

Structure and supersaturation for intersecting families

József Balogh*

Department of Mathematical Sciences
University of Illinois at Urbana-Champaign
Illinois, U.S.A.

and

Moscow Institute of Physics and Technology
9 Institutskiy per.
Dolgoprudny
Moscow Region, 141701
Russian Federation
jobal@illinois.edu

Shagnik Das†

Institut für Mathematik
Freie Universität Berlin
Berlin, Germany

shagnik@mi.fu-berlin.de

Hong Liu‡ Maryam Sharifzadeh§

Mathematics Institute
University of Warwick
Coventry, U.K.

{h.liu.9,m.sharifzadeh}@warwick.ac.uk

Tuan Tran¶

Department of Mathematics
ETH
Zürich, Switzerland

manh.tran@math.ethz.ch

Submitted: Feb 22, 2018; Accepted: Sep 17, 2018; Published: May 31, 2019

© The authors. Released under the CC BY-ND license (International 4.0).

Abstract

The extremal problems regarding the maximum possible size of intersecting families of various combinatorial objects have been extensively studied. In this paper, we investigate supersaturation extensions, which in this context ask for the minimum number of disjoint pairs that must appear in families larger than the extremal threshold. We study the minimum number of disjoint pairs in families of permutations and in k -uniform set families, and determine the structure of the optimal

*Partially supported by NSF Grant DMS-1500121 and by the Langan Scholar Fund (UIUC).

†Supported by GIF grant G-1347-304.6/2016.

‡Supported by the Leverhulme Trust Early Career Fellowship ECF-2016-523.

§Supported by ERC grant 306493 and the European Union's Horizon 2020 research and innovation programme under the Marie Curie grant agreement No 752426.

¶Supported by the Alexander Humboldt Foundation, and by the GACR grant GJ16-07822Y, with institutional support RVO:67985807.

families. Our main tool is a removal lemma for disjoint pairs. We also determine the typical structure of k -uniform set families without matchings of size s when $n \geq 2sk + 38s^4$, and show that almost all k -uniform intersecting families on vertex set $[n]$ are trivial when $n \geq (2 + o(1))k$.

Mathematics Subject Classifications: 05C30, 05C65, 05D05

1 Introduction

Determining the size of intersecting families of discrete objects is a line of research with a long history, originating in extremal set theory. A set family is *intersecting* if any two of its sets share a common element. A classic result of Erdős, Ko and Rado [20] from 1961 states that when $n \geq 2k$, the size of the largest intersecting k -uniform set family over $[n]$ is $\binom{n-1}{k-1}$. Furthermore, when $n \geq 2k + 1$, the only extremal configurations are the *trivial* families, where all edges contain a given element. This fundamental theorem has since inspired a great number of extensions and variations.

A recent trend in extremal combinatorics is to study the supersaturation extension of classic results. This problem, sometimes referred to as the Erdős–Rademacher problem, asks for the number of forbidden substructures that must appear in a configuration larger than the extremal threshold. We often observe an interesting phenomenon: while the extremal result only requires one forbidden substructure to appear, we usually find several. The first such line of research extended Mantel’s Theorem [37], which states that an n -vertex triangle-free graph can have at most $\lfloor n^2/4 \rfloor$ edges. Rademacher (unpublished) showed that one additional edge would force the appearance of at least $\lfloor n/2 \rfloor$ triangles. Determining the number of triangles in larger graphs attracted a great deal of attention, starting with the works of Erdős [17, 18] and Lovász and Simonovits [36] and culminating in the asymptotic solution due to Razborov [42] and the recent exact solution determined by Liu, Pikhurko and Staden [33]. Supersaturation problems have since been studied in various contexts; examples include extremal graph theory [2, 30, 38, 39, 41, 43], extremal set theory [5, 9, 13, 31, 45], poset theory [4, 40, 46], and group theory [8, 27, 47].

The first result of our paper concerns supersaturation for the extension of the Erdős–Ko–Rado Theorem to families of permutations. A pair of permutations $\sigma, \pi \in S_n$ is said to be *intersecting* if $\{i \in [n] : \pi(i) = \sigma(i)\} \neq \emptyset$, and *disjoint* otherwise. A family $\mathcal{F} \subseteq S_n$ is *intersecting* if every pair of permutations in the family is. A natural construction of an intersecting family is to fix some pair $i, j \in [n]$, and take all permutations that map i to j ; we call this a *coset*, and denote it by $\mathcal{T}_{(i,j)}$. Observe that $|\mathcal{T}_{(i,j)}| = (n-1)!$, and Deza and Frankl [12] showed that this is the largest possible size of an intersecting family in S_n .

In the corresponding supersaturation problem, we seek to determine how many disjoint pairs of permutations must appear in larger families. We write $\text{dp}(\mathcal{F})$ for the number of disjoint pairs of permutations in a family $\mathcal{F} \subseteq S_n$. By the Deza–Frankl Theorem, when $|\mathcal{F}| \leq (n-1)!$, we need not have any disjoint pairs in \mathcal{F} , while for $|\mathcal{F}| > (n-1)!$, $\text{dp}(\mathcal{F})$ must be positive.

One might expect a family of permutations with the minimum number of disjoint pairs

to contain large intersecting subfamilies, and a candidate construction is therefore the union of an appropriate number of cosets. However, these unions are not isomorphic, as pairs of cosets can intersect each other differently. Indeed, given pairs $(i_1, j_1) \neq (i_2, j_2) \in [n]^2$, we have $\mathcal{T}_{(i_1, j_1)} \cap \mathcal{T}_{(i_2, j_2)} = \{\pi \in S_n : \pi(i_1) = j_1 \text{ and } \pi(i_2) = j_2\}$, which is empty if $i_1 = i_2$ or $j_1 = j_2$, and has size $(n - 2)!$ otherwise. To fit the family within as few cosets as possible, we should take the cosets to be pairwise-disjoint, motivating the following definition.

Definition 1 (The family $\mathcal{T}(n, s)$). Writing $\pi = (\pi(1) \ \pi(2) \ \dots \ \pi(n))$, we can equip S_n with the lexicographic ordering, where $\pi < \sigma$ if there is some $k \in [n]$ such that $\pi(k) < \sigma(k)$ and $\pi(i) = \sigma(i)$ for all $i < k$. Then, given any $0 \leq s \leq n!$, we denote by $\mathcal{T}(n, s)$ the first s permutations under this ordering.

In particular, if $s = \ell(n - 1)! + r$ for some $0 \leq \ell \leq n$ and $0 \leq r < (n - 1)!$, the family $\mathcal{T}(n, s)$ contains the pairwise-disjoint cosets $\mathcal{T}_{(1, j)}$ for $1 \leq j \leq \ell$, together with r further permutations from the disjoint coset $\mathcal{T}_{(1, \ell+1)}$.

Our first result shows, for certain ranges of family sizes s , that these families indeed minimise $\text{dp}(\mathcal{F})$ over all families $\mathcal{F} \subseteq S_n$ with $|\mathcal{F}| = s$.

Theorem 2. *There exists a constant $c > 0$ such that the following holds. Let n, k and s be positive integers such that $k \leq cn^{1/2}$, and $s = (k + \varepsilon)(n - 1)!$ for some real ε with $|\varepsilon| \leq ck^{-3}$. Then any family $\mathcal{F} \subseteq S_n$ with $|\mathcal{F}| = s$ satisfies $\text{dp}(\mathcal{F}) \geq \text{dp}(\mathcal{T}(n, s))$.*

We next consider the supersaturation extension of the original Erdős–Ko–Rado Theorem, where one seeks to minimise the number of disjoint pairs of sets in a k -uniform family of s subsets of $[n]$. Bollobás and Leader [7] provided, for every s , a family of constructions known as the ℓ -balls, and conjectured that for some $1 \leq \ell \leq k$, an ℓ -ball is optimal for the supersaturation problem. In particular, when $\ell = 1$, the construction is an initial segment of the lexicographic ordering.

Denote by $\binom{[n]}{k}$ the family of all k -element subsets of $[n]$. Letting $\mathcal{L}(n, k, s)$ be the initial segment of the first s sets in $\binom{[n]}{k}$, we write $\text{dp}(n, k, s)$ for $\text{dp}(\mathcal{L}(n, k, s))$, where again $\text{dp}(\mathcal{F})$ is the number of disjoint pairs in a set family \mathcal{F} . Das, Gan and Sudakov [10] proved that if $n > 108(k^3r + k^2r^2)$ and $s \leq \binom{n}{k} - \binom{n-r}{k}$, then for any family $\mathcal{F} \subseteq \binom{[n]}{k}$ of size s , $\text{dp}(\mathcal{F}) \geq \text{dp}(n, k, s)$. That is, when n is sufficiently large and the families are of small size, the initial segments of the lexicographic order minimise the number of disjoint pairs, confirming the Bollobás–Leader conjecture in this range.

Note that, for fixed r , the result in [10] requires $k = O(n^{1/3})$. Frankl, Kohayakawa and Rödl [23] showed that initial segments of the lexicographic order are *asymptotically* optimal even for larger uniformities k . In our next result, we extend the exact results to larger k as well, showing that the lexicographic initial segments are still optimal when $k = O(n^{1/2})$.

Theorem 3. *There is some absolute constant C such that if $n \geq Ck^2r^3$ and $s \leq \binom{n}{k} - \binom{n-r}{k}$, then any family $\mathcal{F} \subseteq \binom{[n]}{k}$ with $|\mathcal{F}| = s$ satisfies $\text{dp}(\mathcal{F}) \geq \text{dp}(n, k, s)$; that is, $\mathcal{L}(n, k, s)$ minimises the number of disjoint pairs.*

With our next results, we address a different variation of classic extremal problems. Rather than considering the supersaturation phenomenon, we describe the typical structure of set families with a given property, showing that almost all such families are subfamilies of the trivial extremal constructions.

We first consider the famous Erdős Matching Conjecture concerning the largest k -uniform set families over a ground set of size n that have no matching of size s . There are two constructions that trivially avoid a matching of size s : a clique on $ks - 1$ vertices, and the family of all edges intersecting a set of size $s - 1$. In [19], Erdős conjectured that one of these constructions is always optimal.

Conjecture 4 (Erdős [19], 1965). Given integers n, k and s , let $\mathcal{F} \subseteq \binom{[n]}{k}$ be a set family with no matching of size s . Then

$$|\mathcal{F}| \leq \max \left(\binom{ks - 1}{k}, \binom{n}{k} - \binom{n - s + 1}{k} \right).$$

Frankl [22] proved the conjecture in the range $n \geq (2s - 1)k - s + 1$, showing that the extremal families can be covered by $s - 1$ elements. Adapting the methods of Balogh et al. [3], we show that a slightly larger lower bound on n guarantees that almost all families without a matching of size s have a cover of size $s - 1$.

Theorem 5. *Let $n, k \geq 3$ and $s \geq 2$ be integers with $n \geq 2sk + 38s^4$. Then the number of subfamilies of $\binom{[n]}{k}$ with no matching of size s is $(\binom{n}{s-1} + o(1)) 2^{\binom{n}{k} - \binom{n-s+1}{k}}$, where the term $o(1)$ tends to 0 as $n \rightarrow \infty$.*

The $s = 2$ case corresponds to intersecting families. In this case, Balogh et al. [3] showed that when $n \geq (3 + o(1))k$, almost all intersecting families are trivial. Our final result improves the required bound on n to the asymptotically optimal $n \geq (2 + o(1))k$. Indeed, when $n = 2k$, then the number of intersecting families is $3^{\frac{1}{2}\binom{n}{k}} = 3^{\binom{n-1}{k-1}}$, since we can freely choose at most one set from each complementary pair of k -sets $\{A, [n] \setminus A\}$.

Theorem 6. *There exists a positive constant C such that for $k \geq 2$ and $n \geq 2k + C\sqrt{k \ln k}$, almost all intersecting families in $\binom{[n]}{k}$ are trivial. In particular, the number of intersecting families in $\binom{[n]}{k}$ is $(n + o(1))2^{\binom{n-1}{k-1}}$, where the term $o(1)$ tends to 0 as $n \rightarrow \infty$.*

Remark: During the preparation of this paper, Theorem 6 (with a superior constant $C = 2$) was proven independently by Frankl and Kupavskii [25] using different methods.

Outline and notation. The rest of the paper is organised as follows. We discuss families of permutations in Section 2, in particular proving the supersaturation result of Theorem 2. Section 3 is devoted to supersaturation for set families and the proof of Theorem 3. In Section 4, we address the typical structure of families, proving Theorems 5 and 6. Section 5 contains some concluding remarks, including a counterexample to the Bollobás–Leader conjecture.

We use standard set-theoretic and asymptotic notation. We write $\binom{X}{k}$ for the family of all k -element subsets of a set X . Given two functions f and g of some underlining parameter n , if $\lim_{n \rightarrow \infty} f(n)/g(n) = 0$, we write $f = o(g)$. For $a, b, c \in \mathbb{R}_+$, we write $a = b \pm c$ if $b - c \leq a \leq b + c$.

2 Supersaturation for families of permutations

In this section, we study the supersaturation problem concerning the number of disjoint pairs in a family of permutations. Our main tool is a removal lemma for disjoint pairs of permutations, showing that families with relatively few disjoint pairs are close to unions of cosets. We start by collecting some basic facts.

2.1 The derangement graph

Let S_n be the symmetric group on $[n]$. A permutation $\tau \in S_n$ is called a *derangement* if $\tau(i) \neq i$ for every $i \in [n]$. Let \mathcal{D}_n be the set of all derangements in S_n . Denote by Γ_n the *derangement graph* on S_n , that is, $\sigma \sim \pi$ if $\sigma \cdot \tau = \pi$ for some $\tau \in \mathcal{D}_n$. In other words, σ and τ are adjacent in Γ_n if and only if they are disjoint.

We denote by d_n the number of derangements in S_n . By construction, Γ_n is a d_n -regular graph. A standard application of the inclusion–exclusion principle shows

$$d_n = |\mathcal{D}_n| = n! \sum_{i=0}^n \frac{(-1)^i}{i!} \sim \frac{n!}{e}.$$

We also introduce the notation $D_n = d_n + d_{n-1}$, which we will use to keep track of disjoint pairs in certain subgraphs of the derangement graph.

For instance, consider the subgraph of Γ_n induced by two disjoint cosets $\mathcal{T}_{(i_1,j)}$ and $\mathcal{T}_{(i_2,j)}$. Since the cosets are intersecting families, they are independent sets in Γ_n , and so $\Gamma_n[\mathcal{T}_{(i_1,j)}, \mathcal{T}_{(i_2,j)}]$ is bipartite. For any $\sigma \in \mathcal{T}_{(i_1,j)}$ and any neighbour $\pi = \sigma \cdot \tau$, where $\tau \in \mathcal{D}_n$, we have $\pi \in \mathcal{T}_{(i_2,j)}$ if and only if $\tau(i_2) = i_1$. It is straightforward to see that there are d_{n-2} such derangements τ with $\tau(i_1) = i_2$ and d_{n-1} derangements with $\tau(i_1) \neq i_2$. As a result, every vertex of the bipartite graph has the same degree $d_{n-2} + d_{n-1} = D_{n-1}$.

Repeating this argument for all the cosets of the form $\mathcal{T}_{(i',j)}$ gives the recurrence relation

$$d_n = (n-1)D_{n-1} = (n-1)(d_{n-1} + d_{n-2}). \quad (1)$$

For our investigation we shall need some information on the spectrum of the derangement graph Γ_n . Since Γ_n is d_n -regular, its largest eigenvalue λ_0 is d_n with constant eigenvector $\vec{\mathbf{1}} = (1 \ \dots \ 1)$. We shall order the eigenvalues $\{\lambda_0, \lambda_1, \dots, \lambda_{n-1}\}$ in a (perhaps non-standard) way, so that $d_n = |\lambda_0| \geq |\lambda_1| \geq |\lambda_2| \geq \dots \geq |\lambda_{n-1}|$. Rentner [44] showed $\lambda_1 = -d_n/(n-1)$, while Ellis [14] proved there is some positive constant K such that

$$|\lambda_2| \leq K d_n / n^2. \quad (2)$$

Furthermore, as shown by Ellis, Friedgut and Pilpel [16], the span of the λ_0 - and λ_1 -eigenspaces is $U_1 = \text{span}\{\mathbb{1}_{\mathcal{T}_{(i,j)}} : i, j \in [n]\}$, the span of the characteristic vectors of the cosets.

2.2 A removal lemma

For any integer $s > \frac{1}{2}(n-1)!$, there are unique $k \in \mathbb{N}$ and $\varepsilon \in (-\frac{1}{2}, \frac{1}{2}]$ such that $s = (k + \varepsilon)(n-1)!$. For this choice of s , the family $\mathcal{T}(n, s)$ from Definition 1 is a subfamily of S_n consisting of $\lfloor k + \varepsilon \rfloor$ pairwise disjoint cosets and $(k + \varepsilon - \lfloor k + \varepsilon \rfloor)(n-1)!$ permutations from another disjoint coset. Hence,

$$\begin{aligned} \text{dp}(\mathcal{T}(n, s)) &= \left(\binom{\lfloor k + \varepsilon \rfloor}{2} + \lfloor k + \varepsilon \rfloor(k + \varepsilon - \lfloor k + \varepsilon \rfloor) \right) (n-1)!D_{n-1} \\ &= \left(\binom{k}{2} + \left(k - \frac{1}{2}\right)\varepsilon + \frac{1}{2}|\varepsilon| \right) (n-1)!D_{n-1}, \end{aligned} \quad (3)$$

as $\text{dp}(\mathcal{F}) = e(\Gamma_n[\mathcal{F}])$, and the bipartite subgraphs of Γ_n induced by disjoint cosets are D_{n-1} -regular.

We will now prove a removal lemma for disjoint pairs of permutations, which states that any family $\mathcal{F} \subseteq S_n$ of size $s \approx k(n-1)!$ with $\text{dp}(\mathcal{F}) \approx \text{dp}(\mathcal{T}(n, s))$ must be ‘close’ to a union of k cosets.

