

Turán numbers for 3-uniform linear paths of length 3

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Abstract

In this paper we confirm a special, remaining case of a conjecture of Füredi, Jiang, and Seiver, and determine an exact formula for the Turán number $\text{ex}_3(n; P_3^3)$ of the 3-uniform linear path P_3^3 of length 3, valid for all n . It coincides with the analogous formula for the 3-uniform triangle C_3^3 , obtained earlier by Frankl and Füredi for $n \geq 75$ and Csákány and Kahn for all n . In view of this coincidence, we also determine a ‘conditional’ Turán number, defined as the maximum number of edges in a P_3^3 -free 3-uniform hypergraph on n vertices which is *not* C_3^3 -free.

1 Introduction

A k -uniform hypergraph (or k -graph, for short) is an ordered pair $H = (V, E)$, where V is a finite set and $E \subseteq \binom{V}{k}$ is a family of k -element subsets of V . We often identify H with E , for instance, writing $|H|$ for the number of edges in H . Given a positive integer n and a family of k -graphs \mathcal{F} , we say that a k -graph H is \mathcal{F} -free if H contains no member of \mathcal{F} as a subhypergraph. The Turán number $\text{ex}_k(n; \mathcal{F})$ is defined as the maximum number of edges in an \mathcal{F} -free k -graph on n vertices. We set $\text{ex}_3(0; \mathcal{F}) = 0$ for convenience.

An n -vertex k -graph H is called *extremal* with respect to \mathcal{F} if H is \mathcal{F} -free and $|H| = \text{ex}_k(n; \mathcal{F})$. We denote by $\text{Ex}_k(n; \mathcal{F})$ the set of all, pairwise non-isomorphic n -vertex k -graphs which are extremal with respect to \mathcal{F} . If $\mathcal{F} = \{F\}$, then we write F -free instead of $\{F\}$ -free and write $\text{ex}_k(n; F)$, and $\text{Ex}_k(n; F)$ instead of $\text{ex}_k(n; \{F\})$ and $\text{Ex}_k(n; \{F\})$.

A linear path P_m^k is a k -graph with m edges e_1, \dots, e_m such that $|e_i \cap e_j| = 0$ if $|i - j| > 1$ and $|e_i \cap e_j| = 1$ if $|i - j| = 1$ (see Fig.1 for P_3^3). Füredi, Jiang, and Seiver [7] have determined $\text{ex}_k(n; P_m^k)$ for all $k \geq 4$, $m \geq 1$, and sufficiently large n . In particular, their result for $m = 3$ states that $\text{ex}_k(n; P_3^k) = \binom{n-1}{k-1}$. They conjectured that this formula remains valid in

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the case $k = 3$ too. This conjecture was confirmed by Kostochka, Mubayi, and Verstraete in [11] for all $m \geq 4$ and large n . Moreover, it is possible to deduce the sole remaining case $k = m = 3$ from Theorem 6.2 in [11], by using a standard stability argument, but, again, for large n only.



Figure 1: The linear path P_3^3

In this paper we prove two theorems. Even though the 3-uniform length 3 case was implicit in [11], our main result determines the Turán number for 3-uniform linear path of length 3, together with a unique extremal 3-graph, for *all* n .

Let K_n^k stand for *the complete k -graph* with n vertices, that is, one with $\binom{n}{k}$ edges. Note that when $n < k$ this is just a set of n isolated vertices. A *star* is a hypergraph containing a vertex which belongs to all of its edges. An n -vertex k -uniform star with $\binom{n-1}{k-1}$ edges is called *full* and denoted by S_n^k . By $F \cup H$ we denote the union of vertex disjoint copies of k -graphs F and H .

Theorem 1.

$$\text{ex}_3(n; P_3^3) = \begin{cases} \binom{n}{3} & \text{and } \text{Ex}_3(n; P_3^3) = \{K_n^3\} & \text{for } n \leq 6, \\ 20 & \text{and } \text{Ex}_3(n; P_3^3) = \{K_6^3 \cup K_1^3\} & \text{for } n = 7, \\ \binom{n-1}{2} & \text{and } \text{Ex}_3(n; P_3^3) = \{S_n^3\} & \text{for } n \geq 8. \end{cases}$$

The proof of Theorem 1 relies on a similar result for 3-uniform linear cycles, or triangles. Let C_3^3 be *the triangle* defined as a 3-graph on 6 vertices a, b, c, d, e, f and with 3 edges $\{a, b, c\}$, $\{c, d, e\}$, and $\{e, f, a\}$. It was proved in [6] that $\text{ex}_3(n; C_3^3) = \binom{n-1}{2}$ for all $n \geq 75$. This has been later extended by Csákány and Kahn [3] to cover all n .

Theorem 2 ([6, 3]). *For $n \geq 6$, $\text{ex}_3(n; C_3^3) = \binom{n-1}{2}$. Moreover, for $n \geq 8$, $\text{Ex}_3(n; C_3^3) = \{S_n^3\}$.*

Theorem 2 is the starting point of our proof of Theorem 1. Indeed, we show that having a triangle in a 3-graph with at least $\binom{n-1}{2}$ edges leads to the existence of a copy of P_3^3 . In fact, it has turned out that the presence of C_3^3 pushes down the number of edges a k -graph may have without containing a copy of P_3^3 . Motivated by this phenomenon, we also determine the largest number of edges in an n -vertex P_3^3 -free 3-graph, $n \geq 6$, which contains a triangle. We denote this ‘conditional’ Turán number by $\text{ex}_3(n; P_3^3 | C_3^3)$ and the corresponding extremal family by $\text{Ex}_3(n; P_3^3 | C_3^3)$. Our second result expresses $\text{ex}_3(n; P_3^3 | C_3^3)$ in terms of the ordinary Turán numbers $\text{ex}_3(n; P_3^3)$.

Theorem 3. *For $n \geq 6$,*

$$\text{ex}_3(n; P_3^3 | C_3^3) = 20 + \text{ex}_3(n - 6; P_3^3).$$

Moreover, $\text{Ex}_3(n; P_3^3 | C_3^3) = \{K_6^3 \cup H_{n-6}\}$, where $\{H_{n-6}\} = \text{Ex}_3(n-6, P_3^3)$, that is, the sole element of $\text{Ex}_3(n; P_3^3 | C_3^3)$ is the disjoint union of K_6^3 and the unique extremal P_3^3 -free 3-graph on $n-6$ vertices.

