

# Anti-van der Waerden numbers of 3-term arithmetic progressions

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## Abstract

The *anti-van der Waerden number*, denoted by  $\text{aw}([n], k)$ , is the smallest  $r$  such that every exact  $r$ -coloring of  $[n]$  contains a rainbow  $k$ -term arithmetic progression. Butler et al. showed that  $\lceil \log_3 n \rceil + 2 \leq \text{aw}([n], 3) \leq \lceil \log_2 n \rceil + 1$ , and conjectured that there exists a constant  $C$  such that  $\text{aw}([n], 3) \leq \lceil \log_3 n \rceil + C$ . In this paper, we show this conjecture is true by determining  $\text{aw}([n], 3)$  for all  $n$ . We prove that for  $7 \cdot 3^{m-2} + 1 \leq n \leq 21 \cdot 3^{m-2}$ ,

$$\text{aw}([n], 3) = \begin{cases} m + 2, & \text{if } n = 3^m \\ m + 3, & \text{otherwise.} \end{cases}$$

**Keywords:** arithmetic progression; rainbow coloring; Behrend construction; unitary coloring

## 1 Introduction

Let  $n$  be a positive integer and let  $G \in \{[n], \mathbb{Z}_n\}$ , where  $[n] = \{1, \dots, n\}$ . A  $k$ -term *arithmetic progression* ( $k$ -AP) of  $G$  is a sequence in  $G$  of the form

$$a, a + d, a + 2d, \dots, a + (k - 1)d,$$

where  $d \geq 1$ . For the purposes of this paper, an arithmetic progression is referred to as a set of the form  $\{a, a + d, a + 2d, \dots, a + (k - 1)d\}$ . An  $r$ -coloring of  $G$  is a function  $c : G \rightarrow [r]$ , and such a coloring is called *exact* if  $c$  is surjective. Given  $c : G \rightarrow [r]$ , an arithmetic progression is called *rainbow* (under  $c$ ) if  $c(a + id) \neq c(a + jd)$  for all  $0 \leq i < j \leq k - 1$ .

The *anti-van der Waerden number*, denoted by  $\text{aw}(G, k)$ , is the smallest  $r$  such that every exact  $r$ -coloring of  $G$  contains a rainbow  $k$ -AP. If  $G$  contains no  $k$ -AP, then  $\text{aw}(G, k) = |G| + 1$ ; this is consistent with the property that there is a coloring of  $G$  with  $\text{aw}(G, k) - 1$  colors that has no rainbow  $k$ -AP.

An  $r$ -coloring of  $G$  is *unitary* if there is an element of  $G$  that is uniquely colored. The smallest  $r$  such that every exact unitary  $r$ -coloring of  $G$  contains a rainbow  $k$ -AP is denoted by  $\text{aw}_u(G, k)$ . Similar to the anti-van der Waerden number,  $\text{aw}_u(G, k) = |G| + 1$  if  $G$  has no  $k$ -AP.

Problems involving counting and the existence of rainbow arithmetic progressions have been well-studied. The main results of Axenovich and Fon-Der-Flaass [1] and Axenovich and Martin [2] deal with the existence of 3-APs in colorings that have uniformly sized color classes. Fox, Jungić, Mahdian, Nešetřil, and Radoičić also studied anti-Ramsey results of arithmetic progressions in [6]. In particular, they showed that every 3-coloring of  $[n]$  for which each color class has density more than  $1/6$ , contains a rainbow 3-AP. Fox et al. also determined all values of  $n$  for which  $\text{aw}(\mathbb{Z}_n, 3) = 3$ .

The specific problem of determining anti-van der Waerden numbers for  $[n]$  and  $\mathbb{Z}_n$  was studied by Butler et al. in [4]. It is proved in [4] that for  $k \geq 4$ ,  $\text{aw}([n], k) = n^{1-o(1)}$  and  $\text{aw}(\mathbb{Z}_n, k) = n^{1-o(1)}$ . These results are obtained using results of Behrend [3] and Gowers [5] on the size of a subset of  $[n]$  with no  $k$ -AP. Butler et al. also expand upon the results of [6] by determining  $\text{aw}(\mathbb{Z}_n, 3)$  for all values of  $n$ . These results were generalized to all finite abelian groups in [7]. Butler et al. also provides bounds for  $\text{aw}([n], 3)$ , as well as many exact values (see Table 1).

In this paper, we determine the exact value of  $\text{aw}([n], 3)$ , which answers questions posed in [4] and confirms the following conjecture:

**Conjecture 1.** [4] There exists a constant  $C$  such that  $\text{aw}([n], 3) \leq \lceil \log_3 n \rceil + C$ , for all  $n \geq 3$ .

Our main result, Theorem 2, also determines  $\text{aw}_u([n], 3)$  which shows the existence of extremal colorings of  $[n]$  that are unitary.

