

On the extremal Betti numbers of binomial edge ideals of block graphs

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Abstract

We compute one of the distinguished extremal Betti number of the binomial edge ideal of a block graph, and classify all block graphs admitting precisely one extremal Betti number.

Keywords: Extremal Betti numbers, regularity, binomial edge ideals, block graphs

Introduction

Let K be a field and I a graded ideal in the polynomial ring $S = K[x_1, \dots, x_n]$. The most important invariants of I , which are provided by its graded finite free resolution, are the regularity and the projective dimension of I . In general these invariants are hard to compute. One strategy to bound them is to consider for some monomial order the initial ideal $\text{in}_{<}(I)$ of I . It is known that for the graded Betti numbers one has $\beta_{i,j}(I) \leq \beta_{i,j}(\text{in}_{<}(I))$. This fact implies in particular that $\text{reg}(I) \leq \text{reg}(\text{in}_{<}(I))$, and $\text{proj dim}(I) \leq \text{proj dim}(\text{in}_{<}(I))$. In general however these inequalities may be strict. On the other hand, it is known that if I is the defining binomial ideal of a toric ring, then $\text{proj dim}(I) = \text{proj dim}(\text{in}_{<}(I))$, provided $\text{in}_{<}(I)$ is a squarefree monomial ideal. This is a consequence of a theorem of Sturmfels [17]. The first author of this paper conjectures that whenever the initial ideal of a graded ideal $I \subset S$ is a squarefree monomial ideal, then the extremal Betti numbers of I and $\text{in}_{<}(I)$ coincide in their positions and values. This conjecture implies that $\text{reg}(I) = \text{reg}(\text{in}_{<}(I))$ and $\text{proj dim}(I) = \text{proj dim}(\text{in}_{<}(I))$ for any ideal I whose initial ideal is squarefree.

An interesting class of binomial ideals having the property that all of its initial ideals are squarefree monomial ideals are the so-called binomial edge ideals, see [9], [5], [1]. Thus it is natural to test the above conjectures for binomial edge ideals. A positive answer to this conjecture was given in [7] for Cohen-Macaulay binomial edge ideals of PI graphs (proper interval graphs). In that case actually all the graded Betti numbers of the binomial edge ideal and its initial ideal coincide. It is an open question whether this happens to be true for any binomial edge ideal of a PI graph. Recently this has been confirmed to be true, if the PI graph consists of at most two cliques [2]. In general the graded Betti numbers are known only for very special classes of graphs including cycles [18].

Let J_G denote the binomial edge ideal of a graph G . The first result showing that $\text{reg}(J_G) = \text{reg}(\text{in}_<(J_G))$ without computing all graded Betti numbers was obtained for PI graphs by Ene and Zarojanu [8]. Later Chaudhry, Dokuyucu and Irfan [3] showed that $\text{proj dim}(J_G) = \text{proj dim}(\text{in}_<(J_G))$ for any block graph G , and $\text{reg}(J_G) = \text{reg}(\text{in}_<(J_G))$ for a special class of block graphs. Roughly speaking, block graphs are trees whose edges are replaced by cliques. The blocks of a graph are the biconnected components of the graph, which for a block graph are all cliques. In particular trees are block graphs. It is still an open problem to determine the regularity of the binomial edge ideal for block graphs (and even for trees) in terms of the combinatorics of the graph. However, strong lower and upper bounds for the regularity of edge ideals are known by Matsuda and Murai [12] and Kiani and Saeedi Madani [11]. Furthermore, Kiani and Saeedi Madani characterized all graphs whose binomial edge ideal have regularity 2 and regularity 3, see [15] and [16].

In this note we determine the position and value of one of the distinguished extremal Betti number of the binomial edge ideal of a block graph. Let M be a finitely graded S -module. Recall that a graded Betti number $\beta_{i,i+j}(M) \neq 0$ of M is called an *extremal*, if $\beta_{k,k+l}(M) = 0$ for all pairs $(k, l) \neq (i, j)$ with $k \geq i$ and $l \geq j$. Let $q = \text{reg}(M)$ and $p = \text{proj dim}(M)$, then there exist unique numbers i and j such that $\beta_{i,i+q}(M)$ and $\beta_{p,p+j}(M)$ are extremal Betti numbers. We call them the *distinguished extremal Betti numbers* of M . The distinguished extremal Betti numbers are different from each other if and only if M has more than two extremal Betti numbers.

