# Non-bipartite distance-regular graphs with a small smallest eigenvalue 

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#### Abstract

In 2017, Qiao and Koolen showed that for any fixed integer $D \geqslant 3$, there are only finitely many non-bipartite distance-regular graphs with $\theta_{\min } \leqslant-\alpha k$, where $0<\alpha<1$ is any fixed number. In this paper, we will study non-bipartite distanceregular graphs with relatively small $\theta_{\min }$ compared with $k$. In particular, we will show that if $\theta_{\min }$ is relatively close to $-k$, then the odd girth $g$ must be large. Also we will classify the non-bipartite distance-regular graphs with $\theta_{\min } \leqslant-\frac{D-1}{D} k$ for $D=4,5$. Mathematics Subject Classifications: 05C75, 05E30, 05C50


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## 1 Introduction

The odd girth of a non-bipartite graph is the length of its shortest odd cycle. Let $\Gamma$ be a non-bipartite distance-regular graph with valency $k$, diameter $D$, odd girth $g$ and smallest eigenvalue $\theta_{\text {min }}$. In [6], Qiao and Koolen showed that for any fixed integer $D \geqslant 3$, there are only finitely many such graphs with $\theta_{\min } \leqslant-\alpha k$, where $0<\alpha<1$ is any fixed number. In this paper, we will study non-bipartite distance-regular graphs with relatively small $\theta_{\min }$ compared with $k$. In the next result, we will show that if $\theta_{\text {min }}$ is relatively close to $-k$, then the odd girth $g$ must be large.

Theorem 1. Let $\Gamma$ be a non-bipartite distance-regular graph with valency $k$ and odd girth $g$, having smallest eigenvalue $\theta_{\text {min }}$. Then there exists a constant $\varepsilon(g)>0$ such that $\theta_{\text {min }} \geqslant-(1-\varepsilon(g)) k$.

Remark 2. The positive constant $\varepsilon(g)$ goes to 0 as the odd girth $g$ goes to $\infty$. For example, the $(2 t+1)$-gon has valency $k=2$, odd girth $g=2 t+1$ and smallest eigenvalue $\theta_{\text {min }}=2 \cos \left(\frac{2 t \pi}{2 t+1}\right)$. Thus, $\varepsilon(g) \leqslant 1+\frac{\theta_{\text {min }}}{k}=2 \cos ^{2}\left(\frac{t \pi}{2 t+1}\right)$.

In [6], Qiao and Koolen classified non-bipartite distance-regular graphs with valency $k$, diameter $D \leqslant 3$ and smallest eigenvalue $\theta_{\min } \leqslant-k / 2$. Using Theorem 1 , we will classify non-bipartite distance-regular graphs with valency $k$, diameter $D$ and smallest eigenvalue $\theta_{\min } \leqslant-\frac{D-1}{D} k$, when $D=4$ or 5 .

Theorem 3. Let $\Gamma$ be a non-bipartite distance-regular graph with valency $k$, diameter $D$ and smallest eigenvalue $\theta_{\min } \leqslant-\frac{D-1}{D} k$.
i) If $D=4$, then $\Gamma$ is one of the following graph
a) the Coxeter graph with intersection array $\{3,2,2,1 ; 1,1,1,2\}$,
b) the 9 -gon with intersection array $\{2,1,1,1 ; 1,1,1,1\}$,
c) the Odd graph $O_{5}$ with intersection array $\{5,4,4,3 ; 1,1,2,2\}$,
d) the folded 9 -cube with intersection array $\{9,8,7,6 ; 1,2,3,4\}$.
ii) If $D=5$, then $\Gamma$ is one of the following graph
a) the 11-gon with intersection array $\{2,1,1,1,1 ; 1,1,1,1,1\}$,
b) the Odd graph $O_{6}$ with intersection array $\{6,5,5,4,4 ; 1,1,2,2,3\}$,
c) the folded 11-cube with intersection array $\{11,10,9,8,7 ; 1,2,3,4,5\}$.

This paper is organized as follows. In the next section, we give the definitions and some preliminary results. In Section 3, we give a proof of Theorem 1. In the last section, we give a proof of Theorem 3.

## 2 Preliminaries

For more background, see [4] and [7].
All the graphs considered in this paper are finite, undirected and simple. Let $\Gamma$ be a graph with vertex set $V=V(\Gamma)$ and edge set $E=E(\Gamma)$. Denote $x \sim y$ if the vertices $x, y \in V$ are adjacent. The distance $d(x, y)=d_{\Gamma}(x, y)$ between two vertices $x, y \in V(\Gamma)$ is the length of a shortest path connecting $x$ and $y$. The maximum distance between two vertices in $\Gamma$ is the diameter $D=D(\Gamma)$. We use $\Gamma_{i}(x)$ for the set of vertices at distance $i$ from $x$ and write, for the sake of simplicity, $\Gamma(x):=\Gamma_{1}(x)$. The degree of $x$ is the number $|\Gamma(x)|$ of vertices adjacent to it. A graph is regular with valency $k$ if the degree of each of its vertices is $k$. The girth and odd girth of a graph is the length of its shortest cycle, and shortest odd cycle, respectively. A graph $\Gamma$ is called bipartite if it has no odd cycle.

