

# Nonexistence of a Class of Distance-regular Graphs

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## Abstract

Let  $\Gamma$  denote a distance-regular graph with diameter  $D \geq 3$  and intersection numbers  $a_1 = 0$ ,  $a_2 \neq 0$ , and  $c_2 = 1$ . We show a connection between the  $d$ -bounded property and the nonexistence of parallelograms of any length up to  $d + 1$ . Assume further that  $\Gamma$  is with classical parameters  $(D, b, \alpha, \beta)$ , Pan and Weng (2009) showed that  $(b, \alpha, \beta) = (-2, -2, ((-2)^{D+1} - 1)/3)$ . Under the assumption  $D \geq 4$ , we exclude this class of graphs by an application of the above connection.

**Keywords:** Distance-regular graph; classical parameters; parallelogram; strongly closed subgraph;  $D$ -bounded

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# 1 Introduction

Let  $\Gamma = (X, R)$  be a distance-regular graph with diameter  $D \geq 3$ . A sequence  $x, z, y$  of vertices of  $\Gamma$  is *geodetic* whenever

$$\partial(x, z) + \partial(z, y) = \partial(x, y),$$

where  $\partial$  is the distance function of  $\Gamma$ . A sequence  $x, z, y$  of vertices of  $\Gamma$  is *weak-geodetic* whenever

$$\partial(x, z) + \partial(z, y) \leq \partial(x, y) + 1.$$

We consider subsets of the vertex set of  $\Gamma$  that are closed under the sense of weak-geodetic sequences as the following definition.

**Definition 1.** A subset  $\Delta \subseteq X$  is *strongly closed* if for any weak-geodetic sequence  $x, z, y$  of  $\Gamma$ ,

$$x, y \in \Delta \implies z \in \Delta.$$

A subgraph of  $\Gamma$  which is induced by a strongly closed subset of  $X$  is called a *strongly closed subgraph* of  $\Gamma$ . Strongly closed subgraphs are also called *weak-geodetically closed subgraphs* in [14]. If a strongly closed subgraph  $\Delta$  of diameter  $d$  is regular then it has valency  $a_d + c_d = b_0 - b_d$ , where  $a_d, c_d, b_0, b_d$  are intersection numbers of  $\Gamma$ . Furthermore  $\Delta$  is distance-regular with intersection numbers  $a_i(\Delta) = a_i(\Gamma)$  and  $c_i(\Delta) = c_i(\Gamma)$  for  $1 \leq i \leq d$  [14, Theorem 4.6].

The following property is considered for a distance-regular graph.

**Definition 2.**  $\Gamma$  is said to be *d-bounded* whenever for all  $x, y \in X$  with  $\partial(x, y) \leq d$ , there is a regular strongly closed subgraph of diameter  $\partial(x, y)$  which contains  $x$  and  $y$ .

Note that a  $(D - 1)$ -bounded distance-regular graph is clear to be  $D$ -bounded. The properties of  $D$ -bounded distance-regular graphs were studied in [13], and these properties were used in the classification of classical distance-regular graphs of negative type [15]. Other applications of  $D$ -bounded distance-regular graphs are given in [3, 12, 13, 15]. Before stating our main results, we show one more definition and some known results.

**Definition 3.** A 4-tuple  $xyzw$  consisting of vertices of  $\Gamma$  is called a *parallelogram of length d* if  $\partial(x, y) = \partial(z, w) = 1$ ,  $\partial(x, w) = d$ , and  $\partial(x, z) = \partial(y, w) = \partial(y, z) = d - 1$ .

The following theorem is a combination of three previous results.

**Theorem 4.** Let  $\Gamma$  denote a distance-regular graph with diameter  $D \geq 3$ . Suppose that the intersection numbers  $a_1, a_2, c_2$  satisfy one of the following.

(i) [4, Theorem 2]  $a_2 > a_1 = 0, c_2 > 1$ ;

(ii) [14, Theorem 1]  $a_1 \neq 0, c_2 > 1$ ; or

(iii) [9, Theorem 1.1]  $a_2 > a_1 \geq c_2 = 1$ .

Fix an integer  $1 \leq d \leq D - 1$  and suppose that  $\Gamma$  contains no parallelograms of any length up to  $d + 1$ . Then  $\Gamma$  is  $d$ -bounded.

We deal with the case “ $a_1 = 0, a_2 \neq 0$ , and  $c_2 = 1$ ” in the following, which is the key point among our main results.

**Theorem 5.** Let  $\Gamma = (X, R)$  denote a distance-regular graph with diameter  $D \geq 3$ , and intersection numbers  $a_1 = 0, a_2 \neq 0$  and  $c_2 = 1$ . Fix an integer  $1 \leq d \leq D - 1$  and suppose that  $\Gamma$  contains no parallelograms of any length up to  $d + 1$ . Then  $\Gamma$  is  $d$ -bounded.

The proof of Theorem 5 is given in Section 4. Theorem 5 is a generalization of [2, Lemma 4.3.13] and [7]. Combining Theorem 4 and Theorem 5, we have the (ii)  $\Rightarrow$  (i) part of the following theorem.

**Theorem 6.** Suppose  $\Gamma$  is a distance-regular graph with diameter  $D \geq 3$  and the intersection number  $a_2 \neq 0$ . Fix an integer  $2 \leq d \leq D - 1$ . Then the following two conditions (i), (ii) are equivalent:

(i)  $\Gamma$  is  $d$ -bounded.

(ii)  $\Gamma$  contains no parallelograms of any length up to  $d + 1$  and  $b_1 > b_2$ .

The complete proof of Theorem 6 is given in Section 4. Theorem 6 answers the problem proposed in [14, p. 299]. The following is an application of Theorem 6, which excludes a class of distance-regular graphs mentioned in [8, Theorem 2.2].

**Theorem 7.** There is no distance-regular graph with classical parameters  $(D, b, \alpha, \beta) = (D, -2, -2, ((-2)^{D+1} - 1)/3)$ , where  $D \geq 4$ .

We prove Theorem 7 in Section 5. Since Witt graph  $M_{23}$  [2, Table 6.1] is a distance-regular graph with classical parameters  $(D, b, \alpha, \beta)$  with  $D = 3, b = -2, \alpha = -2$ , and  $\beta = 5$ , the condition  $D \geq 4$  in Theorem 7 can not be loosened to  $D \geq 3$ .

## 2 Preliminaries

In this section we review some definitions, basic concepts and some previous results concerning distance-regular graphs. See Bannai and Ito [1] or Terwilliger [10] for more background information.

Let  $\Gamma = (X, R)$  denote a finite undirected, connected graph without loops or multiple edges with vertex set  $X$ , edge set  $R$ , distance function  $\partial$ , and diameter  $D := \max\{\partial(x, y) \mid x, y \in X\}$ . By a *pentagon*, we mean a 5-tuple  $u_1 u_2 u_3 u_4 u_5$  consisting of distinct vertices in  $\Gamma$  such that  $\partial(u_i, u_{i+1}) = 1$  for  $1 \leq i \leq 4$  and  $\partial(u_5, u_1) = 1$ .

For a vertex  $x \in X$  and an integer  $0 \leq i \leq D$ , set  $\Gamma_i(x) := \{z \in X \mid \partial(x, z) = i\}$ . The *valency*  $k(x)$  of a vertex  $x \in X$  is the cardinality of  $\Gamma_1(x)$ . The graph  $\Gamma$  is called

regular (with valency  $k$ ) if each vertex in  $X$  has valency  $k$ . The graph  $\Gamma$  is said to be distance-regular whenever for all integers  $0 \leq h, i, j \leq D$ , and all vertices  $x, y \in X$  with  $\partial(x, y) = h$ , the number

$$p_{ij}^h = |\Gamma_i(x) \cap \Gamma_j(y)|$$

is independent of  $x, y$ . The constants  $p_{ij}^h$  are known as the intersection numbers of  $\Gamma$ .

From now on let  $\Gamma = (X, R)$  be a distance-regular graph with diameter  $D \geq 3$ . For two vertices  $x, y \in X$  with  $\partial(x, y) = i$ , set

$$\begin{aligned} B(x, y) &:= \Gamma_1(x) \cap \Gamma_{i+1}(y), \\ C(x, y) &:= \Gamma_1(x) \cap \Gamma_{i-1}(y), \\ A(x, y) &:= \Gamma_1(x) \cap \Gamma_i(y). \end{aligned}$$

Note that

$$\begin{aligned} |B(x, y)| &= p_{i+1}^i, \\ |C(x, y)| &= p_{i-1}^i, \\ |A(x, y)| &= p_i^i \end{aligned}$$

are independent of  $x, y$ . For convenience, set  $c_i := p_{i-1}^i$  for  $1 \leq i \leq D$ ,  $a_i := p_i^i$  for  $0 \leq i \leq D$ ,  $b_i := p_{i+1}^i$  for  $0 \leq i \leq D-1$  and put  $b_D := 0$ ,  $c_0 := 0$ ,  $k := b_0$ . Note that  $k$  is the valency of each vertex in  $\Gamma$ . It is immediate from the definition of  $p_{ij}^h$  that  $b_i \neq 0$  for  $0 \leq i \leq D-1$  and  $c_i \neq 0$  for  $1 \leq i \leq D$ . Moreover  $c_1 = 1$  and

$$k = a_i + b_i + c_i \quad \text{for } 0 \leq i \leq D. \tag{1}$$

A subset  $\Omega$  of  $X$  is strongly closed with respect to a vertex  $x \in \Omega$  if for any  $z \in X$  with  $x, z, y$  being a weak-geodesic sequence for some  $y \in \Omega$ , we have  $z \in \Omega$ . Note that  $\Omega$  is strongly closed if and only if for any vertex  $x \in \Omega$ ,  $\Omega$  is strongly closed with respect to  $x$ . A subset  $\Omega$  of  $X$  is strongly closed with respect to a vertex  $x \in \Omega$  if and only if [14, Lemma 2.3]

$$C(y, x) \subseteq \Omega \quad \text{and} \quad A(y, x) \subseteq \Omega \quad \text{for all } y \in \Omega. \tag{2}$$

We quote two more theorems from [14] that will be used later in this paper to end this section.

**Theorem 8.** ([14, Theorem 4.6]) *Let  $\Gamma$  be a distance-regular graph with diameter  $D \geq 3$ . Let  $\Omega$  be a regular subgraph of  $\Gamma$  with valency  $\gamma$  and set  $d := \min\{i \mid \gamma \leq c_i + a_i\}$ . Then the following (i), (ii) are equivalent.*

(i)  $\Omega$  is strongly closed with respect to at least one vertex  $x \in \Omega$ .