In order to describe our result in detail, we introduce the following concepts. Let G be finite simple graph. Let $V(G)$ be the vertex set and $E(G)$ the edge set of G . The clique degree of a vertex $v \in V(G)$, denoted $\text{cdeg}(v)$, is the number of cliques to which it belongs. For a tree the clique degree of a vertex is just the ordinary degree. A vertex $v \in V(G)$ is called a free vertex, if $\text{cdeg}(v) = 1$ and an inner vertex if $\text{cdeg}(v) > 1$. Suppose $v \in V(G)$ is a vertex of clique degree 2. Then G can be decomposed as a union of subgraphs $G_1 \cup G_2$ with $V(G_1) \cap V(G_2) = \{v\}$ and where v is a free vertex of G_1 and G_2 . If this is the case, we say that G is *decomposable*. In Proposition 3 we show that if G decomposable with $G = G_1 \cup G_2$, then the graded Poincaré series of G is just the product of the graded Poincaré series of S/J_{G_1} and S/J_{G_2} . This result, with a simplified proof, generalizes a theorem

of the second author which he obtained in a joint paper with Rauf [14]. As a consequence one obtains that the position and value of the distinguished extremal Betti numbers of S/J_G are obtained by adding the positions and multiplying the values of the corresponding distinguished extremal Betti numbers of S/J_{G_1} and S/J_{G_2} . The other extremal Betti numbers of S/J_G are not obtained in this simple way from those of S/J_{G_1} and S/J_{G_2} . But the result shows that if we want to determine the distinguished extremal Betti numbers of S/J_G for a graph G (which also give us the regularity and projective dimension of S/J_G), it suffices to assume that G is indecomposable.

Let $f(G)$ be the number of free vertices and $i(G)$ the number of inner vertices of G . In Theorem 6 we show: let G be an indecomposable block graph with n vertices. Furthermore let $<$ be the lexicographic order induced by $x_1 > x_2 > \dots > x_n > y_1 > y_2 > \dots > y_n$. Then $\beta_{n-1, n-1+i(G)+1}(S/J_G)$ and $\beta_{n-1, n-1+i(G)+1}(S/\text{in}_{<}(J_G))$ are extremal Betti numbers, and $\beta_{n-1, n-1+i(G)+1}(S/\text{in}_{<}(J_G)) = \beta_{n-1, n-1+i(G)+1}(S/J_G) = f(G) - 1$. The theorem implies that $\text{reg}(J_G) \geq i(G)$. It also implies that $\text{reg}(J_G) = i(G)$ if and only if S/J_G has exactly one extremal Betti number, namely the Betti number $\beta_{n-1, n-1+i(G)+1}(S/J_G)$. In Theorem 8 we classify all block graphs with the property that they admit precisely one extremal Betti number, by listing the forbidden induced subgraphs (which are 4 in total), and we also give an explicit description of the block graphs with precisely one extremal Betti number. Carla Mascia informed us that Jananthan et al. in an yet unpublished paper and revised version of [10] obtained a related result for trees.

For indecomposable block graphs G the most challenging open problem is to obtain a combinatorial formula for the regularity of J_G , or even better, a description of *both* distinguished extremal Betti numbers of S/J_G .

1 Decomposable graphs and binomial edge ideals

Let G be a graph with vertex set $V(G) = [n]$ and edge set $E(G)$. Throughout this paper, unless otherwise stated, we will assume that G is connected.

A subset C of $V(G)$ is called a *clique* of G if for all i and j belonging to C with $i \neq j$ one has $\{i, j\} \in E(G)$.

Definition 1. Let G be a graph and v a vertex of G . The *clique degree* of v , denoted $\text{cdeg } v$, is the number of maximal cliques to which v belongs.

