Hadwiger's conjecture for 3-arc graphs*

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Abstract

The 3-arc graph of a digraph D is defined to have vertices the arcs of D such that two arcs uv, xy are adjacent if and only if uv and xy are distinct arcs of D with $v \neq x, y \neq u$ and u, x adjacent. We prove Hadwiger's conjecture for 3-arc graphs.

Keywords: Hadwiger's conjecture, graph colouring, graph minor, 3-arc graph

1 Introduction

A graph H is a *minor* of a graph G if a graph isomorphic to H can be obtained from a subgraph of G by contracting edges. An H-minor is a minor isomorphic to H. The $Hadwiger\ number\ h(G)$ of G is the maximum integer k such that G contains a K_k -minor, where K_k is the complete graph with k vertices.

In 1943, Hadwiger [10] posed the following conjecture, which is thought to be one of the most important problems in graph theory:

Hadwiger's Conjecture. For every graph G, $h(G) \ge \chi(G)$.

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Hadwiger's conjecture has been proved for graphs G with $\chi(G) \leq 6$ [19], and is open for graphs with $\chi(G) \geq 7$. This conjecture also holds for particular classes of graphs, including powers of cycles [14], proper circular arc graphs [2], line graphs [18], quasi-line graphs [6] and complements of Kneser graphs [24]. See [21] or more recently [20] for a survey.

In this paper we prove Hadwiger's conjecture for a large family of graphs. Such graphs are defined by means of a graph operator, called the 3-arc graph construction (see Definition 1), which bears some similarities with the line graph operator and path graph operator [4, 16]. This construction was first introduced by Li, Praeger and Zhou [15] in the study of a family of arc-transitive graphs whose automorphism group contains a subgroup acting imprimitively on the vertex set. (A graph is arc-transitive if its automorphism group is transitive on the set of oriented edges.) It was used in classifying or characterizing certain families of arc-transitive graphs [9, 11, 15, 17, 25, 26, 27]. Recently, various graph-theoretic properties of 3-arc graphs have been investigated [1, 12, 13, 23].

The original 3-arc graph construction [15] was defined for a finite, undirected and loopless graph G = (V(G), E(G)). In G, an arc is an ordered pair of adjacent vertices. Denote by A(G) the set of arcs of G. For adjacent vertices u, v of G, we use uv to denote the arc from u to v, and $\{u, v\}$ the edge between u and v. We emphasise that each edge of G gives rise to two arcs in A(G). A 3-arc of G is a 4-tuple of vertices (v, u, x, y), possibly with v = y, such that both (v, u, x) and (u, x, y) are paths of G. The 3-arc graph of G is defined as follows:

Definition 1. [15, 26] Let G be an undirected graph. The 3-arc graph of G, denoted by X(G), has vertex set A(G) such that two vertices corresponding to arcs uv and xy are adjacent if and only if (v, u, x, y) is a 3-arc of G.

The 3-arc graph construction can be generalised for a digraph D = (V(D), A(D)) as follows [12], where A(D) is a multiset of ordered pairs (namely, arcs) of distinct vertices of V(D). Here a digraph allows parallel arcs but not loops.

Definition 2. Let D = (V(D), A(D)) be a digraph. The 3-arc graph of D, denoted by X(D), has vertex set A(D) such that two vertices corresponding to arcs uv and xy are adjacent if and only if $v \neq x$, $y \neq u$ and u, x are adjacent.

Let D be the digraph obtained from an undirected graph G by replacing each edge $\{x,y\}$ by two opposite arcs xy and yx. Then, X(D) = X(G).

Knor, Xu and Zhou [12] introduced the notion of 3-arc colouring of a digraph, which can be defined as a proper vertex-colouring of X(D). The minimum number of colours in a 3-arc colouring of D is called the 3-arc chromatic index of D, and is denoted by $\chi'_3(D)$. Then $\chi(X(D)) = \chi'_3(D)$.

The main result of this paper is the following:

Theorem 3. Let D be a digraph without loops. Then $h(X(D)) \ge \chi(X(D))$.

Note that in the case of the 3-arc graph of an undirected graph, we have obtained a much simpler proof of Theorem 3.

2 Preliminaries

We need the following notation. Let D = (V(D), A(D)) be a digraph. We denote by $A_D\{x,y\}$ the set of arcs between vertices x and y, and by $A_D(x)$ the set of arcs outgoing from x. Then vertices x and y are adjacent if and only if $A_D\{x,y\} \neq \emptyset$. When $|A_D\{x,y\}| = 1$, we misuse the notation $A_D\{x,y\}$ to indicate the arc between x and y. An in-neighbour (respectively, out-neighbour) of a vertex x of D is a vertex y such that $yx \in A(D)$ (respectively, $xy \in A(D)$). The set of all in-neighbours (respectively, out-neighbours) of x is denoted by $N_D^-(x)$ (respectively, $N_D^+(x)$). The in-degree $d_D^-(x)$ (respectively, out-neighbours) of x. A vertex x is called a sink if $d_D^+(x) = 0$. A digraph is simple if $|A_D\{x,y\}| \leq 1$ for all distinct vertices x and y of D. A tournament is a simple digraph whose underlying undirected graph is complete.

For an undirected graph G, the degree of a vertex v in G is denoted by $d_G(v)$, and the minimum and maximum degrees of G are denoted by $\delta(G)$ and $\Delta(G)$, respectively. We omit the subscript when there is no ambiguity. For notation not given here we refer to [3].

A K_t -minor in G can be thought of as t connected subgraphs in G that are pairwise disjoint such that there is at least one edge of G between each pair of subgraphs. Each such subgraph is called a $branch\ set$.

Lemma 4. Let D be a tournament on $n \ge 5$ vertices. Then $h(X(D)) \ge n$.

Proof. Since D is a tournament, $A\{x,y\}$ is interpreted as a single arc. Denote $V(D) = \{x, v_0, v_1, \ldots, v_{n-2}\}$. We now construct a collection of n branch sets. For $0 \le i \le n-2$, let $B_i := \{A\{x, v_i\}, A\{v_{i+1}, v_{i+2}\}\}$. Let $U := \{A\{v_i, v_{i+2}\} \mid 0 \le i \le n-2\}$, where all subscripts are taken modulo n-1. Clearly, these branch sets are pairwise disjoint.

Now we show that each branch set is connected. Note that each B_i induces K_2 in X(D). Since $A\{v_i, v_{i+2}\}$ is adjacent to $A\{v_{i+1}, v_{i+3}\}$ in X(D), U induces a subgraph that contains an (n-1)-cycle passing through each element of U.

Next we show that these branch sets are pairwise adjacent. For each pair of distinct B_i, B_j , if $j \neq i+1$ and $j \neq i+2$, then B_i and B_j are adjacent since $A\{v_{i+1}, v_{i+2}\}$ is adjacent to $A\{x, v_j\}$. If j = i+1, then $i \neq j+1$ and $i \neq j+2$ because $n-1 \geqslant 4$, so $A\{x, v_i\}$ is adjacent to $A\{v_{j+1}, v_{j+2}\}$. If j = i+2, then $A\{v_{j+1}, v_{j+2}\}$ is adjacent to $A\{v_{i+1}, v_{i+2}\}$ since $\{v_{j+1}, v_{j+2}\} \cap \{v_{i+1}, v_{i+2}\} = \emptyset$. Thus, B_i is adjacent to B_j as well. Since $A\{x, v_i\} \in B_i$ is adjacent to $A\{v_{i+1}, v_{i+3}\} \in U$, each B_i is adjacent to U. \square

Let v be a vertex of a digraph D. Let $A \subseteq A(v)$. An arc xy is said to be A-feasible if $vx \in A$, $y \neq v$ and (v, x, y) is a directed path. A set $A^f \subseteq A(D)$ is A-feasible if each arc in A^f is A-feasible and no two arcs in A^f share a tail. An arc xy of D is said to be A-compatible if $y \neq v$, $A\{v, x\} \neq \emptyset$ and $vx \notin A$. A set $A^c \subseteq A(D)$ is A-compatible if each arc in A^c is A-compatible. Note that each feasible arc xy is adjacent in X(D) to each arc in A except vx, and each compatible arc xy is adjacent to each arc in A. For example, let $A = \{vv_0, vv_1, vv_2\}$ (see Fig. 1). Then each of $v_0v'_0, v_1v'_1$ and $v_2v'_2$ is A-feasible, and each of $v_3v'_3$ and ww' is A-compatible.

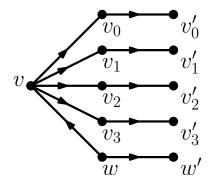


Figure 1: An illustration for A-feasibility and A-compatibility. Let $A = \{vv_0, vv_1, vv_2\}$, then each of $v_0v'_0, v_1v'_1$ and $v_2v'_2$ is A-feasible, and each of $v_3v'_3$ and ww' is A-compatible.

