

S -hypersimplices, pulling triangulations, and monotone paths

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Abstract

An S -hypersimplex for $S \subseteq \{0, 1, \dots, d\}$ is the convex hull of all 0/1-vectors of length d with coordinate sum in S . These polytopes generalize the classical hypersimplices as well as cubes, crosspolytopes, and halfcubes. In this paper we study faces and dissections of S -hypersimplices. Moreover, we show that monotone path polytopes of S -hypersimplices yield all types of multipermutahedra. In analogy to cubes, we also show that the number of simplices in a pulling triangulation of a halfcube is independent of the pulling order.

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1 Introduction

The **cube** $\square_d = [0, 1]^d$ together with the **simplex** $\Delta_d = \text{conv}(\mathbf{0}, \mathbf{e}_1, \dots, \mathbf{e}_d)$ and the **cross-polytope** $\diamond_d = \text{conv}(\pm \mathbf{e}_1, \dots, \pm \mathbf{e}_d)$ constitute the *Big Three*, three infinite families of convex polytopes whose geometric and combinatorial features make them ubiquitous throughout mathematics. A close cousin to the cube is the **(even) halfcube**

$$H_d := \text{conv}(\mathbf{p} \in \{0, 1\}^d : p_1 + \dots + p_d \text{ even}) .$$

The halfcubes H_1 and H_2 are a point and a segment, respectively, but for $d \geq 3$, $H_d \subset \mathbb{R}^d$ is a full-dimensional polytope. The 5-dimensional halfcube was already described by Thomas Gosset [11] in his classification of semi-regular polytopes. In contemporary mathematics, halfcubes appear under the name of *demi(hyper)cubes* [7] or *parity polytopes* [26]. In particular the name ‘parity polytope’ suggests a connection to combinatorial optimization

and polyhedral combinatorics; see [6, 10] for more. However, halfcubes also occur in algebraic/topological combinatorics [13, 14], convex algebraic geometry [22], and in many more areas.

In this paper, we investigate basic properties of the following class of polytopes that contains cubes, simplices, cross-polytopes, and halfcubes. For a nonempty subset S of $[0, d] := \{0, 1, \dots, d\}$, we define the **S -hypersimplex**

$$\Delta(d, S) := \text{conv}\left(\mathbf{v} \in \{0, 1\}^d : v_1 + v_2 + \dots + v_d \in S\right).$$

In the context of combinatorial optimization these polytopes were studied by Grötschel [15] associated to *cardinality homogeneous set systems*. Our name and notation derive from the fact that if $S = \{k\}$ is a singleton, then $\Delta(d, S) =: \Delta(d, k)$ is the well-known **(d, k) -hypersimplex**, the convex hull of all vectors $\mathbf{v} \in \{0, 1\}^d$ with exactly k entries equal to 1. This is a $(d - 1)$ -dimensional polytope for $0 < k < d$ that makes prominent appearances in combinatorial optimization as well as in algebraic geometry [19]. We call S **proper**, if $\Delta(d, S)$ is a d -dimensional polytope, which, for $d > 1$, is precisely the case if $|S| \neq 1$ and $S \neq \{0, d\}$. For appropriate choices of $S \subseteq [0, d]$, we get

- the cube $\square_d = \Delta(d, [0, d])$,
- the even halfcube $H_d = \Delta(d, [0, d] \cap 2\mathbb{Z})$,
- the simplex $\Delta_d = \Delta(d, \{0, 1\})$, and
- the cross-polytope $\Delta(d, \{1, d - 1\})$ (up to linear isomorphism).

In Section 2, we study the vertices, edges, and facets of S -hypersimplices.

Our study is guided by a nice decomposition of S -hypersimplices into *Cayley polytopes* of hypersimplices.

In Section 3 we return to the halfcube. A combinatorial d -cube has the interesting property that all pulling triangulations have the same number of d -dimensional simplices. The Freudenthal or staircase triangulation is a pulling triangulation and shows that the number of simplices is exactly $d!$. We show that the number of simplices in any pulling triangulation of H_d is independent of the order in which the vertices are pulled. Moreover, we relate the full-dimensional simplices in any pulling triangulation of H_d to *partial* permutations and show that their number is given by

$$t(d) = \sum_{l=3}^d \frac{d!}{l!} (2^{l-1} - l) .$$

For a polytope $P \subset \mathbb{R}^d$ and a linear function $\ell : \mathbb{R}^d \rightarrow \mathbb{R}$, Billera and Sturmfels [4] associate the **monotone path polytope** $\Sigma_\ell(P)$. This is a $(\dim P - 1)$ -dimensional polytope whose vertices parametrize all *coherent* ℓ -monotone paths of P . As a particularly nice example, they show in [4, Example 5.4] that the monotone path polytope $\Sigma_c(\square_d)$, where c is the linear function $c(\mathbf{x}) = x_1 + x_2 + \dots + x_d$, is, up to homothety, the polytope

$$\Pi_{d-1} = \text{conv}((\sigma(1), \dots, \sigma(d)) : \sigma \text{ permutation of } [d]).$$

For a point $\mathbf{p} \in \mathbb{R}^d$, the convex hull of all permutations of \mathbf{p} is called the **permutahedron** $\Pi(\mathbf{p})$ and we refer to $\Pi_{d-1} = \Pi(1, 2, \dots, d)$ as the **standard** permutahedron. If

\mathbf{p} has d distinct coordinates, then $\Pi(\mathbf{p})$ is combinatorially (even normally) equivalent to Π_{d-1} . For the case that \mathbf{p} has repeated entries, these polytopes were studied by Billera-Sarangarajan [3] under the name of *multipermutahedra*. In Section 4, we study maximal \mathbf{c} -monotone paths in the vertex-edge-graph of $\Delta(d, S)$. We show that all \mathbf{c} -monotone paths of $\Delta(d, S)$ are coherent and that essentially all multipermutahedra $\Pi(\mathbf{p})$ for $\mathbf{p} \in [0, d-1]^d$ occur as monotone path polytopes of S -hypersimplices.

We close with some questions and ideas regarding S -hypersimplices in Section 5.