(ii)  $\Omega$  is strongly closed with diameter  $d$ .

Suppose (i) or (ii) holds. Then  $\Omega$  is a distance-regular subgraph of  $\Gamma$  with diameter  $d$  and  $\gamma = c_d + a_d$ .

**Theorem 9.** ([14, Lemma 6.5]) *Let  $\Gamma$  be a distance-regular graph with diameter  $D \geq 2$ . Suppose  $\Gamma$  is  $d$ -bounded for some  $1 \leq d \leq D-1$ , then  $\Gamma$  contains no parallelograms of any length up to  $d+1$ .*

### 3 The Shape of Pentagons

Throughout this section, let  $\Gamma = (X, R)$  denote a distance-regular graph with diameter  $D \geq 3$ , and intersection numbers  $a_1 = 0$ ,  $a_2 \neq 0$ . Such graphs are also studied in [4, 5, 6, 7, 8].

Fix a vertex  $x \in X$ , a pentagon  $u_1u_2u_3u_4u_5$  has shape  $i_1, i_2, i_3, i_4, i_5$  with respect to  $x$  if  $i_j = \partial(x, u_j)$  for  $1 \leq j \leq 5$ . Note that under the assumption  $a_1 = 0$  and  $a_2 \neq 0$ , any two vertices at distance 2 in  $\Gamma$  are always contained in a pentagon, and two nonconsecutive vertices in a pentagon of  $\Gamma$  have distance 2. In this section we give a few lemmas which will be used in the next section.

**Lemma 10.** *Fix an integer  $1 \leq d \leq D - 1$ , and suppose  $\Gamma$  contains no parallelograms of any length up to  $d + 1$  for some integer  $d \geq 2$ . Let  $x$  be a vertex in  $\Gamma$ , and let  $u_1u_2u_3u_4u_5$  be a pentagon of  $\Gamma$  such that  $\partial(x, u_1) = i - 1$  and  $\partial(x, u_3) = i + 1$  for  $1 \leq i \leq d$ . Then the pentagon  $u_1u_2u_3u_4u_5$  has shape  $i - 1, i, i + 1, i + 1, i$  with respect to  $x$ .*

*Proof.* Since  $\partial(u_3, u_4) = 1$  and  $\partial(u_3, x) = i + 1$ ,  $\partial(x, u_4) = i + 2, i + 1$ , or  $i$ . Since  $\partial(u_1, u_4) = 2$  and  $\partial(u_1, x) = i - 1$ ,  $\partial(x, u_4) \leq i - 1 + 2 = i + 1$ . Consequently we have  $\partial(x, u_4) = i + 1$  or  $i$ . It suffices to prove  $\partial(x, u_4) = i + 1$ . We prove this lemma by induction on  $i$ .

The case  $i = 1$  holds otherwise  $\partial(x, u_4) = i = 1$  and  $\partial(x, u_5) = 1$ , which contradicts the assumption  $a_1 = 0$ .

Suppose the assertion holds for any  $i < \ell \leq d$ . For the case  $i = \ell$ , suppose to the contrary that  $u_1u_2u_3u_4u_5$  is a pentagon with  $\partial(x, u_1) = \ell - 1$  and  $\partial(x, u_3) = \ell + 1$ , but  $\partial(x, u_4) = \ell$ . We can choose  $y \in C(x, u_1)$  and hence  $\partial(y, u_1) = \ell - 2$ . Since  $\partial(x, u_3) = \ell + 1$  and  $\partial(x, y) = 1$ , we have  $\partial(y, u_3) = \ell + 2, \ell + 1$  or  $\ell$ . Since  $\partial(y, u_1) = \ell - 2$  and  $\partial(u_1, u_3) = 2$ , we have  $\partial(y, u_3) \leq \ell - 2 + 2 = \ell$ . Consequently we have  $\partial(y, u_3) = \ell$ . By the induction hypothesis, the pentagon  $u_1u_2u_3u_4u_5$  has shape  $\ell - 2, \ell - 1, \ell, \ell, \ell - 1$  with respect to  $y$ . In particular,  $\partial(y, u_3) = \partial(y, u_4) = \ell$ . Then  $xyu_4u_3$  is a parallelogram of length  $\ell + 1$ , a contradiction. □

Other versions of Lemma 10 can be seen in [14, Lemma 6.9] and [9, Lemma 4.1] under various assumptions on intersection numbers.

The following three lemmas were formulated by A. Hiraki in [4] under an additional assumption  $c_2 > 1$ , but this assumption is essentially not used in his proofs. For the sake of completeness, we still provide the proofs.

**Lemma 11.** *Fix an integer  $1 \leq d \leq D - 1$ , and suppose  $\Gamma$  contains no parallelograms of any length up to  $d + 1$ . Then for any two vertices  $z, z' \in X$  such that  $\partial(x, z) \leq d$  and  $z' \in A(z, x)$ , we have  $B(x, z) = B(x, z')$ .*

*Proof.* Note that  $z' \in A(z, x)$  implies  $\partial(x, z) = \partial(x, z')$ , hence it suffices to show  $B(x, z) \subseteq B(x, z')$  since  $|B(x, z)| = |B(x, z')| = b_{\partial(x, z)}$ . Suppose to the contrary that there exists  $w \in B(x, z) - B(x, z')$ . Then  $\partial(w, z) = \partial(x, z) + 1$  and  $\partial(w, z') \neq \partial(x, z) + 1$ . Note that

$\partial(w, z') \leq \partial(w, x) + \partial(x, z') = 1 + \partial(x, z)$  and  $\partial(w, z') \geq \partial(w, z) - \partial(z, z') = \partial(x, z)$ . Consequently  $\partial(w, z') = \partial(x, z)$  and  $wxz'z$  forms a parallelogram of length  $\partial(x, z) + 1$ , a contradiction.  $\square$

**Lemma 12.** *Fix integers  $1 \leq i \leq d \leq D - 1$ , and suppose  $\Gamma$  contains no parallelograms of any length up to  $d + 1$ . Let  $x$  be a vertex in  $\Gamma$ . Then there is no pentagon of shape  $i, i, i, i, i + 1$  with respect to  $x$  in  $\Gamma$ .*

*Proof.* We prove this lemma by induction on  $i$ .

The case  $i = 1$  holds otherwise we have a pentagon having shape  $1, 1, 1, 1, 2$  with respect to  $x$ . In particular we have three vertices  $x, u_1, u_2$  with  $\partial(x, u_1) = \partial(x, u_2) = \partial(u_1, u_2) = 1$ , which is a contradiction to the initial assumption  $a_1 = 0$ .

Suppose the assertion holds for any  $i < \ell \leq d$ . For the case  $i = \ell$ , suppose to the contrary that  $u_1u_2u_3u_4u_5$  is a pentagon of shape  $\ell, \ell, \ell, \ell, \ell + 1$  with respect to  $x$ . This implies  $u_2 \in A(u_1, x), u_3 \in A(u_2, x)$ , and  $u_4 \in A(u_3, x)$ . Hence we have  $B(x, u_1) = B(x, u_2) = B(x, u_3) = B(x, u_4)$  by Lemma 11. We shall prove  $C(x, u_1) = C(x, u_2) = C(x, u_3) = C(x, u_4)$  in the following.

First we prove  $C(x, u_1) = C(x, u_2)$ . It suffices to show  $C(x, u_2) \subseteq C(x, u_1)$  since  $|C(x, u_1)| = |C(x, u_2)| = c_\ell$ . Suppose to the contrary that there exists  $v \in C(x, u_2) - C(x, u_1)$ . By our choice of  $v$ , we have  $v \notin C(x, u_1)$ . We also have  $v \notin B(x, u_1)$ , since  $B(x, u_1) = B(x, u_2)$  and  $v \notin B(x, u_2)$ . Consequently we have  $v \in A(x, u_1)$  since  $v$  is a neighbor of  $x$ . Then  $B(u_1, x) = B(u_1, v)$  by Lemma 11. Note that  $v \in A(x, u_1)$  implies  $\partial(v, u_1) = \partial(x, u_1) = \ell$ , and hence  $\partial(v, u_5) = \ell + 1$  since  $u_5 \in B(u_1, x) = B(u_1, v)$ . Applying Lemma 10 to the pentagon  $u_2u_1u_5u_4u_3$  with  $\partial(v, u_2) = \ell - 1$  and  $\partial(v, u_5) = \ell + 1$ , we conclude that  $u_2u_1u_5u_4u_3$  has shape  $\ell - 1, \ell, \ell + 1, \ell + 1, \ell$  with respect to  $v$ . In particular  $\partial(v, u_4) = \ell + 1$  and hence  $v \in B(x, u_4) = B(x, u_2)$ . This is a contradiction to  $v \in C(x, u_2) - C(x, u_1)$ . Consequently we have  $C(x, u_2) \subseteq C(x, u_1)$  and hence  $C(x, u_1) = C(x, u_2)$  as desired.

By substituting  $u_4$  to  $u_1, u_3$  to  $u_2$  in the last paragraph and consider the shape of the pentagon  $u_3u_4u_5u_1u_2$  with respect to  $v' \in C(x, u_3) - C(x, u_4)$ , similarly we have  $C(x, u_4) = C(x, u_3)$ .

It remains to show  $C(x, u_2) = C(x, u_4)$ . It suffices to show  $C(x, u_2) \subseteq C(x, u_4)$ . Suppose to the contrary that there exists  $u \in C(x, u_2) - C(x, u_4)$ . With the similar arguments in the previous paragraphs, we have  $u \in A(x, u_4)$  and then  $B(u_4, x) = B(u_4, u)$  by Lemma 11. Hence  $\partial(u, u_5) = \ell + 1$  since  $u_5 \in B(u_4, x) = B(u_4, u)$ . Applying Lemma 10 to the pentagon  $u_2u_1u_5u_4u_3$  with  $\partial(u, u_2) = \ell - 1$  and  $\partial(u, u_5) = \ell + 1$ , we conclude that  $u_2u_1u_5u_4u_3$  has shape  $\ell - 1, \ell, \ell + 1, \ell + 1, \ell$  with respect to  $u$ . In particular  $\partial(u, u_4) = \ell + 1$  and hence  $u \in B(x, u_4)$ . This is a contradiction since  $u \in A(x, u_4) - B(x, u_4)$ .