**Theorem 2.** For all integers  $n \geq 2$ ,

$$\text{aw}_u([n], 3) = \text{aw}([n], 3) = \begin{cases} m + 2, & \text{if } n = 3^m \\ m + 3, & \text{if } n \neq 3^m \text{ and } 7 \cdot 3^{m-2} + 1 \leq n \leq 21 \cdot 3^{m-2}. \end{cases}$$

In Section 2, we provide lemmas that are useful in proving Theorem 2 and Section 3 contains the proof of Theorem 2.

## 2 Main Tools

In [4, Theorem 1.6] it is shown that  $3 \leq \text{aw}(\mathbb{Z}_p, 3) \leq 4$  for every prime number  $p$  and that if  $\text{aw}(\mathbb{Z}_p, 3) = 4$  then  $p \geq 17$ . Furthermore, it is shown that the value of  $\text{aw}(\mathbb{Z}_n, 3)$  is determined by the values of  $\text{aw}(\mathbb{Z}_p, 3)$  for the prime factors  $p$  of  $n$ . We have included this theorem below with some notation change.

$n \setminus k$	3	4	5	6	7	8	9	10	11	12	13	14
3	3											
4	4											
5	4	5										
6	4	6										
7	4	6	7									
8	5	6	8									
9	4	7	8	9								
10	5	8	9	10								
11	5	8	9	10	11							
12	5	8	10	11	12							
13	5	8	11	11	12	13						
14	5	8	11	12	13	14						
15	5	9	11	13	14	14	15					
16	5	9	12	13	15	15	16					
17	5	9	13	13	15	16	16	17				
18	5	10	14	14	16	17	17	18				
19	5	10	14	15	17	17	18	18	19			
20	5	10	14	16	17	18	19	19	20			
21	5	11	14	16	17	19	20	20	20	21		
22	6	12	14	17	18	20	21	21	21	22		
23	6	12	14	17	19	20	21	22	22	22	23	
24	6	12	15	18	20	20	22	23	23	23	24	
25	6	12	15	19	21	21	23	23	24	24	24	25

Table 1: Values of  $\text{aw}([n], k)$  for  $3 \leq k \leq \frac{n+3}{2}$ .

**Theorem 3** ([4]). *Let  $n$  be a positive integer with prime decomposition*

$$n = 2^{e_0} p_1^{e_1} p_2^{e_2} \cdots p_s^{e_s}$$

*for  $e_i \geq 0$ ,  $i = 0, \dots, s$ , where primes are ordered so that  $\text{aw}(\mathbb{Z}_{p_i}, 3) = 3$  for  $1 \leq i \leq \ell$  and  $\text{aw}(\mathbb{Z}_{p_i}, 3) = 4$  for  $\ell + 1 \leq i \leq s$ . Then*

$$\text{aw}(\mathbb{Z}_n, 3) = \begin{cases} 2 + \sum_{j=1}^{\ell} e_j + \sum_{j=\ell+1}^s 2e_j, & \text{if } n \text{ is odd} \\ 3 + \sum_{j=1}^{\ell} e_j + \sum_{j=\ell+1}^s 2e_j, & \text{if } n \text{ is even.} \end{cases}$$

We use Theorem 3 to prove the following lemma.

**Lemma 4.** *Let  $n \geq 3$ , then  $\text{aw}(\mathbb{Z}_n, 3) \leq \lceil \log_3 n \rceil + 2$  with equality if and only if  $n = 3^j$  or  $2 \cdot 3^j$  for  $j \geq 1$ .*

*Proof.* Suppose  $n = 2^{e_0} p_1^{e_1} p_2^{e_2} \cdots p_s^{e_s}$  with  $e_i \geq 0$  for  $i = 0, \dots, s$ , where primes  $p_1, p_2, \dots, p_s$  are ordered so that  $\text{aw}(\mathbb{Z}_{p_i}, 3) = 3$  for  $1 \leq i \leq \ell$  and  $\text{aw}(\mathbb{Z}_{p_i}, 3) = 4$  for  $\ell + 1 \leq i \leq s$ . We consider two cases depending on parity of  $n$ .

*Case 1.* Suppose  $n$  is odd, that is  $e_0 = 0$ . Then  $\text{aw}(\mathbb{Z}_n, 3) = 2 + \sum_{j=1}^{\ell} e_j + \sum_{j=\ell+1}^s 2e_j$  by Theorem 3. Since  $\text{aw}(\mathbb{Z}_p, 3) = 3$  for odd primes  $p \leq 13$ , we have  $p_i \geq 17$  for  $i \geq \ell + 1$ ,

and clearly  $p_i \geq 3$  for  $i \leq \ell$ , therefore

$$3^{\text{aw}(\mathbb{Z}_n, 3)} = 3^{2 + \sum_{j=1}^{\ell} e_j + \sum_{j=\ell+1}^s 2e_j} = 9 \cdot 3^{e_1} \cdots 3^{e_\ell} \cdot 9^{e_{\ell+1}} \cdots 9^{e_s} \leq 9 \cdot p_1^{e_1} \cdots p_s^{e_s} = 9n.$$

Note that the equality holds if and only if  $n$  is a power of 3, that is  $e_j = 0$  for  $2 \leq j \leq s$ . Therefore,  $\text{aw}(\mathbb{Z}_n, 3) \leq \lceil \log_3 n \rceil + 2$  for odd  $n$ , with equality if and only if  $n = p_1^{e_1}$ .

