

# Generalised polygons admitting a point-primitive almost simple group of Suzuki or Ree type

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Submitted: Aug 24, 2015; Accepted: Feb 8, 2016; Published: Feb 19, 2016  
Mathematics Subject Classifications: 51E12, 20B15, 05B25

## Abstract

Let  $G$  be a collineation group of a thick finite generalised hexagon or generalised octagon  $\Gamma$ . If  $G$  acts primitively on the points of  $\Gamma$ , then a recent result of Bamberg et al. shows that  $G$  must be an almost simple group of Lie type. We show that, furthermore, the minimal normal subgroup  $S$  of  $G$  cannot be a Suzuki group or a Ree group of type  ${}^2G_2$ , and that if  $S$  is a Ree group of type  ${}^2F_4$ , then  $\Gamma$  is (up to point–line duality) the classical Ree–Tits generalised octagon.

**Keywords:** generalised hexagon; generalised octagon; primitive permutation group

## 1 Introduction

A *generalised  $d$ -gon* is a point–line incidence geometry  $\Gamma$  whose bipartite incidence graph has diameter  $d$  and girth  $2d$ . If each point of  $\Gamma$  is incident with at least three lines, and each line is incident with at least three points, then  $\Gamma$  is said to be *thick*. By the well-known Feit–Higman Theorem [6], thick finite generalised  $d$ -gons exist only for  $d \in \{2, 3, 4, 6, 8\}$ . In the present paper, we are concerned with the cases  $d = 6$  (generalised *hexagons*), and  $d = 8$  (generalised *octagons*).

A *collineation* (or *automorphism*) of  $\Gamma$  is a permutation of the point set of  $\Gamma$ , together with a permutation of the line set, such that the incidence relation is preserved (equivalently, an automorphism of the incidence graph of  $\Gamma$  that preserves the parts). The only known thick finite generalised hexagons and octagons arise as natural geometries

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\*Supported by ARC grant DP120100446.

†Supported by ARC grant DP140100416.

for certain exceptional groups of Lie type:  $G_2(q)$  and  ${}^3D_4(q)$  are collineation groups of generalised hexagons, and  ${}^2F_4(q)$  acts on a generalised octagon. In each case, the action of the collineation group is primitive on both the points and the lines of  $\Gamma$ , and transitive on the *flags* of  $\Gamma$ , namely the incident point–line pairs. Each action is also point-distance-transitive — that is, transitive on each set of ordered pairs of points at a given distance from each other in the incidence graph — and line-distance-transitive. Buekenhout and Van Maldeghem [4] showed that point-distance-transitivity implies point-primitivity for a thick finite generalised hexagon or octagon, and proved that there exist no point-distance-transitive examples other than the known *classical* examples. The existence of other point-primitive or flag-transitive (thick finite) generalised hexagons or octagons remains an open question.

Schneider and Van Maldeghem [10] showed that a group  $G$  acting point-primitively, line-primitively, and flag-transitively on a thick finite generalised hexagon or octagon must be an almost simple group of Lie type. That is,  $S \leq G \leq \text{Aut}(S)$ , with  $S$  a finite simple group of Lie type. Bamberg et al. [1] then showed that point-primitivity alone is sufficient to imply the same conclusion. We continue this work here, treating the families of Lie type groups that are of fixed rank and fixed characteristic.

**Theorem 1.** *Let  $G$  be a point-primitive collineation group of a thick finite generalised hexagon or generalised octagon  $\Gamma$ , with  $S \leq G \leq \text{Aut}(S)$  for some nonabelian finite simple group  $S$ . Then  $S$  is not a Suzuki group or a Ree group of type  ${}^2G_2$ . Moreover, if  $S$  is a Ree group of type  ${}^2F_4$ , then, up to point–line duality,  $\Gamma$  is isomorphic to the classical Ree–Tits generalised octagon.*

The non-simple groups  ${}^2B_2(2)$ ,  ${}^2G_2$  or  ${}^2F_4(2)$  are not treated by the above theorem. We refer the reader to [3], where generalised hexagons and generalised octagons admitting almost simple groups with socle  ${}^2G_2(2)'$  and  ${}^2F_4(2)'$  were investigated.

Theorem 1 is proved in three sections: the Suzuki groups are considered in Section 3; the small and large Ree groups are dealt with in Sections 4 and 5, respectively.

## 2 Preliminaries

Let us first collect some basic facts and definitions. If a finite generalised hexagon or octagon  $\Gamma$  is thick, then there exist constants  $s, t \geq 2$  such that each point (line) of  $\Gamma$  is incident with exactly  $t + 1$  lines ( $s + 1$  points), and  $(s, t)$  is called the *order* of  $\Gamma$ . If  $\mathcal{P}$  denotes the point set of  $\Gamma$ , then [11, p. 20]

$$|\mathcal{P}| = \begin{cases} (s + 1)(s^2t^2 + st + 1) & \text{if } \Gamma \text{ is a generalised hexagon,} \\ (s + 1)(st + 1)(s^2t^2 + 1) & \text{if } \Gamma \text{ is a generalised octagon.} \end{cases} \quad (1)$$

Moreover, the integers  $st$  and  $2st$  are squares in the respective cases where  $\Gamma$  is a generalised hexagon or generalised octagon.