Pick a vertex  $w \in C(x, u_1) = C(x, u_2) = C(x, u_3) = C(x, u_4)$ . Since  $\partial(x, w) = 1$  and  $\partial(x, u_5) = \ell + 1$ , we have  $\partial(w, u_5) = \ell + 2, \ell + 1$  or  $\ell$ . Since  $\partial(u_4, u_5) = 1$  and  $\partial(u_4, w) = \ell - 1$ , we have  $\partial(w, u_5) = \ell, \ell - 1$  or  $\ell - 2$ . Consequently we have  $\partial(w, u_5) = \ell$ . Then  $u_1u_2u_3u_4u_5$  is a pentagon of shape  $\ell - 1, \ell - 1, \ell - 1, \ell - 1, \ell$  with respect to  $w$ , which is a contradiction to the inductive hypothesis.  $\square$

**Lemma 13.** Fix integers  $1 \leq i \leq d \leq D - 1$ , and suppose  $\Gamma$  contains no parallelograms of any length up to  $d + 1$ . Let  $x$  be a vertex and  $u_1u_2u_3u_4u_5$  be a pentagon of shape  $i, i - 1, i, i - 1, i$  or of shape  $i, i - 1, i, i - 1, i - 1$  with respect to  $x$  in  $\Gamma$ . Then  $B(x, u_1) = B(x, u_3)$ .

*Proof.* It suffices to show  $B(x, u_3) \subseteq B(x, u_1)$  since  $|B(x, u_3)| = |B(x, u_1)| = b_i$ . Pick  $u \in B(x, u_3)$ , this implies  $\partial(u, u_3) = i + 1$ . Since  $\partial(u_3, u_2) = 1$  and  $\partial(u_3, u) = i + 1$ , we have  $\partial(u_2, u) = i + 2, i + 1$ , or  $i$ . Since  $\partial(x, u) = 1$  and  $\partial(x, u_2) = i - 1$ , we have  $\partial(u_2, u) = i, i - 1$ , or  $i - 2$ . Consequently we have  $\partial(u, u_2) = i$ . Substituting  $u_4$  to  $u_2$  in the above arguments, we similarly have  $\partial(u, u_4) = i$ . Next we consider  $\partial(u, u_1)$ . Note that  $\partial(u, u_1) = i + 1, i$  or  $i - 1$  since  $\partial(x, u) = 1$  and  $\partial(x, u_1) = i$ . We show that  $\partial(u, u_1) = i + 1$  by excluding the other two cases in the following.

(1) Suppose  $\partial(u, u_1) = i - 1$ , then the pentagon  $u_1u_2u_3u_4u_5$  has shape  $i - 1, i, i + 1, i + 1, i$  with respect to  $u$  by Lemma 10. In particular we have  $\partial(u, u_4) = i + 1$ , which is a contradiction to  $\partial(u, u_4) = i$  obtained in the last paragraph.

(2) Suppose  $\partial(u, u_1) = i$ . Since  $\partial(u_1, u_5) = 1$  and  $\partial(u_1, u) = i$ , we have  $\partial(u, u_5) = i + 1, i$ , or  $i - 1$ . If  $\partial(u, u_5) = i$ , then the pentagon  $u_4u_5u_1u_2u_3$  has shape  $i, i, i, i, i + 1$  with respect to  $u$ , which is a contradiction to Lemma 12. If  $\partial(u, u_5) = i - 1$ , then the pentagon  $u_5u_4u_3u_2u_1$  has shape  $i - 1, i, i + 1, i, i$  with respect to  $u$ , which is a contradiction to Lemma 10. Consequently we have  $\partial(u, u_5) = i + 1$ . For the case  $u_1u_2u_3u_4u_5$  having shape  $i, i - 1, i, i - 1, i - 1$  with respect to  $x$ , we have  $\partial(u, u_5) \leq \partial(x, u_5) + 1 = i$ , which is a contradiction to  $\partial(u, u_5) = i + 1$ . For the other case  $u_1u_2u_3u_4u_5$  having shape  $i, i - 1, i, i - 1, i$  with respect to  $x$ ,  $\partial(x, u_5) = i$  and hence  $u_5u_1xu$  is a parallelogram of length  $i + 1$ , also a contradiction.

Hence  $\partial(u, u_1) = i + 1$ , or equivalently  $u \in B(x, u_1)$ . This proves  $B(x, u_3) \subseteq B(x, u_1)$  as desired.  $\square$

The following lemma rules out a class of pentagons of certain shapes with respect to a given vertex.

**Lemma 14.** Fix integers  $1 \leq i \leq d \leq D - 1$ , and suppose  $\Gamma$  contains no parallelograms of any length up to  $d + 1$ . Let  $x$  be a vertex in  $\Gamma$ . Then there is no pentagon of shape  $i, i, i, i + 1, i + 1$  with respect to  $x$  in  $\Gamma$ .

*Proof.* We prove this lemma by induction on  $i$ . The case  $i = 1$  holds otherwise we have a pentagon of shape  $1, 1, 1, 2, 2$  with respect to  $x$ . In particular we have three vertices  $x, u_1, u_2$  with  $\partial(x, u_1) = \partial(x, u_2) = \partial(u_1, u_2) = 1$ , which is a contradiction to the initial assumption  $a_1 = 0$ .

Suppose the assertion holds for any  $i < \ell \leq d$ . For the case  $i = \ell$ , suppose to the contrary that  $u_1u_2u_3u_4u_5$  is a pentagon of shape  $\ell, \ell, \ell, \ell + 1, \ell + 1$  with respect to  $x$ . Pick  $v \in C(x, u_1)$  and note that hence  $\partial(u_1, v) = \ell - 1$ . Since  $\partial(x, v) = 1$  and  $\partial(x, u_5) = \ell + 1$ , we have  $\partial(v, u_5) = \ell + 2, \ell + 1$ , or  $\ell$ . Since  $\partial(u_1, u_5) = 1$  and  $\partial(u_1, v) = \ell - 1$ , we have  $\partial(v, u_5) = \ell, \ell - 1$ , or  $\ell - 2$ . Consequently we have  $\partial(v, u_5) = \ell$ .

Next we consider  $\partial(v, u_3)$ . Note that  $\partial(x, v) = 1$  and  $\partial(x, u_3) = \ell$ , hence  $\partial(v, u_3) = \ell + 1, \ell$ , or  $\ell - 1$ . We show that  $\partial(v, u_3) = \ell - 1$  by excluding the other two cases in the following.

(1) If  $\partial(v, u_3) = \ell + 1$ , then  $v \in B(x, u_3)$ . Note that  $u_2 \in A(u_1, x)$  and  $u_3 \in A(u_2, x)$ , hence we have  $B(x, u_1) = B(x, u_2) = B(x, u_3)$  by Lemma 11. Then  $v \in B(x, u_3) = B(x, u_2) = B(x, u_1)$ , which is a contradiction to  $v \in C(x, u_1)$ .

(2) If  $\partial(v, u_3) = \ell$ , we have  $\partial(v, u_4) = \ell + 1, \ell$ , or  $\ell - 1$  since  $\partial(u_3, u_4) = 1$ . We also have  $\partial(v, u_4) = \ell + 2, \ell + 1$ , or  $\ell$  since  $\partial(x, u_4) = \ell + 1$  and  $\partial(x, v) = 1$ . Consequently we have  $\partial(v, u_4) = \ell + 1$  or  $\ell$ . For the case  $\partial(v, u_4) = \ell + 1$ , applying Lemma 10 to the pentagon  $u_1u_5u_4u_3u_2$  with  $\partial(u_1, v) = \ell - 1$  and  $\partial(v, u_4) = \ell + 1$ , we have that the pentagon  $u_1u_5u_4u_3u_2$  is of shape  $\ell - 1, \ell, \ell + 1, \ell + 1, \ell$  with respect to  $v$ . In particular,  $\partial(v, u_3) = \ell + 1$  which contradicts  $\partial(v, u_3) = \ell$ . For the case  $\partial(v, u_4) = \ell$ ,  $xvu_3u_4$  is a parallelogram of length  $\ell + 1$ , a contradiction to our initial assumption.

Next we consider  $\partial(v, u_4)$ . Since  $\partial(u_3, u_4) = 1$  and  $\partial(u_3, v) = \ell - 1$ , we have  $\partial(v, u_4) = \ell, \ell - 1$ , or  $\ell - 2$ . Since  $\partial(x, v) = 1$  and  $\partial(x, u_4) = \ell + 1$ , we have  $\partial(v, u_4) = \ell + 2, \ell + 1$ , or  $\ell$ . Consequently we have  $\partial(v, u_4) = \ell$ .

Finally we consider  $\partial(v, u_2)$ . Since  $\partial(x, v) = 1$  and  $\partial(x, u_2) = \ell$ , we have  $\partial(v, u_4) = \ell + 1, \ell$ , or  $\ell - 1$ . Since  $\partial(u_1, u_2) = 1$  and  $\partial(u_1, v) = \ell - 1$ , we have  $\partial(v, u_2) = \ell, \ell - 1$ , or  $\ell - 2$ . Consequently we have  $\partial(v, u_2) = \ell$  or  $\ell - 1$ . If  $\partial(v, u_2) = \ell - 1$ , the pentagon  $u_1u_2u_3u_4u_5$  is of shape  $\ell - 1, \ell - 1, \ell - 1, \ell, \ell$  with respect to  $v$ . This is a contradiction to the induction hypothesis. Hence  $\partial(v, u_2) = \ell$ .

We conclude that the pentagon  $u_5u_1u_2u_3u_4$  is of shape  $\ell, \ell - 1, \ell, \ell - 1, \ell$  with respect to  $v$ . By Lemma 13, we have  $B(v, u_2) = B(v, u_5)$ . Since  $\partial(x, u_5) = \ell + 1$  and  $\partial(v, u_5) = \ell$ , we have  $x \in B(v, u_5)$ . Since  $\partial(x, u_2) = \ell$  and  $\partial(v, u_2) = \ell$ , we have  $x \notin B(v, u_2)$ . Consequently we have  $x \in B(v, u_5) - B(v, u_2)$ , which is a contradiction to  $B(v, u_2) = B(v, u_5)$ . □

## 4 D-bounded Property and Nonexistence of Parallelograms

Let  $\Gamma = (X, R)$  denote a distance-regular graph with diameter  $D \geq 3$ . Fix an integer  $1 \leq d \leq D - 1$ . Throughout this section, we assume that  $\Gamma$  satisfies the following conditions.