2 S -hypersimplices

The vertices of the d -cube can be identified with sets $A \subseteq [d]$ and we write $\mathbf{e}_A \in \{0, 1\}^d$ for the point with $(\mathbf{e}_A)_i = 1$ if and only if $i \in A$. Let $S \subseteq [0, d]$. Since $\Delta(d, S)$ is a vertex-induced subpolytope of the cube, it is immediate that the vertices of $\Delta(d, S)$ are in bijection to

$$\binom{[d]}{S} := \{A \subseteq [d] : |A| \in S\}.$$

This gives the number of vertices as $|V(\Delta(d, S))| = \sum_{s \in S} \binom{d}{s}$.

For a polytope $P \subset \mathbb{R}^d$ and a vector $\mathbf{c} \in \mathbb{R}^d$, let

$$P^{\mathbf{c}} := \{\mathbf{x} \in P : \langle \mathbf{c}, \mathbf{x} \rangle \geq \langle \mathbf{c}, \mathbf{y} \rangle \text{ for all } \mathbf{y} \in P\}$$

be the **face in direction** \mathbf{c} . For example, unless $S = \{0\}$, $\Delta(d, S)^{\mathbf{e}_i}$ is the convex hull of all \mathbf{e}_A with $A \in \binom{[d]}{S}$ with $i \in A$. Likewise, unless $S = \{d\}$, $\Delta(d, S)^{-\mathbf{e}_i} = \text{conv}(\mathbf{e}_A : A \in \binom{[d]}{S}, i \notin A)$. Under the identification $\{\mathbf{x} : x_i = 1\} \cong \mathbb{R}^{d-1}$, this gives for $|S| > 1$

$$\begin{aligned} \Delta(d, S)^{\mathbf{e}_i} &\cong \Delta(d-1, S^+) & \text{where } S^+ &:= \{s-1 : s \in S, s > 0\}, \\ \Delta(d, S)^{-\mathbf{e}_i} &\cong \Delta(d-1, S^-) & \text{where } S^- &:= \{s : s \in S, s < d-1\}. \end{aligned} \tag{1}$$

These faces will be helpful in determining the edges of $\Delta(d, S)$. For two sets $A, B \subseteq [d]$, we denote the **symmetric difference** of A and B by $A \Delta B := (A \cup B) \setminus (A \cap B)$. For two points $\mathbf{p}, \mathbf{q} \in \mathbb{R}^d$, we write $[\mathbf{p}, \mathbf{q}]$ for the segment joining \mathbf{p} to \mathbf{q} .

Theorem 1. *Let $S = \{0 \leq s_1 < \dots < s_k \leq d\}$ and $A, B \in \binom{[d]}{S}$ with $|A| = s_i \leq s_j = |B|$. Then $[\mathbf{e}_A, \mathbf{e}_B]$ is an edge of $\Delta(d, S)$ if and only if*

- (i) $A \subset B$ and $j = i + 1$, or
- (ii) $i = j$, $|A \Delta B| = 2$, and $\{s_i - 1, s_i + 1\} \not\subset S$.

Proof. Let $A, B \in \binom{[d]}{S}$. If $i \in A \cap B$, then $[\mathbf{e}_A, \mathbf{e}_B]$ is an edge of $\Delta(d, S)$ if and only if $[\mathbf{e}_A, \mathbf{e}_B]$ is an edge of $\Delta(d, S)^{\mathbf{e}_i}$. By (1), $\Delta(d, S)^{\mathbf{e}_i} \cong \Delta(d-1, S^+)$ and $[\mathbf{e}_A, \mathbf{e}_B] \cong [\mathbf{e}_{A \setminus i}, \mathbf{e}_{B \setminus i}]$. Hence we can assume $A \cap B = \emptyset$. For $i \in [d] \setminus (A \cup B)$, we consider $\Delta(d, S)^{-\mathbf{e}_i}$ and by the same argument we may also assume that $A \cup B = [d]$.

If $A = \emptyset$, then $B = [d]$ and $[\mathbf{e}_A, \mathbf{e}_B]$ meets every $\Delta(d, k)$ in the relative interior for $0 < k < d$. Hence $[\mathbf{e}_A, \mathbf{e}_B]$ is an edge if and only if $S = \{0, d\}$, which gives us (i).

If $0 < s_i = |A|$, then let $i \in A$ and $j \in B$. Then $[\mathbf{e}_A, \mathbf{e}_B]$ and $[\mathbf{e}_{A'}, \mathbf{e}_{B'}]$ have the same midpoint for $A' = (A \setminus i) \cup j$ and $B' = (B \setminus j) \cup i$. Thus $[\mathbf{e}_A, \mathbf{e}_B]$ is an edge of $\Delta(d, S)$ if and only if $(A', B') = (B, A)$. This is the case precisely when $|A \triangle B| = 2$ and $A \cap B, A \cup B \notin \binom{[d]}{S}$. \square

Theorem 1 makes the number of edges readily available.

Corollary 2. *The number of edges of $\Delta(d, S)$ is*

$$\sum_{i=1}^k \binom{d - s_i}{s_{i+1} - s_i} \binom{d}{s_i} + \sum_j \frac{s_j(d - s_j)}{2} \binom{d}{s_j},$$

where $s_{k+1} := 0$ and the second sum is over all $1 \leq j \leq k$, such that $\{s_j - 1, s_j + 1\} \not\subseteq S$.

Let us illustrate Theorem 1 for the classical examples of S -hypersimplices. For the cube $\square_d = \Delta(d, [0, d])$ it states, that the edges are of the form $[\mathbf{e}_A, \mathbf{e}_B]$ for any $A \subset B \subseteq [d]$ such that $|A| + 1 = |B|$. For the halfcube $H_d = \Delta(d, [0, d] \cap 2\mathbb{Z})$ we infer that there are $d(d - 1)2^{d-3}$ many edges for $d \geq 3$. As for the cross-polytope $\Delta(d, \{1, d - 1\})$, every two vertices are connected by an edge, except for $\mathbf{e}_{\{i\}}$ and $\mathbf{e}_{[d] \setminus \{i\}}$ for all $i \in [d]$.

Theorem 1 states that there are no *long* edges of $\Delta(d, S)$. We can make use of this fact to get a canonical decomposition of $\Delta(d, S)$. For $\lambda \in \mathbb{R}$, define the hyperplane

$$H(\lambda) := \{\mathbf{x} \in \mathbb{R}^d : x_1 + \dots + x_d = \lambda\}.$$

We note the following consequence of Theorem 1.

