

# On bipartite cages of excess 4

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## Abstract

The Moore bound  $M(k, g)$  is a lower bound on the order of  $k$ -regular graphs of girth  $g$  (denoted  $(k, g)$ -graphs). The excess  $e$  of a  $(k, g)$ -graph of order  $n$  is the difference  $n - M(k, g)$ . In this paper we consider the existence of  $(k, g)$ -bipartite graphs of excess 4 by studying spectral properties of their adjacency matrices. For a given graph  $G$  and for the integers  $i$  with  $0 \leq i \leq \text{diam}(G)$ , the  $i$ -distance matrix  $A_i$  of  $G$  is an  $n \times n$  matrix such that the entry in position  $(u, v)$  is 1 if the distance between the vertices  $u$  and  $v$  is  $i$ , and zero otherwise. We prove that the  $(k, g)$ -bipartite graphs of excess 4 satisfy the equation  $kJ = (A + kI)(H_{d-1}(A) + E)$ , where  $A = A_1$  denotes the adjacency matrix of the graph in question,  $J$  the  $n \times n$  all-ones matrix,  $E = A_{d+1}$  the adjacency matrix of a union of vertex-disjoint cycles, and  $H_{d-1}(x)$  is the Dickson polynomial of the second kind with parameter  $k-1$  and degree  $d-1$ . We observe that the eigenvalues other than  $\pm k$  of these graphs are roots of the polynomials  $H_{d-1}(x) + \lambda$ , where  $\lambda$  is an eigenvalue of  $E$ . Based on the irreducibility of  $H_{d-1}(x) \pm 2$ , we give necessary conditions for the existence of these graphs. If  $E$  is the adjacency matrix of a cycle of order  $n$ , we call the corresponding graphs *graphs with cyclic excess*; if  $E$  is the adjacency matrix of a disjoint union of two cycles, we call the corresponding graphs *graphs with bicyclic excess*. In this paper we prove the non-existence of  $(k, g)$ -graphs with cyclic excess 4 if  $k \geq 6$  and  $k \equiv 1 \pmod{3}$ ,  $g = 8, 12, 16$  or  $k \equiv 2 \pmod{3}$ ,  $g = 8$ ; and the non-existence of  $(k, g)$ -graphs with bicyclic excess 4 if  $k \geq 7$  is an odd number and  $g = 2d$  such that  $d \geq 4$  is even.

**Keywords:** Cage problem, bipartite graphs, cyclic excess, bicyclic excess

## 1 Introduction

A  $k$ -regular graph of girth  $g$  is called a  $(k, g)$ -graph. A  $(k, g)$ -cage is a  $(k, g)$ -graph with the fewest possible number of vertices, among all  $(k, g)$ -graphs. The order of a  $(k, g)$ -cage

is denoted by  $n(k, g)$ . The *Cage Problem* or *Degree/Girth Problem* calls for finding cages, and it was considered for the first time by Tutte [17]. It is known that a  $(k, g)$ -graph exists for any combination of  $k \geq 2$  and  $g \geq 3$ , see Erdős and Sachs [10] and Sachs [15]. However, the orders  $n(k, g)$  of  $(k, g)$ -cages have only been determined for very limited sets of parameters, see Balbuena, González-Moreno and Montellano [1], Exoo and Jajcay [11] and Combinatorics Wiki [5]. A natural lower bound on the order of a  $(k, g)$ -graph is called the *Moore bound*, and the form of the bound depends on the parity of  $g$ , that is,

$$n(k, g) \geq M(k, g) = \begin{cases} 1 + k + k(k-1) + \dots + k(k-1)^{(g-3)/2}, & g \text{ odd,} \\ 2(1 + (k-1) + \dots + (k-1)^{(g-2)/2}), & g \text{ even.} \end{cases} \quad (1)$$

The graphs whose orders are equal to the Moore bound are called *Moore graphs*. They are known to exist if  $k = 2$  and  $g \geq 3$ ,  $g = 3$  and  $k \geq 2$ ,  $g = 4$  and  $k \geq 2$ ,  $g = 5$  and  $k = 2, 3, 7$ , or  $g = 6, 8, 12$  and a generalized  $n$ -gon of order  $k - 1$  exists, see Bannai and Ito [2], Damerell [7] and Exoo and Jajcay [11]. The existence of a  $(57, 5)$ -Moore graph is an open question.

The *excess*  $e$  of a  $(k, g)$ -graph is the difference between its order  $n$  and the Moore bound  $M(k, g)$ , that is,  $e = n - M(k, g)$ . Regarding graphs of even girth we use the following three results:

**Theorem 1** (Biggs and Ito [4]). *Let  $G$  be a  $(k, g)$ -cage of girth  $g = 2d \geq 6$  and excess  $e$ . If  $e \leq k - 2$ , then  $e$  is even and  $G$  is bipartite of diameter  $d + 1$ .*

It is known that these graphs are partially distance-regular. For more information on almost-distance-regular graphs, see Dalfó, van Dam, Fiol, Garriga and Gorissen [6]. For the next theorem, let  $D(k, 2)$  denote the incidence graph of a symmetric  $(v, k, 2)$ -design.