### Assumption:

- (i) The intersection numbers satisfy  $a_1 = 0, a_2 \neq 0, c_2 = 1$ , and
- (ii)  $\Gamma$  contains no parallelograms of any length up to  $d + 1$ .

We shall prove the  $d$ -bounded property of  $\Gamma$  in this section. By the definition of strongly closed subgraphs, the following proposition is easily seen.

**Proposition 15.** *Suppose  $\Delta \subseteq X$  is a strongly closed subgraph of  $\Gamma$  and  $ux_1vx_2x_3$  or  $ux_1x_2vx_3$  is a pentagon in  $\Gamma$ . If  $u, v \in \Delta$ , then  $x_1, x_2, x_3$  are all in  $\Delta$ .*

*Proof.* Since  $a_1 = 0$ , it is easily seen that  $\partial(u, v) = 2$  and  $u, x_i, v$  is weak-geodetic for  $i = 1, 2, 3$ . □

We then give a definition.



**Definition 16.** For any vertex  $x \in X$  and any subset  $\Pi \subseteq X$ , define  $[x, \Pi]$  to be the set

$$\{v \in X \mid \text{there exists } y' \in \Pi, \text{ such that the sequence } x, v, y' \text{ is geodetic}\}.$$

For any  $x, y \in X$  with  $\partial(x, y) = d'$ , set

$$\Pi_{xy} := \{y' \in \Gamma_{d'}(x) \mid B(x, y) = B(x, y')\}$$

and

$$\Delta(x, y) = [x, \Pi_{xy}].$$

For convenience, we also use  $\Delta(x, y)$  to denote the subgraph of  $\Gamma$  induced on  $\Delta(x, y)$ . Note that  $\Delta(x, y)$  contains  $x, y$  and  $\Gamma_{d'}(x) \cap \Delta(x, y) = \Pi_{xy}$ . We can also easily see the following proposition.

**Proposition 17.** For  $x, y, z, w \in X$  and  $w \in \Delta(x, y)$ , if  $x, z, w$  is geodetic, then  $z \in \Delta(x, y)$ .

*Proof.* Suppose  $\partial(x, y) = d'$ ,  $\partial(x, w) = i$  and  $\partial(x, z) = j$ . Then  $\partial(z, w) = i - j$ . By the construction of Definition 16, there exists  $y' \in \Pi_{xy}$  such that  $x, w, y'$  is geodetic. Hence  $\partial(w, y') = d' - i$ . Note that  $\partial(z, y') \leq \partial(z, w) + \partial(w, y') = d' - j$ , and  $\partial(z, y') \geq \partial(x, y') - \partial(x, z) = d' - j$ . So  $\partial(z, y') = d' - j$  and thus  $x, z, y'$  is geodetic. Hence  $z \in \Delta(x, y)$ .  $\square$

For any  $1 \leq j \leq d$ , we define the following three kinds of conditions:

- $(B_j)$  For any vertices  $x, y \in X$  with  $\partial(x, y) = j$ ,  $\Delta(x, y)$  is a regular strongly closed subgraph of  $\Gamma$  with valency  $a_j + c_j$  and diameter  $j$ .
- $(W_j)$  For any vertices  $x, y \in X$  with  $\partial(x, y) = j$ ,  $\Delta(x, y)$  is strongly closed with respect to  $x$ .
- $(R_j)$  For any vertices  $x, y \in X$  with  $\partial(x, y) = j$ ,  $\Delta(x, y)$  is a regular subgraph of  $\Gamma$  with valency  $a_j + c_j$ .

By Definition 2,  $(B_j)$  holds for each  $1 \leq j \leq d$  implies that  $\Gamma$  is  $d$ -bounded since we can choose  $\Delta(x, y)$  as the desired strongly closed subgraphs. By referring to Theorem 8, we know that for a subgraph  $\Omega$  of  $\Gamma$ , if  $\Omega$  is regular and  $\Omega$  is strongly closed with respect to some vertex  $x \in \Omega$ , then  $\Omega$  is strongly closed and is a distance-regular subgraph of  $\Gamma$ . Thus if  $(W_\ell)$  and  $(R_\ell)$  hold for some  $1 \leq \ell \leq d$ , then  $(B_\ell)$  holds. Consequently  $(W_j)$  and  $(R_j)$  hold for all  $1 \leq j \leq d$  provides a sufficient condition for the  $d$ -bounded property of  $\Gamma$ . We plan to prove Theorem 5 through the above deduction, that is, to prove  $(W_j)$  and  $(R_j)$  hold for all  $1 \leq j \leq d$  under the assumptions in the beginning of this section. We use induction on  $j$  to achieve our objective. To adequately proceed the induction process, the following lemmas are required.

**Lemma 18.** Fix integers  $i, d'$  with  $1 \leq i < d' \leq d$  and let  $x, y \in X$  with  $\partial(x, y) = d'$ . Suppose for all  $\ell \in \{i+1, i+2, \dots, d'\}$ , if vertex  $z' \in \Delta(x, y) \cap \Gamma_\ell(x)$ , we have the following (i), (ii).

(i)  $A(z', x) \subseteq \Delta(x, y)$ .

(ii) For any vertex  $w' \in \Gamma_\ell(x) \cap \Gamma_2(z')$  with  $B(x, w') = B(x, z')$ , we have  $w' \in \Delta(x, y)$ .

Then for any  $z \in \Delta(x, y) \cap \Gamma_i(x)$ ,  $A(z, x) \subseteq \Delta(x, y)$ .

*Proof.* Let  $v \in A(z, x)$ . Pick  $u \in \Delta(x, y) \cap \Gamma_{i+1}(x) \cap \Gamma_1(z)$ . Let  $uu_2u_3vz$  be a pentagon of  $\Gamma$  for some  $u_2, u_3 \in X$ . Note that  $uu_2u_3vz$  cannot have shape  $i+1, i, i-1, i, i$ , shape  $i+1, i+2, i+1, i, i$  by Lemma 10, cannot have shape  $i+1, i, i, i, i$  by Lemma 12, and cannot have shape  $i+1, i+1, i, i, i$  by Lemma 14 with respect to  $x$ . Hence  $uu_2u_3vz$  has shape  $i+1, i+1, i+1, i, i$  or  $i+1, i, i+1, i, i$  with respect to  $x$ . In the first case we have  $u_2 \in A(u, x)$ ,  $u_3 \in A(u_2, x)$ , and this implies  $u_2, u_3 \in \Delta(x, y)$  by the assumption (i). Then  $v \in \Delta(x, y)$  by Proposition 17 since  $x, v, u_3$  is geodetic. In the latter case we have  $B(x, u) = B(x, u_3)$  by Lemma 13, and consequently  $u_3 \in \Delta(x, y)$  by the assumption (ii). Then  $v \in \Delta(x, y)$  by Proposition 17 since  $x, v, u_3$  is geodetic. □

**Lemma 19.** Fix integers  $i, d'$  with  $1 \leq i < d' \leq d$  and let  $x, y \in X$  with  $\partial(x, y) = d'$ . Suppose  $(W_j)$ ,  $(R_j)$  and thus  $(B_j)$  hold in  $\Gamma$  for all  $j < d'$ , and for all  $\ell \in \{i+1, i+2, \dots, d'\}$ , if vertex  $z' \in \Delta(x, y) \cap \Gamma_\ell(x)$ , we have the following (i), (ii).

(i)  $A(z', x) \subseteq \Delta(x, y)$ .

(ii) For any vertex  $w' \in \Gamma_\ell(x) \cap \Gamma_2(z')$  with  $B(x, w') = B(x, z')$ , we have  $w' \in \Delta(x, y)$ .

Then for any  $z \in \Delta(x, y) \cap \Gamma_i(x)$  and  $w \in \Gamma_i(x) \cap \Gamma_2(z)$  with  $B(x, w) = B(x, z)$ , we have  $w \in \Delta(x, y)$ .

*Proof.* Let  $z \in \Delta(x, y) \cap \Gamma_i(x)$ . First we note that  $(B_i)$  holds since  $1 \leq i < d'$ , hence  $\Delta(x, z)$  is a regular strongly closed subgraph of diameter  $i$ .

Suppose to the contrary that there exists  $w \in \Gamma_i(x) \cap \Gamma_2(z)$  with  $B(x, w) = B(x, z)$  such that  $w \notin \Delta(x, y)$ . Since  $B(x, w) = B(x, z)$ , we have  $\Pi_{xz} = \Pi_{xw}$  and thus  $\Delta(x, z) = \Delta(x, w)$  by the construction in Definition 16.

Note that  $|C(w, z)| = 1$  since  $\partial(w, z) = 2$  and  $c_2 = 1$ . Let  $v_2$  be the unique vertex in  $C(w, z)$ .

**Claim 19.1.**  $\partial(x, v_2) = i - 1$ .

*Proof of Claim 19.1.* Let  $zv_2wv_4v_5$  be a pentagon for some  $v_4, v_5 \in X$ . Note that this pentagon exists since we can choose  $v_4 \in A(w, z)$  with the assumption  $a_2 \neq 0$ , and we can choose  $v_5 \in C(v_4, z)$  where  $v_5 \neq v_2$  with the assumption  $a_1 = 0$ . Since  $\partial(x, z) = i$  and  $\partial(z, v_2) = 1$ , we have  $\partial(x, v_2) = i + 1, i$ , or  $i - 1$ . We prove this claim by excluding the other two cases.

(1) Suppose  $\partial(x, v_2) = i + 1$ . Since  $w \in \Delta(x, w) = \Delta(x, z)$  and  $z \in \Delta(x, z)$ , we have that  $v_2, v_4, v_5 \in \Delta(x, z)$  by Proposition 15. In particular,  $\partial(x, v_2) \leq i$  since  $\Delta(x, z)$  is of diameter  $i$ . This is a contradiction.

(2) Suppose  $\partial(x, v_2) = i$ , that is,  $v_2 \in A(z, x)$ , then  $v_2 \in \Delta(x, y)$  by Lemma 18. Since  $\partial(x, v_2) = \partial(x, w) = i$ , we have  $w \in A(v_2, x)$ . Applying Lemma 18 again by viewing  $v_2$  as the role of  $z$ , we have  $w \in \Delta(x, y)$ . This contradicts our assumption that  $w \notin \Delta(x, y)$ . Hence  $\partial(x, v_2) = i - 1$ .