Let A^f be an A-feasible set, and A^c be an A-compatible set. An (A, A^f, A^c) -net of size p is a K_p -minor in X(D) using only arcs in $A \cup A^f \cup A^c$ such that p := |A| and each branch set has exactly one arc in A. An (A, A^f, A^c) -net is called a net at v if v is the common tail of all arcs in A. It may happen that one of A^f and A^c is empty. The following lemma provides some sufficient conditions for the existence of an (A, A^f, A^c) -net.

Lemma 5. Let v be a vertex of a digraph D. Let $A \subseteq A(v)$ and p := |A|. Let A^f be an A-feasible set. Let A^c be an A-compatible set. Then, in the following cases, D contains an (A, A^f, A^c) -net.

- (1) p = 1;
- (2) $|A^c| \ge 1$ and p = 2;
- (3) $|A^f| = 3$ and p = 3;
- (4) $|A^f| \ge 1$ and $|A^c| \ge 1$ and p = 3;
- (5) $|A^c| \ge 2$ and p = 3;
- (6) $|A^f| + |A^c| \ge p 1$ and $p \ge 4$.

Proof. Denote $A = \{vv_0, vv_1, \dots, vv_{p-1}\}$, and without loss of generality, assume that $A(v_j) - \{v_jv\} \neq \emptyset$ for $0 \leq j \leq |A^f| - 1$. Denote the elements of A^f by

$$v_0v_0', v_1v_1', \dots, v_{|A^f|-1}v_{|A^f|-1}'$$

Note that (v, v_j, v_j') is a directed path for $0 \le j \le |A^f| - 1$. Consider the following possibilities:

- (1) p = 1: Then $\{vv_0\}$ is a trivial $(A, \emptyset, \emptyset)$ -net of size 1.
- (2) $|A^c| \ge 1$ and p = 2: Let ww' be an A-compatible arc and $A^c := \{ww'\}$. Since ww' is adjacent to each arc of A, $\{vv_0\}$, $\{vv_1, ww'\}$ form an (A, \emptyset, A^c) -net of size 2. See Fig 1.

- (3) $|A^f| = 3$ and p = 3: Then $\{vv_0, v_1v_1'\}, \{vv_1, v_2v_2'\}$ and $\{vv_2, v_0v_0'\}$ form an (A, A^f, \emptyset) -net of size 3. See Fig 1.
- (4) $|A^f| \ge 1$ and $|A^c| \ge 1$ and p = 3: Let ww' be an A-compatible arc and $A^c := \{ww'\}$. Note that ww' is adjacent to each vv_i , and $v_0v'_0$ is adjacent to vv_2 in X(D). So $\{vv_0, ww'\}$, $\{vv_1, v_0v'_0\}$ and $\{vv_2\}$ form an (A, A^f, A^c) -net of size 3.
- (5) $|A^c| \ge 2$ and p = 3: Similar to case (4), $\{vv_0, ww'\}$, $\{vv_1, yy'\}$ and $\{vv_2\}$ form an (A, A^f, A^c) -net of size 3, where A^c contains two A-compatible arcs yy' and ww'.
- (6) $|A^f| + |A^c| \geqslant p 1$ and $p \geqslant 4$: Let $\beta_j := v_j v_j'$ for $0 \leqslant j \leqslant |A^f| 1$. Since $|A^c| \geqslant p 1 |A^f|$, we can choose $p 1 |A^f|$ arcs from A^c and name them as $\beta_{|A^f|}$, $\beta_{|A^f|+1}, \ldots, \beta_{p-2}$. Define $B_j := \{vv_j, \beta_{j+1}\}$ for $0 \leqslant j \leqslant p 3$, $B_{p-2} := \{vv_{p-2}, \beta_0\}$, and $B_{p-1} := \{vv_{p-1}\}$. For $0 \leqslant i < j \leqslant p 2$, observe that in X(D), $vv_j \in B_j$ is adjacent to α_i if $i \neq j 1$; and $vv_i \in B_i$ is adjacent to α_j if i = j 1, where $\alpha_j \in B_j \{vv_j\}$ and $\alpha_i \in B_i \{vv_i\}$. Thus, B_j and B_i are adjacent. In addition, since $vv_{p-1} \in B_{p-1}$ is adjacent in X(D) to every β_j , B_{p-1} is adjacent to B_j with $j \leqslant p 2$. Thus, B_0, \ldots, B_{p-1} form an (A, A^f, A^c) -net of size p.

Note that if D contains an (A, A^f, A^c) -net of size p, then X(D) contains a K_p -minor and $h(X(D)) \ge p$.

A graph G with chromatic number k is called k-critical if $\chi(H) < \chi(G)$ for every proper subgraph H of G. The following result is well known:

Lemma 6. Let G be a k-critical graph. Then

- (a) G has minimum degree at least k-1, when $k \ge 2$ [7];
- (b) no vertex-cut of G induces a clique when $k \ge 3$ and G is noncomplete [8].

Let D be a simple digraph. For each arc $uv \in A(D)$, define $S_D(uv) := d^+(u) + d^+(v) - 1$.

Lemma 7. For a simple digraph D,

$$\sum_{uv \in A(D)} S_D(uv) = \sum_{v \in V(D)} d^+(v)(d(v) - 1),$$

where $d(v) = d^{+}(v) + d^{-}(v)$.

Proof.

$$\sum_{uv \in A(D)} S_D(uv) = \sum_{uv \in A(D)} (d^+(u) + d^+(v) - 1)$$

$$= \sum_{uv \in A(D)} d^+(u) + \sum_{uv \in A(D)} d^+(v) - \sum_{uv \in A(D)} 1$$

$$= \sum_{u \in V(D)} d^+(u)d^+(u) + \sum_{v \in V(D)} d^+(v)d^-(v) - \sum_{u \in V(D)} d^+(u)$$

$$= \sum_{w \in V(D)} d^+(w)(d^+(w) + d^-(w) - 1)$$

$$= \sum_{w \in V(D)} d^+(w)(d(w) - 1).$$

3 Proof of Theorem 3

In this proof, we assume that, for every pair of distinct vertices u and v of D, there is at most one arc from u to v and at most one arc from v to u. That is, $A_D\{u,v\} \subseteq \{uv,vu\}$. That is because all the arcs from u to v can be assigned the same colour and deleting an arc does not increase h(X(D)).

Let D be a digraph. An arc uv of D is called redundant if $A_D(u) \subseteq A_D\{u,v\}$ or $A_D(v) \subseteq A_D\{u,v\}$. Note that if uv is redundant then so is vu if it exists. Let D' be the digraph obtained from D by deleting all redundant arcs. Let G be the (simple) underlying undirected graph of D'. We have the following claim:

Claim 1.
$$\chi(X(D)) \leq \chi(G)$$
.

Proof. Since G is the underlying undirected graph of D', V(G) = V(D') = V(D). Let $c: V(G) \to \{1, 2, ..., \chi(G)\}$ be a $\chi(G)$ -colouring of G. For each arc $uv \in A(D)$, define f(uv) := c(u). We now show that f is a 3-arc colouring of D. For every pair of arcs $uv, xy \in A(D)$ adjacent in X(D), we have that $A_D\{u, x\} \neq \emptyset$ (that is, u, x are adjacent), and both uv and xy are not in $A_D\{u, x\}$. Thus, some arc between u and x is not redundant, and u and x are adjacent in G. So, $f(uv) = c(u) \neq c(x) = f(xy)$. It follows that f is a 3-arc colouring of D and $\chi(X(D)) \leq \chi(G)$.

Hadwiger's conjecture is true for k-chromatic graphs with $k \leq 6$. So assume that $\chi(X(D)) \geq 7$. Let $k := \chi(G)$ and let H be a k-critical subgraph of G. By Lemma 6(a), $\delta(H) \geq k - 1$.

Let F be an orientation of H such that each arc uv of F inherits the orientation of an arc in $A_D\{u,v\}$ and the number of out-degree 1 vertices in F is minimized. An arc $xy \in A(D)$ is called *potential* if $xy \notin A(F)$. In particular, every redundant arc is potential. F has the following property:

Property A. If $d_F^+(v) = 1$ and $A_F(v) = \{vw\}$, then there exists one potential arc vz outgoing from v in D such that $vz \neq vw$. If further $zv \in A(F)$, then $d_F^+(z) = 2$.

Proof. Since vw is not redundant, $A_D(v) \not\subseteq A_D\{v, w\}$. Let $vz \in A_D(v) - A_D\{v, w\}$. Then $vz \neq vw$. Since vw is the unique outgoing arc from v in F, vz is potential. Suppose that $zv \in A(F)$. If $d_F^+(z) \neq 2$, let F' be obtained from F by replacing zv by vz. Then $d_{F'}^+(z) \neq 1$, $d_{F'}^+(v) = 2$ and the out-degree of every other vertex remains unchanged. Hence F' is an orientation of H with fewer out-degree 1 vertices than F, which is a contradiction.