Corollary 3. *Let $S \subseteq [0, d]$ and $s \in S$. Then $\Delta(d, S) \cap H(s) = \Delta(d, s)$.*

Proof. Every vertex \mathbf{v} of $\Delta(d, S) \cap H(s)$ is of the form $F \cap H(s)$ for a unique inclusion-minimal face $F \subseteq \Delta(d, S)$ of dimension ≤ 1 . If F is an edge, then its endpoints $\mathbf{e}_A, \mathbf{e}_B$ satisfy $|A| < s < |B|$ which contradicts Theorem 1. Hence $F = \mathbf{e}_C$ for some $C \subseteq [d]$ with $|C| = s$. \square

If $S = \{s_1 < \dots < s_k\}$ with $k \geq 2$, then we can decompose

$$\Delta(d, S) = \Delta(d, s_1, s_2) \cup \Delta(d, s_2, s_3) \cup \dots \cup \Delta(d, s_{k-1}, s_k), \quad (2)$$

where we set $\Delta(d, k, l) := \Delta(d, \{k, l\}) = \text{conv}(\Delta(d, k) \cup \Delta(d, l))$ for $0 \leq k < l \leq d$. The polytope $\Delta(d, k, l)$ is the **Cayley polytope** of $\Delta(d, k)$ and $\Delta(d, l)$. Moreover, for $i < j$, we see that $\Delta(d, s_i, s_{i+1}) \cap \Delta(d, s_j, s_{j+1}) = \Delta(d, s_j)$ if $j = i + 1$ and $= \emptyset$ otherwise.

Before we determine the facets of $\Delta(d, S)$, we recall some properties of permutahedra from [3] that we will also need in Section 4. Let us say that a point $\mathbf{p} \in \mathbb{R}^d$ is **decreasing** if $p_1 \geq p_2 \geq \dots \geq p_d$. The **permutahedron** associated to \mathbf{p} is the polytope

$$\Pi(\mathbf{p}) := \text{conv}(\sigma\mathbf{p} := (p_{\sigma(1)}, p_{\sigma(2)}, \dots, p_{\sigma(d)}) : \sigma \text{ permutation of } [d]).$$

Unless $p_i = p_j$ for all $i \neq j$, $\Pi(\mathbf{p})$ is a polytope of dimension $d - 1$ with affine hull given by $H(p_1 + \dots + p_d)$.

Notice that $\Pi(\mathbf{p})^{\sigma\mathbf{u}} = \sigma^{-1}\Pi(\mathbf{p})^{\mathbf{u}}$. Thus, if we want to determine the face $\Pi(\mathbf{p})^{\mathbf{u}}$ up to permutation of coordinates, we can assume that \mathbf{u} is decreasing. The **Minkowski sum** of two polytopes $P, Q \subset \mathbb{R}^d$ is the polytope $P + Q = \{\mathbf{p} + \mathbf{q} : \mathbf{p} \in P, \mathbf{q} \in Q\}$.

Proposition 4. Let $\mathbf{p}, \mathbf{q} \in \mathbb{R}^d$ be decreasing. Then

$$\Pi(\mathbf{p}) + \Pi(\mathbf{q}) = \Pi(\mathbf{p} + \mathbf{q}).$$

Proof. Set $P := \Pi(\mathbf{p}) + \Pi(\mathbf{q})$. Clearly $\sigma(\mathbf{p} + \mathbf{q}) = \sigma\mathbf{p} + \sigma\mathbf{q}$ for all permutations σ and therefore every vertex of $\Pi(\mathbf{p} + \mathbf{q})$ is a vertex of P . For the converse, let \mathbf{c} be such that $P^{\mathbf{c}} = \{\mathbf{v}\}$ is a vertex. Since P is invariant under coordinate permutations, we can assume that \mathbf{c} is decreasing. Furthermore $(\Pi(\mathbf{p}) + \Pi(\mathbf{q}))^{\mathbf{c}} = \Pi(\mathbf{p})^{\mathbf{c}} + \Pi(\mathbf{q})^{\mathbf{c}}$ and it follows that $\mathbf{v} = \mathbf{p} + \mathbf{q}$. Hence, every vertex of P is of the form $\sigma(\mathbf{p} + \mathbf{q})$ for some permutation σ , which completes the proof. \square

For $\nu_1 > \nu_2 > \dots > \nu_r$ and $k_1, k_2, \dots, k_r \in \mathbb{Z}_{>0}$ such that $k_1 + \dots + k_r = d$, we set

$$(\nu_1^{k_1}, \nu_2^{k_2}, \dots, \nu_r^{k_r}) := \underbrace{(\nu_1, \dots, \nu_1)}_{k_1}, \underbrace{(\nu_2, \dots, \nu_2)}_{k_2}, \dots, \underbrace{(\nu_r, \dots, \nu_r)}_{k_r}.$$

For example, the (d, k) -hypersimplex is the permutahedron $\Delta(d, k) = \Pi(1^k, 0^{d-k})$.

The facets of permutahedra were described by Billera-Sarangarajan [3]. We recall their characterization. We write $I^c := [d] \setminus I$ for the complement of $I \subseteq [d]$.

Theorem 5 ([3, Theorem 3.2]). Let $P = \Pi(\nu_1^{k_1}, \dots, \nu_r^{k_r})$ and $\mathbf{c} \in \mathbb{R}^d$. Then $P^{\mathbf{c}}$ is a facet if and only if $\mathbf{c} = \alpha \mathbf{e}_I + \beta \mathbf{e}_{I^c}$ for some $\alpha > \beta$ and $\emptyset \neq I \subset [d]$ and $h = |I|$ satisfies

- (a) $k_1 + 1 \leq h \leq d - k_r - 1$, or
- (b) $h = 1$ if $k_r < d - 1$, or
- (c) $h = d - 1$ if $k_1 < d - 1$.

The theorem shows, for example, that $\Delta(d, k)$ for $1 < k < d - 1$ has $2d$ facets with normals given by $\pm \mathbf{e}_1, \dots, \pm \mathbf{e}_d$.