Let  $u$  be a vertex in  $\Delta(x, y) \cap \Gamma_{i+1}(x) \cap \Gamma_1(z)$ . Let  $y_3 \in A(u, v_2)$  and  $y_4 \in C(y_3, v_2)$ .

**Claim 19.2.** The pentagon  $v_2zy_3y_4$  has shape  $i - 1, i, i + 1, i + 1, i$  with respect to  $x$ . Moreover the pentagon is contained in  $\Delta(x, y)$ .

*Proof of Claim 19.2.* The shape of the pentagon  $v_2zy_3y_4$  is determined by Lemma 10. Since  $\partial(x, y_3) = i + 1$ , we have  $y_3 \in A(u, x)$  and we can conclude that  $y_3 \in \Delta(x, y)$  by the assumption (i). We can also conclude that the remaining  $v_2$  and  $y_4$  are in  $\Delta(x, y)$  by Proposition 17 since  $x, v_2, y_3$  and  $x, y_4, y_3$  are both geodesic.

If  $w = y_4$  then  $w \in \Delta(x, y)$  by Claim 19.2. This contradicts our assumption that  $w \notin \Delta(x, y)$ . Hence  $w \neq y_4$  and we have  $\partial(w, y_4) = 2$  by excluding the other possible case  $\partial(w, y_4) = 1$  under the assumption  $a_1 = 0$ . Let  $w_3 \in A(y_4, w)$  and  $w_4 \in C(w_3, w)$ .

**Claim 19.3.** The pentagon  $v_2y_4w_3w_4w$  has shape  $i - 1, i, i + 1, i + 1, i$  with respect to  $x$  and  $\{w_3, w_4\} \cap \{y_3, u\} = \emptyset$ .

*Proof of Claim 19.3.* Recall that  $\Delta(x, w) = \Delta(x, z)$  is strongly closed of diameter  $i$  since  $(B_i)$  holds. Also note that  $v_2 \in \Delta(x, z)$  since  $x, v_2, z$  is geodesic. Since  $\partial(w, w_4) = 1$  and  $\partial(x, w) = i$ , we have  $\partial(x, w_4) = i - 1, i$ , or  $i + 1$ .

If  $\partial(x, w_4) = i - 1$  or  $i$ , then  $x, w_4, w$  is weak-geodesic. Since  $\Delta(x, w)$  is strongly closed, we have  $w_4 \in \Delta(x, w) = \Delta(x, z)$ . This forces  $y_4 \in \Delta(x, z)$  by applying Proposition 15 to the pentagon  $v_2y_4w_3w_4w$  with  $v_2, w_4 \in \Delta(x, z)$ . By applying Proposition 15 again to the pentagon  $zv_2y_4y_3u$  with  $z, y_4 \in \Delta(x, z)$ , we have  $y_3 \in \Delta(x, z)$ . This is a contradiction since  $\Delta(x, z)$  has diameter  $i$  and  $\partial(x, y_3) = i + 1 > i$ . Hence  $\partial(x, w_4) = i + 1$  and  $v_2ww_4w_3y_4$  has shape  $i - 1, i, i + 1, i + 1, i$  with respect to  $x$  by Lemma 10.

Since  $\partial(x, w_3) = \partial(x, w_4) = i + 1$  and  $\partial(w_3, w_4) = 1$ , we have  $w_4 \in A(w_3, x)$ . By the assumption (i), if  $w_3 \in \Delta(x, y)$  then  $w_4 \in \Delta(x, y)$ . Recall that  $y_3$  and  $u$  are both in  $\Delta(x, y)$  by Claim 19.2. Therefore if  $\{w_3, w_4\} \cap \{y_3, u\} \neq \emptyset$ , we can conclude that  $w_4 \in \Delta(x, y)$  for any case. Since  $x, w, w_4$  is geodesic, we have  $w \in \Delta(x, y)$  by Proposition 17. This is a contradiction to our assumption that  $w \notin \Delta(x, y)$ .

The two pentagons  $v_2zy_3y_4$  and  $v_2y_4w_3w_4w$  are shown in Figure 1.

**Claim 19.4.**  $B(x, y_3) \neq B(x, w_3)$ .

*Proof of Claim 19.4.* Note that  $\partial(y_3, w_3) = 2$  since  $\partial(y_4, w_3) = 1$ ,  $\partial(y_4, y_3) = 1$ , and  $a_1 = 0$ . Suppose to the contrary that  $B(x, y_3) = B(x, w_3)$ . Recall that  $y_3 \in \Delta(x, y)$  by Claim 19.2. Hence we have  $w_3 \in \Delta(x, y)$  by the assumption (ii). Since  $\partial(x, w_3) = \partial(x, w_4) = i + 1$  and  $\partial(w_3, w_4) = 1$ , we have  $w_4 \in A(w_3, x)$ . We then have  $w_4 \in \Delta(x, y)$  by the assumption (i).

distance to  $x$

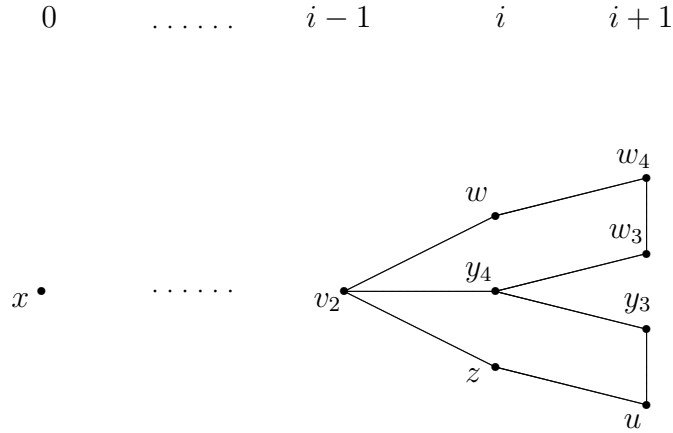


Figure 1: Two pentagons in the proof of Lemma 19.

Since  $x, w, w_4$  is geodesic, we have  $w \in \Delta(x, y)$  by Proposition 17. This is a contradiction to our assumption that  $w \notin \Delta(x, y)$ .

Let  $p_3 \in A(y_3, w_3)$  and  $p_4 \in C(p_3, w_3)$ . Note that these two vertices exist since  $\partial(y_3, w_3) = 2, a_2 \neq 0$ , and  $c_2 = 1$ .

**Claim 19.5.** The pentagon  $y_4y_3p_3p_4w_3$  has shape  $i, i + 1, i + 2, i + 2, i + 1$  with respect to  $x$ .

*Proof of Claim 19.5.* Since  $\partial(p_3, y_3) = 1$  and  $\partial(x, y_3) = i + 1$ , we have  $\partial(x, p_3) = i, i + 1$  or  $i + 2$ . We show that  $\partial(x, p_3) = i + 2$  by excluding the other two cases in the following.

(1) Suppose  $\partial(x, p_3) = i + 1$ , then  $\partial(x, p_4) = i + 2, i + 1$ , or  $i$  since  $\partial(p_3, p_4) = 1$ .

If  $\partial(x, p_4) = i + 2$ , then the pentagon  $y_4y_3p_3p_4w_3$  should have shape  $i, i + 1, i + 2, i + 2, i + 1$  with respect to  $x$  by Lemma 10. This is a contradiction to the assumption  $\partial(x, p_3) = i + 1$  for this case.

If  $\partial(x, p_4) = i + 1$ , then  $\partial(x, y_3) = \partial(x, p_3) = \partial(x, p_4) = \partial(x, w_3) = i + 1$ . Hence  $p_3 \in A(y_3, x), p_4 \in A(p_3, x)$ , and  $w_3 \in A(p_4, x)$ . By applying Lemma 11 three times, we have  $B(x, y_3) = B(x, p_3) = B(x, p_4) = B(x, w_3)$ . This is a contradiction to Claim 19.4.

If  $\partial(x, p_4) = i$ , then the pentagon  $y_3y_4w_3p_4p_3$  should have shape  $i + 1, i, i + 1, i, i + 1$  with respect to  $x$ . By Lemma 13, we have  $B(x, y_3) = B(x, w_3)$ . This is also a contradiction to Claim 19.4.

(2) Suppose  $\partial(x, p_3) = i$ , then  $\partial(x, p_4) = i - 1, i$ , or  $i + 1$  since  $\partial(p_3, p_4) = 1$ .

If  $\partial(x, p_4) = i - 1$ , then we immediately get a contradiction from  $\partial(x, p_4) = i - 1, \partial(x, w_3) = i + 1$ , and  $\partial(w_3, p_4) = 1$ .

If  $\partial(x, p_4) = i$ , the pentagon  $y_3y_4w_3p_4p_3$  should have shape  $i + 1, i, i + 1, i, i$  with respect to  $x$ . By Lemma 13, we have  $B(x, y_3) = B(x, w_3)$ . This is a contradiction to Claim 19.4.

If  $\partial(x, p_4) = i + 1$ , the pentagon  $w_3y_4y_3p_3p_4$  should have shape  $i + 1, i, i + 1, i, i + 1$  with respect to  $x$ . By Lemma 13, we have  $B(x, y_3) = B(x, w_3)$ . This is also a contradiction to

Claim 19.4.

We conclude that  $\partial(x, p_3) = i + 2$ . In particular, the pentagon  $y_4y_3p_3p_4w_3$  has shape  $i, i + 1, i + 2, i + 2, i + 1$  with respect to  $x$  by Lemma 10.

Now we have three pentagons and their shapes with respect to  $x$  as shown in Figure 2.

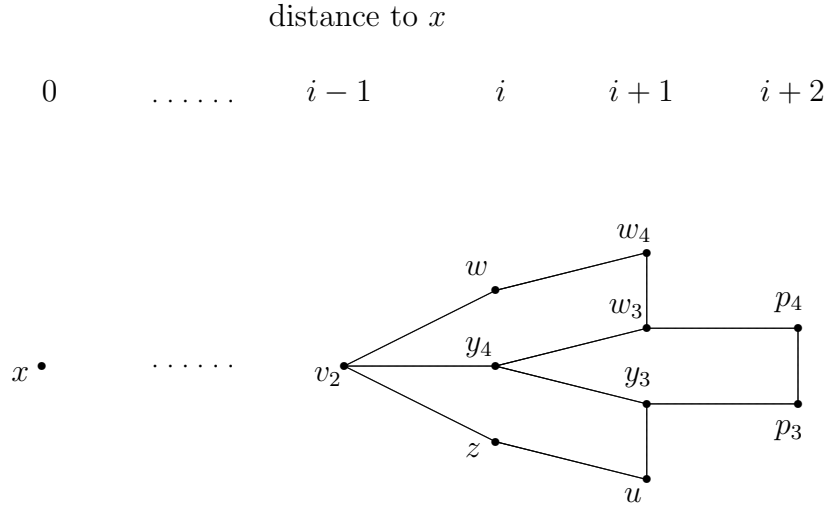


Figure 2: Three pentagons in the proof of Lemma 19.

