

# Off-diagonal ordered Ramsey numbers of matchings

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## Abstract

For ordered graphs  $G$  and  $H$ , the *ordered Ramsey number*  $r_{<}(G, H)$  is the smallest  $n$  such that every red/blue edge coloring of the complete ordered graph on vertices  $\{1, \dots, n\}$  contains either a blue copy of  $G$  or a red copy of  $H$ , where the embedding must preserve the relative order of vertices. One number of interest, first studied by Conlon, Fox, Lee, and Sudakov, is the *off-diagonal* ordered Ramsey number  $r_{<}(M, K_3)$ , where  $M$  is an ordered matching on  $n$  vertices. In particular, Conlon et al. asked what asymptotic bounds (in  $n$ ) can be obtained for  $\max r_{<}(M, K_3)$ , where the maximum is over all ordered matchings  $M$  on  $n$  vertices. The best-known upper bound is  $O(n^2/\log n)$ , whereas the best-known lower bound is  $\Omega((n/\log n)^{4/3})$ , and Conlon et al. hypothesize that there is some fixed  $\epsilon > 0$  such that  $r_{<}(M, K_3) = O(n^{2-\epsilon})$  for every ordered matching  $M$ . We resolve two special cases of this conjecture. We show that the off-diagonal ordered Ramsey numbers for ordered matchings in which edges do not cross are nearly linear. We also prove a truly sub-quadratic upper bound for random ordered matchings with interval chromatic number 2.

**Mathematics Subject Classifications:** 05C55, 05D10

## 1 Introduction

A classical area of extremal combinatorics is Ramsey theory. Introduced by Ramsey [6] and popularized by Erdős and Szekeres [4], the Ramsey number of a graph  $G$ , commonly denoted by  $r(G)$ , is the smallest  $n$  so that every bicoloring of the complete graph  $K_n$  contains a monochromatic copy of  $G$ . Here, we define a *bicoloring* of a graph to be a red/blue-coloring of the edges of the graph. Shrinking the sizable gap between the asymptotic upper/lower bounds on  $r(K_n)$  has been a major open problem for decades, spurring extensive work on a plethora of related questions in Ramsey theory.

One variant of Ramsey numbers which has recently received attention is the analogue for ordered graphs. An *ordered graph* on  $[n]$  is a graph on  $n$  vertices which are given

distinct labels in  $\{1, \dots, n\}$ . Given an ordered graph  $G$ , the *ordered Ramsey number* of  $G$ , denoted by  $r_{<}(G)$ , is the smallest  $n$  so that every bicoloring of the ordered complete graph on  $n$  vertices contains a monochromatic copy of  $G$  which preserves the relative vertex ordering of  $G$ . As with the unordered case, one can define the *off-diagonal ordered Ramsey number* of two ordered graphs  $G$  and  $H$ , denoted by  $r_{<}(G, H)$ , as the smallest  $n$  so that every bicoloring of the ordered complete graph on  $n$  vertices contains either an order preserving red copy of  $G$  or an order preserving blue copy of  $H$ .

The first systematic studies of ordered Ramsey numbers were conducted by Conlon, Fox, Lee, and Sudakov [3] and by Balko, Cibulka, Král, and Kynčl [2]. However, as pointed out by the authors of [3], a number of classic results in extremal combinatorics can be reinterpreted as statements about ordered Ramsey numbers. For instance, Erdős and Szekeres proved [4] that every sequence of at least  $(n - 1)^2 + 1$  distinct numbers contains either an increasing subsequence of length  $n$  or a decreasing subsequence of length  $n$ . This result is implied by the bound  $r_{<}(P_n, K_n) \leq (n - 1)^2 + 1$ , where  $P_n$  is the  $n$ -vertex path imbued with the natural monotonic ordering: for any sequence of  $n$  distinct numbers  $x_1, \dots, x_n$ , for all  $i, j \in \{1, \dots, n\}$  with  $i < j$ , color edge  $\{i, j\}$  red if  $x_i < x_j$  and blue otherwise.

Perhaps the simplest nontrivial family of ordered graphs from the perspective of ordered Ramsey theory is *ordered matchings*, in which every vertex has degree 1. Conlon, Fox, Lee, and Sudakov provide a number of bounds for general ordered matchings, for ordered matchings satisfying certain properties, and for off-diagonal ordered Ramsey numbers involving ordered matchings. Relevant to this paper is their work on bounding the largest possible value of  $r_{<}(M, K_3)$ , where  $M$  is an ordered matching. They have the following result:

**Theorem 1** (Conlon, Fox, Lee, and Sudakov [3]). *There are positive constants  $c_1$  and  $c_2$  such that for all even positive integers  $n$ ,*

$$c_1 \left( \frac{n}{\log n} \right)^{4/3} \leq \max_M r_{<}(M, K_3) \leq c_2 \frac{n^2}{\log n}$$

where the maximum is taken over all ordered matchings  $M$  on  $n$  vertices.

The upper bound in this theorem is in some sense trivial. Since every ordered graph on  $n$  vertices embeds in the complete ordered graph  $K_n$ , and the ordered Ramsey number  $r_{<}(K_n, K_3)$  is equal to the Ramsey number  $r(n, 3)$ , which has been asymptotically determined [1, 5] to be  $\Theta(n^2/\log n)$ , it follows (as pointed out in [3]) that  $r_{<}(M, K_3) = O(n^2/\log n)$  for an ordered matching  $M$  on  $n$  vertices. However, this bound does not make use of any properties of ordered matchings, only making use of the fact that every ordered graph on  $n$  vertices can be embedded in  $K_n$ . Perhaps for this reason, Conlon, Fox, Lee, and Sudakov hypothesize [3] that the upper bound can be improved to  $r_{<}(M, K_3) \leq n^{2-\epsilon}$  for some  $\epsilon > 0$ .

We contribute two results in the direction of this conjecture. We first look at the special case of ordered matchings where the edges do not cross. That is, for any two edges  $\{i, j\}$  and  $\{k, l\}$  with  $i < j$  and  $k < l$ , the intervals  $[i, j]$  and  $[k, l]$  are either disjoint

or nested one inside the other. We call the ordered matchings which satisfy this condition *parenthesis matchings*, due to the useful fact that these ordered matchings correspond with balanced parenthesis sequences. Indeed, it is this correspondence which partially motivates our proof of the following theorem.

**Theorem 9.** *For any  $\epsilon > 0$  there is a constant  $c$  such that every parenthesis matching  $M$  on  $n$  vertices has*

$$r_{<}(M, K_3) \leq cn^{1+\epsilon}.$$

To state our second result, we must define the *interval chromatic number* of an ordered graph. Analogous to the chromatic number of an unordered graph, the interval chromatic number  $\chi_{<}(G)$  of an ordered graph  $G$  is the minimum number of contiguous intervals into which the vertex set must be split so that each interval is an independent set in  $G$ .

Conlon, Fox, Lee, and Sudakov present a number of general results accompanied by much stronger specific results for ordered matchings with small interval chromatic number [3]. In a similar spirit, we prove a sub-quadratic bound on  $r_{<}(M, K_3)$  for random ordered matchings with interval chromatic number 2.

**Theorem 17.** *There is a constant  $c$  such that for every even  $n$ , if an ordered matching  $M$  on  $n$  vertices with interval chromatic number 2 is picked uniformly at random, then*

$$r_{<}(M, K_3) \leq cn^{\frac{24}{13}}$$

*with high probability.*

Observe that the statement is not probabilistic over bicolorings; rather, it is a true Ramsey-type result which applies to almost all ordered matchings with interval chromatic number 2.