A connected graph $\Gamma$ with diameter $D$ is called distance-regular if there are integers $b_{i}, c_{i}(i=0,1, \ldots, D)$ such that for any two vertices $x, y \in V(\Gamma)$ with $d(x, y)=i$, there are exactly $c_{i}$ neighbors of $y$ in $\Gamma_{i-1}(x)$ and $b_{i}$ neighbors of $y$ in $\Gamma_{i+1}(x)$, where we define $b_{D}=c_{0}=0$. In particular, $\Gamma$ is a regular graph with valency $k:=b_{0}$. We define $a_{i}:=k-b_{i}-c_{i}(i=0,1, \ldots, D)$ for notational convenience. Note that $a_{i}=\left|\Gamma(y) \cap \Gamma_{i}(x)\right|$ holds for any two vertices $x, y$ with $d(x, y)=i(i=0,1, \ldots, D)$.

For a distance-regular graph $\Gamma$ and a vertex $x \in V(\Gamma)$, we denote $k_{i}:=\left|\Gamma_{i}(x)\right|$ and $p_{i j}^{h}:=\left|\left\{w \mid w \in \Gamma_{i}(x) \cap \Gamma_{j}(y)\right\}\right|$ for any $y \in \Gamma_{h}(x)$. It is easy to see that $k_{i}=$ $b_{0} b_{1} \cdots b_{i-1} /\left(c_{1} c_{2} \cdots c_{i}\right)$ and hence it does not depend on $x$. The numbers $a_{i}, b_{i}$ and $c_{i}(i=$ $0,1, \ldots, D)$ are called the intersection numbers, and the array $\left\{b_{0}, b_{1}, \ldots, b_{D-1} ; c_{1}, c_{2}, \ldots\right.$, $\left.c_{D}\right\}$ is called the intersection array of $\Gamma$. The matrix $L$ is called the intersection matrix of $\Gamma$, where

$$
L=\left(\begin{array}{cccccc}
a_{0} & b_{0} & 0 & & & \\
c_{1} & a_{1} & b_{1} & & 0 & \\
& c_{2} & a_{2} & \cdot & & \\
& & \cdot & \cdot & \cdot & \\
& 0 & & \cdot & \cdot & b_{D-1} \\
& & & & c_{D} & a_{D}
\end{array}\right)
$$

Let $\Gamma$ be a distance-regular graph with $v$ vertices and diameter $D$. Let $A_{i}(i=$ $0,1, \ldots, D)$ be the $(0,1)$-matrix whose rows and columns are indexed by the vertices of $\Gamma$ and the $(x, y)$-entry is 1 whenever $d(x, y)=i$ and 0 otherwise. We call $A_{i}$ the distance- $i$ matrix and $A:=A_{1}$ the adjacency matrix of $\Gamma$. The eigenvalues $\theta_{0}>\theta_{1}>\cdots>\theta_{D}$ of the graph $\Gamma$ are just the eigenvalues of its adjacency matrix $A$. We denote $m_{i}$ the multiplicity of $\theta_{i}$. Note that the $D+1$ distinct eigenvalues of $\Gamma$ are precisely the eigenvalues of $L$ (see [7, Proposition 2.7]).

For each eigenvalue $\theta_{i}$ of $\Gamma$, let $U_{i}$ be a matrix with its columns forming an orthonormal basis for the eigenspace associated with $\theta_{i}$. And $E_{i}:=U_{i} U_{i}^{T}$ is called the minimal idempotent associated with $\theta_{i}$, satisfying $E_{i} E_{j}=\delta_{i j} E_{j}$ and $A E_{i}=\theta_{i} E_{i}$, where $\delta_{i j}$ is the Kronecker delta. Note that $v E_{0}$ is the all-ones matrix $J$.

