# Maximum 4-degenerate subgraph of a planar graph

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Submitted: Apr 22, 2014; Accepted: Dec 31, 2014; Published: Jan 20, 2015 Mathematics Subject Classifications: 05C10, 05C15

#### Abstract

A graph G is k-degenerate if it can be transformed into an empty graph by subsequent removals of vertices of degree k or less. We prove that every connected planar graph with average degree  $d \ge 2$  has a 4-degenerate induced subgraph containing at least (38 - d)/36 of its vertices. This shows that every planar graph of order n has a 4-degenerate induced subgraph of order more than  $8/9 \cdot n$ . We also consider a local variation of this problem and show that in every planar graph with at least 7 vertices, deleting a suitable vertex allows us to subsequently remove at least 6 more vertices of degree four or less.

Keywords: planar graphs; graph degeneracy; discharging.

\*The work was partially supported by VEGA grant No. 1/0042/14 and grant APVV-0223-10.

<sup>&</sup>lt;sup>†</sup>The work was partially supported by VEGA grants No. 1/0042/14 and 1/0474/15.

 $<sup>^{\</sup>ddagger}$ The work was partially supported by research grants NSFC 11171730 and NSFC 11271334.

#### 1 Degeneracy and choosability

A graph G is k-degenerate if every subgraph of G has a vertex of degree k or less. Equivalently, a graph is k-degenerate if we can delete the whole graph by subsequently removing vertices of degree at most k. The reverse of this sequence of removed vertices can be used to colour (or list-colour) G with k + 1 colours in a greedy fashion. Graph degeneracy is therefore a natural bound on both chromatic number and list chromatic number. In certain problems, graph degeneracy even provides the best known bounds on choosability [3].

This article focuses on degeneracy of planar graphs. Every subgraph of a planar graph has a vertex of degree at most 5 because it is also planar; therefore, every planar graph is 5-degenerate. For k < 5, a planar graph need not to be k-degenerate. An interesting question arises how large k-degenerate subgraphs in planar graphs can be guaranteed. We discuss this question for particular values of k in the following paragraphs.

Let G be a planar graph. To find a maximum induced 0-degenerate subgraph, we need to find a maximum independent set. According to the 4-colour theorem, we can find an independent set of order at least  $1/4 \cdot |V(G)|$ . This bound is tight since  $K_4$  has no two independent vertices.

To find a maximum induced 1-degenerate subgraph, we need a large induced forest in G. Borodin [4] proved that every planar graph G is acyclically 5-colourable, that is, we can partition the vertices of G into five classes such that the subgraph induced by the union of any two classes is acyclic (hence, a forest). By taking two largest classes we can guarantee an induced forest of order at least  $2/5 \cdot |V(G)|$  in G. The Albertson-Berman conjecture [1] asserts that every planar graph has an induced forest containing at least half of its vertices. This conjecture is tight as  $K_4$  has no induced forest of order greater that 2. Borodin and Glebov [5] proved that the Albertson-Berman conjecture is true for planar graphs of girth at least 5.

Let G be a plane graph. The vertices that belong to the unbounded face induce an outerplanar graph. Let us delete them. The vertices that belong to the unbounded face again induce an outerplanar graph and we can repeat the process. In this way we create a sequence of outerplanar layers such that only vertices in neighbouring layers can be adjacent. If we take every second layer, the vertices from these layers induce a disjoint union of outerplanar graphs. Since outerplanar graphs are 2-degenerate (every outerplanar graph contains a vertex of degree at most 2, see [6]), we can partition the vertices of G into two sets such that each set induces a 2-degenerate graph. The larger of these two sets has at least  $1/2 \cdot |V(G)|$  vertices. On the other hand, in the octahedron we can take at most 4 vertices into an induced 2-degenerate subgraph, so the maximum 2-degenerate subgraph has order  $2/3 \cdot |V(G)|$ .

Degeneracy 3 was studied by Oum and Zhu [7] who were interested in the order of a maximum 4-choosable induced subgraph of a planar graph. They showed that every planar graph has an induced 3-degenerate subgraph of order at least  $5/7 \cdot |V(G)|$ . For the upper bound, the best we are aware of is that both octahedron and icosahedron contain no induced 3-degenerate subgraph of order greater than  $5/6 \cdot |V(G)|$ . To the authors' knowledge, there are no published results concerning maximum 4degenerate induced subgraphs of planar graphs. A likely reason is that such bounds are not interesting for list-colouring applications: Thomassen proved that every planar graph is 5-choosable [8].

The problem of maximum degenerate subgraphs was also studied for general graphs by Alon, Kahn, and Seymour [2]. They precisely determined how large k-degenerate induced subgraph one can guarantee depending only on the degree sequence of G.

This paper focuses on degeneracy 4. We define two operations for vertex removal: deletion and collection. To *delete* a vertex v, we remove v and its incident edges from the graph. To *collect* a vertex v is the same as to delete v, but to be able to collect vwe require v to be of degree at most 4. Although the definitions are very similar, for our purpose there is a clear difference: we want to collect as many vertices as possible and delete as few as possible. The collected vertices induce a 4-degenerate subgraph whose order we are trying to maximize. We say we can *collect a set* S of vertices if there exists a sequence in which the vertices of S can be collected. Vertices that are deleted or collected are collectively called *removed*. Note that a graph G is 4-degenerate if and only if we can collect all its vertices.

The main results of this paper are the following two theorems.

**Theorem 1.** Every connected planar graph with average degree  $d \ge 2$  has a 4-degenerate induced subgraph containing at least (38 - d)/36 of its vertices.

**Theorem 2.** In every planar graph with at least 7 vertices we can delete a vertex in such a way that we can collect at least 6 vertices.

Since the average degree of a planar graph is less than 6, Theorem 1 has the following corollary.

**Corollary 3.** In every planar graph G we can delete less than 1/9 of its vertices in such a way that we can collect all the remaining ones. The collected vertices induce a 4-degenerate subgraph of G containg more than 8/9 of its vertices.

These results are probably not the best possible. In the icosahedron, we need to delete one vertex out of twelve to be able to collect the remaining eleven. We believe that this is the worst possible case.

**Conjecture 4.** In every planar graph G we can delete at most 1/12 of its vertices in such a way that we can collect all the remaining ones.

**Conjecture 5.** In every planar graph with at least 12 vertices we can delete a vertex in such a way that we can collect at least 11 vertices.

# 2 Induction invariants

To prove Theorem 2 we only need to find a vertex whose deletion allows us to collect 6 vertices in the neighbourhood. To prove Corollary 3 in this straightforward manner

we would need to collect 8 vertices per one deleted vertex. We cannot guarantee this immediately in all cases, but even if we collect only 6 vertices, we do something else that helps us: we create a large face and thus decrease the average degree of the graph. For a planar graph G, let

$$\Phi(G) = \sum_{v \in V(G)} (\deg(v) - 5),$$
(1)

$$\Gamma(G) = \frac{1}{12}|V(G)| + \frac{1}{36}\Phi(G) + \frac{1}{18}\operatorname{tc}(G), \qquad (2)$$

where tc(G) is the number of tree components of G. Theorem 6 below is the actual theorem we are going to prove.

**Theorem 6.** Suppose that G is a planar graph. The following is true:

- (1) We can collect all vertices of G, or delete a vertex of G and then collect at least 6 vertices.
- (2) There is a set  $S \subset V(G)$  with at most  $\Gamma(G)$  vertices such that if we delete S then we can collect all the remaining vertices of G.

Theorem 1 is implied by Theorem 6 (2): if d is the average degree of G, then  $\Phi(G) = (d-5)|V(G)|$  and if  $d \ge 2$ , then G is not a tree, thus

$$\Gamma(G) = \frac{1}{12}|V(G)| + \frac{1}{36}(d-5)|V(G)| = \frac{d-2}{36}|V(G)|.$$

Lemma 7. Any smallest counterexample to Theorem 6 is connected.

*Proof.* Let G be a smallest counterexample to Theorem 6 which is not connected. Let  $G_1$  be a component of G and let  $G_2 = G - G_1$ .

Suppose that statement (1) of Theorem 6 does not hold for G. Then G cannot be collected. Therefore either  $G_1$  or  $G_2$  cannot be collected. Since G is the smallest counterexample to Theorem 6, the graph  $G_1$  or  $G_2$  contains a vertex whose deleting allows us to collect 6 vertices, a contradiction.

Suppose that statement (2) of Theorem 6 does not hold for G but it holds for  $G_1$  and  $G_2$ . We obtain sets  $S_1$  and  $S_2$  satisfying the conditions of statement (2) of Theorem 6. The union of  $S_1$  and  $S_2$  satisfies statement (2) of Theorem 6, a contradiction.