**Lemma 2.** *Let  $\mathcal{P}$  be the point set of a thick finite generalised hexagon or generalised octagon  $\Gamma$ .*

- (i) If  $2^a$  divides  $|\mathcal{P}|$ , where  $a \geq 1$ , then  $|\mathcal{P}| > 2^{3a}$ .
- (ii) If  $\Gamma$  is a generalised hexagon and  $3^a$  divides  $|\mathcal{P}|$ , where  $a \geq 1$ , then  $|\mathcal{P}| > 3^{3a-4}$ .
- (iii) If  $\Gamma$  is a generalised octagon and  $2^a 3^b$  divides  $|\mathcal{P}|$ , where  $a \geq 0$  and  $b \geq 1$ , then  $|\mathcal{P}| > 2^a 3^{2b}$ .

*Proof.* Let  $(s, t)$  be the order of  $\Gamma$ .

(i) First suppose that  $\Gamma$  is a generalised hexagon. Since  $s^2 t^2 + st + 1$  is odd,  $2^a$  must divide  $s + 1$ . In particular,  $s + 1 \geq 2^a$ , and hence  $s \geq 2^{a-1}$ . Therefore,  $|\mathcal{P}| > (s + 1)s^2 t^2 \geq 2^a (2^{a-1})^2 2^2 = 2^{3a}$ . Now let  $\Gamma$  be a generalised octagon. Since  $2st$  is a square,  $st$  must be even, so  $(st + 1)(s^2 t^2 + 1)$  is odd, and hence  $2^a$  must divide  $s + 1$ . Therefore,  $|\mathcal{P}| > (s + 1)s^3 t^3 \geq 2^a (2^{a-1})^3 2^3 = 2^{4a} > 2^{3a}$ .

(ii) Since  $s^2 t^2 + st + 1$  is not divisible by 9,  $s + 1$  must be divisible by  $3^{a-1}$ . In particular,  $s + 1 \geq 3^{a-1}$ , and hence  $s \geq 3^{a-2}$ . Therefore,  $|\mathcal{P}| > (s + 1)s^2 t^2 \geq 3^{a-1} (3^{a-2})^2 2^2 > 3^{3a-4}$ .

(iii) Since  $2st$  is a square,  $st$  is even, so  $s^2 t^2 + 1$  is divisible by neither 2 nor 3. Hence,  $2^a 3^b$  divides  $(s + 1)(st + 1)$ . In particular,  $(s + 1)(st + 1) \geq 2^a 3^b$ . Let us say that  $s + 1$  is divisible by  $3^c$ , and that  $st + 1$  is divisible by  $3^d$ , where  $c + d = b$ . If  $c \geq 1$ , then  $s > 3^{c-1/2}$ ; and if  $d \geq 1$ , then  $st > 3^{d-1/2}$ . Also,  $t - 1 = (st + 1) - (s + 1)t$  is divisible by  $3^{\min\{c,d\}}$ , so  $t > 3^{\min\{c,d\}}$ . If  $c \geq d$ , then  $c \geq 1$  and  $t > 3^d$ , and hence  $|\mathcal{P}| > (s + 1)(st + 1)(st)^2 \geq 2^a 3^b (3^{c-1/2} 3^d)^2 = 2^a 3^{b+2(c+d)-1} = 2^a 3^{3b-1} \geq 2^a 3^{2b}$ . If  $d > c$ , then  $d \geq (b + 1)/2$ , so  $|\mathcal{P}| > (s + 1)(st + 1)(st)^2 \geq 2^a 3^b (3^{d-1/2})^2 \geq 2^a 3^b (3^{b/2})^2 = 2^a 3^{2b}$ .  $\square$

Recall that a permutation group  $G \leq \text{Sym}(\Omega)$  acts *primitively* on the set  $\Omega$  if it acts transitively and preserves no nontrivial partition of  $\Omega$ , and that this is equivalent to the stabiliser  $G_\omega$  of a point  $\omega \in \Omega$  being a maximal subgroup of  $G$ . A maximal subgroup  $M$  of an almost simple group  $G$  with minimal normal subgroup  $S$  is said to be a *novelty* maximal subgroup if  $S \cap M$  is not maximal in  $S$ . Our notation is mostly standard: we write  $D_n$  for a dihedral group of order  $n$ ;  $C_n$  denotes a cyclic group of order  $n$ ;  $[n]$  denotes an unspecified group of order  $n$ ; and, for  $q$  a prime power,  $E_q$  denotes an elementary abelian group of order  $q$ . For information about the Suzuki and Ree simple groups of Lie type, we refer the reader to [13], and the other references mentioned below.