## 1.1 Roadmap

We outline the remainder of this paper. In Section 2, we achieve a nearly linear bound for ordered matchings whose edges do not cross. In Section 3, we obtain a slightly sub-quadratic bound for random ordered matchings with interval chromatic number 2. Finally, in Section 4 we outline possible directions for future research, describing a few of the many interesting questions about ordered Ramsey numbers which remain open.

Throughout the paper, we make no serious attempts to optimize constants.

## 2 Parenthesis Matchings

Earlier we defined *parenthesis matchings* as ordered matchings for which the edges do not cross. We claim without proof that every parenthesis matching corresponds uniquely with a balanced parenthesis sequence—that is, a sequence of correctly matched open and close parentheses. The bijection is straightforward; each matched pair of parentheses corresponds with an edge in the ordered matching. See Figure 1 for an example.

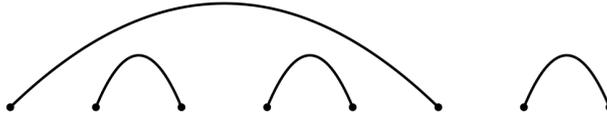


Figure 1: The parenthesis matching corresponding to the parenthesis sequence  $((()))()$ .

We start with perhaps the simplest nontrivial parenthesis matching, and work our way up to general parenthesis matchings. Define the *nested matching graph*  $NM_k$  of size  $k$  to be the ordered graph on  $[2k]$  where  $\{i, j\}$  is an edge if and only if  $i + j = 2k + 1$ . We establish the off-diagonal ordered Ramsey number of  $NM_k$  up to constant factors:

**Proposition 2.** *For any positive integer  $k$ ,*

$$4k - 2 < r_{<}(NM_k, K_3) \leq 6k.$$

*Proof.* The lower bound follows from a simple construction: color the ordered complete graph on  $[4k - 2]$  such that  $\{1, \dots, 2k - 1\}$  and  $\{2k, \dots, 4k - 2\}$  form two red cliques, and all remaining edges are blue. Then there are no blue triangles, and no red edge  $\{i, j\}$  has  $|i - j| > 2k - 2$ , so there cannot be a red ordered matching on  $2k$  vertices.

For the upper bound, pick an arbitrary bicoloring of the ordered complete graph on  $[6k]$ . Suppose the graph contains no blue copies of  $K_3$ . If any vertex has blue degree at least  $2k$ , then there is a red clique of size  $2k$ , which must contain  $NM_k$ . Otherwise, the number of blue edges is at most  $6k^2$ . Hence, the number of red edges is at least  $12k^2 - 3k$ . Let  $E_R$  be the set of red edges, and define a strict partial order on  $E_R$  as follows: for any  $\{i, j\}, \{l, m\} \in E_R$  with  $i < j$  and  $l < m$ , let  $\{i, j\} < \{l, m\}$  if  $l < i < j < m$ . We wish to show that there is a *chain* of edges  $e_1, \dots, e_k$  with  $e_1 < \dots < e_k$ .

For the sake of contradiction, suppose the contrary, so every chain has length at most  $k - 1$ . Define a function  $L: E_R \rightarrow \{1, \dots, k - 1\}$  where  $L(e)$  is the longest chain ending at  $e$ . Observe that  $L^{-1}(n)$  is an *anti-chain* for each  $n \in [k - 1]$ . That is, for any  $e_1, e_2 \in L^{-1}(n)$ , we cannot have  $e_1 < e_2$  nor  $e_2 < e_1$ .

Applying the pigeonhole principle, fix some  $n$  such that  $|L^{-1}(n)| \geq 12k$ . Let  $S$  be the set of indices  $i \in [6k]$  such that  $\{i, j\} \in L^{-1}(n)$  for some  $j > i$ . Then for each  $i \in S$ , let  $a_i$  be the minimum index  $j > i$  such that  $\{i, j\} \in L^{-1}(n)$ , and let  $b_i$  be the maximum such index. Then  $\sum_{i \in S} (b_i + 1 - a_i) \geq |L^{-1}(n)| \geq 12k$ , so  $\sum_{i \in S} (b_i - a_i) \geq 6k$ . Equivalently,

$$b_{s_m} - a_{s_0} + \sum_{l=1}^m (b_{s_{l-1}} - a_{s_l}) \geq 6k$$

where  $s_0, \dots, s_m$  are the elements of  $S$  in increasing order. Since  $b_{s_m} - a_{s_0} < 6k$ , it follows from the inequality that  $b_{s_{l-1}} > a_{s_l}$  for some  $l$ . But then  $s_{l-1} < s_l < a_{s_l} < b_{s_{l-1}}$ , so edges  $\{s_{l-1}, b_{s_{l-1}}\}$  and  $\{s_l, a_{s_l}\}$  are comparable. This contradicts our claim that  $L^{-1}(n)$  is an anti-chain, so there must be a chain of length at least  $k$ . The edges in the chain comprise the red embedding of  $NM_k$  into the graph.  $\square$

We believe that the upper bound is far from optimal. In particular, we make the following conjecture, based on checking by casework that the above lower bound is tight for  $k \in \{1, 2, 3\}$ .

**Conjecture 3.** For any positive integer  $k$ ,

$$r_{<}(NM_k, K_3) = 4k - 1.$$

The nested matching can be used to bound the corresponding ordered Ramsey numbers for a more general class of ordered matchings. As we will build up more complex parenthesis matchings from simpler ones, we need a way to keep track of the growth of  $r_{<}(M, K_3)$ . One approach is the following lemma:

**Lemma 4.** Let  $A_1, \dots, A_{2k-1}$  be (possibly empty) balanced parenthesis sequences inducing ordered matchings  $M_1, \dots, M_{2k-1}$ . Then

$$(A_1(A_2(\cdots (A_{k-1}(A_k)A_{k+1})\cdots)A_{2k-2})A_{2k-1})$$

is a balanced parenthesis sequence which induces some ordered matching  $M$ , with

$$r_{<}(M, K_3) \leq r_{<}(NM_{k+t}, K_3),$$

where  $t = \sum_{i=1}^k \max(r_{<}(M_i, K_3), r_{<}(M_{2k-i}, K_3))$ .

*Proof.* Pick an arbitrary bicoloring of the ordered complete graph on  $[r_{<}(NM_{k+t}, K_3)]$ . Assume that there is no blue copy of  $K_3$ . Then there is a red copy of  $NM_{k+t}$ . Starting with the innermost edge of this red copy of  $NM_{k+t}$  and working outwards, delete as many matched pairs as necessary until there is space for a red copy of  $M_k$ . Every deletion increases the number of inner vertices by at least one, so there will be space after at most  $r_{<}(M_k, K_3)$  steps. Save the current innermost matched pair (which will correspond to the parentheses around  $A_k$ ), and continue deleting subsequent matches until there is space for a red copy of  $M_{k-1}$  (to the left of the saved match) and a red copy of  $M_{k+1}$  (to the right of the saved match). The number of deletions is at most  $\max(r_{<}(M_{k-1}, K_3), r_{<}(M_{k+1}, K_3))$ ; save the new innermost match.

Repeating the above process  $k - 2$  more times yields a complete red copy of  $M$ . Note that the process does not run out of matches, since only  $k$  matches are saved, and at most  $t$  matches are deleted.  $\square$

In the above lemma, the ordered Ramsey number of each ordered matching  $M_i$  is multiplied by a constant factor arising from the ordered Ramsey number of a nested matching  $NM_n$ . It is possible to decrease the dependence on the central ordered matching  $M_k$ , in exchange for larger constants on the remaining ordered matchings and on the length of the ordered matching.