The set of distance matrices $\left\{A_{0}=I, A_{1}, A_{2}, \ldots, A_{D}\right\}$ forms a basis of a commutative $\mathbb{R}$-algebra $\mathcal{A}$, known as the Bose-Mesner algebra. The set of minimal idempotents $\left\{E_{0}=\right.$
$\left.\frac{1}{v} J, E_{1}, E_{2}, \ldots, E_{D}\right\}$ is another basis of $\mathcal{A}$. There exist $(D+1) \times(D+1)$ matrices $P$ and $Q$ (see [4, p.45]), such that the following relations hold

$$
\begin{equation*}
A_{i}=\sum_{j=0}^{D} P_{j i} E_{j} \quad \text { and } \quad E_{i}=\frac{1}{v} \sum_{j=0}^{D} Q_{j i} A_{j} \quad(i=0,1, \ldots, D) \tag{1}
\end{equation*}
$$

Note that $Q_{0 i}=m_{i}$ (see [4, Lemma 2.2.1]).
Let $E_{i}=U_{i} U_{i}^{T}$ be the minimal idempotent associated with $\theta_{i}$, where the columns of $U_{i}$ form an orthonormal basis of the eigenspace associated with $\theta_{i}$. We denote the $x$-th row of $\sqrt{v / m_{i}} U_{i}$ by $\hat{x}$. Note that $E_{i} \circ A_{j}=\frac{1}{v} Q_{j i} A_{j}$, hence all the vectors $\hat{x}$ are unit vectors and the cosine of the angle between two vectors $\hat{x}$ and $\hat{y}$ is $u_{j}\left(\theta_{i}\right):=\frac{Q_{j i}}{Q_{0 i}}$, where $d(x, y)=j$. The map $x \mapsto \hat{x}$ is called a normalized representation and the sequence $\left(u_{j}\left(\theta_{i}\right)\right)_{j=0}^{D}$ is called the standard sequence of $\Gamma$, associated with $\theta_{i}$. As $A U_{i}=\theta_{i} U_{i}$, we have $\theta_{i} \hat{x}=\sum_{y \sim x} \hat{y}$, and hence the following holds:

$$
\left\{\begin{array}{l}
c_{j} u_{j-1}\left(\theta_{i}\right)+a_{j} u_{j}\left(\theta_{i}\right)+b_{j} u_{j+1}\left(\theta_{i}\right)=\theta_{i} u_{j}\left(\theta_{i}\right) \quad(j=1,2, \ldots, D-1),  \tag{2}\\
c_{D} u_{D-1}\left(\theta_{i}\right)+a_{D} u_{D}\left(\theta_{i}\right)=\theta_{i} u_{D}\left(\theta_{i}\right),
\end{array}\right.
$$

with $u_{0}\left(\theta_{i}\right)=1$ and $u_{1}\left(\theta_{i}\right)=\frac{\theta_{i}}{k}$.
Lemma 4. (c.f. [7, Theorem 2.8]) Let $\Gamma$ be a distance-regular graph with diameter $D$ and $v$ vertices. Let $\theta$ be an eigenvalue of $\Gamma$ and $\left(u_{i}\right)_{i=0}^{D}$ be the standard sequence associated with $\theta$. Then the multiplicity $m(\theta)$ of $\theta$ as an eigenvalue of $\Gamma$ satisfies

$$
\begin{align*}
m(\theta) & =\frac{v}{\sum_{i=0}^{D} k_{i} u_{i}^{2}},  \tag{3}\\
& \leqslant \max \left\{\frac{1}{u_{1}^{2}}, \ldots, \frac{1}{u_{j-1}^{2}}, \frac{\sum_{i=j}^{D} k_{i}}{k_{j} u_{j}^{2}}\right\} \quad(j=1,2, \ldots, D) . \tag{4}
\end{align*}
$$

Proof. Equation (3) follows from [7, Theorem 2.8]. We only give a proof of Equation (4).

$$
\begin{aligned}
& \frac{v}{\sum_{i=0}^{D} k_{i} u_{i}^{2}}=\frac{\sum_{i=0}^{D} k_{i}}{\sum_{i=0}^{D} k_{i} u_{i}^{2}} \leqslant \max \left\{\frac{\sum_{i=0}^{j-1} k_{i}}{\sum_{i=0}^{j-1} k_{i} u_{i}^{2}}, \frac{\sum_{i=j}^{D} k_{i}}{\sum_{i=j}^{D} k_{i} u_{i}^{2}}\right\}, \\
& \frac{\sum_{i=0}^{j-1} k_{i}}{\sum_{i=0}^{j-1} k_{i} u_{i}^{2}} \leqslant \max \left\{\frac{1}{u_{1}^{2}}, \ldots, \frac{1}{u_{j-1}^{2}}\right\}, \\
& \frac{\sum_{i=j}^{D} k_{i}}{\sum_{i=j}^{D} k_{i} u_{i}^{2}} \leqslant \frac{\sum_{i=j}^{D} k_{i}}{k_{j} u_{j}^{2}} .
\end{aligned}
$$

Lemma 5. ([4, Proposition 4.1.6]) Let $\Gamma$ be a distance-regular graph with valency $k$ and diameter $D$. Then the following conditions hold
i) $1=c_{1} \leqslant c_{2} \leqslant \cdots \leqslant c_{D}$,
ii) $k=b_{0} \geqslant b_{1} \geqslant \cdots \geqslant b_{D-1}$,
iii) $k_{i}$ 's $(i=1,2, \ldots, D)$ are positive integers,
iv) the multiplicities are positive integers.