In order to determine the facets of $\Delta(d, S)$, we appeal to the decomposition (2). Let $S = \{0 \leq s_1 < s_2 < \dots < s_k \leq d\}$ be proper so that $\Delta(d, S) \subset \mathbb{R}^d$ is full-dimensional. We write $\mathbf{1} := \mathbf{e}_{[d]}$ for the all-ones vector. If $s_1 > 0$, then $\Delta(d, s_1) = \Delta(d, S)^{-1}$ is a facet. Likewise, if $s_d < d$, then $\Delta(d, s_k) = \Delta(d, S)^{\mathbf{1}}$ is a facet. If $F \subset \Delta(d, S)$ is any other facet, then its vertices cannot have all the same cardinality. If $s_i \in S$ is the minimal cardinality of a vertex in F , then $F \cap \Delta(d, s_i, s_{i+1})$ is a facet of $\Delta(d, s_i, s_{i+1})$. Hence, as a first step, we determine the facets of $\Delta(d, s_i, s_{i+1})$ that are not equal to $\Delta(d, s_i)$ and $\Delta(d, s_{i+1})$.

Let $S = \{k < l\}$ be proper. An easy calculation shows that

$$\Delta(d, k, l) \cap H\left(\frac{k+l}{2}\right) = \frac{1}{2}(\Delta(d, k) + \Delta(d, l)).$$

Moreover, if $F \subset \Delta(d, k, l)$ is a facet, then $F \cap H\left(\frac{k+l}{2}\right)$ is a facet of the right-hand side and every facet arises that way. Hence it suffices to determine the facets of $\overline{\Delta}(d, k, l) := \Delta(d, k) + \Delta(d, l)$. We will need the notion of a join of two polytopes: If $P, Q \subset \mathbb{R}^d$ are polytopes such that their affine hulls are *skew*, i.e., non-parallel and disjoint, then $P * Q := \text{conv}(P \cup Q)$ is called the **join** of P and Q . Every k -dimensional face of $P * Q$ is of the form $F * G$ where $F \subseteq P$ and $G \subseteq Q$ are (possibly empty) faces with $\dim F + \dim G = k - 1$.

Proposition 6. *Let $1 \leq k < l < d$. In addition to the facets $\Delta(d, k, l)^{\mathbf{1}} = \Delta(d, l)$ and $\Delta(d, k, l)^{-\mathbf{1}} = \Delta(d, k)$, there are*

$$\Delta(d, k, l)^{\mathbf{e}_i} \cong \Delta(d-1, k-1, l-1) \quad \text{and} \quad \Delta(d, k, l)^{-\mathbf{e}_i} \cong \Delta(d-1, k, l)$$

for $i = 1, \dots, d$. Every other facet is of the form

$$\Delta(d, k, l)^{\mathbf{c}} \cong \Delta(h, k) * \Delta(d-h, l-h)$$

where $\mathbf{c} = (l-h)\mathbf{e}_I - (h-k)\mathbf{e}_{I^c}$ for any $\emptyset \neq I \subset [d]$ with $k < h := |I| < l$.

Proof. We first determine the facets of $\overline{\Delta}(d, k, l)$. Using Proposition 4, we see that $\overline{\Delta}(d, k, l)$ is the permutahedron $\Pi(2^k, 1^{l-k}, 0^{d-l})$. Theorem 5 yields that the facet directions of $\overline{\Delta}(d, k, l)$ are given $\mathbf{c} = \alpha\mathbf{e}_I + \beta\mathbf{e}_{I^c}$ for $\emptyset \neq I \subset [d]$ with $|I| = 1$, $|I| = d-1$, or $k < |I| < l$ and $\alpha > \beta$. In particular, for every I there is, up to scaling, a unique choice for α and β so that $\Delta(d, k, l)^{\mathbf{c}}$ is a facet.

For $I = \{i\}$ we already observed that $\mathbf{c} = \mathbf{e}_I = \mathbf{e}_i$ yields a facet linearly isomorphic to $\Delta(d-1, k-1, l-1)$. Likewise, for $[d] \setminus I = \{j\}$, we obtain for $\mathbf{c} = \mathbf{e}_I - \mathbf{1} = -\mathbf{e}_j$ a facet that is linearly isomorphic to $\Delta(d-1, k, l)$.

For $I \subseteq [d]$ with $k < |I| < l$, we observe that $\mathbf{e}_A \in \Delta(d, k)^{\mathbf{e}_I}$ if and only if $A \subset I$ and $\mathbf{e}_A \in \Delta(d, l)^{\mathbf{e}_I}$ if and only if $I \subset A$. Set $h := |I|$ and $\mathbf{c} = (l-h)\mathbf{e}_I - (h-k)\mathbf{e}_{I^c}$. For $A \in \binom{[d]}{k}$ we compute

$$\langle \mathbf{c}, \mathbf{e}_A \rangle = (l-h)|A \cap I| - (h-k)|A \cap I^c| \leq (l-h)k$$

with equality if and only if $A \subset I$. For $A \in \binom{[d]}{l}$, we compute

$$\langle \mathbf{c}, \mathbf{e}_A \rangle = (l-h)|A \cap I| - (h-k)|A \cap I^c| \leq (l-h)h - (h-k)(l-h) = (l-h)k$$

with equality if and only if $I \subset A$. Hence the hyperplane $H = \{\mathbf{x} : \langle \mathbf{c}, \mathbf{x} \rangle = (l-h)k\}$ supports $\Delta(d, k, l)$ in a facet, since H also supports a facet of $\overline{\Delta}(d, k, l)$. In particular, $\Delta(d, k) \cap H \cong \Delta(h, k)$ under the identification $\{\mathbf{x} : x_i = 0 \text{ for } i \notin I\} \cong \mathbb{R}^h$. Likewise $\Delta(d, l) \cap H \cong \Delta(d-h, l-h)$ under the identification $\{\mathbf{x} : x_i = 1 \text{ for } i \in I\} \cong \mathbb{R}^{d-h}$. This also shows that the given subspaces are skew and, since they lie in $H(k)$ and $H(l)$ respectively, are disjoint. This shows that $\Delta(d, l, k) \cong \Delta(h, k) * \Delta(d-h, l-h)$. \square

It follows from Proposition 6 that $\Delta(d, k, l)$ and $\Delta(d, l, m)$ for $0 < k < l < m < d$ never have facet normals of type (v) in common. This gives us the following description of facets of S -hypersimplices; see also [15].

