

# The Erdős-Hajnal property for graphs with no fixed cycle as a pivot-minor

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## Abstract

We prove that for every integer  $k$ , there exists  $\varepsilon > 0$  such that for every  $n$ -vertex graph  $G$  with no pivot-minor isomorphic to  $C_k$ , there exist disjoint sets  $A, B \subseteq V(G)$  such that  $|A|, |B| \geq \varepsilon n$ , and  $A$  is either complete or anticomplete to  $B$ . This proves the analog of the Erdős-Hajnal conjecture for the class of graphs with no pivot-minor isomorphic to  $C_k$ .

**Mathematics Subject Classifications:** 05C55, 05C75

## 1 Introduction

In this paper all graphs are simple, having no loops and no parallel edges. For a graph  $G$ , let  $\omega(G)$  be the maximum size of a clique, that is a set of pairwise adjacent vertices and let  $\alpha(G)$  be the maximum size of an independent set, that is a set of pairwise non-adjacent vertices. Erdős and Hajnal [9] proposed the following conjecture in 1989.

**Conjecture 1** (Erdős and Hajnal [9]). For every graph  $H$ , there is  $\varepsilon > 0$  such that all graphs  $G$  with no induced subgraph isomorphic to  $H$  satisfies

$$\max(\alpha(G), \omega(G)) \geq |V(G)|^\varepsilon.$$

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This conjecture still remains open. See [5] for a survey on this conjecture. We can ask the same question for weaker containment relations. Recently Chudnovsky and Oum [6] proved that this conjecture holds if we replace “induced subgraphs” with “vertex-minors” as follows. This is weaker in the sense that every induced subgraph  $G$  is a vertex-minor of  $G$  but not every vertex-minor of  $G$  is an induced subgraph of  $G$ .

**Theorem 2** (Chudnovsky and Oum [6]). *For every graph  $H$ , there exists  $\varepsilon > 0$  such that every graph with no vertex-minors isomorphic to  $H$  satisfies*

$$\max(\alpha(G), \omega(G)) \geq |V(G)|^\varepsilon.$$

We ask whether Conjecture 1 holds if we replace “induced subgraphs” with “pivot-minors” as follows.

**Conjecture 3.** For every graph  $H$ , there exists  $\varepsilon > 0$  such that every graph  $G$  with no pivot-minor isomorphic to  $H$  satisfies

$$\max(\alpha(G), \omega(G)) \geq |V(G)|^\varepsilon.$$

The detailed definition of pivot-minors will be presented in Section 3. For now, note that the analog for vertex-minors is weakest, the analog for pivot-minors is weaker than that for induced subgraphs but stronger than that for vertex-minors. This is because every induced subgraph of  $G$  is a pivot-minor of  $G$ , and every pivot-minor of  $G$  is a vertex-minor of  $G$ . In other words, Conjecture 1 implies Conjecture 3 and Conjecture 3 implies Theorem 2. We verify Conjecture 3 for  $H = C_k$ , the cycle graph on  $k$  vertices as follows.

**Theorem 4.** *For every  $k \geq 3$ , there exists  $\varepsilon > 0$  such that every graph with no pivot-minor isomorphic to  $C_k$  satisfies*

$$\max(\alpha(G), \omega(G)) \geq |V(G)|^\varepsilon.$$

We actually prove a stronger property, as Chudnovsky and Oum [6] did. Before stating this property, let us first state a few terminologies. A class  $\mathcal{G}$  of graphs closed under taking induced subgraphs is said to have *the Erdős-Hajnal property* if there exists  $\varepsilon > 0$  such that every graph  $G$  in  $\mathcal{G}$  satisfies

$$\max(\alpha(G), \omega(G)) \geq |V(G)|^\varepsilon.$$

A class  $\mathcal{G}$  of graphs closed under taking induced subgraphs is said to have *the strong Erdős-Hajnal property* if there exists  $\varepsilon > 0$  such that every  $n$ -vertex graph in  $\mathcal{G}$  with  $n > 1$  has disjoint sets  $A, B$  of vertices such that  $|A|, |B| \geq \varepsilon n$  and  $A$  is either complete or anti-complete to  $B$ . It is an easy exercise to show that the strong Erdős-Hajnal property implies the Erdős-Hajnal property, see [1, 10].