**Theorem 2** (Biggs and Ito [4]). *Let  $G$  be a  $(k, g)$ -cage of girth  $g = 2d \geq 6$  and excess 2. Then  $g = 6$ ,  $G$  is a double-cover of  $D(k, 2)$ , and  $k \not\equiv 5, 7 \pmod{8}$ .*

**Theorem 3** (Jajcayová, Filipovski and Jajcay [13]). *Let  $k \geq 6$  and  $g = 2d > 6$ . No  $(k, g)$ -graphs of excess 4 exist for parameters  $k, g$  satisfying at least one of the following conditions:*

- 1)  $g = 2p$ , with  $p \geq 5$  a prime number, and  $k \not\equiv 0, 1, 2 \pmod{p}$ ;
- 2)  $g = 4 \cdot 3^s$  such that  $s \geq 4$ , and  $k$  is divisible by 9 but not by  $3^{s-1}$ ;
- 3)  $g = 2p^2$ , with  $p \geq 5$  a prime number, and  $k \not\equiv 0, 1, 2 \pmod{p}$  and  $k$  even;
- 4)  $g = 4p$ , with  $p \geq 5$  a prime number, and  $k \not\equiv 0, 1, 2, 3, p - 2 \pmod{p}$ ;
- 5)  $g \equiv 0 \pmod{16}$ , and  $k \equiv 3 \pmod{g}$ .

Motivated by the result in Theorem 3, which was obtained through counting cycles in a hypothetical graph with given parameters and excess 4, in this paper we address the question of the existence of  $(k, g)$ -graphs of excess 4 using spectral properties of

their adjacency matrices. The question of the existence of  $(k, g)$ -graphs of excess 4 is wide open, and prior to the publication of Jajcayová, Filipovski and Jajcay [13], no such results were known. The results contained in our paper extend further our understanding of the structure of the potential graphs of excess 4. Throughout, we assume that  $k \geq 6$ ,  $g = 2d \geq 6$  and  $G$  is a  $(k, g)$ -graph of excess 4 and order  $n$ . Due to Biggs's result stated in Theorem 1, the restriction of the parameters  $k, g$  given above allows us to conclude that  $G$  is a bipartite graph with diameter  $d + 1$ .

For each integer  $i$  in the range  $0 \leq i \leq d + 1$ , we define the  $n \times n$  matrix  $A_i = A_i(G)$  as follows. The rows and columns of  $A_i$  correspond to the vertices of  $G$ , and the entry in position  $(u, v)$  is 1 if the distance  $d(u, v)$  between the vertices  $u$  and  $v$  is  $i$ , and zero otherwise. Clearly,  $A_0 = I, A_1 = A$ , the usual adjacency matrix of  $G$ . The last non-zero matrix is the matrix  $A_{d+1}$ , which we denote  $E$  and refer to it as the *excess matrix*, that is,  $E$  is the adjacency matrix of the graph with the same vertex set  $V$  as  $G$  such that two vertices of  $V$  are adjacent if and only if they are at distance  $d + 1$ . We call this graph the *excess graph* of  $G$  and we denote it  $G(E)$ . If  $J$  is the all-ones matrix, the sum of the  *$i$ -distance matrices*  $A_i$ , for  $0 \leq i \leq d$ , and the matrix  $E$  yields  $\sum_{i=0}^d A_i + E = J$ . To apply the last identity, we use Lemma 4 from Jajcayová, Filipovski and Jajcay [13]. Employing the methodology used by Bannai and Ito in [2] and [3], later by Biggs and Ito in [4], Delorme, Jørgensen, Miller and Villavicencio in [8] and Garbe in [12], we show that the eigenvalues of  $G$  other than  $\pm k$  are the roots of the polynomials  $H_{d-1}(x) + \lambda$ . Here,  $H_{d-1}(x)$  is the Dickson polynomial of the second kind with parameter  $k - 1$  and degree  $d - 1$ , and  $\lambda$  is an eigenvalue of the excess matrix  $E$ . Furthermore, for odd  $k \geq 7$  and  $d \geq 4$ , we prove that the polynomial  $H_{d-1}(x) \pm 2$  is irreducible over  $\mathbb{Q}[x]$ , which leads to necessary conditions for the existence of  $(k, g)$ -graphs of excess 4, see Theorem 10.

We say that a graph  $G$  has a *cyclic excess* if the excess graph  $G(E)$  is a cycle of length  $n$ , and a graph  $G$  has a *bicyclic excess* if  $G(E)$  is a disjoint union of two cycles. In [9] Delorme and Villavicencio considered graphs with cyclic defect and excess 2, proving the non-existence of infinitely many such graphs. The paper describes the cycle structure of the excess graphs of the known non-trivial graphs of excess 2:

- 1) The excess graph of the only  $(3, 5)$ -graph of excess 2 is a disjoint union of a 9-cycle and a 3-cycle or a disjoint union of an 8-cycle and 4-cycle.
- 2) The excess graph of the unique  $(4, 5)$ -graph of excess 2 (the Robertson graph) is a disjoint union of a 3-cycle, a 12-cycle and a 4-cycle.
- 3) The excess graph of the unique  $(3, 7)$ -graph of excess 2 (the McGee graph) is a disjoint union of six 4-cycles.