Theorem 7. *Let $S = \{0 \leq s_1 < \dots < s_k \leq d\}$ be proper. Then $\Delta(d, S)$ has the following facets*

- (i) $\Delta(d, S)^{\mathbf{1}} = \Delta(d, s_k)$ provided $s_k < d$;
- (ii) $\Delta(d, S)^{-\mathbf{1}} = \Delta(d, s_1)$ provided $0 < s_1$;
- (iii) $\Delta(d, S)^{\mathbf{e}_i} \cong \Delta(d-1, S^+)$ for $i = 1, \dots, d$ provided S^+ is proper;
- (iv) $\Delta(d, S)^{-\mathbf{e}_i} \cong \Delta(d-1, S^-)$ for $i = 1, \dots, d$ provided S^- is proper;

- (v) $\Delta(d, S)^{\mathbf{u}_I} \cong \Delta(h, h - s_i) * \Delta(d - h, s_{i+1} - h)$ where $I \subset [d]$ with $s_i < |I| =: h < s_{i+1}$ for some $0 < i < k$ and $\mathbf{u}_I := (s_{i+1} - h)\mathbf{e}_I - (h - s_i)\mathbf{e}_{I^c}$.

Proof. By decomposition (2), every facet F of $\Delta(d, S)$ determines a facet of $\Delta(d, s_i, s_{i+1})$ for some $1 \leq i < k$ and F is decomposed by this collection of facets. By examining the possible facet normals of $\Delta(d, s_i, s_{i+1})$, the statement readily follows. \square

If $S = [0, d]$, then Theorem 7 gives us that \square_d has exactly $2d$ facets in the coordinate directions $\pm\mathbf{e}_i$ for $i = 1, \dots, d$. The facets are again cubes as $[0, d]^\pm = [0, d - 1]$. The d -dimensional crosspolytope $\diamond_d \cong \Delta(d, \{1, d - 1\})$ has 2^d facets. The two facets of type (i), (ii), and those of type (iii) and (iv) are simplices. As for type (v) this is a join of two simplices and thus also a simplex.

The description of combinatorial type of each facet also leads to the number of k -dimensional faces for $0 \leq k < d$; cf. [21].

3 Pulling triangulations

A **subdivision** \mathcal{S} of a d -dimensional polytope $P \subset \mathbb{R}^d$ is a collection $\mathcal{S} = \{P_1, \dots, P_m\}$ of d -polytopes such that $P = P_1 \cup \dots \cup P_m$ and $P_i \cap P_j$ is a face of P_i and P_j for all $1 \leq i < j \leq m$. If all polytopes P_i are simplices, then \mathcal{S} is called a **triangulation**. Triangulations are the method-of-choice for various computations on polytopes including volume, lattice point counting, or, more generally, computing valuations; see [8].

A powerful method for computing a triangulation is the so-called *pulling triangulation*. Let P be a d -polytope and $\mathbf{v} \in V(P)$ a vertex. Let F_1, \dots, F_m be the facets of P not containing \mathbf{v} . A key insight is that the collection of polytopes

$$P_i := \mathbf{v} * F_i := \text{conv}(F_i \cup \{\mathbf{v}\}) \quad \text{for } i = 1, \dots, m$$

constitutes a subdivision of P . This idea can be extended to obtain triangulations. Let \preceq be a partial order on the vertex set $V(P)$ such that every nonempty face $F \subseteq P$ has a unique minimal element with respect to \preceq . We denote the minimal vertex of F by \mathbf{v}_F . The **pulling triangulation** $\text{Pull}_{\preceq}(P)$ of P is recursively defined as follows. If P is a simplex, then $\text{Pull}_{\preceq}(P) = \{P\}$. Otherwise, we define

$$\text{Pull}_{\preceq}(P) = \bigcup_F \mathbf{v}_P * \text{Pull}_{\preceq}(F), \quad (3)$$

where the union is over all facets $F \subset P$ that do not contain \mathbf{v}_P and where $\mathbf{v}_P * \text{Pull}_{\preceq}(F) := \{\mathbf{v}_P * Q : Q \in \text{Pull}_{\preceq}(F)\}$.

For the cube \square_d , or more generally the class of *compressed* polytopes [25], it can be shown that every simplex S in a pulling triangulation of \square_d has the same volume $\frac{1}{d!}$. Thus, every pulling triangulation has exactly $d!$ many simplices, independent of the chosen order \preceq .

Recall that the halfcube is the S -hypersimplex $H_d = \Delta(d, [0, d] \cap 2\mathbb{Z})$. For $d \geq 5$ it is not true that the simplices in a pulling triangulation of H_d all have the same volume. The

main result of this section is that still the number of simplices in a pulling triangulation is independent of the choice of \preceq .

Theorem 8. *Every pulling triangulation of H_d has the same number of simplices. The number of simplices $t(d) := |\text{Pull}_{\preceq}(H_d)|$ is given by*

$$t(d) = \sum_{l=3}^d \frac{d!}{l!} (2^{l-1} - l) .$$

The proof of Theorem 8 is in two parts. We first show that the number of simplices of $\text{Pull}_{\preceq}(H_d)$ is independent of \preceq . This yields a recurrence relation on $t(d)$. In the second part we review the construction of $\text{Pull}_{\preceq}(H_d)$ from the perspective of choosing facets, which yields a combinatorial interpretation for $t(d)$ and which then verifies the stated expression.

From Theorem 7 we infer the following description of facets of H_d for $d \geq 3$: For every $i = 1, \dots, d$ we have

$$\begin{aligned} H_d^{-\mathbf{e}_i} &= H_d \cap \{\mathbf{x} : x_i = 0\} \cong H_{d-1}, \\ H_d^{\mathbf{e}_i} &= H_d \cap \{\mathbf{x} : x_i = 1\} \cong H_{d-1}, \end{aligned}$$

where the last isomorphism is realized by reflection in a hyperplane $\{\mathbf{x} : x_j = \frac{1}{2}\}$ for $j \neq i$. The remaining facets of H_d are provided by Theorem 7(v) and, in case d is odd, by (i): For $B \subseteq [d]$ with $|B|$ odd and $\mathbf{u}_B = \mathbf{e}_B - \mathbf{e}_{B^c}$, we have

$$H_d^{\mathbf{u}_B} = H_d \cap \{\mathbf{x} : \langle \mathbf{e}_B, \mathbf{x} \rangle - \langle \mathbf{e}_{B^c}, \mathbf{x} \rangle = |B| - 1\} \cong \Delta_{d-1} .$$

Proposition 9. *The number $t(d)$ of simplices in a pulling triangulation of H_d satisfies*

$$t(d) = d \cdot t(d-1) + 2^{d-1} - d$$

for $d \geq 4$ and $t(d) = 1$ for $d \leq 3$.