Chudnovsky and Oum [6] proved that the class of graphs with no vertex-minors isomorphic to  $H$  for a fixed graph  $H$  has the strong Erdős-Hajnal property, implying Theorem 2. We propose its analog for pivot-minors as a conjecture, which implies the theorem

of Chudnovsky and Oum [6]. Note that this conjecture is not true if we replace the pivot-minor with induced graphs. For example, the class of triangle-free graphs does not have the strong Erdős-Hajnal property [10].

**Conjecture 5.** For every graph  $H$ , there exists  $\varepsilon > 0$  such that for all  $n > 1$ , every  $n$ -vertex graph with no pivot-minor isomorphic to  $H$  has two disjoint sets  $A, B$  of vertices such that  $|A|, |B| \geq \varepsilon n$  and  $A$  is complete or anti-complete to  $B$ .

We prove that this conjecture holds if  $H = C_k$ . In other words, the class of graphs with no pivot-minor isomorphic to  $C_k$  has the strong Erdős-Hajnal property as follows. This implies Theorem 4.

**Theorem 6.** For every integer  $k \geq 3$ , there exists  $\varepsilon > 0$  such that for all  $n > 1$ , every  $n$ -vertex graph with no pivot-minor isomorphic to  $C_k$  has two disjoint sets  $A, B$  of vertices such that  $|A|, |B| \geq \varepsilon n$  and  $A$  is complete or anti-complete to  $B$ .

This paper is organized as follows. In Section 2, we will introduce basic definitions and review necessary theorems of Rödl [20] and Bonamy, Bousquet, and Thomassé [2]. In Section 3, we will present several tools to find a pivot-minor isomorphic to  $C_k$ . In particular, it proves that a long anti-hole contains  $C_k$  as a pivot-minor. In Section 4, we will present the proof of the main theorem, Theorem 6. In Section 5, we will relate our theorem to the problem on  $\chi$ -boundedness, and discuss known results and open problems related to polynomial  $\chi$ -boundedness and the Erdős-Hajnal property.

## 2 Preliminaries

Let  $\mathbb{N}$  be the set of positive integers and for each  $n \in \mathbb{N}$ , we write  $[n] := \{1, 2, \dots, n\}$ . For a graph  $G = (V, E)$ , let  $\overline{G} = (V, \binom{V}{2} - E)$  be the complement of  $G$ . We write  $\Delta(G)$  and  $\delta(G)$  to denote the maximum degree of  $G$  and the minimum degree of  $G$  respectively.

Let  $T$  be a tree rooted at a specified node  $v_r$ , called the *root*. If the path from  $v_r$  to a node  $y$  in  $T$  contains  $x \in V(T) - \{y\}$ , we say that  $x$  is an *ancestor* of  $y$ , and  $y$  is a *descendant* of  $x$ . If one of  $x$  and  $y$  is an ancestor of the other, we say that  $x, y$  are *related*. We say that two disjoint sets  $X$  and  $Y$  of nodes of  $T$  are *unrelated* if no pairs of  $x \in X$  and  $y \in Y$  are related.

For disjoint vertex sets  $X$  and  $Y$ , we say  $X$  is *complete* to  $Y$  if every vertex of  $X$  is adjacent to all vertices of  $Y$ . We say  $X$  is *anti-complete* to  $Y$  if every vertex of  $X$  is non-adjacent to  $Y$ . A *pure pair* of a graph  $G$  is a pair  $(A, B)$  of disjoint subsets of  $V(G)$  such that  $A$  is complete or anticomplete to  $B$ .