*Case 2.* Suppose  $n$  is even, that is  $e_0 \geq 1$ . Then  $\text{aw}(\mathbb{Z}_n, 3) = 3 + \sum_{j=1}^{\ell} e_j + \sum_{j=\ell+1}^s 2e_j$  by Theorem 3. If  $n = 2^{e_0} \cdot 3^j$  for  $j \geq 1$ , then by direct computation  $\text{aw}(\mathbb{Z}_n, 3) = 3 + j \leq 2 + \lceil \log_3 n \rceil$ , with equality if and only if  $e_0 = 1$ . So suppose there is  $i$  such that  $p_i \neq 3$ , and let  $h = \frac{n}{2^{e_0} p_i^{e_i}}$ .

If  $i \leq \ell$  then  $p_i \geq 5$ , and so  $3 \cdot 3^{e_i} < 2^{e_0} p_i^{e_i}$  for all  $e_0 \geq 1$  and  $e_i \geq 1$ . Therefore, since  $h$  is odd, by the previous case

$$3^{\text{aw}(\mathbb{Z}_n, 3)} = 3 \cdot 3^{e_i} \cdot 3^{\text{aw}(\mathbb{Z}_h, 3)} \leq 3 \cdot 3^{e_i} \cdot 9h < 2^{e_0} p_i^{e_i} \cdot 9h = 9n.$$

If  $i \geq \ell + 1$  then  $p_i \geq 17$ , and so  $3 \cdot 9^{e_i} < 2^{e_0} p_i^{e_i}$  for all  $e_0 \geq 1$  and  $e_i \geq 1$ . Then by the previous case

$$3^{\text{aw}(\mathbb{Z}_n, 3)} = 3 \cdot 9^{e_i} \cdot 3^{\text{aw}(\mathbb{Z}_h, 3)} \leq 3 \cdot 9^{e_i} \cdot 9h < 2^{e_0} p_i^{e_i} \cdot 9h = 9n. \quad \square$$

A set of consecutive integers  $I$  in  $[n]$  is called an *interval* and  $\ell(I)$  is the number of integers in  $I$ . Given a coloring  $c$  of some finite nonempty subset  $S$  of  $[n]$ , a *color class* of a color  $i$  under  $c$  in  $S$  is denoted  $c_i(S) := \{x \in S : c(x) = i\}$ . A coloring  $c$  of  $[n]$  is *special* if  $n = 7q + 1$  for some positive integer  $q$ ,  $c(1)$  and  $c(n)$  are both uniquely colored, and there are two colors  $\alpha$  and  $\beta$  such that  $c_\alpha([n]) = \{q + 1, 2q + 1, 4q + 1\}$  and  $c_\beta([n]) = \{3q + 1, 5q + 1, 6q + 1\}$ .

**Lemma 5.** *Let  $N$  be an integer and  $c$  be an exact  $r$ -coloring of  $[N]$  with no rainbow 3-AP, where 1 and  $N$  are colored uniquely. Then either the coloring  $c$  is special or  $|\{c(x) : x \equiv i \pmod{3} \text{ and } x \in [N]\}| \geq r - 1$  for  $i = 1$  or  $i = N$ .*

*Proof.* Observe that  $N$  is even, otherwise  $\{1, (N+1)/2, N\}$  is a rainbow 3-AP. We partition the interval  $[N]$  into four subintervals  $I_1 = \{1, \dots, \lceil N/4 \rceil\}$ ,  $I_2 = \{\lceil N/4 \rceil + 1, \dots, N/2\}$ ,  $I_3 = \{N/2 + 1, \dots, \lfloor 3N/4 \rfloor\}$ , and  $I_4 = \{\lfloor 3N/4 \rfloor + 1, \dots, N\}$ . Notice that every color other than  $c(1)$  and  $c(N)$  must be used in the subinterval  $I_2$ . To see this, assume  $i$  is the missing color in  $I_2$  distinct from  $c(1)$  and  $c(N)$ . Let  $x$  be the largest integer in  $c_i(I_1)$ . Since  $N$  is even, we have  $2x - 1 \leq 2\lceil N/4 \rceil - 1 \leq N/2$ , and so  $2x - 1 \in I_1 \cup I_2$ . If  $2x - 1 \in I_1$ , then  $c(2x - 1) \neq i$  since  $x$  is the largest integer in  $I_1$  with color  $i$ ; hence,  $\{1, x, 2x - 1\}$  is a rainbow 3-AP. If  $2x - 1 \in I_2$ , then  $c(2x - 1) \neq i$  since color  $i$  is missing in  $I_2$ ; hence, the 3-AP  $\{1, x, 2x - 1\}$  is a rainbow. If there is no such integer  $x$  in  $I_1$ , then the integers colored with  $i$  must be in the second half of the interval  $[N]$ , so we choose the smallest such integer  $y$  in  $c_i(I_3 \cup I_4)$ . Then  $\{2y - N, y, N\}$  is a rainbow 3-AP since  $c(2y - N) \neq i$ ,