A vertex v of G is called a *free vertex* of G , if $\text{cdeg}(v) = 1$, and is called an *inner vertex*, if $\text{cdeg}(v) > 1$. We denote by $f(G)$ the number of free vertices of G and by $i(G)$ the number of inner vertices of G .

Definition 2. A graph G is decomposable, if there exist two subgraphs G_1 and G_2 of G , and a decomposition

$$G = G_1 \cup G_2 \tag{1}$$

with $\{v\} = V(G_1) \cap V(G_2)$, where v is a free vertex of G_1 and G_2 .

If G is not decomposable, we call it indecomposable.

Note that any graph has a unique decomposition (up to ordering)

$$G = G_1 \cup \cdots \cup G_r, \quad (2)$$

where G_1, \dots, G_r are indecomposable subgraphs of G , and for $1 \leq i < j \leq r$ either $V(G_i) \cap V(G_j) = \emptyset$ or $V(G_i) \cap V(G_j) = \{v\}$ and v is a free vertex of G_i and G_j .

For a graded S -module M we denote by $B_M(s, t) = \sum_{i,j} \beta_{ij}(M) s^i t^j$ the Betti polynomial of M . The following proposition generalizes a result due to Rinaldo and Rauf [14].

Proposition 3. *Let G be a decomposable graph, and let $G = G_1 \cup G_2$ be a decomposition of G . Then*

$$B_{S/J_G}(s, t) = B_{S/J_{G_1}}(s, t) B_{S/J_{G_2}}(s, t).$$

Proof. We may assume that $V(G) = [n]$ and $V(G_1) = [1, m]$ and $V(G_2) = [m, n]$. We claim that for the lexicographic order $<$ induced by $x_1 > x_2 > \cdots > x_n > y_1 > y_2 > \cdots > y_n$, we have

$$\text{in}_{<}(J_{G_1}) \subset K[\{x_i, y_i\}_{i=1, \dots, m-1}][y_m] \quad \text{and} \quad \text{in}_{<}(J_{G_2}) \subset K[\{x_i, y_i\}_{i=m+1, \dots, n}][x_m].$$

We recall the notion of admissible path, introduced in [9] in order to compute Gröbner bases of binomial edge ideals. A path $\pi : i = i_0, i_1, \dots, i_r = j$ in a graph G is called *admissible*, if

1. $i_k \neq i_\ell$ for $k \neq \ell$;
2. for each $k = 1, \dots, r-1$ one has either $i_k < i$ or $i_k > j$;
3. for any proper subset $\{j_1, \dots, j_s\}$ of $\{i_1, \dots, i_{r-1}\}$, the sequence i, j_1, \dots, j_s, j is not a path.

Given an admissible path $\pi : i = i_0, i_1, \dots, i_r = j$ from i to j with $i < j$ we associate the monomial $u_\pi = (\prod_{i_k > j} x_{i_k})(\prod_{i_\ell < i} y_{i_\ell})$. In [9] it is shown that

$$\text{in}_{<}(J_G) = (x_i y_j u_\pi : \pi \text{ is an admissible path}).$$

The claim follows by observing that the only admissible paths passing through the vertex m are the ones inducing the set of monomials

$$\{x_i y_m u_\pi : V(\pi) \in V(G_1)\} \cup \{x_m y_j u_\pi : V(\pi) \in V(G_2)\}.$$

We have the following cases to study

- (a) $V(\pi) \subset V(G_1)$ or $V(\pi) \subset V(G_2)$;

(b) $V(\pi) \cap V(G_1) \neq \emptyset$ and $V(\pi) \cap V(G_2) \neq \emptyset$.

(a) We may assume that $V(\pi) \subset V(G_1)$. Assume m is not an endpoint of π . Then $\pi : i = i_0, \dots, i_r = j$ with $m = i_k$, $0 < k < r$. Since i_{k-1} and i_{k+1} belong to the maximal clique in G_1 containing m , it follows that $\{i_{k-1}, i_{k+1}\} \in E(G_1)$ and condition (3) is not satisfied. Therefore $\pi : i = i_0, \dots, i_r = m$ and $x_i y_m u_\pi$ is the corresponding monomial.