**Lemma 5.** Let  $A_1, \dots, A_{2k-1}$  be balanced parenthesis sequences inducing ordered matchings  $M_1, \dots, M_{2k-1}$ . Let  $M$  be the parenthesis matching induced by the expression

$$(A_1(A_2(\cdots (A_{k-1}(A_k)A_{k+1})\cdots)A_{2k-2})A_{2k-1}).$$

If  $l = \sum_{i \neq k} r_{<}(M_i, K_3)$  and  $t = r_{<}(M_k, K_3)$ , then

$$r_{<}(M, K_3) \leq t + 20(k + l + |M_k|).$$

*Proof.* Pick an arbitrary bicoloring of the ordered complete graph on  $[t + 20(k + l + |M_k|)]$ . Assume that there is no blue copy of  $K_3$ . Let  $X$  denote the first  $10(k + l + |M_k|)$  vertices; let  $Y$  denote the next  $t$  vertices; and let  $Z$  denote the remaining  $10(k + l + |M_k|)$  vertices. Observe that  $Y$  contains a red copy of  $M_k$ .

Suppose that there is a red copy of  $NM_{k+l}$  in  $X \cup Z$ , where the first  $k + l$  vertices are in  $X$  and the remaining  $k + l$  vertices are in  $Z$ . Then, just as in Lemma 4, we can start with the innermost edge and work outwards, deleting edges to make space for red copies of  $M_1, \dots, M_{k-1}$  and  $M_{k+1}, \dots, M_{2k-1}$ . Only  $l$  edges need be deleted, and by the end, the ordered graph  $X \cup Y \cup Z$  contains a red copy of  $M$ .

Now suppose the converse, so the maximum number of edges in a nested matching between  $X$  and  $Z$  is less than  $k + l$ . As in Proposition 2, define the natural strict partial order on the red edges between  $X$  and  $Z$ . A set of nested edges forms a chain, and the largest anti-chain contains no more than  $|X| + |Z| = 20(k + l + |M_k|)$  red edges. We know that the red edges can be partitioned into less than  $k + l$  anti-chains, so the number of red edges between  $X$  and  $Z$  is at most  $20(k + l + |M_k|)(k + l)$ , which we upper bound by  $20(k + l + |M_k|)^2$ .

Thus, the number of blue edges between  $X$  and  $Z$  is at least  $80(k + l + |M_k|)^2$ . Hence there must be a vertex  $v \in X$  with at least  $8(k + l + |M_k|)$  blue edges into  $Z$ . Since the graph was assumed to be blue  $K_3$ -free, it follows that the set of blue neighbors of  $v$  forms a red clique of size  $8(k + l + |M_k|)$ . As  $|M| \leq 8(k + l + |M_k|)$ , we conclude that the bicoloring contains a red copy of  $M$ .  $\square$

Every parenthesis matching is in a bijection with an ordered, rooted tree. In particular, for any rooted tree on  $s$  vertices, in which the children of each vertex are ordered, there is a unique Euler tour of the tree which starts and ends at the root, and respects the orderings. The tour is a list of edges, each with an orientation: either away from the root, or towards the root. Replacing each edge oriented away from the root with an open parenthesis, and each edge oriented towards the root with a close parenthesis, yields a balanced parenthesis sequence of length  $2n - 2$ . Conversely, every balanced parenthesis sequence can be treated as an Euler tour, and in this way defines a tree. It can be seen that the described correspondence is a bijection. We've already shown that balanced parenthesis sequences correspond to parenthesis matchings, so we get the desired bijection between parenthesis matchings and ordered, rooted trees.

Reinterpreting Lemma 5 in light of this bijection, it allows us to bound the off-diagonal ordered Ramsey number of an ordered, rooted tree by the ordered Ramsey numbers of all the branches off any path. Intuitively (and we will formalize the intuition later), this bound is strong on unbalanced trees and weak on well-balanced trees. For the latter case, we have the following simple lemma. While it is a special case of the above lemma aside from unimportant constant factors, we will use it for a different purpose (namely, well-balanced trees), so we state it separately for clarity.

**Lemma 6.** *Let  $A$  be a balanced parenthesis sequence inducing the ordered matching  $M$ . Then  $(A)$  is a balanced parenthesis sequence inducing some ordered matching  $M'$ , and*

$$r_{<}(M', K_3) \leq r_{<}(M, K_3) + |M'| + 1.$$

*Proof.* Let  $t = r_{<}(M, K_3)$  and let  $n$  be the number of vertices in  $M'$ . Pick an arbitrary bicoloring of the ordered complete graph on  $[t+n+1]$ . Suppose there are no blue triangles. Then there is a red copy of  $M$  in  $\{2, \dots, t+1\}$ . So if there is a red edge from 1 to any of  $\{t+2, \dots, t+n+1\}$ , we have found a red copy of  $M'$ . Otherwise, every edge from 1 to  $\{t+2, \dots, t+n+1\}$  is blue, so  $\{t+2, \dots, t+n+1\}$  forms a red clique of size  $n$ , which must contain  $M'$ .  $\square$

With the above lemmas, we can prove a subquadratic bound on the ordered Ramsey numbers of all parenthesis matchings. Two convexity results are needed; we postpone their proofs to Appendix A.

**Lemma 7.** *Let  $a_0, a_1, a_2, \dots, a_k \geq 0$  and  $\delta > 1$  and  $m > 0$  be real numbers. Let  $r = m^{-1/(\delta-1)}$ . If  $s = \sum_{i=0}^k a_i \geq 1$  and  $a_i \leq rs$  for all  $1 \leq i \leq k$ , then*

$$m(a_0 + ca_1^\delta + \dots + ca_k^\delta) \leq cs^\delta$$

for any  $c \geq m$ .

**Lemma 8.** *Let  $a_1, \dots, a_k \geq 0$  and  $\delta \geq 1$  be real numbers. Let  $r \in (0, 1)$ . If  $s = \sum_{i=1}^k a_i$  and  $a_i \leq rs$  for all  $1 \leq i \leq k$ , then*

$$a_1^\delta + \dots + a_k^\delta \leq r^{\delta-1} s^\delta.$$

In the following proof we will use the bijection between parenthesis matchings on  $n$  vertices and ordered rooted trees of size  $s = n/2 + 1$ . The basic idea is to induct on tree size and decompose the tree into smaller trees by one of two methods, depending on the relative weights of the root's child subtrees.

For a real number  $r \in (0, 1)$ , call an edge  $r$ -heavy if  $s_{\text{child}} \geq r \cdot s_{\text{parent}}$ , where  $s_{\text{child}}$  is the size of the child subtree and  $s_{\text{parent}}$  is the size of the parent subtree. If the inequality does not hold, call the edge  $r$ -light. Similarly call a vertex  $r$ -heavy or  $r$ -light if its parent edge is  $r$ -heavy or  $r$ -light, respectively.

If all children of the root are  $r$ -light for an appropriate choice of  $r$  (slightly less than 1), we apply the inductive hypothesis to each child separately, and use Lemma 6 to obtain a bound for the entire tree. Since every child subtree is a constant factor smaller than the entire tree, the lemma intuitively yields a sufficiently good recurrence.

If however the root has an  $r$ -heavy child, Lemma 6 does not suffice. Instead we trace a path of heavy edges from the root down, decomposing the tree into a number of branches, as well as possibly some subtrees at the tail end of the path. Here we use Lemma 5. We know that every branch is  $(1-r)$ -light, so can afford to multiply the sum of ordered Ramsey numbers of the branches by 20 in the lemma. We only know the tail subtrees to be  $r$ -light, which is why they are treated differently in the lemma.

Formalizing the above proof sketch requires some manipulation of inequalities and applications of Lemma 7 and Lemma 8. We work through these below.