**Lemma 8.** Any smallest counterexample to Theorem 6 does not contain a vertex that can be collected.

*Proof.* Assume a vertex v of  $d \leq 4$  is collected. Then

$$\Gamma(G-v) = \Gamma(G) - \frac{1}{12} + \frac{1}{36}(5-d) - \frac{1}{36}d + \frac{1}{18}(\operatorname{tc}(G-v) - \operatorname{tc}(G)).$$

Here -1/12 is due to the decrease of the number of vertices by one,  $+\frac{1}{36}(5-d)$  is due to the removal of v from the sum defining  $\Phi$  (equation (1)),  $-\frac{1}{36}d$  is due to the fact that

neighbours of v are of smaller degree after deleting v, the last term is due to the change of the number of tree components. Since deleting v increases the number of tree components by at most d-1, we conclude that  $\Gamma(G-v) \leq \Gamma(G)$ . By the minimality of G, there is a set  $S \subset V(G-v)$  with at most  $\Gamma(G-v) \leq \Gamma(G)$  vertices such that deleting S allows us to collect the remaining vertices of G.

In the remainder of this paper, let G be a plane graph which is a counterexample to Theorem 6 of smallest order. We shall derive a contradiction via discharging. Thanks to Lemmas 7 and 8, G is connected and has minimum degree 5.

### **3** Discharging procedure

For a positive integer k, a vertex of degree k is called a k-vertex, and a vertex of degree at least k is a  $k^+$ -vertex. Similarly, a k-neighbour is a neighbour of degree k, and a  $k^+$ neighbour is a neighbour of degree at least k. A face of G of length k is referred to as a k-face and a face of length at least k is referred to as  $k^+$ -face. The sets of k-vertices,  $k^+$ -vertices, and  $k^+$ -faces of G are denoted by  $V_k$ ,  $V_{k^+}$ , and  $F_{k^+}$ , respectively. The sets of all vertices, edges, and faces of G are denoted by V, E, and F, respectively.

In figures, we put k or  $k^+$  besides a k- or a  $k^+$ -vertex, respectively (see Figure 1 for an example). If no number is given, then the vertex has degree 5 or 6.

Each vertex of  $V_{6+}$  is assigned a certain *type* according to Table 1. If w is of degree d, contained in at least  $n_{\sqcup}$  non-triangular faces, and has at most  $n_5$  neighbours from  $V_5$ , then w can have type t. The type of w is the type that occurs first in the table among all the types w can have. The symbol  $n_5 = 3c$  means that w has exactly three 5-neighbours and all of them are consecutive in the embedding of G.

Let vw be an edge such that  $v \in V_5$  and  $w \in V_{6+}$ . For every such edge we define the maximum charge mc(v, w) that v can send to w. This maximum charge is given in the last column of Table 1. If w is of type 9c or 8c, then mc(v, w) depends on the position of v with respect to w: the value of mc(v, w) is 1 when v is the central one of the three consecutive 5-neighbours of w and 9/10 otherwise.

By Euler's theorem, the initial total charge  $ch_0(V \cup F)$  is equal to

$$\sum_{v \in V} (6 - \deg(v)) + \sum_{f \in F} 2(3 - \ell) = 6|V| - 2|E| + 6|F| - 4|E| = 12.$$

Our aim is to move charge from vertices to faces. Note that only the 5-vertices have positive initial charge. The discharging procedure consists in the following three steps.

First, we assign certain initial charges to the vertices and faces of G. Each *d*-vertex receives charge 6 - d and each  $\ell$ -face receives charge  $2(3 - \ell)$ . In the following discharging procedure, we redistribute the charges between vertices and faces in a certain way such that no charge is created or lost. The initial and final charge of a vertex or a face x is denoted by  $ch_0(x)$  and ch(x), respectively. For a set  $S \subset V \cup F$ , the expression ch(S) denotes the *total charge* of the set S, that is, the sum of charges of the elements of S.

Type	Degree	Min. number	Max. number	Max.
(t)	(d)	of non-tr. faces	of $V_5$ neigh.	charge
		$(n_{\bigsqcup})$	$(n_5)$	(mc)
10a	10 +	0	3	1
10b	10 +	0	$\infty$	1/2
9a	9	1	3	1
9b	9	0	2	1
9c	9	0	3c	9/10, 1, 9/10
9d	9	0	9	1/2
8a	8	0	1	1
8b	8	1	2	1
8c	8	2	3c	9/10, 1, 9/10
8d	8	0	2	9/10
8e	8	0	8	1/2
7a	7	0	1	4/5
7b	7	1	2	13/20
7c	7	0	2	2/5
7d	7	0	7	1/3
6a	6	1	1	2/5
6b	6	0	6	0

Table 1: Maximal charges that can be sent to a vertex.

Step 1: Discharging to faces. For each vertex v and for every face  $f \in F_{4+}$  that contains v do the following:

- 1. If v is of degree 6, then send 2/5 from v to f.
- 2. If v is not of degree 6, but both its neighbours on f have degree 6, then send 3/5 from v to f.
- 3. If v is not of degree 6 and one of its neighbours is not of degree 6, then send 1/2 from v to f.

Step 2: Distance discharging. In every subgraph of G isomorphic to the configuration in Figure 1 send 1/5 from vertex v to vertex w (vertices are denoted as in Figure 1; the depicted vertices are pairwise distinct).

Step 3: Final discharging of the 5-vertices. For each vertex  $v \in V_5$  carry out the following procedure. Order the neighbours  $w \in V_{6+}$  of v according to the value of mc(v, w) starting with the largest value; let  $w_1, w_2, \ldots$  be the resulting ordering. If the value of mc(v, w) is the same for two neighbours of v, then we order them arbitrarily. For  $i = 1, 2, \ldots$ , send  $min\{mc(v, w_i), ch_a(v)\}$  from v to  $w_i$ , where  $ch_a(v)$  denotes the current charge of v. If  $mc(v, w_i) \ge ch_a(v)$ , then we say that v completely discharges into  $w_i$ .



Figure 1: Distance discharging.

The discharging procedure we have carried out has not changed the total charge.

**Lemma 9.** After the discharging procedure, no face has positive charge. Consequently,  $ch(V) \ge 12$ .

*Proof.* The only step where a face can obtain positive charge is Step 1. Let f be a non-triangular face of G. Let l be the length of f. Note that if a vertex sends 3/5 to f, then both neighbours of v on f send 2/5. Therefore the number of vertices that send 3/5 to f is less than or equal to the number of vertices that send 2/5 to f. This shows that the face f receives charge at most l/2, which is not enough to make ch(f) positive.

Consequently, the sum of final charges of vertices of G is positive.

## 4 Avoiding small cut-sets

A triangle-cut C is a subgraph of G isomorphic to  $C_3$  such that V(C) is a cut-set of G. A chordless quadrilateral-cut C is an induced subgraph of G isomorphic to  $C_4$  such that V(C) is a cut-set of G. A bad cut-set is a triangle-cut, or a chordless quadrilateral-cut.

A good subgraph of G is either G itself if G contains no bad cut-set or a proper subgraph H which satisfies all the following conditions:

- 1. H contains a bad cut-set C of G.
- 2. There is an embedding of G such that all the vertices of G V(H) are in the exterior of C, and all the vertices of H C are in the interior of C. If this condition is satisfied, then C cuts H from G.
- 3. H C contains no vertex v that is in a bad cut-set of G.

**Lemma 10.** The graph G has a good subgraph H.

*Proof.* Assume that G has a triangle-cut  $C_A$ . Then G can be embedded in the plane in such a way that the interior and the exterior of C are both nonempty. We choose the triangle-cut  $C_A$  and the embedding so that the interior contains the minimum number of

vertices. Let  $H_A$  be the subgraph of G induced by  $V(C_A)$  and the vertices in the interior of  $C_A$ . Thus  $C_A$  cuts  $H_A$  from G.

If  $H_A - V(C)$  contains a vertex that is in a triangle-cut C' of G, then the vertices of  $C_A - C'$  all belong to the same component K of  $H_A - V(C')$ . Hence G can be embedded in such a way that the interior of C' contains less vertices than that of  $C_A$ , contrary to our choice of  $C_A$ .

If G has no triangle-cut, then let  $C_A = \emptyset$  and  $H_A = G$ .

Assume  $H_A - V(C_A)$  contains a vertex that is in a chordless quadrilateral-cut C of G. We choose C and an embedding of G in such a way that the component of G-C containing  $C_A$  lies in the exterior of C (if  $V(C_A) = \emptyset$ , then we choose an arbitrary component), and the number of vertices that lie in the interior of C is minimum but nonzero. Let H be the subgraph of G induced by V(C) and the vertices in the interior of C. Thus C cuts H from G.