(b) In this case we observe that m is not an endpoint of the path $\pi : i = i_0, \dots, i_r = j$. Since $i < m < j$ this path is not admissible by (2).

Now the claim implies that $\text{Tor}_i(S/\text{in}_<(J_{G_1}), S/\text{in}_<(J_{G_2})) = 0$ for $i > 0$. Therefore, we also have $\text{Tor}_i(S/J_{G_1}, S/J_{G_2}) = 0$ for $i > 0$. This yields the desired conclusion. \square

Thanks to the claim, it is interesting to note that $\text{in}_<(J_{G_1} + J_{G_2}) = \text{in}_<(J_{G_1}) + \text{in}_<(J_{G_2})$.

The proposition implies that $\text{proj dim } S/J_G = \text{proj dim } S/J_{G_1} + \text{proj dim } S/J_{G_2}$ and $\text{reg } S/J_G = \text{reg } S/J_{G_1} + \text{reg } S/J_{G_2}$. In fact, much more is true. Let M be a finitely graded S -module. A Betti number $\beta_{i,i+j}(M) \neq 0$ is called an *extremal Betti number* of M , if $\beta_{k,k+l}(M) = 0$ for all pairs $(k, l) \neq (i, j)$ with $k \geq i$ and $l \geq j$. Let $q = \text{reg}(M)$ and $p = \text{proj dim}(M)$, then there exist unique numbers i and j such that $\beta_{i,i+q}(M)$ and $\beta_{p,p+j}(M)$ are extremal Betti numbers. We call them the *distinguished extremal Betti numbers* of M . M admits only one extremal Betti number if and only if the two distinguished extremal Betti numbers are equal.

Corollary 4. *With the assumptions of Proposition 3, let $\{\beta_{i_t, i_t+j_t}(S/J_{G_1})\}_{t=1, \dots, r}$ be the set of extremal Betti numbers of S/J_{G_1} and $\{\beta_{k_t, k_t+l_t}(S/J_{G_2})\}_{t=1, \dots, s}$ be the set of extremal Betti numbers of S/J_{G_2} . Then $\{\beta_{i_t+k_{t'}, (i_t+k_{t'})+(j_t+l_{t'})}(S/J_G)\}_{\substack{t=1, \dots, r \\ t'=1, \dots, s}}$ is a subset of the extremal Betti numbers of S/J_G .*

For $k = 1, 2$, let $\beta_{i_k, i_k+q_k}(G_k)$ and $\beta_{p_k, p_k+j_k}(G_k)$ be the distinguished extremal Betti numbers of G_1 and G_2 . Then $\beta_{i_1+i_2, i_1+i_2+q_1+q_2}(G)$ and $\beta_{p_1+p_2, p_1+p_2+j_1+j_2}(G)$ are the distinguished extremal Betti numbers of G , and

$$\begin{aligned} \beta_{i_1+i_2, i_1+i_2+q_1+q_2}(G) &= \beta_{i_1, i_1+q_1}(G_1) \beta_{i_2, i_2+q_2}(G_2), \\ \beta_{p_1+p_2, p_1+p_2+j_1+j_2}(G) &= \beta_{p_1, p_1+j_1}(G_1) \beta_{p_2, p_2+j_2}(G_2). \end{aligned}$$

2 Extremal Betti numbers of block graphs

Let G be a graph with vertex set $V(G)$ and edge set $E(G)$. A vertex of a graph is called a *cutpoint* if the removal of the vertex increases the number of connected components. A connected subgraph of G that has no cutpoint and is maximal with respect to this property is called a *block*.

Definition 5. *A graph G is called a block graph, if each block of G is a clique.*

Observe that a block graph G is decomposable if and only if there exists $v \in V(G)$ with $\text{cdeg}(v) = 2$. In particular, a block graph is indecomposable, if $\text{cdeg}(v) \neq 2$ for all $v \in V(G)$.

A block C of the block graph G is called a *leaf* of G , if there is exactly one $v \in V(C)$ with $\text{cdeg}(v) > 1$.