because  $2y - N \in I_1 \cup I_2$ . Similarly, every color other than  $c(1)$  and  $c(N)$  must be used in the subinterval  $I_3$ .

Throughout the proof we mostly drop  $(\bmod 3)$  and just say congruent even though we mean congruent modulo 3. We consider the following three cases.

*Case 1:*  $N \equiv 0 \pmod{3}$ . Assume  $|\{c(x) : x \equiv i \pmod{3} \text{ and } x \in [N]\}| < r - 1$  for both  $i = 1$  and  $i = N$ . So there are two colors, say *red* and *blue*, such that no integer in  $[N]$  colored with *red* is congruent to 1, and no integer in  $[N]$  colored with *blue* is congruent to 0. We further partition the interval  $I_2$  into subintervals  $I_{2(i)}$  and  $I_{2(ii)}$  so that  $\ell(I_{2(i)}) \leq \ell(I_{2(ii)}) \leq \ell(I_{2(i)}) + 1$ , and partition the interval  $I_3$  into subintervals  $I_{3(i)}$  and  $I_{3(ii)}$  so that  $\ell(I_{3(ii)}) \leq \ell(I_{3(i)}) \leq \ell(I_{3(ii)}) + 1$ . Then we have the following observations:

(i)  $x \equiv 0$  for all  $x \in c_{\text{red}}(I_3 \cup I_4)$  and  $y \equiv 1$  for all  $y \in c_{\text{blue}}(I_1 \cup I_2)$ .

If there is an integer  $r$  in  $I_3 \cup I_4$  colored with *red* and congruent to 2, then  $2r - N \equiv 1$ , and so  $c(2r - N)$  is not *red* by our assumption. Therefore the 3-AP  $\{2r - N, r, N\}$  is rainbow. Similarly, if there is an integer  $b$  in  $I_1 \cup I_2$  colored with *blue* and congruent to 2, then  $2b - 1 \equiv 0$ , and so  $c(2b - 1)$  is not *blue*, forming a rainbow 3-AP  $\{1, b, 2b - 1\}$ .

(ii)  $x \equiv 2$  for all  $x \in c_{\text{red}}(I_2)$  and  $y \equiv 2$  for all  $y \in c_{\text{blue}}(I_3)$ .

If there is an integer  $r$  in  $c_{\text{red}}(I_2)$  congruent to 0, then  $2r - 1 \equiv 2$  and  $2r - 1 \in I_3 \cup I_4$  since  $2r - 1 \geq N/2 + 1$ . Therefore,  $2r - 1$  is not colored with *red* by the previous observation, and so the 3-AP  $\{1, r, 2r - 1\}$  is a rainbow. Similarly, if there is an integer  $b$  in  $c_{\text{blue}}(I_3)$  congruent to 1, then using  $N$  we obtain the rainbow 3-AP  $\{2b - N, b, N\}$ , because  $2b - N \equiv 2$  and  $2b - N \leq N/2$ .

(iii)  $c_{\text{red}}(I_{3(ii)}) = c_{\text{blue}}(I_{2(i)}) = \emptyset$ .

If there is an integer  $r$  in  $I_{3(ii)}$  colored with *red*, then  $2r - N \equiv 0$ , by observation (i). Furthermore,  $2r - N \leq N/2$  and  $2r - N \geq 2(N/2 + \ell(I_{3(i)}) + 1) - N \geq (2\ell(I_{3(i)}) + 1) + 1 \geq \lceil N/4 \rceil + 1$ . So  $2r - N \in I_2$  and hence it is not colored with *red* by observation (ii). Therefore,  $\{2r - N, r, N\}$  is a rainbow 3-AP. Similarly, if there is an integer  $b$  in  $I_{2(i)}$  colored with *blue*, then  $2b - 1 \equiv 1$  and  $N/2 + 1 \leq 2b - 1 \leq \lfloor 3N/4 \rfloor$ . So  $2b - 1 \in I_3$  and hence it is not colored with *blue* by observation (ii). Therefore,  $\{1, b, 2b - 1\}$  is a rainbow 3-AP.

(iv)  $c_{\text{red}}(I_{2(ii)}) = c_{\text{blue}}(I_{3(i)}) = \emptyset$ .