Lemma 7. *There exist positive constants C and c such that the following holds for sufficiently large n . Let $1 \leq k < n/2$ be an integer, and let $\varepsilon \in \mathbb{R}$ and $\beta \in \mathbb{R}_+$ be such that $\max\{|\varepsilon|, \beta\} \leq ck$. If $\mathcal{F} \subseteq S_n$ is a family of size $s = (k + \varepsilon)(n-1)!$ and $\text{dp}(\mathcal{F}) \leq \text{dp}(\mathcal{T}(n, s)) + \beta(n-1)!D_{n-1}$, then there is some union \mathcal{G} of k cosets with the property that*

$$|\mathcal{F} \Delta \mathcal{G}| \leq Ck^2 \left(\frac{1}{n} + \sqrt{\frac{6(|\varepsilon| + \beta)}{k}} \right) (n-1)!.$$

In the proof of Lemma 7 we shall use a stability result due to Ellis, Filmus and Friedgut [15, Theorem 1]. To state their theorem we need some additional notation. We equip S_n with the uniform distribution. Then, for any function $f : S_n \rightarrow \mathbb{R}$, the expected value of f is defined by $\mathbb{E}[f] = \frac{1}{n!} \sum_{\sigma \in S_n} f(\sigma)$. The inner product of two functions $f, g : S_n \rightarrow \mathbb{R}$ is defined as $\langle f, g \rangle = \mathbb{E}[fg] = \frac{1}{n!} \sum_{\sigma \in S_n} f(\sigma)g(\sigma)$; this induces the norm $\|f\| = \sqrt{\langle f, f \rangle}$. Given $c > 0$, let $\text{round}(c)$ denote the nearest integer to c .

Theorem 8 (Ellis, Filmus and Friedgut). *There exist positive constants C_0 and δ_0 such that the following holds. Let \mathcal{F} be a subfamily of S_n with $|\mathcal{F}| = \alpha(n-1)!$ for some $\alpha \leq n/2$. Let $f = \mathbb{1}_{\mathcal{F}}$ be the characteristic function of \mathcal{F} and let f_{U_1} be the orthogonal projection of f onto U_1 . If $\mathbb{E}[(f - f_{U_1})^2] = \delta \mathbb{E}[f]$ for some $\delta \leq \delta_0$, then*

$$\mathbb{E}[(f - g)^2] \leq C_0 \alpha^2 (1/n + \delta^{1/2})/n,$$

where g is the characteristic function of a union of $\text{round}(\alpha)$ cosets of S_n .

We now derive the removal lemma from Theorem 8.

Proof of Lemma 7. Set $c = \min\{\frac{\delta_0}{12}, \frac{1}{2}\}$ and $C = 3C_0$, where δ_0 and C_0 are the positive constants from Theorem 8. Let f be the characteristic vector of \mathcal{F} . Write $f = f_0 + f_1 + f_2$,

where f_i is the projection of f onto the λ_i -eigenspace for $i = 0, 1$. By the orthogonality of the eigenspaces,

$$\|f\|^2 = \|f_0\|^2 + \|f_1\|^2 + \|f_2\|^2. \quad (4)$$

Since f is Boolean,

$$\|f\|^2 = \mathbb{E}[f^2] = \mathbb{E}[f] = \frac{|\mathcal{F}|}{n!} = \frac{k + \varepsilon}{n} \quad \text{and} \quad \|f_0\|^2 = \langle f, \bar{\mathbf{1}} \rangle^2 = \mathbb{E}[f]^2 = \left(\frac{k + \varepsilon}{n}\right)^2. \quad (5)$$

Let A be the adjacency matrix of the derangement graph Γ_n . Then

$$2 \operatorname{dp}(\mathcal{F}) = 2e(\Gamma_n[\mathcal{F}]) = f^T A f = \sum_{i=0}^2 f_i^T A f_i \stackrel{(2)}{\geq} \lambda_0 f_0^T f_0 + \lambda_1 f_1^T f_1 - \frac{K d_n}{n^2} f_2^T f_2.$$

Dividing both sides by $n!$, we obtain the following inequalities when $n \geq 4K$:

$$\begin{aligned} \frac{2 \operatorname{dp}(\mathcal{F})}{n!} &\geq \lambda_0 \|f_0\|^2 + \lambda_1 \|f_1\|^2 - \frac{K d_n}{n^2} \|f_2\|^2 \\ &\stackrel{(4)}{=} \lambda_0 \|f_0\|^2 + \lambda_1 (\|f\|^2 - \|f_0\|^2 - \|f_2\|^2) - \frac{K d_n}{n^2} \|f_2\|^2 \\ &= (\lambda_0 - \lambda_1) \|f_0\|^2 + \lambda_1 \|f\|^2 + \left(|\lambda_1| - \frac{K d_n}{n^2} \right) \|f_2\|^2 \\ &\stackrel{(5)}{\geq} \frac{n d_n}{n-1} \cdot \left(\frac{k + \varepsilon}{n}\right)^2 - \frac{d_n}{n-1} \cdot \left(\frac{k + \varepsilon}{n}\right) + \frac{3 d_n}{4(n-1)} \cdot \|f_2\|^2 \\ &= \frac{d_n}{n(n-1)} \cdot (k + \varepsilon)(k + \varepsilon - 1) + \frac{3 d_n}{4(n-1)} \cdot \|f_2\|^2 \\ &\stackrel{(1)}{=} \frac{2 D_{n-1}}{n} \cdot \left(\binom{k}{2} + \left(k - \frac{1}{2}\right) \varepsilon + \frac{\varepsilon^2}{2} \right) + \frac{3}{4} D_{n-1} \cdot \|f_2\|^2. \end{aligned} \quad (6)$$

On the other hand, by assumption we have

$$\operatorname{dp}(\mathcal{F}) \leq \operatorname{dp}(\mathcal{T}(n, s)) + \beta(n-1)! D_{n-1} \stackrel{(3)}{=} \left(\binom{k}{2} + \left(k - \frac{1}{2}\right) \varepsilon + \frac{1}{2} |\varepsilon| + \beta \right) (n-1)! D_{n-1}.$$

Combined with (6), we get

$$\|f_2\|^2 \leq \frac{4}{3} \cdot \frac{|\varepsilon| + 2\beta - \varepsilon^2}{n} \leq \frac{3(|\varepsilon| + \beta)}{n}. \quad (7)$$

Moreover, $\mathbb{E}[f] = \frac{k + \varepsilon}{n} \geq \frac{k}{2n}$, as $|\varepsilon| \leq ck \leq \frac{k}{2}$. Therefore,

$$\mathbb{E}[(f - f_{U_1})^2] = \mathbb{E}[(f - f_0 - f_1)^2] = \|f_2\|^2 \leq \frac{6(|\varepsilon| + \beta)}{k} \cdot \mathbb{E}[f].$$

Since $\frac{6(|\varepsilon|+\beta)}{k} \leq 12c \leq \delta_0$, we may apply Theorem 8 to conclude that there exists a union \mathcal{G} of k cosets in S_n such that

$$\mathbb{E}[(f - \mathbb{1}_{\mathcal{G}})^2] \leq \frac{C_0(k + \varepsilon)^2}{n} \left(\frac{1}{n} + \sqrt{\frac{6(|\varepsilon| + \beta)}{k}} \right) \leq \frac{Ck^2}{n} \left(\frac{1}{n} + \sqrt{\frac{6(|\varepsilon| + \beta)}{k}} \right).$$

This gives $|\mathcal{F}\Delta\mathcal{G}| = \mathbb{E}[(f - \mathbb{1}_{\mathcal{G}})^2] \cdot n! \leq Ck^2 \left(\frac{1}{n} + \sqrt{\frac{6(|\varepsilon| + \beta)}{k}} \right) (n - 1)!$, completing our proof. \square

We will use this removal lemma to prove Theorem 2 (a supersaturation result for disjoint pairs in S_n) in Subsection 2.4. However, from the proof above we can immediately deduce that for any¹ $1 \leq k \leq n$, the union of k pairwise disjoint cosets minimises the number of disjoint pairs among all families of $k(n - 1)!$ permutations.

Proposition 9. *For any positive integers $1 \leq k \leq n$, the family $\mathcal{T} = \cup_{j=1}^k \mathcal{T}_{(1,j)}$ minimises the number of disjoint pairs over all families $\mathcal{F} \subseteq S_n$ of size $k(n - 1)!$.*

Proof. Let $\mathcal{F} \subseteq S_n$ be an extremal family of size $k(n - 1)!$, and let $f = \mathbb{1}_{\mathcal{F}}$. By (3), we must have $\text{dp}(\mathcal{F}) \leq \text{dp}(\mathcal{T}) = \binom{k}{2}(n - 1)!D_{n-1}$. Hence, as in the proof of Lemma 7, we can use (7) with $\varepsilon = \beta = 0$, and so $\|f_2\|^2 = 0$. It follows from (6) that $\text{dp}(\mathcal{F}) \geq \binom{k}{2}(n - 1)!D_{n-1} = \text{dp}(\mathcal{T})$, showing that \mathcal{T} minimises the number of disjoint pairs. \square

2.3 Intersection graphs

The removal lemma states that families with relatively few disjoint pairs must be close to unions of cosets. While this describes their large-scale structure, it falls short of determining the finer details of such families. As we have observed previously, certain pairs of cosets are disjoint, while other pairs share a small number of permutations. In order to keep track of this information, we introduce the notion of an intersection graph.

Given a union $\mathcal{G} = \mathcal{T}_{(i_1,j_1)} \cup \dots \cup \mathcal{T}_{(i_k,j_k)}$ of k different cosets in S_n , its *intersecting graph* is the graph with vertex set $\{(i_1, j_1), \dots, (i_k, j_k)\}$ and edges between pairs corresponding to cosets with non-empty intersection. As remarked before Definition 1, we therefore have $(i, j) \sim (i', j')$ if and only if $i \neq i'$ and $j \neq j'$; that is, when these vertices do not lie on an axis-aligned line in \mathbb{Z}^2 .

For Theorem 2, we need to show that pairwise disjoint cosets minimise the number of disjoint pairs. To that end, we call a union \mathcal{G} of k cosets *canonical* if at least $k - 1$ of its cosets are pairwise disjoint. In terms of the intersection graph G of \mathcal{G} , this means there is an axis-aligned line containing at least $v(G) - 1$ vertices. For example, when $s = k(n - 1)!$, the lexicographic family $\mathcal{T}(n, s)$ is canonical, as all the vertices (i, j) of its intersection graph lie on the line $i = 1$.

Our next proposition, central to the proof of Theorem 2, describes how the intersection graph of a union \mathcal{G} of cosets can be used to bound the size of \mathcal{G} and the number of disjoint

¹In the proof of Lemma 7, we used that n was sufficiently large to bound $\frac{Kd_n}{n^2}\|f_2\|^2$. However, in Proposition 9, we have $\|f_2\|^2 = 0$, and so do not require n to be large.

pairs it is involved in. For this we require some further notation. Given a graph G and an integer $t \geq 1$, we denote by $k_t(G)$ the number of t -cliques in G . In particular, we have $k_1(G) = v(G)$ and $k_2(G) = e(G)$. When the graph G is clear from context, we write k_t for $k_t(G)$.

Proposition 10. *There is some $c > 0$ such that if, for $2 \leq k_1 \leq cn^{1/2}$, \mathcal{G} is the union of k_1 cosets in S_n with intersection graph G , then the following hold:*

- (a) $|\mathcal{G}| = k_1(n-1)! - k_2(n-2)! + k_3(n-3)! \pm k_4(n-4)!$,
- (b) $\text{dp}(\pi, \mathcal{G}) = k_1 D_{n-1} - k_2 D_{n-2} \pm 3k_1 k_2 (n-3)!$ for every $\pi \in S_n \setminus \mathcal{G}$,
- (c) $\text{dp}(\mathcal{G}) = \binom{k_1}{2} (n-1)! D_{n-1} - (k_1-1)k_2(n-2)! D_{n-1} \pm 2k_1^2 k_2 (n-1)!(n-3)!$, and
- (d) $\text{dp}(\mathcal{G}) \geq \text{dp}(\mathcal{T}(n, |\mathcal{G}|))$, with equality if and only if \mathcal{G} is canonical.

The proof of Proposition 10, though elementary, is rather technical, involving careful and repeated application of the Bonferroni inequalities to estimate the number of permutations in a union of cosets that are disjoint from a given permutation. We therefore defer the proof to Appendix A, and instead proceed to show how the proposition can be combined with Lemma 7 to prove Theorem 2.

2.4 Supersaturation

Here we prove Theorem 2. Our strategy is to use Lemma 7 to reduce the statement to the case when \mathcal{F} is a union of some cosets in S_n , and then apply Proposition 10 to obtain the desired lower bound on the number of disjoint pairs.

Proof of Theorem 2. Let c_7 and C_7 be the positive constants from Lemma 7, and set

$$c = \min \{c_7, 10^{-5}C_7^{-2}, 10^{-2}\}.$$

Now letting n, k and ε be as in the statement of the theorem, let $\mathcal{F} \subseteq S_n$ be an extremal family of $s = (k + \varepsilon)(n-1)!$ permutations. In the first part of our proof, we establish Claim 11, a rough structural result for \mathcal{F} .

Claim 11. *Either \mathcal{F} contains k cosets or \mathcal{F} is contained in a union of k cosets.*

Proof. Since $|\varepsilon| \leq ck^{-3} \leq c_7$ and $\text{dp}(\mathcal{F}) \leq \text{dp}(\mathcal{T}(n, s))$ by the extremality of \mathcal{F} , we may apply Lemma 7 to \mathcal{F} with $\beta_7 = 0$ to find a union $\mathcal{G} = \bigcup_{i=1}^k \mathcal{T}_i$ of k cosets in S_n such that

$$|\mathcal{F} \Delta \mathcal{G}| \leq C_7 k^2 \left(\frac{1}{n} + \sqrt{\frac{6|\varepsilon|}{k}} \right) (n-1)!. \tag{8}$$

Let $\mathcal{A} = \mathcal{F} \setminus \mathcal{G}$ and $\mathcal{B} = \mathcal{G} \setminus \mathcal{F}$. We may assume that $\mathcal{A} \neq \emptyset$ and $\mathcal{B} \neq \emptyset$, otherwise either $\mathcal{F} \subseteq \mathcal{G}$ or $\mathcal{G} \subseteq \mathcal{F}$ as claimed. We shall show that if the permutations in \mathcal{A} are replaced by those in \mathcal{B} , the number of disjoint pairs decreases, which then contradicts the

extremality of \mathcal{F} . Fix two arbitrary permutations $\sigma \in \mathcal{A}$ and $\pi \in \mathcal{B}$. It suffices to show that $\text{dp}(\sigma, \mathcal{F}) > \text{dp}(\pi, \mathcal{F})$.

First, using (8), $|\varepsilon| \leq ck^{-3}$, $k \leq cn^{1/2}$ and $c \leq 10^{-5}C_7^{-2}$, we see that

$$|\mathcal{A}| + |\mathcal{B}| = |\mathcal{F} \Delta \mathcal{G}| \leq 0.02(n-1)! \tag{9}$$

Recall that any two cosets in S_n have at most $(n-2)!$ elements in common, and that a permutation is disjoint from D_{n-1} other permutations in any coset not containing it. Since $D_{n-1} = d_{n-1} + d_{n-2} = (e^{-1} + o(1))(n-1)!$ and $k \leq cn^{1/2} \leq 10^{-2}n^{1/2}$, we have

$$\begin{aligned} \text{dp}(\sigma, \mathcal{F}) &\geq \text{dp}(\sigma, \mathcal{G} \setminus \mathcal{B}) \geq \text{dp}(\sigma, \mathcal{G}) - |\mathcal{B}| \geq \sum_{i=1}^k \text{dp}(\sigma, \mathcal{T}_i) - \sum_{i < j} |\mathcal{T}_i \cap \mathcal{T}_j| - |\mathcal{B}| \\ &\stackrel{(9)}{\geq} kD_{n-1} - \binom{k}{2}(n-2)! - 0.02(n-1)! > (k-0.1)D_{n-1}. \end{aligned}$$

On the other hand, π is contained in \mathcal{G} , and thus can have disjoint pairs to at most $k-1$ of the cosets in \mathcal{G} . Hence,

$$\begin{aligned} \text{dp}(\pi, \mathcal{F}) &= \text{dp}(\pi, \mathcal{F} \cap \mathcal{G}) + \text{dp}(\pi, \mathcal{F} \setminus \mathcal{G}) = \text{dp}(\pi, \mathcal{G} \setminus \mathcal{B}) + \text{dp}(\pi, \mathcal{A}) \\ &\leq (k-1)D_{n-1} + |\mathcal{A}| \stackrel{(9)}{<} (k-0.2)D_{n-1} < \text{dp}(\sigma, \mathcal{F}). \square \end{aligned}$$

Next, we combine this claim with Proposition 10 to bound $\text{dp}(\mathcal{F})$ from below and finish the proof. We consider two cases, depending on the sign of ε .

Case 1: $\varepsilon \leq 0$. We have shown in Claim 11 that either $\mathcal{F} \supseteq \mathcal{G}$ or $\mathcal{F} \subseteq \mathcal{G}$, where \mathcal{G} is a union of some k cosets. Let $t = |\mathcal{G}|$.

We first treat the case $\mathcal{F} \supseteq \mathcal{G}$. Observe that since $t \leq s$, $\mathcal{T}(n, t) \subseteq \mathcal{T}(n, s)$, and from Proposition 10(d) we have $\text{dp}(\mathcal{G}) \geq \text{dp}(\mathcal{T}(n, t))$. Since $s = (k+\varepsilon)(n-1)! \leq k(n-1)!$, the family $\mathcal{T}(n, s)$ is contained in a union of k disjoint cosets in S_n . Hence $\text{dp}(\pi, \mathcal{T}(n, s)) \leq (k-1)D_{n-1}$ for every $\pi \in \mathcal{T}(n, s) \setminus \mathcal{T}(n, t)$, and there are $|\mathcal{F} \setminus \mathcal{G}|$ such permutations π .