Assume  $C = v_1 v_2 v_3 v_4 v_1$ . If H - V(C) contains a vertex v that is in a chordless quadrilateral-cut C' of G, as only non-adjacent vertices can belong to different components of G - C', we may assume that  $v_1$  and  $v_3$  are in different components of G - C'. Consequently, vertices  $v_2$  and  $v_4$  must be in C'. As vertex v has degree at least 5, vhas a neighbour x distinct from  $v_1, v_2, v_3, v_4$ . The vertex x lies either inside  $vv_2v_1v_4$  or  $vv_2v_3v_4$ . Assume x lies inside  $vv_2v_1v_4$ . Let  $C'' = vv_2v_1v_4$ . The circuit C'' is a chordless quadrilateral-cut of G: the vertex x is inside C', vertex  $v_3$  is outside C',  $v_2v_4$  is not a chord since C has no chord, and finally  $v_1v$  is not chord because if it was, then either  $v_1vv_2$  or  $v_1vv_4$  is a triangle-cut of G. The interior of C'' has fewer vertices than that of C, contradicting our choice of C.

If  $H_A - V(C_A)$  contains no vertex that is in a chordless quadrilateral-cut of G. then  $H = H_A$  and  $C = C_A$ . In any case, H and C satisfy the conditions in the definition of a good subgraph.

Let H be a good subgraph whose existence is guaranteed by Lemma 10 and C the cut separating it from the rest of G (C is empty if H = G). The vertices from V(C) will be called *cut vertices*. In case C is a triangle-cut, vertices in H - V(C) that are adjacent to two vertices of C are *extraordinary*. In all possible cases for C, vertices from H - V(C)which are not extraordinary are called *ordinary*.

**Lemma 11.** The graph H contains an extraordinary vertex v with  $ch(v) \ge 2$  or the sum of the final charges of ordinary vertices in H is positive.

*Proof.* If  $V(C) = \emptyset$ , then H = G and all vertices are ordinary; the statement of the lemma is immediately implied by Lemma 9. We split the rest of the proof into two cases according to the type of the bad cut-set C—either C is a triangle-cut or C is a chordless quadrilateral-cut. In our embedding of G, the inner vertices and the inner faces of C will be collectively called the *kernel* of H and will be denoted by  $\mathcal{K}$ . The "outer face" of H (bounded by C) is denoted by f.

Case 1: C is a chordless quadrilateral-cut. There are no extraordinary vertices in this case. Let  $C = v_1 v_2 v_3 v_4 v_1$ . Let us compute the initial charge on the kernel of H.

Note that this charge is the same as if we have assigned initial charges in H instead of G. When the initial charges are assigned to vertices and faces of H as a plane graph, the total charge is 12. Since the outer face has charge -2 and the vertex  $v_i$  of C has charge  $6 - \deg_H(v_i)$ , the initial charge of the kernel  $\mathcal{K}$  is

$$ch_0(\mathcal{K}) = 12 + 2 - \sum_{i=1}^4 (6 - \deg_H(v_i)).$$

The initial charge of  $\mathcal{K}$  may get lost via discharging to V(C) at Step 3 or via distance discharging at Step 2. Each 5-vertex v in  $\mathcal{K}$  has initial charge 1. Such a vertex v first sends charge at least 2/5 to each of its incident non-triangular faces, then sends charge 1/5 to a distance 2 neighbour if Step 2 applies, and then sends some of the remaining charge to its 6<sup>+</sup>-neighbours.

For simplicity, we first assume that no charge of  $\mathcal{K}$  is lost via distance discharging. Let  $f_i$  be the bounded face of H incident to  $v_i v_{i+1}$  for  $i \in \{1, 2, 3, 4\}$  (indices taken modulo 4, i.e.,  $f_4$  is incident to  $v_4 v_1$ ). If  $f_i$  is a triangle, then  $v_i, v_{i+1}$  have a common neighbour. Assume q of the faces  $f_1, f_2, f_3, f_4$  are triangles, and 4 - q of them are  $4^+$ -faces. Then the number of 5-vertices in  $\mathcal{K}$  adjacent to V(C) is at most  $\sum_{i=1}^{4} (\deg_H(v_i) - 2) - q$ , and the amount of charge send from these vertices to faces in  $\mathcal{K}$  is at least  $(4 - q) \cdot 4/5$ . Hence the total amount of charge sent from  $\mathcal{K}$  to V(C) is at most

$$\sum_{i=1}^{4} (\deg_H(v_i) - 2) - q - (4 - q)\frac{4}{5} \leq \sum_{i=1}^{4} (\deg_H(v_i) - 2) - \frac{16}{5}$$

Therefore, the final charge of  $\mathcal{K}$  is

$$ch(\mathcal{K}) \ge 12 + 2 - \sum_{i=1}^{4} \left(6 - \deg_H(v_i)\right) - \sum_{i=1}^{4} \left(\deg_H(v_i) - 2\right) + \frac{16}{5} > 0.$$
(3)

If some vertices send charge from  $\mathcal{K}$  via distance discharging out of  $\mathcal{K}$ , then each such instance of distance discharging implies that two neighbours of V(C) in  $\mathcal{K}$  have degree 6 which prevents these two vertices to discharge any charge outside  $\mathcal{K}$ . So the total charge send from  $\mathcal{K}$  to  $G - \mathcal{K}$  is less than the amount estimated above.

Thus in any case,  $ch(\mathcal{K}) > 0$ . Thanks to Lemma 9, faces do not have positive charge and so the sum of charges of ordinary vertices is positive.

**Case 2:** *C* is a triangle-cut. Let  $v_1$ ,  $v_2$ , and  $v_3$  be the vertices of *C*. Similarly as in Case 1, the initial charge of the kernel is

$$ch_0(\mathcal{K}) = 12 - \sum_{i=1}^3 (6 - \deg_H(v_i)).$$

Let X be the set of vertices in  $\mathcal{K}$  adjacent to V(C). Each  $v \in X$  may send charge 1 out of  $\mathcal{K}$ . Thus the total charge send from  $\mathcal{K}$  to  $G - \mathcal{K}$  is bounded above by

$$|X| \leq \sum_{i=1}^{3} \left( \deg_{H}(v_{i}) - 2 \right).$$

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If  $\mathcal{K}$  has no extraordinary vertex, then the bounded faces of H incident to the edges of C are 4<sup>+</sup>-faces. Hence vertices in X send at least 12/5 of the charge to faces in  $\mathcal{K}$ . So the total charge send from  $\mathcal{K}$  to  $G - \mathcal{K}$  is bounded above by |X| - 12/5, implying that

$$\operatorname{ch}(\mathcal{K}) \ge 12 - \sum_{i=1}^{3} (6 - \deg_{H}(v_{i})) - \sum_{i=1}^{3} (\deg_{H}(v_{i}) - 2) + \frac{12}{5} > 0.$$

Assume  $\mathcal{K}$  has extraordinary vertices. Let  $Y_5$  be the set of extraordinary 5-vertices and  $Y_{6^+}$  be the set of extraordinary 6<sup>+</sup>-vertices. As each extraordinary vertex is adjacent to two vertices of V(C), we have

$$|X| \leq \sum_{i=1}^{3} (\deg_{H}(v_{i}) - 2) - (|Y_{5}| + |Y_{6^{+}}|).$$

Assume that the vertices from  $Y_5$  sent total charge c out of  $\mathcal{K}$ . Vertices from  $Y_{6^+}$  do not send any charge out of  $\mathcal{K}$ . Finally, ordinary vertices can send at most  $|X| - (|Y_5| + |Y_{6^+}|)$ , and so the total charge send from X to  $G - \mathcal{K}$  is at most  $c + |X| - (|Y_5| + |Y_{6^+}|)$ . Therefore,

$$ch(\mathcal{K}) \geq ch_0(\mathcal{K}) - \sum_{i=1}^3 (deg_H(v_i) - 2) + 2(|Y_5| + |Y_{6^+}|) - c$$
  
= 2(|Y\_5| + |Y\_{6^+}|) - c.

Note that  $\operatorname{ch}(Y_5) \leq |Y_5| - c \leq 2|Y_5| - c$  (the last inequality is strict if  $Y_5 \neq \emptyset$ ). If a vertex of  $Y_{6^+}$  has final charge at least 2, the lemma is proved; otherwise  $\operatorname{ch}(Y_{6^+}) \leq 2|Y_{6^+}|$  (and the inequality is strict if  $Y_{6^+} \neq \emptyset$ ). Since  $Y_5 \cup Y_{6^+} \neq \emptyset$ , we have  $2|Y_5| - c + 2|Y_{6^+}| > \operatorname{ch}(Y_5 \cup Y_{6^+})$ . Therefore  $\operatorname{ch}(\mathcal{K}) > \operatorname{ch}(Y_5 \cup Y_{6^+})$  and so the final charge of  $\mathcal{K} - (Y_5 \cup Y_{6^+})$  is positive.