Theorem 6. *Let G be an indecomposable block graph with n vertices. Furthermore let $<$ be the lexicographic order induced by $x_1 > x_2 > \dots > x_n > y_1 > y_2 > \dots > y_n$. Then $\beta_{n-1, n-1+i(G)+1}(S/J_G)$ and $\beta_{n-1, n-1+i(G)+1}(S/\text{in}_{<}(J_G))$ are extremal Betti numbers of S/J_G and $S/\text{in}_{<}(J_G)$, respectively. Moreover,*

$$\beta_{n-1, n-1+i(G)+1}(S/\text{in}_{<}(J_G)) = \beta_{n-1, n-1+i(G)+1}(S/J_G) = f(G) - 1.$$

Proof. We prove the theorem by induction on $i(G)$. If $i(G) = 0$, then G is a clique and J_G is the ideal of 2-minors of the $2 \times n$ matrix

$$\begin{pmatrix} x_1 & x_2 & \dots & x_n \\ y_1 & y_2 & \dots & y_n \end{pmatrix} \quad (3)$$

The desired conclusion follows by the Eagon-Northcott resolution [6]. Let us now assume that the above equation holds for $i(G) > 0$.

Let C_1, \dots, C_t be the blocks of G and assume that C_t is a leaf of G . Since $i(G) > 0$, it follows that $t > 1$. Let i be the vertex of C_t of $\text{cdeg}(i) > 1$, and let G' be the graph which is obtained from G by replacing C_t by the clique whose vertex set is the union of the vertices of the C_i which have a non-trivial intersection with C_t . Furthermore, let G'' be the graph which is obtained from G by removing the vertex i , and H be the graph obtained by removing the vertex i from G' .

Note that G' and H are indecomposable block graphs for which $i(G') = i(H) = i(G) - 1$.

The following exact sequence

$$0 \longrightarrow S/J_G \longrightarrow S/J_{G'} \oplus S/((x_i, y_i) + J_{G''}) \longrightarrow S/((x_i, y_i) + J_H) \quad (4)$$

from [7] is used for our induction step. By the proof of [7, Theorem 1.1] we know that $\text{proj dim } S/J_G = \text{proj dim } S/J_{G'} = n - 1$, $\text{proj dim } S/((x_i, y_i) + J_H) = n$, and $\text{proj dim } S/((x_i, y_i) + J_{G''}) = n - q$, where $q + 1$ is the number of connected components of G'' . Since $\text{cdeg}(i) \geq 3$ it follows that $q \geq 2$. Therefore, $\text{Tor}_{n-1}(S/((x_i, y_i) + J_{G''}), K) = 0$, and hence for each j , the exact sequence (4) yields the long exact sequence

$$0 \rightarrow T_{n, n+j-1}(S/((x_i, y_i) + J_H)) \rightarrow T_{n-1, n-1+j}(S/J_G) \rightarrow T_{n-1, n-1+j}(S/J_{G'}) \rightarrow (5)$$

where for any finitely generated graded S -module, $T_{k,l}^S(M)$ stands for $\text{Tor}_{k,l}^S(M, K)$. Note that

$$T_{n, n+j-1}^S(S/((x_i, y_i) + J_H)) \cong T_{n-2, n-2+(j-1)}^{S'}(S'/J_H), \quad (6)$$

where $S' = S/(x_i, y_i)$.

Our induction hypothesis implies that

$$T_{n-2, n-2+(j-1)}(S'/J_H) = 0 \quad \text{for } j > i(H) + 2 = i(G) + 1,$$

and

$$T_{n-1, n-1+j}(S/J_{G'}) = 0 \quad \text{for } j > i(G') + 1 = i(G).$$

Now (5) and (6) imply that $T_{n-1, n-1+j}(S/J_G) = 0$ for $j > i(G) + 1$, and

$$T_{n-2, n-2+i(H)+1}^{S'}(S'/J_H) \cong T_{n-1, n-1+i(G)+1}^S(S/J_G). \quad (7)$$

By induction hypothesis, $\beta_{n-2, n-2+i(H)+1}^{S'}(S'/J_H) = f(H) - 1$. Since $f(G) = f(H)$, (7) implies that $\beta_{n-1, n-1+i(G)+1}(S/J_G) = f(G) - 1$, and together with (6) it follows that $\beta_{n-1, n-1+i(G)+1}(S/J_G)$ is an extremal Betti number.