Proof. We prove the result by induction on d . For $d = 1, 2, 3$, we note that H_d is itself a simplex and thus there is nothing to prove.

For $d \geq 4$, let $A \subseteq [d]$ be an even subset such that $\mathbf{e}_A \in \{0, 1\}^d$ is the minimal vertex of P with respect to \preceq . By the discussion preceding the proposition, the facets not containing \mathbf{e}_A are $H_d^{\mathbf{e}_i} \cong H_{d-1}$ for $i \notin A$, $H_d^{-\mathbf{e}_i} \cong H_{d-1}$ for $i \in A$, and $H_d^{\mathbf{u}_B} \cong \Delta_{d-1}$ for

$$B \in \mathcal{B} := \{B \subseteq [d] : |B| \text{ odd}, |A \Delta B| > 1\} .$$

Note that $|\mathcal{B}| = 2^{d-1} - d$. Thus it follows from (3) that

$$\begin{aligned} t(d) &= |\text{Pull}_{\preceq}(H_d)| = \sum_{i \in A} |\text{Pull}_{\preceq}(H_d^{-\mathbf{e}_i})| + \sum_{i \notin A} |\text{Pull}_{\preceq}(H_d^{\mathbf{e}_i})| + \sum_{B \in \mathcal{B}} |\text{Pull}_{\preceq}(H_d^{\mathbf{u}_B})| \\ &= d \cdot t(d-1) + 2^{d-1} - d, \end{aligned}$$

where the last equality follows by induction. □

Let $P \subset \mathbb{R}^d$ be a full-dimensional polytope with suitable partial order \preceq on $V(P)$. Every simplex in $\text{Pull}_{\preceq}(P)$ corresponds to a chain of faces

$$P = G_0 \supset G_1 \supset G_2 \supset \cdots \supset G_k \tag{4}$$

such that $\dim G_i = d-i$ and G_k is a simplex of dimension $d-k$. The corresponding simplex is then given by $\mathbf{v}_{G_0} * \mathbf{v}_{G_1} * \cdots * \mathbf{v}_{G_k}$. If P is a simple polytope with facets F_1, \dots, F_m , then any such chain of faces is given by an ordered sequence of distinct indices h_1, h_2, \dots, h_k such that

$$G_i = F_{h_1} \cap F_{h_2} \cap \cdots \cap F_{h_i}$$

for all $i = 0, \dots, k$.

For the d -dimensional cube \square_d , the facets can be described by $(i, \delta) \in [d] \times \{0, 1\}$ so that

$$K_i^\delta := \square_d \cap \{x_i = \delta\} \cong \square_{d-1}.$$

The only faces of \square_d that are simplices have dimensions ≤ 1 and thus simplices in $\text{Pull}_{\preceq}(\square_d)$ correspond to sequences $(i_1, \delta_1), \dots, (i_{d-1}, \delta_{d-1}) \in [d] \times \{0, 1\}$ with $i_s \neq i_t$ for $s \neq t$. Thus, if we choose i_d such that $\{i_1, \dots, i_{d-1}, i_d\} = [d]$, then every simplex of $\text{Pull}_{\preceq}(\square_d)$ determines a permutation $\sigma = i_1 i_2 \cdots i_d$ of $[d]$.

Observe that for any vertex $\mathbf{v} \in \square_d$ and $i \in [d]$, we have that $\mathbf{v} \in K_i^0$ or $\mathbf{v} \in K_i^1$. This means that for any permutation $\sigma = i_1 i_2 \cdots i_d$ of $[d]$ there are $\delta_1, \delta_2, \dots, \delta_{d-1} \in \{0, 1\}$ such that $(i_1, \delta_1), \dots, (i_{d-1}, \delta_{d-1})$ come from a simplex in $\text{Pull}_{\preceq}(\square_d)$. This shows that $|\text{Pull}_{\preceq}(\square_d)| = d!$ independent of the order \preceq .

We call a sequence $\tau = i_1 i_2 \dots i_k$ with $i_1, \dots, i_k \in [d]$ a **partial permutation** if $i_s \neq i_t$ for $s \neq t$. We simply write $[d] \setminus \tau$ for $[d] \setminus \{i_1, \dots, i_k\}$. The following Proposition completes the proof of Theorem 8.

Proposition 10. *For any suitable partial order \preceq , the simplices of $\text{Pull}_{\preceq}(H_d)$ for $d \geq 3$ are in bijection to pairs (τ, B) where τ is a partial permutation of $[d]$ and $B \subseteq [d] \setminus \tau$ is a non-singleton subset of odd cardinality.*

Proof. Since H_3 is a simplex and the only admissible pair (τ, B) is given by the empty partial permutation and $B = [3]$, we assume $d \geq 4$. For $i = 1, \dots, d$ and $\delta \in \{0, 1\}$, let

$$F_i^\delta := H_d \cap \{x_i = \delta\} \cong H_{d-1}$$

be the halfcube facets of H_d . The halfcube H_d for $d \geq 4$ is not a simple polytope. However, it follows from Theorem 7 that the faces of H_d are halfcubes or simplices. If $G \subset H_d$ is a face linearly isomorphic to a halfcube of dimension $d - k \geq 4$, then G is a simple face in the sense that G is precisely the intersection of k halfcube facets. Every chain of faces (4) corresponds to some $(i_1, \delta_1), \dots, (i_{k-1}, \delta_{k-1}) \in [d] \times \{0, 1\}$ such that $G_{k-1} = F_{i_1}^{\delta_1} \cap \cdots \cap F_{i_{k-1}}^{\delta_{k-1}}$ is isomorphic to H_{d-k+1} and G_k is a simplex facet of G_{k-1} not containing $\mathbf{v}_{G_{k-1}}$. This gives rise to a unique partial permutation $\tau = i_1 i_2 \dots i_{k-1}$. To see that any such partial permutation can arise, we observe that again $V(H_d) \subset F_i^0 \cup F_i^1$ for all $i = 1, \dots, d$. We can identify G_{k-1} with H_{d-k+1} embedded in $\{\mathbf{x} : x_{i_1} = \cdots = x_{i_{k-1}} = 0\}$ and $\mathbf{v}_{G_{k-1}} = \mathbf{0}$. Now any simplex facet of H_{d-k+1} corresponds to an odd-cardinality subset $B \subset [d] \setminus \tau$ with $|B| \neq 1$. \square