In addition, for each arc xy of F, by the definition of D', $A_D(y) \not\subseteq A_D\{x,y\}$. That is, there is an arc other than yx outgoing from y (hence, $d_D^+(y) \ge 1$) and there is a directed path in D of length 2 starting from the arc xy, even if $d_F^+(y) = 0$. Note that F is a simple digraph and $d_F(v) = d_F^+(v) + d_F^-(v) = d_H(v) \ge k - 1$ by Lemma 6(a).

By Claim 1, it suffices to prove that $h(X(D)) \ge k = \chi(G) \ge \chi(X(D))$.

Let $v \in V(F)$ be a vertex with maximum out-degree $\Delta_F^+(v)$. If $\Delta_F^+(v) \ge k$, let $A \subseteq A_F(v)$ with |A| = k, and let A^f be a maximal A-feasible set. Then $|A^f| = k \ge 6$ since there exists a directed path of length 2 starting from every arc of A. By Lemma

5(6) with p = k, there exists an (A, A^f, \emptyset) -net of size k. Thus, $h(X(D)) \ge k$, and the result holds.

Now assume that $\Delta^+(F) \leq k-1$. By Lemma 7 and since F has minimum degree at least k-1,

$$\sum_{uv \in A(F)} S_F(uv) = \sum_{v \in V(F)} d_F^+(v)(d_F(v) - 1) \geqslant (k - 2) \sum_{v \in V(F)} d_F^+(v) = (k - 2)e(F), (1)$$

where e(F) is the number of arcs of F.

If $\sum_{uv\in A(F)} S_F(uv) = (k-2)e(F)$, then $d_H(x) = d_F(x) = k-1$ for every $x \in V(F)$. Since $\chi(H) = k$, by Brooks' Theorem [5], $H \cong K_k$ and F is a tournament. By Lemma 4, $h(X(D)) \geqslant h(X(F)) \geqslant k$, the result follows.

Now assume that $\sum_{uv\in A(F)} S_F(uv) > (k-2)e(F)$. We call a vertex v of F special if $d_F^+(v) = k-2$ and $d_F^-(v) = 1$ and $d_F^+(v') = 0$ for each $vv' \in A_F(v)$. Let W be the set of all special vertices of F, and let $W^+ := \{xy \in A(F) \mid x \in W\}$. Let F' be the digraph obtained from F by deleting the arcs in W^+ . Then, for each vertex v of F' with $d_{F'}^+(v) = d_{F'}(v) - 1 = k-2$, the head of (at least) one arc $vv' \in A(F')$ is not a sink in F; that is, $d_F^+(v') \geqslant 1$. Since this outgoing arc at v' in F is not redundant, $|d_D^+(v')| \geqslant 2$.

Denote by Q the set of sinks of F. Then each arc of W^+ has its tail in W and head in Q. Note that W is independent in F, and $W \cap Q = \emptyset$. By Lemma 7,

$$(k-2)e(F)$$

$$< \sum_{uv \in A(F)} S_F(uv)$$

$$= \sum_{v \in V(F)} d_F^+(v)(d_F(v)-1)$$

$$= \sum_{v \in V(F)-(W \cup Q)} d_F^+(v)(d_F(v)-1) + \sum_{v \in Q} d_F^+(v)(d_F(v)-1) + \sum_{v \in W} d_F^+(v)(d_F(v)-1)$$

$$= \left(\sum_{v \in V(F')-(W \cup Q)} d_{F'}^+(v)(d_{F'}(v)-1)\right) + 0 + (k-2)\left(|W^+| + \sum_{v \in W} d_{F'}^+(v)\right).$$

Since vertices in $W \cup Q$ have outdegree 0 in F',

$$(k-2)e(F) < \left(\sum_{v \in V(F')} d_{F'}^+(v)(d_{F'}(v)-1)\right) + |W^+|(k-2)$$

$$= \left(\sum_{uv \in A(F')} S_{F'}(uv)\right) + |W^+|(k-2).$$

Thus $\sum_{uv \in A(F')} S_{F'}(uv) > (k-2)(e(F)-|W^+|) = (k-2)e(F')$. Let uv be an arc of F' with maximum $S_{F'}(uv)$. Thus, $S_F(uv) \ge S_{F'}(uv) \ge k-1$. If $v \in W$, then $d_{F'}^+(v) = 0$ and $d_{F'}^+(u) \ge k$, which contradicts the assumption that $\Delta^+(F) \le k-1$. Hence $v \notin W$.

Denote $A_F(u) = \{uv, uu_1, uu_2, \dots, uu_i\}$ and $A_F(v) = \{vv_1, vv_2, \dots, vv_j\}$, where $i+j = S_F(uv) \ge k-1$. Set $T := \{u_1, u_2, \dots, u_i\} \cap \{v_1, v_2, \dots, v_j\}$. Denote $N_1 := N_F(u) - \{v\}$

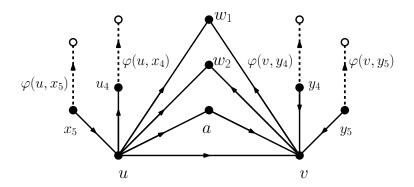


Figure 2: An illustration for $A_F(u)$, $A_F(v)$, $\varphi(u, x_l)$ and $\varphi(v, y_l)$ for a case with $i + j = S_F(uv) \ge 6$, where $w_1 = u_1 = x_1 = v_1 = y_1$, $w_2 = u_2 = x_2 = v_2 = y_2$, $a = u_3 = x_3 = y_3$ and $u_4 = x_4$.

and $N_2 := N_F(v) - \{u\}$. Say $N_1 = \{x_1, x_2, \dots, x_r\}$, and $N_2 = \{y_1, y_2, \dots, y_s\}$. Since F has minimum degree at least k-1, both r and s are at least k-2. See Fig. 2 for an illustration for a case with k=7, in which $A_F(u) = \{uv, uu_1 = uw_1, uu_2 = uw_2, uu_3 = ua, uu_4\}$, $A_F(v) = \{vv_1 = vw_1, vv_2 = vw_2\}$, $T = \{w_1, w_2\}$, $N_1 = \{x_1 = w_1, x_2 = w_2, x_3 = a, x_4 = u_4, x_5\}$ and $N_2 = \{y_1 = w_1, y_2 = w_2, y_3 = a, y_4, y_5\}$.

Since the arc $A_F\{u, x_l\}$ is not redundant, $A_D(x_l) \not\subseteq A_D\{u, x_l\}$. Thus, for each $x_l \in N_1$, to arc $A_F\{u, x_l\} \in A(F)$ we can associate an arc, denoted $\varphi(u, x_l)$, which is chosen from $A_D(x_l) - A_D\{u, x_l\}$. Similarly, for each $y_l \in N_2$, associate an arc, denoted $\varphi(v, y_l)$, in $A_D(y_l) - A_D\{v, y_l\}$ to arc $A_F\{v, y_l\} \in A(F)$. An illustration for the definition of $\varphi(u, x_l)$ and $\varphi(v, y_l)$ is given in Fig. 2.

Choose these arcs $\varphi(u, x_l)$ and $\varphi(v, y_l)$ such that if $\Sigma := \bigcup_{l=1}^r \varphi(u, x_l)$ and $\Pi := \bigcup_{l=1}^s \varphi(v, y_l)$ then $t := |\Sigma \cap \Pi|$ is minimized. We now prove that, for each $ww' \in \Sigma \cap \Pi$, ww' is the unique arc outgoing from w in D, $A_F\{u, w\} = uw$, $A_F\{v, w\} = vw$ and $w' \notin \{u, v\}$. Since $ww' = \varphi(u, w) = \varphi(v, w)$, we have $w' \notin \{u, v\}$. Suppose that $|A_D(w)| \geqslant 2$, and ww'' is an arc outgoing from w other than ww' in D. Then at least one of u and v, say u, is not equal to w''. Now set $\varphi(u, w) := ww''$ and keep $\varphi(v, w) = ww'$. Then $|\Sigma \cap \Pi|$ is decreased. Thus, ww' is the unique arc outgoing from w in v. Since v is the unique arc outgoing from v in v. Since v is the unique arc outgoing from v in v. Since v is the unique arc outgoing from v in v. Since v is the unique arc outgoing from v in v. Since v is the unique arc outgoing from v in v is the unique arc outgoing from v in v in v is the unique arc outgoing from v in v in v is the unique arc outgoing from v in v in v is the unique arc outgoing from v in v in

Denote $\Sigma \cap \Pi = \{w_1 w_1', w_2 w_2', \dots, w_t w_t'\}$. Then $w_l \in T$ for each $l \in [1, t]$ and $t \leq |T| \leq \min\{i, j\}$. Consider the following cases:

Case 1. $S_F(uv) \geqslant k$.