Theorem 3, combined with Theorem 1, yields also the exact value of $\text{ex}_3(n; P_3^3 | C_3^3)$. For brevity, we state it for $n \geq 14$ only.

Corollary 1. For $n \geq 14$,

$$\text{ex}_3(n; P_3^3 | C_3^3) = 20 + \binom{n-7}{2} \quad \text{and} \quad \text{Ex}_3(n; P_3^3 | C_3^3) = \{K_6^3 \cup S_{n-6}^3\}.$$

Our last result follows rather from the proof of Theorem 3 than from the theorem itself. Let $\text{ex}_3^{\text{con}}(n; P_3^3 | C_3^3)$ be defined as $\text{ex}_3(n; P_3^3 | C_3^3)$, but where the maximum is taken over all connected graphs.

Corollary 2. For $n \geq 9$,

$$\text{ex}_3^{\text{con}}(n; P_3^3 | C_3^3) = 3n - 8.$$

Remark 1 (Disjoint unions of P_3^3). For a positive integer s , let sF denote the vertex-disjoint union of s copies of a hypergraph F . Bushaw and Kettle [2] determined, for large n , the Turán number $\text{ex}_k(n; sP_m^k)$, but only for those instances for which the Turán number $\text{ex}_k(n; P_m^k)$ had been known (they used induction on s). In particular, they have shown, for large n , that if $\text{ex}_3(n; P_3^3) = \binom{n}{3} - \binom{n-1}{3}$, then $\text{ex}_3(n; sP_3^3) = \binom{n}{3} - \binom{n-2s+1}{3}$, providing also the unique extremal 3-graph, which happens to be the same as that for M_{2s}^3 , the matching of size $2s$ (see [4]). By proving Theorem 1, we have, at the same time, verified the latter formula unconditionally.

2 Preliminaries

In what follows H is always a P_3^3 -free 3-graph with $V(H) = V$ and $|V| = n \geq 7$, containing a copy C of the triangle C_3^3 . Let

$$U = V(C), \quad U = U_1 \cup U_2, \quad \text{where} \quad U_1 = \{y_1, y_2, y_3\}, \quad U_2 = \{x_1, x_2, x_3\},$$

and

$$C = \{\{x_i, y_j, x_k\} : \{i, j, k\} = \{1, 2, 3\}\},$$

so that for $i = \{1, 2\}$, U_i is the set of vertices of degree i in C (see Fig. 2).

Further, let

$$W = V \setminus U = \{w_1, \dots, w_s\}, \quad |W| = s = n - 6.$$

We split the set of edges of H into three subsets (see Fig. 3),

$$H[U] = H \cap \binom{U}{3}, \quad H[W] = H \cap \binom{W}{3} \quad \text{and} \quad H(U, W) = H \setminus (H[U] \cup H[W]).$$

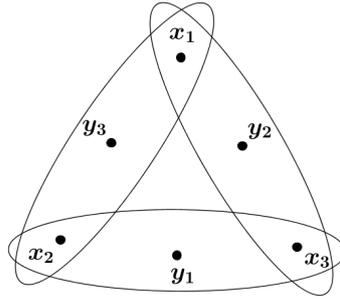


Figure 2: The triangle C_3^3

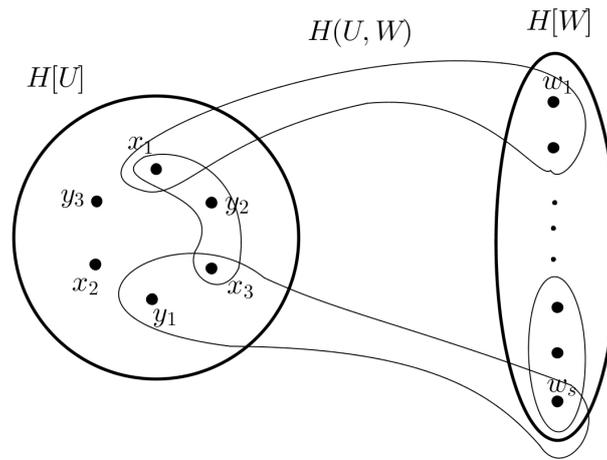


Figure 3: The partition of the set of edges of H

Let us also define two sets of triples (which are not necessarily edges of H):

$$T_1 = \{\{x_i, y_i, w_l\} : 1 \leq i \leq 3, 1 \leq l \leq s\}, \quad T_2 = \{\{x_i, x_j, w_l\} : 1 \leq i < j \leq 3, 1 \leq l \leq s\}$$

(see Fig. 4) and set

$$T = T_1 \cup T_2.$$

We begin with several simple observations all of which can be verified by inspection. The first three have been already made in [9]. First of them says that although, in principle, $H(U, W)$ may consist of edges having one vertex in U (and two in W), the assumption that H is P_3^3 -free makes it impossible. For the same reason, out of the potential edges with two vertices in U (and one in W), only those listed in T can actually occur in H .

Fact 1 ([9], Facts 1-3).

$$H(U, W) = H \cap T.$$

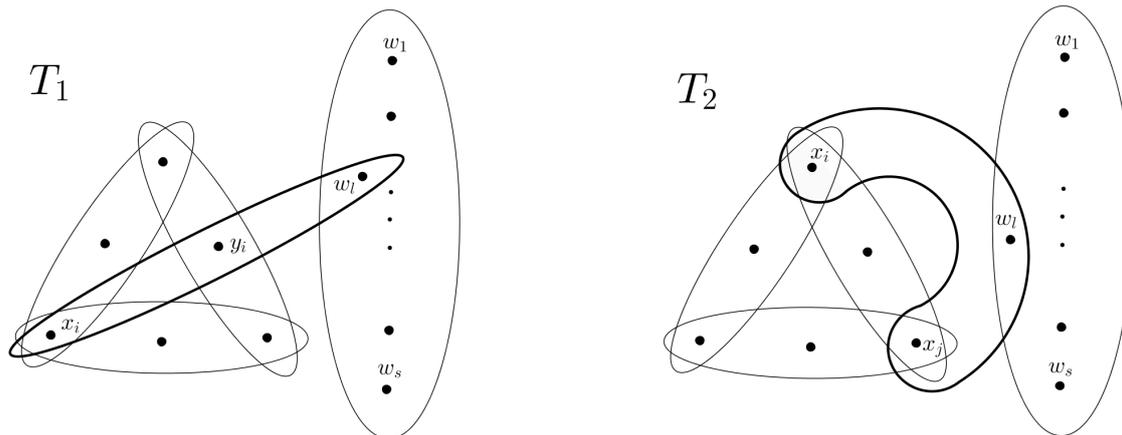


Figure 4: The edges in sets T_1 and T_2 are shaded

Next, we observe that if an edge from T and another one from $\binom{W}{3}$ have a common vertex, then, together with an edge of C , they form a P_3^3 (see Fig. 5).