Now we prove the assertions regarding $\text{in}_<(J_G)$. If $i(G) = 0$, then J_G is the ideal of 2-minors of the matrix (3). It is known that $\text{in}_<(J_G)$, (and hence also J_G) has a 2-linear resolution. This implies that $\beta_{i,j}(J_G) = \beta_{i,j}(\text{in}_<(J_G))$. Indeed, S/J_G and $S/\text{in}_<(J_G)$ have the same Hilbert function, since the monomials in S not belonging to $\text{in}_<(J_G)$ form a K -basis of S/J_G . For ideals with linear resolution, the Betti numbers are determined by their Hilbert function. This proves the assertions for $i(G) = 0$.

Next assume that $i(G) > 0$. As noted in [3], one also has the exact sequence

$$0 \longrightarrow S/\text{in}_<(J_G) \longrightarrow S/\text{in}_<(J_{G'}) \oplus S/\text{in}_<((x_i, y_i) + J_{G''}) \longrightarrow S/\text{in}_<((x_i, y_i) + J_H).$$

Since $\text{in}_<((x_i, y_i) + J_H) = (x_i, y_i) + \text{in}_<(J_H)$ it follows that

$$T_{n, n+j-1}^S(S/\text{in}_<((x_i, y_i) + J_H)) \cong T_{n-2, n-2+(j-1)}^{S'}(S'/\text{in}_<(J_H)). \quad (8)$$

Therefore, by using the induction hypothesis, one deduces as before that

$$T_{n-2, n-2+i(H)+1}^{S'}(S'/\text{in}_<(J_H)) \cong T_{n-1, n-1+i(G)+1}^S(S/\text{in}_<(J_G)). \quad (9)$$

This concludes the proof. \square

The next corollary is an immediate consequence of Proposition 3 and Theorem 6.

Corollary 7. *Let G be a block graph for which $G = G_1 \cup \dots \cup G_s$ is the decomposition of G into indecomposable graphs. Then each G_i is a block graph, $\beta_{n-1, n-1+i(G)+s}(S/J_G)$ is an extremal Betti number of S/J_G and*

$$\beta_{n-1, n-1+i(G)+s}(S/J_G) = \prod_{i=1}^s (f(G_i) - 1).$$

In the following theorem we classify all block graphs which admit precisely one extremal Betti number.

Theorem 8. *Let G be an indecomposable block graph. Then*

- (a) $\text{reg}(S/J_G) \geq i(G) + 1$.
- (b) *The following conditions are equivalent:*
 - (i) S/J_G admits precisely one extremal Betti number.
 - (ii) G does not contain one of the induced subgraphs T_0, T_1, T_2, T_3 of Fig.1.
 - (iii) Let $P = \{v \in V(G) : \deg(v) \neq 1\}$. Then each cut point of $G|_P$ belongs to exactly two maximal cliques.

Proof. (a) is an immediate consequence of Theorem 6.

(b)(i) \Rightarrow (ii): Suppose that G contains one of the induced subgraphs T_0, T_1, T_2, T_3 . We will show that $\text{reg}(S/J_G) > i(G) + 1$. By Corollary 7 this is equivalent to saying that S/J_G admits at least two extremal Betti numbers. To proceed in our proof we shall need the following result [12, Corollary 2.2] of Matsuda and Murai which says that for $W \subset V(G)$, one has $\beta_{ij}(J_{G|_W}) \leq \beta_{ij}(J_G)$ for all i and j .