In this case, we will construct an (A, A^f, A^c) -net \mathcal{A} and a (B, B^f, B^c) -net \mathcal{B} , for some $A \subseteq A_F(u) - \{uv\}$ and $B \subseteq A_F(v)$, such that $(A \cup A^f \cup A^c) \cap (B \cup B^f \cup B^c) = \emptyset$. Since each branch set in \mathcal{A} contains an outgoing arc at u other than uv, and each branch set in \mathcal{B} contains an outgoing arc at v other than vu, each branch set in \mathcal{A} is adjacent in X(D) to each branch set in \mathcal{B} . Since each branch set in \mathcal{A} is contained in $A \cup A^f \cup A^c$, and each branch set in \mathcal{B} is contained in $B \cup B^f \cup B^c$, no branch set in \mathcal{A} intersects a branch set in

 \mathcal{B} . Hence $\mathcal{A} \cup \mathcal{B}$ defines a complete minor in X(D) on $|\mathcal{A}| + |\mathcal{B}|$ vertices. In most cases we construct \mathcal{A} and \mathcal{B} such that $|\mathcal{A}| + |\mathcal{B}| \ge k$, giving a K_k -minor in X(D), as desired. Finally, we always choose $A^c \subseteq \Sigma$ and $B^c \subseteq \Pi$ in such a way that $A^c \cap B^c = \emptyset$.

Note that $i + j \ge k$. By the assumption that $\Delta^+(F) \le k - 1$, we have $1 \le i \le k - 2$ and $2 \le j \le k - 1$.

Case 1.1. j = k - 1: Then $i \ge 1$. Let $B := A_F(v)$, and B^f be a maximal B-feasible set in D. For $y_l \in N_2$, since $A_D\{y_l, v\}$ is not redundant, $A_D^+(y_l) - A_D\{y_l, v\} \ne \emptyset$. Thus, $|B^f| = |B| = k - 1 \ge 4$. By Lemma 5(6) with $p = |B^f| = k - 1$ and $|B^c| = 0$, there exists in D a (B, B^f, \emptyset) -net \mathcal{B} of size k - 1. Then $\mathcal{B} \cup \{\{uu_1\}\}\}$ forms the k branch sets of a K_k -minor in X(D), since each branch set of \mathcal{B} contains an outgoing arc at v other than vu and is thus adjacent to uu_1 in X(D) (since $vu \notin B$).

Case 1.2. $j \leq k-2$: Then $0 \leq t \leq k-2$. Recall that $t=|\Sigma \cap \Pi| \leq |T|$.

Case 1.2.1. $t = k - 2 \geqslant 3$: Suppose first that $\Sigma - \Pi \neq \emptyset$. Let $x_l x_l' \in \Sigma - \Pi$. Since $|A_F(u) - \{uv\}| = i \geqslant t \geqslant 3$, there are distinct arcs uu_a, uu_b in $A_F(u) - \{uv\}$ with $x_l \notin \{u_a, u_b\}$. Let $A := \{uu_a, uu_b\}$. Note that $x_l x_l'$ is A-compatible. Then $A := \{\{uu_a\}, \{uu_b, x_l x_l'\}\}$ is an $(A, \emptyset, \{x_l x_l'\})$ -net of size 2. Let B be a set of k - 2 arcs in $A_F(v)$. Then $B^f := \{\varphi(v, y) : vy \in B\}$ is a B-feasible set of k - 2 arcs in Π . By Lemma 5(6) with $p = |A^f| = k - 2$ and $|A^c| = 0$, there is a (B, B^f, \emptyset) -net \mathcal{B} of size k - 2. Each branch set in \mathcal{A} contains an outgoing arc at v other than vu. Thus each branch set in \mathcal{A} is adjacent in $\mathcal{A}(D)$ to each branch set in \mathcal{B} . Since $x_l x_l' \notin \Pi$ and $B^f \subseteq \Pi$, we have $(A \cup \{x_l x_l'\}) \cap (B \cup B^f) = \emptyset$. Thus, no branch set in \mathcal{A} intersects a branch set in \mathcal{B} . Hence $\mathcal{A} \cup \mathcal{B}$ is a K_k -minor in X(D).

By symmetry and since uv is not used in this case, if $\Pi - \Sigma \neq \emptyset$, then we obtain a K_k -minor in X(D).

Now assume that $\Sigma = \Pi$. Then $|\Sigma| = |\Pi| = t = k - 2$. Set $w_0 := v$ and $w'_0 := w_1$. For $0 \le l \le t$, let $B_l := \{uw_l, w_{l+1}w'_{l+1}\}$, where subscripts are taken modulo t + 1; and let $B_{t+1} := \{vw_2\}$. For $0 \le l < l' \le t$, either uw_l is adjacent to $w_{l'+1}w'_{l'+1}$ or $uw_{l'}$ is adjacent to $w_{l+1}w'_{l+1}$. Thus B_l is adjacent to $B_{l'}$. Note that $vw_2 \in B_{t+1}$ is adjacent to $w_1w'_1 \in B_0$ and $uw_l \in B_l$ with $1 \le l \le t$. Thus B_{t+1} is adjacent to every B_l with $0 \le l \le t$. Therefore, $B_0, B_1, \ldots, B_{t+1}$ form the t + 2 = k branch sets of a K_k -minor in X(D).

Case 1.2.2. $\lceil \frac{k}{2} \rceil \leqslant t \leqslant k-3$: For $k-t \leqslant l \leqslant t$, set $\alpha_l := w_l w_l'$. Choose k-2-t arcs $\alpha_{t+1}, \alpha_{t+2}, \ldots, \alpha_{k-2}$ from $\Sigma - \Pi$ (which exist since $|\Sigma - \Pi| = r - t \geqslant k - 2 - t$). Denote $A := \{uw_1, uw_2, \ldots, uw_t\}$. Then, α_l is A-feasible when $k-t \leqslant l \leqslant t$, and α_l is A-compatible when $t+1 \leqslant l \leqslant k-2$. Let $A^f := \{\alpha_{k-t}, \alpha_{k-t+1}, \ldots, \alpha_t\}$ and $A^c := \{\alpha_{t+1}, \alpha_{t+2}, \ldots, \alpha_{k-2}\}$. Note that A^f is A-feasible and A^c is A-compatible. By Lemma 5(6), there exists an (A, A^f, A^c) -net A of size t in X(D).

Next, for $1 \leqslant l \leqslant k-t-1$, set $\beta_l := w_l w_l'$. Choose k-2-t arcs β_{k-t} , β_{k-t+1} , $\ldots, \beta_{2k-2t-3}$ from $\Pi - \Sigma$ (which exist since $|\Pi - \Sigma| = s-t \geqslant k-2-t$). Note that $|\Sigma \cap \Pi| = t \geqslant k-t$ and $2k-2t-3 \geqslant k-t$. Let $B := \{vw_1, vw_2, \ldots, vw_{k-t}\}$. Then β_l is B-feasible when $1 \leqslant l \leqslant k-t-1$, and β_l is B-compatible when $k-t \leqslant l \leqslant 2k-2t-3$. Let $B^f := \{\beta_1, \beta_2, \ldots, \beta_{k-t-1}\}$, and $B^c := \{\beta_{k-t}, \beta_{k-t+1}, \ldots, \beta_{2k-2t-3}\}$. Note that B^f is B-feasible and B^c is B-compatible. If t = k-3, then by Lemma 5(4), there exists a

 (B, B^f, B^c) -net \mathcal{B} of size k - t in X(D). Otherwise $t \leq k - 4$ and by Lemma 5(6) with $p = k - t \geq 4$ and $|A^f| = k - t - 1$ and $|A^c| = k - t - 2$, there exists a (B, B^f, B^c) -net \mathcal{B} of size k - t in X(D).

Case 1.2.3. $t \leqslant \lceil \frac{k}{2} \rceil - 1$: Let j' := k - i. Since $i + j = S_F(uv) \geqslant k$, we have $j' \leqslant j$. If t = 0, then $\Sigma \cap \Pi = \emptyset$. Let $A := \{uu_1, uu_2, \dots, uu_i\}$. Note that each arc in Σ is either A-feasible or A-compatible, and no two arcs in Σ share a tail. Let A^f (A^c , respectively) be the set of A-feasible (A-compatible, respectively) arcs in Σ . Then A^f is A-feasible and A^c is A-compatible. Note that $|A^f| + |A^c| = |\Sigma| \geqslant i$, and $A^c \neq \emptyset$ if $i \leqslant 2$ (Σ contains an A-compatible arc since $|\Sigma| = r \geqslant k - 2 \geqslant 3$). If $i \geqslant 3$, then by Lemma 5(3) or Lemma 5(6) with $p = |A^f| = i$, there is an (A, A^f, \emptyset) -net A of size i. If $i \leqslant 2$, then $A^c \neq \emptyset$ (since $|\Sigma| = r \geqslant k - 2 \geqslant 3 > i$). By Lemma 5(1) or (2) with $p = |A^f| = i$ and $|A^c| \geqslant 1$, there is an (A, \emptyset, A^c) -net A of size i. Similarly, let $B \subseteq A_F(v)$ with |B| = j'. Let B^f (B^c , respectively) be the set of B-feasible (B-compatible, respectively) arcs in Π . Note that $|B^f| + |B^c| = |\Pi| = s \geqslant k - 2 \geqslant j \geqslant j'$. As in the construction of A, by Lemma 5, there exists a (B, B^f, B^c) -net B of size B of size B forms a B branch sets of a B-minor in B of size B.