Thanks to Lemma 9, faces do not have positive charge and so the sum of charges of ordinary vertices is positive.  $\hfill \Box$ 

#### 5 Analysis of configurations

According to Lemma 11, after discharging, H has an ordinary positive vertex or an extraordinary vertex with charge at least 2. Our aim is to prove that both cases lead to a contradiction. In either case, we will do it by examining an exhaustive list of configurations and showing that none of those configurations can occur in the minimal counterexample G.

In each of the configurations, we obtain a graph G' from G by deleting a vertex vand collecting several other vertices (always at least six) subsequently. This ensures that statement (1) of Theorem 6 is true for G. The graph G' has less vertices than G, hence Theorem 6 holds for G' and thus there exists a subset  $S' \subseteq V(G')$  of order at most  $\Gamma(G')$ whose deletion allows us to collect the remaining vertices of G'. Consequently, the deletion of the set  $S = S' \cup \{v\}$  allows us to collect all the vertices of G. If  $\Gamma(G) \ge \Gamma(G') + 1$ , then this contradicts the fact that G is a counterexample to Theorem 6. This would show that the examined configuration is not contained in G.

The critical part is to prove  $\Gamma(G) \ge \Gamma(G') + 1$ . The computation consists in several steps; we demonstrate it in full detail in the proof of Lemma 12. After that, we introduce a short notation that will allow us to skip repetitive arguments and help the reader to track all the details.

#### **Lemma 12.** The graph G has no extraordinary vertex v with $ch(v) \ge 2$ .

Proof. Suppose, for a contradiction, that G contains such a vertex v. Any 5-vertex has initial charge 1 and then its charge only decreases, hence  $v \in V_{6+}$ . The initial charge of v is  $6 - \deg_G(v)$ , so it has to receive charge at least  $\deg(v) - 4$  during the discharging phase. Note that v can receive at most  $\deg(v)/5$  via distance discharging, so most of the charge has to come from 5-neighbours in Step 3. Moreover, any distance discharging reduces the possible number of 5-neighbours. A short case analysis left to the reader shows that v must be of type 8e. In addition, v must be surrounded by 8 vertices  $v_1, \ldots, v_8$  of degree 5, and all the faces surrounding v are triangles.

We can delete v and collect  $v_1, \ldots, v_8$ , obtaining a graph G'. All we have to show is that  $\Gamma(G) \ge \Gamma(G') + 1$ . The function  $\Gamma$  depends on three parameters: the number of vertices, the value of the function  $\Phi$ , and the number of tree components. The removal of vertices  $v, v_1, \ldots, v_8$  affects the value of  $\Gamma$  as follows:

- 1. The number of vertices decreases by 9.
- 2a. The value of  $\Phi$  decreases because the removed vertices do not contribute to the sum (1) anymore. In most of our configurations, we do not know the degree of every vertex precisely, but for every vertex w we have a lower bound mindeg(w) on its degree. Let  $b_i$  be the number of vertices with mindeg(w) = i for  $i \in \{5, \ldots, 9\}$  and let  $b_{10}$  be the number of vertices with mindeg $(w) \ge 10$ . Let  $\mathbf{b} = (b_5, b_6, b_7, b_8, b_9, b_{10})$ ; in our case,  $\mathbf{b} = (8, 0, 0, 1, 0, 0)$ . The value of  $\Phi$  is decreased by at least  $b_6 + 2b_7 + 3b_8 + 4b_9 + 5b_{10}$  because of the removal of the vertices from the sum (1). In our case,  $\Phi$  decreased by at least 3.
- 2b. The value of  $\Phi$  is also decreased because the neighbours of the removed vertices have smaller degree in G'. Let  $\Sigma_d$  denote the sum of the degrees of the removed vertices; clearly  $\Sigma_d \ge 5b_5 + 6b_6 + 7b_7 + 8b_8 + 9b_9 + 10b_{10}$ . Let  $\Sigma_e$  be the number of edges of the graph induced by  $v, v_1, \ldots, v_8$ . Clearly, the value of  $\Phi$  decreases by at least  $\Sigma_d - 2\Sigma_e$  because of the neighbours' degree reduction.

The only remaining problem is to count  $\Sigma_e$ . We have 8 edges between v and  $v_1, \ldots, v_8$ . We have another 8 edges between vertices  $v_1, \ldots, v_8$  on the triangular faces containing v. No other edge may exist in the subgraph of G induced by  $v, v_1, \ldots, v_8$ . Such an edge would together with v induce a triangle-cut of G, which contradicts that v is extraordinary. Therefore  $\Sigma_e \leq 16$ . (Note that we only need an upper bound on  $\Sigma_e$ .) We conclude that  $\Phi$  decreases by at least 16 because of decreased degrees of the neighbours of removed vertices. In total  $\Phi$  decreases by at least 19.

3. Now we show that no new tree component is created (creation of tree components during reduction increases  $\Gamma$ ). Assume that such a tree component exists; it must have a vertex w of degree at most 1 in G'. The vertex w therefore has at least four neighbours among the deleted vertices. All four these neighbours are neighbours of v; let  $v_i$  and  $v_j$  be two non-adjacent of them (note that we only need three of the four neighbours to proceed with this kind of argument). The cycle  $vv_iwv_jv$  is a chord-less quadrilateral cut, and this contradicts the assumption that v is extraordinary. Therefore, no tree component is created.

This argument also holds in configurations when w can be a neighbour of v. In this case a triangle-cut containing v, w and some other neighbour of v is created.

The value of  $\Gamma$  decreases by at least 9/12 + 19/36 = 23/18.

The reduction idea used in the proof of Lemma 12 will be used many times. We summarize the key points of the calculation in the following lemma; its statement is structured with respect to the phase of the computation.

**Lemma 13.** Suppose that we remove an ordinary vertex v together with some neighbours  $v_1, \ldots, v_{m_1}$  of v and some vertices  $v'_1, \ldots, v'_{m_2}$  at distance 2 from v. The following statements are true:

- $(\Sigma_e)$ : Two neighbours  $v_i$  and  $v_j$  of v are joined by an edge if and only if  $vv_iv_j$  is a triangular face. The vertex  $v'_k$ , for  $k \in \{1, \ldots, m_2\}$ , can be adjacent to at most two neighbours of v. If  $v'_k$  is adjacent to two neighbours of v, say  $v_i$  and  $v_j$ , then either  $v'_kv_iv_j$  is a 4-face, or  $v'_kv_iv_j$  and  $vv_iv_j$  are triangular faces.
- $(\Delta \Phi): \Delta \Phi \ge 5b_5 + 7b_6 + 9b_7 + 11b_8 + 13b_9 + 15b_{10} 2\Sigma_e.$ 
  - (tc): If  $m_2 \leq 1$  (that is, we removed at most one vertex at distance 2 from v), then no new tree component is created.

 $(\Delta\Gamma): \ \Delta\Gamma = \Delta|V|/12 + \Delta\Phi/36 + \Delta tc/18.$ 

Proof. Statement  $(\Sigma_e)$  is implied by the absence of bad cuts containing v; if  $v'_k$  is adjacent to both  $v_i$  and  $v_j$  and  $v_i v_j \in E(G)$ , then  $v_i v_j v'_k$  is a triangular face (for otherwise the edge  $v_i v_j$  would be contained in a triangle-cut in G and v would be extraordinary). Statement  $(\Delta \Phi)$  is implied by the definitions of  $\Phi$  and **b**. Statement (tc) is implied by the argument from the proof of Lemma 12 (enumeration of the change of  $\Gamma$ , part 3). Statement ( $\Delta \Gamma$ ) follows from the definition of  $\Gamma$ .  $\Box$ 

To make our computations easier to follow we will present the key points in a concise form (the following example captures the computation used in proving Lemma 12):

$$Delete(v) \quad Collect(v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8)$$
  
$$\Delta |V| = 9 \quad \mathbf{b} = (8, 0, 0, 1, 0, 0) \quad \Sigma_e \leqslant 16 \quad \Delta \Phi \geqslant 19 \quad \Delta tc = 0 \quad \Delta \Gamma \geqslant 23/18.$$

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Whenever possible, the order of the collected vertices will match the order in which these vertices can be collected.

All the computations except for the computation of  $\Sigma_e$  are entirely routine. If Lemma 13 cannot be used or a further clarification is needed, we signalize it by a star. The explanation will follow in square brackets.

### 6 Charges on vertices of degree 6 and more

This section shows that no ordinary 6<sup>+</sup>-vertex may have positive charge. Since the initial charge of any such vertex is not positive, its positive charge can only be obtained during the discharging phase. First, we determine the maximum charge a vertex can obtain via distance discharging in Step 2 of the discharging procedure described in Section 3.