Moreover, as \mathcal{G} is a union of k cosets, we have

$$\text{dp}(\sigma, \mathcal{G}) \geq kD_{n-1} - \binom{k}{2}(n-2)! > (k-0.5)D_{n-1}$$

for each $\sigma \in \mathcal{F} \setminus \mathcal{G}$, and there are again $|\mathcal{F} \setminus \mathcal{G}|$ such permutations σ . Altogether, we deduce that, as required,

$$\text{dp}(\mathcal{F}) \geq \text{dp}(\mathcal{G}) + \sum_{\sigma \in \mathcal{F} \setminus \mathcal{G}} \text{dp}(\sigma, \mathcal{G}) > \text{dp}(\mathcal{T}(n, t)) + \sum_{\substack{\pi \in \mathcal{T}(n, s) \\ \pi \notin \mathcal{T}(n, t)}} \text{dp}(\pi, \mathcal{T}(n, s)) \geq \text{dp}(\mathcal{T}(n, s)).$$

We next deal with the case $\mathcal{F} \subseteq \mathcal{G}$. It is convenient to think of \mathcal{F} as a family obtained by removing permutations in \mathcal{G} one by one. Since \mathcal{G} is a union of k cosets in S_n , the number of disjoint pairs is decreased by at most $(k-1)D_{n-1}$ each time. Following the

same process for the family $\mathcal{T}(n, t)$, we see that the number of disjoint pairs is decreased by exactly $(k - 1)D_{n-1}$ each time we remove a permutation from the last coset in $\mathcal{T}(n, t)$. Moreover, at the beginning of the process, $\text{dp}(\mathcal{G}) \geq \text{dp}(\mathcal{T}(n, t))$ by Proposition 10(d). Thus

$$\text{dp}(\mathcal{F}) \geq \text{dp}(\mathcal{G}) - (t - s)(k - 1)D_{n-1} \geq \text{dp}(\mathcal{T}(n, t)) - (t - s)(k - 1)D_{n-1} = \text{dp}(\mathcal{T}(n, s)),$$

completing the proof in Case 1.

Case 2: $\varepsilon > 0$. This case will be handled rather differently. Since $\varepsilon > 0$, formula (3) gives

$$\text{dp}(\mathcal{T}(n, s)) = \left(\binom{k}{2} + k\varepsilon \right) (n - 1)!D_{n-1}. \quad (10)$$

Also, as $|\mathcal{F}| = (k + \varepsilon)(n - 1)! > k(n - 1)!$, Claim 11 shows that $\mathcal{F} = \mathcal{G} \sqcup \mathcal{H}$, where \mathcal{G} is a union of k (not necessarily disjoint) cosets in S_n .

If \mathcal{G} is a union of k disjoint cosets, then

$$\text{dp}(\mathcal{F}) = \text{dp}(\mathcal{G}) + \text{dp}(\mathcal{H}, \mathcal{G}) + \text{dp}(\mathcal{H}) \geq \text{dp}(\mathcal{G}) + \text{dp}(\mathcal{H}, \mathcal{G}) = \text{dp}(\mathcal{T}(n, s)),$$

where equality holds if and only if $\text{dp}(\mathcal{H}) = 0$, that is, \mathcal{H} is intersecting.

It remains to verify that $\text{dp}(\mathcal{F}) \geq \text{dp}(\mathcal{T}(n, s))$ when the k cosets of \mathcal{G} are not pairwise disjoint. In this scenario we in fact have a strict inequality. Indeed, let G be the intersection graph of \mathcal{G} . We shall use the inequality $\text{dp}(\mathcal{F}) \geq \text{dp}(\mathcal{G}) + \text{dp}(\mathcal{H}, \mathcal{G})$ to lower bound $\text{dp}(\mathcal{F})$. By Proposition 10(c), we have

$$\text{dp}(\mathcal{G}) = \binom{k}{2} (n - 1)!D_{n-1} - (k - 1)k_2(n - 2)!D_{n-1} \pm 2k^2k_2(n - 1)!(n - 3)!. \quad (11)$$

We next estimate the number of disjoint pairs between \mathcal{H} and \mathcal{G} . By Proposition 10(b),

$$\text{dp}(\pi, \mathcal{G}) = kD_{n-1} - k_2D_{n-2} \pm 3kk_2(n - 3)!$$

for every $\pi \in \mathcal{H}$. Furthermore, using Proposition 10(a) to estimate $|\mathcal{G}|$ gives

$$|\mathcal{H}| = |\mathcal{F}| - |\mathcal{G}| = \varepsilon(n - 1)! + k_2(n - 2)! \pm 2kk_2(n - 3)!.$$

Therefore, noting that $(n - 1)!D_{n-2} = (n - 2)!D_{n-1} \pm (n - 1)!(n - 3)!$, we get

$$\begin{aligned} \text{dp}(\mathcal{H}, \mathcal{G}) &= (kD_{n-1} - k_2D_{n-2} \pm 3kk_2(n - 3)!) |\mathcal{H}| \\ &= k\varepsilon(n - 1)!D_{n-1} + (k - \varepsilon)k_2(n - 2)!D_{n-1} \pm 3k^2k_2(n - 1)!(n - 3)!. \end{aligned} \quad (12)$$

Combining (10), (11) and (12), and simplifying gives

$$\text{dp}(\mathcal{G}) + \text{dp}(\mathcal{H}, \mathcal{G}) - \text{dp}(\mathcal{T}(n, s)) \geq (1 - \varepsilon)k_2(n - 2)!D_{n-1} - 5k^2k_2(n - 1)!(n - 3)! > 0,$$

since $k_2 \geq 1$, $k \leq cn^{1/2} \leq 10^{-2}n^{1/2}$ and $D_{n-1} \geq (n - 1)!/3$. Thus $\text{dp}(\mathcal{F}) \geq \text{dp}(\mathcal{G}) + \text{dp}(\mathcal{H}, \mathcal{G}) > \text{dp}(\mathcal{T}(n, s))$, completing the proof of Theorem 2. \square

3 Supersaturation for uniform set systems

In this section, we shall prove Theorem 3, but first let us examine $\text{dp}(n, k, s)$. When $\binom{n}{k} - \binom{n-r+1}{k} \leq s \leq \binom{n}{k} - \binom{n-r}{k}$, if we write $s = \binom{n}{k} - \binom{n-r+1}{k} + \gamma \binom{n-r}{k-1}$ where $\gamma \in [0, 1]$, $\mathcal{L}(n, k, s)$ consists of the full stars with centres in $[r-1]$, with a further $\gamma \binom{n-r}{k-1}$ sets from the star with centre r . Let $\mathcal{L}(i) = \{L \in \mathcal{L}(n, k, s) : i \in L\}$ and $\mathcal{L}^*(i) = \{L \in \mathcal{L}(n, k, s) : \min L = i\}$. One can then compute the number of disjoint pairs as

$$\text{dp}(n, k, s) = \sum_{i=1}^{r-1} \text{dp}(\cup_{j>i} \mathcal{L}^*(j), \mathcal{L}^*(i)) = \sum_{i=1}^{r-1} \left(s - \left(\binom{n}{k} - \binom{n-i}{k} \right) \right) \binom{n-i-k}{k-1}. \quad (13)$$

This expression is quite unwieldy, so we shall make use of a few estimates. We first note that any set outside a star has exactly $\binom{n-k-1}{k-1}$ disjoint pairs with the star, so

$$\begin{aligned} \text{dp}(n, k, s) &\leq \sum_{1 \leq i < j \leq r-1} \text{dp}(\mathcal{L}(i), \mathcal{L}(j)) + \sum_{1 \leq i \leq r-1} \text{dp}(\mathcal{L}(i), \mathcal{L}^*(r)) \\ &\leq \left(\binom{r-1}{2} + (r-1)\gamma \right) \binom{n-1}{k-1} \binom{n-k-1}{k-1}. \end{aligned} \quad (14)$$

This is only an upper bound as we overcount disjoint pairs involving sets belonging to multiple stars. For an even simpler upper bound, observe that every set belongs to at least one of the r stars, and is not disjoint from any other set in its star. In the worst case, there are an equal number of sets in each star, with each set disjoint from at most a $(1 - \frac{1}{r})$ -proportion of the family. We thus have

$$\text{dp}(n, k, s) \leq \frac{1}{2} \left(1 - \frac{1}{r} \right) s^2. \quad (15)$$

We shall use these upper bounds on the number of disjoint pairs present in any extremal family.

3.1 Tools

There are two main tools we use in our proof of Theorem 3: a removal lemma for disjoint pairs, and the expander-mixing lemma applied to the Kneser graph. Before proving the theorem, we introduce these tools and explain how we shall use them.

3.1.1 Removal lemma

Using a result of Filmus [21], Das and Tran [11, Theorem 1.2] proved the following removal lemma, showing that large families with few disjoint pairs must be close to a union of stars.

Lemma 12 (Das and Tran). *There is an absolute constant $C > 1$ such that if n, k and ℓ are positive integers satisfying $n > 2k\ell^2$, and $\mathcal{F} \subset \binom{[n]}{k}$ is a family of size $|\mathcal{F}| =$*

$(\ell - \alpha) \binom{n-1}{k-1}$ with at most $(\binom{\ell}{2} + \beta) \binom{n-1}{k-1} \binom{n-k-1}{k-1}$ disjoint pairs, where $\max\{2\ell|\alpha|, |\beta|\} \leq \frac{n-2k}{(20C)^2n}$, then there is a family \mathcal{S} that is the union of ℓ stars satisfying

$$|\mathcal{F}\Delta\mathcal{S}| \leq C((2\ell - 1)\alpha + 2\beta) \frac{n}{n - 2k} \binom{n - 1}{k - 1}.$$

Observe that the bound on the number of disjoint pairs in the lemma is very similar to the upper bound given in (14). Thus one may interpret this result as a stability version of our previous calculation: any family with size similar to the union of $r - 1$ stars without many more disjoint pairs can be made a union of $r - 1$ stars by exchanging only a small number of sets. Given this stability, it is not difficult to show that the lexicographic ordering is optimal in this range.

Corollary 13. *There is some constant $c > 0$ such that if r, k and n are positive integers satisfying $n \geq 2c^{-1}k^2r^3$, and $s = \binom{n}{k} - \binom{n-r+1}{k} + \gamma \binom{n-r}{k-1}$, where $\gamma \in [0, \frac{c}{r}]$, any family $\mathcal{F} \subset \binom{[n]}{k}$ of size s has $\text{dp}(\mathcal{F}) \geq \text{dp}(n, k, s)$.*

Proof. Let C be the constant from Lemma 12 and choose $c = \frac{n-2k}{2(20C)^2n}$. For the given range of s , the lexicographic initial segment has $r - 1$ full stars with one small partial star, so we wish to apply Lemma 12 with $\ell = r - 1$.

Let \mathcal{F} be a subfamily of $\binom{[n]}{k}$ with s sets and the minimum number of disjoint pairs. Note that $s = (\ell + \alpha) \binom{n-1}{k-1}$, where $\gamma - \frac{kr^2}{2n} \leq \alpha \leq \gamma$. In particular, we have $|\alpha| \leq \frac{c}{r}$. By optimality of \mathcal{F} , and our calculation in (14), we also have $\text{dp}(\mathcal{F}) \leq \text{dp}(n, k, s) \leq ((\binom{\ell}{2} + (r - 1)\gamma) \binom{n-1}{k-1} \binom{n-k-1}{k-1})$, and hence take $\beta = (r - 1)\gamma$.

We thus have $|\beta| = (r - 1)\gamma \leq c < \frac{n-2k}{(20C)^2n}$ and $2\ell|\alpha| \leq 2c = \frac{n-2k}{(20C)^2n}$, and hence we may apply Lemma 12. This gives a family \mathcal{S} , a union of ℓ stars, such that

$$|\mathcal{F}\Delta\mathcal{S}| \leq C((2\ell - 1)\alpha + 2\beta) \frac{n}{n - 2k} \binom{n - 1}{k - 1} \leq 4cC \frac{n}{n - 2k} \binom{n - 1}{k - 1} < \frac{1}{200} \binom{n - 1}{k - 1}.$$

Hence, we know an optimal family \mathcal{F} must be close to a union of ℓ stars \mathcal{S} . We first show that $\mathcal{S} \subseteq \mathcal{F}$. If not, there is some set $F \in \mathcal{F} \setminus \mathcal{S}$ in our family, as well as a set $G \in \mathcal{S} \setminus \mathcal{F}$ missing from our family (note that $|\mathcal{F}| \geq |\mathcal{S}|$). For each star in \mathcal{S} , there are at most $\binom{n-1}{k-1} - \binom{n-k-1}{k-1} \leq \frac{k^2}{n} \binom{n-1}{k-1}$ sets intersecting F , and hence F intersects at most $\frac{\ell k^2}{n} \binom{n-1}{k-1}$ sets from $\mathcal{F} \cap \mathcal{S}$. Even if F intersects every set in $\mathcal{F} \setminus \mathcal{S}$, it can intersect at most $(\frac{\ell k^2}{n} + \frac{1}{200}) \binom{n-1}{k-1} < \frac{1}{2} \binom{n-1}{k-1}$ sets in \mathcal{F} .

On the other hand, the set G is in one of the stars of \mathcal{S} , which contains at least $\binom{n-1}{k-1} - |\mathcal{S} \setminus \mathcal{F}| \geq (1 - \frac{1}{200}) \binom{n-1}{k-1} > \frac{2}{3} \binom{n-1}{k-1}$ sets of \mathcal{F} . Hence replacing F by G in \mathcal{F} strictly increases the number of intersecting pairs, thus decreasing the number of disjoint pairs, contradicting the optimality of \mathcal{F} .

Thus we have $\mathcal{S} \subseteq \mathcal{F}$. Let $\mathcal{H} = \mathcal{F} \setminus \mathcal{S}$. We then have

$$\text{dp}(\mathcal{F}) = \text{dp}(\mathcal{S}) + \text{dp}(\mathcal{S}, \mathcal{H}) + \text{dp}(\mathcal{H}).$$

Since every set outside a union of ℓ stars is contained in exactly the same number of disjoint pairs with sets from the stars, the terms $\text{dp}(\mathcal{S})$ and $\text{dp}(\mathcal{S}, \mathcal{H})$ are determined by ℓ and s , and independent of the structure of \mathcal{F} . It follows that $\text{dp}(\mathcal{F})$ is minimised precisely when $\text{dp}(\mathcal{H})$ is minimised. As $|\mathcal{H}| = |\mathcal{F}| - |\mathcal{S}| = \gamma \binom{n-r}{k-1} \leq \binom{n-r}{k-1}$, we may take \mathcal{H} to be an intersecting family, and so $\text{dp}(\mathcal{H}) = 0$ is possible. Since in $\mathcal{L}(n, k, s)$, the set \mathcal{H} corresponds to the final (intersecting) partial star, it follows that $\mathcal{L}(n, k, s)$ is optimal, and so $\text{dp}(\mathcal{F}) \geq \text{dp}(n, k, s)$ for any family \mathcal{F} of s sets. \square

3.1.2 Expander-mixing lemma

The second tool we shall use is the expander-mixing lemma² of Alon and Chung [1], which relates the spectral gap of a d -regular graph to its edge distribution. Since the graph is d -regular, its largest eigenvalue is trivially d , corresponding to the constant eigenvector. In what follows, an (n, d, λ) -graph is a d -regular n -vertex graph whose largest non-trivial eigenvalue (in absolute value) is λ .

Lemma 14 (Alon and Chung). *Let G be an (n, d, λ) -graph, and let S, T be two vertex subsets. Then*

$$\left| e(S, T) - \frac{d|S||T|}{n} \right| \leq \lambda \sqrt{|S||T|}.$$

As we are interested in counting disjoint pairs, we shall apply the expander-mixing lemma to the Kneser graph, where the vertices are sets and edges represent disjoint pairs. The spectral properties of the Kneser graph were determined by Lovász [35]. In particular, the Kneser graph $KG(m, a)$ for a -uniform sets over $[m]$ is an $\left(\binom{m}{a}, \binom{m-a}{a}, \binom{m-a-1}{a-1}\right)$ -graph. We shall combine this with Lemma 14 to obtain a useful corollary.

Corollary 15. *Given $1 \leq i < j \leq n$, and k -uniform families $\mathcal{F}(i)$ of subsets of $[n]$ containing i and $\mathcal{F}(j)$ of subsets of $[n]$ containing j ,*

$$\text{dp}(\mathcal{F}(i), \mathcal{F}(j)) \geq \left(1 - \frac{k^2}{n}\right) |\mathcal{F}(i)| |\mathcal{F}(j)| - \frac{3k}{2n} (|\mathcal{F}(i)| + |\mathcal{F}(j)|) \binom{n-1}{k-1}.$$

Proof. Without loss of generality we assume $i = n - 1$ and $j = n$. Let $\mathcal{A} = \{F \setminus \{n-1\} : F \in \mathcal{F}(n-1), n \notin F\}$ and $\mathcal{B} = \{F \setminus \{n\} : F \in \mathcal{F}(n), n-1 \notin F\}$, and observe that $\text{dp}(\mathcal{F}(n-1), \mathcal{F}(n)) = \text{dp}(\mathcal{A}, \mathcal{B})$. Furthermore, we have $\mathcal{A}, \mathcal{B} \subseteq \binom{[n-2]}{k-1}$, with $|\mathcal{A}| \geq |\mathcal{F}(n-1)| - \binom{n-2}{k-2}$ and $|\mathcal{B}| \geq |\mathcal{F}(n)| - \binom{n-2}{k-2}$.

Since disjoint pairs between \mathcal{A} and \mathcal{B} correspond to edges between the corresponding vertex sets in the Kneser graph $KG(n-2, k-1)$, Lemma 14 gives

$$\text{dp}(\mathcal{F}(n-1), \mathcal{F}(n)) = \text{dp}(\mathcal{A}, \mathcal{B}) \geq \frac{\binom{n-k-1}{k-1}}{\binom{n-2}{k-1}} |\mathcal{A}| |\mathcal{B}| - \binom{n-k-2}{k-2} \sqrt{|\mathcal{A}| |\mathcal{B}|}.$$

²This also plays a key role in the proof of Lemma 12, and so in some sense is the foundation for this entire proof.