**Claim 19.6.**  $B(x, y_4) \neq B(x, z)$  and thus  $B(x, y_4) - B(x, z) \neq \emptyset$ .

*Proof of Claim 19.6.* Suppose to the contrary that  $B(x, y_4) = B(x, z)$ . By the construction in Definition 16, we have  $\Delta(x, y_4) = \Delta(x, z)$ , which is a strongly closed subgraph of diameter  $i$  since  $(B_i)$  holds. By applying Proposition 15 to the pentagon  $zv_2y_4y_3u$  with  $z, y_4 \in \Delta(x, z)$ , we have  $y_3 \in \Delta(x, z)$ . This is a contradiction since  $\partial(x, y_3) = i + 1$  and  $\Delta(x, z)$  is of diameter  $i$ . The fact  $B(x, y_4) - B(x, z) \neq \emptyset$  is easily seen by further observe that  $|B(x, y_4)| = |B(x, z)| = b_i$ , which implies that  $B(x, y_4) \not\subseteq B(x, z)$ .

Pick  $p \in B(x, y_4) - B(x, z)$ . Note that hence  $\partial(p, y_4) = i + 1$ .

**Claim 19.7.**  $\partial(p, z) = i$ .

*Proof of Claim 19.7.* Note that  $\partial(p, z) = i$  or  $i - 1$  since  $p \notin B(x, z)$  and  $\partial(p, x) = 1$ . We exclude the case  $\partial(p, z) = i - 1$  in the following.

Suppose  $\partial(p, z) = i - 1$ . Then  $zv_2y_4y_3u$  is a pentagon of shape  $i - 1, i, i + 1, i + 1, i$  with respect to  $p$  by Lemma 10. More precisely,  $\partial(p, z) = i - 1, \partial(p, v_2) = i, \partial(p, y_4) = i + 1, \partial(p, y_3) = i + 1$ , and  $\partial(p, u) = i$ .

Next we show that  $\partial(p, p_3) = i + 2$ . Since  $\partial(p, y_3) = i + 1$  and  $\partial(p_3, y_3) = 1$ , we have  $\partial(p, p_3) = i + 2, i + 1$ , or  $i$ . Since  $\partial(x, p_3) = i + 2$  and  $\partial(x, p) = 1$ , we have  $\partial(p, p_3) = i + 3, i + 2$ , or  $i + 1$ . Consequently we have  $\partial(p, p_3) = i + 2$  or  $i + 1$ . If  $\partial(p, p_3) = i + 1$  then  $xpy_3p_3$  is a parallelogram of length  $i + 2 \leq d + 1$ , a contradiction to our initial assumption that no parallelogram of length up to  $d + 1$  exists. Hence  $\partial(p, p_3) = i + 2$ .

Next we show that  $\partial(p, w_3) = i + 2$ . We know that  $\partial(p, w_3) = i, i + 1$  or  $i + 2$  since  $\partial(x, w_3) = i + 1$  and  $\partial(x, p) = 1$ . If  $\partial(p, w_3) = i$ , then the pentagon  $w_3p_4p_3y_3y_4$  has shape  $i, i + 1, i + 2, i + 2, i + 1$  with respect to  $p$  by Lemma 10. In particular  $\partial(p, y_3) = i + 2$ , a contradiction to  $\partial(p, y_3) = i + 1$ . If  $\partial(p, w_3) = i + 1$ , we have  $\partial(p, p_4) = i + 2$  or  $i + 1$  since  $\partial(p, w_3) = i + 1$ ,  $\partial(p, p_3) = i + 2$ , and  $p_4$  is the common neighbor of  $p_3$  and  $w_3$ . If  $\partial(p, p_4) = i + 2$ , the pentagon  $w_3y_4y_3p_3p_4$  has shape  $i + 1, i + 1, i + 1, i + 2, i + 2$  with respect to  $p$ , a contradiction to Lemma 14. If  $\partial(p, p_4) = i + 1$ , the pentagon  $p_4w_3y_4y_3p_3$  has shape  $i + 1, i + 1, i + 1, i + 1, i + 2$  with respect to  $p$ , a contradiction to Lemma 12. Hence  $\partial(p, w_3) = i + 2$ .

We finally consider the shape of the pentagon  $v_2y_4w_3w_4w$  with respect to  $p$  and get a contradiction. Since  $\partial(x, p) = 1$  and  $\partial(x, v_2) = i - 1$ , we have  $\partial(p, v_2) = i, i - 1$ , or  $i - 2$ . Since  $\partial(y_4, v_2) = 1$  and  $\partial(y_4, p) = i + 1$ , we have  $\partial(p, v_2) = i + 2, i + 1$ , or  $i$ . Consequently  $\partial(p, v_2) = i$ . Hence  $v_2y_4w_3w_4w$  is a pentagon of shape  $i, i + 1, i + 2, i + 2, i + 1$  with respect to  $p$  by Lemma 10. In particular  $\partial(p, w) = i + 1$ , which implies  $p \in B(x, w)$ , a contradiction to our assumptions  $B(x, z) = B(x, w)$  and  $p \in B(x, y_4) - B(x, z)$ .

**Claim 19.8.**  $\partial(p, w) = i$ .

*Proof of Claim 19.8.* Most of the following arguments are similar as the ones in the previous Claim 19.7, so we omit some details. Since  $\partial(x, p) = 1$  and  $\partial(x, w) = i$ , we have  $\partial(p, w) = i + 1, i$ , or  $i - 1$ . We exclude the other two cases in the following.

(1) Suppose  $\partial(p, w) = i + 1$ , then  $p \in B(x, w) = B(x, z)$ . This is a contradiction to our assumption  $p \in B(x, y_4) - B(x, z)$ .

(2) Suppose  $\partial(p, w) = i - 1$ . First we have that the pentagon  $wv_2y_4w_3w_4$  is of shape  $i - 1, i, i + 1, i + 1, i$  with respect to  $p$  by Lemma 10.

Next we show that then  $\partial(p, p_4) = i + 2$ . To avoid  $xpw_3p_4$  to be a parallelogram of length  $i + 2 \leq d + 1$ , we have  $\partial(p, p_4) = i + 2$ .

Then we show that  $\partial(p, y_3) = i + 2$ . By applying Lemma 10, Lemma 12, and Lemma 14 to the shape of the pentagon  $y_4w_3p_4p_3y_3$  with respect to  $p$ , we have that  $\partial(p, y_3) = i + 2$ .

We finally consider the shape of the pentagon  $v_2y_4y_3uz$  with respect to  $p$  and get a contradiction. Consequently  $v_2y_4y_3uz$  is a pentagon of shape  $i, i + 1, i + 2, i + 2, i + 1$  with respect to  $p$  by Lemma 10, which is a contradiction to  $\partial(p, z) = i$ .

**Claim 19.9.**  $\partial(p, u) = \partial(p, w_4) = i + 1$ .

*Proof of Claim 19.9.* Since  $\partial(p, z) = \partial(x, z) = i$ , we have  $p \in A(x, z)$  and thus  $B(z, x) = B(z, p)$  by Lemma 11. In particular  $u \in B(z, p)$  and hence  $\partial(p, u) = i + 1$ . Similarly,  $\partial(p, w_4) = i + 1$ .

**Claim 19.10.**  $\partial(p, y_3) = i$ .

*Proof of Claim 19.10.* Since  $\partial(x, y_3) = i + 1$  and  $\partial(x, p) = 1$ , we have  $\partial(p, y_3) = i + 2, i + 1$ , or  $i$ . We exclude the other two cases in the following.

(1) Suppose  $\partial(p, y_3) = i + 2$ , then  $p \in B(x, y_3)$  since  $\partial(x, y_3) = i + 1$  and  $\partial(x, p) = 1$ . Since  $\partial(x, y_3) = \partial(x, u) = i + 1$  and  $\partial(u, y_3) = 1$ , we have  $y_3 \in A(u, x)$  and hence

$B(x, u) = B(x, y_3)$  by Lemma 11. Then we have  $p \in B(x, u)$ , which implies  $\partial(p, u) = i + 2$ . This is a contradiction to Claim 19.9.

(2) Suppose  $\partial(p, y_3) = i + 1$ . We first show that  $\partial(p, p_3) = i + 2$ . By applying Lemma 11 we have  $B(y_3, x) = B(y_3, p)$ . Then as  $p_3 \in B(y_3, x) = B(y_3, p)$ ,  $\partial(p, p_3) = i + 2$ .

Next we show that  $\partial(p, w_3) = i + 2$ . Applying Lemma 12, Lemma 14 to the pentagon  $w_3y_4y_3p_3p_4$  and considering its shape with respect to  $p$ , we find  $\partial(p, w_3) \neq i + 1$ . Applying Lemma 10 to the pentagon  $w_3p_4p_3y_3y_4$ , we find  $\partial(p, w_3) \neq i$ . Thus  $\partial(p, w_3) = i + 2$ .

Recall that  $\partial(p, w_4) = i + 1$  by Claim 19.9. Then  $pxw_4w_3$  is a parallelogram of length  $i + 2 \leq d + 1$ . This contradicts our initial assumption that no parallelogram of length up to  $d + 1$  exists.

**Claim 19.11.**  $\partial(p, w_3) = i$ .

*Proof of Claim 19.11.* Since  $\partial(x, w_3) = i + 1$  and  $\partial(x, p) = 1$ , we have  $\partial(p, w_3) = i + 2, i + 1$ , or  $i$ . We exclude the other two cases in the following.

(1) Suppose  $\partial(p, w_3) = i + 2$ . Since  $\partial(x, w_3) = \partial(x, w_4) = i + 1$ , we have  $w_4 \in A(w_3, x)$  and hence  $B(x, w_4) = B(x, w_3)$  by Lemma 11. Then  $p \in B(x, w_3) = B(x, w_4)$ , which implies  $\partial(p, w_4) = i + 2$  since  $\partial(x, w_4) = i + 1$ . This is a contradiction to Claim 19.9.