**Lemma 14.** If a vertex w of degree  $k \ge 7$  has at least m neighbours of degree 5, then w can receive charge at most  $\lfloor (k - m - 1)/3 \rfloor / 5$  for m > 0 and at most  $\lfloor k/3 \rfloor / 5$  for m = 0 via distance discharging.

*Proof.* If a vertex w of degree  $k \ge 7$  receives charge 1/5 via distance discharging, it must have four consecutive neighbours forming a path  $(v_1, v_2, v_3, v_4)$  of degrees  $6^+$ , 6, 6,  $6^+$ , respectively. Moreover,  $v_2$  and  $v_3$  have a common 5-neighbour, the vertex which sends charge 1/5 to w.

Note that  $v_2$  and  $v_3$  cannot be contained in any other path of this type: they have only one 5-neighbour. The vertices  $v_1$  and  $v_4$  can be shared by two paths of this type around w. Consequently, w must have at least 3i neighbours from  $V_{6+}$  to get charge i/5via distance discharging. For m > 0, w must have at least 3i + 1 such neighbours. This last two statements imply the lemma.  $\Box$ 

Corollary 15. Vertices of types 10a, 9a, 9b, 9c, 8a, 8b, 8c, 8d, 7a, 7b, 7c, 6a and 6b cannot have positive charge.

*Proof.* We sketch the proof for a vertex w of type 7a; the other cases are proved very similarly (we need to employ  $n_{\sqcup}$  and look also at Step 1 in some of them). The initial charge of w is 6 - 7 = -1.

If w has a 5-neighbour, it receives charge at most 4/5 in Step 3 of the discharging procedure because it has only one 5-neighbour and that neighbour can send at most mc(w) to w. By Lemma 14, it can receive at most  $\lfloor 5/3 \rfloor/5 = 1/5$  in Step 2. Altogether, the total amount of charge w receives is at most 4/5 + 1/5 = 1, and so its final charge is not positive.

If w has no 5-neighbour, it receives nothing in Step 3 and at most  $\lfloor 7/3 \rfloor / 5 = 2/5$  in Step 2 according to Lemma 14. Thus w has negative final charge.

**Lemma 16.** The graph G has no ordinary  $8^+$ -vertex with positive charge.

*Proof.* Assume to the contrary that v is an ordinary  $8^+$ -vertex with positive final charge. By Corollary 15, v is of type 10b, 9d or 8e. If v has at least six 5-neighbours, say  $v_1, \ldots, v_6$ , then we delete v and collect  $v_1, \ldots, v_6$ . For the purpose of counting **b**, the lower bound mindeg(v) on the degree of v will be 8.

$$Delete(v) \quad Collect(v_1, v_2, v_3, v_4, v_5, v_6)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (6, 0, 0, 1, 0, 0) \quad \Sigma_e \leq 11 \quad \Delta \Phi \geq 19 \quad \Delta tc = 0 \quad \Delta \Gamma \geq 10/9.$$

We prove that if v is of type 10b or 9d and ch(v) > 0, then it must have at least six 5-neighbours. Indeed, if v has exactly five 5-neighbours, then it receives charge at most 2.5 from them. Additional charge might have been receives by distance discharging, but its amount is bounded from above by  $\lfloor (\deg(v) - 5 - 1)/3 \rfloor / 5$  due to Lemma 14. The initial charge of v is  $6 - \deg(v)$ , so its final charge  $6 - \deg(v) + 2.5 + \lfloor (\deg(v) - 5 - 1)/3 \rfloor / 5$  is negative. If v has at most four 5-neighbours, then v receives charge at most 2 from these neighbours and at most  $\deg(v)/15$  by distance discharging (we used Lemma 14 again). The final charge  $6 - \deg(v) + 2 + \deg(v)/15$  is therefore negative.

The last two paragraphs show that v is of type 8e and has at most five 5-neighbours. Since ch(v) > 0, it is straightforward to verify that v has at least four 5-neighbours.

If v has exactly four 5-neighbours, say  $v_1, \ldots, v_4$ , then v must receive charge 1/5 from some vertex u via distance charging. Hence v has four consecutive 6<sup>+</sup>-neighbours, including two 6-vertices  $v_5$ ,  $v_6$  adjacent to u. Moreover, all the faces incident to v are triangular faces. So  $v_1, \ldots, v_4$  are consecutive neighbours of v that form a path. Let w be the common neighbour of v,  $v_1$ , and  $v_5$ .

Delete(w) Collect(
$$v_1, v_2, v_3, v_4, v, v_5, v_6$$
)  
 $\Delta |V| = 8$  **b** = (4, 3, 0, 1, 0, 0)  $\Sigma_e \leq 13$   $\Delta \Phi \geq 26$   $\Delta tc = 0$   $\Delta \Gamma \geq 25/18$ .

Assume v has exactly five 5-neighbours, say  $v_1, \ldots v_5$ . Only one face around v can be non-triangular and therefore we can always find a neighbour  $w \notin \{v_1, v_2, \ldots, v_5\}$  of v that allows us to collect three vertices from  $\{v_1, v_2, \ldots, v_5\}$ , which eventually allows us to collect v and the remaining vertices from  $\{v_1, v_2, \ldots, v_5\}$ .

$$Delete(w) \quad Collect(v_1, v_2, v_3, v_4, v_5, v)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (6, 0, 0, 1, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta\Phi \geqslant 19 \quad \Delta tc = 0 \quad \Delta\Gamma \geqslant 10/9.$$

#### **Lemma 17.** The graph G has no ordinary $6^+$ -vertex with positive charge.

*Proof.* For a contradiction, assume that v is such a vertex. The vertex v must be of type 7d and have at least three 5-neighbours due to Lemma 16 and Corollary 15. Let  $m \ge 3$  be the number of 5-neighbours of v.

**Case** m = 3: The vertex v must be only on triangular faces and receives charge by distance discharging from some vertex x. So the three 5-neighbours of v, say  $v_1, v_2, v_3$ , are consecutive and form a path. Let  $v_4, v_5$  be two 6-vertices that are common neighbours of v and x. Let w be the common neighbour of  $v, v_1$  and  $v_4$ .

$$Delete(w) \quad Collect(v_1, v_2, v_3, v, v_4, v_5)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (3, 3, 1, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta\Phi \geqslant 21 \quad \Delta tc = 0 \quad \Delta\Gamma \geqslant 7/6.$$

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**Case** m = 4: Vertex v must be only on triangular faces (note that distance discharging into v is impossible). We can easily find all four possible configurations around v; they are displayed in Figure 2. The neighbours of v are denoted according to the figure.



Figure 2: Possible configurations around a 7-vertex.

Configuration 1: If  $v_7$  is of degree 6, then

$$Delete(v_5) \quad Collect(v_4, v_3, v_2, v_1, v, v_7)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (4, 2, 1, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta\Phi \geqslant 21 \quad \Delta tc = 0 \quad \Delta\Gamma \geqslant 7/6.$$

If  $v_7$  has another 5-neighbour  $w \neq v_1$ , then

$$\begin{aligned} \text{Delete}(v_7) \quad \text{Collect}(w, v_1, v_2, v_3, v_4, v) \\ \Delta|V| = 7 \quad \mathbf{b} = (5, 0, 2, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta \Phi \geqslant 21 \quad \Delta \text{tc} = 0 \quad \Delta \Gamma \geqslant 7/6. \end{aligned}$$

Assume  $v_7$  has degree at least 7 and has no other 5-neighbour. Then  $mc(v_1, v_7) \ge 4/5$ . If the edge  $v_1v_7$  is on a non-triangular face f, the vertex  $v_1$  would discharge at least 1/2 into f and then discharge completely into  $v_7$ , leaving nothing to discharge into v, implying that  $ch(v) \le 0$ . Therefore, there exist a common neighbour w of  $v_1$  and  $v_7$ .

$$Delete(w) \quad Collect(v_1, v_2, v_3, v_4, v, v_7)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (5, 0, 2, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta \Phi \geqslant 21 \quad \Delta tc = 0 \quad \Delta \Gamma \geqslant 7/6.$$

Assume  $v_7$  has degree at least 8. Then  $v_1$  completely discharges into  $v_7$ , leaving nothing for  $v_1$  to discharge into v. Consequently,  $ch(v) \leq 0$ , a contradiction.

<u>Configuration 2</u>: The argument is very similar to Configuration 1. It suffices to switch the roles of  $v_4$  and  $v_5$ .