Lemma 6. (c.f. [2, Proposition 3.1]) Let $\Gamma$ be a non-bipartite distance-regular graph with valency $k$ and odd girth $g=2 t+1$. Then

$$
\begin{equation*}
\sum_{i=0}^{t} p_{i}(\eta) u_{i} \geqslant 0 \tag{5}
\end{equation*}
$$

where $\left(u_{i}\right)_{i=0}^{D}$ is the standard sequence associated with the smallest eigenvalue $\theta_{\min }, \eta$ is any eigenvalue of the $g$-gon, and $p_{i}(x)$ is defined as the following

$$
\begin{align*}
& p_{0}(x)=1 \\
& p_{1}(x)=x \\
& p_{2}(x)=x^{2}-2  \tag{6}\\
& p_{i}(x)=x p_{i-1}(x)-p_{i-2}(x) \quad(i=3,4, \ldots, t) .
\end{align*}
$$

Proof. Let $\Delta$ be any $g$-gon in $\Gamma$. Let $B_{i}(i=0,1, \ldots, t)$ be the matrix with rows and columns indexed by $V(\Delta)$, where the $(v, w)$-entry is 1 whenever $d_{\Gamma}(v, w)=i$ and 0 otherwise. Note that $d_{\Delta}(v, w)=d_{\Gamma}(v, w)$ for any two vertices $v, w \in V(\Delta)$, and we have $B_{i}=p_{i}\left(B_{1}\right)$ with $p_{i}(x)$ as Equation (6). By [2, Proposition 3.1], for any eigenvalue $\eta$ of $\Delta$, we have Equation (5).

Lemma 7. (c.f. [6, Lemma 5.2]) Let $\Gamma$ be a distance-regular graph with valency $k$ and smallest eigenvalue $\theta_{\min }$. If $a_{1}=0$ and $\theta_{\min }<\frac{12-5 k}{7}$, then $c_{2} \leqslant 2$.

Proof. Choose two vertices $x, y \in V(\Gamma)$ with $d(x, y)=2$. As $a_{1}=0$, the subgraph induced on $\{x, y\} \cup(\Gamma(x) \cap \Gamma(y))$ is a $K_{2, c_{2}}$. Let $x \mapsto \hat{x}$ be a normalized representation associated with $\theta=\theta_{\min }$. Consider the Gram matrix of the image of the $K_{2, c_{2}}$ with the bipartition, we see that

$$
Q=\left(\begin{array}{cc}
\frac{1}{2}\left(1+u_{2}\right) & u_{1} \\
u_{1} & \frac{1}{c_{2}}\left(1+\left(c_{2}-1\right) u_{2}\right)
\end{array}\right)
$$

is positive semidefinite, by [4, Proposition 3.7.1 (iii)]. Then $(1,1) Q(1,1)^{t} \geqslant 0$, which in turn implies $\left(u_{1}+u_{2}\right)\left(\left(2+c_{2}\right) \frac{1-u_{2}}{u_{1}+u_{2}}+4 c_{2}\right) \geqslant 0$. As $a_{1}=0$, we see $u_{1}+u_{2}=\frac{(\theta+k)(\theta-1)}{k(k-1)}<0$, that is $\frac{4 c_{2}}{2+c_{2}} \leqslant-\frac{1-u_{2}}{u_{1}+u_{2}}=\frac{k-\theta}{1-\theta}$. When $k>1$, we have $\theta<\frac{12-5 k}{7}<\frac{4-k}{3}$ and $c_{2} \leqslant \frac{2 k-2 \theta}{4-3 \theta-k}<$ 3.

Lemma 8. Let $\Gamma$ be a distance-regular graph with valency $k$ and diameter $D$, having smallest eigenvalue $\theta_{\min }$ with associated standard sequence $\left(u_{i}\right)_{i=0}^{D}$. Then

$$
\begin{equation*}
\left|u_{i+1}\right| \geqslant \frac{\left|\left(\theta_{\min }-a_{i}\right) u_{i}\right|-c_{i}\left|u_{i-1}\right|}{b_{i}} \quad(i=1, \ldots, D-1) \tag{7}
\end{equation*}
$$

Proof. By Equation (2) we see that $u_{i+1}=\frac{\left(\theta_{\min }-a_{i}\right) u_{i}-c_{i} u_{i-1}}{b_{i}}(i=1, \ldots, D-1)$. As $\theta_{\min }<0$ is the smallest eigenvalue, by [4, Corollary 4.1.2], we see that $u_{i+1},-u_{i}$ and $u_{i-1}$ has the same sign. The result follows.

## 3 Main Theorem

In this section we will prove our main result.
Proof of Theorem 1. If $g=3$, then $\theta_{\min } \geqslant-\frac{k}{2}$ by [7, Proposition 2.11]. So we may assume $g \geqslant 5$. Let $t=\frac{g-1}{2}$ and $\Delta$ be a $g$-gon in $\Gamma$. Let $\left(u_{i}\right)_{i=0}^{D}$ be the standard sequence associated with the smallest eigenvalue $\theta=\theta_{\text {min }}$.