Suppose there is an integer  $r$  in  $I_{2(ii)}$  colored with *red*. Since the coloring of  $I_2$  contains both *red* and *blue* and there is no integer in  $I_{2(i)}$  colored with *blue*, by (iii), there must be an integer  $b$  in  $I_{2(ii)}$  colored with *blue*. By (i) and (ii),  $b \equiv 1$  and  $r \equiv 2$ . Without loss of generality, suppose  $b > r$ . Then  $2r - b \equiv 0$  and  $2r - b \in I_2$  since  $\ell(I_{2(ii)}) \leq \ell(I_{2(i)}) + 1$ . So  $2r - b$  is not colored *red* or *blue* and hence the 3-AP  $\{2r - b, r, b\}$  is rainbow. Therefore, there is no integer in  $I_{2(ii)}$  that is colored with *red*. Similarly, there is no integer in  $I_{3(i)}$  that is colored with *blue*.

Recall that every color other than  $c(1)$  and  $c(N)$  is used in both intervals  $I_2$  and  $I_3$ . Therefore, sets  $c_{\text{red}}(I_{2(i)})$ ,  $c_{\text{blue}}(I_{2(ii)})$ ,  $c_{\text{red}}(I_{3(i)})$ , and  $c_{\text{blue}}(I_{3(ii)})$  are nonempty. Using the above observations we next show that in fact these integers colored with *blue* and *red* in each subinterval are unique. Let  $B = \{b_1, \dots, b_2\}$  be the shortest interval in  $I_{2(ii)}$  which

contains all integers colored with *blue* and let  $R = \{r_1, \dots, r_2\}$  be the shortest interval in  $I_{3(i)}$  which contains all integers colored with *red*. Choose the largest integer  $x$  in  $c_{red}(I_{2(i)})$  and consider two 3-APs  $\{x, b_1, 2b_1 - x\}$  and  $\{x, b_2, 2b_2 - x\}$ . Since  $x$  is congruent to 2 and both  $b_1$  and  $b_2$  are congruent to 1, we have that both  $2b_1 - x$  and  $2b_2 - x$  are congruent to 0 and are contained in  $I_3$ , otherwise the 3-APs are rainbow. Since all integers colored with *blue* in  $I_3$  are congruent to 2 by (ii), we have that  $2b_1 - x$  and  $2b_2 - x$  are both colored with *red* and so contained in  $R$ . Therefore,  $2\ell(B) - 1 \leq \ell(R)$ . Now using the smallest integer in  $c_{blue}(I_{3(ii)})$ , we similarly have that  $2\ell(R) - 1 \leq \ell(B)$ . Since  $\ell(B) \geq 1$  and  $\ell(R) \geq 1$ , we have that  $\ell(R) = \ell(B) = 1$ , i.e. there are unique integers  $b$  in  $c_{blue}(I_{2(ii)})$  and  $r$  in  $c_{red}(I_{3(i)})$ .

Now for any integer  $\tilde{r}$  from  $c_{red}(I_{2(i)})$  the integer  $2\tilde{r} - 1$  must be colored with *red*, otherwise the 3-AP  $\{1, \tilde{r}, 2\tilde{r} - 1\}$  is rainbow. Since  $2\tilde{r} - 1 \in I_3$ , it must be equal to the unique *red* colored integer  $r$  of  $I_3$ . Therefore, there is exactly one such  $\tilde{r}$  in  $I_{2(i)}$ , i.e.  $c_{red}(I_{2(i)}) = \{\tilde{r}\}$ . Similarly, using  $N$  there is a unique integer  $\tilde{b}$  in  $I_{3(ii)}$  colored with *blue*. Since  $\{1, \tilde{r}, r\}$ ,  $\{\tilde{r}, b, r\}$ ,  $\{b, r, \tilde{b}\}$ , and  $\{b, \tilde{b}, N\}$  are all 3-APs,  $N = 7(\ell(\{b, \dots, r\}) - 1) + 1 = 7(r - b) + 1$ .