**Theorem 9.** For any  $\epsilon > 0$  there is a constant  $c$  such that every parenthesis matching  $M$  on  $n$  vertices has  $r_{<}(M, K_3) \leq cn^{1+\epsilon}$ .

*Proof.* Let  $\epsilon > 0$ . Set  $r = 1 - 23^{-2/\epsilon}$ , and set  $c = 23/(1 - r^\epsilon)$ . A parenthesis matching on  $n$  vertices uniquely corresponds with an ordered rooted tree of size  $s = n/2 + 1$ . We induct on the tree size  $s$ . If  $s = 1$ , the corresponding ordered matching is the empty matching on 0 vertices, for which the claim is trivially true. Fix an ordered rooted tree of size  $s > 1$ , corresponding to an ordered matching  $M$ . There are two cases which we will treat separately; either the tree root has an  $r$ -heavy child, or not.

Suppose that the tree root does not have an  $r$ -heavy child. Let  $s_1, \dots, s_k$  be the sizes of the child subtrees of the root. Let  $M_1, \dots, M_k$  be the ordered matchings corresponding to the respective subtrees, and let  $t_i = r_{<}(M_i, K_3)$  for each  $i \in [k]$ . With a slight abuse of notation, identifying the ordered matchings with their parenthesis sequences, we have

$$M = (M_1)(M_2) \dots (M_k).$$

Lemma 6 provides the bound  $r_{<}((M_i), K_3) \leq t_i + 2s_i + 1$ . Since the ordered Ramsey number of a union of ordered graphs on disjoint intervals of vertices is subadditive, it follows that

$$r_{<}(M, K_3) \leq \sum_{i=1}^k (t_i + 2s_i + 1) \leq 3s + \sum_{i=1}^k t_i \leq 3s + \sum_{i=1}^k cs_i^{1+\epsilon},$$

where the second inequality follows from grouping like terms, and the third inequality follows from the inductive hypothesis. By the assumption that every subtree is  $r$ -light, we have  $s_i \leq rs$  for  $1 \leq i \leq k$ . Hence, Lemma 8 applies to  $s_1, \dots, s_k$  with parameters  $\delta = 1 + \epsilon$  and  $r$ , and yields

$$r_{<}(M, K_3) \leq 3s + \sum_{i=1}^k cs_i^{1+\epsilon} \leq 3s + cr^\epsilon s^{1+\epsilon} \leq cs^{1+\epsilon}.$$

The last step follows since  $c$  was chosen to be sufficiently large.

The remaining case to consider is if the tree root has a heavy child. Then there is some path which starts at the root and consists entirely of heavy edges (possibly only one edge, or possibly more). Let  $s_1^b, \dots, s_k^b$  be the sizes of all subtrees which branch off the heavy path, and let  $s^b$  be the (vertex) size of the heavy path. Let  $M_1^b, \dots, M_k^b$  be the corresponding ordered matchings, and let  $t_i^b = r_{<}(M_i^b, K_3)$  for each  $i \in [k]$ . For ease of notation, suppose that the deepest vertex in the heavy path has  $k'$  children, and its child subtrees are indexed  $1, \dots, k'$ . The whole ordered matching  $M$  can be decomposed into a nested matching along with embedded ordered matchings  $(M_1^b), \dots, (M_k^b)$ . For instance, if  $k = 3$  and  $k' = 1$  then one possibility is  $M = ((M_2^b)(\cdot)(M_1^b))(M_3^b)$ . By Lemma 6, the following bound holds for every embedded ordered matching  $M_i^b$ :

$$r_{<}((M_i^b), K_3) \leq t_i^b + 3s_i^b.$$

So by Lemma 5, we have

$$r_{<}(M, K_3) \leq \sum_{i=1}^{k'} (t_i^b + 3s_i^b) + 20 \left( s^h + \sum_{i=k'+1}^k (t_i^b + 3s_i^b) + \sum_{i=1}^{k'} s_i^b \right).$$

By the inductive hypothesis, it follows that

$$\begin{aligned} r_{<}(M, K_3) &\leq \sum_{i=1}^{k'} \left( c (s_i^b)^{1+\epsilon} + 3s_i^b \right) \\ &\quad + 20 \left( s^h + \sum_{i=k'+1}^k \left( c (s_i^b)^{1+\epsilon} + 3s_i^b \right) + \sum_{i=1}^{k'} s_i^b \right). \end{aligned}$$

Reordering terms and absorbing the term  $3 \sum_{i=k'+1}^k s_i^b$  into the outer constant factor through the bound  $c \geq 20$ , we get

$$\begin{aligned} r_{<}(M, K_3) &\leq c \sum_{i=1}^{k'} (s_i^b)^{1+\epsilon} + 23 \sum_{i=1}^{k'} s_i^b \\ &\quad + 23 \left( s^h + c \sum_{i=k'+1}^k (s_i^b)^{1+\epsilon} \right). \end{aligned} \tag{1}$$

To bound the first two terms of Equation 1, we observe that for each  $i \leq k'$ , subtree  $i$  is  $r$ -light, and therefore  $s_i^b \leq rs$ . An application of Lemma 8, along with the bound  $cr^\epsilon + 23 \leq c$ , gives

$$\begin{aligned} c \sum_{i=1}^{k'} (s_i^b)^{1+\epsilon} + 23 \sum_{i=1}^{k'} s_i^b &\leq cr^\epsilon \left( \sum_{i=1}^{k'} s_i^b \right)^{1+\epsilon} + 23 \sum_{i=1}^{k'} s_i^b \\ &\leq c \left( \sum_{i=1}^{k'} s_i^b \right)^{1+\epsilon}. \end{aligned} \tag{2}$$

For the remaining terms of Equation 1, observe that for any  $i > k'$ , subtree  $i$  has an  $r$ -heavy sibling, so  $s_i^b$  is at most  $1 - r$  times the parent's subtree size, and therefore at most  $(1 - r)s$ . We will use one of two approaches (below, **A** and **B**) depending on the cumulative weight of these subtrees.

- A.** If  $s^h + \sum_{j=k'+1}^k s_j^b \geq 23^{-1/\epsilon} s$ , then we can bound  $s_i^b \leq 23^{1/\epsilon}(1 - r) \left( s^h + \sum_{j=k'+1}^k s_j^b \right)$  for all  $i > k'$ . We know that  $c \geq 23$  and  $23^{1/\epsilon}(1 - r) \leq 23^{-1/\epsilon}$ , so an application of Lemma 7 yields

$$23 \left( s^h + c \sum_{i=k'+1}^k (s_i^b)^{1+\epsilon} \right) \leq c \left( s^h + \sum_{i=k'+1}^k s_i^b \right)^{1+\epsilon}. \tag{3}$$

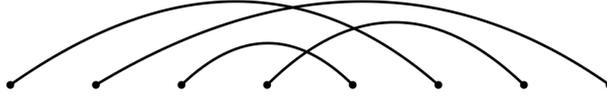


Figure 2: An ordered matching  $M$  with interval chromatic number 2, and corresponding permutation  $\pi(M) = (2, 4, 1, 3)$ .