Assume $c_{t} \leqslant \zeta k$ for some $\zeta \leqslant \frac{1}{2}$. By Lemma 6, we have $\sum_{i=0}^{t} p_{i}(\eta) u_{i} \geqslant 0$, where $p_{i}(x)$ is as Equation (6).

We claim that there exist constants $N_{i}$ such that

$$
\begin{equation*}
\left|u_{i}-\left(\frac{\theta}{k}\right)^{i}\right| \leqslant N_{i} \zeta \quad(i=0,1, \ldots, t) \tag{8}
\end{equation*}
$$

with

$$
N_{i}= \begin{cases}0, & i=0,1 \\ 2 N_{i-1}+4, & i=2,3 \ldots, t\end{cases}
$$

Note that $\left|u_{0}-1\right|=\left|u_{1}-\frac{\theta}{k}\right|=0$. Assume $\left|u_{i}-\left(\frac{\theta}{k}\right)^{i}\right| \leqslant N_{i} \zeta$ for some $1 \leqslant i \leqslant t-1$. As $c_{t} \leqslant \zeta k$, we see that $c_{i} \leqslant c_{t} \leqslant \zeta k$ and $b_{i}=k-c_{i} \geqslant(1-\zeta) k$. Then

$$
\begin{aligned}
\left|u_{i+1}-\left(\frac{\theta}{k}\right)^{i+1}\right| & =\left|\frac{\theta u_{i}-c_{i} u_{i-1}}{b_{i}}-\left(\frac{\theta}{k}\right)^{i+1}\right| \\
& \leqslant\left|\frac{\theta}{b_{i}} u_{i}-\frac{\theta}{b_{i}}\left(\frac{\theta}{k}\right)^{i}\right|+\left|\frac{\theta}{b_{i}}\left(\frac{\theta}{k}\right)^{i}-\left(\frac{\theta}{k}\right)^{i+1}\right|+\frac{c_{i}}{b_{i}} \cdot\left|u_{i-1}\right| \\
& =\left|\frac{\theta}{b_{i}}\right| \cdot\left|u_{i}-\left(\frac{\theta}{k}\right)^{i}\right|+\frac{c_{i}}{b_{i}} \cdot\left|\left(\frac{\theta}{k}\right)^{i+1}\right|+\frac{c_{i}}{b_{i}} \cdot\left|u_{i-1}\right| \\
& \leqslant \frac{k}{b_{i}} \cdot N_{i} \zeta+\frac{c_{i}}{b_{i}}+\frac{c_{i}}{b_{i}} \\
& \leqslant N_{i+1} \zeta
\end{aligned}
$$

where $\frac{k}{b_{i}} \leqslant \frac{1}{1-\zeta} \leqslant 2$ and $\frac{c_{i}}{b_{i}} \leqslant \frac{\zeta}{1-\zeta} \leqslant 2 \zeta\left(\zeta \leqslant \frac{1}{2}\right)$.

Note that $p_{i}(\eta)$ is an eigenvalue of the distance- $i$ graph of $\Delta$. Hence $\left|p_{i}(\eta)\right| \leqslant 2$ $(i=0,1, \ldots, t)$, and by Equation (8), we have

$$
\begin{align*}
\sum_{i=0}^{t} p_{i}(\eta) u_{i} & \leqslant \sum_{i=0}^{t} p_{i}(\eta)\left(\frac{\theta}{k}\right)^{i}+\sum_{i=0}^{t}\left|p_{i}(\eta)\right| \cdot\left|u_{i}-\left(\frac{\theta}{k}\right)^{i}\right| \\
& \leqslant \sum_{i=0}^{t} p_{i}(\eta)\left(\frac{\theta}{k}\right)^{i}+M_{1} \zeta \tag{9}
\end{align*}
$$

where $M_{1}=\sum_{i=0}^{t} 2 N_{i}$.
By Equation (6), we see that $p_{i}(x)=\lambda_{1}^{i}+\lambda_{2}^{i}(i=1,2, \ldots, t)$, with $\lambda_{i}=\frac{1}{2}\left(x \pm \sqrt{x^{2}-4}\right)$ $(i=1,2)$. Define $f(x, y)=\sum_{i=0}^{t} p_{i}(x) y^{i}=\frac{1-\left(\lambda_{1} y\right)^{t+1}}{1-\lambda_{1} y}+\frac{1-\left(\lambda_{2} y\right)^{t+1}}{1-\lambda_{2} y}-1$. Note that the eigenvalues of $\Delta$ are $2 \cos \frac{2 \pi j}{g}(i=0,1, \ldots, g-1)$. Take $\eta=2 \cos \frac{2 \pi(t-1)}{g}$, then we see