We note that no  $(k, g)$ -graph of cyclic excess 2 are known, while examples of graphs with bicyclic excess 2 can be found among the  $(3, 5)$ -graphs of excess 2. Proving that the excess graphs of bipartite graphs of excess 4 form a disjoint union of cycles, while also inspired by the results in Delorme and Villavicencio [9], in Section 3 we consider the existence of bipartite graphs of excess 4 with cyclic or bicyclic excess 4. Based on the

irreducibility of  $H_{d-1}(x) \pm 2$  and  $H_{d-1}(x) - 1$  over  $\mathbb{Q}[x]$ , we prove the non-existence of infinitely many such graphs of girth at least 8.

## 2 Necessary conditions for the existence of graphs of even girth and excess 4

Let  $k \geq 6$ ,  $g = 2d \geq 6$ , and let  $G$  be a  $(k, g)$ -graph of excess 4. Then  $G$  is a bipartite graph of diameter  $d + 1$ . Let  $N_G(u, i)$  denote the set of vertices of  $G$  whose distance from  $u$  in  $G$  is equal to  $i$ , for  $1 \leq i \leq d + 1$ . The subgraph of  $G$  induced by the set of vertices of  $G$  whose distance from  $u$  is at most  $\frac{g-2}{2}$  and whose distance from  $v$  is by one larger than their distance from  $u$  induces a tree of depth  $\frac{g-2}{2}$  rooted at  $u$ , which we call it  $\mathcal{T}_u$ . Also, the subgraph of  $G$  induced by the set of vertices of  $G$  whose distance from  $v$  is at most  $\frac{g-2}{2}$  and whose distance from  $u$  is by one larger than their distance from  $v$  induces a tree of depth  $\frac{g-2}{2}$  rooted at  $v$ , which we call it  $\mathcal{T}_v$ . Since  $G$  is of girth  $g$ , the trees  $\mathcal{T}_u$  and  $\mathcal{T}_v$  are disjoint and contain no cycles. Since each vertex of  $G$  is of degree  $k$ , the order of  $\mathcal{T}_u \cup \mathcal{T}_v$  is equal to  $2(1 + (k-1) + (k-1)^2 + \dots + (k-1)^{\frac{g-2}{2}})$ . We call the union of the trees  $\mathcal{T}_u, \mathcal{T}_v$  with the edge  $f$  *Moore tree of  $G$  rooted at  $f$* ; it is the subtree of  $G$  that is the basis of the Moore bound for even  $g$ . The graph  $G$  must contain 4 additional vertices  $w_1, w_2, w_3, w_4$ , which do not belong to either  $\mathcal{T}_u$  or  $\mathcal{T}_v$ , and whose distance from both  $u$  and  $v$  is greater than  $\frac{g-2}{2}$ . We call these vertices *the excess vertices with respect to  $f$*  and denote this set  $X_f = \{w_1, w_2, w_3, w_4\}$ ; we call the edges not contained in the Moore tree of  $G$  *horizontal edges*.

The following lemma restricts the possible ways in which the four excess vertices are attached to the Moore tree.

**Lemma 4** (Jajcayová, Filipovski and Jajcay [13]). *Let  $k \geq 6$  and  $g = 2d \geq 6$ . Let  $G$  be a  $(k, g)$ -graph of excess 4,  $u, v$  be two adjacent vertices in  $G$ , and  $X_f = \{w_1, w_2, w_3, w_4\}$  be the four excess vertices with respect to the edge  $f = \{u, v\}$ . The induced subgraph  $G[w_1, w_2, w_3, w_4]$  is isomorphic to  $2K_2$  (two disjoint copies of  $K_2$ ) or  $\mathcal{P}_3$  (a path of length 3).*

Next, let us define the following polynomials:

$$F_0(x) = 1, F_1(x) = x, F_2(x) = x^2 - k;$$

$$G_0(x) = 1, G_1(x) = x + 1;$$

$$H_{-2}(x) = -\frac{1}{k-1}, H_{-1}(x) = 0, H_0(x) = 1, H_1(x) = x;$$

$$P_{i+1}(x) = xP_i(x) - (k-1)P_{i-1}(x) \text{ for } \begin{cases} i \geq 2, & \text{if } P_i = F_i, \\ i \geq 1, & \text{if } P_i = G_i, \\ i \geq 1, & \text{if } P_i = H_i. \end{cases} \quad (2)$$

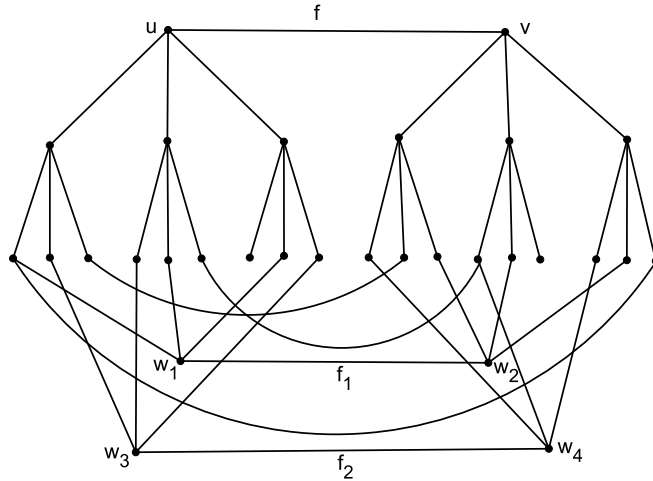


Figure 1: The Moore tree and some of the horizontal edges in a potential  $(4, 6)$ -graph of excess 4