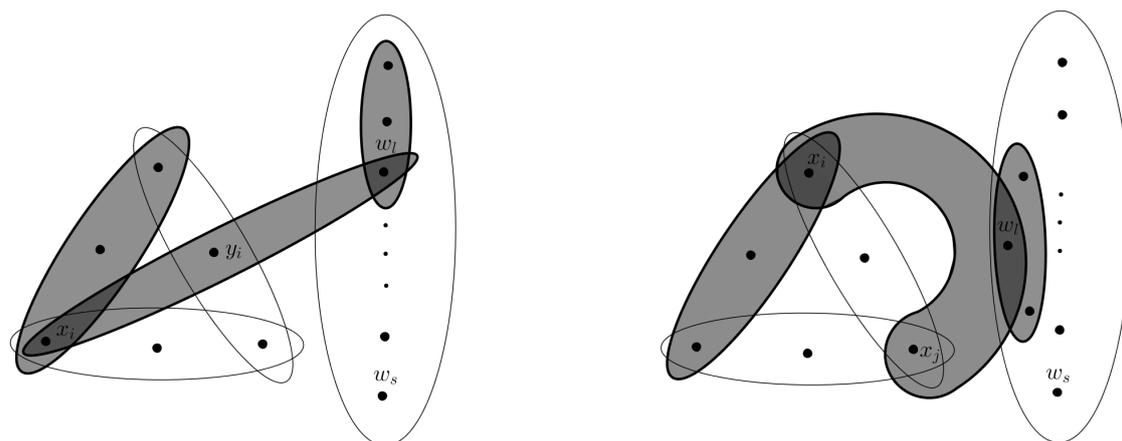


Figure 5: Illustration of Fact 2

Fact 2 ([9], Fact 6). *If $e \in T$, $g \in \binom{W}{3}$, and $e \cap g \neq \emptyset$, then $C \cup \{e\} \cup \{g\} \supset P_3^3$.*

Similarly, two disjoint edges, one from T_1 and the other from T , would form a P_3^3 with an edge of C (see Fig. 6).

Fact 3. *If $e \in T_1$, $f \in T$, and $e \cap f = \emptyset$, then $C \cup \{e\} \cup \{f\} \supset P_3^3$.*

We will also need the following simple consequence of König's Theorem.

Fact 4. *In a $t \times s$ bipartite graph, where $t \leq s$, the largest possible number of edges not producing a matching of size $m + 1$, $m \leq t$, is sm .*

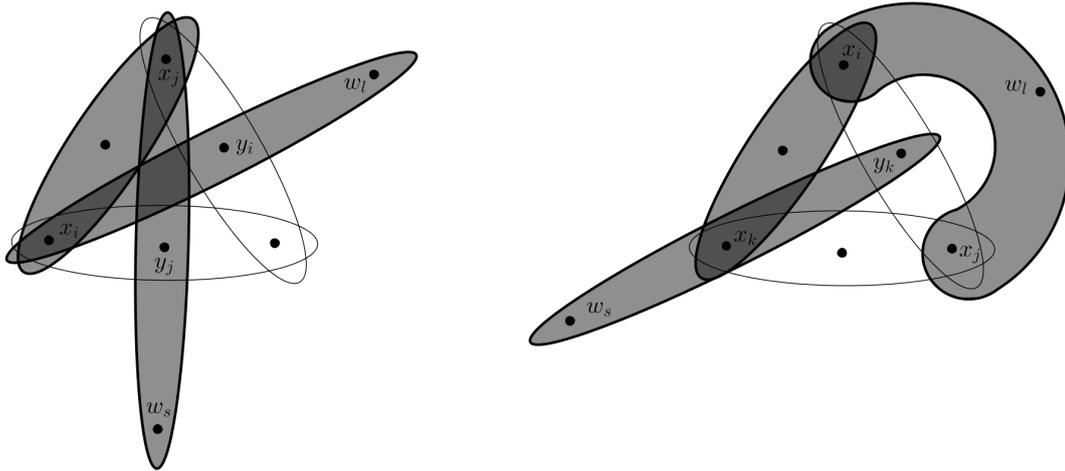


Figure 6: Illustration of Fact 3

Combining Fact 3 for $e, f \in T_1$ with Fact 4, we obtain the following corollary.

Corollary 3. For $s \geq 3$,

$$|H \cap T_1| \leq s. \tag{1}$$

Proof. Let B be the auxiliary $3 \times s$ bipartite graph with vertex classes $\{1, 2, 3\}$ and W , where $\{i, w\}$ is an edge of B if $\{x_i, y_i, w\} \in H$. Thus, $|B| = |H \cap T_1|$. By Fact 3, there are no disjoint edges in B . Hence, by Fact 4 with $t = 3$ and $m = 1$, $|B| \leq s$. \square

Another consequence of Fact 3 has been already proved in [9]. We reproduce that proof for the sake of self-containment.

Proposition 1 ([9], Fact 4). For $s \geq 2$,

$$|H \cap T| \leq 3s.$$

Proof. We have

$$|T_1| = |T_2| = 3s. \tag{2}$$

Construct an auxiliary bipartite graph $B = (T_1, T_2; \mathcal{E})$, where $\{e, f\} \in \mathcal{E}$ if $e \cap f = \emptyset$. It follows from Fact 3 that if $\{e, f\} \in \mathcal{E}$, then $|\{e, f\} \cap H| \leq 1$. Observe also that the graph B is $(s - 1)$ -regular. Thus, by Hall's theorem, it has a perfect matching M . As at most one edge of each pair $\{e, f\} \in M$ can be in H , we infer that $|H \cap T| \leq 3s$. \square

3 The lemmas

To prove Theorem 1, we will need the following lemma which, with the notation of Section 2, puts a cap on the total number of edges in the subgraphs $H[U]$ and $H(U, W)$, provided the latter is nonempty.