$$
\begin{equation*}
f(\eta,-1)=-M_{2} \tag{10}
\end{equation*}
$$

where $M_{2}=1 / \cos \frac{(t-1) \pi}{g}$. In fact,

$$
\begin{aligned}
f\left(2 \cos \frac{2 \pi j}{g},-1\right) & =\frac{1-\left(-e^{2 \pi \mathrm{i} \cdot \frac{j}{g}}\right)^{t+1}}{1-\left(-e^{2 \pi \mathrm{i} \cdot \frac{j}{g}}\right)}+\frac{1-\left(-e^{-2 \pi \mathrm{i} \cdot \frac{j}{g}}\right)^{t+1}}{1-\left(-e^{-2 \pi \mathrm{i} \cdot \frac{j}{g}}\right)}-1 \\
& =(-1)^{t} \cdot \frac{e^{2 \pi \mathrm{i} \cdot \frac{j(t+1)}{g}}+e^{-2 \pi \mathrm{i} \cdot \frac{j t}{g}}}{1+e^{2 \pi \mathrm{i} \cdot \frac{j}{g}}} \\
& =(-1)^{t+j} / \cos \frac{j \pi}{g} .
\end{aligned}
$$

Take $\zeta=\min \left\{\frac{M_{2}}{2 M_{1}}, \frac{1}{2}\right\}$. Note that $M_{1}, M_{2}$, and hence $\zeta$ is determined by $g$. By Equation (10), we see $f(\eta,-1)+M_{1} \zeta \leqslant-\frac{M_{2}}{2}<0$. We also have $f(\eta, 0)+M_{1} \zeta=$ $1+M_{1} \zeta>0$. By Equation (5) and (9), we have $0 \leqslant f\left(\eta, \frac{\theta}{k}\right)+M_{1} \zeta$. Take $-\left(1-\varepsilon_{1}(\zeta)\right)$ as the smallest root $y$ of the equation $f(\eta, y)+M_{1} \zeta=0$ in the interval $(-1,0)$. It follows that $\theta \geqslant-\left(1-\varepsilon_{1}(\zeta)\right) k$.

Now we consider the case $c_{t}>\zeta k$.
If $c_{t}>1$, then we claim that the diameter $D \leqslant \frac{4 t}{\zeta^{2}}$ and $\theta_{\min } \geqslant-\left(1-\varepsilon_{2}(\zeta)\right) k$ for some constant $\varepsilon_{2}(\zeta)>0$. Without loss of generality, we may assume $4^{i} t \leqslant D \leqslant 4^{i+1} t$ for some integer $i \geqslant 1$. If $c_{2 t-1+j}=c_{2 t-1} \neq 1$, by [7, Theorem 7.1], we see $j \leqslant 2 t-1$, that is $c_{4 t-1}>c_{2 t-1}$. Then $c_{4 t-1}=c_{2 t+j}>c_{2 t-1+j}$ for some $0 \leqslant j \leqslant 2 t-1$, and $c_{4 t-1} \geqslant 2 c_{t}$ by [7, Proposition 7.2]. This implies $k \geqslant c_{4^{i} t} \geqslant 2^{i} c_{t}$, that is $D \leqslant 4 t\left(\frac{k}{c_{t}}\right)^{2} \leqslant \frac{4 t}{\zeta^{2}}$. Then by [ 6 , Theorem 1.1], the set $S$ of distance-regular graphs with valency $k$, diameter $D \leqslant \frac{4 t}{\zeta^{2}}$, smallest eigenvalue $\theta_{\text {min }} \leqslant-\left(1-\varepsilon_{1}(\zeta)\right) k$ and odd girth $g$ is finite. Take

$$
\varepsilon_{2}(\zeta)= \begin{cases}\min _{\Gamma \in S} \frac{k+\theta_{\min }}{k}, & \text { if } S \neq \varnothing \\ \varepsilon_{1}(\zeta), & \text { otherwise }\end{cases}
$$

If $c_{t}=1$, then $k<\frac{1}{\zeta}$. The set $S^{\prime}$ of distance-regular graphs with valency $k<\frac{1}{\zeta}$ and odd girth $g$ is finite, by [1, Theorem 1.1]. Take

$$
\varepsilon_{3}(\zeta)= \begin{cases}\min _{\Gamma \in S^{\prime}} \frac{k+\theta_{\min }}{k}, & \text { if } S^{\prime} \neq \varnothing \\ \varepsilon_{1}(\zeta), & \text { otherwise }\end{cases}
$$

Take $\varepsilon=\min \left\{\varepsilon_{1}(\zeta), \varepsilon_{2}(\zeta), \varepsilon_{3}(\zeta)\right\}$ and the result follows.
Remark 9. When the odd girth $g=5$ and $c_{2} \leqslant \zeta k$, we may take $N_{2}=\frac{2}{1-\zeta}$. Then $f(x, y)+M_{1} \zeta=1+x y+\left(x^{2}-2\right) y^{2}+\frac{4 \zeta}{1-\zeta}$. By substituting $\eta=2 \cos \frac{2 \pi}{5}$ into $f\left(\eta, \frac{\theta}{k}\right)+M_{1} \zeta \geqslant$ 0 , we find an inequality between $\zeta$ and $\frac{\theta}{k}$. For example, if $\zeta=0.1$, then $\theta \geqslant-0.78 k$.