In [16], Singleton gives many relationships between these polynomials. We use two of them. Given any  $i \geq 0$ ,

$$G_i(x) = \sum_{j=0}^i F_j(x), \quad (3)$$

$$G_{i+1}(x) + (k - 1)G_i(x) = (x + k)H_i(x). \quad (4)$$

The above defined polynomials have a close connection to the properties of a graph  $G$ . Namely, for  $t < g$ , the element  $(F_t(A))_{x,y}$  counts the number of paths of length  $t$  joining vertices  $x$  and  $y$  of  $G$ . It follows from (3) that  $G_t(A)$  counts the number of paths of length at most  $t$  joining pairs of vertices in  $G$ . All of the preceding claims can be found in Delorme, Jørgensen, Miller and Villavicencio [8].

The next lemma is based on the structure of  $G$  described in Lemma 4.

**Lemma 5.** *Let  $k \geq 6$  and  $g = 2d \geq 6$ , and let  $G$  be a  $(k, g)$ -graph of excess 4. If  $A$  is the adjacency matrix of  $G$  and  $E$  is the excess matrix of  $G$ , then*

$$F_d(A) = kA_d - AE.$$

*Proof.* Let  $f = \{u, v\}$  be a base edge of the Moore tree and let  $f_1 = \{w_1, w_2\}$ ,  $f_2 = \{w_3, w_4\}$  be the edges of the subgraph induced by  $X_f$ . Also, let us assume that  $d(u, w_1) = d(u, w_3) = d$  and  $d(u, w_2) = d(u, w_4) = d + 1$ . We consider the case when  $G[w_1, w_2, w_3, w_4]$  is isomorphic to  $2K_2$ , in which case the excess vertices do not share a common neighbour. The other cases when  $G[w_1, w_2, w_3, w_4]$  is isomorphic to  $2K_2$  and the excess vertices share

a common neighbour or the subgraph induced by the excess vertices contains  $\mathcal{P}_3$  are analogous. Since there are  $k - 1$  paths of length  $d$  from  $u$  to  $w_1$  and  $w_3$ , by the definition of  $F_i(x)$ , we have  $(F_d(A))_{u,w_1} = (F_d(A))_{u,w_3} = k - 1$ . Considering the vertices at distance  $d$  from  $u$ , there are also the  $(k - 1)^{d-1}$  leaves of the subtree rooted at  $v$ . For  $2(k - 1)$  of these vertices, there exist  $k - 1$  paths of length  $d$  from  $u$  to them. Namely, they are the vertices adjacent to  $w_2$  or  $w_4$ . For all the other leaves, there are  $k$  paths between them and  $u$ . Thus,  $(F_d(A))_{u,s} = 0$  if  $d(u, s) \neq d$ ,  $(F_d(A))_{u,s} = k$  if  $s$  is a leaf of a branch rooted at  $v$  and not adjacent to  $w_2$  and  $w_4$ , and  $(F_d(A))_{u,s} = k - 1$  if  $s$  is  $w_1, w_3$  or a leaf of a branch rooted at  $v$  and adjacent to  $w_2$  or  $w_4$ . This yields the matrix  $kA_d$ , such that  $(kA_d)_{u,s} = k$  if  $d(u, s) = d$  and  $(kA_d)_{u,s} = 0$  if  $d(u, s) \neq d$ . Now, let  $s$  be a vertex of  $G$  such that  $d(u, s) = d$  and  $s$  is adjacent to  $w_2$  or  $w_4$ . If  $s = w_1$  or  $s = w_3$ , then it is easy to see that  $(AE)_{u,s} = 1$ . On the other hand, since  $s$  is adjacent to the subtree rooted at  $u$  through  $k - 2$  different horizontal edges, it follows that, between the  $k - 1$  branches of the subtree rooted at  $u$ , there exists one sub-branch that is not adjacent to  $s$  through a horizontal edge. Let  $s_1$  be the root of that sub-branch. Then,  $d(s, s_1) = d + 1$  and  $d(u, s_1) = 1$ , which implies  $(A)_{u,s_1} = 1$  and  $(E)_{s_1,s} = 1$ . Let  $s_2$  be the other vertex at distance  $d + 1$  from  $s$ . Because all neighbours of  $u$ , except  $s_1$ , are at distance smaller than  $d + 1$  from  $s$ , we have  $(A)_{u,s_2} = 0$  and  $(E)_{s_2,s} = 1$ . Thus  $(AE)_{u,s} = 1$ . If  $s$  is a vertex of  $G$  such that  $d(u, s) = d$  and  $s$  is not adjacent to  $w_2$  or  $w_4$ , then the distance between  $s$  and the neighbours of  $u$  is  $d - 1$ . In this case,  $(AE)_{u,s} = 0$ . If  $d(u, s) \neq d$ , then the distance between  $s$  and the neighbours of  $u$  is different from  $d + 1$ , and therefore  $(AE)_{u,s} = 0$ . The required identity follows from summing up the above conclusions.  $\square$