Lemma 1. *For $s \geq 1$, if $H(U, W) \neq \emptyset$, then*

$$|H[U]| + |H(U, W)| \leq 13 + \max\{3s, 6\}.$$

Proof. We begin by deducing upper bounds on $|H[U]|$ implied by the presence of an edge in

$$H(U, W) = (H \cap T_1) \cup (H \cap T_2).$$

Assume first that $H \cap T_1 \neq \emptyset$, say $\{x_1, y_1, w\} \in H \cap T_1$ for some $w \in W$. Let (cf. Fig. 2)

$$X_1 = \{\{x_1, y_2, y_3\}, \{x_2, y_2, y_3\}, \{x_3, y_2, y_3\}, \{x_2, y_1, y_3\}, \{x_3, y_1, y_2\}, \{x_2, x_3, y_2\}, \{x_2, x_3, y_3\}\}.$$

One can easily check that if $H \cap X_1 \neq \emptyset$, then $P_3^3 \subseteq H$, a contradiction. Hence, $H[U] \subseteq \binom{U}{3} \setminus X_1$, and so,

$$|H[U]| \leq \left| \binom{U}{3} \right| - |X_1| = 20 - 7 = 13. \quad (3)$$

Similarly, if $e = \{x_1, x_2, w\} \in H \cap T_2$, then, by considering the set

$$X_2 = \{\{y_1, y_2, y_3\}, \{x_2, y_1, y_3\}, \{x_3, y_1, y_3\}, \{x_1, y_2, y_3\}, \{x_3, y_2, y_3\}\},$$

one can show that

$$|H[U]| \leq \left| \binom{U}{3} \right| - |X_2| = 20 - 5 = 15. \quad (4)$$

In summary,

$$H(U, W) \neq \emptyset \implies |H[U]| \leq 15. \quad (5)$$

Therefore, if $|H(U, W)| \leq s$, then, with some margin,

$$|H[U]| + |H(U, W)| \leq 15 + s < 13 + \max\{3s, 6\}.$$

Consider now the case $|H(U, W)| > s$. Since by Fact 1, Proposition 1, and (2), for all $s \geq 1$ we have

$$|H(U, W)| \leq \max\{3s, 6\}, \quad (6)$$

it remains to show that (3) still holds. As explained above, this is the case when $H \cap T_1 \neq \emptyset$. Otherwise, $|H \cap T_2| = |H(U, W)| > s$, and, since $|W| = s$, we infer that there exists a vertex $w \in W$ and two edges $e, f \in H \cap T_2$, both containing w . Then, necessarily, $|e \cap f \cap U| = 1$. Say, $e \cap f \cap U = \{x_1\}$ (see Fig. 7). Consequently, to avoid a copy of P_3^3 in H , we must have $H \cap Y = \emptyset$, where

$$Y = X_2 \cup \{\{x_2, y_2, y_3\}, \{x_2, y_1, y_2\}, \{x_3, y_1, y_2\}\},$$

and so,

$$|H[U]| \leq \binom{U}{3} - |Y| = 20 - 8 = 12,$$

which is even better than (3). In conclusion, for all $s \geq 1$,

$$|H(U, W)| > s \implies |H[U]| \leq 13. \tag{7}$$

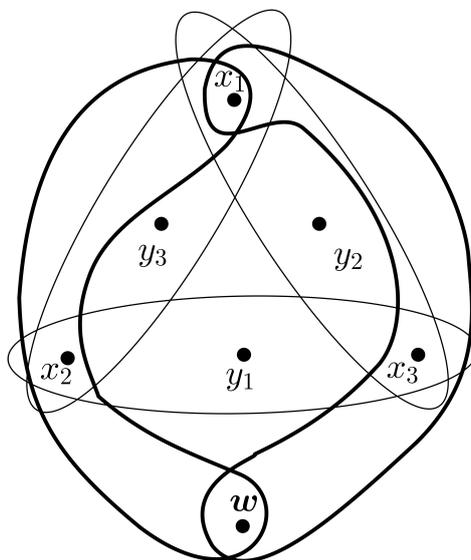


Figure 7: Illustration to the proof of Lemma 1

Putting together bounds (6) and (7) completes the proof of Lemma 1. □

Since for $s \geq 2$ we have $\max\{3s, 6\} = 3s$ and $|H[U]| \leq \binom{U}{3} = 20 \leq 14 + 3s$, Lemma 1 has the following immediate consequence, true no matter whether $H(U, W) = \emptyset$ or not.

Corollary 4. For $s \geq 2$,

$$|H[U]| + |H(U, W)| \leq 14 + 3s.$$

In the proof of Theorem 3 we will need a further improvement, under additional constraints, of the bound in Corollary 4.

Lemma 2. For $s \geq 3$, if $H(U, W) \neq \emptyset$, then

$$|H[U]| + |H(U, W)| \leq 10 + 3s.$$

Proof. If $0 < |H(U, W)| \leq s$, then, by (5),

$$|H[U]| + |H(U, W)| \leq 15 + s < 10 + 3s.$$

Also, if $s < |H(U, W)| \leq 2s$, then by (7),

$$|H[U]| + |H(U, W)| \leq 13 + 2s \leq 10 + 3s.$$

For the rest of the proof we are assuming that

$$|H(U, W)| = |H \cap T_1| + |H \cap T_2| \geq 2s + 1.$$

We are going to show that

$$|H[U]| \leq 10. \tag{8}$$

Then the lemma will follow by Proposition 1.

Consider first the case when $H \cap T_1 = \emptyset$. Then $|H \cap T_2| \geq 2s + 1$ and, thus, there must exist a vertex $w \in W$ such that all three edges $\{x_i, x_j, w\}$, $1 \leq i < j \leq 3$, belong to H (see Fig. 8).