### 3 Proof of Theorem 1: $S$ a Suzuki group

We now adopt the hypothesis of Theorem 1, assuming additionally that  $S$  is isomorphic to  $\text{Sz}(q) = {}^2\text{B}_2(q)$ , where  $q = 2^m$  with  $m$  odd and at least 3. (We exclude the case  $m = 1$  because  ${}^2\text{B}_2(2)$  is soluble.) Then

$$|S| = q^2(q^2 + 1)(q - 1) = q^2(q + \sqrt{2q} + 1)(q - \sqrt{2q} + 1)(q - 1).$$

The outer automorphism group of  $S$  is cyclic of order  $m$ . If we let  $\sigma$  denote a generator of this group, then we have  $G = S : \langle \sigma^j \rangle$  for some divisor  $j$  of  $m$ . Let  $\mathcal{P}$  be the point set of  $\Gamma$ , and let  $x \in \mathcal{P}$ . Observe first that the stabiliser  $G_x$  cannot contain  $S$ : if it did, then  $G_x$  would have the form  $S : K$  for some maximal subgroup  $K$  of the cyclic group  $\langle \sigma^j \rangle$ , and

hence  $|G : G_x| = |\mathcal{P}|$  would be a prime, which is seen to be impossible upon inspection of (1). Now, as explained in [2, Section 7.3],  $G$  has no novelty maximal subgroups. Therefore,  $S_x = G_x \cap S$  is a maximal subgroup of  $S$ , so  $S$  itself acts primitively on  $\mathcal{P}$ , and hence to prove the theorem we may assume that  $G = S$ . The maximal subgroups of  $S$  are [2, Table 8.16], up to conjugacy,

- (i)  $E_q \cdot E_q \cdot C_{q-1}$ ,
- (ii)  $D_{2(q-1)}$ ,
- (ii)  $C_{q \pm \sqrt{2q+1}} : C_4$ ,
- (iv)  $\text{Sz}(q_0)$ , where  $q = q_0^r$  with  $r$  prime and  $q_0 > 2$ .

### 3.1 Case (i)

Suppose that  $S_x \cong E_q \cdot E_q \cdot C_{q-1}$ . Suzuki [12] showed that  $S$  is 2-transitive in this action. Since  $S$  preserves the incidence relation on  $\Gamma$ , and therefore distance in the incidence graph of  $\Gamma$ , we have that the diameter of the incidence graph is at most three, a contradiction.

### 3.2 Cases (ii)–(iv)

For the remaining cases, we apply Lemma 2(i). If  $S_x \cong D_{2(q-1)}$ , then

$$|\mathcal{P}| = |S : S_x| = \frac{1}{2}q^2(q^2 + 1) = 2^{2m-1}(2^{2m} + 1) < 2^{4m},$$

contradicting Lemma 2(i) with  $a = 2m - 1$ , which says that  $|\mathcal{P}| > 2^{6m-3}$ .

If  $S_x \cong C_{q \pm \sqrt{2q+1}} : C_4$ , then

$$|\mathcal{P}| = |S : S_x| = \frac{1}{4}q^2(q \mp \sqrt{2q+1})(q-1) = 2^{2m-2}(2^m \mp 2^{(m+1)/2} + 1)(2^m - 1) < 2^{4m-1},$$

contradicting Lemma 2(i) with  $a = 2m - 2$ , which says that  $|\mathcal{P}| > 2^{6m-6}$ .

Finally, suppose that  $S_x \cong \text{Sz}(q_0)$ , where  $q = q_0^r$  with  $r$  prime and  $q_0 > 2$ . Writing  $q_0 = 2^\ell$ , we have

$$|\mathcal{P}| = |S : S_x| = 2^{2\ell(r-1)} \frac{(2^{2\ell r} + 1)(2^{\ell r} - 1)}{(2^{2\ell} + 1)(2^\ell - 1)} < 2^{5\ell(r-1)+2},$$

contradicting Lemma 2(i) with  $a = 2\ell(r - 1)$ , which says that  $|\mathcal{P}| > 2^{6\ell(r-1)}$ .

## 4 Proof of Theorem 1: $S$ a Ree group of type ${}^2G_2$

We now adopt the hypothesis of Theorem 1 and assume that  $S \cong {}^2G_2(q)$ , where  $q = 3^m$  with  $m$  odd and at least 3. (We exclude the case  $m = 1$  because  ${}^2G_2(3)$  is not simple.) Then

$$|S| = q^3(q^3 + 1)(q - 1) = q^3(q + \sqrt{3q} + 1)(q - \sqrt{3q} + 1)(q^2 - 1).$$

Let  $\mathcal{P}$  be the point set of  $\Gamma$ , and let  $x \in \mathcal{P}$ . The outer automorphism group of  $S$  is cyclic (of order  $m$ ), so, as in Section 3, we first deduce that  $G_x$  is a maximal subgroup of  $G$  not containing  $S$ . The maximal subgroups of  $G$  were determined by Kleidman [8, Theorem C]. In particular,  $G$  has no novelty maximal subgroups, so it suffices to prove the theorem in the case where  $G = S$ . The maximal subgroups of  $S$  are, up to conjugacy,

- (i)  $E_q.E_q.E_q.C_{q-1}$ ,
- (ii)  $C_2 \times \text{PSL}_2(q)$ ,
- (iii)  $(E_4 \times D_{(q+1)/2}) : C_3$ ,
- (iv)  $C_{q \pm \sqrt{3q+1}} : C_6$ ,
- (v)  ${}^2G_2(q_0)$ , where  $q = q_0^r$  with  $r$  prime.

#### 4.1 Case (i)

Suppose that  $S_x \cong E_q.E_q.E_q.C_{q-1}$ . Then  $S$  acts 2-transitively on  $\mathcal{P}$  [5, p. 251]. The same argument as in Section 3.1 now provides a contradiction.