Suppose that $t \ge 1$ and j = k - 2. If t = 1, then let A be a subset of $A_F(u) - \{uv\}$ with $uw_1 \in A$ and |A| = 2. Note that $|\Sigma - \Pi| = r - t \ge k - 3 \ge 3$. Then at least one arc in $\Sigma - \Pi$ is A-compatible. If $t \ge 2$, then let $A := \{uw_1, uw_2\}$. Then $|\Sigma - \Pi| = r - t \ge k - 2 - \lceil \frac{k}{2} \rceil + 1 = \lfloor \frac{k}{2} \rfloor - 1 \ge 2$ because $k \ge 6$. Again, at least one arc in $\Sigma - \Pi$ is A-compatible. In both cases, by Lemma 5(2), there exists an (A, \emptyset, A^c) -net A of size 2, where A^c is the set of A-compatible arcs in $\Sigma - \Pi$. Let $B := A_F(v)$. Note that each arc in Π is either B-feasible or B-compatible, and no two arcs in Π share a tail. Let B^f $(B^c$, respectively) be the set of B-feasible (B-compatible, respectively) arcs in Π . Since $|\Pi| = s \ge k - 2 = j \ge 4$, by Lemma 5(6), there is a (B, B^f, B^c) -net B of size B. Then $A \cup B$ forms the B-forms the B-feasible of B-minor in B-minor in B-feasible of B-feasible of

Suppose now that $t \ge 1$ and $j \le k-3$. Note that $i \ge t$. Consider two possibilities: (i) i = t, and (ii) $i \ge t+1$. If i = t, then $t = i \ge k-j \ge 3$. Let $A := \{uu_1, uu_2, \ldots, uu_t\} = \{uw_1, uw_2, \ldots, uw_t\}$. Note that $|\Sigma - \Pi| = r - t \ge k - 2 - t \ge (2t+1) - 2 - t = t-1 \ge 2$. Since $\Sigma - \Pi \ne \emptyset$, at least one arc in $\Sigma - \Pi$ is A-compatible. Let A^f (A^c , respectively) be the set of A-feasible (A-compatible, respectively) arcs in $\Sigma - \Pi$. By Lemma 5(2), (4) or (6), there exists an (A, A^f, A^c) -net A of size i. Let $B := \{vv_1, vv_2, \ldots, vv_{j'}\}$. Let B^f (B^c , respectively) be the set of B-feasible (B-compatible, respectively) arcs in Π . Note that $j' = k - t \ge k - \lceil \frac{k}{2} \rceil + 1 = \lfloor \frac{k}{2} \rfloor + 1 \ge 3$ and $|\Pi| = s \ge k - 2 \ge j \ge j'$. By Lemma 5, there is a (B, B^f, B^c) -net B of size j'.

If $i \ge t+1$, then $j'=k-i \le k-t-1$. Let $B:=\{vv_1,vv_2,\ldots,vv_{j'}\}$ be a subset of $A_F(v)$ with $vw_1 \in B$. By the assumption that $j \le k-3$, there is at least one incoming arc other than uv at v. Thus, at least one arc in $\Pi - \Sigma$ is B-compatible. Let $B^f(B^c, P^c)$ respectively) be the set of B-feasible (B-compatible, respectively) arcs in $\Pi - \Sigma$. Note that $|\Pi - \Sigma| = s - t \ge k - t - 2 \ge j' - 1$. By Lemma 5(2), (4) or (6), there is a (B, B^f, B^c) -net \mathcal{B} of size j'. Let $A := \{uu_1, uu_2, \ldots, uu_i\}$. Let $A^f(A^c, P^c)$ respectively) be the set of A-feasible (A-compatible, respectively) arcs in Σ . Since $|\Sigma| = r \ge k - 2 \ge i$, by Lemma 5(2), (3) or (6), there exists an (A, A^f, A^c) -net \mathcal{A} of size i.

In each case above, $A \cup B$ forms a K_k -minor in X(D).

Case 2. S(uv) = k - 1: Then i + j = k - 1.

In this case, we construct an (A, A^f, A^c) -net \mathcal{A} and a (B, B^f, B^c) -net \mathcal{B} as in Case 1, except that $|\mathcal{A}| + |\mathcal{B}| = k - 1$. We then define one further branch set B_0 that, with \mathcal{A} and \mathcal{B} , forms the desired K_k -minor in X(D).

Case 2.1. j=1: Then i=k-2. Let $A:=A_F(u)-\{uv\}$. Let A^f (A^c , respectively) be the set of A-feasible (A-compatible) arcs in $\Sigma-\Pi$. Since $t\leqslant \min\{i,j\}=1$ and $r\geqslant k-2$, we have $|\Sigma-\Pi|\geqslant r-t\geqslant k-3$. Since $|A^f|+|A^c|=|\Sigma-\Pi|\geqslant k-3$ and $i=k-2\geqslant 5$, by Lemma 5(6), there exists an (A,A^f,A^c) -net \mathcal{A} of size i. By Property A, there exists a potential arc $vz\neq vv_1$ outgoing from v in D, such that $d_F^+(z)=2$ if $zv\in A(F)$. Clearly, $z\neq u$ since $d_F^+(u)=i+1>3$. Let $B:=\{vv_1,vz\}$, and τ be an arc in $\Pi-\Sigma$ such that $\tau\neq\varphi(v,v_1)$ and $\tau\neq\varphi(v,z)$. τ exists because $|\Pi-\Sigma|=s-t\geqslant k-2-t\geqslant k-3\geqslant 3$. Then $\mathcal{B}:=\{\{vv_1\},\{vz,\tau\}\}$ is a $(B,\emptyset,\{\tau\})$ -net of size 2. Thus, $\mathcal{A}\cup\mathcal{B}$ forms a K_k -minor in X(D).

Case 2.2. $2 \leqslant j \leqslant k-3$: Then $2 \leqslant i \leqslant k-3$. Let $U := N_1 \cap N_2$ be the common neighbourhood of u and v in F. Say $U = \{a_1, a_2, \ldots, a_{|U|}\}$. Then $T \subseteq U$ and $t \leqslant |T| \leqslant |U|$. Recall that $t = |\Sigma \cap \Pi|$.

Case 2.2.1. $t \ge 2$: Let $A := A_F(u) - \{uv\}$. Since $2 \le t \le \min\{i, j\}$, we have $i = k - 1 - j \le k - 1 - t$. Since there is at least one incoming arc at u (because $i \le k - 3$), at least one arc in $\Sigma - \Pi$ is A-compatible. Let $A^f(A^c$, respectively) be the set of A-feasible (A-compatible) arcs in $\Sigma - \Pi$. Note that $|A^f| + |A^c| = |\Sigma - \Pi| = r - t \ge k - 2 - t \ge i - 1$. By Lemma 5(2), (4), (5) or (6), there exists an (A, A^f, A^c) -net A of size i. Let $B := A_F(v)$. Let $B^f(B^c)$, respectively) be the set of B-feasible (B-compatible) arcs in $\Pi - \Sigma$. Similarly, a (B, B^f, B^c) -net B of size j exists (since $2 \le i, j \le k - 3$ and uv is not in A).

Let $B_0 := \{w_1w_1', w_2w_2', uv\}$. Then B_0 induces a connected subgraph in X(D) by noting that uv is adjacent to both w_1w_1' and w_2w_2' . Each branch set of \mathcal{A} and \mathcal{B} contains an arc outgoing from u or v, which is adjacent to w_1w_1' or w_2w_2' . Thus B_0 is adjacent to each branch set of $\mathcal{A} \cup \mathcal{B}$. Hence $\mathcal{A} \cup \mathcal{B} \cup \{B_0\}$ forms a K_k -minor in X(D).

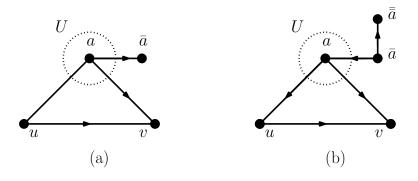


Figure 3: An illustration for the construction of B_0 in Case 2.2.2.

Case 2.2.2. $t \leq 1$ and $U \cap N_F^-(v) \neq \emptyset$: That is, there is an arc av in F for some

vertex $a \in U$. If there exists an arc $a\bar{a}$ in D with $\bar{a} \notin \{u, v\}$, then let $B_0 := \{uv, a\bar{a}\}$ (see Fig. 3(a)).