## 4 Distance-regular graphs with relatively small $\boldsymbol{\theta}_{\text {min }}$

In this section we study distance-regular graphs with relatively small $\theta_{\text {min }}$. In the rest of this section we will give a proof of Theorem 3.

Proof of Theorem 3. Assume $\Gamma$ has odd girth $g=2 t+1$. Let $\left(u_{i}\right)_{i=0}^{D}$ be the standard sequence associated with the smallest eigenvalue $\theta=\theta_{\text {min }}$.

We first consider the case $D=4$. We may assume $k \geqslant 5$, otherwise $\Gamma$ is the 9 -gon or the Coxeter graph by [3] and [5, Theorem 1.1].

As $\theta<-\frac{k}{2}$, by [7, Proposition 2.11], we have $a_{1}=0$. If $a_{2} \neq 0$, that is $t=2$, then substitute $\eta=2 \cos \frac{2 \pi(t-1)}{g}$ into Equation (5) and we get $\frac{(k-t)(2 k+\sqrt{5} t+t+\sqrt{5}-1)}{2 k(k-1)} \geqslant 0$, which implies that $\theta \geqslant \frac{-2 k-\sqrt{5}+1}{\sqrt{5}+1}$. Combine it with $\theta \leqslant-\frac{3}{4} k$, we see that $k \leqslant 2$. Hence $a_{2}=0$. Note that $\theta \leqslant-\frac{3}{4} k<\frac{12-5 k}{7}$, by Lemma 7 , we see $c_{2} \leqslant 2$.

If $a_{3} \neq 0$, then consider

$$
\left\{\begin{array}{l}
\sum_{i=0}^{t} p_{i}(\eta) u_{i} \geqslant 0  \tag{11}\\
-\frac{D-1}{D} k \geqslant \theta
\end{array}\right.
$$

with $\eta=2$, we obtain that $k \leqslant 4$ if $c_{2}=1$, and $k \leqslant 8$ if $c_{2}=2$. No intersection arrays satisfy Lemma 5 , with $5 \leqslant k \leqslant 8, D=4, a_{1}=a_{2}=0 \neq a_{3}, c_{2}=2$ and $\theta_{\min } \leqslant-\frac{3}{4} k$. Hence $a_{3}=0$.

Assume $k \geqslant 36$. Since $k \geqslant 36, c_{2} \leqslant 2$ and $\theta \leqslant-\frac{3}{4} k$, by Equation (7), we obtain $\left|u_{2}\right| \geqslant 0.5500$ and $\left|u_{3}\right| \geqslant 0.3926$. Now we consider the intersection matrix $L$ of $\Gamma$, where

$$
L=\left(\begin{array}{ccccc}
0 & k & 0 & 0 & 0 \\
1 & 0 & k-1 & 0 & 0 \\
0 & c_{2} & 0 & k-c_{2} & 0 \\
0 & 0 & c_{3} & 0 & k-c_{3} \\
0 & 0 & 0 & c_{4} & k-c_{4}
\end{array}\right) .
$$

We see that $k^{2}+\theta^{2} \leqslant \operatorname{tr}\left(L^{2}\right) \leqslant k^{2}+6 k+c_{4}\left(2 k-c_{4}\right)$, where $c_{2} \leqslant 2$ and $c_{3} \leqslant c_{4}$. Since $k \geqslant 36$ and $\theta \leqslant-\frac{3}{4} k$, we obtain that $\frac{c_{4}}{k} \geqslant 0.2227$. By Lemma 4 , we see that $m \leqslant \max \left\{\frac{1}{u_{1}^{2}}, \frac{1}{u_{2}^{2}}, \frac{k_{3}+k_{4}}{k_{3} u_{3}^{2}}\right\}$. Since $k_{3} b_{3}=k_{4} c_{4}$, we see $\frac{k_{3}+k_{4}}{k_{3} u_{3}^{2}} \leqslant \frac{1}{u_{3}^{2}}\left(1+\frac{k}{c_{4}}\right)$. With $\left|u_{1}\right| \geqslant \frac{3}{4}$, $\left|u_{2}\right| \geqslant 0.5500,\left|u_{3}\right| \geqslant 0.3926$ and $\frac{c_{4}}{k} \geqslant 0.2227$, we obtain $m<36$. By [4, Theorem 4.4.4], we see that $k \leqslant m<36$, a contradiction. It follows that $k \leqslant 35$. Then we check the intersection arrays satisfy Lemma 5 , with $5 \leqslant k \leqslant 35, D=4, a_{1}=a_{2}=a_{3}=0 \neq a_{4}$, $c_{2}=1$ or 2 and $\theta_{\min } \leqslant-\frac{3}{4} k$, and we get the folded 9 -cube and odd graph $O_{5}$. This shows the case $D=4$.