Observe that if  $\tilde{r}$  is even, the integer  $(\tilde{r} + N)/2$  in 3-AP  $\{\tilde{r}, (\tilde{r} + N)/2, N\}$  must be *red* and congruent to 1 since  $\tilde{r} \equiv 2$  by (ii), contradicting our assumption. So  $\tilde{r}$  is odd, and hence the integer  $r' = (\tilde{r} + 1)/2$  in  $I_1$  must be colored with *red*. Notice that there cannot be another integer  $x$  larger than  $r'$  in  $c_{red}(I_1)$ , otherwise  $2x - 1$  will be another integer colored with *red* in  $I_2$  distinct from  $\tilde{r}$ . Now, since  $\ell(\{r', \dots, \tilde{r}\}) = \ell(\{b, \dots, r\})$  we have that  $\{r', r, N\}$  is a 3-AP, and so  $r'$  must be even. Suppose there are integers smaller than  $r'$  in  $c_{red}(I_1)$ , and let  $z$  be the largest of them. Then  $2z - 1$  is also in  $c_{red}(I_1)$  and must be equal to or larger than  $r'$  in  $I_1$ . However, that is impossible because  $r'$  is even and there is no integer in  $c_{red}(I_1)$  larger than  $r'$ . So  $r'$  is a unique integer in  $I_1$  colored with *red*. Similarly, there is a unique integer  $b'$  in  $I_4$  colored with *blue*. Therefore the 8-AP can be formed using integers  $1, r', \tilde{r}, b, r, \tilde{b}, b', N$  since  $\ell(\{1, \dots, r'\}) = \ell(\{r', \dots, \tilde{r}\}) = \ell(\{\tilde{r}, \dots, b\}) = \ell(\{b, \dots, r\}) = \ell(\{r, \dots, \tilde{b}\}) = \ell(\{\tilde{b}, \dots, b'\}) = \ell(\{b', \dots, N\})$ .

In order for this coloring to be special, it remains to show that  $c_{blue}(I_1) = c_{red}(I_4) = \emptyset$ . If  $c_{blue}(I_1) \neq \emptyset$ , then choose the largest integer  $y$  in it and consider the 3-AP  $\{1, y, 2y - 1\}$ . Since  $2y - 1$  must be in  $c_{blue}(I_2)$  and the only integer in this set is  $b$ , we have  $2y - 1 = b$ . However, we know that  $b$  is even because  $b = 2\tilde{b} - N$ , a contradiction. Similarly, if  $c_{red}(I_4) \neq \emptyset$  choose the smallest integer  $x$  in it and consider the 3-AP  $\{2x - N, x, N\}$ . Since  $2x - N$  must be in  $c_{red}(I_3)$  and the only integer in this set is  $r$ , we have  $2x - N = r$ . However, we know that  $r$  is odd because  $r = 2\tilde{r} - 1$ , a contradiction. This implies that  $c_{red}([N]) = \{r', \tilde{r}, r\}$  and  $c_{blue}([N]) = \{b, \tilde{b}, b'\}$ , so the coloring is special.

*Case 2:*  $N \equiv 2 \pmod{3}$ . This case is analogous to Case 1.

*Case 3:*  $N \equiv 1 \pmod{3}$ . Assume  $|\{c(x) : x \equiv i \pmod{3} \text{ and } x \in [N]\}| < r - 1$  i.e. there are two colors, say *red* and *blue*, such that no integer in  $[N]$  colored with *red* or *blue* is congruent to 1. Recall that every color other than  $c(1)$  and  $c(N)$  appears in  $I_2$  and  $I_3$ . First, notice that all integers colored with *red* or *blue* in  $I_2$  must be congruent modulo 3. Otherwise, choosing a *red* colored integer and a *blue* colored integer, we obtain a 3-AP whose third term is colored with *red* or *blue* and is congruent to 1 contradicting

our assumption. Similarly, this is also the case for  $I_3$ . So suppose all integers in  $c_{red}(I_2) \cup c_{blue}(I_2)$  and  $c_{red}(I_3) \cup c_{blue}(I_3)$  are congruent modulo 3 to integers  $p \not\equiv 1$  and  $q \not\equiv 1$ , respectively. Pick the largest integers from  $c_{red}(I_2)$  and  $c_{blue}(I_2)$  and form a 3-AP whose third term is in  $I_3$ . Then the third term is colored with *red* or *blue* and is congruent to  $p$ . Therefore,  $p \equiv q \not\equiv 1$ .

We further partition the interval  $I_2$  into subintervals  $I_{2(i)}$  and  $I_{2(ii)}$ , so that  $\ell(I_{2(i)}) \leq \ell(I_{2(ii)}) \leq \ell(I_{2(i)}) + 1$ . If there exists  $x \in c_{red}(I_{2(i)}) \cup c_{blue}(I_{2(i)})$ , the integer  $2x - 1$  must be colored with  $c(x)$  and contained in  $I_3$ , so  $2x - 1 \equiv p$  while  $x \equiv p \not\equiv 1$ , a contradiction. So  $c_{red}(I_{2(i)}) \cup c_{blue}(I_{2(i)}) = \emptyset$ . However, then the smallest integers of  $c_{red}(I_{2(ii)})$  and  $c_{blue}(I_{2(ii)})$  form a 3-AP whose first term is contained in  $I_{2(i)}$  and is colored with *red* or *blue*, a contradiction. This completes the proof of the lemma.  $\square$

### 3 Proof of the main result

Given a positive integer  $n$ , define the function  $f$  as follows:

$$f(n) = \begin{cases} m + 2, & \text{if } n = 3^m \\ m + 3, & \text{if } n \neq 3^m \text{ and } 7 \cdot 3^{m-2} + 1 \leq n \leq 21 \cdot 3^{m-2}. \end{cases}$$

In this section, we prove Theorem 2 by showing that  $\text{aw}([n], 3) = f(n)$  for all  $n$ . Throughout the proof we mostly drop  $(\text{mod } 3)$ , although all equivalences will happen modulo 3.