For a vertex  $u$ , let  $N_G(u)$  denote the set of neighbors of  $u$  in  $G$ . For each  $U \subseteq V(G)$ , we write

$$N_G(U) := \bigcup_{u \in U} N_G(u) - U.$$

The following lemma is proved in Section 2 of [2].

**Lemma 7** (Bonamy, Bousquet, and Thomassé [2]). *For every connected graph  $G$  and a vertex  $v_r \in V(G)$ , there exist an induced subtree  $T$  of  $G$  rooted at  $v_r$  and a function  $r : V(G) \rightarrow V(T)$  satisfying the following.*

(T1)  $r(v_r) = v_r$  and for each  $u \in V(G) - \{v_r\}$ , the vertex  $r(u)$  is a neighbor of  $u$ . In particular,  $T$  is a dominating tree of  $G$ .

(T2) If  $r(x)$  and  $r(y)$  are not related, then  $xy \notin E(G)$ .

Rödl [20] proved the following theorem. Its weaker version was later proved by Fox and Sudakov [11] without using the regularity lemma. A set  $U$  of vertices of  $G$  is an  $\varepsilon$ -stable set of a graph  $G$  if  $G[U]$  has at most  $\varepsilon \binom{|U|}{2}$  edges. Similarly,  $U$  is an  $\varepsilon$ -clique of a graph  $G$  if  $G[U]$  has at least  $(1 - \varepsilon) \binom{|U|}{2}$  edges.

**Theorem 8** (Rödl [20]). *For all  $\varepsilon > 0$  and a graph  $H$ , there exists  $\delta > 0$  such that every  $n$ -vertex graph  $G$  with no induced subgraph isomorphic to  $H$  has an  $\varepsilon$ -stable set or an  $\varepsilon$ -clique of size at least  $\delta n$ .*

We will use the following simple lemma. We present its proof for completeness.

**Lemma 9.** *Let  $G$  be a graph. Every  $\varepsilon$ -stable set  $U$  of  $G$  has a subset  $U'$  of size at least  $|U|/2$  with  $\Delta(G[U']) \leq 4\varepsilon|U'|$ .*

*Proof.* Let  $U'$  be the set of vertices of degree at most  $2\varepsilon|U|$  in  $G[U]$ . Because

$$\sum_{v \in U} \deg_{G[U]}(v) < \varepsilon|U|^2,$$

we have  $|U'| \geq |U|/2$ . Moreover, for each vertex  $v \in U'$ , we have  $\deg_{G[U']}(v) \leq 2\varepsilon|U| \leq 4\varepsilon|U'|$ .  $\square$

Using Lemma 9, we can deduce the following corollary of Theorem 8.

**Corollary 10.** *For all  $\alpha > 0$  and a graph  $H$ , there exists  $\delta > 0$  such that every graph  $G$  with no induced subgraph isomorphic to  $H$  has a set  $U \subseteq V(G)$  with  $|U| \geq \delta|V(G)|$  such that either  $\Delta(G[U]) \leq \alpha|U|$  or  $\Delta(\overline{G}[U]) \leq \alpha|U|$ .*

The following easy lemma will be used to find a connected induced subgraph inside the output of Corollary 10. We omit its easy proof.

**Lemma 11.** *A graph  $G$  has a pure pair  $(A, B)$  such that  $|A|, |B| \geq |V(G)|/3$  or has a connected induced subgraph  $H$  such that  $|V(H)| \geq |V(G)|/3$ .*

**Lemma 12** (Bonamy, Bousquet, and Thomassé [2, Lemma 3]). *Let  $T$  be a tree rooted at  $v_r$  and  $w : V(T) \rightarrow \mathbb{R}$  be a non-negative weight function on  $V(T)$  with  $\sum_{x \in V(T)} w(x) = 1$ . Then there exists either a path  $P$  from  $v_r$  with weight at least  $1/4$  or two unrelated sets  $A$  and  $B$  both with weight at least  $1/4$ .*