4 Monotone paths

Let $P \subset \mathbb{R}^d$ be a polytope and $\ell : \mathbb{R}^d \rightarrow \mathbb{R}$ a linear function. An ℓ -**monotone path** of P is a sequence of vertices $W = \mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k$ such that $[\mathbf{v}_i, \mathbf{v}_{i+1}]$ is an edge of P for $i = 1, \dots, k-1$ and

$$\min \ell(P) = \ell(\mathbf{v}_1) < \ell(\mathbf{v}_2) < \dots < \ell(\mathbf{v}_k) = \max \ell(P).$$

More generally, a collection of faces F_1, F_2, \dots, F_k of P is an **induced subdivision** of the segment $\ell(P)$ if $F_1^{-\ell}$ and F_k^ℓ is a face of $P^{-\ell}$ and P^ℓ , respectively, and

$$F_i^\ell = F_{i+1}^{-\ell}$$

for $i = 1, \dots, k-1$. If ℓ is **generic**, that is, if ℓ is not constant on edges of P , then the minimum/maximum of ℓ on every nonempty face F is attained at a unique vertex. In this case $F_i^{\pm\ell}$ is a vertex for all i and an induced subdivision is called a **cellular string**. An induced subdivision F'_1, \dots, F'_h is a refinement if for every $1 \leq i \leq k$, there are $1 \leq s < t \leq h$ such that F'_s, \dots, F'_t is an induced subdivision of $\ell(F_i)$. The collection of all induced subdivisions of $\ell(P)$ is partially ordered by refinement and is called the **Baues poset** of (P, ℓ) . The minimal elements in the Baues poset are exactly the ℓ -monotone paths. Monotone paths are quintessential in the study of simplex-type algorithms in linear programming but they are also studied in topology in connection with iterated loop spaces; see [2, 20]. For the linear function $\mathbf{c}(x) = x_1 + \dots + x_d$, Corollary 2 readily yields the \mathbf{c} -monotone paths of $\Delta(d, S)$.

Corollary 11. *Let $S = \{s_1 < s_2 < \dots < s_k\}$ be proper. The \mathbf{c} -monotone paths correspond to sequences $A_1 \subset A_2 \subset \dots \subset A_k$ with $|A_i| = s_i$ for all $i = 1, \dots, k$.*

A ℓ -monotone path W is **coherent** if W is a monotone path with respect to the *shadow-vertex algorithm*; see [5, 17]. That is, if there is linear function $h_W : \mathbb{R}^d \rightarrow \mathbb{R}$ such that under the projection $\pi : \mathbb{R}^d \rightarrow \mathbb{R}^2$ given by $\pi(\mathbf{x}) = (\ell(\mathbf{x}), h_W(\mathbf{x}))$, the path W is mapped to one of the two paths in the boundary of the polygon $\pi(P)$. Figure 1 shows that in general coherent paths constitute a proper subset of all ℓ -monotone paths and it is interesting to determine for which pairs (P, ℓ) all ℓ -monotone paths are coherent; see, for example, the recent paper [9]. The S -hypersimplices with the linear function $\mathbf{c}(x)$ are examples of this.

Proposition 12. *Let $S \subseteq [0, d]$ be proper. Then all \mathbf{c} -monotone path of $\Delta(d, S)$ are coherent.*

Proof. Let $A_1 \subset A_2 \subset \dots \subset A_k$ be a \mathbf{c} -monotone path. For the linear function

$$h(\mathbf{x}) := \langle \mathbf{1}_{A_1} + \dots + \mathbf{1}_{A_k}, \mathbf{x} \rangle$$

it is easy to see that $h(\mathbf{1}_B)$ with $B \in \binom{[d]}{S}$ is maximal if and only if $B \in \{A_1, \dots, A_k\}$. \square

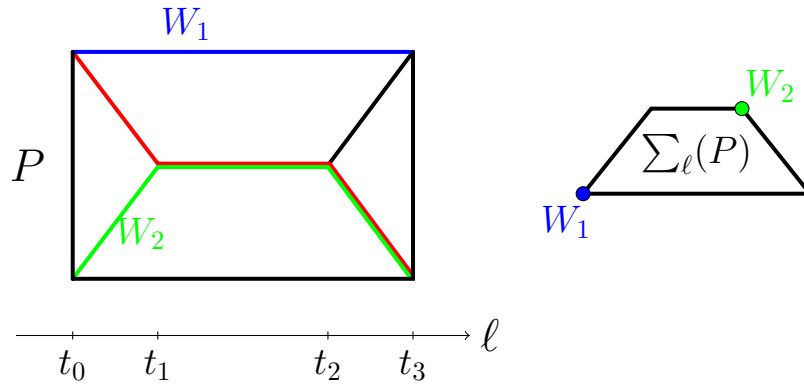


Figure 1: Left: Top view of triangular prism P and linear function ℓ . Three ℓ -monotone paths (in red, green, and blue) but the red path is not coherent. Right: Monotone path polytope $\Sigma_\ell(P)$.