Suppose that there is no such an arc $a\bar{a}$. That is, $A_D(a) \subseteq \{au, av\}$. Clearly, $av \in A_D(a)$. Since $A_F\{v, a\}$ is not redundant in F, we have $A_D(a) - A_F\{v, a\} \neq \emptyset$. Thus $au \in A_D(a)$ and $A_D(a) = \{au, av\}$. Let \bar{a} be an in-neighbour other than u, v of a in F. Then $A_F\{a, \bar{a}\} = \bar{a}a$. Let $\bar{a} \neq a$ be an out-neighbour of \bar{a} in F. Note that \bar{a} exists since $\bar{a}a$ is not redundant. Then, by the minimality of $|\Sigma \cap \Pi|$, we have $\bar{a}\bar{a} \notin \Sigma \cap \Pi$. Let $B_0 := \{uv, au, av, \bar{a}\bar{a}\}$ (see Fig. 3(b)). Then $\max\{|B_0 \cap \Sigma|, |B_0 \cap \Pi|\} \leqslant 2$ and $|B_0 \cap \Sigma| + |B_0 \cap \Pi| \leqslant 3$.

Let $A := A_F(u) - \{uv\}$ and $B := A_F(v)$. We show that there is a net \mathcal{A} at u of size i, and a net \mathcal{B} at v of size j, such that $\mathcal{A} \cup \mathcal{B} \cup \{B_0\}$ forms a K_k -minor in X(D).

First suppose that $3 \le i, j \le k - 4$. If $|B_0 \cap \Sigma| \le 1$, let A^f (A^c , respectively) be the set of A-feasible (A-compatible) arcs in $\Sigma - \Pi - B_0$. If $|B_0 \cap \Sigma| = 2$, then $|B_0| = 4$ and $\bar{a}\bar{a} \in \Sigma \cap B_0$. Thus, \bar{a} is a neighbour of u in F. Note that $\bar{a}a \notin \Sigma$ and $\bar{a}a$ is A-feasible or A-compatible. Let A^f (A^c , respectively) be the set of A-feasible (A-compatible) arcs in $(\Sigma - \Pi - B_0) \cup \{\bar{a}a\}$. In both cases, $|A^f| + |A^c| \ge r - t - 1 \ge k - 2 - 2 \ge i$. By Lemma 5(3), (4), (5) or (6), there exists an (A, A^f, A^c) -net A of size i. Let B^f (B^c , respectively) be the set of B-feasible (B-compatible) arcs in $\Pi - (B_0 \cup \{\bar{a}a\})$. Note that all arcs of $B_0 \cup \{\bar{a}a\}$ except uv are outgoing from at most two vertices (that is, a and \bar{a}). We have $|B^f| + |B^c| = |\Pi - (B_0 \cup \{\bar{a}a\})| \ge s - 2 \ge k - 4 \ge j$. Similarly, by Lemma 5, a (B, B^f, B^c) -net B of size f exists.

Next suppose that i = k - 3 and j = 2. If $|B_0 \cap \Sigma| \leq 1$, let A^f (A^c , respectively) be the set of A-feasible (A-compatible) arcs in $\Sigma - B_0$. If $|B_0 \cap \Sigma| = 2$, let A^f (A^c , respectively) be the set of A-feasible (A-compatible) arcs in $(\Sigma - B_0) \cup \{\bar{a}a\}$, where a, \bar{a} are as above. In both cases, we have $|A^f| + |A^c| \geq r - 1 \geq k - 3 = i$. By Lemma 5 (6), there exists an (A, A^f, A^c) -net A of size i. Let B^f (B^c , respectively) be the set of B-feasible (B-compatible) arcs in $\Pi - \Sigma - (B_0 \cup \{\bar{a}a\})$. Since v has in F at least $k-3 \geq 4$ in-neighbours, one of which is not in $\{u, a, \bar{a}\}$. Thus $B^c \neq \emptyset$. By Lemma 5(2), a (B, B^f, B^c) -net B of size 2 exists.

Suppose that i=2 and j=k-3. Let B^f (B^c , respectively) be the set of B-feasible (B-compatible) arcs in $\Pi - B_0$. Then $|B^f| + |B^c| = |\Pi - B_0| \geqslant s-2 \geqslant k-4 = j-1$. By Lemma 5(6), there exists a (B, B^f, B^c)-net \mathcal{B} of size j. If $|B_0 \cap \Sigma| \leqslant 1$, let A^f (A^c , respectively) be the set of A-feasible (A-compatible) arcs in $\Sigma - \Pi - B_0$. If $|B_0 \cap \Sigma| = 2$, let A^f (A^c , respectively) be the set of A-feasible (A-compatible) arcs in ($\Sigma - \Pi - B_0$) $\cup \{\bar{a}a\}$, where a, \bar{a} are as above. In both cases, $|A^f| + |A^c| \geqslant r - t - 1 \geqslant k - 2 - 2 \geqslant 3$. Recall that $A = \{uu_1, uu_2\}$. Note that $|(A^f \cup A^c) - \{\varphi(u, u_1)\}| \geqslant 2$. Let τ_1, τ_2 be two arcs in $(A^f \cup A^c) - \{\varphi(u, u_1)\}$. Then, at least one arc, τ_2 say, of τ_1, τ_2 is not equal to $\varphi(u, u_2)$. Note that τ_2 is adjacent to both uu_1 and uu_2 , and τ_1 is adjacent to uu_1 in X(D). Let $A := \{\{uu_1, \tau_1\}, \{uu_2, \tau_2\}\}$. Then, A is a (A, A^f, A^c) -net of size 2.

In each case, B_0 induces a connected subgraph in X(D). And $uv \in B_0$ is adjacent to each branch set of \mathcal{A} , and an arc outgoing from a other than av is adjacent to each branch set of \mathcal{B} . Hence $\mathcal{A} \cup \mathcal{B} \cup \{B_0\}$ forms a K_k -minor in X(D).

Case 2.2.3. $t \leq 1$ and $U \cap N_F^-(v) = \emptyset$ and $|U| \geq 2$: That is, each arc in F between a

vertex of U and v is outgoing at v. Let $A := A_F(u) - \{uv\}$ and $B := A_F(v)$. We consider two situations.

First suppose that U is not independent in F. That is, there is an arc τ in F joining two vertices in U. Say, $\tau = a_1 a_2$. Since $A_F\{u, a_2\}$ is not redundant, in D there is an arc $\gamma \neq a_2 u$ outgoing from a_2 . (It may happen that $\gamma \in \{a_2 a_1, a_2 v\}$.) Let $B_0 := \{uv, \tau, \gamma\}$. Since uv is adjacent to both τ and γ , B_0 induces a connected subgraph in X(D). Note that $\max\{|B_0 \cap \Sigma|, |B_0 \cap \Pi|\} \leq 2$.

If i > j, then $j < \frac{k-1}{2} \leqslant k - 4$ and $i \geqslant 4$. Let A^f (A^c , respectively) be the set of A-feasible (A-compatible) arcs in $\Sigma - B_0$; and, let B^f (B^c , respectively) be the set of B-feasible (B-compatible) arcs in $\Pi - \Sigma - B_0$. Then $|A^f| + |A^c| \geqslant r - 2 \geqslant k - 2 - 2 \geqslant i - 1$. By Lemma 5(6), there exists an (A, A^f, A^c) -net A of size i. Also, $|B^f| + |B^c| = |\Pi - \Sigma - B_0| \geqslant s - t - 2 \geqslant k - 5 \geqslant j - 1$. Note that there is at least one (in fact many) incoming arc $v_l v$ at v with $\varphi(v_l, v) \notin \Sigma \cup B_0$. Thus $\varphi(v_l, v) \in B^c$ and $|B^c| \geqslant 1$. By Lemma 5(2), (4) or (6), a (B, B^f, B^c) -net \mathcal{B} of size j exists. If $i \leqslant j$, then $i \leqslant \frac{k-1}{2} \leqslant k - 4$. Now let A^f (A^c , respectively) be the set of A-feasible (A-compatible) arcs in $\Sigma - \Pi - B_0$; and let B^f (B^c , respectively) be the set of B-feasible (B-compatible) arcs in $\Pi - B_0$. Similarly, we obtain an (A, A^f, A^c) -net A of size i and a (B, B^f, B^c) -net B of size i.

Since each arc outgoing from u or v is adjacent to τ or γ , each branch set of $\mathcal{A} \cup \mathcal{B}$ is adjacent to B_0 . Thus, $\mathcal{A} \cup \mathcal{B} \cup \{B_0\}$ forms a K_k -minor in X(D).

Next suppose that U is independent in F. For each $a_l \in U$, if in D there is an arc $a_l a_l'$ other than $a_l u$ or $a_l v$, let $Q_l := \{a_l a_l'\}$. Otherwise suppose that a_l has no out-neighbours other than u, v in D. Since $A_F\{\bar{a}_l, u\}$ is not redundant, $a_l v \in A(D)$; similarly, $A_F\{\bar{a}_l, v\}$ is not redundant, $a_l u \in A(D)$. Therefore, we have $A_D(a_l) = \{a_l u, a_l v\}$. Let \bar{a}_l be an in-neighbour other than u, v of a_l in F. Then $A_F\{\bar{a}_l, a_l\} = \bar{a}_l a_l$. Let $\bar{a}_l \neq a_l$ be an out-neighbour of \bar{a}_l in F (such \bar{a}_l exists as $\bar{a}_l a_l$ is not redundant). Let $Q_l := \{a_l u, a_l v, \bar{a}_l \bar{a}_l\}$. Let a_l, a_m be distinct vertices in U such that $w_1 \in \{a_l, a_m\}$ when t = 1 and $|Q_l \cup Q_m|$ is minimised. Let $B_0 := \{uv\} \cup Q_l \cup Q_m$. Note that in X(D) each of the subgraphs induced on Q_l and Q_m is connected and adjacent to uv, B_0 induces a connected subgraph.