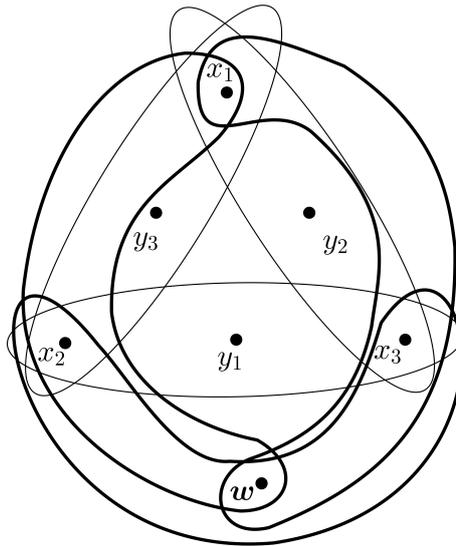


Figure 8: Illustration to the proof of Lemma 2: case $H \cap T_1 = \emptyset$

But then, since H is P_3^3 -free, we have $H \cap Z_1 = \emptyset$, where

$$Z_1 = \{\{y_1, y_2, y_3\}, \{y_i, y_j, x_k\} : 1 \leq i < j \leq 3, 1 \leq k \leq 3\}, \quad |Z_1| = 10.$$

Thus, (8) holds.

Assume now that $H \cap T_1 \neq \emptyset$. W.l.o.g., let $h' = \{x_1, y_1, w'\} \in H$, where $w' \in W$, and distinguish two subcases.

Subcase 1: For some $w'' \in W$, $w'' \neq w'$, we have $h'' = \{x_1, y_1, w''\} \in H$. By Fact 3, every edge of $H \cap T_2$ must intersect both, h' and h'' . Thus, every edge of $H \cap T_2$ contains vertex x_1 . Since, by (1), $|H \cap T_1| \leq s$, we infer that $|H \cap T_2| > s$. Consequently, there exists a vertex $w \in W$ with $\{x_1, x_2, w\}$ and $\{x_1, x_3, w\}$ belonging to H (see Fig. 9 for the case when $w = w'$).

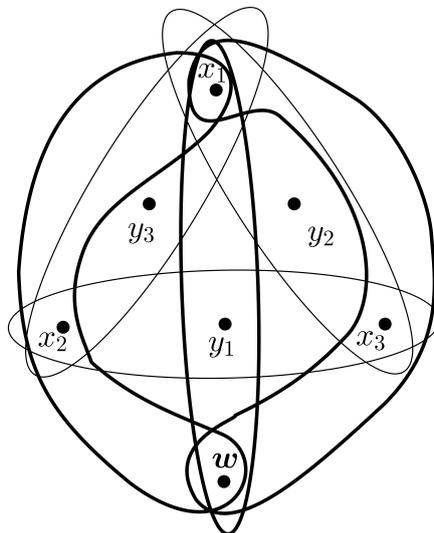


Figure 9: Illustration to the proof of Lemma 2: case $H \cap T_1 \neq \emptyset$

But then $H \cap Z_2 = \emptyset$, where

$$Z_2 = Y \cup X_1 = Y \cup \{\{x_2, x_3, y_2\}, \{x_2, x_3, y_3\}\}, \quad |Z_2| = 10,$$

and (8) holds.

Subcase 2: $H \cap T_1 \subseteq \{\{x_i, y_i, w'\}, 1 \leq i \leq 3\}$. Set $|H \cap T_1| = t$, $1 \leq t \leq 3$. By Fact 3, for every $i = 1, 2, 3$, if $\{x_i, y_i, w'\} \in H$ then $\{x_j, x_k, w\} \notin H$ for all $w \neq w'$, where $\{j, k\} = \{1, 2, 3\} \setminus \{i\}$. Hence,

$$|H \cap T_2| \leq t + (3 - t)s,$$

and, since $|H(U, W)| = |H \cap T_1| + |H \cap T_2| \geq 2s + 1 \geq 7$, we have $t \leq 2$. Moreover, for $t = 2$, $2s - 1 \leq |H \cap T_2| \leq 2 + s$ which forces $s = 3$, and, consequently, $|H \cap T_2| = 5$. This, in turn, implies the existence in H of all three edges $\{x_i, x_j, w'\}$, $1 \leq i < j \leq 3$, as in the case $H \cap T_1 = \emptyset$ discussed above, and, again (8) holds. Finally, if $t = 1$, that is, $H \cap T_1 = \{h'\}$, then, letting $e' = \{x_2, x_3, w'\}$,

$$|(H \cap (T_2 \setminus \{e'\}))| \geq |H \cap T_2| - 1 = |H \cap T| - 2 \geq 2s - 1 > s.$$

Consequently, there exists a vertex $w \in W$ belonging to two edges of $T_2 \setminus \{e'\}$. This means that regardless of whether or not $w = w'$, the edges $\{x_1, x_2, w\}$ and $\{x_1, x_3, w\}$ both belong to H . As this is the same configuration as in Subcase 1 (cf. Fig. 9), the bound (8) holds again. \square

4 Proofs of Theorems 1 and 3

4.1 Proof of Theorem 1

This proof is by induction on n . Since P_3^3 contains 7 vertices, Theorem 1 is trivially true for $n \leq 6$. Although we begin the inductive step at $n = 8$ only, our proof has the same logical structure for all $n \geq 7$. First note that both candidates for the extremal 3-graph, $H_7 := K_6^3 \cup K_1$ for $n = 7$ and $H_n := S_n^3$ for $n \geq 8$, are P_3^3 -free. We will be assuming that H is a P_3^3 -free 3-graph, with $|V| = n$, $|H| \geq |H_n|$ and $H \neq H_n$. By Theorem 2, H contains a copy C of the triangle C_3^3 . From that point on we will make our way toward an application of Lemma 1, leading to the inequality $|H| < |H_n|$, contradicting our assumption. Ultimately, we will show that no P_3^3 -free 3-graph on n vertices and at least $|H_n|$ edges exists, except for H_n itself, which is precisely the statement of Theorem 1. Now come the details. Throughout, we keep the notation introduced in Section 2.