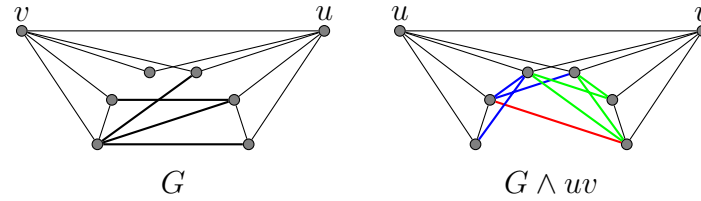


Figure 1: Pivoting  $uv$ .

A *hole* is an induced cycle of length at least 5.

**Lemma 13** (Bonamy, Bousquet, and Thomassé [2, Lemma 4]). *For given  $k \geq 3$ , there exist  $\alpha = \alpha(k) > 0$  and  $\varepsilon = \varepsilon(k) > 0$  such that for any  $n$ -vertex graph  $G$  with  $n \geq 2$  and  $\Delta(G) \leq \alpha n$ , if  $G$  has no holes of length at least  $k$  and has a dominating induced path, then  $G$  contains a pair  $(A, B)$  of disjoint vertex sets such that  $A$  is anticomplete to  $B$  and  $|A|, |B| \geq \varepsilon n$ .*

### 3 Finding a cycle as a pivot-minor

For a given graph  $G$  and an edge  $uv$ , a graph  $G \wedge uv$  obtained from  $G$  by *pivoting*  $uv$  is defined as follows. Let  $V_1 = N_G(u) \cap N_G(v)$ ,  $V_2 = N_G(u) - N_G(v)$ ,  $V_3 = N_G(v) - N_G(u)$ . Then  $G \wedge uv$  is the graph obtained from  $G$  by complementing adjacency between vertices between  $V_i$  and  $V_j$  for all  $1 \leq i < j \leq 3$  and swapping the label of  $u$  and  $v$ . See Figure 1 for an illustration. We say that  $H$  is a *pivot-minor* of  $G$  if  $H$  can be obtained from  $G$  by deleting vertices and pivoting edges. For this paper, we will also say that  $H$  is a pivot-minor of  $G$ , when  $G$  has a pivot-minor isomorphic to  $H$ . A pivot-minor  $H$  of  $G$  is *proper* if  $|V(H)| < |V(G)|$ .

We describe several scenarios for constructing  $C_k$  as a pivot-minor. The following proposition is an easy one; One can obtain a desired pivot-minor from a longer cycle of the same parity.

**Proposition 14.** *For  $m \geq k \geq 3$  with  $m \equiv k \pmod{2}$ , the cycle  $C_m$  has a pivot-minor isomorphic to  $C_k$ .*

*Proof.* We proceed by induction on  $m - k$ . We may assume that  $m > k$ . Let  $xy$  be an edge of  $C_m$ . Then  $(C_m \wedge xy) - x - y$  is isomorphic to  $C_{m-2}$ , which contains a pivot-minor isomorphic to  $C_k$  by the induction hypothesis.  $\square$

**Proposition 15.** *For integers  $k \geq 3$  and  $m \geq \frac{3}{2}k + 6$ , the graph  $\overline{C_m}$  has a pivot-minor isomorphic to  $C_k$ .*

Before proving Proposition 15, we present a simple lemma on partial complements of the cycle graph. The *partial complement*<sup>1</sup>  $G \oplus S$  of a graph  $G$  by a set  $S$  of vertices is a

<sup>1</sup>We found this concept in a paper by Kamiński, Lozin, and Milanić [14], though it may have been studied previously, as it is a natural concept.