**Lemma 6.** *Let  $k \geq 6$  and  $g = 2d \geq 6$ , and let  $G$  be a  $(k, g)$ -graph of excess 4. If  $A$  is the adjacency matrix of  $G$ ,  $E$  is the excess matrix of  $G$  and  $J$  is the all-ones matrix, then*

$$kJ = (A + kI)(H_{d-1}(A) + E).$$

*Proof.* By the definition of the polynomials  $G_i(x)$  and using the fact that  $G$  has diameter  $d + 1$ , we conclude  $J = G_{d-1}(A) + A_d + E$ . The relation (3), setting  $i = d$ , asserts  $G_d(A) = G_{d-1}(A) + F_d(A)$ . Substituting this identity in (4), where we fix  $i = d - 1$ , we get  $kG_{d-1}(A) + F_d(A) = (A + kI)H_{d-1}(A)$ . Due to Lemma 5 the last identity is equivalent to  $kG_{d-1}(A) + kA_d + kE = (A + kI)(H_{d-1}(A) + E)$ . From  $kJ = kG_{d-1}(A) + kA_d + kE$  follows  $kJ = (A + kI)(H_{d-1}(A) + E)$ .  $\square$

The next theorem gives a relationship between the eigenvalues of the matrices  $A$  and  $E$  (this result is an analogue of Theorem 3.1 in Delorme, Jørgensen, Miller and Villavicencio [8]).

**Theorem 7.** *If  $\mu (\neq \pm k)$  is an eigenvalue of  $A$ , then*

$$H_{d-1}(\mu) = -\lambda,$$

*where  $\lambda$  is an eigenvalue of  $E$ .*

*Proof.* Let us suppose that  $\mu$  is an eigenvalue of  $A$ . Since  $G$  is a  $k$ -regular graph, the all-ones matrix  $J$  is a polynomial in  $A$ . This implies that any eigenvector of  $A$  is also an eigenvector of  $J$ . From  $kJ = (A+kI)(H_{d-1}(A)+E)$  and since  $H_{d-1}(A)$  is also a polynomial in  $A$ , we have that  $E$  is a polynomial in  $A$ , and consequently, every eigenvector of  $A$  is an eigenvector of  $E$ . Therefore, the eigenvalues of  $kJ$  are of the form  $(\mu+k)(H_{d-1}(\mu)+\lambda)$ . As is well known, the eigenvalues of  $kJ$  are  $kn$  (with multiplicity 1) and 0 (with multiplicity  $n-1$ ). The eigenvalue  $kn$  corresponds to  $\mu = k$ , and so all the remaining eigenvalues, except for  $-k$ , satisfy the above equation.  $\square$

Since the eigenvalues of a disjoint union of cycles are known, we are now in a position to determine the spectrum of  $A$ .

**Lemma 8.** *Let  $k \geq 6$  and  $g = 2d \geq 6$ , and let  $G$  be a  $(k, g)$ -graph of excess 4. If  $A$  and  $E$  are, respectively the adjacency matrix and the excess matrix of  $G$ , then:*

(1) *The matrix  $E$  is the adjacency matrix of a graph  $G(E)$ , consisting of a disjoint union of  $c$  cycles  $C_i$  of length  $l_i$  with  $1 \leq i \leq c$ . Moreover, if  $d$  is odd and  $V_1$  and  $V_2$  are the two partition sets of the bipartite graph  $G$ , then every cycle in  $G(E)$  is completely contained either in  $V_1$  or  $V_2$ .*

(2) *The spectrum of  $A$  consists of:*

(2.1)  $\pm k$ ,  $c-2$  solutions of  $H_{d-1}(x) = -2$ , and one solution of each equation  $H_{d-1}(x) = -2 \cos(\frac{2\pi j}{l_i})$ , for  $j = 1, \dots, l_i - 1, 1 \leq i \leq c$  and  $d$  odd.

(2.2)  $\pm k$ ,  $c-1$  solutions of  $H_{d-1}(x) = -2$ , and one solution of each equation (except one)  $H_{d-1}(x) = -2 \cos(\frac{2\pi j}{l_i})$ , for  $j = 1, \dots, l_i - 1, 1 \leq i \leq c$  and  $d$  even.

*Proof.* (1) Our proof is analogous to that of Kovács [14] for girth 5, and Garbe's proof [12] for odd girth  $g = 2k + 1 > 5$ . Let  $f = \{u, v\}$  be a base edge of a bipartite Moore tree of  $G$ . Lemma 4 asserts that there exist exactly two vertices of  $G$  at distance  $d + 1$  from  $u$ . Namely, they are the excess vertices adjacent to the leaves of the subtree rooted at  $v$ . The excess matrix  $E$  is the adjacency matrix for the graph  $G(E)$  with same vertex set  $V$  as  $G$  such that two vertices of  $G(E)$  are adjacent if and only if they are at distance  $d + 1$ . Because, for each vertex  $u \in V(G)$ , there are exactly two vertices at distance  $d + 1$  from  $u$ , every component of  $G(E)$  is a cycle. Let  $c$  be the number of these cycles and let  $l_i$ , for  $i = 1, \dots, c$ , be the lengths of these cycles ordered in an arbitrary manner. Moreover, if  $d$  is an odd number, any two vertices of  $G$  at distance  $d + 1$  lie in the same partite set. Therefore, any connected component of  $G(E)$  is entirely contained either in  $V_1$  or  $V_2$ .