#### 4.2 $\Gamma$ a generalised hexagon: cases (ii)–(v)

For cases (ii)–(v) with  $\Gamma$  a generalised hexagon, we use Lemma 2(ii). First suppose that  $S_x \cong C_2 \times \text{PSL}_2(q)$ . The order of  $S_x$  is  $q(q^2 - 1)$ , so

$$|\mathcal{P}| = |S : S_x| = q^2(q^2 - q + 1) = 3^{2m}(3^{2m} - 3^m + 1) < 3^{4m},$$

contradicting Lemma 2(ii) with  $a = 2m$ , which says that  $|\mathcal{P}| > 3^{6m-4}$ .

If  $S_x \cong (E_4 \times D_{(q+1)/2}) : C_3$ , then

$$|\mathcal{P}| = |S : S_x| = \frac{1}{6}q^3(q-1)(q^2 - q + 1) = \frac{1}{2}3^{3m-1}(3^m - 1)(3^{2m} - 3^m + 1) < 3^{6m-1},$$

contradicting Lemma 2(ii) with  $a = 3m - 1$ , which says that  $|\mathcal{P}| > 3^{9m-7}$ .

If  $S_x \cong C_{q \pm \sqrt{3q+1}} : C_6$ , then

$$|\mathcal{P}| = |S : S_x| = q^3(q^2 - 1)(q \mp \sqrt{3q+1}) = 3^{3m}(3^{2m} - 1)(3^m \mp 3^{(m+1)/2} + 1) < 3^{6m+1},$$

contradicting Lemma 2(ii) with  $a = 3m$ , which says that  $|\mathcal{P}| > 3^{9m-4}$ .

Finally, suppose that  $S_x \cong {}^2G_2(q_0)$ , where  $q = q_0^r$  with  $r$  prime. Writing  $q_0 = 3^\ell$ , we have

$$|\mathcal{P}| = |S : S_x| = 3^{3\ell(r-1)} \frac{(3^{3\ell r} + 1)(3^{\ell r} - 1)}{(3^{3\ell} + 1)(3^\ell - 1)} < 3^{7\ell(r-1)+2}.$$

If  $\ell(r-1) \geq 3$ , then this contradicts Lemma 2(ii) with  $a = 3\ell(r-1)$ , which gives  $|\mathcal{P}| > 3^{9\ell(r-1)-4}$ . Otherwise,  $(\ell, r) = (1, 3)$ , and there is no valid solution  $(s, t)$  to equation (1).

### 4.3 $\Gamma$ a generalised octagon: cases (ii)–(iv)

Now suppose that  $\Gamma$  is a generalised octagon. We first use Lemma 2(iii) to rule out cases (ii)–(iv) for  $S_x$ , computing  $|S : S_x|$  in each case as in Section 4.2. First suppose that  $S_x \cong C_2 \times \text{PSL}_2(q)$ . Then

$$|\mathcal{P}| = |S : S_x| = 3^{2m}(3^{2m} - 3^m + 1) < 3^{4m},$$

contradicting Lemma 2(iii) with  $a = 0$  and  $b = 2m$ , which says that  $|\mathcal{P}| > 3^{4m}$ .

Next, suppose that  $S_x \cong (E_4 \times D_{(q+1)/2}) : C_3$ . Observe that  $3^{3m} + 1$  is divisible by 4, because  $3m$  is odd. Therefore,

$$|\mathcal{P}| = |S : S_x| = 2 \cdot 3^{3m-1} \frac{3^{3m} + 1}{4} < 2 \cdot 3^{6m-2},$$

while Lemma 2(iii) with  $a = 1$  and  $b = 3m - 1$  gives  $|\mathcal{P}| > 2 \cdot 3^{6m-2}$ , a contradiction.

Finally, suppose that  $S_x \cong C_{q \pm \sqrt{3q+1}} : C_6$ . Observe that  $3^{2m} - 1$  is divisible by  $2^3$  because  $m$  is odd, and that  $3^m \mp 3^{(m+1)/2} + 1$  is even. Therefore,

$$\begin{aligned} |\mathcal{P}| = |S : S_x| &= 2^4 3^{3m} \frac{(3^{2m} - 1)(3^m \mp 3^{(m+1)/2} + 1)}{2^4} \\ &\leq 2^4 3^{3m} \frac{(3^{2m} - 1)(3^m + 3^{(m+1)/2} + 1)}{2^4} < 2^4 3^{6m-2}, \end{aligned}$$

while Lemma 2(iii) with  $a = 4$  and  $b = 3m$  gives  $|\mathcal{P}| > 2^4 3^{6m}$ , a contradiction.

### 4.4 $\Gamma$ a generalised octagon: case (v)

Finally, we consider case (v) with  $\Gamma$  a generalised octagon. The approach is similar to that used for cases (ii)–(iv), but requires a little more care.