(2) Suppose  $\partial(p, w_3) = i + 1$ . Since  $\partial(p_4, w_3) = 1$ , we have  $\partial(p, p_4) = i + 2, i + 1$ , or  $i$ . Since  $\partial(x, p) = 1$  and  $\partial(x, p_4) = i + 2$ , we have  $\partial(p, p_4) = i + 3, i + 2$ , or  $i + 1$ . Consequently we have  $\partial(p, p_4) = i + 2$  or  $i + 1$ .

If  $\partial(p, p_4) = i + 2$ , recall that  $\partial(p, y_3) = i$  by Claim 19.10. Then the pentagon  $y_3p_3p_4w_3y_4$  has shape  $i, i + 1, i + 2, i + 2, i + 1$  with respect to  $p$  by Lemma 10. In particular  $\partial(p, w_3) = i + 2$ , which contradicts the assumption  $\partial(p, w_3) = i + 1$ .

If  $\partial(p, p_4) = i + 1$ , then  $xpw_3p_4$  is a parallelogram of length  $i + 2 \leq d + 1$ . This contradicts our initial assumption that no parallelogram of length up to  $d + 1$  exists.

**Claim 19.12.** The pentagon  $p_4w_3y_4y_3p_3$  has shape  $i + 1, i, i + 1, i, i + 1$  with respect to  $p$ .

*Proof of Claim 19.12.* Since  $\partial(x, p_3) = i + 2$  and  $\partial(x, p) = 1$ , we have  $\partial(p, p_3) = i + 3, i + 2$ , or  $i + 1$ . Since  $\partial(p_3, y_3) = 1$  and  $\partial(p, y_3) = i$  by Claim 19.10, we have  $\partial(p, p_3) = i + 1, i$ , or  $i - 1$ . Consequently we have  $\partial(p, p_3) = i + 1$ . Similarly we have  $\partial(p, p_4) = i + 1$  since  $\partial(p, w_3) = i$  by Claim 19.11.

Recall that  $\partial(p, y_4) = i + 1$  since  $p \in B(x, y_4) - B(x, z)$ . Sum up Claim 19.10, Claim 19.11 and the above arguments, we conclude that the pentagon  $p_4w_3y_4y_3p_3$  has shape  $i + 1, i, i + 1, i, i + 1$  with respect to  $p$ .

Applying Lemma 13 to the pentagon  $p_4w_3y_4y_3p_3$  yields that  $B(p, p_4) = B(p, y_4)$ . Since  $\partial(x, p_4) = i + 2$  and  $\partial(p, p_4) = i + 1$  by Claim 19.11, we have  $x \in B(p, p_4) = B(p, y_4)$ . Hence  $\partial(x, y_4) = \partial(p, y_4) + 1 = i + 2$ . This is a contradiction since  $\partial(x, y_4) = i$ .

Consequently,  $w \in \Delta(x, y)$  and this completes the proof. □

**Lemma 20.** Fix integer  $d'$  with  $1 < d' \leq d$  and let  $x, y \in X$  with  $\partial(x, y) = d'$ . Suppose  $(W_j)$ ,  $(R_j)$  and thus  $(B_j)$  hold in  $\Gamma$  for all  $j < d'$ . Then for any vertex  $z \in \Delta(x, y) \cap \Gamma_\ell(x)$  where  $1 \leq \ell \leq d'$ , we have the following (i), (ii).

(i)  $A(z, x) \subseteq \Delta(x, y)$ .

(ii) For any vertex  $w \in \Gamma_\ell(x) \cap \Gamma_2(z)$  with  $B(x, w) = B(x, z)$ , we have  $w \in \Delta(x, y)$ .

In particular  $(W_{d'})$  holds.

*Proof.* We prove (i), (ii) by induction on  $d' - \ell$ . For the case  $d' - \ell = 0$ , i.e.  $\ell = d'$ , we have  $z \in \Pi_{xy}$ . Hence (i), (ii) follows by Lemma 11 and the construction of  $\Pi_{xy}$  in Definition 16.

Suppose for all  $\ell$  with  $0 \leq d' - \ell < d' - i$ , i.e.  $\ell \in \{i + 1, i + 2, \dots, d'\}$ , if vertex  $z' \in \Delta(x, y) \cap \Gamma_\ell(x)$ , we have the following (a), (b).

(a)  $A(z', x) \subseteq \Delta(x, y)$ .

(b) For any vertex  $w' \in \Gamma_\ell(x) \cap \Gamma_2(z')$  with  $B(x, w') = B(x, z')$ , we have  $w' \in \Delta(x, y)$ .

Then (i), (ii) hold for  $\ell = i$ , i.e.  $d' - \ell = d' - i$ , by Lemma 18 and Lemma 19. Then we conclude that (i), (ii) hold for all  $0 \leq d' - \ell \leq d' - 1$ , i.e.  $1 \leq \ell \leq d'$ , by induction.

In particular, we have  $A(z, x) \subseteq \Delta(x, y)$  by (i), and we also have  $C(z, x) \subseteq \Delta(x, y)$  by Proposition 17. Hence  $(W_{d'})$  holds by (2).  $\square$

The following proposition proves  $(R_{d'})$  and hence completes the preparation for the proof of Theorem 5.

**Lemma 21.** Fix integer  $d'$  with  $1 < d' \leq d$  and let  $x, y \in X$  with  $\partial(x, y) = d'$ . Suppose  $(W_j)$ ,  $(R_j)$  and thus  $(B_j)$  hold in  $\Gamma$  for all  $j < d'$ . Then  $\Delta(x, y)$  is regular with valency  $a_{d'} + c_{d'}$ .

*Proof.* Set  $\Delta = \Delta(x, y)$ . Clearly for any  $v \in \Delta$ , the construction ensures us that  $\partial(x, v) \leq d'$ . Hence  $B(y', x) \cap \Delta = \emptyset$  for any  $y' \in \Pi_{xy}$ . Applying Lemma 20, we have  $|\Gamma_1(y') \cap \Delta| = a_{d'} + c_{d'}$  for any  $y' \in \Pi_{xy}$ .

Next we show  $|\Gamma_1(x) \cap \Delta| = a_{d'} + c_{d'}$ . Note that  $y \in \Delta \cap \Gamma_{d'}(x)$  by the construction of  $\Delta$ . For any  $z \in C(x, y) \cup A(x, y)$ ,

$$\partial(x, z) + \partial(z, y) \leq \partial(x, y) + 1.$$

This implies  $x, z, y$  is a weak-geodetic sequence, then  $z \in \Delta$  since  $\Delta$  is strongly closed with respect to  $x$  by Lemma 20. Hence  $C(x, y) \cup A(x, y) \subseteq \Delta$ . Suppose  $B(x, y) \cap \Delta \neq \emptyset$  and let  $t \in B(x, y) \cap \Delta$ . Then there exists  $y' \in \Pi_{xy}$  such that  $x, t, y'$  is a geodetic sequence by Definition 16. This implies  $t \in C(x, y')$ , a contradiction to  $B(x, y) = B(x, y')$ . Hence  $B(x, y) \cap \Delta = \emptyset$  and  $\Gamma_1(x) \cap \Delta = C(x, y) \cup A(x, y)$ . This proves  $|\Gamma_1(x) \cap \Delta| = a_{d'} + c_{d'}$ .

Since each vertex in  $\Delta$  appears in a sequence of vertices  $x = x_0, x_1, \dots, x_{d'}$  in  $\Delta$ , where  $\partial(x, x_\ell) = \ell$ ,  $\partial(x_{\ell-1}, x_\ell) = 1$  for  $1 \leq \ell \leq d'$ , and  $x_{d'} \in \Pi_{xy}$ , it suffices to show

$$|\Gamma_1(x_i) \cap \Delta| = a_{d'} + c_{d'} \tag{3}$$



for  $1 \leq i \leq d' - 1$ . For each integer  $1 \leq i \leq d'$ , we show

$$|\Gamma_1(x_{i-1}) \setminus \Delta| \leq |\Gamma_1(x_i) \setminus \Delta| \tag{4}$$

by the 2-way counting of the number of the pairs  $(z, s)$  with  $z \in \Gamma_1(x_{i-1}) \setminus \Delta$ ,  $s \in \Gamma_1(x_i) \setminus \Delta$  and  $\partial(z, s) = 2$ .

For a fixed  $s \in \Gamma_1(x_i) \setminus \Delta$ , we have  $\partial(s, x_{i-1}) = 2$  since  $a_1 = 0$ . Hence such a  $z$  must be one of the  $a_2$  vertices in  $A(x_{i-1}, s)$ . The number of such pairs  $(z, s)$  is thus at most  $|\Gamma_1(x_i) \setminus \Delta| a_2$ .

On the other hand, we show this number is  $|\Gamma_1(x_{i-1}) \setminus \Delta| a_2$  exactly. Fix  $z \in \Gamma_1(x_{i-1}) \setminus \Delta$ . Note that  $\partial(x_i, z) = 2$  since  $a_1 = 0$ . Hence the condition “ $s \in \Gamma_1(x_i)$  with  $\partial(z, s) = 2$ ” is equivalent to “ $s \in A(x_i, z)$ ”. We shall prove  $s \notin \Delta$  for any  $s \in A(x_i, z)$ . Recall that  $\Delta$  is strongly closed with respect to  $x$  by Lemma 20, which implies  $C(x_{i-1}, x) \subseteq \Delta$  and  $A(x_{i-1}, x) \subseteq \Delta$ . Then  $z \in B(x_{i-1}, x)$  and hence  $\partial(x, z) = i$ .

Suppose to the contrary that there exists  $s \in A(x_i, z) \cap \Delta$ . Let  $w \in C(s, z)$ . Note that  $w \neq x_i$  since  $a_1 = 0$ . Since  $\partial(x_i, x) = i$  and  $\partial(x_i, s) = 1$ , we have  $\partial(x, s) = i + 1, i$ , or  $i - 1$ .

We first show that  $\partial(x, s) = i$  or  $i - 1$ . If  $\partial(x, s) = i + 1$ , applying Lemma 10 to the pentagon  $x_{i-1}x_i s w z$  with  $\partial(x, x_{i-1}) = i - 1$  and  $\partial(x, s) = i + 1$ , we see that the pentagon  $x_{i-1}x_i s w z$  has shape  $i - 1, i, i + 1, i + 1, i$  with respect to  $x$ . In particular,  $\partial(x, w) = i + 1$  and hence  $w \in A(s, x)$ . Then we have  $w \in \Delta$  by Lemma 20(i). Note that  $\partial(x, w) = i + 1$  and  $\partial(x, z) = i$ , which implies that  $x, z, w$  is a geodesic sequence. Then we have  $z \in \Delta$  by Proposition 17, a contradiction to  $z \in \Gamma_1(x_{i-1}) \setminus \Delta$ .