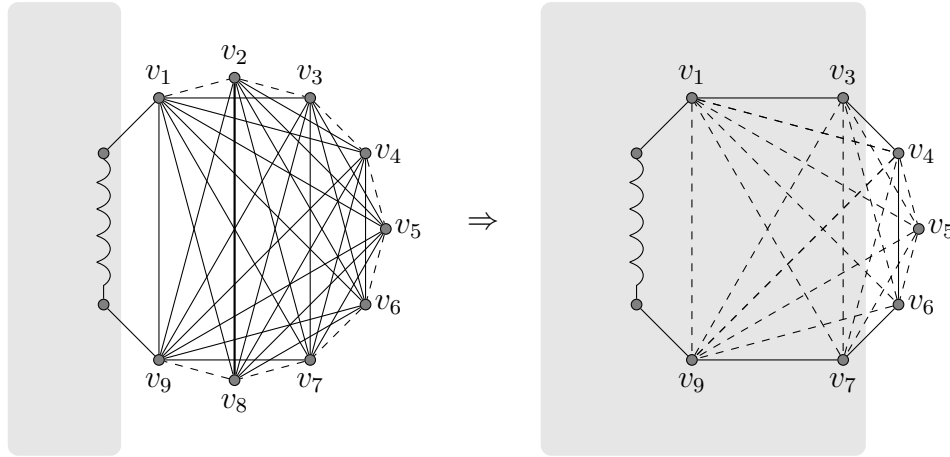


Figure 2: Obtaining an  $(s-2, 3)$ -cycle from an  $(s, 9)$ -cycle when  $s > 9$  in the proof of Lemma 16.

graph obtained from  $G$  by changing all edges within  $S$  to non-edges and non-edges within  $S$  to edges.

For  $s \geq t \geq 0$ , we say that  $G$  is an  $(s, t)$ -cycle if  $G$  is isomorphic to a graph  $C_s \oplus X$  for a set  $X$  of  $t$  consecutive vertices in the cycle  $C_s$ .

**Lemma 16.** *Let  $s \geq t \geq 6$ . An  $(s, t)$ -cycle contains a pivot-minor isomorphic to an  $(s-2, t-6)$ -cycle.*

*Proof.* Let  $v_1, \dots, v_s$  be the vertices of  $C_s$  in the cyclic order where  $X = \{v_1, \dots, v_t\}$ . Then it is easy to check that  $(C_s \oplus X) \wedge v_2 v_{t-1} - \{v_2, v_{t-1}\}$  is isomorphic to  $C_{s-2} \oplus X'$  where  $X'$  consists of  $t-6$  consecutive vertices on the cycle. See Figure 2.  $\square$

*Proof of Proposition 15.* As  $\overline{C_m}$  is an  $(m, m)$ -cycle, by Lemma 16,  $\overline{C_m}$  contains a pivot-minor isomorphic to an  $(m-2i, m-6i)$ -cycle for all  $i \leq m/6$ .

Let us fix  $i = \lceil (k-2)/4 \rceil$ . Then  $m-6i \geq m-6 \cdot (k+1)/4 \geq 9/2$  and therefore  $\overline{C_m}$  contains a pivot-minor  $H$  isomorphic to an  $(m-2i, m-6i)$ -cycle and  $m-6i \geq 5$ . We may assume that  $H = C_{m-2i} \oplus X$  where  $C_{m-2i} = v_1 \cdots v_{m-2i}$  and  $X = \{v_{4i+1}, \dots, v_{m-2i}\}$ .

Note that  $H$  contains an induced cycle  $C = v_1 \cdots v_{4i} v_{4i+1} v_{m-2i} v_1$  of length  $4i+2 \geq k$ . If  $k$  is even, then by Proposition 14,  $H$  contains a pivot-minor isomorphic to  $C_k$ . So we may assume that  $k$  is odd and therefore  $|V(C)| = 4i+2 \geq k+1$ .