Summing together the bounds from Equation 2 and Equation 3 and applying the most basic convexity bound, we get the desired bound

$$r_{<}(M, K_3) \leq c \left( \sum_{i=1}^{k'} s_i^b \right)^{1+\epsilon} + c \left( s^h + \sum_{i=k'+1}^k s_i^b \right)^{1+\epsilon} \leq cs^{1+\epsilon}.$$

- B.** If  $s^h + \sum_{j=k'+1}^k s_j^b < 23^{-1/\epsilon} s$ , then we are unable to bound  $s_i^b$  against  $s^h + \sum_{j=k'+1}^k s_j^b$ , but we know that the latter quantity is much smaller than  $s$ . So we instead use the weak bound

$$23 \left( s^h + c \sum_{i=k'+1}^k (s_i^b)^{1+\epsilon} \right) \leq 23c \left( s^h + \sum_{i=k'+1}^k s_i^b \right)^{1+\epsilon}. \quad (4)$$

Now we combine Equation 2 with Equation 4, using the simple inequality  $(1-x)^{1+\epsilon} + 23x^{1+\epsilon} \leq 1$  for  $x \in (0, 23^{-1/\epsilon})$ , and obtain

$$r_{<}(M, K_3) \leq c \left( \sum_{i=1}^{k'} s_i^b \right)^{1+\epsilon} + 23c \left( s^h + \sum_{i=k'+1}^k s_i^b \right)^{1+\epsilon} \leq cs^{1+\epsilon}.$$

This completes the induction. □

### 3 Random Ordered Matchings with $\chi_{<}(M) = 2$

Recall that the *interval chromatic number*  $\chi_{<}(G)$  of an ordered graph  $G$  is the minimum number of contiguous intervals into which the vertex set must be split so that each interval is an independent set in  $G$ .

In this section, we show that for almost every ordered matching  $M$  with interval chromatic number 2, the bound of  $O(n^2/\log n)$  on  $r_{<}(M, K_3)$  can be beaten. More specifically, we exhibit a condition on  $M$  which is sufficient to guarantee an improved bound on  $r_{<}(M, K_3)$ , and then prove that a random ordered matching with interval chromatic number 2 satisfies this condition with high probability.

The set of ordered matchings on  $2n$  vertices with interval chromatic number 2 is in bijection with the set of permutations  $S_n$ , and it is often notationally convenient to examine the permutation corresponding to a given ordered matching. See Figure 2 for an example.

**Definition 10.** Let  $M$  be an ordered matching on  $[2n]$  with interval chromatic number 2. Then its *corresponding permutation*  $\pi(M)$  is the permutation on  $[n]$  which maps  $i$  to  $j - n$  for every edge  $\{i, j\} \in M$  where  $i < j$ .

More generally, we will examine *shifted permutations*:

**Definition 11.** Given a permutation  $\pi \in S_n$  and an integer  $h \geq 0$ , let  $\pi + h$  be the sequence of length  $n$  defined by  $(\pi + h)_i = \pi(i) + h$ . Call  $\pi + h$  a *shifted permutation*.

We are interested in measuring the overlap between a permutation and its shifted permutations, which necessitates two more definitions.

**Definition 12.** We say that an integer sequence  $(a_1, \dots, a_n)$  contains an *exact pattern*  $\rho$  if  $\rho$  is an integer sequence of length  $k$ , and there are indices  $1 \leq i_1 < \dots < i_k \leq n$  such that  $a_{i_j} = \rho_j$  for all  $j$ .

For instance, the sequence (and permutation)  $(3, 5, 6, 1, 2, 4)$  contains the exact pattern  $(6, 1, 4)$  but does not contain the exact pattern  $(1, 2, 3)$ .

**Definition 13.** Let  $a, b \in \mathbb{Z}^n$  be integer sequences. Define the *ordered intersection* of  $a$  and  $b$ , denoted  $\text{Int}(a, b)$ , to be the largest integer  $k$  such that both  $a$  and  $b$  share an exact pattern of length  $k$ .

In the theorem below, we do something slightly stronger than bounding the ordered Ramsey number  $r_{<}(M, K_3)$  for certain ordered matchings  $M$ . Rather, we show that in a blue  $K_3$ -free ordered graph on  $[2n]$ , there is a tradeoff between finding a red copy of the ordered matching  $M$  in the bipartite subgraph  $[1, n] \cup [n + 1, 2n]$  and finding a large red clique (which of course contains every ordered matching of that size) in  $[1, n]$  or symmetrically in  $[n + 1, 2n]$ .

Observe that a trivial claim, following immediately from unordered Ramsey theory, is “every bicoloring of the ordered complete graph on  $[2n]$  contains either a blue triangle or a red clique of size  $\Theta((n \log n)^{1/2})$ .” This is of course the best possible claim, in that  $r(n, 3) = \Theta(n^2 / \log n)$ . The following theorem shows that the claim can be improved—that is, there is a red clique of size  $\omega((n \log n)^{1/2})$ —under an added assumption about the absence of a red ordered matching satisfying certain conditions. Using the above definitions, the condition is that the ordered matching’s corresponding permutation  $\pi(M)$  has sufficiently low ordered intersection with each shifted permutation  $\pi(M) + h$ .

**Theorem 14.** Fix  $\epsilon \in (0, 1)$  and  $\alpha, \beta > 0$  with  $\alpha + \beta \leq \epsilon/4$ . Let  $M$  be an ordered matching on  $2n^{1/2+\alpha}$  vertices with interval chromatic number 2, such that the corresponding permutation  $\pi = \pi(M)$  satisfies  $\text{Int}(\pi(M), \pi(M) + h) \leq n^{(1-\epsilon)(1/2+\alpha)}$  for every  $h \in [n^{1/2+\alpha}]$ . Then every bicoloring of the ordered complete graph on  $[2n]$  contains either:

- a blue copy of  $K_3$ ,
- a red copy of  $K_p$ , where  $p = \frac{n^{\frac{1}{2}+\beta}}{4} - \frac{n^{\frac{\epsilon}{4}}}{2}$ , or

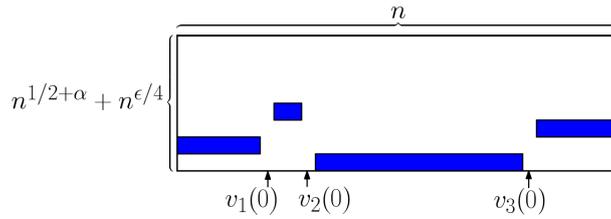


Figure 3: One possibility for the set of segments  $F(0)$  in the blue adjacency matrix, if  $\pi(M) = (2, 4, 1, 3)$ .

- a red copy of  $M$  within the bipartite subgraph  $[1, n] \cup [n + 1, 2n]$ .

*Proof.* Fix a bicoloring  $C$  of the ordered complete graph on  $[2n]$ . We use  $C(i, j)$  to denote the color of edge  $\{i, j\}$ . Suppose that the coloring contains none of the hypothesized blue or red structures. Then in particular, for  $0 \leq i \leq n - n^{1/2+\alpha}$ , we know that there are no red copies of  $M$  between  $[1, n]$  and  $[n + i + 1, n + i + n^{1/2+\alpha}]$ .

Fix some nonnegative integer  $i \leq n^{\epsilon/4}$ . Let  $v_1(i)$  be the first vertex in  $[n]$  such that  $C(v_1(i), n + i + \pi(1))$  is red (or  $v_1(i) = \infty$  if no such vertex exists). Let  $v_2(i)$  be the first vertex in  $[n]$  after  $v_1(i)$  such that  $C(v_2(i), n + i + \pi(2))$  is red (or, again,  $v_2(i) = \infty$  if no such vertex exists). Iteratively define  $v_3(i), \dots, v_{n^{1/2+\alpha}}(i)$  in the same way. Also let  $f(i)$  be the first index at which  $v_{f(i)}(i) = \infty$ . By our assumption that  $[1, n] \cup [n + i + 1, n + i + n^{1/2+\alpha}]$  is red  $M$ -free, this index exists.