$n = 7$ (initial step). Let H be a P_3^3 -free 3-graph with $V(H) = V$, $|V| = n = 7$ (thus, $s = 1$), $|H| \geq 20$, and let $H \neq K_6^3 \cup K_1$. Note that $20 > \binom{7-1}{2} = 15$ and so, by Theorem 2, H contains a copy C of the triangle C_3^3 . As $H \neq K_6^3 \cup K_1$, we infer that $H(U, W) \neq \emptyset$. Hence, by Lemma 1,

$$|H[U]| + |H(U, W)| \leq 13 + \max\{3s, 6\} = 19 < 20,$$

a contradiction.

$n \geq 8$ (inductive step). Let H be a P_3^3 -free 3-graph with $V(H) = V$, $|V| = n \geq 8$, $|H| \geq \binom{n-1}{2}$ and let $H \neq S_n^3$. By Theorem 2, H contains a copy C of the triangle C_3^3 . By Corollary 4, with $s = n - 6$, we get

$$|H| = |H[U]| + |H(U, W)| + |H[W]| \leq 14 + 3s + \text{ex}_3(s; P_3^3).$$

Consequently, to complete the proof it remains to show that

$$14 + 3s + \text{ex}_3(s; P_3^3) < \binom{n-1}{2} = \binom{s+5}{2},$$

that is, to show that

$$\text{ex}_3(s; P_3^3) < \binom{s+5}{2} - 3s - 14 = \binom{s+2}{2} - 5.$$

To this end, we rely on our induction's assumption, in particular, on the formula for $\text{ex}_3(s; P_3^3)$. For $s = \{2, 3, 4, 5, 6\}$ (equivalently, $n = \{8, 9, 10, 11, 12\}$), one can check by direct substitution that

$$\text{ex}_3(s; P_3^3) = \binom{s}{3} < \binom{s+2}{2} - 5.$$

For $s = 7$ ($n = 13$),

$$\text{ex}_3(s; P_3^3) = 20 < \binom{7+2}{2} - 5 = 31.$$

Finally, for $s \geq 8$ ($n \geq 14$),

$$\text{ex}_3(s; P_3^3) = \binom{s-1}{2} < \binom{s-1}{2} + 3s - 5 = \binom{s+2}{2} - 5. \quad \square$$

4.2 Proof of Theorem 3

Although not inductive, this proof is based on similar ideas to those used in the proof of Theorem 1, as well as on Theorem 1 itself. There is nothing to prove for $n = 6$. From now on we will be assuming that $n \geq 7$, or equivalently, that $s \geq 1$ (again, we keep the notation introduced in Section 2).

Let H be a P_3^3 -free 3-graph with $V(H) = V$, $|V| = n \geq 7$, containing a copy C of the triangle C_3^3 . Observe that if $H(U, W) = \emptyset$, then the only P_3^3 -free, n -vertex 3-graph with at least $20 + \text{ex}_3(n-6; P_3^3)$ edges consists of a copy of K_6^3 and a P_3^3 -free extremal 3-graph on $n-6$ vertices. Consequently, in order to prove Theorem 3, it is sufficient to show that if $H(U, W) \neq \emptyset$ then

$$|H| < 20 + \text{ex}_3(n-6; P_3^3).$$

Assume that $H(U, W) \neq \emptyset$. We split the set of vertices W into two subsets (see Fig.10):

$$W_1 = \{w \in W : \text{there exists an edge } e \in H(U, W) \text{ such that } w \in e\},$$

and

$$W_2 = W \setminus W_1.$$

Set $|W_i| = s_i$, $i = 1, 2$, where $s_1 + s_2 = s = n - 6$. By Facts 1 and 2, $H[W] \subset \binom{W_2}{3}$. It turns out that all we need to show is that

$$|H[U]| + |H(U, W)| < 20 + \text{ex}_3(s_1; P_3^3).$$

Indeed, by the subadditivity of $\text{ex}_3(t; F)$ as a function of t , we will then have

$$|H| = |H[U]| + |H(U, W)| + |H[W]| < 20 + \text{ex}_3(s_1; P_3^3) + \text{ex}_3(s_2; P_3^3) \leq 20 + \text{ex}_3(s; P_3^3).$$

For $1 \leq s_1 \leq 2$, we apply Lemma 1 to the induced subhypergraph $H[U \cup W_1]$ to get

$$|H[U]| + |H(U, W)| \leq 13 + \max\{3s_1, 6\} = 19 < 20 = 20 + \text{ex}_3(s_1; P_3^3).$$

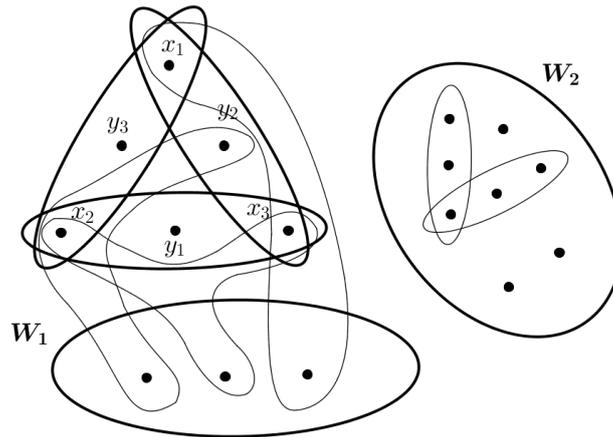


Figure 10: The division of the set W into two subsets W_1 and W_2

Finally, assume that $s_1 \geq 3$. By Lemma 2 applied to $H[U \cup W_1]$ and by Theorem 1 with $n := s_1$, we conclude that

$$|H[U]| + |H(U, W)| \leq 10 + 3s_1 < 20 + \text{ex}_3(s_1; P_3^3),$$

where the verification of the last inequality is left to the reader. \square

Proof of Corollary 2. With the notation of the proof of Theorem 3, observe that the connectivity assumption implies that $W_2 = \emptyset$. Thus, by Lemma 2

$$|H| = |H[U]| + |H(U, W)| \leq 10 + 3(n - 6) = 3n - 8.$$

Moreover, the 3-graph with vertex set V and the edge set $\left(\binom{U}{3} \setminus Z_1\right) \cup T_2$ contains C_3^3 , is P_3^3 -free and has $3n - 8$ edges. \square

5 Conditional Turán numbers

Inspired by Theorem 3, in this final section we discuss some restricted versions of Turán numbers. We begin with a general definition of the conditional Turán numbers.