Configuration 3: If  $v_7$  has degree at most 7, then

$$Delete(v_6) \quad Collect(v_5, v_4, v, v_1, v_2, v_7)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (4, 2, 1, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta \Phi \geqslant 21 \quad \Delta tc = 0 \quad \Delta \Gamma \geqslant 7/6.$$

Assume  $v_7$  has degree at least 8. If  $v_7$  has another 5-neighbour w besides  $v_1$ , then

$$\begin{aligned} \text{Delete}(v_7) \quad \text{Collect}(v_1, v_2, v, v_4, v_5, w) \\ \Delta |V| = 7 \quad \mathbf{b} = (5, 0, 1, 1, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta \Phi \geqslant 23 \quad \Delta \text{tc} = 0 \quad \Delta \Gamma \geqslant 11/9. \end{aligned}$$

If  $v_7$  has no other 5-neighbour, then  $v_1$  discharges completely into  $v_7$ , and hence v does not have positive final charge in this configuration.

Configuration 4: If  $v_7$  has degree at most 7, then

$$Delete(v_5) \quad Collect(v_6, v_4, v, v_1, v_2, v_7)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (4, 2, 1, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta \Phi \geqslant 21 \quad \Delta tc = 0 \quad \Delta \Gamma \geqslant 7/6.$$

Assume  $v_7$  has degree at least 8. If  $v_5$  has degree at most 7, then

$$Delete(v_7) \quad Collect(v_1, v_2, v, v_4, v_6, v_5)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (4, 2, 1, 0, 0, 0) \quad \Sigma_e \leq 11 \quad \Delta \Phi \geq 21 \quad \Delta tc = 0 \quad \Delta \Gamma \geq 7/6.$$

Thus both  $v_7$  and  $v_5$  have degree at least 8. This implies that all the charge of  $v_6$  is sent to  $v_7$  and  $v_5$ , and  $v_6$  discharges 0 to v. Therefore, v does not have positive final charge in this configuration.

**Case** m = 5: There can be only one non-triangular face around v. Simple case analysis shows that v always has three consecutive neighbours, say x, y and z, such that x is not of degree 5, y and z are of degree 5, and edges xy and yz exist. The other 5-neighbours of v will be denoted by  $v_1, v_2, v_3$ .

$$Delete(x) \quad Collect(y, z, v, v_1, v_2, v_3)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (5, 1, 1, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta \Phi \geqslant 19 \quad \Delta tc = 0 \quad \Delta \Gamma \geqslant 10/9.$$

**Case**  $m \ge 6$ : Let us denote some six 5-neighbours of v by  $v_1, \ldots, v_6$ .

$$Delete(v) \quad Collect(v_1, v_2, v_3, v_4, v_5, v_6)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (6, 0, 1, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta \Phi \geqslant 17 \quad \Delta tc = 0 \quad \Delta \Gamma \geqslant 19/18.$$

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# 7 Charges on vertices of degree 5

In this section we show that all 5-vertices outside bad cuts completely discharge and none of them has positive final charge.

Lemma 18. No ordinary 5-vertex has positive final charge.

*Proof.* For a contradiction, let v be such a vertex. The vertex v cannot have too many 7<sup>+</sup>-neighbours. If it was so, then v would completely discharge into these higher-degree vertices no matter what type they are of. The neighbours of v will be denoted in accordance with Figure 3.

Our first step is to list all possible configurations around the vertex v. According to Step 1 of the discharging procedure, any 5-vertex sends at least 1/2 into each non-triangular face it lies in. Consequently, v is contained it at most one non-triangular face. We will distinguish two cases.

Case 1: v lies in triangular faces only. To guarantee that we do not miss any configuration, we list them in the following order:

- 1. Configurations are ordered according to the number of 7<sup>+</sup>-neighbours of v. The vertex v has at most two such neighbours, and if it has exactly two of them, then at least one of them must have degree exactly 7 because each 8<sup>+</sup>-vertex drains at least 1/2 from v.
- 2. The second ordering criterion is the degree of the highest-degree neighbour of v. We may assume that this vertex is  $v_1$  without a loss of generality (if there are two such candidates, we choose any of them).
- 3. Finally, if v has two 7<sup>+</sup>-neighbours, then by symmetry we may assume that the second vertex is either  $v_2$  or  $v_3$ . Configurations where  $v_2$  has degree more than 6 are earlier in the ordering.

Case 2: v lies in one non-triangular face. The primary criterion is the same as the first criterion of Case 1, however, v has now at most one 7<sup>+</sup>-neighbour and this neighbour has degree 7 because otherwise it would drain all the remaining charge from v (recall that v sends at least 1/2 into the non-triangular face).

The secondary criterion for this case is that we order the configurations according to the position of the non-triangular face. If  $\deg(v_1) = 7$ , we may assume without a loss of generality that this is the face containing either  $v_1vv_2$ ,  $v_2vv_3$ , or  $v_3vv_4$ . If  $v_1$  has degree at most 6, then we may assume that  $v_1vv_2$  is in the boundary of the non-triangular face.

Listing all the configurations in this way, we obtain 14 possible configurations of the neighbourhood of v. These configurations are displayed in Figure 3. The vertices v,  $v_1, \ldots, v_5$  are *denoted*, the other vertices of G are *non-denoted*.

Claim 19. For all configurations except 10 and 14, the vertex  $v_1$  has no non-denoted 5-neighbour.



Figure 3: Possible configurations around a 5-vertex.

*Proof.* Suppose  $v_1$  has a non-denoted 5-neighbour w. For all configurations except 10 and 14, we can do the following:

$$Delete(v_1) \quad Collect(v, v_2, v_3, v_4, v_5, w)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (6, 0, 1, 0, 0, 0) \quad \Sigma_e \leqslant 12 \quad \Delta \Phi \geqslant 15 \quad \Delta tc = 0 \quad \Delta \Gamma \geqslant 1.$$

<u>Configurations 1, 2, 7</u>: By Claim 19, the vertex  $v_1$  has at most three 5-neighbours. In Configuration 1, the type of  $v_1$  is 10a, 9a, or 9b. Therefore, v completely discharges into  $v_1$ , hence it cannot have positive final charge. In Configurations 2 and 7, the type of  $v_1$  can be 10a, 9a, 9b, or 9c. In all cases v completely discharges into  $v_1$ .

<u>Configuration 3</u>: By Claim 19, the vertex  $v_1$  can be of type 8a, 8b, 8c or 8d. In the first three cases, v discharges completely into  $v_1$ . If  $v_1$  is of type 8d, v discharges 9/10 into  $v_1$  and can send at least 1/3 into  $v_2$ , hence v cannot have positive charge.

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<u>Configuration 4</u>: If  $v_2$  or  $v_4$  has degree 6, the argument used in Configuration 3 applies. Otherwise, both  $v_2$  and  $v_4$  are 5-vertices.

If  $v_1$  and  $v_2$  have a common neighbour w other than v, we do the following:

$$Delete(w) \quad Collect(v_2, v, v_5, v_1, v_4, v_3)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (5, 0, 1, 1, 0, 0) \quad \Sigma_e \leq 12 \quad \Delta \Phi \geq 21 \quad \Delta tc = 0 \quad \Delta \Gamma \geq 7/6.$$

A very similar reduction can be used if  $v_1$  and  $v_5$  have a common neighbour other than v.

If neither  $v_1$  and  $v_2$  nor  $v_1$  and  $v_5$  have a common neighbour different from v, then  $v_1$  lies in at least two non-triangular faces, thus it is of type 8c. Consequently, v completely discharges into  $v_1$ .

<u>Configuration 8:</u> The vertex  $v_1$  is of degree 8. By Claim 19, it has at most three 5neighbours. If  $v_1$  lies on at least two non-triangular faces, it is of type 8a, 8b or 8c, so v discharges completely into  $v_1$ .

Assume that  $v_1$  lies in exactly one non-triangular face. If either  $v_2$  or  $v_5$  has degree 6, then  $v_1$  is of type 8a or 8b, and so v completely discharges into  $v_1$ . We are left with the case where  $\deg(v_2) = \deg(v_5) = 5$ . Either a common non-denoted neighbour of  $v_1$  and  $v_2$ exists, or a common non-denoted neighbour of  $v_1$  and  $v_5$  exists. Say  $w \neq v$  is a common non-denoted neighbour of  $v_1$  and  $v_2$ .

$$Delete(w) \quad Collect(v_2, v, v_3, v_4, v_5, v_1)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (6, 0, 0, 1, 0, 0) \quad \Sigma_e \leqslant 12 \quad \Delta\Phi \geqslant 17 \quad \Delta tc = 0 \quad \Delta\Gamma \geqslant 19/18.$$

If  $v_1$  is in no non-triangular face, then at least one of the vertices  $v_2$  and  $v_5$  is of degree 5 (otherwise v discharges completely into  $v_1$  which would be of type 8a), say it is  $v_2$ . Let w be the common non-denoted neighbour of  $v_2$  and  $v_1$ . Then

$$Delete(w) \quad Collect(v_2, v, v_3, v_4, v_5, v_1)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (6, 0, 0, 1, 0, 0) \quad \Sigma_e \leq 12 \quad \Delta\Phi \geq 17 \quad \Delta tc = 0 \quad \Delta\Gamma \geq 19/18.$$