The vertices  $v_1(i), \dots, v_{f(i)-1}(i)$  demarcate  $f(i)$  blue segments in the adjacency matrix of  $[1, n] \cup [n + 1, n + n^{1/2+\alpha} + n^{\epsilon/4}]$ . That is, for  $1 \leq j \leq f(i)$  we have that  $C(k, n + i + \pi(j))$  is blue for all  $v_{j-1}(i) < k < v_j(i)$  (where for convenience we set  $v_0(i) = 0$  and  $v_{f(i)}(i) = n + 1$ ). Treating  $C$  as an  $(n^{1/2+\alpha} + n^{\epsilon/4}) \times n$  matrix, each segment is in a distinct row, and the segments occupy distinct intervals of columns, covering a total of at least  $n - n^{1/2+\alpha}$  columns. If any segment had length at least  $n^{1/2+\beta}$ , then some vertex would have  $n^{1/2+\beta}$  blue edges, so the coloring would contain either a blue triangle or a red  $K_{n^{1/2+\beta}}$ . So henceforth we assume that every segment has length at most  $n^{1/2+\beta}$ .

For each nonnegative integer  $i \leq n^{\epsilon/4}$ , let  $F(i)$  be the set of  $f(i)$  blue segments as defined above (see Figure 3 for an example). We seek to lower bound the number of blue edges in  $F(i)$  which are not contained in any  $F(i')$  for  $i' < i$ . So fix  $i' < i \leq n^{\epsilon/4}$ . Suppose that there are  $k$  segments in  $F(i)$  which intersect with segments in  $F(i')$ .

Since each segment in  $F(i)$  is in a different row, as is each segment of  $F(i')$ , each of the  $k$  intersecting segments in  $F(i)$  intersects with a unique segment in  $F(i')$ . Suppose that  $s_1, s_2 \in F(i)$  and  $t_1, t_2 \in F(i')$  where  $s_1$  intersects  $t_1$  and  $s_2$  intersects  $t_2$ . Then  $\text{row}(s_1) = \text{row}(t_1)$ , and  $\text{row}(s_2) = \text{row}(t_2)$ . And since the segments  $F(i)$  hit disjoint intervals of columns, as do the segments  $F(i')$ , we have that  $\text{columns}(s_1)$  is “left” of  $\text{columns}(s_2)$  in the adjacency matrix if and only if  $\text{columns}(t_1)$  is “left” of  $\text{columns}(t_2)$ . So the  $k$  intersecting segments define an exact pattern in both  $\pi + i'$ , which describes the row indices of the segments  $F(i')$ , and  $\pi + i$ , which describes the row indices of the segments  $F(i)$ . It follows that  $k$  is at most  $\text{Int}(\pi, \pi + i - i')$ , which is by assumption at most  $n^{(1-\epsilon)(1/2+\alpha)}$ . Summing over all  $i' < i$ , at most  $in^{(1-\epsilon)(1/2+\alpha)}$  segments in  $F(i)$

intersect with previous segments.

Every segment has length at most  $n^{1/2+\beta}$  by assumption. Thus, for each  $i \leq n^{\epsilon/4}$ , the blue segments in  $F(i)$  contribute at least

$$n - n^{1/2+\alpha} - in^{(1-\epsilon)(1/2+\alpha)}n^{1/2+\beta} = n - n^{1/2+\alpha} - in^{1-\epsilon/2+\beta+(1-\epsilon)\alpha}$$

new blue edges. When  $i = 0$  the contribution is  $n - n^{1/2+\alpha}$ ; when  $i = n^{\epsilon/4}$ , the contribution is at least  $-n^{1/2+\alpha}$ . The contributions decrease linearly, so in total there are at least  $n^{1+\epsilon/4}/2 - n^{1/2+\alpha+\epsilon/4}$  blue edges in the bipartite graph  $[1, n] \cup [n+1, n+n^{\alpha+1/2} + n^{\epsilon/4}]$ . The number of vertices in the second part of the bipartite graph is  $n^{\alpha+1/2} + n^{\epsilon/4}$ , which is at most  $2n^{\alpha+1/2}$ . So dividing the number of blue edges by  $2n^{\alpha+1/2}$  and applying the bound  $\alpha + \beta \leq \epsilon/4$ , it follows that some vertex has blue degree at least  $n^{1/2+\beta}/4 - n^{\epsilon/4}/2$ , implying that there is either a blue triangle or a red clique of size  $n^{1/2+\beta}/4 - n^{\epsilon/4}/2$ .  $\square$

We seek to show that for random permutations  $\pi$  and for any integer  $h$ , the ordered intersection of  $\pi$  with the shifted permutation  $\pi + h$  is sublinear in the length of  $\pi$  with high probability.

The general outline of the proof is as follows. We bound the expected number of long exact patterns contained in both  $\pi$  and  $\pi + h$ . To do so, we of course sum over all long exact patterns, splitting into two cases. If the exact pattern  $\rho$  has small intersection with  $\rho + h$ , we can straightforwardly obtain a good bound on the probability that  $\rho$  embeds into both permutations. However, if  $\rho$  has large intersection with  $\rho + h$ , we cannot do so. Instead we show that the number of such exact patterns is extremely small.

The following lemma formalizes the last step of the above outline. Note that any exact pattern contained in a permutation  $\pi \in S_n$  is an ordered subset of  $[n]$ . Hence, to show that there are very few exact patterns  $\rho$  contained in both  $\pi$  and  $\pi + h$  and satisfying the condition that  $\rho$  has large intersection with  $\rho + h$ , we can show that if an ordered subset of  $[n]$  is picked uniformly at random, then those conditions occur with low probability.

**Lemma 15.** *Fix positive integers  $n$ ,  $k \leq n$ , and  $h$ . Let  $\rho$  be an ordered subset of  $[n]$  of length  $k$ , picked uniformly at random. Then the probability that the set intersection  $\rho \cap (\rho + h)$  has size at least  $t$ , and there exists some permutation  $\pi \in S_n$  such that  $\rho$  and  $\rho + h$  are both exact patterns in  $\pi$ , does not exceed*

$$\frac{2^{2k-t}k^{k-t}}{k!}.$$

*Proof.* Observe that it is possible to pick a size- $k$  ordered subset of  $[n]$  uniformly at random by two independent choices: first, pick an unordered subset of  $[n]$  with size  $k$ . Second, pick some ordering for the subset. We will show that for any unordered subset  $U \subseteq [n]$  with size  $k$  such that  $|U \cap (U + h)| \geq t$ , if we pick an ordering on  $U$  uniformly at random and thereby induce an ordered subset  $\rho$ , then the probability that there exists a permutation  $\pi$  in which  $\rho$  and  $\rho + h$  are both exact patterns does not exceed  $2^{2k-t}k^{k-t}/k!$ . This will prove the lemma.

Fix any  $U \subseteq [n]$  with  $|U| = k$  and  $|U \cap (U + h)| \geq t$ . The number of elements  $a \in U$  such that  $a - h \notin U$  does not exceed  $k - t$ , so  $U$  can be partitioned into at most  $k - t$  arithmetic progressions, each with common difference  $h$ .

Pick some permutation  $\sigma \in S_k$ . This yields an ordering of  $U$ , in which the smallest element of  $U$  is placed in position  $\sigma(1)$ , and so forth. Hence, an ordered subset  $\rho$  is induced. Suppose that the ordering is *compatible*: that is,  $\rho$  and  $\rho + h$  are both exact patterns in some permutation  $\pi$ . Since  $\rho$  and  $\rho + h$  fix the order in  $\pi$  of the sets of elements  $U$  and  $U + h$  respectively, it must hold that  $U \cap (U + h)$  has the same order in  $\rho$  and  $\rho + h$ . Pick any arithmetic progression  $\{a + ih\}_{i=0}^m \subseteq U$ . We have that  $a + ih$  precedes  $a + (i + 1)h$  in  $\rho$  if and only if  $a + ih$  precedes  $a + (i + 1)h$  in  $\rho + h$ , or equivalently  $a + (i - 1)h$  precedes  $a + ih$  in  $\rho$ . So the arithmetic progression must either have a monotone increasing order or a monotone decreasing order in  $\rho$ .