We now recall that $|\mathcal{F}(n-1)| - \binom{n-2}{k-2} \leq |\mathcal{A}| \leq |\mathcal{F}(n-1)|$, with similar bounds holding for \mathcal{B} . We shall also remove the square root by appealing to the AM-GM inequality. Also observe that $\binom{n-k-1}{k-1} \geq \left(1 - \frac{k^2}{n}\right) \binom{n-2}{k-1}$ and $\binom{n-k-2}{k-2} \leq \frac{k}{n} \binom{n-1}{k-1}$. Hence $\text{dp}(\mathcal{F}(n-1), \mathcal{F}(n))$ is at least $\left(1 - \frac{k^2}{n}\right) (|\mathcal{F}(n-1)| - \binom{n-2}{k-2}) (|\mathcal{F}(n)| - \binom{n-2}{k-2}) - \frac{k}{2n} (|\mathcal{F}(n-1)| + |\mathcal{F}(n)|) \binom{n-1}{k-1}$. Noting that $\binom{n-2}{k-2} \leq \frac{k}{n} \binom{n-1}{k-1}$, taking the main order term and collecting the negative terms then gives the desired bound. \square

3.2 Proof of Theorem 3

With the preliminaries in place, we now proceed with the proof of the main theorem.

Proof of Theorem 3. We prove the result by induction on s . For the base case, if $s \leq \binom{n}{k} - \binom{n-1}{k} = \binom{n-1}{k-1}$, then $\mathcal{L}(n, k, s)$ consists of sets that all contain the element 1. Hence $\text{dp}(\mathcal{L}(n, k, s)) = 0$, which is clearly optimal.

For the induction step, we have $s = \binom{n}{k} - \binom{n-r+1}{k} + \gamma \binom{n-r}{k-1}$ for some $r \geq 2$ and $\gamma \in (0, 1]$. Letting c be the positive constant from Corollary 13, if $\gamma \in (0, \frac{c}{r}]$, we are done. Hence we may assume $\gamma \in (\frac{c}{r}, 1]$. Let \mathcal{F} be a k -uniform set family over $[n]$ of size s with the minimum number of disjoint pairs. In particular, we must have $\text{dp}(\mathcal{F}) \leq \text{dp}(n, k, s)$.

For any set $F \in \mathcal{F}$, by the induction hypothesis we have $\text{dp}(\mathcal{F} \setminus \{F\}) \geq \text{dp}(n, k, s-1)$. Hence $\text{dp}(\{F\}, \mathcal{F}) = \text{dp}(\mathcal{F}) - \text{dp}(\mathcal{F} \setminus \{F\}) \leq \text{dp}(n, k, s) - \text{dp}(n, k, s-1)$, where the right-hand side is the number of disjoint pairs involving the last set L added to $\mathcal{L}(n, k, s)$. The set L is in a star of size $\gamma \binom{n-r}{k-1} > \frac{\gamma}{2} \binom{n-1}{k-1}$, and hence intersects at least $\frac{\gamma}{2} \binom{n-1}{k-1}$ sets in $\mathcal{L}(n, k, s)$. Thus it follows that every set $F \in \mathcal{F}$ must also intersect at least $\frac{\gamma}{2} \binom{n-1}{k-1}$ sets in \mathcal{F} .

Now suppose that \mathcal{F} contains a full star; without loss of generality, assume $\mathcal{F}(1)$ consists of all $\binom{n-1}{k-1}$ sets containing the element 1. Let $\mathcal{G} = \mathcal{F} \setminus \mathcal{F}(1)$. Since $\mathcal{F}(1)$ is intersecting, and every set outside $\mathcal{F}(1)$ has exactly $\binom{n-k-1}{k-1}$ disjoint pairs with sets in $\mathcal{F}(1)$, we have

$$\text{dp}(\mathcal{F}) = \text{dp}(\mathcal{F}(1), \mathcal{G}) + \text{dp}(\mathcal{G}) = |\mathcal{G}| \binom{n-k-1}{k-1} + \text{dp}(\mathcal{G}).$$

Now \mathcal{G} is a k -uniform set family over $[n] \setminus \{1\}$ of size $s' = s - \binom{n-1}{k-1}$, and so by induction $\text{dp}(\mathcal{G})$ is minimised by the initial segment of the lexicographic order of size s' . However, adding back the full star $\mathcal{F}(1)$ gives the initial segment of the lexicographic order of size s , and as a result $\text{dp}(\mathcal{F}) \geq \text{dp}(\mathcal{L}(n, k, s)) = \text{dp}(n, k, s)$.

Hence we may assume that \mathcal{F} does not contain any full star. In particular, this means for any set $F \in \mathcal{F}$ and element $i \in [n]$, we have the freedom to replace F with some set containing i . We shall use such switching operations to show that \mathcal{F} , like $\mathcal{L}(n, k, s)$, must have a cover of size r , from which the result will easily follow.

Relabel the elements if necessary so that for every $i \in [n]$, i is the vertex of maximum degree in $\mathcal{F}|_{[n] \setminus \{i-1\}}$. Let $\mathcal{F}^*(i) = \{F \in \mathcal{F} : \min F = i\}$ be those sets containing i that do

not contain any previous element. Define

$$X = \left\{ x \in [n] : |\mathcal{F}^*(x)| \geq \frac{\gamma}{4k} \binom{n-1}{k-1} \right\},$$

and let $\mathcal{F}_1 = \{F \in \mathcal{F} : F \cap X \neq \emptyset\}$ and $\mathcal{F}_2 = \mathcal{F} \setminus \mathcal{F}_1 = \{F \in \mathcal{F} : F \cap X = \emptyset\}$. We shall show that X is a cover for \mathcal{F} (that is, $\mathcal{F}_1 = \mathcal{F}$ and $\mathcal{F}_2 = \emptyset$), but to do so we shall first have to establish a few claims. The first shows that X cannot be too big.

Claim 16. $|X| \leq \frac{4kr}{\gamma}$.

Proof. Observe that the families $\{\mathcal{F}^*(x) : x \in X\}$ partition \mathcal{F}_1 . Hence we have

$$r \binom{n-1}{k-1} \geq s = |\mathcal{F}| \geq |\mathcal{F}_1| = \sum_{x \in X} |\mathcal{F}^*(x)| \geq \frac{\gamma}{4k} \binom{n-1}{k-1} |X|,$$

from which the claim immediately follows. \square

The next claim asserts that every set in \mathcal{F} must intersect many sets in \mathcal{F}_1 .

Claim 17. Every set $F \in \mathcal{F}$ intersects at least $\frac{\gamma}{4} \binom{n-1}{k-1}$ sets in \mathcal{F}_1 .

Proof. First observe that any element $i \in [n]$ is contained in fewer than $\frac{\gamma}{4k} \binom{n-1}{k-1}$ sets in \mathcal{F}_2 . Indeed, the elements $x \in X$ have all of their sets in \mathcal{F}_1 , and hence have \mathcal{F}_2 -degree zero. Thus the \mathcal{F}_2 -degree of any element is its degree in $\mathcal{F}|_{[n] \setminus X}$. If the element of largest \mathcal{F}_2 -degree was contained in at least $\frac{\gamma}{4k} \binom{n-1}{k-1}$ sets from \mathcal{F}_2 , then it would have been in X , giving a contradiction.

Now recall that every set $F \in \mathcal{F}$ must intersect at least $\frac{\gamma}{2} \binom{n-1}{k-1}$ sets in \mathcal{F} . The number of sets in \mathcal{F}_2 it can intersect is at most

$$\sum_{i \in F} |\mathcal{F}_2(i)| \leq k \cdot \frac{\gamma}{4k} \binom{n-1}{k-1} = \frac{\gamma}{4} \binom{n-1}{k-1}.$$

Hence the remaining $\frac{\gamma}{4} \binom{n-1}{k-1}$ intersections must come from sets in \mathcal{F}_1 . \square

The following claim combines our previous results with the expander-mixing corollary to provide much sharper bounds on the size of X .

Claim 18. $|X| \leq \frac{8r}{\gamma}$.

Proof. For every $i \in X$, we shall estimate $\text{dp}(\mathcal{F}^*(i), \mathcal{F}_1)$. Since $\{\mathcal{F}^*(x) : x \in X\}$ is a partition of \mathcal{F}_1 , we have $\text{dp}(\mathcal{F}^*(i), \mathcal{F}_1) = \sum_{j \in X \setminus \{i\}} \text{dp}(\mathcal{F}^*(i), \mathcal{F}^*(j))$. Applying Corollary 15, we get

$$\begin{aligned} \text{dp}(\mathcal{F}^*(i), \mathcal{F}_1) &= \sum_{j \in X \setminus \{i\}} \text{dp}(\mathcal{F}^*(i), \mathcal{F}^*(j)) \\ &\geq \sum_{j \in X \setminus \{i\}} \left[\left(1 - \frac{k^2}{n}\right) |\mathcal{F}^*(i)| |\mathcal{F}^*(j)| - \frac{3k}{2n} (|\mathcal{F}^*(i)| + |\mathcal{F}^*(j)|) \binom{n-1}{k-1} \right] \\ &\geq \left(1 - \frac{k^2}{n}\right) (|\mathcal{F}_1| - |\mathcal{F}^*(i)|) |\mathcal{F}^*(i)| - \frac{3k}{2n} (|X| |\mathcal{F}^*(i)| + |\mathcal{F}_1|) \binom{n-1}{k-1}. \end{aligned}$$

By averaging, some $F \in \mathcal{F}^*(i)$ is disjoint from at least

$$\left(1 - \frac{k^2}{n}\right) (|\mathcal{F}_1| - |\mathcal{F}^*(i)|) - \frac{3k}{2n} \left(|X| + \frac{|\mathcal{F}_1|}{|\mathcal{F}^*(i)|}\right) \binom{n-1}{k-1}$$

sets in \mathcal{F}_1 . By Claim 16, $|X| \leq \frac{4kr}{\gamma}$. Since $|\mathcal{F}_1| \leq s \leq r \binom{n-1}{k-1}$, and $|\mathcal{F}^*(i)| \geq \frac{\gamma}{4k} \binom{n-1}{k-1}$, we can lower bound this expression by

$$\text{dp}(\{F\}, \mathcal{F}_1) \geq \left(1 - \frac{k^2}{n}\right) (|\mathcal{F}_1| - |\mathcal{F}^*(i)|) - \frac{12k^2r}{\gamma n} \binom{n-1}{k-1}.$$

Recalling that $\gamma \geq \frac{\epsilon}{r}$, we find that F intersects at most

$$|\mathcal{F}_1| - \text{dp}(\{F\}, \mathcal{F}_1) \leq |\mathcal{F}^*(i)| + \frac{k^2}{n} |\mathcal{F}_1| + \frac{12k^2r}{\gamma n} \binom{n-1}{k-1} \leq |\mathcal{F}^*(i)| + \frac{13k^2r^2}{cn} \binom{n-1}{k-1}$$

sets from \mathcal{F}_1 . By Claim 17, this quantity must be at least $\frac{\gamma}{4} \binom{n-1}{k-1}$, which gives

$$|\mathcal{F}^*(i)| \geq \left(\frac{\gamma}{4} - \frac{13k^2r^2}{cn}\right) \binom{n-1}{k-1} \geq \frac{\gamma}{8} \binom{n-1}{k-1},$$

since $n > Ck^2r^3$ for some large enough constant C .

Hence for every $i \in X$, we in fact have the much stronger bound $|\mathcal{F}^*(i)| \geq \frac{\gamma}{8} \binom{n-1}{k-1}$. Repeating the calculation of Claim 16 with this new bound gives $|X| \leq \frac{8r}{\gamma}$, as required. \square

Our next claim shows that X is indeed a cover for \mathcal{F} .

Claim 19. X is a cover for \mathcal{F} ; that is, $\mathcal{F}_1 = \mathcal{F}$ and $\mathcal{F}_2 = \emptyset$.

Proof. Suppose for contradiction we had some set $F \in \mathcal{F}_2$. By Claim 17, at least $\frac{\gamma}{4} \binom{n-1}{k-1}$ sets in \mathcal{F}_1 must intersect F . However, each such set must contain at least one element of X , which by Claim 18 has size at most $\frac{8r}{\gamma}$, together with one element from F . Hence there are at most $k|X| \binom{n-2}{k-2} \leq \frac{8k^2r}{\gamma n} \binom{n-1}{k-1}$ sets in \mathcal{F}_1 intersecting F . Since $\gamma \geq \frac{\epsilon}{r}$ and $n > Ck^2r^3$ for some large enough constant C , this is less than $\frac{\gamma}{4} \binom{n-1}{k-1}$, giving the desired contradiction. \square

Now observe that every set in $\mathcal{F}^*(i)$ meets X in the element i . If it intersects X in further elements, there are at most $|X| \leq \frac{8r}{\gamma}$ choices from the other element, and at most $\binom{n-2}{k-2} \leq \frac{k}{n} \binom{n-1}{k-1}$ choices for the rest of the set. Hence at most $\frac{8kr}{\gamma n} \binom{n-1}{k-1} < \frac{\gamma}{8} \binom{n-1}{k-1} \leq |\mathcal{F}^*(i)|$ sets in $\mathcal{F}^*(i)$ meet X in at least two elements, and thus there must be some set $F_i \in \mathcal{F}^*(i)$ such that $F_i \cap X = \{i\}$. We shall use this fact to establish the following claim.

Claim 20. For all $i, j \in X$, $|\mathcal{F}^*(j)| - |\mathcal{F}^*(i)| \leq \frac{8k^2r}{\gamma n} \binom{n-1}{k-1}$.

Proof. Suppose for contradiction $|\mathcal{F}^*(j)| > |\mathcal{F}^*(i)| + \frac{8k^2r}{\gamma n} \binom{n-1}{k-1}$. Let $F_i \in \mathcal{F}^*(i)$ be such that $F_i \cap X = \{i\}$. Then F_i intersects only the sets that contain i together with sets containing some other element in X and some element in F_i . This gives a total of at most

$$|\mathcal{F}^*(i)| + k|X| \binom{n-2}{k-2} \leq |\mathcal{F}^*(i)| + \frac{8k^2r}{\gamma n} \binom{n-1}{k-1} < |\mathcal{F}^*(j)|$$

sets. On the other hand, if we replace F_i by some set G containing j (which we may do, since we assume the family $\mathcal{F}(j)$ is not a full star), we would gain at least $|\mathcal{F}^*(j)|$ intersecting pairs. Hence $\mathcal{F} \cup \{G\} \setminus \{F_i\}$ is a family of s sets with strictly fewer disjoint pairs, contradicting the optimality of \mathcal{F} . \square

This claim shows that the sets in \mathcal{F} are roughly equally distributed over the families $\mathcal{F}^*(i)$, $i \in X$. To simplify the notation, we let $m = |X|$, and so we have $X = [m]$. By Claim 18, $m \leq \frac{8r}{\gamma}$. We shall now proceed to lower-bound the number of disjoint pairs in \mathcal{F} . Note that $\text{dp}(\mathcal{F}) = \sum_{1 \leq i < j \leq m} \text{dp}(\mathcal{F}^*(i), \mathcal{F}^*(j))$. We shall use Corollary 15 to bound these summands. We let $s_i = |\mathcal{F}^*(i)| \binom{n-1}{k-1}^{-1}$ and set $\bar{s} = s \binom{n-1}{k-1}^{-1} = \sum_i s_i$. Note that $s = \binom{n}{k} - \binom{n-r+1}{k} + \gamma \binom{n-r}{k-1}$ and $\gamma \in [\frac{c}{r}, 1]$ implies $r-1 \leq r-1 + \gamma - \frac{kr^2}{2n} \leq \bar{s} \leq r$.

We then have

$$\begin{aligned} \text{dp}(\mathcal{F}) &= \sum_{1 \leq i < j \leq m} \text{dp}(\mathcal{F}^*(i), \mathcal{F}^*(j)) \\ &\geq \sum_{1 \leq i < j \leq m} \left[\left(1 - \frac{k^2}{n}\right) |\mathcal{F}^*(i)| |\mathcal{F}^*(j)| - \frac{3k}{2n} (|\mathcal{F}^*(i)| + |\mathcal{F}^*(j)|) \binom{n-1}{k-1} \right] \\ &\geq \left[\left(1 - \frac{k^2}{n}\right) \sum_{i < j} s_i s_j - \frac{3k}{2n} \sum_{i < j} (s_i + s_j) \right] \binom{n-1}{k-1}^2 \\ &\geq \left[\frac{1}{2} \left(1 - \frac{k^2}{n}\right) \left(\bar{s}^2 - \sum_i s_i^2\right) - \frac{3km\bar{s}}{2n} \right] \binom{n-1}{k-1}^2 \\ &\geq \frac{1}{2} \left[\bar{s}^2 - \frac{k^2\bar{s}^2}{n} - \sum_i s_i^2 - \frac{3km\bar{s}}{n} \right] \binom{n-1}{k-1}^2. \end{aligned}$$

Since $\sum_i s_i = \bar{s}$, there must be some ℓ with $s_\ell \leq \frac{\bar{s}}{m}$, and Claim 20 then implies that for every i , $s_i \leq \frac{\bar{s}}{m} + \frac{8k^2r}{\gamma n}$. Hence $\sum_i s_i^2 \leq (\max_i s_i) \sum_i s_i \leq \left(\frac{\bar{s}}{m} + \frac{8k^2r}{\gamma n}\right) \bar{s}$, giving

$$\text{dp}(\mathcal{F}) \geq \frac{1}{2} \left(1 - \frac{1}{m} - \frac{k^2}{n} - \frac{8k^2r}{\gamma \bar{s}n} - \frac{3km}{\bar{s}n}\right) \left(\bar{s} \binom{n-1}{k-1}\right)^2. \quad (16)$$

Claim 21. $|X| = r$; that is, \mathcal{F} has a cover of size r .

Proof. Since $s > \binom{n}{k} - \binom{n-r+1}{k}$, \mathcal{F} cannot be covered by $r-1$ elements. Hence we must have $m = |X| \geq r$.

