2(v_2), \ldots, \phi_d(v_d)\}$ black. Color all other vertices in the graph white. Suppose $\alpha \in \text{Aut}(G)$ preserves color classes. By our choice of distances between the spheres S_1, \ldots, S_d , within the set X v is uniquely identified by its distances to the other vertices.

Thus $\alpha \in \operatorname{PtStab}(v)$. Since α must preserve distance to v, again by the way distances were chosen, α cannot interchange vertices of $\{\phi_1(v_1), \ldots, \phi_d(v_d)\}$. Thus $\operatorname{PtStab}(v)$ fixes all $\phi_i(v_i)$, and hence all v_i . Recall that the spheres S_i were chosen so that every non-trivial automorphism in $\operatorname{PtStab}(v)$ acts non-trivially on each sphere. Thus if α is non-trivial, its action on S_1 must be nontrivial, but this is not possible, since it fixes all elements of S_1 . So α is the identity. To see that the bound is sharp, consider P_{\aleph_0} . It has linear growth with c = 2. We know that it can be distinguished by just three black vertices, but not by two. Hence $\rho(P_{\aleph_0}) = 3$, which is the bound given by the theorem.

5 Finite Automorphism Group

Suppose the automorphism group of $G \in \Gamma$ is finite. There are two types of automorphisms, those with finite motion and those with infinite motion. Clearly the ones with finite motion form a subgroup of (the finite group) $\operatorname{Aut}(G)$, say B. Define $W \subseteq V(G)$ to be the orbits under B of all vertices moved by B. Since B is finite, and all motion in B is finite, W is also finite. Further W is stabilized setwise by B while elements of $V(G) \setminus W$ are fixed by B.

Consider a distinguishing 2-coloring of V(G) with the color classes X_1 and X_2 (white and black). We set $Y_1 = X_1 \cap W$ and $Y_2 = X_2 \cap W$. Since X_1, X_2 distinguished the action of Aut(G), Y_1, Y_2 distinguish the action of B, independent of the colors of the elements of $V(G) \setminus W$.

Let $\{\alpha_1, \alpha_2, \ldots, \alpha_k\}$ be the elements of infinite motion in Aut(G). To break the symmetries of each of these, we first color all elements of $V(G) \setminus W$ white and then use the methods of Lemma 3.1 to choose vertices v_1, v_2, \ldots, v_k to color black.

Clearly $X_2 \cup \{v_1, v_2, \ldots, v_k\}$ is finite, and hence also $\rho(G)$. We have thus proved the following lemma.

Theorem 5.1 Let G be a 2-distinguishable graph in Γ . If Aut(G) is finite, then $\rho(G)$ is also finite.

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