(2) The eigenvalues of an  $n$ -cycle are known and are equal to  $2 \cos(\frac{2\pi j}{n})$ , for  $j = 0, \dots, n-1$ . Therefore the eigenvalues of  $G(E)$  are  $2 \cos(\frac{2\pi j}{l_i})$ , for  $j = 0, 1, \dots, l_i - 1$  and  $1 \leq i \leq c$ , (see Garbe [12]). Since  $G$  is a  $k$ -regular bipartite graph, it has (among others) the eigenvalues  $k$  and  $-k$ . Let  $V_1$  and  $V_2$  be the partition sets of  $G$ . Hence, the eigenvector of  $A$  corresponding to  $k$  consists of the all-ones vector  $j$ , and the eigenvector corresponding to  $-k$  is the vector  $j'$  with values 1 on  $V_1$  and values  $-1$  on  $V_2$ . If  $d$  is an odd number, then two vertices of  $G(E)$  are adjacent if and only if they are in the same partite set.

Therefore  $E \cdot j' = 2j'$ , which implies that from the set of  $c$  solutions on  $H_{d-1}(x) = -2$ , we need to subtract two multiplicities for the eigenvalues  $k$  and  $-k$ . If  $d$  is an even number, then two vertices of  $G(E)$  are adjacent if and only if they are in different partite sets. Thus  $E \cdot j' = -2j'$ . In this case, from the set of  $c$  solutions on  $H_{d-1}(x) = -2$ , we need to subtract one multiplicity for the eigenvalue  $k$  and from the set of all solutions on  $H_{d-1}(x) = 2$ , we need to subtract one multiplicity for the eigenvalue  $-k$ .  $\square$

**Lemma 9.** *Let  $k \geq 6$  and  $g = 2d \geq 6$  and let  $G$  be a  $(k, g)$ -graph of excess 4. Let  $c$  be the number of cycles of  $G(E)$  and  $c_2$  be the number of cycles of even length. Then:*

(1) *If  $H_{d-1}(x) - 2$  is irreducible over  $\mathbb{Q}[x]$ , then  $d - 1$  divides  $c - 1$  or  $c - 2$ .*

(2) *If  $H_{d-1}(x) + 2$  is irreducible over  $\mathbb{Q}[x]$ , then  $d - 1$  divides  $c_2 - 1$  or  $c_2$ .*

*Proof.* (1) Combining Theorem 7 and Lemma 8 (2), we obtain that  $H_{d-1}(x) - 2$  is an irreducible factor of the characteristic polynomial of  $A$ . Realizing that all the roots of an irreducible factor of a characteristic polynomial of a given rational symmetric matrix have the same multiplicities, (see Kovács [14]), from Lemma 8 (2) we have the following: If  $d$  is an even number, then the  $d - 1$  roots of  $H_{d-1}(x) - 2$  have multiplicity  $\frac{c-1}{d-1}$ , which has to be a positive integer. If  $d$  is odd, then the  $d - 1$  roots have multiplicity  $\frac{c-2}{d-1}$ . (2) This proof follows the same reasoning as (1).  $\square$

We can base the testing of irreducibility of  $H_{d-1}(x) \pm 2$  on the well known Eisenstein's criterion that asserts for a polynomial  $f(x) = \sum_{i=0}^n a_i x^i \in \mathbb{Z}[x]$  and a prime  $p$  that divides  $a_i$  for all  $0 \leq i < n$ , does not divide  $a_n$  and  $p^2$  does not divide  $a_0$ . Now we are ready for the main result of this section.

**Theorem 10.** *Let  $k (\geq 7)$  be an odd number and let  $g = 2d \geq 8$ . Let  $c$  be the number of cycles of  $G(E)$  and  $c_2$  be the number of cycles with even length. If there exists a  $(k, g)$ -graph of excess 4, then:*

(1) *If  $d$  is an odd number, then  $d - 1$  divides  $c - 2$  and  $c_2$ .*

(2) *If  $d$  is an even number, then  $d - 1$  divides  $c - 1$  and  $c_2 - 1$ .*

*Proof.* According to Lemma 9, it is enough to prove that the polynomials  $H_{d-1}(x) - 2$  and  $H_{d-1}(x) + 2$  are irreducible. We prove, using induction on  $d \geq 4$ , that  $H_{d-1}(x) = x^{d-1} + (k-1)P_{d-3}(x)$ , where  $P_{d-3}(x)$  is an integer polynomial of degree  $d-3$ . We calculate  $H_3(x) = x^3 - 2(k-1)x$ . Let us suppose that the above formula holds for  $H_{d-2}(x)$  and  $H_{d-3}(x)$ . That yields

$$\begin{aligned} H_{d-1}(x) &= x(x^{d-2} + (k-1)P_{d-4}(x)) - (k-1)(x^{d-3} + (k-1)P_{d-5}(x)) = \\ &= x^{d-1} + (k-1)P_{d-3}(x). \end{aligned}$$