Now recall we have $s = \bar{s} \binom{n-1}{k-1}$, $\bar{s} \geq r - 1$, $\gamma \geq \frac{c}{r}$, $m \leq \frac{8r}{\gamma} \leq 8c^{-1}r^2$ and $n \geq Ck^2r^3$ for some sufficiently large constant C . Substituting these bounds into (16), we find

$$\text{dp}(\mathcal{F}) > \frac{1}{2} \left(1 - \frac{1}{m} - \frac{1}{r(r+1)} \right) s^2.$$

However, by (15), we must have

$$\text{dp}(\mathcal{F}) \leq \frac{1}{2} \left(1 - \frac{1}{r} \right) s^2.$$

These two bounds together imply $\frac{1}{m} + \frac{1}{r(r+1)} > \frac{1}{r}$, which in turn gives $m < r + 1$. This shows $m = r$, and X is thus a cover of size r . \square

Hence it follows that \mathcal{F} is covered by some r elements, which we may without loss of generality assume to be $[r]$. We now finish with a similar argument as in the proof of Corollary 13: let \mathcal{S} be the union of the r stars with centres in $[r]$, and let $\mathcal{G} = \mathcal{S} \setminus \mathcal{F}$ be the missing sets. Then $\text{dp}(\mathcal{F}) = \text{dp}(\mathcal{S}) - \text{dp}(\mathcal{G}, \mathcal{S}) + \text{dp}(\mathcal{G})$ is minimised when \mathcal{G} is an intersecting family of sets that each meet $[r]$ in precisely one element, which is the case for $\mathcal{F} = \mathcal{L}(n, k, s)$. Hence $\text{dp}(\mathcal{F}) \geq \text{dp}(n, k, s)$, completing the proof of the theorem. \square

The problem of minimising the number of disjoint pairs can be viewed as an isoperimetric inequality in the Kneser graph. The following lemma links isoperimetric problems for small and large families (see, for instance, [10, Lemma 2.3]).

Lemma 22. *Let $G = (V, E)$ be a regular graph on n vertices. Then $S \subset V$ minimises the number of edges $e(S)$ over all sets of $|S|$ vertices if and only if $V \setminus S$ minimises the number of edges over all sets of $n - |S|$ vertices.*

The following corollary, which is a direct consequence of Theorem 3 and Lemma 22, shows that the complements of the lexicographical initial segments, which are isomorphic to initial segments of the colexicographical order, are optimal when s is close to $\binom{n}{k}$.

Corollary 23. *There exists a positive constant C such that the following statement holds. Provided $n \geq Ck^2r^3$ and $\binom{n-r}{k} \leq s \leq \binom{n}{k}$, $\binom{[n]}{k} \setminus \mathcal{L}(n, k, \binom{n}{k} - s)$ minimises the number of disjoint pairs among all systems of s sets in $\binom{[n]}{k}$.*

4 Typical structure of set systems with given matching number

4.1 Families with no matching of size s

In this section we describe the structure of k -uniform set families without matchings of size s . The following lemma, which follows readily from [3, Lemmas 2.2 and 2.3], gives a sufficient condition for the trivial extremal families to be typical.

Lemma 24. *Let \mathbf{P} be a decreasing property. Let N_0 denote the size of the extremal (that is, largest) family with property \mathbf{P} , N_1 the size of the largest non-extremal maximal family, and suppose two distinct extremal families have at most N_2 members in common. Suppose further that the number of extremal families is T , and there are at most M maximal families. Provided*

$$2 \log M + \max(N_1, N_2) - N_0 \rightarrow -\infty, \tag{17}$$

the number of families with property \mathbf{P} is $(T + o(1))2^{N_0}$.

We will apply Lemma 24 with \mathbf{P} being the property of avoiding a matching of size s or, equivalently, of not containing s pairwise disjoint sets. To do so, we first bound the number of maximal families with no matching of size s .

Proposition 25. *The number of maximal k -uniform families over $[n]$ with no matching of size s is at most $\binom{n}{k}^{\binom{sk}{k}}$.*

Proof. Given $\mathcal{F} \subset \binom{[n]}{k}$, let

$$\mathcal{I}(\mathcal{F}) = \left\{ G \in \binom{[n]}{k} : \mathcal{F} \cup \{G\} \text{ does not have } s \text{ pairwise disjoint sets} \right\}.$$

Note that \mathcal{F} does not contain a matching of size s if and only if $\mathcal{F} \subset \mathcal{I}(\mathcal{F})$, while \mathcal{F} is maximal if and only if $\mathcal{I}(\mathcal{F}) = \mathcal{F}$. Given a maximal family \mathcal{F} , we say that $\mathcal{G} \subset \mathcal{F}$ is a *generating family* of \mathcal{F} if $\mathcal{I}(\mathcal{G}) = \mathcal{F}$.

Let $\mathcal{F}_0 = \{F_1, \dots, F_m\} \subset \mathcal{F}$ be a minimal generating family of \mathcal{F} . By the minimality of \mathcal{F}_0 , we must have $\mathcal{I}(\mathcal{F}_0 \setminus \{F_i\}) \supsetneq \mathcal{F} = \mathcal{I}(\mathcal{F}_0)$, for each $1 \leq i \leq m$. Hence we can find some set $G_{i,s-1} \in \mathcal{I}(\mathcal{F}_0 \setminus \{F_i\}) \setminus \mathcal{I}(\mathcal{F}_0)$. It follows that there exist $s-2$ sets $G_{i,1}, \dots, G_{i,s-2}$ in \mathcal{F}_0 such that $F_i, G_{i,1}, \dots, G_{i,s-2}$ and $G_{i,s-1}$ are pairwise disjoint, while for every $j \neq i$, $F_j, G_{i,1}, \dots, G_{i,s-2}$ and $G_{i,s-1}$ are not pairwise disjoint. In other words, if we let $G_i = G_{i,1} \cup \dots \cup G_{i,s-1}$, then $F_i \cap G_i = \emptyset$ and $F_j \cap G_i \neq \emptyset$ for $j \neq i$. Given these conditions, we may apply the Bollobás set-pairs inequality [6] to bound the size of \mathcal{F}_0 .

Theorem 26 (Bollobás). *Let A_1, \dots, A_m be sets of size a and B_1, \dots, B_m sets of size b such that $A_i \cap B_i = \emptyset$ and $A_i \cap B_j \neq \emptyset$ for every $i \neq j$. Then $m \leq \binom{a+b}{a}$.*

We apply this to the pairs $\{(A_i, B_i)\}_{i=1}^m$, where for $1 \leq i \leq m$ we take $A_i = F_i$ and $B_i = G_i$. The conditions of Theorem 26 are satisfied, and hence we deduce $m \leq \binom{sk}{k}$.

We map each maximal family \mathcal{F} to a minimal generating family $\mathcal{F}_0 \subset \mathcal{F}$. This map is injective because $\mathcal{I}(\mathcal{F}_0) = \mathcal{F}$. We have shown that $|\mathcal{F}_0| \leq \binom{sk}{k}$, and thus the number of maximal families is bounded from above by $\sum_{i=0}^{\binom{sk}{k}} \binom{n}{k} \leq \binom{n}{k}^{\binom{sk}{k}}$, as desired. \square

Proof of Theorem 5. We shall verify that the condition (17) from Lemma 24 holds. A result of Frankl [22, Theorem 1.1] states that when $n \geq (2s-1)k - s + 1$, the extremal families with no s pairwise disjoint sets are isomorphic to $\left\{ F \in \binom{[n]}{k} : F \cap [s-1] \neq \emptyset \right\}$,

and consequently we may take $N_0 = \binom{n}{k} - \binom{n-s+1}{k}$ and $T = \binom{n}{s-1}$. Moreover, it is not difficult to see that the intersection of any two extremal families has size at most $N_2 = \binom{n}{k} - \binom{n-s+1}{k} - \binom{n-s}{k-1}$. Furthermore, a result due to Frankl and Kupavskii [24, Theorem 5] implies that $N_1 \leq \binom{n}{k} - \binom{n-s+1}{k} - \frac{1}{s+1} \binom{n-k-s+1}{k-1}$ for $n \geq 2sk - s$. Hence $\max(N_1, N_2) \leq \binom{n}{k} - \binom{n-s+1}{k} - \frac{1}{s+1} \binom{n-k-s+1}{k-1}$. In addition, Proposition 25 shows that we may use the estimate $\log M \leq n \binom{sk}{k}$. Altogether we have

$$\begin{aligned} 2 \log M + \max(N_1, N_2) - N_0 &\leq 2n \binom{sk}{k} - \frac{1}{s+1} \binom{n-k-s+1}{k-1} \\ &= 2n \binom{sk}{k} - \frac{k}{(s+1)(n-k-s+2)} \binom{n-k-s+2}{k} \\ &\leq 2n \binom{sk}{k} \left[1 - \frac{k}{2(s+1)(n-k-s+2)n} \left(\frac{n-k-s+2}{sk} \right)^k \right]. \end{aligned} \quad (18)$$

As $n \geq 2sk + 38s^4$ and $s \geq 2$, we find $\frac{n-k-s+2}{sk} \geq \frac{3}{2} \left(1 + \frac{74s^3}{3k} \right)$, and hence

$$\left(\frac{n-k-s+2}{sk} \right)^{k-2} \geq \left(\frac{3}{2} \right)^{k-2} \left(1 + \frac{74s^3}{3k} \right)^{k-2} \geq \frac{k}{2} \cdot \frac{74(k-2)s^3}{3k} = \frac{37}{3}(k-2)s^3.$$

This implies

$$\begin{aligned} \frac{k}{2(s+1)(n-k-s+2)n} \left(\frac{n-k-s+2}{sk} \right)^k &= \frac{n-k-s+2}{2(s+1)s^2kn} \left(\frac{n-k-s+2}{sk} \right)^{k-2} \\ &\geq \frac{37s(k-2)(n-k-s+2)}{6(s+1)kn} \geq \frac{37}{36} \end{aligned}$$

as $s/(s+1) \geq 2/3$, $(k-2)/k \geq 1/3$ and $(n-k-s+2)/n \geq 3/4$. Substituting this inequality into (18), we obtain

$$2 \log M + \max(N_1, N_2) - N_0 \leq -\frac{1}{18} n \binom{sk}{k} \rightarrow -\infty. \quad \square$$

4.2 Intersecting set systems

In this section we shall use the removal lemma for disjoint sets (Lemma 12) to show that intersecting set systems in $\binom{[n]}{k}$ are typically trivial when $n \geq 2k + C\sqrt{k \ln k}$ for some positive constant C . Since the number of trivial intersecting families is

$$n \cdot 2^{\binom{n-1}{k-1}} \pm \binom{n}{2} \cdot 2^{\binom{n-2}{k-2}} = (n + o(1))2^{\binom{n-1}{k-1}},$$

it suffices to prove that there are $o(2^{\binom{n-1}{k-1}})$ non-trivial intersecting families.

We need a few classic theorems from extremal set theory. The first is a theorem of Hilton and Milner [26], bounding the cardinality of a non-trivial uniform intersecting family.

Theorem 27 (Hilton and Milner). *Let $\mathcal{F} \subset \binom{[n]}{k}$ be a non-trivial intersecting family with $k \geq 2$ and $n \geq 2k + 1$. Then $|\mathcal{F}| \leq \binom{n-1}{k-1} - \binom{n-k-1}{k-1} + 1$.*

The next result we require is a theorem of Kruskal [32] and Katona [28]. For a family $\mathcal{F} \subset \binom{[n]}{r}$, its s -shadow in $\binom{[n]}{s}$, denoted $\partial^{(s)}\mathcal{F}$, is the family of those s -sets contained in some member of \mathcal{F} . For $x \in \mathbb{R}$ and $r \in \mathbb{N}$, we define the *generalised binomial coefficient* $\binom{x}{r}$ by setting

$$\binom{x}{r} = \frac{x(x-1)\dots(x-r+1)}{r!}.$$

The following convenient formulation of the Kruskal-Katona theorem is due to Lovász [34].

Theorem 28 (Lovász). *Let n, r and s be positive integers with $s \leq r \leq n$. If \mathcal{F} is a subfamily of $\binom{[n]}{r}$ with $|\mathcal{F}| = \binom{x}{r}$ for some real number $x \geq r$, then $|\partial^{(s)}\mathcal{F}| \geq \binom{x}{s}$.*

With these results in hand, we now prove Theorem 6.

Proof of Theorem 6. The statement has been established for $n \geq 3k + 8 \ln k$ in [3, Theorem 1.4], and so we may assume $n = 2k + s$ for some integer s with $C\sqrt{k \ln k} \leq s \leq k + 8 \ln k$.

For each $\ell \in \mathbb{N}$, let N_ℓ denote the number of maximal non-trivial intersecting families of size $\binom{n-1}{k-1} - \ell$. By Theorem 27, we know $N_\ell = 0$ for $\ell < \binom{n-k-1}{k-1} - 1$. By taking a simple union bound over the subfamilies of these families, we can bound the number of non-trivial intersecting families by

$$\sum_{\ell=\binom{n-k-1}{k-1}-1}^{\binom{n-1}{k-1}} N_\ell 2^{\binom{n-1}{k-1}-\ell} = \left(\sum_{\ell} N_\ell 2^{-\ell} \right) 2^{\binom{n-1}{k-1}},$$

so it suffices to show $\sum_{\ell} N_\ell 2^{-\ell} = o(1)$.

By a result of Balogh et al. [3, Proposition 2.2], we know the total number of maximal intersecting families can be bounded by $\sum_{\ell} N_\ell \leq 2^{\frac{1}{2}n \binom{2k}{k}}$, and so we have

$$\sum_{\ell \geq n \binom{2k}{k}} N_\ell 2^{-\ell} \leq 2^{-n \binom{2k}{k}} \cdot \sum_{\ell \geq n \binom{2k}{k}} N_\ell \leq 2^{-\frac{1}{2}n \binom{2k}{k}} = o(1).$$

Hence it suffices to show

$$\sum_{\ell=\binom{n-k-1}{k-1}-1}^{n \binom{2k}{k}} N_\ell 2^{-\ell} = o(1). \tag{19}$$

We fix some integer ℓ with $\binom{n-k-1}{k-1} - 1 \leq \ell \leq n \binom{2k}{k}$, and fix some maximal intersecting family \mathcal{F} of size $\binom{n-1}{k-1} - \ell$. Let \mathcal{S} be the star that minimises $|\mathcal{F} \Delta \mathcal{S}|$, and without loss of generality assume that n is the center of \mathcal{S} . Let $\mathcal{A} = \mathcal{F} \setminus \mathcal{S}$, and $t = |\mathcal{A}|$. Let $\mathcal{B} = \mathcal{S} \setminus \mathcal{F}$, and note that $|\mathcal{B}| = t + \ell$.

Let $\mathcal{P} = \{[n-1] \setminus A : A \in \mathcal{A}\}$, and observe that $\mathcal{P} \subseteq \binom{[n-1]}{n-k-1}$, since $n \notin A$ for all $A \in \mathcal{A}$. Let $\mathcal{Q} = \{B \setminus \{n\} : B \in \mathcal{B}\} \subseteq \binom{[n-1]}{k-1}$. We claim that $\partial^{(k-1)}\mathcal{P} = \mathcal{Q}$.

Indeed, suppose $H \in \partial^{(k-1)}\mathcal{P}$. Then there is some $A \in \mathcal{A}$ such that $H \subset [n-1] \setminus A$, and so $H \cap A = \emptyset$. As $n \notin A$, this forces $(\{n\} \cup H) \cap A = \emptyset$, and so $\{n\} \cup H \notin \mathcal{F}$. Hence $\{n\} \cup H \in \mathcal{B}$, giving $H \in \mathcal{Q}$.

For the opposite direction, suppose $H \notin \partial^{(k-1)}\mathcal{P}$. Then, following the same argument as above, $(\{n\} \cup H) \cap A \neq \emptyset$ for all $A \in \mathcal{A}$. By maximality of \mathcal{F} , we must have $\{n\} \cup H \in \mathcal{F}$, and thus $\{n\} \cup H \notin \mathcal{B}$, resulting in $H \notin \mathcal{Q}$.

We shall show that $\ell \geq 2nt$. First let us see why this implies (19). For each family \mathcal{F} counted by N_ℓ , it suffices to provide the star \mathcal{S} and the family \mathcal{A} outside the star³. Indeed, since $\mathcal{Q} = \partial^{(k-1)}\mathcal{P}$, we can compute $\mathcal{F} \cap \mathcal{S}$, and hence completely determine \mathcal{F} . Moreover, $|\mathcal{A}| = t \leq \ell/(2n)$. Thus

$$N_\ell 2^{-\ell} \leq n \binom{n-1}{k}^{\ell/(2n)} 2^{-\ell} < n \cdot 2^{-\ell/2},$$

and so

$$\sum_{\ell=\binom{n-k-1}{k-1}-1}^{\binom{2k}{k}} N_\ell 2^{-\ell} \leq \frac{2n}{\sqrt{2}-1} 2^{-\frac{1}{2}\binom{n-k-1}{k-1}} = o(1).$$

It remains to show $\ell \geq 2nt$. Letting \mathcal{P} and \mathcal{Q} be as above, recall that $\mathcal{Q} = \partial^{(k-1)}\mathcal{P}$. According to Theorem 28, if x is a real number so that $t = |\mathcal{P}| = \binom{x}{n-k-1}$, then $\ell + t = |\mathcal{Q}| \geq \binom{x}{k-1}$.

Now observe that by Lemma 12, we have $t \leq C'n\ell$ for some absolute constant C' . Since $\ell \leq n\binom{2k}{k}$, this implies $t \leq C'n^2\binom{2k}{k}$. Since $n = 2k + s$, we have $t = \binom{x}{n-k-1} = \binom{x}{k+s-1}$. We next show that $x < 2k + \lfloor \frac{3}{4}s \rfloor$. If not, then

$$\begin{aligned} t = \binom{x}{k+s-1} &\geq \binom{2k + \lfloor \frac{3}{4}s \rfloor}{k+s-1} = \binom{2k}{k} \cdot \frac{\binom{2k+s-1}{k+s-1}}{\binom{2k}{k}} \cdot \frac{\binom{2k+\lfloor \frac{3}{4}s \rfloor}{k+s-1}}{\binom{2k+s-1}{k+s-1}} \\ &= \binom{2k}{k} \cdot \prod_{j=1}^{s-1} \frac{2k+j}{k+j} \cdot \prod_{j=\lfloor \frac{3}{4}s \rfloor+1}^{s-1} \frac{k-s+1+j}{2k+j} \\ &\geq \binom{2k}{k} \left(\frac{2k+s}{k+s}\right)^{s-1} \left(\frac{k-\frac{1}{4}s}{2k+\frac{3}{4}s}\right)^{\frac{1}{4}s}. \end{aligned}$$

The bases of the exponential factors are minimised when s is as large as possible; substituting $s \leq k + 8 \ln k < 1.1k$, we can lower bound the coefficient of $\binom{2k}{k}$ by

$$\frac{2.1}{3.1} \left(\frac{3.1}{2.1}\right)^s \left(\frac{0.725}{2.825}\right)^{\frac{1}{4}s} > \frac{2}{3} 1.05^s > n^3$$

³For every choice of \mathcal{F} there is a unique \mathcal{A} , but not every \mathcal{A} corresponds to a maximal family \mathcal{F}

as $s > C\sqrt{k \ln k} \geq 100 \ln n$, contradicting our upper bound $t \leq C'n^2 \binom{2k}{k}$.