The key observation was that for any  $a, b \in U$  where neither  $a$  nor  $b$  is the first term in its arithmetic progression,  $a$  precedes  $b$  in  $\rho$  if and only if  $a - h$  precedes  $b - h$ . We use this observation to bound the total number of compatible orderings. There are  $2^{k-t}$  ways to assign a direction to each progression, either monotone increasing or monotone decreasing. Fix one such assignment, and suppose that  $m_{\text{inc}}$  progressions are monotone increasing. There are at most  $2^k$  ways to pick the subset of locations  $L_{\text{inc}} \subseteq [k]$  to which the increasing-ordered progressions are assigned. It remains to pick an embedding of the increasing-ordered progressions in  $L_{\text{inc}}$ , and an embedding of the decreasing-ordered progressions in  $[k] \setminus L_{\text{inc}}$ . The two cases are symmetric, so we consider the increasing-ordered progressions.

For notational convenience, arbitrarily index the increasing-ordered progressions as  $A_1, \dots, A_{m_{\text{inc}}}$ . Now define a map  $\Phi : S_{|L_{\text{inc}}|} \rightarrow L_{\text{inc}}^{m_{\text{inc}}}$  from embeddings of the increasing-ordered progressions into  $L_{\text{inc}}$  (which are in bijection with the permutations  $S_{|L_{\text{inc}}|}$ ) to tuples  $(v_1, \dots, v_{m_{\text{inc}}})$ , where  $v_i$  is the index assigned to the first element of progression  $A_i$ .

We claim that the restriction of  $\Phi$  to compatible embeddings is injective. Pick two different compatible orderings of  $U$ , inducing exact patterns  $\rho_1$  and  $\rho_2$ , and assume for the sake of contradiction that  $\Phi(\rho_1) = \Phi(\rho_2)$ . Suppose that  $j$  is the first index at which  $\rho_1$  and  $\rho_2$  differ. By assumption, the first term of each arithmetic progression has the same index in  $\rho_1$  and  $\rho_2$ . Therefore neither  $\rho_1(j)$  nor  $\rho_2(j)$  is a first term in its progression. Now observe that  $\rho_1(j)$  precedes  $\rho_2(j)$  in  $\rho_1$ , but  $\rho_2(j)$  precedes  $\rho_1(j)$  in  $\rho_2$ . Hence,  $\rho_1(j) - h$  precedes  $\rho_2(j) - h$  in  $\rho_1$ , and in  $\rho_2$  the opposite holds. However,  $\rho_1(j) - h$  and  $\rho_2(j) - h$  are both in the first  $j - 1$  terms of  $\rho_1$ , which are equal to the first  $j - 1$  terms of  $\rho_2$ . So one of the relative orderings is impossible! Contradiction, so the restriction of  $\Phi$  is injective.

Thus, there are at most  $|L_{\text{inc}}|^{m_{\text{inc}}}$  ways to compatibly embed the increasing-ordered progressions into  $L_{\text{inc}}$ , and similarly there are at most  $(k - |L_{\text{inc}}|)^{k-t-m_{\text{inc}}}$  ways to embed the decreasing-ordered progressions into  $[k] \setminus L_{\text{inc}}$ . So the total number of compatible orderings is at most  $2^{k-t} 2^k k^{k-t}$ . Since the total number of orderings is  $k!$ , the result follows.  $\square$

Now we can prove our desired result on random permutations.

**Lemma 16.** Fix some  $\alpha > 0$  and some positive integers  $n$  and  $h$ . If  $\pi \in S_n$  is a permutation chosen uniformly at random, then

$$\Pr [\text{Int}(\pi, \pi + h) \geq n^{2/3+\alpha}] \leq (e^5 n^{-3\alpha/2})^{n^{2/3+\alpha}}.$$

*Proof.* The event  $\text{Int}(\pi, \pi + h) \geq n^{2/3+\alpha}$  occurs if and only if the number of exact patterns of length  $n^{2/3+\alpha}$  contained in  $\pi$  and  $\pi + h$  is at least 1. By Markov's inequality, the probability of this event is at most the expected number of such exact patterns. Every such exact pattern is a size- $n^{2/3+\alpha}$  ordered subset of  $[n]$ , so to bound the expected number of exact patterns, we sum over such ordered subsets.

Let  $k = n^{2/3+\alpha}$ . Pick any ordered subset  $\rho \subseteq [n]$  of size  $k$ . Then  $\rho$  is contained in both  $\pi$  and  $\pi + h$ , for any permutation  $\pi \in S_n$ , if and only if  $\rho$  and  $\rho - h$  are both contained in  $\pi$ . If the smallest element of  $\rho$  is less than  $h + 1$ , then  $\rho - h$  cannot be contained in any permutation, so assume the contrary.

The probability that  $\rho$  and  $\rho - h$  are both exact patterns in a random permutation  $\pi \in S_n$  is at most the probability that  $\rho \cap (\rho - h)$  and  $\rho \setminus (\rho - h)$  and  $(\rho - h) \setminus \rho$  are all exact patterns in  $\pi$ . Here, the intersection/difference of two ordered subsets is taken to be the set-theoretic intersection/difference, ordered according to whichever exact pattern contains the set (and picking either pattern if both contain the set). But these three ordered subsets are disjoint, so the corresponding events are independent. Suppose that  $m(\rho) = |\rho \cap (\rho - h)|$ . Since a fixed size- $r$  ordered subset of  $[n]$  is contained in a random permutation with probability  $1/r!$ , we have that  $\rho \cap (\rho - h)$  is contained in a random  $\pi \in S_n$  with probability  $1/m(\rho)!$ . Similarly, each of  $\rho \setminus (\rho - h)$  and  $(\rho - h) \setminus \rho$  has size  $k - m(\rho)$ , and therefore is contained in a random  $\pi \in S_n$  with probability  $1/(k - m(\rho))!$ . By independence, the probability that all three ordered subsets are contained in a random  $\pi \in S_n$  is at most

$$\frac{1}{m(\rho)!} \cdot \frac{1}{(k - m(\rho))!^2}.$$

This is an upper bound on the probability that  $\rho$  and  $\rho - h$  are both contained in a random permutation  $\pi \in S_n$ . Observe that as a function of  $m$ , the above fraction is largest when  $m(\rho) \approx k - \sqrt{k}$ , and is increasing on  $[1, k - \sqrt{k}]$  and decreasing on  $[k - \sqrt{k}, k]$ . Hence, the bound is strong for  $m(\rho)$  small. Summing over all exact patterns  $\rho$  with  $m(\rho) \leq k/2$ , and using the trivial bound that the number of exact patterns is  $n!/(n - k)!$ , we have that

$$\mathbb{E} [\# \text{ contained patterns } \rho \text{ with } m(\rho) \leq k/2] \leq \frac{n!}{(n - k)!(k/2)!^3}.$$

The expectation is taken over permutations  $\pi \in S_n$ , and a "contained pattern" is an exact pattern  $\rho$  such that  $\rho$  and  $\rho - h$  are contained in  $\pi$ .