Suppose that  $S_x \cong {}^2G_2(q_0)$ , where  $q = q_0^r$  with  $r$  prime. Writing  $q_0 = 3^\ell$ , we have

$$|\mathcal{P}| = 3^{3\ell(r-1)} \frac{(3^{3\ell r} + 1)(3^{\ell r} - 1)}{(3^{3\ell} + 1)(3^\ell - 1)} < 3^{7\ell(r-1)+\epsilon}, \quad \text{where } \epsilon := \frac{\log\left(\frac{3^4}{(3^3-1)(3-1)}\right)}{\log(3)} \approx 0.336. \quad (2)$$

To verify the inequality in (2), one checks that  $(3^{3\ell} + 1)(3^\ell - 1) \geq 3^{4\ell-\epsilon}$ , because  $\ell \geq 1$ , and that  $(3^{3\ell r} + 1)(3^{\ell r} - 1) < 3^{4\ell r}$ . Let us re-write this inequality as

$$|\mathcal{P}| < 3^{7b/3+\epsilon}, \quad \text{where } b := 3\ell(r-1).$$

Note also that  $b \geq 6$ , because  $r \geq 3$ . For a contradiction, we now show that  $|\mathcal{P}| > 3^{7b/3+\epsilon}$ . By (2),  $3^b$  is the highest power of 3 dividing  $|\mathcal{P}|$ . Since  $2st$  is a square,  $st$  is even, so  $s^2t^2 + 1$  is not divisible by 3. Hence, by (1),  $3^b$  divides  $(s+1)(st+1)$ . As in the proof of Lemma 2(iii), let us say that  $s+1$  is divisible by  $3^c$ , and that  $st+1$  is divisible by  $3^d$ , where  $c+d = b$ . Recall also (from that proof) that  $t > 3^{\min\{c,d\}}$ . To show that  $|\mathcal{P}| > 3^{7b/3+\epsilon}$ , we consider four cases.

First suppose that  $c \geq d$ . Then  $t > 3^d$ , and  $c \geq 1$  so  $s \geq 3^c - 1 > 3^{c-1/2}$ . Hence,  $|\mathcal{P}| > (s+1)(st+1)(st)^2 > 3^b(3^{c-1/2}3^d)^2 = 3^{b+2(c+d)-1} = 3^{3b-1} > 3^{7b/3+1}$ , with the final inequality holding because  $b \geq 6 > 3$ . Next, suppose that  $d/2 + 1/2 \leq c < d$ . Then  $6 \leq b = c+d \leq 3c-1$ . In particular,  $c \geq (b+1)/3$ ; and  $c \geq 3$  so  $s \geq 3^c - 1 \geq 3^{c-\delta}$ , where  $\delta := 3 - \log(3^3 - 1)/\log(3)$ . Moreover,  $t > 3^c$ , and hence  $|\mathcal{P}| > 3^b(st)^2 > 3^b(3^{c-\delta}3^c)^2 = 3^{b+4c-2\delta} \geq 3^{7b/3+(4/3-2\delta)}$ . It follows that  $|\mathcal{P}| > 3^{7b/3+\epsilon}$ , because  $1.26 \approx 4/3-2\delta > \epsilon \approx 0.336$ . Now suppose that  $c \leq d/2 - 1/2$ . Then  $6 \leq b = c+d \leq 3d/2 - 1/2$ . In particular,  $d \geq (2b+1)/3$ ; and  $d \geq 5$  so  $st \geq 3^d - 1 \geq 3^{d-\delta'}$ , where  $\delta' := 5 - \log(3^5 - 1)/\log(3)$ . Therefore,  $|\mathcal{P}| > 3^b(st)^2 > 3^{b+2d-2\delta'} = 3^{7b/3+2/3-2\delta'}$ , and it follows that  $|\mathcal{P}| > 3^{7b/3+\epsilon}$ , because  $0.659 \approx 2/3 - 2\delta' > \epsilon \approx 0.336$ .

Finally, suppose that  $d/2 - 1/2 < c < d/2 + 1/2$ . Since  $c$  and  $d$  are integers, this is equivalent to saying that  $c = d/2$ . Now, suppose first, towards a contradiction, that  $(s+1)(st+1)$  is actually equal to  $3^b$ . Then  $s+1 = 3^c$ ,  $st+1 = 3^{2c}$ , and (2) implies that

$$(s^2t^2 + 1)(3^{3\ell} + 1)(3^\ell - 1) = (3^{3\ell r} + 1)(3^{\ell r} - 1). \quad (3)$$

However, this is impossible, because the left- and right-hand sides of (3) are not congruent modulo 3. Indeed,  $st = 3^{2c} - 1 \equiv 2 \pmod{3}$ , so  $s^2t^2 + 1 \equiv 4 + 1 \equiv 2 \pmod{3}$ ;  $3^{3\ell} + 1 \equiv 1 \pmod{3}$ ; and  $3^\ell - 1 \equiv 2 \pmod{3}$ ; and hence the left-hand side of (3) is congruent to 1 modulo 3. On the other hand, the right-hand side of (3) is congruent to 2 modulo 3. Therefore,  $(s+1)(st+1)$  is strictly larger than  $3^b$ . Indeed, it is larger by a factor of at least 5, because by (2) we see that  $|\mathcal{P}|/3^b$  is divisible by neither 2 nor 3. (To verify that  $|\mathcal{P}|/3^b$  is odd, apply [7, Lemma 2.5].) Therefore,  $|\mathcal{P}| > 5 \cdot 3^b(st)^2 > 3^{b+1}(st)^2$ . Since  $6 \leq b = 3d/2$ , we have  $d \geq 4$ , and so  $st \geq 3^d - 1 \geq 3^{d-\delta''}$ , where  $\delta'' := 4 - \log(3^4 - 1)/\log(3)$ . Hence,  $|\mathcal{P}| > 3^{b+1+2d-2\delta''} = 3^{7b/3+1-2\delta''}$ , and it follows that  $|\mathcal{P}| > 3^{7b/3+\epsilon}$ , because  $0.977 \approx 1 - 2\delta'' > \epsilon \approx 0.336$ .