<u>Configuration 5</u>: By Claim 19,  $v_1$  cannot have a non-denoted 5-neighbour. Symmetrically,  $v_2$  has no non-denoted 5-neighbour. The vertex v would completely discharge into  $v_1$  and  $v_2$  unless deg $(v_3) = 5$  and deg $(v_5) = 5$ . Moreover, there are only triangular faces around  $v_1$  and  $v_2$ , for otherwise one of  $v_1$ ,  $v_2$  is of type 7b and the other one 7b or 7c, so v completely discharges. Let  $w \neq v$  be the common non-denoted neighbour of  $v_5$  and  $v_1$ . Then

$$Delete(w) \quad Collect(v_5, v, v_4, v_3, v_2, v_1)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (5, 0, 2, 0, 0, 0) \quad \Sigma_e \leq 12 \quad \Delta\Phi \geq 19 \quad \Delta \mathbf{tc} = 0 \quad \Delta\Gamma \geq 10/9.$$

<u>Configuration 6</u>: By Claim 19,  $v_1$  and  $v_3$  have no non-denoted 5-neighbours. If deg $(v_2) = 5$  and deg $(v_5) = 5$ , then let w be a non-denoted neighbour of  $v_2$ .

$$Delete(w) \quad Collect(v_2, v, v_5, v_4, v_3, v_1)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (5, 0, 2, 0, 0, 0) \quad \Sigma_e \leq 12 \quad \Delta\Phi \geq 19 \quad \Delta \mathbf{tc} = 0 \quad \Delta\Gamma \geq 10/9.$$

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The same approach works if  $\deg(v_2) = 5$  and  $\deg(v_4) = 5$ . In all the remaining cases, both of  $v_1$  and  $v_3$  have at most two 5-neighbours. This means that  $v_1$  and  $v_3$  are only in triangular faces, otherwise v completely discharges into one of these vertices. Moreover, either  $v_2$  or  $v_5$  is of degree 5 (otherwise v discharges), say it is  $v_2$ . Let  $w \neq v$  be the common non-denoted neighbour of  $v_2$  and  $v_1$ .

$$Delete(w) \quad Collect(v_2, v, v_1, v_5, v_4, v_3)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (4, 1, 2, 0, 0, 0) \quad \Sigma_e \leqslant 12 \quad \Delta\Phi \geqslant 21 \quad \Delta tc = 0 \quad \Delta\Gamma \geqslant 7/6.$$

<u>Configuration 9</u>: If one of the vertices  $v_2, \ldots, v_5$  is of degree 5, say it is  $v_2$ , then let w be a non-denoted neighbour of  $v_2$ .

$$Delete(w) \quad Collect(v_2, v, v_3, v_4, v_5, v_1)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (6, 0, 1, 0, 0, 0) \quad \Sigma_e \leqslant 12 \quad \Delta \Phi \geqslant 15 \quad \Delta tc = 0 \quad \Delta \Gamma \geqslant 1.$$

Therefore  $v_2, \ldots v_5$  are of degree 6. If some neighbour w of a denoted vertex had degree 5, we can delete  $v_1$  and collect the remaining denoted vertices together with w with the same calculation. Otherwise, we may assume that no denoted vertex has a 5-neighbour different from v.

If some denoted vertex u is in a non-triangular face, then v discharges into  $v_1$  and u even though u has degree 6. Let w be the common non-denoted neighbour of  $v_3$  and  $v_4$ . If deg $(w) \ge 7$ , then v discharges 1/5 into w via distance discharging and 4/5 into  $v_1$ , thus it cannot have positive charge. If deg $(w) \le 6$ , then

$$Delete(v_3) \quad Collect(v, v_4, v_2, v_5, v_1, w)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (2, 4, 1, 0, 0, 0) \quad \Sigma_e \leqslant 12 \quad \Delta \Phi \geqslant 23 \quad \Delta \mathbf{tc} = 0 \quad \Delta \Gamma \geqslant 11/9.$$

Configuration 10: We split the argument according to the number m of denoted 5-vertices. **Case 1:** m = 6. Assume that a denoted vertex, say  $v_1$ , has a 7<sup>+</sup>-neighbour w.

$$Delete(w) \quad Collect(v_1, v, v_2, v_3, v_4, v_5)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (6, 0, 1, 0, 0, 0) \quad \Sigma_e \leqslant 12 \quad \Delta\Phi \geqslant 15 \quad \Delta tc = 0 \quad \Delta\Gamma \geqslant 1.$$

Assume that a denoted vertex, say  $v_1$ , has a 6-neighbour w which is adjacent to no other denoted vertex.

$$\begin{aligned} \text{Delete}(w) \quad \text{Collect}(v_1, v, v_2, v_3, v_4, v_5) \\ \Delta|V| &= 7 \quad \mathbf{b} = (6, 1, 0, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta \Phi \geqslant 15 \quad \Delta \text{tc} = 0 \quad \Delta \Gamma \geqslant 1. \end{aligned}$$

From now on, we assume that all neighbours of denoted vertices have degree at most 6. Assume that there exists a neighbour w of a denoted vertex such that  $\deg(w) = 6$ . The vertex w is adjacent to at least two denoted vertices. Moreover, there are no bad cuts containing v, and so w is adjacent to exactly two denoted vertices which are also

adjacent, say,  $v_1$  and  $v_2$ . Let w' we a non-denoted neighbour of  $v_4$  (it must be different from w because w is not adjacent to  $v_4$ ). If w and w' are adjacent, then

$$\begin{aligned} \text{Delete}(w') \quad \text{Collect}(v_4, v, v_1, v_2, v_3, v_5, w) \\ \Delta|V| = 8 \quad \mathbf{b} = (7, 1, 0, 0, 0, 0) \quad \Sigma_e \leqslant 15 \quad \Delta\Phi \geqslant 12 \quad \Delta\text{tc} = 0^* \quad \Delta\Gamma \geqslant 1 \end{aligned}$$

If w and w' are not adjacent, then

$$Delete(w') \quad Collect(v_4, v, v_1, v_2, v_3, v_5, w)$$
$$\Delta|V| = 8 \quad \mathbf{b} = (7, 1, 0, 0, 0, 0) \quad \Sigma_e \leqslant 14 \quad \Delta\Phi \geqslant 14 \quad \Delta \mathrm{tc} \geqslant -1^* \quad \Delta\Gamma \geqslant 1.$$

Both vertices w and w' may be connected to at most two denoted vertices. Lemma 13 does not cover the necessary analysis of created tree components. A tree component cannot be an isolated vertex because then it would be a neighbour of 5 removed vertices; three of them must be denoted and this forces a bad cut containing v. Therefore any newly created tree component contains at least two leaves  $x_1$  and  $x_2$ . Both these vertices must have at least four neighbours among removed vertices, but at most two neighbours among denoted vertices (to avoid bad cuts containing v), hence both  $x_1$  and  $x_2$  are adjacent to both w and w'. Thus if a tree component was created, then there cannot be an edge between w and w' because there would be a  $K_5$ -minor in G (containing vertices v, w, w',  $x_1$ , and  $x_2$ ). This finishes the explanation indicated by a star for the case where w and w' are adjacent (we have proved that no new tree components can be created).

It remains to prove that two or more new tree components cannot be created. Assume we created two tree components S and T. We know that both S and T contain two leaves connected to both w and w' and having at least two denoted neighbours; let those leaves be  $s_1$  and  $s_2$  for S and  $t_1$  and  $t_2$  for T. The paths  $ws_1w'$ ,  $ws_2w'$ ,  $wt_1w'$ ,  $wt_2w'$ divide the plane into four regions. One of those regions contains v, say it is the one with boundary  $ws_1w's_2$ . But then  $t_1$  or  $t_2$  is separated from all the denoted vertices and has no neighbours among them though it should have two due to its definition.]