Suppose, then, that $x \leq 2k + \lfloor \frac{3}{4}s \rfloor - 1$. Since $t = \binom{x}{k+s-1}$ and $\ell + t \geq \binom{x}{k-1}$, we have

$$\frac{\ell}{t} \geq \frac{\binom{x}{k-1}}{\binom{x}{k+s-1}} - 1 = \prod_{j=k}^{k+s-1} \frac{j}{x+1-j} - 1.$$

This product is decreasing in x , so we can substitute our upper bound $x \leq 2k + \lfloor \frac{3}{4}s \rfloor - 1$ to find

$$\frac{\ell}{t} \geq \prod_{j=k}^{k+s-1} \frac{j}{2k + \lfloor \frac{3}{4}s \rfloor - j} - 1 = \prod_{j=\lfloor \frac{3}{4}s \rfloor + 1}^{s-1} \frac{k+j}{k + \lfloor \frac{3}{4}s \rfloor - j} - 1 \geq \left(1 + \frac{3s}{4k}\right)^{\frac{1}{4}s-1} - 1.$$

This is increasing in s , so plugging in the lower bound $s \geq C\sqrt{k \ln k}$, we have

$$\frac{\ell}{t} \geq \left(1 + \frac{3}{4}C\sqrt{\frac{\ln k}{k}}\right)^{\frac{C}{4}\sqrt{k \ln k}-1} - 1 > e^{\frac{3C}{64} \ln k} - 1 \geq 8k > 2n,$$

as required. This completes the proof. □

5 Concluding remarks

We close by offering some final remarks and open problems related to the supersaturation problems discussed in this paper.

5.1 Supersaturation for permutations

Theorem 2 shows, for $k \leq cn^{1/2}$ and s (very) close to $k(n-1)!$, one minimises the number of disjoint pairs in a family of s permutations by selecting them from pairwise-disjoint cosets. This leaves large gaps between the ranges where we know the answer to the supersaturation problem, and it would be very interesting to determine the correct behaviour throughout. For instance, which family of $1.5(n-1)!$ permutations minimises the number of disjoint pairs?

Note that the derangement graph is d_n -regular, and so we can apply Lemma 22 to determine the optimal families for sizes close to $k(n-1)!$ when $k \geq n - cn^{1/2}$ by taking complements. However, the complement of a union of pairwise disjoint cosets is again a union of pairwise disjoint cosets, and hence there may well be a nested sequence of optimal families for this problem. One candidate would be the initial segments of the lexicographic order on S_n , where $\pi < \sigma$ if and only if $\pi_j < \sigma_j$ for $j = \min\{i \in [n] : \pi_i \neq \sigma_i\}$.

5.2 Set systems of very large uniformity

For set families, we improved the range of uniformities for which the small initial segments of the lexicographic order are known to be optimal. In Corollary 13, which applies when

$n = \Omega(r^3 k^2)$, we handled the case where the family is a little larger than the union of r stars. However, if one restricts the size of the set families even further, one can obtain optimal bounds on n . For instance, Katona, Katona and Katona [29] showed that adding one set to a full star is always optimal.

Proposition 29. *Suppose $n \geq 2k + 1$. Any system $\mathcal{F} \subseteq \binom{[n]}{k}$ with $|\mathcal{F}| = \binom{n-1}{k-1} + 1$ contains at least $\binom{n-k-1}{k-1}$ disjoint pairs.*

By applying the removal lemma (Lemma 12), we can extend this exact result to a larger range of family sizes.

Proposition 30. *For some positive constant c , the following holds. Provided $n \geq 2k + 2$ and $0 \leq s \leq \binom{n-1}{k-1} + c \cdot \frac{n-2k}{n} \binom{n-k-1}{k-1}$, $\mathcal{L}(n, k, s)$ minimises the number of disjoint pairs among all systems of s sets in $\binom{[n]}{k}$.*

Proof. Let C be the positive constant from Lemma 12 and set $c = (20C)^{-2}$. Suppose $\mathcal{F} \subseteq \binom{[n]}{k}$ is a family with $|\mathcal{F}| = \binom{n-1}{k-1} + t$ for some $1 \leq t \leq c \cdot \frac{n-2k}{n} \binom{n-k-1}{k-1}$. Letting $s = \binom{n-1}{k-1} + t$, we shall show that $\text{dp}(\mathcal{F}) \geq \text{dp}(\mathcal{L}_{n,k}(s)) = t \binom{n-k-1}{k-1}$. Suppose otherwise that $\text{dp}(\mathcal{F}) < t \binom{n-k-1}{k-1}$. By Lemma 12, there exists a star \mathcal{S} such that $|\mathcal{F} \Delta \mathcal{S}| \leq \frac{1}{2} \binom{n-k-1}{k-1}$. It follows that $|\mathcal{F} \cap \mathcal{S}| = \binom{n-1}{k-1} - p$ for some integer p with $0 \leq p \leq \frac{1}{2} \binom{n-k-1}{k-1}$. As $|\mathcal{F}| = \binom{n-1}{k-1} + t$ and $|\mathcal{F} \cap \mathcal{S}| = \binom{n-1}{k-1} - p$, we must have $|\mathcal{F} \setminus \mathcal{S}| = p + t$. Since each set in $\mathcal{F} \setminus \mathcal{S}$ is disjoint from exactly $\binom{n-k-1}{k-1}$ sets in the star \mathcal{S} and $|\mathcal{F} \cap \mathcal{S}| = \binom{n-1}{k-1} - p$, we conclude $\text{dp}(F, \mathcal{F} \cap \mathcal{S}) \geq \binom{n-k-1}{k-1} - p > 0$ for all $F \in \mathcal{F} \setminus \mathcal{S}$. Thus

$$\begin{aligned} \text{dp}(\mathcal{F}) &\geq \sum_{F \in \mathcal{F} \setminus \mathcal{S}} \text{dp}(F, \mathcal{F} \cap \mathcal{S}) \geq |\mathcal{F} \setminus \mathcal{S}| \left(\binom{n-k-1}{k-1} - p \right) \\ &= (p+t) \left(\binom{n-k-1}{k-1} - p \right) = t \binom{n-k-1}{k-1} + p \left(\binom{n-k-1}{k-1} - p - t \right) \\ &\geq t \binom{n-k-1}{k-1}, \end{aligned}$$

where the last inequality holds since $p \leq \frac{1}{2} \binom{n-k-1}{k-1}$ and $t \leq c \cdot \frac{n-2k}{n} \binom{n-k-1}{k-1}$. □

5.3 A counterexample to the Bollobás–Leader conjecture

Finally, it remains to extend the set supersaturation results to larger values of k . Are small initial segments of the lexicographic order still optimal when $k > \sqrt{n}$?

This is not the case when $n = 3k - 1$, as the following construction shows. Let $s = \binom{n-1}{k-1} + \binom{2k-1}{k} - 1$. Then $\mathcal{L}(n, k, s)$ consists of one full star, and $\binom{2k-1}{k} - 1$ sets from another star, each of which is disjoint from $\binom{n-k-1}{k-1} = \binom{2k-2}{k-1}$ sets from the full star. Hence $\text{dp}(\mathcal{L}(n, k, s)) = \left(\binom{2k-1}{k} - 1 \right) \binom{2k-2}{k-1}$.

Now instead let \mathcal{F}' be the family consisting of the \mathcal{S}_1 , the full star with centre 1, and all but one k -element subset of $\{2, 3, \dots, 2k\}$. Since \mathcal{F}' again consists of a full star and

an intersecting family of size $\binom{2k-1}{k} - 1$, we have $\text{dp}(\mathcal{F}') = \text{dp}(\mathcal{L}(n, k, s))$. Now form the family \mathcal{F} from \mathcal{F}' by replacing the set $A = \{1, 2k + 1, \dots, 3k - 1\}$ with the missing k -set B from $\{2, 3, \dots, 2k\}$. We lose $\binom{2k-1}{k} - 1$ disjoint pairs when we remove A , and gain only $\binom{n-k-1}{k-1} - 1 = \binom{2k-2}{k-1} - 1$ disjoint pairs when we add B . As $\binom{2k-2}{k-1} < \binom{2k-1}{k}$, it follows that $\text{dp}(\mathcal{F}) < \text{dp}(\mathcal{L}(n, k, s))$, showing the initial segment of the lexicographic order is not optimal.

Bollobás and Leader [7] conjectured that the solution to the supersaturation problem is always given by an ℓ -ball. Given n, k and s , an ℓ -ball of size s is a family $\mathcal{B}_\ell(n, k, s)$ of s sets such that there is some r with

$$\left\{ F \in \binom{[n]}{k} : |F \cap [r]| \geq \ell \right\} \subseteq \mathcal{B}_\ell(n, k, s) \subseteq \left\{ F \in \binom{[n]}{k} : |F \cap [r+1]| \geq \ell \right\}.$$

In particular, the initial segments of the lexicographic order are 1-balls, while their complements are isomorphic to k -balls.

We have shown that the construction \mathcal{F} given above has fewer disjoint pairs than the 1-balls of size $s = |\mathcal{F}|$. Computer-aided calculations show that for $n = 3k - 1$, $s = \binom{n-1}{k-1} + \binom{2k-1}{k} - 1$ and $5 \leq k \leq 15$, the 1-balls have far fewer disjoint pairs than the ℓ -balls for $\ell \geq 2$, showing that \mathcal{F} gives a counterexample to the Bollobás–Leader conjecture for these parameters. The numerical evidence suggests that \mathcal{F} should be a counterexample for all $k \geq 5$, but it is difficult to estimate the number of disjoint pairs in $\mathcal{B}_\ell(3k - 1, k, s)$ for $\ell \geq 2$, and so we have been unable to prove this.

Acknowledgements

We would like to thank the anonymous referee for several valuable suggestions that improved the presentation of this paper.

References

- [1] N. Alon and F. R. K. Chung, Explicit constructions of linear sized tolerant networks, *Discrete Math.* **2** (1988), 15–19.
- [2] J. Balogh and H. Liu, On the number of K_4 -saturating edges, *J. Combin. Theory, Ser. B* **109** (2014), 250–257.
- [3] J. Balogh, S. Das, M. Delcourt, H. Liu and M. Sharifzadeh, Intersecting families of discrete structures are typically trivial, *J. Combin. Theory, Ser. A* **132** (2015), 224–245.
- [4] J. Balogh, S. Petrickova and A. Zs. Wagner, Families in posets minimizing the number of comparable pairs, [arXiv:1703.05427](https://arxiv.org/abs/1703.05427) (2017).
- [5] J. Balogh and A. Zs. Wagner, Kleitman’s conjecture about families of given size minimizing the number of k -chains *Adv. Math.* **330** (2018), 229–252.
- [6] B. Bollobás, On generalized graphs, *Acta Math. Acad. Sci. Hungar.* **16** (1965), 447–452.

- [7] B. Bollobás and I. Leader, Set systems with few disjoint pairs, *Combinatorica* **23** (2003), 559–570.
- [8] O. Chervak, O. Pikhurko and K. Staden, Minimum number of additive tuples in groups of prime order, *Electron. J. Comb.*, **24(1)** (2019) #P1.30.
- [9] S. Das, W. Gan and B. Sudakov, Sperner’s Theorem and a problem of Erdős-Katona-Kleitman, *Combin. Probab. Comput.* **24** (2015), 585–608.
- [10] S. Das, W. Gan and B. Sudakov, The minimum number of disjoint pairs in set systems and related problems, *Combinatorica* **36** (2016), 623–660.
- [11] S. Das and T. Tran, Removal and stability for Erdős-Ko-Rado, *SIAM J. Discrete Math.* **30** (2016), 1102–1114.
- [12] M. Deza and P. Frankl, On the maximum number of permutations with given maximal or minimal distance, *J. Combin. Theory, Ser. A* **22** (1977), 352–360.
- [13] A. P. Dove, J. R. Griggs, R. J. Kang and J.-S. Sereni, Supersaturation in the Boolean lattice, *Integers* **14A** (2014), #A4.
- [14] D. Ellis, A proof of the Cameron-Ku conjecture, *J. London Math. Soc.* **85** (2012), 165–190.
- [15] D. Ellis, Y. Filmus and E. Friedgut, A quasi-stability result for dictatorships in S_n , *Combinatorica* **35** (2015), 573–618.
- [16] D. Ellis, E. Friedgut and H. Pilpel, Intersecting families of permutations, *J. Amer. Math. Soc.* **24** (2011), 649–682.
- [17] P. Erdős, On a theorem of Rademacher-Turán, *Illinois J. Math* **6** (1962), 122–127.
- [18] P. Erdős, On the number of complete subgraphs contained in certain graphs, *Magy. Tud. Acad. Mat. Kut. Int. Közl.* **7** (1962), 459–474.
- [19] P. Erdős, A problem on independent r -tuples, *Ann. Univ. Sci. Budapest. Eötvös Sect. Math.* **8** (1965), 93–95.
- [20] P. Erdős, C. Ko and R. Rado, Intersection theorems for systems of finite sets, *Q. J. Math.*, **12** (1961), 313–320.
- [21] Y. Filmus, Friedgut–Kalai–Naor theorem for slices of the Boolean cube, *Chicago J. Theoret. Comput. Sci.* (2016), article 14, pages 1–17.
- [22] P. Frankl, Improved bounds for Erdős’ matching conjecture, *J. Combin. Theory, Ser. A* **120** (2013), 1068–1072.
- [23] P. Frankl, Y. Kohayakawa and V. Rödl, A note on supersaturated set systems, *European J. Combin.* **51** (2016), 190–199.
- [24] P. Frankl and A. Kupavskii, Families with no s pairwise disjoint sets, *J. London Math. Soc.* **95** (2017), 875–894.
- [25] P. Frankl and A. Kupavskii, Counting intersecting and pairs of cross-intersecting families, *Comb. Prob. Comput.* **27** (2018), 60–68.
- [26] A. J. Hilton and E. C. Milner, Some intersection theorems for systems of finite sets, *Q. J. Math.* **18** (1967), 369–384.

- [27] S. Huczynska, Beyond Sum-Free Sets in the Natural Numbers, *Electron. J. Comb.* **21(1)** (2014), #P1.21.
- [28] G. Katona, A theorem of finite sets, *Theory of graphs*, (Proc. Colloq., Tihany, 1966), Academic Press, New York, 1968, pages 187–207.
- [29] G. O. H. Katona, G. Y. Katona and Z. Katona, Most probably intersecting families of subsets, *Comb. Prob. Comput.* **21** (2012), 219–227.
- [30] N. Khadžiivanov and V. Nikiforov, Solution of a problem of P. Erdős about the maximum number of triangles with a common edge in a graph (Russian), *C. R. Acad. Bulgare Sci.* **32** (1979), 1315–1318.
- [31] D. Kleitman, A conjecture of Erdős-Katona on commensurable pairs among subsets of an n -set, *Theory of Graphs, Proc. Colloq., Tihany*, (1966), 215–218.
- [32] J. B. Kruskal, The number of simplices in a complex, *Mathematical optimization techniques*, Univ. of California Press, Berkeley, Calif., 1963, pages 251–278.
- [33] H. Liu, O. Pikhurko and K. Staden, The exact minimum number of triangles in graphs of given order and size, [arXiv:1712.00633](https://arxiv.org/abs/1712.00633) (2017).
- [34] L. Lovász, Combinatorial problems and exercises, 2nd ed., AMS Chelsea Publishing, Providence, RI, 2007.
- [35] L. Lovász, On the Shannon capacity of a graph, *IEEE T. Inform. Theory* **25** (1979), 1–7.
- [36] L. Lovász and M. Simonovits, On the number of complete subgraphs of a graph, II. *Studies in pure mathematics*, 459–495, Birkhuser, Basel, 1983.
- [37] W. Mantel, Problem 28, *Wiskundige Opgaven* **10** (1907), 60–61.
- [38] D. Mubayi, Counting substructures I: color critical graphs, *Adv. Math.* **225** (2010), 2731–2740.
- [39] V. Nikiforov, The number of cliques in graphs of given order and size, *Trans. Amer. Math. Soc.* **363** (2011), 1599–1618.
- [40] J. Noel, A. Scott and B. Sudakov, Supersaturation in posets and applications involving the container method, *J. Combin. Theory, Ser. A* **154** (2018), 247–284.
- [41] O. Pikhurko and Z. Yilma, Supersaturation problem for color-critical graphs, *J. Combin. Theory, Ser. B* **123** (2017), 148–185.
- [42] A. Razborov, On the minimal density of triangles in graphs, *Combin. Probab. Comput.* **17** (2008), 603–618.
- [43] C. Reiher, The clique density theorem, *Ann. Math.* **184** (2016), 683–707.
- [44] P. Renteln, On the Spectrum of the Derangement Graph, *Electron. J. Combin.* **14** (2007), R82.
- [45] W. Samotij, Subsets of posets minimising the number of chains, *Trans. of AMS* **371** (2019), 7259–7274.
- [46] W. Samotij and B. Sudakov, On the number of monotone sequences, *J. Combin. Theory, Ser. B* **115** (2015), 132–163.

[47] W. Samotij and B. Sudakov, The number of additive triples in subsets of abelian groups, *Math. Proc. Cambridge Philos. Soc.* **160** (2016), 495–512.

A Intersection graphs

In this appendix, we prove Proposition 10, which shows how the intersection graph determines various parameters about the corresponding union of cosets, including its size and number of disjoint pairs.