Therefore,  $H_{d-1}(x) \pm 2 = x^{d-1} + (k-1)P_{d-3}(x) \pm 2$ . By the induction hypothesis, it follows that  $H_{d-1}(0) = (-1)^{\frac{d-1}{2}}(k-1)^{\frac{d-1}{2}}$  for an odd  $d$ , and  $H_{d-1}(0) = 0$  for an even  $d$ . Hence,



for an odd  $d(\geq 5)$   $|(-1)^{\frac{d-1}{2}}(k-1)^{\frac{d-1}{2}} \pm 2|$  is not divisible by  $2^2$ , and clearly for an even  $d(\geq 4)$ ,  $\pm 2$  is not divisible by  $2^2$ . Since  $k-1$  is even, it follows that every coefficient on  $H_{d-1}(x) \pm 2$  except for the coefficient 1 of  $x^{d-1}$  is divisible by 2. Thus, the conditions of the Eisenstein's criterion are satisfied, and  $H_{d-1}(x) \pm 2$  is irreducible.  $\square$

### 3 The non-existence of bipartite graphs of cyclic or bicyclic excess

In this section we deal with the same family of graphs considered in Section 2. Again, let  $k \geq 6$  and  $g = 2d \geq 6$ , and let  $G$  be a  $(k, g)$ -graph of excess 4 and order  $n$ . Clearly,  $n$  is an even number. We proved that the excess graph  $G(E)$  consists of a disjoint union of  $c$  cycles  $C_i$ , for  $1 \leq i \leq c$ . If  $c = 1$  and  $G(E)$  consists of an  $n$ -cycle, then  $G$  is of cyclic excess 4, and if  $c = 2$  and  $G(E)$  consists of a disjoint union of two cycles, then  $G$  is of bicyclic excess 4. These are the graphs we study in this section. Note that there is no graph  $G$  with cyclic excess 4 if  $d$  is an odd number; in this case, we showed that each cycle of  $G(E)$  is completely contained either in  $V_1$  or  $V_2$ .

Let  $d$  be an even number and let  $L_n$  be an  $n$ -cycle formed by the vertices of  $G(E)$ . If  $A'$  is the adjacency matrix of  $L_n$ , its characteristic polynomial  $\chi(L_n, x)$  satisfies  $\chi(L_n, x) = (x-2)(x+2)(R_n(x))^2$ , where  $R_n$  is a monic polynomial of degree  $\frac{n}{2} - 1$ . Consider the factorization  $x^n - 1 = \prod_{l|n} \Phi_l(x)$ , where  $\Phi_l(x)$  denotes the  $l$ -th cyclotomic polynomial. In the following paragraph, we summarize the properties of cyclotomic polynomials as listed in Delorme and Villavicencio [9].

The cyclotomic polynomial  $\Phi_l(x)$  has integral coefficients, it is irreducible over  $\mathbb{Q}[x]$ , and it is self-reciprocal ( $x^{\phi(l)}\Phi_l(1/x) = \Phi_l(x)$ ). From the irreducibility and the self-reciprocity of  $\Phi_l(x)$  follows that the degree of  $\Phi_l(x)$  is even for  $l \geq 2$ .

Thus, we obtain the following factorization of  $R_n(x)$ :  $R_n(x) = \prod_{3 \leq l|n} f_l(x)$ , where  $f_l$  is an integer polynomial of degree  $\frac{\phi(l)}{2}$  satisfying  $x^{\phi(l)/2}f_l(x+1/x) = \Phi_l(x)$ . Also,  $f_l$  is irreducible over  $\mathbb{Q}[x]$ ,  $f_3(x) = x+1$ ,  $f_4(x) = x$ ,  $f_5(x) = x^2+x-1$  and  $f_6(x) = x-1$ . Substituting  $y = -H_{d-1}(x)$  into  $\frac{\chi(L_n, y)}{(y-2)}$ , we obtain a polynomial  $F(x)$  of degree  $(n-1)(d-1)$ , which satisfies  $F(A)u = 0$  for each eigenvector  $u$  of  $A$  orthogonal to the all -one vector. Then,  $F_{l,k,d-1}(x) = f_l(-H_{d-1}(x))$  yields

$$F(x) = (-H_{d-1}(x) + 2) \prod_{3 \leq l|n} (F_{l,k,d-1}(x))^2.$$

**Lemma 11.** *Let  $g = 2d > 6$ , and  $l \geq 3$  be a divisor of  $n$ . If there is a  $(k, g)$ -graph with cyclic excess 4 and order  $n$ , then  $F_{l,k,d-1}(x)$  must be reducible over  $\mathbb{Q}[x]$ .*

*Proof.* The degree of  $F_{l,k,d-1}(x)$  is equal to  $(d-1)\frac{\phi(l)}{2}$ . If  $F_{l,k,d-1}(x)$  is irreducible over  $\mathbb{Q}[x]$ , then all its roots must be eigenvalues of  $A$ . Employing Observation 3.1. from Delorme and Villavicencio [9], we conclude that there are at most  $\phi(l)$  roots of  $F_{l,k,d-1}(x)$  that are eigenvalues of  $A$ . Thus  $(d-1)\frac{\phi(l)}{2} = \phi(l)$ , that is,  $d = 3$ . This contradicts the assumption that  $2d > 6$ .  $\square$

Note that  $\deg(F_{l,k,d-1}(x)) = d - 1$  if and only if  $\phi(l) = 2$ , that is, if and only if  $l \in \{3, 4, 6\}$ .