First, we show that  $f(n) \leq \text{aw}_u([n], 3)$  by inductively constructing a unitary coloring of  $[n]$  with  $f(n) - 1$  colors and no rainbow 3-AP. The result is true for  $n = 1, 2, 3$ , by definition. Suppose  $n > 3$  and that the result holds for all positive integers less than  $n$ . Let  $n = 3h - s$ , where  $s \in \{0, 1, 2\}$  and  $2 \leq h < n$ .

Let  $r = \text{aw}_u([h], 3)$ . So there is an exact unitary  $(r - 1)$ -coloring  $c$  of  $[h]$  with no rainbow 3-AP. Let *red* be a color not used in  $c$ . Define the coloring  $c_1$  of  $[n]$  such that if  $x \equiv 1 \pmod{3}$ , then  $c_1(x) = c((x + 2)/3)$ , otherwise color  $x$  with *red*. When  $s \neq 0$ , define the coloring  $c_2$  of  $[n]$  as follows:

- if  $x \not\equiv 0 \pmod{3}$ , then  $c_2(x) = \text{red}$ ,
- if  $x \equiv 0 \pmod{3}$ , then
  - $c_2(x) = c(x/3 + 1)$ , if  $c(h)$  is the only unique color in  $c$ ,
  - $c_2(x) = c(x/3)$ , otherwise.

Notice that  $c_2$  is a unitary  $\text{aw}_u([h - 1], 3)$ -coloring when  $s \neq 0$  and  $c_1$  is a unitary  $r$ -coloring of  $[n]$ . Now consider a 3-AP  $\{a, b, 2b - a\}$  in  $[n]$ . If  $a \equiv b \not\equiv 1$ , then  $a$  and  $b$  are colored with *red*, and so the 3-AP is not a rainbow. If  $a \equiv b \equiv 1$ , then  $2b - a \equiv 1$ , so this set corresponds to a 3-AP in  $[h]$  with coloring  $c$ , and hence the 3-AP is not rainbow. If  $a \not\equiv b$ , then  $2b - a$  is not congruent to  $a$  or  $b$ , so two of the terms of the 3-AP are colored with *red*, and hence the 3-AP is not rainbow under  $c_1$ . Similarly, this 3-AP is not rainbow under  $c_2$ . Therefore,  $c_1$  and  $c_2$  are unitary colorings of  $[n]$  with no rainbow 3-AP.

Also note that  $\text{aw}_u([n], 3) \geq \text{aw}_u([h], 3) + 1$  under  $c_1$  and  $\text{aw}_u([n], 3) \geq \text{aw}_u([h-1], 3) + 1$  under  $c_2$ . We proceed with three cases determined by  $\frac{n}{3}$ .

*Case 1.* First suppose  $7 \cdot 3^{m-2} + 1 \leq n \leq 3^m - 3$  or  $3^m \leq n \leq 21 \cdot 3^{m-2}$ . By the induction hypothesis and using the coloring  $c_1$ ,

$$\text{aw}_u([n], 3) \geq \text{aw}_u([h], 3) + 1 \geq f(h) + 1 = f(n).$$

*Case 2.* Suppose  $n = 3^m - t$  where  $t \in \{1, 2\}$ . Notice that  $h = 3^{m-1}$ , so by induction and using coloring  $c_2$ ,

$$\text{aw}_u([n], 3) \geq \text{aw}_u([h-1], 3) + 1 \geq f(h-1) + 1 = f(3^{m-1} - 1) + 1 = (m+2) + 1 = f(n).$$

The upper bound,  $\text{aw}([n], 3) \leq f(n)$ , is also proved by induction on  $n$ . For small  $n$ , the result follows from Table 1. Assume the statement is true for all values less than  $n$ , and let  $7 \cdot 3^{m-2} + 1 \leq n \leq 21 \cdot 3^{m-2}$  for some  $m$ . Let  $\text{aw}([n], 3) = r + 1$ , so there is an exact  $r$ -coloring  $\hat{c}$  of  $[n]$  with no rainbow 3-AP. We need to show that  $r \leq f(n) - 1$ . Let  $[n_1, n_2, \dots, n_N]$  be the shortest interval in  $[n]$  containing all  $r$  colors under  $\hat{c}$ . Define  $c$  to be an  $r$ -coloring of  $[N]$  so that  $c(j) = \hat{c}(n_j)$  for  $j \in \{1, \dots, N\}$ . By minimality of  $N$  the colors of 1 and  $N$  are unique. If  $[N]$  has at least  $r - 1$  colors congruent to 1 or  $N$ , then  $[n]$  has at least  $r - 1$  colors congruent to  $n_1$  or  $n_N$ , respectively, so  $r \leq \text{aw}(\lfloor n/3 \rfloor, 3)$  and by induction  $r \leq f(\lfloor n/3 \rfloor) \leq f(n) - 1$ . So suppose that is not the case, then by Lemma 5 we have that the coloring  $c$  is special.