A.1 Some preliminaries

We start by introducing some further notation we will use throughout this appendix. First, recall that d_n denotes the number of derangements in S_n , and that $D_n = d_n + d_{n-1}$. It will also be convenient for us to define the parameter $D'_n = d_n + 2d_{n-1}$, a quantity that arise later in our proof.

Next, given a graph G , $k_t(G)$ denotes the number of t -cliques in G . We will further write $K_t(G)$ for the set of these t -cliques. Moreover, given a vertex subset $X \subseteq V(G)$, we denote by $k_{t,X}(G)$ the number of t -cliques in G that contain X . In particular, we have $k_t(G) = k_{t,\emptyset}(G) = |K_t(G)|$. Again, we will omit G from the notation when the graph is clear from the context.

Finally, \bar{P}_3 is the complement of the path on three vertices, which is the union of an edge and an isolated vertex. Let $\mathbb{1}_{\bar{P}_3} : V(G) \times \binom{V(G)}{2} \rightarrow \{0, 1\}$ be the function defined by setting $\mathbb{1}_{\bar{P}_3}(x, \{y, z\}) = 1$ if and only if yz is the only edge of the induced subgraph $G[\{x, y, z\}]$. We then denote the number of induced copies of \bar{P}_3 in G by $i(\bar{P}_3, G)$, noting that $i(\bar{P}_3, G) = \sum_{x \in V(G)} \sum_{\{y, z\} \in \binom{V(G)}{2}} \mathbb{1}_{\bar{P}_3}(x, \{y, z\})$.

With this additional notation in place, we close these preliminaries with the following crucial observation, which we shall make repeated use of.

Observation 31. *If G is the intersection graph of a union of cosets, the following properties hold.*

- (i) *For every subset $X \subset V(G)$, the intersection $\cap_{x \in X} \mathcal{T}_x$ is non-empty if and only if $G[X]$ is a clique. In this case, $|\cap_{x \in X} \mathcal{T}_x| = (n - |X|)!$.*
- (ii) *If $n \geq 10\ell^2$, $(i_1, j_1), \dots, (i_\ell, j_\ell)$ form an ℓ -clique in G , and $\pi \in S_n \setminus \bigcup_{s=1}^{\ell} \mathcal{T}_{(i_s, j_s)}$, then*

$$\begin{aligned} \text{dp} \left(\pi, \bigcap_{s=1}^{\ell} \mathcal{T}_{(i_s, j_s)} \right) &= d_{n-\ell} + (\ell - |\{i_1, \dots, i_\ell\} \cap \{\pi^{-1}(j_1), \dots, \pi^{-1}(j_\ell)\}|) d_{n-\ell-1} \\ &\quad \pm 7\ell^2(n - \ell - 2)!. \end{aligned}$$

Proof. (i) Since $\mathcal{T}_{(i,j)} \cap \mathcal{T}_{(i',j')} = \emptyset$ whenever (i, j) and (i', j') are not adjacent in G , we have $\cap_{x \in X} \mathcal{T}_x = \emptyset$ whenever $G[X]$ is not a clique. Now suppose that $X = \{(i_1, j_1), \dots, (i_\ell, j_\ell)\}$ spans a clique in G . Then we must have $|\{i_1, \dots, i_\ell\}| = |\{j_1, \dots, j_\ell\}| = \ell$. Hence $|\cap_{x \in X} \mathcal{T}_x|$ is the number of bijections from $[n] \setminus \{i_1, \dots, i_\ell\}$ to $[n] \setminus \{j_1, \dots, j_\ell\}$, which is $(n - \ell)!$.

(ii) Fix a permutation $\pi \in S_n \setminus (\mathcal{T}_{(i_1, j_1)} \cup \dots \cup \mathcal{T}_{(i_\ell, j_\ell)})$, that is, a permutation satisfying $\pi(i_s) \neq j_s$ for all $s \in [\ell]$. If a permutation $\sigma \in \cap_{s=1}^\ell \mathcal{T}_{(i_s, j_s)}$ intersects π , then we must have $\sigma(x) = \pi(x)$ for some $x \in [n]$. Let \mathcal{A}_x denote the family of such permutations, and observe $\mathcal{A}_x = \mathcal{T}_{(x, \pi(x))} \cap (\cap_{s=1}^\ell \mathcal{T}_{(i_s, j_s)})$. By part (i), for this intersection to be non-empty, we require $(x, \pi(x))$ to be adjacent to each (i_s, j_s) in the intersection graph, or, equivalently, $x \notin \{i_1, \dots, i_\ell\} \cup \{\pi^{-1}(j_1), \dots, \pi^{-1}(j_\ell)\}$. For brevity, set

$$c = \ell - |\{i_1, \dots, i_\ell\} \cap \{\pi^{-1}(j_1), \dots, \pi^{-1}(j_\ell)\}| \text{ and} \\ I = [n] \setminus (\{i_1, \dots, i_\ell\} \cup \{\pi^{-1}(j_1), \dots, \pi^{-1}(j_\ell)\}).$$

Note that $|I| = n - \ell - c$, and $\cup_{x \in I} \mathcal{A}_x$ is the family of all permutations $\sigma \in \cap_{s=1}^\ell \mathcal{T}_{(i_s, j_s)}$ that intersect π . Applying the inclusion-exclusion formula and part (i), we obtain

$$\text{dp}(\pi, \cap_{s=1}^\ell \mathcal{T}_{(i_s, j_s)}) = |\cap_{s=1}^\ell \mathcal{T}_{(i_s, j_s)} \setminus \cup_{x \in I} \mathcal{A}_x| = \sum_{i=0}^{n-\ell-c} (-1)^i \binom{n-\ell-c}{i} (n-\ell-i)!. \quad (20)$$

Let α_i be the real number such that $\binom{n-\ell-c}{i} (n-\ell-i)! = \alpha_i \frac{(n-\ell)!}{i!}$. We shall approximate α_i by a simple function, and then use it to compute $\text{dp}(\pi, \cap_{s=1}^\ell \mathcal{T}_{(i_s, j_s)})$. By definition,

$$\alpha_i = \frac{(n-\ell-c)!(n-\ell-i)!}{(n-\ell)!(n-\ell-c-i)!} = \frac{\prod_{j=0}^{c-1} (n-\ell-i-j)}{\prod_{j=0}^{c-1} (n-\ell-j)} = \prod_{j=0}^{c-1} \left(1 - \frac{i}{n-\ell-j}\right).$$

Hence $\alpha_i \geq \left(1 - \frac{i}{n-\ell-c+1}\right)^c \geq 1 - \frac{ci}{n-\ell-c+1} = 1 - \frac{ci}{n-\ell} - \frac{c(c-1)i}{(n-\ell)(n-\ell-c+1)}$. On the other hand, $\alpha_i \leq \left(1 - \frac{i}{n-\ell}\right)^c \leq \exp\left\{-\frac{ci}{n-\ell}\right\} \leq 1 - \frac{ci}{n-\ell} + \left(\frac{ci}{n-\ell}\right)^2$. Since $c \leq \ell$ and $n \geq 10\ell^2$, we may write $\alpha_i = 1 - \frac{ci}{n-\ell} + \varepsilon_i$ with $|\varepsilon_i| \leq \frac{c^2 i^2}{(n-\ell)^2}$, providing an effective estimate when i is small.

Plugging these estimates into (20), we have

$$\begin{aligned} \text{dp}(\pi, \cap_{s=1}^\ell \mathcal{T}_{(i_s, j_s)}) &= \sum_{i=0}^{n-\ell-c} (-1)^i \alpha_i \frac{(n-\ell)!}{i!} \\ &= \sum_{i=0}^{n-\ell-c} (-1)^i \left(1 - \frac{ci}{n-\ell}\right) \frac{(n-\ell)!}{i!} \pm \sum_{i=0}^{n-\ell-c} |\varepsilon_i| \frac{(n-\ell)!}{i!} \\ &= \sum_{i=0}^{n-\ell} (-1)^i \left(1 - \frac{ci}{n-\ell}\right) \frac{(n-\ell)!}{i!} \pm \sum_{i=n-\ell-c+1}^{n-\ell} \left|1 - \frac{ci}{n-\ell}\right| \frac{(n-\ell)!}{i!} \\ &\quad \pm \sum_{i=0}^{n-\ell-c} \frac{c^2 i^2 (n-\ell)!}{(n-\ell)^2 i!}. \end{aligned}$$

Expanding the leading term gives

$$\sum_{i=0}^{n-\ell} (-1)^i \frac{(n-\ell)!}{i!} + c \sum_{i=0}^{n-\ell-1} (-1)^i \frac{(n-\ell-1)!}{i!} = d_{n-\ell} + c \cdot d_{n-\ell-1}.$$

To bound the first error term, observe that if $n - \ell - c + 1 \leq i \leq n - \ell$ (for which we must have $c \geq 1$), we have $\left|1 - \frac{ci}{n-\ell}\right| \leq c$ and $\frac{(n-\ell)!}{i!} \leq n^{c-1}$, and so

$$\sum_{i=n-\ell-c+1}^{n-\ell} \left|1 - \frac{ci}{n-\ell}\right| \frac{(n-\ell)!}{i!} \leq c^2 n^{c-1} \leq \ell^2 n^{c-1} \leq \ell^2 (n-\ell-2)!.$$

Finally, using $c \leq \ell$, we bound the second error term by

$$\sum_{i=0}^{n-\ell-c} \frac{c^2 i^2 (n-\ell)!}{(n-\ell)^2 i!} \leq c^2 (n-\ell-2)! \sum_{i \geq 0} \frac{i^2}{i!} \leq 6\ell^2 (n-\ell-2)!.$$

Putting these bounds together gives the desired expression for $\text{dp}(\pi, \bigcap_{s=1}^{\ell} \mathcal{T}_{(i_s, j_s)})$. \square

A.2 Proof of Proposition 10(a)

Armed with these preliminaries, we may begin to prove the statements in Proposition 10, of which the first is by far the simplest.

Proof of Proposition 10(a). By the Bonferroni inequalities, we have

$$|\mathcal{G}| = \left| \bigcup_{x \in V(G)} \mathcal{T}_x \right| = \sum_{1 \leq i \leq 3} (-1)^{i-1} \left(\sum_{X \in \binom{V(G)}{i}} \left| \bigcap_{x \in X} \mathcal{T}_x \right| \right) \pm \sum_{X \in \binom{V(G)}{4}} \left| \bigcap_{x \in X} \mathcal{T}_x \right|.$$

By Observation 31(i), $\bigcap_{x \in X} \mathcal{T}_x$ is empty unless X induces a clique in G , in which case $|\bigcap_{x \in X} \mathcal{T}_x| = (n - |X|)!$. Hence we have

$$|\mathcal{G}| = k_1(n-1)! - k_2(n-2)! + k_3(n-3)! \pm k_4(n-4)!,$$

as required. \square

A.3 Proof of Proposition 10(b)

In the second part of the proposition, we count the number of disjoint pairs between \mathcal{G} and an arbitrary permutation $\pi \in S_n \setminus \mathcal{G}$. We will in fact prove the more accurate estimate given in the claim below, as this will be required in the proof of part (c).

Claim 32. *Let \mathcal{G} be a union of $k_1 \leq n$ cosets with intersection graph G . Then, for every $\pi \in S_n \setminus \mathcal{G}$,*

$$\begin{aligned} \text{dp}(\pi, \mathcal{G}) &= k_1 D_{n-1} - k_2 D'_{n-2} + \left(k_3 + \sum_{xy \in E(G)} |\{x_1, y_1\} \cap \{\pi^{-1}(x_2), \pi^{-1}(y_2)\}| \right) d_{n-3} \\ &\quad \pm (28k_2 + 4k_3 + k_4)(n-4)!, \end{aligned}$$

where the indices $x = (x_1, x_2)$ and $y = (y_1, y_2)$ are vertices of G .

We first verify that this implies the bound from the proposition.

Proof of Proposition 10(b). The leading term is already in the desired form. For the second-order term, observe that $D'_{n-2} = D_{n-2} + d_{n-3}$. In the third term, we bound the coefficient of d_{n-3} above by $k_3 + 2k_2$, and recall that we also have a term of $-k_2d_{n-3}$ from the second-order term. Thus, in total, the third-order term is at most $(k_3 + k_2)d_{n-3}$. Since $k_3 \leq k_1k_2$ and $d_{n-3} \leq (n-3)!$, we can bound this from above by $2k_1k_2(n-3)!$.

With regards to the error term, note that by making the constant c in Proposition 10 sufficiently small, we may assume n is large. Thus, using the aforementioned bound on k_3 , and bounding $k_4 \leq k_1^2k_2/12$, we have $(28k_2 + 4k_3 + k_4)(n-4)! \leq k_1k_2(n-3)!$.

Substituting these estimates into the equation from Claim 32 gives $\text{dp}(\pi, \mathcal{G}) = k_1D_{n-1} - k_2D_{n-2} \pm 3k_1k_2(n-3)!$, as required. \square

We now prove the claim.

Proof of Claim 32. Let π be an arbitrary permutation in $S_n \setminus \mathcal{G}$. It follows from the Bonferroni inequalities and Observation 31(i) that

$$\text{dp}(\pi, \mathcal{G}) = \sum_{i=1}^3 (-1)^{i-1} \left(\sum_{X \in K_i(G)} \text{dp}(\pi, \cap_{x \in X} \mathcal{T}_x) \right) \pm \sum_{X \in K_4(G)} \text{dp}(\pi, \cap_{x \in X} \mathcal{T}_x).$$

Recall from Subsection 2.1 that $\text{dp}(\pi, \mathcal{T}_x) = D_{n-1}$ for every $x \in V(G)$. For $xy \in E(G)$, say $x = (x_1, x_2)$ and $y = (y_1, y_2)$, Observation 31(ii) implies

$$\begin{aligned} \text{dp}(\pi, \mathcal{T}_x \cap \mathcal{T}_y) &= d_{n-2} + (2 - |\{x_1, y_1\} \cap \{\pi^{-1}(x_2), \pi^{-1}(y_2)\}|) d_{n-3} \pm 28(n-4)! \\ &= D'_{n-2} - |\{x_1, y_1\} \cap \{\pi^{-1}(x_2), \pi^{-1}(y_2)\}| d_{n-3} \pm 28(n-4)! \end{aligned}$$

as $D'_{n-2} = d_{n-2} + 2d_{n-3}$. Again using Observation 31(ii) gives $\text{dp}(\pi, \cap_{x \in X} \mathcal{T}_x) = d_{n-3} \pm 4(n-4)!$ for every $X \in K_3(G)$. For each $X \in K_4(G)$, we may deduce from Observation 31 (i) that $\text{dp}(\pi, \cap_{x \in X} \mathcal{T}_x) \leq |\cap_{x \in X} \mathcal{T}_x| = (n-4)!$. Combining these bounds gives the claim. \square

A.4 Proof of Proposition 10(c)

In the third part of the proposition, we count the number of disjoint pairs within a union \mathcal{G} of cosets, with the result depending on numerous parameters of the intersection graph G . We shall once more prove a more precise estimate that we will need in the proof of part (d).

Claim 33. *Let \mathcal{G} be a union of $k_1 \leq cn^{1/2}$ cosets with intersection graph G . Then*

$$\text{dp}(\mathcal{G}) = \sum_{i=1}^3 a_i(n-i)!D_{n-1} \pm 12k_1^3k_2(n-1)!(n-4)!,$$

where

$$\begin{aligned}
 a_1 &= \binom{k_1}{2}, \\
 a_2 &= -(k_1 - 1)k_2, \text{ and} \\
 a_3 &= \frac{1}{2} \left((2k_1 - 3)k_3 + (k_2 - k_1 + 1)k_2 + i(\bar{P}_3, G) \right).
 \end{aligned}$$

Let us first verify that this claim suffices for the proposition.

Proof of Proposition 10(c). The first two terms in Claim 33 are exactly as required. We need only verify that the sum of the other terms is at most $2k_1^2k_2(n-1)!(n-3)!$ in magnitude. This is easily seen to be true, using the bounds $(2k_1 - 3)k_3 \leq \frac{2}{3}k_1^2k_2$, $k_2^2 \leq \frac{1}{2}k_1^2k_2$, and $i(\bar{P}_3, G) \leq k_1k_2 \leq \frac{1}{2}k_1^2k_2$, and recalling that $k_1 \leq cn^{1/2}$ for some small constant c . \square

We now prove the claim.

Proof of Claim 33. The idea behind the proof is to partition the permutations in \mathcal{G} based on how many of the cosets they are contained in. For each vertex set $X \subseteq V(G)$, let \mathcal{M}_X be the family of all permutations $\pi \in \mathcal{G}$ which satisfy $\{x \in V(G) : \pi \in \mathcal{T}_x\} = X$.

We shall use the symbol $\dot{\cup}$ to denote a union of disjoint sets. From Observation 31(i) we find $\mathcal{M}_i := \dot{\cup}_{|X|=i} \mathcal{M}_X = \dot{\cup}_{X \in K_i(G)} \mathcal{M}_X$, and thus $\text{dp}(\mathcal{M}_i, \mathcal{G}) = \sum_{X \in K_i(G)} \text{dp}(\mathcal{M}_X, \mathcal{G})$. The following claim evaluates these expressions.

Claim 34. For \mathcal{G} and \mathcal{M}_i as defined above,

- (i) $\sum_{i \geq 4} \text{dp}(\mathcal{M}_i, \mathcal{G}) \leq k_1k_4(n-1)!(n-4)!$,
- (ii) $\text{dp}(\mathcal{M}_3, \mathcal{G}) = (k_1 - 3)k_3(n-3)!D_{n-1} \pm 3k_1^2k_3(n-1)!(n-4)!$,
- (iii) $\text{dp}(\mathcal{M}_2, \mathcal{G}) = \sum_{i=2}^3 c_i(n-i)!D_{n-1} \pm 16k_1k_2^2(n-1)!(n-4)!$, where $c_2 = (k_1 - 2)k_2$ and $c_3 = -3(k_1 - 2)k_3 - \sum_{X \in K_2(G)} k_2(G - X)$, and
- (iv) $\text{dp}(\mathcal{M}_1, \mathcal{G}) = \sum_{i=1}^3 b_i(n-i)!D_{n-1} \pm 13k_1^3k_2(n-1)!(n-4)!$, in which $b_1 = k_1(k_1 - 1)$, $b_2 = (-3k_1 + 4)k_2$, and $b_3 = (4k_1 - 6)k_3 - (k_1 - 2)k_2 + i(\bar{P}_3, G) + \sum_{x \in V(G)} k_{2, \{x\}}k_2(G - x)$.