## 5 Proof of Theorem 1: $S$ a Ree group of type ${}^2F_4$

In this final section, we adopt the hypothesis of Theorem 1 while assuming that  $S \cong {}^2F_4(q)$ , where  $q = 2^m$  with  $m$  odd and at least 3. (We exclude the case  $m = 1$  because  ${}^2F_4(2)$  is not simple.) Then

$$|S| = q^{12}(q^6 + 1)(q^4 - 1)(q^3 + 1)(q - 1).$$

Let  $\mathcal{P}$  be the point set of  $\Gamma$ , and let  $x \in \mathcal{P}$ . The outer automorphism group of  $S$  is cyclic, so we again observe that  $G_x$  is a maximal subgroup of  $G$  not containing  $S$ . A result of Malle [9] tells us that  $G$  has no novelty maximal subgroups, so it again suffices to prove the theorem in the case where  $G = S$ . The maximal subgroups of  $S$  (listed also in [13, Section 4.9.3]) are, up to conjugacy,

- (i)  $P_1 := [q^{10}] : (\text{Sz}(q) \times C_{q-1})$ ,
- (ii)  $P_2 := [q^{11}] : \text{GL}_2(q)$ ,

- (iii)  $SU_3(q) : C_2$ ,
- (iv)  $PGU_3(q) : C_2$ ,
- (v)  $Sz(q) \wr C_2$ ,
- (vi)  $Sp_4(q) : C_2$ ,
- (vii)  ${}^2F_4(q_0)$ , where  $q = q_0^r$  with  $r$  prime,
- (viii)  $(C_{q+1} \times C_{q+1}) : GL_2(3)$ ,
- (ix)  $C_{(q \pm \sqrt{2q+1})^2} : [96]$ ,
- (x)  $C_{q^2+q+1 \pm \sqrt{2q(q+1)}} : C_{12}$ .

The groups  $P_1$  and  $P_2$  are maximal parabolic subgroups of  $S$ . The group  $P_1$  is a point stabiliser in the action of  $S$  on the classical generalised octagon, whilst  $P_2$  is a point stabiliser in the action of  $S$  on the dual [13, Section 4.9.4]. We must show that  $S_x$  cannot be isomorphic to any of the groups in cases (iii)–(x), and, further, that if  $S_x$  is isomorphic to either  $P_1$  or  $P_2$ , then  $\Gamma$  is the classical generalised octagon or its dual.

### 5.1 Cases (i)–(ii) with $\Gamma$ a generalised octagon

Suppose that  $\Gamma$  is a generalised octagon and that  $S_x$  is isomorphic to either  $P_1$  or  $P_2$ . In either action, the group  $S$  has rank five. That is, the point stabiliser  $S_x$  has five orbits on the set  $\mathcal{P}$  [13, Section 4.9.4]. For  $i \in \{0, 2, 4, 6, 8\}$ , denote by  $\Gamma_i(x)$  the set of points at distance  $i$  from  $x$  in the incidence graph of  $\Gamma$ . Since each of these sets is nontrivial and  $S_x$ -invariant, the pigeonhole principle shows that each is an orbit of  $S_x$ . Since  $S$  acts transitively on  $\mathcal{P}$ , we find that  $S$  acts distance-transitively on  $\mathcal{P}$ . Now the main result of [4] shows that  $\Gamma$  is isomorphic to the classical generalised octagon associated with  $S$ , or its dual.

### 5.2 Case (i) with $\Gamma$ a generalised hexagon

Suppose that  $\Gamma$  is a generalised hexagon, with  $S_x \cong [q^{10}] : (Sz(q) \times C_{q-1})$ . Since  $|Sz(q)| = q^2(q^2 + 1)(q - 1)$ ,

$$|\mathcal{P}| = |S : S_x| = (q^4 - q^2 + 1)(q^3 + 1)(q^2 + 1)(q + 1).$$

Equivalently (subtracting 1 from both sides),

$$s^3t^2 + s^2(t + 1) + s(t + 1) = q^{10} + q^9 + q^7 + q^6 + q^4 + q^3 + q. \quad (4)$$

Now,  $S$  acts primitively and distance-transitively on the points of a generalised octagon of order  $(q, q^2)$ , with point stabiliser  $[q^{10}] : (Sz(q) \times C_{q-1})$  and nontrivial subdegrees [13, Section 4.9.4]

$$n_1 := q(q^2 + 1), \quad n_2 := q^4(q^2 + 1), \quad n_3 := q^7(q^2 + 1), \quad n_4 := q^{10}. \quad (5)$$



Recall the notation  $\Gamma_i(x)$  from Section 5.1. Then we have [11, p. 19]

$$|\Gamma_2(x)| = s(t+1), \quad |\Gamma_4(x)| = s^2t(t+1), \quad |\Gamma_6(x)| = s^3t^2, \quad (6)$$

and  $S_x$  preserves the sets  $\Gamma_i(x)$ . Hence, each  $\Gamma_i(x)$  is a union of  $S_x$ -orbits, and so for  $i \in \{2, 4, 6\}$ , we have  $|\Gamma_i(x)| = \sum_{k=1}^4 \delta_{i,k} n_k$ , for some  $\delta_{i,k} \in \{0, 1\}$  (with  $\delta_{i,k} \delta_{j,k} = 0$  for  $i \neq j$ ). We show that this leads to a contradiction.