**Lemma 12.** *Let  $k \geq 6$  and  $g = 2d > 6$ , and let  $n$  be the order of a  $(k, g)$ -graph with cyclic excess 4.*

- (1) *If  $n \equiv 1 \pmod{3}$ , then  $H_{d-1}(x) - 1$  must be reducible over  $\mathbb{Q}[x]$ .*
- (2) *If  $n \equiv 0 \pmod{4}$ , then  $H_{d-1}(x)$  must be reducible over  $\mathbb{Q}[x]$ .*
- (3) *If  $n \equiv 0 \pmod{6}$ , then  $H_{d-1}(x) + 1$  must be reducible over  $\mathbb{Q}[x]$ .*

*Proof.* It follows directly from Lemma 11, with the additional assumptions  $f_3(x) = x + 1$ ,  $f_4(x) = x$  and  $f_6(x) = x - 1$ . □

If  $n \equiv 0 \pmod{4}$ , then using the formula for the order of  $G$ ,  $d - 1$  must be odd. On the other hand, since  $H_1(x) = x$ ,  $H_3(x) = x^3 - 2(k - 1)x$  and  $H_{d-1}(x) = xH_{d-2}(x) - (k - 1)H_{d-3}(x)$ , we see that if  $d - 1$  is an odd number, then  $x$  divides  $H_{d-1}(x)$ , which implies that  $H_{d-1}(x)$  is reducible. Therefore, (2) holds.

The irreducibility of the polynomials  $H_{d-1}(x) - 1$  over  $\mathbb{Q}[x]$  is examined in Delorme, Jørgensen, Miller and Villavicencio [8], where it is analytically proven that these polynomials are irreducible for  $d \in \{4, 6, 8\}$ ; and the paper contains a conjecture that if  $d \geq 10$ , then  $H_{d-1}(x) - 1$  is irreducible. From the irreducibility of  $H_{d-1}(x) - 1$ , we obtain the main non-existence result of our paper.

**Theorem 13.** *If  $k$  and  $g$  satisfy one of the following conditions, there exists no  $(k, g)$ -graph of cyclic excess 4:*

- (1)  *$k \equiv 1, 2 \pmod{3}$  and  $g = 8$ .*
- (2)  *$k \equiv 1 \pmod{3}$  and  $g = 12$ .*
- (3)  *$k \equiv 1 \pmod{3}$  and  $g = 16$ .*

*Proof.* Because the order of the graphs is equal to

$$4 + 2(1 + (k - 1) + \cdots + (k - 1)^{(g-2)/2}),$$

we conclude  $n \equiv 0 \pmod{3}$ . Since the polynomial  $H_{d-1}(x) - 1$  is known to be irreducible for  $d \in \{4, 6, 8\}$ , we get a contradiction to (1) from Lemma 12. □

*Remark 14.* Since  $d$  is an even number, Theorem 10 asserts that  $d - 1$  divides  $c - 1$  and  $c_2 - 1$ . This claim is satisfied because  $c = c_2 = 1$ .

Next, let us consider graphs of bicyclic excess 4. In this case, we can assume an arbitrary (even or odd)  $d$ , as this case does not depend on the parity of  $d$ . So, let  $G(E)$  be a graph consisting of a disjoint union of two cycles  $C_1$  and  $C_2$ . If  $d$  is an odd number, then the vertex sets of the cycles  $C_1$  and  $C_2$  correspond to the partite sets  $V_1$  and  $V_2$ ,

respectively.

If  $n \equiv 0 \pmod{4}$ ,  $d$  is even, each edge of  $C(E)$  has endpoints in  $V_1$  and  $V_2$ . Therefore, each of the cycles has even length, that is,  $c_2 = 2$ . Furthermore,  $k - 1$  must be odd. Unfortunately, this will not help us in excluding any family of pairs  $(k, g)$  for which  $G$  does not exist. In fact, for an odd  $d - 1$  and an odd  $k - 1$ , we cannot conclude the irreducibility of  $H_{d-1}(x) + 2$ , thus, we cannot employ Lemma 9.

If  $n \equiv 2 \pmod{4}$  and  $d$  is odd, then the lengths of  $C_1$  and  $C_2$  are equal to  $\frac{n}{2}$  (clearly,  $n = 2s + 1$  is odd). Therefore  $c_2 = 0$ , and  $d - 1$  divides  $c - 2$  and  $c_2$ .

The main result about the non-existence of graphs  $G$  with bicyclic excess 4 is given in the following theorem.

**Theorem 15.** *If  $k(\geq 7)$  is odd and  $g = 2d \geq 8$ , where  $d$  is an even integer, then there exists no  $(k, g)$ -graph with bicyclic excess 4.*

*Proof.* We have  $c = 2$ . Theorem 10 implies that  $d - 1$  divides  $c - 1$ , which is a contradiction.  $\square$

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