Let  $N = 7q + 1$  for some  $q \geq 1$ , and let the 8-AP in this special coloring be  $\{1, r_1, r_2, b_1, r_3, b_2, b_3, N\}$ , where  $r_1, r_2, r_3$  are the only integers colored *red*,  $b_1, b_2, b_3$  are the only integers colored *blue* and  $q = r_1 - 1$ . If  $n \geq 9q$ , then the 8-AP can be extended to a 9-AP in  $n$  by adding the 9th element to either the beginning or the ending. Without loss of generality, suppose  $\{1, r_1, r_2, b_1, r_3, b_2, b_3, N, 2N - b_3\}$  correspond to a 9-AP in  $[n]$ . Since the coloring has no rainbow 3-AP, the color of  $2N - b_3$  is *blue* or  $c(N)$ , so we have a 4-coloring of this 9-AP. However,  $\text{aw}([9], 3) = 4$  and hence there is a rainbow 3-AP in this 9-AP which is in turn a rainbow 3-AP in  $[n]$ . Therefore,  $n \leq 9q - 1$ .

By uniqueness of the *red* colored integer  $r_1$  in interval  $\{1, \dots, r_2 - 1\}$ , the colors of integers in interval  $\{r_1 + 1, \dots, r_2 - 1\}$  is the same as the reversed colors of integers in  $\{2, \dots, r_1 - 1\}$ , i.e.  $c(r_1 + i) = c(r_1 - i)$  for  $i = 1, \dots, q - 1$ . Similarly, coloring of integers in interval  $\{r_2 + 1, \dots, b_1 - 1\}$  is the reversed of the coloring of integers in interval  $\{r_1 + 1, \dots, r_2 - 1\}$ , and so on. This gives a rainbow 3-AP-free  $(r - 2)$ -coloring of  $\mathbb{Z}_{2q}$ . Therefore,  $r - 2 \leq \text{aw}(\mathbb{Z}_{2q}, 3) - 1$ .

If  $q = 3^i$  for some  $i$ , then  $n$  can not be a power of 3 because  $7 \cdot 3^i + 1 \leq n \leq 9 \cdot 3^i - 1$ . Suppose  $n = 3^m$ , then  $2q$  is not twice a power of 3 and clearly  $2q$  is not a power of 3. Therefore, by Lemma 4 we have

$$\begin{aligned} r &\leq \text{aw}(\mathbb{Z}_{2q}, 3) + 1 \leq \lceil \log_3(2q) \rceil + 2 \leq \lceil \log_3(2n/7) \rceil + 2 \\ &= \lceil \log_3(2 \cdot 3^m/7) \rceil + 2 = m + 1 \leq f(n) - 1. \end{aligned}$$



Suppose now that  $n \neq 3^m$ . If  $q = 3^i$  for some  $i$  then  $i \leq m - 2$ . Otherwise, if  $i \geq m - 1$  then  $q \geq 3^{m-1} \geq \frac{n}{7}$  which contradicts the fact that  $q < \frac{n}{7}$ . Therefore,  $2q \leq 2 \cdot 3^{m-2} = 18 \cdot 3^{m-4}$  and so by induction and Lemma 4,

$$r \leq \text{aw}(\mathbb{Z}_{2q}, 3) + 1 = \text{aw}([2q], 3) + 1 \leq m + 2 \leq f(n) - 1.$$

If  $q$  is not a power of 3, then again using Lemma 4,  $r \leq \text{aw}(\mathbb{Z}_{2q}, 3) + 1 \leq \text{aw}([2q], 3)$ . Notice that  $6 \cdot 3^{m-3} + \frac{2}{7} \leq \frac{2}{7}n \leq 18 \cdot 3^{m-3}$ , and so  $\text{aw}([2q], 3) \leq m + 2$  by induction. Therefore,  $r \leq m + 2 \leq f(n) - 1$ . This completes the proof of the main theorem.  $\square$

## 4 Concluding Remarks

We have determined the exact value of the anti-van der Waerden number  $\text{aw}([n], 3)$  for all  $n$ , which confirms a conjecture in [4]. Bounds on  $\text{aw}([n, ], k)$  for  $k \geq 4$  are given in [4], however the exact values are not known in general. These bounds can be improved and it would be interesting to know exact values  $\text{aw}([n], k)$  for  $k \geq 4$ .

The values of  $\text{aw}(G, k)$  has been recently studied for finite abelian groups [7]. It would be interesting to investigate this number for other groups.

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