The **monotone path polytope** $\Sigma_\ell(P)$ is a convex polytope of dimension $\dim P - 1$ whose face lattice is isomorphic to the poset of coherent subdivisions. The construction is a special case of fiber polytopes of Billera and Sturmfels [4]. Let $\ell(P) = [a, b] \subset \mathbb{R}$. A **section** of (P, ℓ) is a continuous function $\gamma : [a, b] \rightarrow P$ such that $\ell(\gamma(t)) = t$ for all $a \leq t \leq b$. Following [4], the monotone path polytope is defined as

$$\Sigma_\ell(P) = \text{conv} \left\{ \frac{1}{b-a} \int_P \gamma \, d\mathbf{x} : \gamma \text{ section} \right\}.$$

We now determine the monotone path polytopes of $\Delta(d, S)$ with respect to the natural linear function $\mathbf{c}(x) = x_1 + \dots + x_d$. Let us first observe that for $S \subset [d-1]$ the \mathbf{c} -monotone paths of $\Delta(d, S)$ and $\Delta(d, S \cup \{0, d\})$ are in bijection. Clearly every \mathbf{c} -monotone path of $\Delta(d, S \cup \{0, d\})$ restricts to a \mathbf{c} -monotone path of $\Delta(d, S)$. Conversely, if $A_1 \subset \dots \subset A_k$ corresponds to a \mathbf{c} -monotone path, then $\emptyset =: A_0 \subset A_1 \subset \dots \subset A_k \subset A_{k+1} = [d]$ is the unique extension to a \mathbf{c} -monotone path of $\Delta(d, S \cup \{0, d\})$.

Theorem 13. *Let $S = \{0 = s_0 \leq s_1 < s_2 < \dots < s_{k-1} < s_k = d\}$ be proper. Then*

$$\frac{1}{2} \mathbf{1} + d \cdot \Sigma_{\mathbf{c}}(\Delta(d, S)) = \Pi(k^{s_1 - s_0}, (k-1)^{s_2 - s_1}, \dots, 1^{s_k - s_{k-1}}).$$

Proof. Let $P \subset \mathbb{R}^d$ be a polytope with vertex set V and let ℓ be a linear function. Let $\ell(V) = \{a = t_0 < t_1 < \dots < t_k = b\}$. We write $P_i := P \cap \ell^{-1}(t_i)$ for $0 \leq i \leq k$. Theorem 1.5 of [4] together with the fact that

$$P \cap \ell^{-1} \left(\frac{t_i + t_{i+1}}{2} \right) = \frac{1}{2} (P_i + P_{i+1})$$

for $0 \leq i < m$ yields that

$$(b-a) \Sigma_\ell(P) = \frac{1}{2} P_0 + \sum_{i=1}^{k-1} P_i + \frac{1}{2} P_k.$$

If $P = \Delta(d, S)$ and $\ell(\mathbf{x}) = \mathbf{c}(\mathbf{x})$, then $P_i = \Delta(d, s_i)$ for $0 \leq i \leq k$. In particular, $P_0 = \{\mathbf{0}\}$ and $P_k = \{\mathbf{1}\}$. Therefore

$$\frac{1}{2}\mathbf{1} + d \cdot \Sigma_c(\Delta(d, S)) = \sum_{i=0}^k \Delta(d, s_i).$$

Since $\Delta(d, s_i) = \Pi(1^{s_i}, 0^{d-s_i})$ we conclude from Proposition 4 that the above sum is the permutahedron $\Pi(\mathbf{p})$ for

$$\mathbf{p} = (1^{s_0}, 0^{d-s_0}) + \dots + (1^{s_k}, 0^{d-s_k}).$$

This finishes the argument. □

5 Further questions

Volumes and Gröbner bases

Laplace and later Stanley [24] showed that the volume of $\Delta(d, i, i+1)$ is $\frac{A(d,i)}{d!}$ where $A(d, i)$ counts the number of permutations σ of $[d]$ with i **descents**, that is, the number of $1 \leq i < d$ such that $\sigma(i) > \sigma(i+1)$; see also [18, 23]. This implies that $d! \operatorname{vol} \Delta(d, [k, l])$ is the number of permutations of $[d]$ with descent number in $[k, l] = \{k, k+1, \dots, l\}$ for any $k < l$. It would be very interesting to know if $\operatorname{vol} \Delta(d, S)$ has a combinatorial interpretation for all S . In light of (2) it would be sufficient to determine $\operatorname{vol} \Delta(d, k, l)$ for $l - k > 1$.

For $0 \leq k < d$, the hypersimplices $\Delta(d, k, k+1) \cong \Delta(d, k+1)$ are *alcoved polytopes* in the sense of Lam–Postnikov [18] and hence come with a canonical square-free and unimodular triangulation. This is reflected by the fact that the associated toric ideals have quadratic and square-free Gröbner bases with respect to the reverse-lexicographic term order.

For general $k < l$, the polytopes $\Delta(d, k, l)$ are not alcoved anymore. It would be interesting if $\Delta(d, k, l)$ has a unimodular triangulation or square-free Gröbner basis.

5.1 Extension complexity

An **extension** of a polytope P is a polytope Q together with a surjective linear projection $Q \rightarrow P$. The **extension complexity** $\operatorname{ext}(P)$ of P is the minimal number of facets of an extension of P . This is a parameter that is of interest in combinatorial optimization [16]. It was shown in [12] that $\operatorname{ext}(\Delta(d, k, k+1)) = 2d$ for $1 \leq k \leq d-2$.

A realization of the join of two convex polytopes $P, Q \subset \mathbb{R}^d$ is given by $P * Q = \operatorname{conv}((P \times \mathbf{0} \times 0) \cup (\mathbf{0} \times Q \times 1))$. If P and Q has m and n facets, respectively, then $P * Q$ has $m+n$ facets. Balas' union bound [1] is the observation that $P * Q \rightarrow P \cup Q$ and hence $\operatorname{ext}(P \cup Q) \leq \operatorname{ext}(P) + \operatorname{ext}(Q)$. Iterating the join over the pieces of the decomposition 2 shows the following.

Proposition 14. *If $S \subseteq [0, d]$ is proper, then*

$$\text{ext}(\Delta(d, S)) \leq 2d(|S| - 1).$$

This is a nontrivial bound as the number of facets of $\Delta(d, S)$ is at least $2 + 2d + \sum_{r \notin S} \binom{d}{r}$. To illustrate, note that the number of facets of the halfcube H_d for $d \geq 5$ is $2d + 2^{d-1}$ whereas the bound afforded by Proposition 14 is $\leq d^2$. Carr and Konjevod [6] gave an extension of H_d of size linear in d . It would be interesting to know lower bounds on the extension complexity of $\Delta(d, S)$, maybe using the approach via rectangular covering; c.f. [12].

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