Given an integer n , a family of k -graphs \mathcal{F} , and a family of \mathcal{F} -free k -graphs \mathcal{G} , let $\text{ex}_k(n; \mathcal{F}|\mathcal{G})$ be the largest number of edges in an n -vertex \mathcal{F} -free k -graph H such that $H \supseteq G$ for some $G \in \mathcal{G}$. If $\mathcal{F} = \{F\}$ or $\mathcal{G} = \{G\}$, we will simply write $\text{ex}_k(n; F|\mathcal{G})$, $\text{ex}_k(n; \mathcal{F}|G)$, or $\text{ex}_k(n; F|G)$, respectively.

Of course, we have $\text{ex}_k(n; \mathcal{F}|\mathcal{G}) \leq \text{ex}_k(n; \mathcal{F})$. For instance, comparing Theorems 1 and 3, we see that for $n \geq 14$

$$\text{ex}_3(n; P_3^3) - \text{ex}_3(n; P_3^3|C_3^3) = 6n - 47.$$

In view of the equality $\text{ex}_3(n; P_3^3) = \text{ex}_3(n; C_3^3)$ (for $n \geq 8$), it would be also interesting to calculate the reverse conditional Turán number, namely $\text{ex}_3(n; C_3^3 | P_3^3)$. For $n \geq 7$, consider a 3-graph $H(n; C | P)$ consisting of an edge $\{x, y, z\}$ and all edges of the form $\{x, y, w\}$, $w \neq z$, and $\{z, w', w''\}$, where $\{w', w''\} \cap \{x, y\} = \emptyset$ (see Fig. 11).

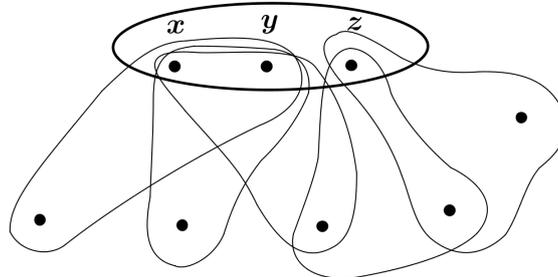


Figure 11: Part of the 3-graph $H(n; C | P)$

Note that $P_3^3 \subseteq H(n; C | P) \not\subseteq C_3^3$ and thus

$$\text{ex}_3(n; C_3^3 | P_3^3) \geq |H(n; C | P)| = 1 + (n - 3) + \binom{n - 3}{2} = \binom{n - 2}{2} + 1.$$

So, again a conditional Turán number, though not yet determined, is going to be not much smaller than its unconditional counterpart. This is not a coincidence. In fact, we have the following observation.

Proposition 2. *If \mathcal{F} consists of connected k -graphs only and neither \mathcal{F} nor \mathcal{G} depends on n , then*

$$\text{ex}_k(n; \mathcal{F} | \mathcal{G}) \sim \text{ex}_k(n; \mathcal{F}).$$

Proof. By considering a disjoint union of any $G \in \mathcal{G}$ and any extremal \mathcal{F} -free graph on $n - |V(G)|$ vertices, we have

$$\text{ex}_k(n - |V(G)|; \mathcal{F}) + |E(G)| \leq \text{ex}_k(n; \mathcal{F} | \mathcal{G}) \leq \text{ex}_k(n; \mathcal{F}).$$

Moreover, by removing $g = |V(G)|$ vertices of smallest degrees from an extremal \mathcal{F} -free k -graph on n vertices, we infer that

$$\text{ex}_k(n - g; \mathcal{F}) \geq \text{ex}_k(n; \mathcal{F}) \left(1 - \frac{kg}{n - g}\right). \quad \square$$

5.1 Nontrivial intersecting families

For disconnected F , conditioning on the presence of specified subhypergraphs may cause a Turán number drop significantly. A prime example of this phenomenon is the celebrated Erdős-Ko-Rado Theorem on the maximum size of intersecting families. It asserts that for $n \geq 2k$, with M_2^k standing for a pair of disjoint k -sets, $\text{ex}_k(n; M_2^k) = \binom{n-1}{k-1}$, and, for $n \geq 2k + 1$, $\text{Ex}_k(n; M_2^k) = \{S_n^k\}$. It was thus quite natural to ask what is the largest number of edges in an n -vertex M_2^k -free k -graph which is not a star (the so called *nontrivial* intersecting family). Hilton and Milner [8] proved that the answer to this question is $\binom{n-1}{k-1} - \binom{n-k-1}{k-1} + 1$ (see [5] for a short proof).

For $k = 3$, it can be checked that an intersecting triple system is not a star if, and only if, it contains either the triangle C_3^3 or the 3-graph

$$F_5 = (\{a, b, c, d, e\}, \{\{a, b, c\}, \{c, d, e\}, \{e, a, b\}\}),$$

or the clique K_4^3 . From this perspective, the above strengthening of the E-K-R Theorem, due to Hilton and Milner, can be reformulated, for $k = 3$, as

$$\text{ex}_3(n; M_2^3 | \{C_3^3, F_5, K_4^3\}) = 3n - 8. \quad (9)$$

Hence, for $\mathcal{F} = \{M_2^3\}$, a conditional Turán number can be much smaller than the unconditional one (linear vs. quadratic function of n .)

5.2 Second order Turán numbers

The Turán numbers for P_3^k and C_3^k reveal a whole lot of similarity to the E-K-R Theorem. Indeed, restricting just to the case $k = 3$, we have, for $n \geq 8$,

$$\text{ex}_3(n; P_3^3) = \text{ex}_3(n; C_3^3) = \text{ex}_3(n; M_2^3) = \binom{n-1}{2}$$

and

$$\text{Ex}_3(n; P_3^3) = \text{Ex}_3(n; C_3^3) = \text{Ex}_3(n; M_2^3) = \{S_n^3\}.$$

Therefore, like in the E-K-R case, one might ask for the largest size of a *nontrivial* P_3^3 -free (or C_3^3 -free) 3-graph, that is, one which is not a star.