It can be checked by CoCoA that $\text{reg}(S/J_{T_j}) > i(T_j) + 1$ for each T_j . Now assume that G properly contains one of the T_j as induced subgraph. Since G is connected, there exists a clique C of G and subgraph G' of G such that (1) G' contains one of the T_j as induced subgraph, (2) $V(G') \cap V(C) = \{v\}$. By using induction on the number of cliques of G , we may assume that $\text{reg}(S/J_{G'}) > i(G') + 1$. If $\text{cdeg}(v) = 2$, then $i(G) = i(G') + 1$ and $\text{reg}(S/J_G) = \text{reg}(S/J_{G'}) + 1$, by Proposition 3. If $\text{cdeg}(v) > 2$, then $i(G) = i(G')$, and by Matsuda and Murai we have $\text{reg } S/J_{G'} \leq \text{reg } S/J_G$. Thus in both case we obtain $\text{reg}(S/J_G) > i(G) + 1$, as desired.

(ii) \Rightarrow (iii): Suppose condition (iii) is not satisfied. Let C_1, \dots, C_r with $r \geq 3$ be maximal cliques of $G|_P$ that meet in the same cutpoint i . After a suitable relabeling of the cliques C_i we may assume that one of the following cases occurs:

- (α) C_1, C_2, C_3 have cardinality ≥ 3 ;
- (β) C_1, C_2 have cardinality ≥ 3 , the others have cardinality 2;
- (γ) C_1 has cardinality ≥ 3 , the others have cardinality 2;
- (δ) C_1, \dots, C_r have cardinality 2.

In case (α) observe that G contains C_1, C_2 and C_3 , too. But this contradicts the fact that G does not contain T_0 as an induced subgraph. Similarly in case (β), G contains C_1, C_2 . Let $C_3 = \{i, j\}$. Since C_3 is an edge in $G|_P$, it cannot be a leaf of G . Therefore, since G is indecomposable, there exist at least two maximal cliques in G for which j is a cut point. It follows that T_1 is an induced subgraph, a contradiction. (γ) and (δ) are discussed in a similar way.

(iii) \Rightarrow (i): We use induction on $i(G)$. If $i(G) = 0$, then G is a clique and the assertion is obvious. Now let us assume that $i(G) > 0$. By (a) it is sufficient to

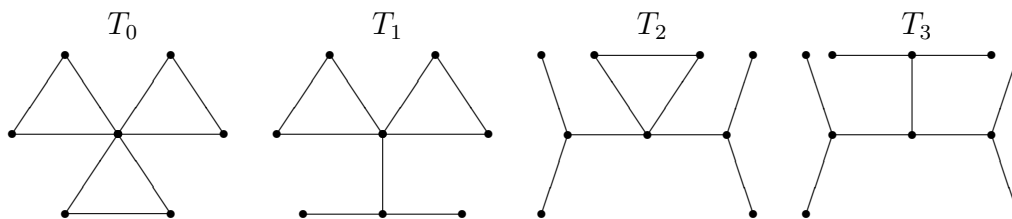


Figure 1: Induced subgraphs to avoid.

prove that $\text{reg } S/J_G \leq i(G) + 1$. If $i(G) = 0$, then G is a clique and the assertion is obvious. We choose a leaf of G . Let j be the unique cut point of this leaf, and let G' , G'' and H be the subgraphs of G , as defined with respect to j in the proof of Theorem 6. Note the G' and H are block graphs satisfying the conditions in (iii) with $i(G') = i(H) = i(G) - 1$. By our induction hypothesis, we have $\text{reg}(S/J_{G'}) = \text{reg}(S/J_H) = i(G)$. The graph G'' has $\text{cdeg}(j)$ many connected components with one components G_0 satisfying (iii) and $i(G_0) = i(G) - 1$, and with the other components being cliques, where all, but possible one of the cliques, say C_0 , are isolated vertices. Applying our induction hypothesis we obtain that $\text{reg}(S/J_{G''}) = i(G_0) + \text{reg}(J_{C_0}) \leq i(G) - 1 + \text{reg}(S/J_{C_0}) \leq i(G)$, since $\text{reg}(S/J_{C_0}) \leq 1$.

Thus the exact sequence (4) yields

$$\text{reg } S/J_G \leq \max\{\text{reg } S/J_{G'}, \text{reg } S/J_{G''}, \text{reg } S/J_H + 1\} = i(G) + 1,$$

as desired. \square

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