Note that for each $p \in \{l, m\}$, $|Q_p \cap \Sigma| \leq 2$ and $|Q_p \cap \Pi| \leq 2$. If $|Q_p \cap \Sigma| = 2$, then $Q_p := \{a_p u, a_p v, \bar{a_p} \bar{a_p}\}$ and $\bar{a_p} \bar{a_p} \in \Sigma$ and $\bar{a_p}$ is adjacent to u (but not v because U is independent) in F. Thus $\bar{a_p} a_p$ is A-feasible (A-compatible) if $\bar{a_p} \bar{a_p}$ is A-feasible (A-compatible). Let Σ' be obtained from Σ by replacing $\bar{a_p} \bar{a_p}$ with $\bar{a_p} a_p$. Then $|Q_p \cap \Sigma'| \leq 1$ and $|B_0 \cap \Sigma'| \leq 2$. In addition, each element in Σ' is A-feasible or A-compatible, and no two share a tail. Similarly, we can obtain Π' such that each of its elements is A-feasible or A-compatible, no two elements share a tail and $|B_0 \cap \Pi'| \leq 2$.

Let A^f (A^c , respectively) be the set of A-feasible (A-compatible) arcs in $\Sigma' - B_0$; and let B^f (B^c , respectively) be the set of B-feasible (B-compatible) arcs in $\Pi' - B_0$. Then, $|A^f| + |A^c| \ge r - 2 \ge k - 2 - 2 \ge i - 1$. Also, $|B^f| + |B^c| = |\Pi' - B_0| \ge s - 2 \ge k - 4 \ge j - 1$. When i = 2, since $|A^f| + |A^c| \ge k - 4 \ge 3$, we have $A^c \ne \emptyset$. Analogously, we have that $B^c \ne \emptyset$ when j = 2. By Lemma 5(2)-(6), there exist an (A, A^f, A^c) -net \mathcal{A} of size i and a (B, B^f, B^c) -net \mathcal{B} of size j.

Since each arc outgoing from u or v is adjacent to an arc in Q_l or Q_m , each branch set of $\mathcal{A} \cup \mathcal{B}$ is adjacent to B_0 . Thus, $\mathcal{A} \cup \mathcal{B} \cup \{B_0\}$ forms a K_k -minor in X(D).

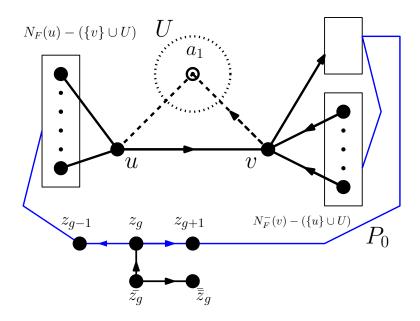


Figure 4: An illustration for Case 2.2.4.

Case 2.2.4. $U \cap N_F^-(v) = \emptyset$ and $|U| \le 1$ (hence $t \le 1$): That is, u and v share at most one neighbour a_1 in F. If a_1 exists, the arc between a_1 and v in F is va_1 . Let $A := A_F(u) - \{uv\}$ and $B := A_F(v)$.

Since $\delta(F) \ge k - 1$ and $j \le k - 3$, v has at least $k - 1 - j \ge 2$ in-neighbours in F. Say, $N_F^-(v) = \{u, y_{j+1}, y_{j+2}, \dots, y_{k-2}\}$. Note that $N_F^-(v) - \{u\} \ne \emptyset$. Recall that $N_F^+(v) = \{v_1, v_2, \dots, v_j\}$.

Let \bar{H} be obtained from H by deleting vertices in $U \cup \{u,v\}$. By Lemma 6(b), \bar{H} is connected. Let $P_0 := (z_1, z_2, \dots, z_m)$ be a shortest path in \bar{H} between $N_F(u) - (\{v\} \cup U)$ and $N_F^-(v) - (\{u\} \cup U)$, where $m \geq 2$ (because u and v share no common neighbour in \bar{H}), $z_1 \in N_F(u) - (\{v\} \cup U)$ and $z_m \in N_F^-(v) - (\{u\} \cup U)$. See Fig. 4. Then each internal vertex of P_0 is not adjacent to u in F.

If $|V(P_0) \cap N_F(v)| = 1$, then z_m is the only neighbour of v in F which is on P_0 . Let $P := P_0$ and set $z_l := z_m$. If $|V(P_0) \cap N_F(v)| \ge 2$, let $P = (z_1, z_2, \dots, z_l)$ be the subpath of P_0 such that $z_l \in N_F(v)$ and $|V(P) \cap N_F(v)| = 2$.

We shall construct a branch set P' consisting of arcs alongside P. Let $z_0 = u$ and $z_{l+1} = v$.

For $1 \leqslant g \leqslant l$, we associate to z_g the set Q_g of arcs as follows. If $A_D(z_g) - (A_D\{z_{g-1}, z_g\} \cup A_D\{z_g, z_{g+1}\}) \neq \emptyset$, then let Q_g be a singleton set that contains exactly one arc, say, $z_g z_g' \in A_D(z_g) - (A_D\{z_{g-1}, z_g\} \cup A_D\{z_g, z_{g+1}\})$. Otherwise, $A_D(z_g) - (A_D\{z_{g-1}, z_g\} \cup A_D\{z_g, z_{g+1}\}) = \emptyset$. Since the arc $A_F\{z_g, z_{g+1}\} \in A(F)$ is not redundant, $z_g z_{g-1} \in A_D(z_g)$. Similarly, $z_g z_{g+1} \in A_D(z_g)$ since $A_F\{z_{g-1}, z_g\} \in A(F)$ is not redundant. Let \bar{z}_g be an in-neighbour of z_g in F. Then $\bar{z}_g z_g \in A(F)$. Let $\bar{z}_g \bar{z}_g$ with $\bar{z}_g \neq z_g$ be an arc outgoing from z_g in D (which exists because $z_g z_g$ is not redundant). Set $Q_g := \{z_g z_{g-1}, z_g z_{g+1}, \bar{z}_g \bar{z}_g\}$ (see Fig. 4). Note that Q_g induces a connected subgraph

in X(D) since $\bar{z}_g\bar{\bar{z}}_g$ is adjacent to both z_gz_{g-1} and z_gz_{g+1} .

In the case where $V(P) \cap N_F(v) = \{z_p, z_l\}$ (p < l) and $Q_p = \{z_p v\}$, we slightly modify Q_p as $\{z_p v, \gamma\}$, where $\gamma \in A_D(z_p) - \{z_p v\}$ (which exists because $A_F(z_p, v)$ is not redundant).

Let $P' := \bigcup_{g=1}^l Q_g$. Then, for $1 \leq g \leq l-1$, since Q_g contains an arc outgoing from z_g other than $z_g z_{g+1}$ and Q_{g+1} contains an arc outgoing from z_{g+1} other than $z_{g+1} z_g$, each Q_g is adjacent to Q_{g+1} in X(D). Thus, P' induces a connected subgraph in X(D). We call P' a parallel set of P.

Let Σ and Π be as above. We have the following claim:

- Claim 2. (a) There is a set Σ' such that $|\Sigma'| \ge |\Sigma| 1$ and $P' \cap \Sigma' = \emptyset$, and each element of which is A-feasible or A-compatible and no two elements share a tail;
- (b) There is a set Π' such that $|\Pi'| \ge |\Pi| 2$ and $P' \cap \Pi' = \emptyset$, and each element of which is *B*-feasible or *B*-compatible and no two elements share a tail.
- Proof. (a) Initially, set $\Sigma' := \Sigma P'$. Clearly, all properties except $|\Sigma'| \geqslant |\Sigma| 1$ in (a) are satisfied. If $|P' \cap \Sigma| \leqslant 1$, then we are done. Suppose that $|P' \cap \Sigma| \geqslant 2$. Since P_0 is a shortest path in \bar{H} between $N_F(u) (\{v\} \cup U)$ and $N_F^-(v) (\{u\} \cup U)$, each vertex z_g on P with $g \geqslant 3$ is not adjacent to a vertex of $N_F(u) (\{v\} \cup U)$. Thus, $Q_g \cap \Sigma = \emptyset$ for each $g \geqslant 3$. We now consider g = 2. Since z_2 is not adjacent to u in \bar{H} , we have $|Q_2 \cap \Sigma| \leqslant 1$ and if $|Q_2 \cap \Sigma| = 1$ then $|Q_2| = 3$ and $Q_2 := \{z_2 z_1, z_2 z_3, \bar{z}_2 \bar{z}_2\}$, where \bar{z}_2 is an in-neighbour of z_2 in F. Since z_2 is not adjacent to u, $Q_2 \cap \Sigma = \{\bar{z}_2 \bar{z}_2\}$, which means that \bar{z}_2 is adjacent to u in F and $\varphi(u, \bar{z}_2) = \bar{z}_2 \bar{z}_2$. In this case, update $\Sigma' := \Sigma' \cup \{\bar{z}_2 z_2\}$. Note that $\bar{z}_2 z_2$ is A-feasible or A-compatible.
- If $|Q_1 \cap \Sigma| \leq 1$, then Σ' is the desired set. Suppose that $|Q_1 \cap \Sigma| = 2$. Let $Q_1 := \{z_1 u, z_1 z_2, \bar{z}_1 \bar{z}_1\}$, where \bar{z}_1 is an in-neighbour of z_1 in F. Then, $Q_1 \cap \Sigma = \{z_1 z_2, \bar{z}_1 \bar{z}_1\}$, which means $\varphi(u, z_1) = z_1 z_2$ and $\varphi(u, \bar{z}_1) = \bar{z}_1 \bar{z}_1$. Note that $\bar{z}_1 z_1$ is A-feasible or A-compatible. By adding $\bar{z}_1 z_1$ into Σ' , we get that $|Q_1 \cap \Sigma'| \leq 1$. Then $|\Sigma'| \geq |\Sigma| 1$, as desired.
- (b) Initially, set $\Pi' := \Pi P'$. Recall that P contains at most two neighbours, z_{g_1} and z_{g_2} say, of v. Let γ be an arc in $\Pi \cap P'$ such that there is a Q_g containing γ (there may be more than one Q_g containing γ) and $g \notin \{g_1, g_2\}$. Since z_g is not adjacent to v in \bar{H} , we have $|Q_g| = 3$ and $Q_g = \{z_g z_{g-1}, z_g z_{g+1}, \bar{z}_g \bar{z}_g\}$, where \bar{z}_g is an in-neighbour of z_g in F and $\bar{z}_g \bar{z}_g \neq \bar{z}_g z_g$ is an arc outgoing from \bar{z}_g in D. Further, \bar{z}_g is a neighbour of v in F and $\varphi(v, \bar{z}_g) = \bar{z}_g \bar{z}_g$. Note that $\bar{z}_g z_g \notin \Pi$ is B-feasible or B-compatible. Now update Π' by adding $\bar{z}_g z_g$. That is, $\Pi' := \Pi' \cup \{\bar{z}_g z_g\}$. By repeating this procedure for all such γ , we obtain a Π' with the same size as $\Pi (Q_{g_1} \cup Q_{g_2})$.

For each $g \in \{g_1, g_2\}$, if $|\Pi \cap Q_g| = 2$, we will add a B-feasible or B-compatible arc into Π' . Then $|\Pi'| \geqslant |\Pi| - 2$, as desired. Suppose that $|\Pi' \cap Q_g| = 2$ for some $g \in \{g_1, g_2\}$. Then $Q_g = \{z_g z_{g-1}, z_g z_{g+1}, \bar{z}_g \bar{z}_g\}$, where \bar{z}_g is an in-neighbour of z_g in F and $\bar{z}_g \bar{z}_g \neq \bar{z}_g z_g$ is an arc outgoing from \bar{z}_g in D. And, \bar{z}_g is a neighbour of v in F with $\varphi(v, \bar{z}_g) = \bar{z}_g \bar{z}_g$. Note that $\bar{z}_g z_g \notin \Pi$ is B-feasible or B-compatible. Set $\Pi' := \Pi' \cup \{\bar{z}_g z_g\}$. Then $|\Pi'| \geqslant |\Pi| - 2$. Consequently, we get the desired Π' .

Let $B_0 := \{uv\} \cup P'$. Then B_0 induces a connected subgraph in X(D) since uv is adjacent to Q_1 .

Next we show that there exists a net of size i at u and a net of size j at v such that none of their branch sets intersects B_0 .

If j=2 (hence i=k-3), then at least one arc, say γ , in $\Pi'-\Sigma'$ is B-compatible (since there are more incoming arcs at v). Let $B^c:=\{\gamma\}$. Since $|\Pi'-\Sigma'|\geqslant s-2-1\geqslant k-5\geqslant j=2$, by Lemma 5(2), there exists a $(B,\emptyset,\mathbb{B}^c)$ -net \mathcal{B} of size j=2. Similarly, let A^f (A^c , respectively) be the set of A-feasible (A-compatible, respectively) arcs in Σ' . Note that $|\Sigma'|\geqslant r-1\geqslant k-3=i\geqslant 4$. By Lemma 5(6), there exists an (A,A^f,A^c) -net \mathcal{A} of size i.

Suppose that $3 \le j \le k-3$ (hence $2 \le i \le k-4$). Let B^f (respectively, B^c) be the set of B-feasible (B-compatible) arcs in Π' . Since $|\Pi'| \ge s-2 \ge k-4 \ge j-1$ and $B^c \ne \emptyset$ when j=3, by Lemma 5(4) or (6), there exists a (B,B^f,B^c) -net \mathcal{B} of size j. Let A^f (A^c , respectively) be the set of A-feasible (A-compatible, respectively) arcs in $\Sigma' - \Pi'$. We now show that there exists a net of size i at u. If $i \ge 3$, then $|\Sigma' - \Pi'| \ge r-1-1 \ge k-4 \ge i \ge 3$. By Lemma 5(3) or (6), there exists an (A,A^f,A^c) -net \mathcal{A} of size i. Suppose that i=2. Note that $|\Sigma' - \Pi'| \ge k-4 \ge 3$ (because $k \ge 7$) and there are at least three incoming arcs at u in F. $\Sigma' - \Pi'$ contains at least two A-compatible arcs, say, γ_1 and γ_2 . Let $\mathcal{A} := \{\{uu_1, \gamma_1\}, \{uu_2, \gamma_2\}\}$. Then \mathcal{A} is a net of size 2 at u.

Since each element of \mathcal{A} constructed above contains an arc xx', which is outgoing from a neighbour $x \neq v$ of u and $x' \neq u$, each element of \mathcal{A} is adjacent to B_0 because $uv \in B_0$ is adjacent to each xx'. Note that $|V(P) \cap N_F(v)| \in \{1, 2\}$. In the case when $|V(P) \cap N_F(v)| = 1$, P' contains an arc yy', which is outgoing from an in-neighbour $y \neq u$ of v and $y' \neq v$. Since such a yy' is adjacent to every arc of $A_F(v)$, it is adjacent to every element of \mathcal{B} constructed above. In the case when $|V(P) \cap N_F(v)| = 2$, P' contains two arcs α and β , each of them is outgoing from a neighbour of v other than v and heading to a vertex other than v. Then each arc of $A_F(v)$ is adjacent to either α or β . So every element of \mathcal{B} is adjacent to $P' \subseteq B_0$. Therefore, $\{B_0\} \cup \mathcal{A} \cup \mathcal{B}$ forms a K_k -minor in X(D).

Case 2.3. j = k - 2: Then i = 1. Suppose first that $d_F^-(v) = 1$; that is, uv is the only incoming arc at v and $d_F(v) = k - 1$. Since v is not special, one out-neighbour v' of v in F is not a sink. Now consider the arc vv'. If $d_F^+(v') \ge 2$, then $S_F(vv') = d_F^+(v) + d_F^+(v') - 1 \ge k - 2 + 2 - 1 = k - 1$. This is a special case of Case 2.2 and thus can be treated similarly. If $d_F^+(v') = 1$, then by Property A, one potential arc v'v'' ($\ne v'v$ as $d_F^+(v) > 2$) is outgoing from v' in D but not present in F (since $d_F^+(v) = 1$). Let F' be obtained from F by adding v'v''. Again we have $S_{F'}(vv') = d_{F'}^+(v) + d_{F'}^+(v') - 1 \ge k - 2 + 2 - 1 = k - 1$, and this can also be treated similarly. Suppose next that $d_F^-(v) \ge 2$. Then $t \le 1$. This case can be dealt with by a similar way as in Cases 2.2.3 or 2.2.4.

Case 2.4. j = k-1: Then i = 0, which implies $d_F^+(u) = 1$. By Property A, there exists a potential arc $uz \neq uv$ in D. Then $\mathcal{A} := \{\{uz\}\}$ is a $(\{uz\}, \emptyset, \emptyset)$ -net. Let $B := A_F(v)$. Let B^f (B^c , respectively) be the set of B-feasible (B-compatible, respectively) arcs in Π . By Lemma 5(6), a (B, B^f, B^c) -net \mathcal{B} of size j exists. It is not hard to see that $\mathcal{A} \cup \mathcal{B}$ forms a K_k -minor in X(D).

This completes the proof of Theorem 1.

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