We are left with the case where all neighbours of denoted vertices have degree 5. This is together at least 11 vertices: there are exactly two edges joining a denoted vertex to non-denoted vertices; on the other hand, a non-denoted vertex has at most two denoted neighbours since we are avoiding bad cuts containing v, and so there are at least five nondenoted vertices. These 11 vertices must have another neighbour (otherwise, we would be able to collect the whole graph because any planar graph with at most 11 vertices contains a 4-vertex). Deleting that neighbour and collecting the 11 vertices itself decreases  $\Gamma$  by at least 1. Each created tree component must have at least 5 neighbours among removed vertices, therefore  $\Delta \Phi$  is at least five times the number of newly created tree components, and so  $\frac{1}{36}\Delta\Phi + \frac{1}{18}\Delta tc \ge 0$ . Altogether,  $\Gamma$  decreases by at least 1. Case 2: m = 5. Let  $v_1$  be the 6-neighbour of v. If a denoted 5-vertex, say  $v_2$ , has a

non-denoted  $6^+$ -neighbour w, then

$$Delete(w) \quad Collect(v_1, v, v_2, v_3, v_4, v_5)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (5, 2, 0, 0, 0, 0) \quad \Sigma_e \leqslant 12 \quad \Delta \Phi \geqslant 15 \quad \Delta tc = 0 \quad \Delta \Gamma \geqslant 1.$$

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Let us call the vertices  $v_2$ ,  $v_3$ ,  $v_4$ ,  $v_5$  red and their non-denoted neighbours blue. We are left with the situation where all red and blue vertices have degree 5. Each red vertex has two blue neighbours, so there are potentially eight blue vertices. A blue vertex can be a neighbour of two red vertices only if those red vertices are  $v_2$  and  $v_3$ ,  $v_3$  and  $v_4$ , or  $v_4$  and  $v_5$ . Moreover, each of the listed pairs has at most one common blue neighbour. Consequently, there are at least five blue vertices.

If there are at least six blue vertices, we can delete one of them, then subsequently collect all red vertices, then collect the remaining blue vertices, and finally collect v and  $v_1$ . Altogether, we can remove 12 vertices while deleting just one of them. Removing those 12 vertices itself decreases  $\Gamma$  by at least 1. Each created tree component must have at least 5 neighbours among removed vertices, therefore  $\Delta \Phi \ge |\Delta tc|$ . Hence  $\Gamma$  decreases by at least 1.

If there are exactly five blue vertices and there exists a non-denoted neighbour w of a blue vertex which is not blue, we can delete w and then subsequently collect all blue and denoted vertices, removing 12 vertices from G. The argument from the previous paragraph applies in this case, too.

If the five blue vertices have no additional neighbour, then  $v_1$  is a cut-vertex (due to Euler's formula, there are exactly two non-denoted neighbours of  $v_1$  different from blue vertices). Consequently,  $v_1v_2v$  is a bad  $C_3$ -cut; a contradiction.

**Case 3:**  $m \leq 4$ . Assume that some neighbour of v, say  $v_1$ , has degree 5. Let w be a non-denoted neighbour of  $v_1$ .

$$Delete(w) \quad Collect(v_1, v, v_2, v_3, v_4, v_5)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (5, 2, 0, 0, 0, 0) \quad \Sigma_e \leqslant 12 \quad \Delta\Phi \ge 15 \quad \Delta tc = 0 \quad \Delta\Gamma \ge 1.$$

Otherwise, all neighbours of v have degree 6. Next, assume that a neighbour of v, say  $v_1$ , has a non-denoted neighbour w of degree 5.

$$Delete(v_1) \quad Collect(w, v, v_2, v_3, v_4, v_5)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (2, 5, 0, 0, 0, 0) \quad \Sigma_e \leqslant 12 \quad \Delta\Phi \geqslant 21 \quad \Delta tc = 0 \quad \Delta\Gamma \geqslant 7/6.$$

Otherwise, all non-denoted neighbours of denoted vertices have degree at least 6, and thus denoted vertices have at most one 5-neighbour.

Let us look at the edge  $v_1v_2$ . If  $v_1$  and  $v_2$  are only on triangular faces, then they have a common neighbour w. The vertex w has degree at least 7, otherwise we can do the following:

$$Delete(v_1) \quad Collect(v, v_2, v_3, v_4, v_5, w)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (2, 5, 0, 0, 0, 0) \quad \Sigma_e \leqslant 12 \quad \Delta\Phi \geqslant 21 \quad \Delta tc = 0 \quad \Delta\Gamma \geqslant 7/6.$$

In that case we can discharge 1/5 from v to w via distance discharging. We repeat this argument for the edges  $v_2v_3$ ,  $v_3v_4$ ,  $v_4v_5$ ,  $v_5v_1$ . If all vertices  $v_i$ , for  $i \in \{1, 2, 3, 4, 5\}$ , are only in triangular faces, then v discharges. If some vertex  $v_i$ , for  $i \in \{1, 2, 3, 4, 5\}$ , is in a non-triangular face, then it is of type 6a and v discharges 2/5 into this vertex. This

compensates for the inability to do distance discharging to neighbours of  $v_i$ . Consequently, in any case v cannot have positive charge.

<u>Configuration 11</u>: The vertex v discharges into the non-triangular face and into  $v_1$ , which has no more than two 5-neighbours.

<u>Configurations 12 and 13</u>: If both  $v_2$  and  $v_5$  have degree 5, then let w be a non-denoted neighbour of  $v_2$ .

$$Delete(w) \quad Collect(v_2, v, v_5, v_3, v_4, v_1)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (6, 0, 1, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta\Phi \geqslant 17 \quad \Delta \mathbf{tc} = 0 \quad \Delta\Gamma \geqslant 19/18.$$

Otherwise, at least one of the vertices  $v_2$ ,  $v_5$  has degree 6. If the other of them also had degree 6, then  $v_1$  would be of type 7a and v would completely discharge. Thus we are left with the case where  $\{\deg(v_2), \deg(v_5)\} = \{5, 6\}$ .

If  $v_5$  has degree 5, then there is a common non-denoted neighbour w of  $v_1$  and  $v_5$  because  $v_1$  cannot be in a non-triangular face (if it was, it would be of type 7b and would discharge). Thus we can do

$$Delete(w) \quad Collect(v_5, v, v_1, v_2, v_4, v_3)$$
$$\Delta|V| = 7 \quad \mathbf{b} = (6, 0, 1, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta\Phi \geqslant 17 \quad \Delta \mathbf{tc} = 0 \quad \Delta\Gamma \geqslant 19/18.$$

If  $v_2$  has degree 5, the situation is symmetric to the previous one for Configuration 12. For Configuration 13, we delete the common non-denoted neighbour w of  $v_2$  and  $v_1$  and collect the remaining denoted vertices in the order  $v_2$ , v,  $v_1$ ,  $v_5$ ,  $v_4$ ,  $v_3$ . The calculations are the same.

<u>Configuration 14</u>: If  $\deg(v_1) = 5$ , then let w be a neighbour of  $v_1$  that is not adjacent to any denoted vertex (one such neighbour lies on the non-triangular face containing v).

$$Delete(w) \quad Collect(v_1, v, v_2, v_3, v_4, v_5)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (7, 0, 0, 0, 0, 0) \quad \Sigma_e \leqslant 10 \quad \Delta \Phi \ge 15 \quad \Delta tc = 0 \quad \Delta \Gamma \ge 1.$$

Therefore  $\deg(v_1) = 6$ . If any other denoted vertex besides v has degree 5, say it is  $v_3$ , then let w be a neighbour of  $v_3$ .

$$\begin{aligned} \text{Delete}(w) \quad \text{Collect}(v_3, v, v_2, v_4, v_5, v_1) \\ \Delta|V| &= 7 \quad \mathbf{b} = (6, 1, 0, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta \Phi \geqslant 15 \quad \Delta \text{tc} = 0 \quad \Delta \Gamma \geqslant 1. \end{aligned}$$

If any non-denoted neighbour of a denoted vertex has degree 5, say it is  $v_1$ , then let w be that neighbour.

$$Delete(w) \quad Collect(v_1, v, v_5, v_4, v_3, v_2)$$
$$\Delta |V| = 7 \quad \mathbf{b} = (2, 5, 0, 0, 0, 0) \quad \Sigma_e \leqslant 11 \quad \Delta \Phi \geqslant 23 \quad \Delta \mathbf{tc} = 0 \quad \Delta \Gamma \geqslant 11/9.$$

Otherwise,  $v_1$  and  $v_2$  are of type 6a, so each of them can get 2/5 from v. Since  $v_1$  sends at least 1/2 into the non-triangular face, it cannot have positive charge.

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*Proof of Theorem 6.* Lemma 11 and Lemma 12 show that a minimal counterexample to Theorem 6 contains an ordinary vertex with positive charge. Lemma 16, Lemma 17, and Lemma 18 say that no such vertex exists, which is a contradiction.  $\Box$ 

Observe that in most of the cases,  $\Delta\Gamma > 1$ . If  $\Delta\Gamma > 1$  in all cases, we could have defined  $\Gamma(G)$  as

$$\Gamma(G) = \frac{1}{12}|V(G)| + \lambda\Phi(G) + 2\lambda\operatorname{tc}(G)$$

for some  $\lambda < 1/36$ , which would lead to an improvement of Theorem 6. The most problematic case which hinders further improvement is the case when a 5-vertex is surrounded by five 5-vertices. One of these configurations is in Figure 4.



Figure 4: A problematic configuration. No extra edges between depicted vertices exist.

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