To bound the expectation for patterns  $\rho$  with  $m(\rho) > k/2$ , we first discard the patterns  $\rho$  for which there is no permutation  $\pi$  containing both  $\rho$  and  $\rho - h$ . Now Lemma 15 gives that the number of remaining patterns is only

$$\frac{2^k k^{k/2}}{k!} \frac{n!}{(n - k)!} = \binom{n}{k} 2^k k^{k/2}.$$

Using this result and assuming the worst case that  $m(\rho) = k - \sqrt{k}$ , we get

$$\mathbb{E}[\# \text{ contained patterns } \rho \text{ with } m(\rho) > k/2] \leq \binom{n}{k} \frac{2^k k^{k/2}}{(k - \sqrt{k})!(\sqrt{k})!^2}.$$

Putting everything together, simplifying, and substituting  $k = n^{2/3+\alpha}$ ,

$$\begin{aligned} \mathbb{E}[\# \text{ contained patterns}] &\leq \frac{n!}{(n-k)!(k/2)!^3} + \binom{n}{k} \frac{2^k k^{k/2}}{(k - \sqrt{k})!(\sqrt{k})!^2} \\ &\leq \frac{n^k (2e)^{3k/2}}{k^{3k/2}} + \frac{n^k 2^k e^{2k+\sqrt{k}}}{k^{k/2} (k - \sqrt{k})^{k-\sqrt{k}} k^{\sqrt{k}}} \\ &\leq \frac{n^k (2e)^{3k/2}}{k^{3k/2}} + \frac{n^k 2^k e^{2k+2\sqrt{k}}}{k^{3k/2}} \\ &\leq (e^5 n^{-3\alpha/2})^{n^{2/3+\alpha}}. \quad \square \end{aligned}$$

The above lemma and Theorem 14 imply the main result of this section—a subquadratic bound on  $r_{<}(M, K_3)$  for random ordered matchings with interval chromatic number 2—as a corollary.

**Theorem 17.** *Let  $M$  be an ordered matching on  $2m$  vertices with interval chromatic number 2, picked uniformly at random. Then there is a constant  $c$  such that*

$$r_{<}(M, K_3) \leq cm^{24/13}$$

with high probability.

*Proof.* Setting  $\alpha = 4/\ln m$  the statement of Lemma 16 becomes

$$\Pr_{\pi \in S_m} [\text{Int}(\pi, \pi + h) \geq e^4 m^{2/3}] \leq e^{-e^4 m^{2/3}}.$$

Picking an ordered matching  $M$  on  $2m$  vertices with interval chromatic number 2 uniformly at random, we have  $\text{Int}(\pi(M), \pi(M) + h) \leq m^{2/3+4/\ln m}$  for all  $h \in [m]$  with high probability. Thus we can apply Theorem 14 with parameters  $\epsilon = 1/3 - 4/\ln m$  and  $\alpha = \beta = 1/24 - 1/(2 \ln m)$  and  $n = cm^{24/13}$ , where  $c$  is chosen sufficiently large so that

$$n^{13/24-1/(2 \ln m)} / 4 - n^{1/12-1/\ln m} / 2 \geq 2m$$

and

$$2n^{13/24-1/(2 \ln m)} \geq 2m.$$

So with high probability, every bicoloring of the ordered complete graph on  $[2n]$  contains either a blue triangle or a red copy of  $M$  or a red clique of size at least  $2m$ .  $\square$

## 4 Future Work

Many open questions about the ordered Ramsey numbers of ordered matchings remain. Most significant, perhaps, is the original question posed by Conlon, Fox, Lee, and Sudakov: does there exist some  $\epsilon > 0$  such that  $r_{<}(M, K_3) \leq n^{2-\epsilon}$  for every ordered matching  $M$  on  $n$  vertices? Based on our Theorem 17, a number of natural intermediate questions arise. In particular, a reasonably modest step beyond random ordered matchings with  $\chi_{<}(M) = 2$  would be the following:

**Conjecture 18.** For every  $\chi$ , there is a constant  $\epsilon(\chi) > 0$  such that

$$r_{<}(M, K_3) \leq O(n^{2-\epsilon(\chi)})$$

for almost every ordered matching  $M$  on  $n$  vertices with interval chromatic number  $\chi_{<}(M) = \chi$ .

Conversely, we are curious how far from the truth the exponent  $\frac{24}{13}$  in our Theorem 17 is. It seems plausible that our argument can be optimized to produce a significantly better bound, and we do not know of any lower bounds for this class of ordered matchings that come anywhere near this bound.

Regarding parenthesis matchings, we were unable to find a family for which  $r_{<}(M, K_3)$  is superlinear, leaving a slight gap beneath our upper bound. Such a construction would be quite interesting to us.

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## A Convexity Inequalities

We provide here proofs of Lemma 7 and Lemma 8.

**Lemma 7.** *Let  $a_0, a_1, a_2, \dots, a_k \geq 0$  and  $\delta > 1$  and  $m > 0$  be real numbers. Let  $r = m^{-1/(\delta-1)}$ . If  $s = \sum_{i=0}^k a_i \geq 1$  and  $a_i \leq rs$  for all  $1 \leq i \leq k$ , then*

$$m(a_0 + ca_1^\delta + \dots + ca_k^\delta) \leq cs^\delta$$

for any  $c \geq m$ .

*Proof.* Suppose that  $0 < a_i \leq a_j < rs$  for some distinct indices  $1 \leq i, j \leq k$ . Since  $f(x) = x^\delta$  is a convex function, if we decrease  $a_i$  and increase  $a_j$  by a common amount  $\min(a_i, rs - a_j)$ , the left-hand side of the inequality increases, while the right-hand side remains constant. Furthermore, the number of values  $a_i$  which are equal to neither 0 nor  $rs$  decreases. Hence, it suffices to prove the inequality in the case where no two such values exist. Without loss of generality, we have  $a_1 = \dots = a_{n-1} = rs$  and  $a_{n+1} = \dots = a_k = 0$ . Observe that  $n - 1 = (s - a_0 - a_n)/(rs)$ .

Now we have

$$\begin{aligned} m(a_0 + ca_1^\delta + \dots + ca_k^\delta) &= ma_0 + mc(n-1)(rs)^\delta + mca_n^\delta \\ &= ma_0 + mc(s - a_0 - a_n)(rs)^{\delta-1} + mca_n^\delta \\ &\leq ma_0 + mc(s - a_0)(rs)^{\delta-1} \\ &\leq ca_0 + c(s - a_0)s^{\delta-1} \\ &\leq cs^\delta \end{aligned}$$

where the first inequality holds since  $a_n \leq rs$ , so  $mca_n^\delta \leq mca_n(rs)^{\delta-1}$ ; the second inequality holds by the assumptions  $c \geq m$  and  $r = m^{-1/(\delta-1)}$ ; and the third inequality holds since  $s^{\delta-1} \geq 1$ .  $\square$

**Lemma 8.** *Let  $a_1, \dots, a_k \geq 0$  and  $\delta \geq 1$  be real numbers. Let  $r \in (0, 1)$ . If  $s = \sum_{i=1}^k a_i$  and  $a_i \leq rs$  for all  $1 \leq i \leq k$ , then*

$$a_1^\delta + \dots + a_k^\delta \leq r^{\delta-1} s^\delta.$$

*Proof.* As in the previous lemma, we only need to prove the case where  $a_1 = \dots + a_{n-1} = rs$  and  $a_{n+1} = \dots = a_k = 0$ , since all other cases can be sharpened into this one. As before but dropping the  $a_0$ -term,  $n - 1 = (s - a_n)/(rs)$ . The bound is now simple:

$$\begin{aligned} a_1^\delta + \dots + a_k^\delta &= (n-1)(rs)^\delta + a_n^\delta \\ &= (s - a_n)(rs)^{\delta-1} + a_n^\delta \\ &\leq r^{\delta-1} s^\delta. \end{aligned} \quad \square$$