**Claim 1:**  $|\Gamma_2(x)| = n_1$ . The proof of the claim is by contradiction. If not, then  $|\Gamma_2(x)| \geq n_2 = q^4(q^2 + 1)$ . Since  $s, t \geq 2$ , and so in particular  $t \geq \frac{2}{3}(t+1)$ , it follows that

$$\begin{aligned} |\Gamma_4(x)| &\geq \frac{2}{3}s^2(t+1)^2 = \frac{2}{3}|\Gamma_2(x)|^2 \geq \frac{2}{3}q^8(q^2 + 1)^2, \\ |\Gamma_6(x)| &\geq 2s^2t^2 \geq \frac{4}{3}|\Gamma_4(x)| \geq \frac{8}{9}q^8(q^2 + 1)^2. \end{aligned}$$

Since the left-hand side of (4) is  $|\Gamma_2(x)| + |\Gamma_4(x)| + |\Gamma_6(x)|$ , this implies that

$$\frac{14}{9}q^8(q^2 + 1)^2 + q^4(q^2 + 1) \leq q^{10} + q^9 + q^7 + q^6 + q^4 + q^3 + q,$$

which is certainly false for  $q \geq 8$ .

**Claim 2:**  $|\Gamma_4(x)| = n_2$ . The proof is again by contradiction. If not, then  $|\Gamma_4(x)| \geq n_3 = q^7(q^2 + 1)$ , because  $|\Gamma_2(x)| = n_1 = q(q^2 + 1)$  by Claim 1. This implies the following inequality, which is certainly false for  $q \geq 8$ :

$$q^6 = \frac{q^7(q^2 + 1)}{q(q^2 + 1)} \leq \frac{|\Gamma_4(x)|}{|\Gamma_2(x)|} = \frac{s^2t(t+1)}{s(t+1)} = st < s(t+1) = q(q^2 + 1).$$

By Claims 1 and 2, we must have  $|\Gamma_6(x)| = n_3 + n_4 = q^7(q^3 + q^2 + 1) > q^8(q^2 + 1)$ , and hence

$$s > \frac{s^3t^2}{s^2t(t+1)} = \frac{|\Gamma_6(x)|}{|\Gamma_4(x)|} > \frac{q^8(q^2 + 1)}{q^4(q^2 + 1)} = q^4.$$

This is impossible, because  $s(t+1) = q(q^2 + 1)$  by Claim 1 (and hence certainly  $s < q(q^2 + 1) < q^4$ ).

### 5.3 Case (ii) with $\Gamma$ a generalised hexagon

Suppose that  $\Gamma$  is a generalised hexagon, with  $S_x \cong [q^{11}] : \text{GL}_2(q)$ . Since  $|\text{GL}_2(q)| = q(q^2 - 1)(q - 1)$ ,

$$|\mathcal{P}| = |S : S_x| = (q^4 - q^2 + 1)(q^2 + 1)^2(q^3 + 1).$$

Equivalently (subtracting 1 from both sides),

$$s^3t^2 + s^2(t+1) + s(t+1) = q^{11} + q^9 + q^8 + q^6 + q^5 + q^3 + q^2. \quad (7)$$

Now,  $S$  acts primitively and distance-transitively with stabiliser  $[q^{11}] : \text{GL}_2(q)$  on the points of a generalised octagon of order  $(q^2, q)$ , namely the point–line dual of the generalised octagon from case (i). The nontrivial subdegrees are [13, Section 4.9.4]

$$n_1 := q^2(q + 1), \quad n_2 := q^5(q + 1), \quad n_3 := q^8(q + 1), \quad n_4 := q^{11}. \quad (8)$$

For  $x \in \mathcal{P}$ , we again have (6), and  $S_x$  must preserve the sets  $\Gamma_i(x)$ ,  $i \in \{2, 4, 6\}$ , so each  $|\Gamma_i(x)|$  is equal to a sum of the subdegrees  $n_1, \dots, n_4$ , as in Section 5.2. We show that this leads to a contradiction.