Now we consider the case $D=5$. Similar to the case $D=4$, we may assume $k \geqslant 5$, otherwise $\Gamma$ is the 11-gon, by [3] and [5, Theorem 1.1]. As $\theta<-\frac{k}{2}$, by [7, Proposition 2.11], we have $a_{1}=0$. Substitute $\eta=2 \cos \frac{2 \pi(t-1)}{g}$ with $t=2$ into Equation (5), we obtain $\theta \geqslant \frac{-2 k-\sqrt{5}+1}{\sqrt{5}+1}$. Together with $\theta \leqslant-\frac{4}{5} k$, we see $k \leqslant 2$, and hence $a_{2}=0$. Since $\theta \leqslant-\frac{4}{5} k<\frac{12-5 k}{7}$, by Lemma 7 , we have and $c_{2} \leqslant 2$.

If $a_{3} \neq 0$, then consider Equation (11) with $\eta=2$, we obtain that $k \leqslant 3$ if $c_{2}=1$, and $k \leqslant 5$ if $c_{2}=2$. By [4, Theorem 1.13.2], no such graphs exist with $k=5$ and $c_{2}=2$. Hence $a_{3}=0$.

We consider $a_{4} \neq 0$. If $c_{3} \leqslant 0.3750 k$, combine it with Equation (11), where $\eta=-1$ $(g=9)$, we see that $k \leqslant 24$. Assume $k \geqslant 24$, then $c_{3} \geqslant 0.3750 k$. By Equation (7), we obtain $\left|u_{2}\right| \geqslant 0.6243$ and $\left|u_{3}\right| \geqslant 0.4721$. Note $\frac{k_{4}}{k_{3}}=\frac{b_{3}}{c_{4}} \leqslant \frac{1-c_{3}}{c_{3}}$ and $\frac{k_{5}}{k_{3}}=\frac{b_{3} b_{4}}{c_{4} c_{5}} \leqslant\left(\frac{1-c_{3}}{c_{3}}\right)^{2}$. By Lemma 4, we see that

$$
\begin{equation*}
m \leqslant \max \left\{\frac{1}{u_{1}^{2}}, \frac{1}{u_{2}^{2}}, \frac{1}{u_{3}^{2}}\left(1+\frac{1-c_{3}}{c_{3}}+\left(\frac{1-c_{3}}{c_{3}}\right)^{2}\right)\right\} \tag{12}
\end{equation*}
$$

that is $k \leqslant m \leqslant 24$ by [4, Theorem 4.4.4]. No intersection arrays satisfy Lemma 5 with $5 \leqslant k \leqslant 24, D=5, a_{1}=a_{2}=a_{3}=0 \neq a_{4}, c_{2}=1$ or 2 and $\theta \leqslant-\frac{4}{5} k$. Hence $a_{4}=0$.

Assume $k \geqslant 71$. Then by Equation (7), we see that $\left|u_{2}\right| \geqslant 0.6348$ and $\left|u_{3}\right| \geqslant 0.4994$, where $\theta_{1} \leqslant-\frac{4}{5} k, c_{2}=1$ or 2 . Then as $m \geqslant k \geqslant 71$, by Equation (12), we obtain $c_{3} \leqslant 0.2166 k$. It implies $\left|u_{4}\right| \geqslant 0.3344$ by Equation (7). Consider the intersection matrix $L$, and we see that $k^{2}+\theta^{2} \leqslant \operatorname{tr}\left(L^{2}\right) \leqslant k^{2}+6 k+4 c_{5} k-c_{5}^{2}$, which implies $c_{5} \geqslant 0.1440 k$. And we see $k \leqslant m \leqslant \min \left\{\frac{1}{u_{1}^{2}}, \frac{1}{u_{2}^{2}}, \frac{1}{u_{3}^{2}}, \frac{1}{u_{4}^{2}}\left(1+\frac{k}{c_{5}}\right)\right\} \leqslant 71$. It follows that $k \leqslant 71$. Then we check all intersection arrays satisfying Lemma 5 with $5 \leqslant k \leqslant 71, D=5, a_{1}=a_{2}=a_{3}=$ $a_{4}=0 \neq a_{5}, c_{2}=1$ or 2 and $\theta \leqslant-\frac{4}{5} k$ and we obtain the odd graph $O_{6}$ and the folded 11 -cube. This shows the case $D=5$.

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