Let us generalize this question. Suppose that for some n and F , we have $\text{Ex}_k(n; F) = \{H(n; F)\}$, that is, there is a unique (up to isomorphism) extremal F -free n -vertex k -graph $H(n; F)$. Let $\overline{\text{ex}}_k(n; F)$ be the largest number of edges in an F -free n -vertex k -graph H such that $H \not\subseteq H(n; F)$. (Besides, the nontrivial intersecting families, a version of this parameter has been studied already for cliques in graphs, see [1], where the classical Turán number $\text{ex}_2(n; K_t)$ was restricted to non- $(t-1)$ -partite graphs).

For P_3^3 and C_3^3 , the defined above ‘second order’ Turán numbers turn out to coincide with the corresponding conditional numbers with respect to M_2^3 , a pair of disjoint edges.

Proposition 3. *We have*

$$\overline{\text{ex}}_3(n; P_3^3) = \text{ex}_3(n; P_3^3 | M_2^3) \quad \text{for } n \geq 11$$

and

$$\overline{\text{ex}}_3(n; C_3^3) = \text{ex}_3(n; C_3^3 | M_2^3) \quad \text{for } n \geq 8.$$

Proof. Observe that, for each $F \in \{P_3^3, C_3^3\}$

$$\overline{\text{ex}}_3(n; F) = \max [\text{ex}_3(n; F | M_2^3), \overline{\text{ex}}_3(n; \{F, M_2^3\})]$$

and

$$\overline{\text{ex}}_3(n; \{F, M_2^3\}) \leq \overline{\text{ex}}_3(n; M_2^3) \stackrel{(9)}{=} 3n - 8.$$

Now, consider the following constructions for $n \geq 6$. Let $H(n; P | M)$ be the union of a clique K_4^3 and a full star S_{n-3}^3 whose center is located at one of the vertices of the clique, but which otherwise is vertex-disjoint from the clique (see Fig. 12). Then $M_2^3 \subseteq H(n; P | M) \not\subseteq P_3^3$ and so

$$\text{ex}_3(n; P_3^3 | M_2^3) \geq |H(n; P | M)| = \binom{n-4}{2} + 4 \geq 3n - 8$$

for $n \geq 11$, which, in turn, implies that

$$\overline{\text{ex}}_3(n; P_3^3) = \text{ex}_3(n; P_3^3 | M_2^3).$$

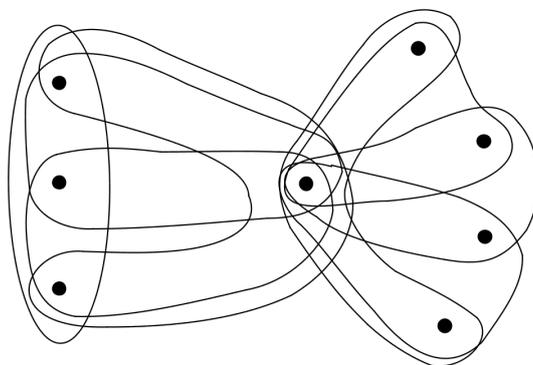


Figure 12: Part of the 3-graph $H(n; P | M)$

To prove the second equation, we use again the 3-graph $H(n; C | P)$ constructed earlier in this section. Since $M_2^3 \subset P_3^3$,

$$\text{ex}_3(n; C_3^3 | M_2^3) \geq \text{ex}_3(n; C_3^3 | P_3^3) \geq |H(n; C | P)| \geq \binom{n-2}{2} + 1 \geq 3n - 8$$

for $n \geq 8$, and thus, we also have

$$\overline{\text{ex}}_3(n; C_3^3) = \text{ex}_3(n; C_3^3 | M_2^3). \quad \square$$

6 Open problems and remarks

It would be interesting to verify the following conjecture in which we express our belief that these conditional Turán numbers are, indeed, determined by the above described constructions.

Conjecture 1. *With a possible exception of some small values of n ,*

$$\begin{aligned} \text{ex}_3(n; P_3^3 | M_2^3) &= \binom{n-4}{2} + 4, \\ \text{ex}_3(n; C_3^3 | M_2^3) &= \text{ex}_3(n; C_3^3 | P_3^3) = \binom{n-2}{2} + 1. \end{aligned}$$

Remark 2. We intend to address the first conjecture in a forthcoming paper [10]. If true, it would imply that (again, except for some small n)

$$\text{ex}_3(n; C_3^3 | M_2^3) = \text{ex}_3(n; C_3^3 | P_3^3). \quad (10)$$

Indeed, if $\text{ex}_3(n; P_3^3 | M_2^3) \leq \binom{n-4}{2} + 4$, then

$$\text{ex}_3(n; C_3^3 | P_3^3) \geq |H(n; C | P)| = \binom{n-2}{2} + 1 \geq \binom{n-4}{2} + 4 \geq \text{ex}_3(n; P_3^3 | M_2^3).$$

Thus,

$$\begin{aligned} \text{ex}_3(n; C_3^3 | M_2^3) &= \max [\text{ex}_3(n; C_3^3 | \{M_2^3, P_3^3\}), \text{ex}_3(n; \{C_3^3, P_3^3\} | M_2^3)] \\ &\leq \max [\text{ex}_3(n; C_3^3 | P_3^3), \text{ex}_3(n; P_3^3 | M_2^3)] = \text{ex}_3(n; C_3^3 | P_3^3), \end{aligned}$$

which, together with the obvious inverse inequality, implies (10).

Remark 3. Conditional Turán numbers defined in this paper may be a useful tool in determining the corresponding Ramsey numbers. For instance, in [9] it has been shown that $R(P_3^3; 3) = 9$ by observing that if the triples of the clique K_9^3 are 3-colored then at least one color appears on more than 28 edges, or all three colors appear each on precisely 28 edges. In either case, Theorem 1 implies that there must be a monochromatic copy of P_3^3 (in the latter case, because one cannot partition K_9^3 into 3 stars). For more than 3 colors this simple approach does not work any more, but instead one needs to look at the numbers $\overline{\text{ex}}_3(n; P_3^3)$ and beyond (see [10] and [12] for results on refined Turán numbers for P_3^3 leading to the determination of $R(P_3^3; r)$ for $4 \leq r \leq 9$).

Acknowledgments

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