**Claim 1:**  $|\Gamma_2(x)| = n_1$ . The proof of the claim is by contradiction. If not, then  $|\Gamma_2(x)| \geq n_2 = q^5(q+1)$ . Since  $s, t \geq 2$ , and so in particular  $t \geq \frac{2}{3}(t+1)$ , it follows that

$$\begin{aligned} |\Gamma_4(x)| &\geq \frac{2}{3}s^2(t+1)^2 = \frac{2}{3}|\Gamma_2(x)|^2 \geq \frac{2}{3}q^{10}(q+1)^2, \\ |\Gamma_6(x)| &\geq 2s^2t^2 \geq \frac{4}{3}|\Gamma_4(x)| \geq \frac{8}{9}q^{10}(q+1)^2. \end{aligned}$$

Since the left-hand side of (7) is  $|\Gamma_2(x)| + |\Gamma_4(x)| + |\Gamma_6(x)|$ , this implies the following inequality, which is false for  $q \geq 8$ :

$$\frac{14}{9}q^{10}(q+1)^2 + q^5(q+1) \leq q^{11} + q^9 + q^8 + q^6 + q^5 + q^3 + q^2.$$

**Claim 2:**  $|\Gamma_4(x)| = n_2$ . The proof is again by contradiction. If not, then  $|\Gamma_4(x)| \geq n_3 = q^8(q+1)$ , because  $|\Gamma_2(x)| = n_1 = q^2(q+1)$  by Claim 1. This implies the following inequality, which is false for  $q \geq 8$ :

$$q^6 = \frac{q^8(q+1)}{q^2(q+1)} \leq \frac{|\Gamma_4(x)|}{|\Gamma_2(x)|} = \frac{s^2t(t+1)}{s(t+1)} = st < s(t+1) = q^2(q+1).$$

By Claims 1 and 2, we must have  $|\Gamma_6(x)| = n_3 + n_4 = q^8(q^3 + q + 1) > q^9(q^2 + 1)$ , and hence

$$s > \frac{s^3t^2}{s^2t(t+1)} = \frac{|\Gamma_6(x)|}{|\Gamma_4(x)|} > \frac{q^9(q^2 + 1)}{q^5(q+1)} = \frac{q^4(q^2 + 1)}{q+1}.$$

This, however, contradicts  $s(t+1) = q^2(q^2 + 1)$  (namely Claim 1).

#### 5.4 Cases (iii)–(ix)

We now deal with cases (iii)–(ix), for which we use Lemma 2(i) to contradict the equality  $|\mathcal{P}| = |S : S_x|$ . First suppose that  $S_x$  is isomorphic to either  $\text{SU}_3(q) : C_2$  or  $\text{PGU}_3(q) : C_2$ . In either case, we have  $|S_x| = 2q^3(q^3 + 1)(q^2 - 1)$ , and hence

$$|\mathcal{P}| = \frac{1}{2}q^9(q^6 + 1)(q^2 + 1)(q - 1) = 2^{9m-1}(2^{6m} + 1)(2^{2m} + 1)(2^m - 1) < 2^{18m+1}.$$

However, Lemma 2(i) with  $a = 9m - 1$  gives  $|\mathcal{P}| > 2^{27m-3}$ , which is a contradiction.

If  $S_x \cong \text{Sz}(q) \wr C_2$ , then  $|S_x| = 2q^4(q^2 + 1)^2(q - 1)^2$ , so

$$|\mathcal{P}| = \frac{1}{2}q^8(q^4 - q^2 + 1)(q^3 + 1)(q + 1) = 2^{8m-1}(2^{4m} - 2^{2m} + 1)(2^{3m} + 1)(2^m + 1) < 2^{16m+1},$$

contradicting Lemma 2(i) with  $a = 8m - 1$ , which gives  $|\mathcal{P}| > 2^{24m-3}$ .

If  $S_x \cong \text{Sp}_4(q) : C_2$ , then  $|S_x| = 2q^4(q^4 - 1)(q^2 - 1)$ , so

$$|\mathcal{P}| = \frac{1}{2}q^8(q^6 + 1)(q^2 - q + 1) = 2^{8m-1}(2^{6m} + 1)(2^{2m} - 2^m + 1) < 2^{16m},$$

contradicting Lemma 2(i) with  $a = 8m - 1$ , which gives  $|\mathcal{P}| > 2^{24m-3}$ .

Now suppose that  $S_x \cong {}^2F_4(q_0)$ , where  $q = q_0^r$  with  $r$  prime. Writing  $q_0 = 2^\ell$ , we have

$$|\mathcal{P}| = 2^{12\ell(r-1)} \frac{(2^{6r\ell} + 1)(2^{4r\ell} - 1)(2^{3r\ell} + 1)(2^{r\ell} - 1)}{(2^{6\ell} + 1)(2^{4\ell} - 1)(2^{3\ell} + 1)(2^\ell - 1)} < 2^{26\ell(r-1)+4}.$$

However, Lemma 2(i) with  $a = 12\ell(r - 1)$  gives  $|\mathcal{P}| > 2^{36\ell(r-1)}$ , a contradiction (because  $\ell \geq 1$ ).

Finally, suppose that  $S_x$  is as in one of the cases (viii)–(x). Then the highest power of 2 dividing  $|S_x|$  is  $2^5$  (arising in case (ix)), so  $|\mathcal{P}| = |S : S_x|$  is divisible by  $2^{12m-5}$ , and Lemma 2(i) therefore gives  $|\mathcal{P}| > 2^{36m-15}$ . On the other hand, we certainly have  $|\mathcal{P}| < |S| < 2^{30m}$ , which is a contradiction (because  $36m - 15 \leq 30m$  if and only if  $m \leq 5/2$ , but we have  $m \geq 3$ ).

## Acknowledgements

The authors thank John Bamberg for helpful discussions, and in particular for suggesting the arguments for cases (i) and (ii) in Section 5.

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