Since $\mathcal{G} = \dot{\cup}_{i \geq 1} \mathcal{M}_i$, one has $\text{dp}(\mathcal{G}) = \frac{1}{2} \sum_{1 \leq i \leq k_1} \text{dp}(\mathcal{M}_i, \mathcal{G})$. Claim 33 thus follows by summing the above, noting that $\sum_x k_{2, \{x\}}k_2(G - x) - \sum_{X \in K_2(G)} k_2(G - X) = k_2(k_2 - 1)$. \square

It remains to prove Claim 34. We begin by bounding the contribution from permutations in at least four cosets.

Proof of Claim 34(i). One has $|\mathcal{G}| \leq k_1(n-1)!$, and $|\cup_{i \geq 4} \mathcal{M}_i| \leq \sum_{X \in K_4(G)} |\cap_{x \in X} \mathcal{T}_x| \leq k_4(n-4)!$ due to Observation 31 (i). Hence $\sum_{i \geq 4} \text{dp}(\mathcal{M}_i, \mathcal{G}) \leq |\cup_{i \geq 4} \mathcal{M}_i| |\mathcal{G}| \leq k_1 k_4 (n-1)!(n-4)!$. \square

We next consider permutations in exactly three cosets.

Proof of Claim 34(ii). Fix $X \in K_3(G)$ and let $\pi \in \mathcal{M}_X$. Observe that if $\sigma \in \mathcal{T}_x$ for $x \in X$, then π and σ intersect. Thus, when counting the disjoint pairs between π and \mathcal{G} , we need only consider the subfamily of \mathcal{G} corresponding to the intersection graph given by $G - X$. Applying Proposition 10(b) to this subgraph, we see that

$$\text{dp}(\pi, \mathcal{G}) = \text{dp}(\pi, \cup_{x \in V(G)-X} \mathcal{T}_x) = (k_1 - 3)D_{n-1} \pm 2k_2(n-2)!$$

On the other hand, it follows from the Bonferroni inequalities and Observation 31 (i) that $|\mathcal{M}_X| = (n-3)! \pm k_{4,X}(n-4)!$ for every triangle $X \in K_3(G)$. Combining with the trivial bound $k_{4,X} \leq k_1$, this gives

$$|\mathcal{M}_3| = \sum_{X \in K_3(G)} |\mathcal{M}_X| = k_3(n-3)! \pm k_1 k_3 (n-4)!$$

Summing $\text{dp}(\pi, \mathcal{G})$ over all permutations $\pi \in \mathcal{M}_3$ and using the estimate $2k_2 \leq k_1^2$ gives the desired result. \square

We shall use a similar counting argument to estimate $\text{dp}(\mathcal{M}_2, G)$.

Proof of Claim 34(iii). Fix $X \in K_2(G)$ and $\pi \in \mathcal{M}_X$. As before, any disjoint permutations come from cosets in $G - X$. Applying Proposition 10(b) to $G - X$ gives

$$\text{dp}(\pi, \mathcal{G}) = (k_1 - 2)D_{n-1} - k_2(G - X)D_{n-2} \pm 4k_1 k_2 (n-3)!$$

On the other hand, by appealing to the Bonferroni inequalities and Observation 31(i), we see that $|\mathcal{M}_X| = (n-2)! - k_{3,X} \cdot (n-3)! \pm k_{4,X}(n-4)!$. These two bounds, together with the estimates $k_{3,X} \leq k_1 < n$ and $k_{4,X} \leq k_2 \leq k_1^2$, imply

$$\begin{aligned} \text{dp}(\mathcal{M}_X, \mathcal{G}) &= (k_1 - 2)(n-2)!D_{n-1} - (k_1 - 2)k_{3,X}(n-3)!D_{n-1} \\ &\quad - k_2(G - X)(n-2)!D_{n-2} \pm 15k_1 k_2 (n-1)!(n-4)! \end{aligned}$$

As $(n-2)!D_{n-2} = (n-3)!D_{n-1} \pm (n-1)!(n-4)!$, this expression can be simplified further as

$$\begin{aligned} \text{dp}(\mathcal{M}_X, \mathcal{G}) &= (k_1 - 2)(n-2)!D_{n-1} - ((k_1 - 2)k_{3,X} + k_2(G - X))(n-3)!D_{n-1} \\ &\quad \pm 16k_1 k_2 (n-1)!(n-4)! \end{aligned}$$

Summing $\text{dp}(\mathcal{M}_X, \mathcal{G})$ over all $X \in K_2(G)$ and using the identity $\sum_{X \in K_2(G)} k_{3,X} = 3k_3$ results in the desired equation. \square

Finally we come to what is, in some sense, the trickiest part of our proof, which is dealing with permutations in a single coset.

Proof of Claim 34(iv). Fix a vertex $x \in V(G)$ and a permutation $\pi \in \mathcal{M}_{\{x\}}$. Once again, any disjoint permutations must come from cosets in $G - x$. Applying Claim 32 to this subgraph, we find

$$\begin{aligned} \text{dp}(\pi, \mathcal{G}) &= (k_1 - 1)D_{n-1} - k_2(G - x)D'_{n-2} \\ &\quad + \left(k_3(G - x) + \sum_{(y_1, y_2)(z_1, z_2) \in E(G-x)} |\{y_1, z_1\} \cap \{\pi^{-1}(y_2), \pi^{-1}(z_2)\}| \right) d_{n-3} \\ &\quad \pm (28k_2 + 4k_3 + k_4)(n - 4)!. \end{aligned}$$

On the other hand, from the Bonferroni inequalities we have

$$|\mathcal{M}_{\{x\}}| = (n - 1)! + \sum_{i \in \{2, 3\}} (-1)^{i-1} k_{i, \{x\}} (n - i)! \pm k_{4, \{x\}} (n - 4)!.$$

For each edge $(y_1, y_2)(z_1, z_2) \in E(G - x)$, we observe that for all but at most $2(n - 2)!$ permutations $\pi \in \mathcal{M}_{\{x\}}$, we have $|\{y_1, z_1\} \cap \{\pi^{-1}(y_2), \pi^{-1}(z_2)\}| = \mathbb{1}_{\bar{P}_3}(x, \{y, z\})$. Indeed, $\mathbb{1}_{\bar{P}_3}(x, \{y, z\}) = 1$ if and only if $x \in \{(y_1, z_2), (z_1, y_2)\}$, in which case, since $\pi(x_1) = x_2$, we have $|\{y_1, z_1\} \cap \{\pi^{-1}(y_2), \pi^{-1}(z_2)\}| \geq 1$, with equality unless both $\pi(y_1) = z_2$ and $\pi(z_1) = y_2$. When $x \notin \{(y_1, z_2), (z_1, y_2)\}$, in order for $|\{y_1, z_1\} \cap \{\pi^{-1}(y_2), \pi^{-1}(z_2)\}|$ to be positive, we need $\pi(y_1) = z_2$ or $\pi(z_1) = y_2$ in addition to $\pi(x_1) = x_2$, thus giving at most $2(n - 2)!$ exceptions in this case.

Putting these facts together and summing $\text{dp}(\pi, \mathcal{G})$ over all $\pi \in \mathcal{M}_{\{x\}}$ then gives

$$\begin{aligned} \text{dp}(\mathcal{M}_{\{x\}}, \mathcal{G}) &= (k_1 - 1)(n - 1)!D_{n-1} - k_2(G - x)(n - 1)!D'_{n-2} \\ &\quad - (k_1 - 1)k_{2, \{x\}}(n - 2)!D_{n-1} + k_{2, \{x\}}k_2(G - x)(n - 2)!D'_{n-2} \\ &\quad + (k_1 - 1)k_{3, \{x\}}(n - 3)!D_{n-1} + \left(k_3(G - x) + \sum_{yz \in E(G-x)} \mathbb{1}_{\bar{P}_3}(x, \{y, z\}) \right) (n - 1)!d_{n-3} \\ &\quad \pm 4k_2(n - 2)!d_{n-3} \pm 10k_1^2k_2(n - 1)!(n - 4)!, \end{aligned}$$

where in the final term we use $k_i \leq 2k_1^{i-2}k_2/i!$ and the fact that $k_1 \leq cn^{1/2}$ to bound the lower-order error terms.

Moreover, we have the identities $(n - 1)!D'_{n-2} = (n - 2)!D_{n-1} + (n - 3)!D_{n-1} \pm (n - 1)!(n - 4)!$, $(n - 2)!D'_{n-2} = (n - 3)!D_{n-1} \pm (n - 1)!(n - 4)!$, and $(n - 1)!d_{n-3} = (n - 3)!D_{n-1} \pm (n - 1)!(n - 4)!$. Hence

$$\text{dp}(\mathcal{M}_{\{x\}}, \mathcal{G}) = \sum_{i=1}^3 b'_i(n - i)!D_{n-1} \pm 13k_1^2k_2(n - 1)!(n - 4)!,$$

where

$$\begin{aligned} b'_1 &= k_1 - 1, \\ b'_2 &= -k_2(G - x) - (k_1 - 1)k_{2, \{x\}}, \text{ and} \\ b'_3 &= -k_2(G - x) + k_{2, \{x\}}k_2(G - x) + (k_1 - 1)k_{3, \{x\}} + k_3(G - x) + \sum_{\substack{yz \in E(G) \\ x \notin \{y, z\}}} \mathbb{1}_{\bar{P}_3}(x, \{y, z\}). \end{aligned}$$

Noting that $\sum_x k_2(G-x) = (k_1-2)k_2$, $\sum_x k_{2,\{x\}} = 2k_2$, $\sum_x k_3(G-x) = (k_1-3)k_3$, and $\sum_x k_{3,\{x\}} = 3k_3$, and summing the above estimate for $\text{dp}(\mathcal{M}_{\{x\}}, \mathcal{G})$ over all $x \in V(G)$, we get the desired formula for $\text{dp}(\mathcal{M}_1, \mathcal{G})$. \square

A.5 Proof of Proposition 10(d)

The final part of this appendix is devoted to showing that if \mathcal{G} is a union of few cosets in S_n , then \mathcal{G} has at least as many disjoint pairs as $\mathcal{T}(n, s)$, where $s = |\mathcal{G}|$. To bound the gap $\text{dp}(\mathcal{G}) - \text{dp}(\mathcal{T}(n, s))$, we shall use part (III) of the following claim concerning structural properties of intersection graphs.

Claim 35. *Let G be the intersection graph of a union \mathcal{G} of at most $cn^{1/2}$ cosets in S_n . Then the following properties hold.*

(I) $k_2 \geq \max\{k_1, 2k_1 - 6\}$ unless one of the following cases occurs:

- (i) \mathcal{G} is canonical;
- (ii) G is isomorphic to $2K_2, P_4, P_5$ or $C_4 \cup K_1$.

(II) If $k_2 \geq k_1$, then

$$k_2(k_2 - k_1 + 1) \geq 2k_3 + 1.$$

(III) If \mathcal{G} is not canonical, then

$$k_2(k_2 - k_1 + 1) + i(\bar{P}_3, G) - k_3 \geq \frac{1}{50}k_1k_2.$$

We note that parts (I) and (II) will only be used to prove part (III). Before proving this claim, we show how it implies the final part of the proposition.

Proof of Proposition 10(d). If \mathcal{G} is canonical, then \mathcal{G} is a union of $k_1 - 1$ pairwise disjoint cosets and an intersecting family, and so $\text{dp}(\mathcal{G}) = \text{dp}(\mathcal{T}(n, s))$.

Now suppose that \mathcal{G} is not canonical. By Proposition 10(a), and since $k_4 \leq k_1^2k_2$,

$$s = |\mathcal{G}| = k_1(n-1)! - k_2(n-2)! + k_3(n-3)! \pm k_1^2k_2(n-4)!.$$

So if we write $s =: (k_1 + \varepsilon)(n-1)!$, then

$$\varepsilon(n-1)! = s - k_1(n-1)! = -k_2(n-2)! + k_3(n-3)! \pm k_1^2k_2(n-4)!,$$

which is non-negative since $k_3 \leq k_1k_2$ and $k_1 \leq cn^{1/2}$. Using (3) with $\varepsilon \leq 0$ yields

$$\text{dp}(\mathcal{T}(n, s)) = \sum_{1 \leq i \leq 3} b_i(n-i)!D_{n-1} \pm k_1^3k_2(n-1)!(n-4)!,$$

where $b_1 = \binom{k_1}{2}$, $b_2 = -(k_1-1)k_2$ and $b_3 = (k_1-1)k_3$. We can easily derive from this and Claim 33 that

$$\begin{aligned} \text{dp}(\mathcal{G}) - \text{dp}(\mathcal{T}(n, s)) &= \frac{1}{2} [k_2(k_2 - k_1 + 1) + i(\bar{P}_3, G) - k_3] (n-3)!D_{n-1} \\ &\quad \pm 13k_1^3k_2(n-1)!(n-4)!. \end{aligned}$$

Furthermore, we have $k_2(k_2 - k_1 + 1) + i(\bar{P}_3, G) - k_3(G) \geq \frac{1}{50}k_1k_2$ by Claim 35(III). Therefore,

$$\text{dp}(\mathcal{G}) - \text{dp}(\mathcal{T}(n, s)) \geq \frac{1}{100}k_1k_2(n-3)!D_{n-1} - 13k_1^3k_2(n-1)!(n-4)! > 0,$$

as $D_{n-1} = (e^{-1} + o(1))(n-1)!$, $1 \leq k_1 \leq cn^{1/2}$, and, since \mathcal{G} is not canonical, $k_2 \geq 1$. \square

Thus to complete the proof of Proposition 10, we need to prove Claim 35. The first part shows that, but for a handful of small exceptions, the intersection graph of a non-canonical union of cosets must have many edges.

Proof of Claim 35(I). It is not difficult to verify the result for $k_1 \leq 5$. It remains to deal with the case that $k_1 \geq 6$ and $k_2 < \max\{k_1, 2k_1 - 6\} = 2k_1 - 6$, in which case we wish to show \mathcal{G} to be canonical.

Let ℓ be an axis-aligned line that maximises $d := |\ell \cap V(G)|$. If $d \geq k_1 - 1$, then \mathcal{G} is canonical, as desired. If $d \leq 2$, then $d_G(x) \geq k_1 - 3$ for every $x \in V(G)$. Hence, as $k_1 \geq 6$,

$$k_2 \geq \frac{1}{2}k_1(k_1 - 3) \geq 3(k_1 - 3) > 2k_1 - 6,$$

a contradiction.

We may therefore assume $3 \leq d \leq k_1 - 2$. Since each vertex $x \in V(G) \setminus \ell$ is incident to all but at most one vertex in ℓ , we must have

$$k_2 \geq (k_1 - d)(d - 1) \geq 2(k_1 - 3),$$

giving the required contradiction. \square

The next part of the claim bounds the number of triangles in terms of the number of edges and vertices.

Proof of Claim 35(II). We use induction on k_1 . The cases $k_1 \leq 6$ can be checked by hand.

Now suppose $k_1 \geq 7$. If $k_2 \geq k_1$, \mathcal{G} cannot be canonical. It then follows from part (I) that

$$k_2 \geq 2k_1 - 6 \geq k_1 + 1. \tag{21}$$

Let x be a vertex of G of minimum degree. We distinguish two cases.

Case 1: x is isolated. In this case, vertices of G must lie entirely in the two axis-aligned lines ℓ_1 and ℓ_2 passing through x , and thus G is bipartite, implying $k_3 = 0$. As a consequence,

$$k_2(k_2 - k_1 + 1) \stackrel{(21)}{\geq} 2(k_1 + 1) \geq 16 > 2k_3 + 1.$$

Case 2: $d_G(x) \geq 1$. Let $G' := G - \{x\}$. Then, as x is of minimum degree in G ,

$$k_2(G') \geq k_2(G) - \frac{2k_2(G)}{k_1(G)} \stackrel{(21)}{>} k_1(G) - 2.$$

Thus $k_2(G') \geq k_1(G) - 1 = k_1(G')$, and so the induction hypothesis applies to G' . Note that

$$\begin{aligned} e(G)(e(G) - v(G) + 1) &= (e(G') + d_G(x))(e(G') - v(G') + 1 + d_G(x) - 1) \\ &\geq e(G')(e(G') - v(G') + 1) + d_G(x)(d_G(x) - 1) \end{aligned}$$

since $d_G(x) \geq 1$ and $e(G') \geq v(G')$. By the induction hypothesis, $e(G')(e(G') - v(G') + 1) \geq 2k_3(G') + 1$, and since there are at most $\binom{d_G(x)}{2}$ triangles in G containing x , the right hand side of the above expression is at least $2k_3(G) + 1$. \square

At long last, this brings us to the final proof of this paper,⁴ the crucial inequality in the proof of Proposition 10(d).

Proof of Claim 35(III). If $k_2 < k_1$, then, by part (I), G is isomorphic to $2K_2, P_4, P_5$ or $C_4 \cup K_1$. We can easily check that $k_2(k_2 - k_1 + 1) + i(\bar{P}_3, G) - k_3 \geq \frac{1}{50}k_1k_2$ in these cases.⁵

Suppose, then, that $k_2 \geq k_1$. If $k_1 \leq 5$, then by part (II) we have

$$k_2(k_2 - k_1 + 1) + i(\bar{P}_3, G) - k_3 \geq 1 \geq \frac{1}{50}k_1k_2,$$

as desired. It remains to handle the case $k_2 \geq k_1 \geq 6$. Part (I) implies $k_2 \geq 2k_1 - 6$, and so $k_2 - k_1 + 1 \geq \frac{1}{6}k_1$. Combining this estimate with part (II), we find

$$k_2(k_2 - k_1 + 1) + i(\bar{P}_3, G) - k_3 \geq \frac{1}{2}k_2(k_2 - k_1 + 1) \geq \frac{1}{12}k_1k_2,$$

finishing the proof. \square

⁴We applaud the reader for making it this far.

⁵Observe that this is where we require the term $i(\bar{P}_3, G)$; in all other cases we simply use the fact that this is non-negative.