We next show that  $\partial(x, w) = i$  or  $i - 1$ . Since  $\partial(z, x) = i$  and  $\partial(z, w) = 1$ , we have  $\partial(x, w) = i + 1, i$ , or  $i - 1$ . If  $\partial(x, w) = i + 1$ , the pentagon  $x_{i-1}z w s x_i$  has shape  $i - 1, i, i + 1, i + 1, i$  with respect to  $x$  by Lemma 10. In particular  $\partial(x, s) = i + 1$ , which is a contradiction to  $\partial(x, s) = i$  or  $i - 1$  constructed in the last paragraph.

If  $\partial(x, w) = \partial(x, s) = i$ , then  $s \in A(x_i, x)$ ,  $w \in A(s, x)$ , and  $z \in A(w, x)$ . Applying Lemma 20(i) three times we have  $z \in \Delta$ , which is a contradiction to  $z \in \Gamma_1(x_{i-1}) \setminus \Delta$ . Hence  $\partial(x, w) \leq i - 1$  or  $\partial(x, s) \leq i - 1$ . For the case  $\partial(x, s) = i - 1$  and  $\partial(x, w) = i$  we consider the shape of the pentagon  $z x_{i-1} x_i s w$  with respect to  $x$ . For the case  $\partial(x, s) = i$  and  $\partial(x, w) = i - 1$ , or the case  $\partial(x, s) = i - 1$  and  $\partial(x, w) = i - 1$ , we consider the shape of the pentagon  $x_i x_{i-1} z w s$  with respect to  $x$ . Applying Lemma 13 to each of the these three cases we have  $B(x, z) = B(x, x_i)$  and then  $z \in \Delta$  by Lemma 20(ii), a contradiction to  $z \in \Gamma_1(x_{i-1}) \setminus \Delta$ .

From the above counting, we have

$$|\Gamma_1(x_{i-1}) \setminus \Delta| a_2 \leq |\Gamma_1(x_i) \setminus \Delta| a_2 \tag{5}$$

for  $1 \leq i \leq d'$ . Eliminating the nonzero  $a_2$  from (5), we find (4) or equivalently

$$|\Gamma_1(x_{i-1}) \cap \Delta| \geq |\Gamma_1(x_i) \cap \Delta| \tag{6}$$

for  $1 \leq i \leq d'$ . We have shown previously that  $|\Gamma_1(x_0) \cap \Delta| = |\Gamma_1(x_{d'}) \cap \Delta| = a_{d'} + c_{d'}$ . Hence (3) follows from (6).

□

**Proof of Theorem 5.** For  $1 \leq j \leq d$ , we prove  $(W_j)$  and  $(R_j)$  by induction on  $j$ . Since  $a_1 = 0$ , there are no edges in  $\Gamma_1(x)$  for any vertex  $x \in X$ .

For  $j = 1$ , then  $\Pi_{xy} = \{y\}$  since for any other  $y' \in \Gamma_1(x)$ ,  $y' \in B(x, y)$  but  $y' \notin B(x, y')$ . Consequently  $\Delta(x, y) = \{x, y\}$  is an edge; in particular  $\Delta(x, y)$  is regular with valency  $1 = a_1 + c_1$  and is strongly closed with respect to  $x$  since  $a_1 = 0$ . This proves  $(R_1)$  and  $(W_1)$ .

For  $j \geq 2$ , assume  $(W_j), (R_j)$  and thus  $(B_j)$  hold for all  $1 \leq j < d' \leq d$ . By Lemma 20 and Lemma 21, we have that  $(W_{d'}), (R_{d'})$  and thus  $(B_{d'})$  hold.

Then we have  $(B_j)$  holds for  $1 \leq j \leq d$ . By the deduction in the paragraph before Lemma 18, the proof is completed.  $\square$

Combining Theorem 4 and Theorem 5, the Proof of Theorem 6 can be completed.

**Proof of Theorem 6.** ((i)  $\Rightarrow$  (ii)) By Theorem 9, we see that  $\Gamma$  contains no parallelograms of any length up to  $d + 1$ . Suppose that  $\Gamma$  is  $d$ -bounded for  $d \geq 2$ . Let  $\Omega \subseteq \Delta$  be two regular strongly closed subgraphs of diameters 1, 2 respectively. Since  $\Omega$  and  $\Delta$  have different valency  $b_0 - b_1$  and  $b_0 - b_2$  respectively by Theorem 8, we have  $b_1 > b_2$ .

((ii)  $\Rightarrow$  (i)) Under the assumptions Theorem 6(ii) (hence  $b_1 > b_2$ ) and  $a_2 \neq 0$ , consider the following four cases.

- (a)  $a_1 = 0$  and  $c_2 > 1$ : This case follows by Theorem 4 (i).
- (b)  $a_1 = 0$  and  $c_2 = 1$ : This case follows by Theorem 5.
- (c)  $a_1 \neq 0$  and  $c_2 > 1$ : This case follows by Theorem 4 (ii).
- (d)  $a_1 \neq 0$  and  $c_2 = 1$ : Note that by equation (1),  $a_1 + b_1 + c_1 = k = a_2 + b_2 + c_2$ . Since  $c_1 = c_2 = 1$  and  $b_1 > b_2$ , this case is equivalent to the case  $a_2 > a_1 \geq c_2 = 1$ . Then the result follows by Theorem 4 (iii).  $\square$

## 5 Classical parameters

Let  $\Gamma = (X, R)$  denote a distance-regular graph with diameter  $D \geq 3$ .  $\Gamma$  is said to have *classical parameters*  $(D, b, \alpha, \beta)$  whenever the intersection numbers of  $\Gamma$  satisfy

$$c_i = \begin{bmatrix} i \\ 1 \end{bmatrix}_b \left( 1 + \alpha \begin{bmatrix} i-1 \\ 1 \end{bmatrix}_b \right) \quad \text{for } 0 \leq i \leq D, \quad (7)$$

$$b_i = \left( \begin{bmatrix} D \\ 1 \end{bmatrix}_b - \begin{bmatrix} i \\ 1 \end{bmatrix}_b \right) \left( \beta - \alpha \begin{bmatrix} i \\ 1 \end{bmatrix}_b \right) \quad \text{for } 0 \leq i \leq D, \quad (8)$$

where

$$\begin{bmatrix} i \\ 1 \end{bmatrix}_b := \begin{cases} 1 + b + b^2 + \cdots + b^{i-1} & \text{if } i > 0, \\ 0 & \text{if } i \leq 0. \end{cases}$$

Applying (1) with (7) and (8), we have

$$a_i = \begin{bmatrix} i \\ 1 \end{bmatrix}_b \left( \beta - 1 + \alpha \left( \begin{bmatrix} D \\ 1 \end{bmatrix}_b - \begin{bmatrix} i \\ 1 \end{bmatrix}_b - \begin{bmatrix} i-1 \\ 1 \end{bmatrix}_b \right) \right) \quad (9)$$

$$= \begin{bmatrix} i \\ 1 \end{bmatrix}_b \left( a_1 - \alpha \left( \begin{bmatrix} i \\ 1 \end{bmatrix}_b + \begin{bmatrix} i-1 \\ 1 \end{bmatrix}_b - 1 \right) \right) \quad (10)$$

for  $0 \leq i \leq D$ .

Classical parameters were introduced in [2, Chapter 6]. Graphs with such parameters yield  $P$ - and  $Q$ -polynomial association schemes. Bannai and Ito proposed the classification of such schemes in [1].

The following theorem is a combination of [11, Theorem 2.12] and [14, Lemma 7.3(ii)].

**Theorem 22.** ([11, Theorem 2.12], [14, Lemma 7.3(ii)]) *Let  $\Gamma$  denote a distance-regular graph with classical parameters  $(D, b, \alpha, \beta)$ , where  $b < -1$  and  $D \geq 3$ . Then  $\Gamma$  contains no parallelograms of any length.*

The following two lemmas are given in [13].

**Lemma 23.** ([13, Corollary 3.7]) *Let  $\Gamma$  denote a distance-regular graph with classical parameters  $(D, b, \alpha, \beta)$  and  $D \geq 3$ . Suppose  $\Gamma$  contains no parallelogram of length 2 and  $a_1 > -b - 1$ . Then*

$$c_2 = b + 1.$$

**Lemma 24.** ([13, Theorem 4.2]) *Let  $\Gamma$  denote a distance-regular graph with classical parameters  $(D, b, \alpha, \beta)$  and  $D \geq 4$ . Suppose  $\Gamma$  is  $D$ -bounded and  $a_1 \leq -b - 1$ . Then*

$$\beta = \alpha \frac{1 + b^D}{1 - b}.$$

By Theorem 22, Lemma 23 and Lemma 24, we have the following theorem.

**Theorem 25.** *Let  $\Gamma$  denote a distance-regular graph with classical parameters  $(D, b, \alpha, \beta)$  where  $b < -1$ . Suppose that  $\Gamma$  is  $D$ -bounded with  $D \geq 4$ . Then*

$$\beta = \alpha \frac{1 + b^D}{1 - b}. \quad (11)$$

*Proof.* Since  $b < -1$  and  $D \geq 3$ , we have that  $\Gamma$  contains no parallelograms of any length by Theorem 22. Note that  $c_2 = b + 1$  implies  $b > -1$ . If  $a_1 > -b - 1$  in  $\Gamma$ , then we get a contradiction by Lemma 23. Hence  $a_1 \leq -b - 1$  and (11) follows by Lemma 24.  $\square$

The following is a proof of Theorem 7 which demonstrates an application of Theorem 6.

**Proof of Theorem 7.** Let  $\Gamma$  denote a distance-regular graph with classical parameters  $(D, b, \alpha, \beta) = (D, -2, -2, ((-2)^{D+1} - 1)/3)$ , where  $D \geq 4$ . Then  $\Gamma$  contains no parallelograms of any length by Theorem 22. By (7), (9) and (10) we have  $c_2 = 1$  and  $a_2 = 2 > 0 = a_1$ . Hence  $\Gamma$  is  $D$ -bounded by Theorem 6 since  $b_1 > b_2$ . By (11),  $\beta = ((-2)^{D+1} - 2)/3$ , which is a contradiction.  $\square$

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