Let  $x = v_{m-2i}$ ,  $y = v_{4i+1}$  be the two vertices in  $V(C) \cap X$ . Since  $m-6i \geq 5$ , there is a common neighbor  $z$  of  $x$  and  $y$  in  $X$ . Then  $z$  has exactly two neighbors  $x$  and  $y$  in  $V(C)$ . Then  $H[V(C) \cup \{z\}] \wedge yz - y - z$  is a cycle of length  $4i+1$ . Since  $4i+1 \geq k$ , by Proposition 14, it contains a pivot-minor isomorphic to  $C_k$ .  $\square$

A *generalized fan* is a graph  $G$  with a specified vertex  $c$ , called the *center*, such that  $G - c$  is an induced path of length at least 1, called the *main path* of  $G$  and both ends of the main path are adjacent to  $c$ . If  $c$  is adjacent to all vertices of  $G - c$ , then  $G$  is called a *fan*.

An *interval* of a generalized fan with a center  $c$  is a maximal subpath of the main path having no internal vertex adjacent to  $c$ . The *length* of an interval is its number of edges. A generalized fan is an  $(a_1, \dots, a_s)$ -fan if the lengths of intervals are  $a_1, \dots, a_s$  in order. Note that an  $(a_1, \dots, a_s)$ -fan is also an  $(a_s, \dots, a_1)$ -fan. An  $(a_1, \dots, a_s)$ -fan is a *k-good fan* if  $a_1 \geq k-2$  or  $a_s \geq k-2$ . An  $(a_1, \dots, a_s)$ -fan is a *strongly k-good fan* if  $s \geq 2$  and either  $a_1 \geq k-2$  and  $a_s$  is odd, or  $a_s \geq k-2$  and  $a_1$  is odd. It is easy to observe that every  $k$ -good fan has a hole of length at least  $k$ . However, that does not necessarily lead to a pivot-minor isomorphic to  $C_k$  because of the parity issues. In the next proposition, we show that every strongly  $k$ -good fan has a pivot-minor isomorphic to  $C_k$ .

**Proposition 17.** *Let  $k \geq 5$  be an integer. Every strongly  $k$ -good fan has a pivot-minor isomorphic to  $C_k$ .*

*Proof.* Let  $G$  be an  $(a_1, \dots, a_s)$ -fan such that  $s \geq 2$ ,  $a_1 \geq k-2$ , and  $a_s$  is odd. We proceed by the induction on  $|V(G)|$ . We may assume that  $G$  has no proper pivot-minor that is a strongly  $k$ -good fan. Note that  $C_{a_1+2}$  is an induced subgraph of  $G$ , hence if  $a_1 \equiv k \pmod{2}$ , then  $C_k$  is isomorphic to a pivot-minor of  $G$  by Proposition 14. Thus we may assume that  $a_1 \not\equiv k \pmod{2}$  and so  $a_1 \geq k-1$ .

If  $a_i$  is odd for some  $1 < i < s$ , then  $G$  contains a smaller strongly  $k$ -good fan by taking the first  $i$  intervals, contradicting our assumption. Thus  $a_i$  is even for all  $1 < i < s$ . If  $a_i \geq 3$  for some  $i > 1$ , then let  $uv$  be an internal edge of the  $i$ -th interval. Then  $G \wedge uv - u - v$  is a strongly  $k$ -good fan, contradicting our assumption. Thus, we may assume that  $a_i \leq 2$  for all  $i > 1$  and so  $G$  is an  $(a_1, 2, \dots, 2, 1)$ -fan.

Let  $xy$  be the last interval of  $G$  with length 1. Then  $G \wedge xy - x - y$  is a  $(a_1, 2, \dots, 2, 1)$ -fan with  $s-1$  intervals. By the assumption, we may assume that  $s=2$  and  $G \wedge xy - x - y$  is an  $(a_1-1)$ -fan with one interval, which is a cycle with  $a_1+1$  edges. As  $a_1+1 \geq k$  and  $a_1+1 \equiv k \pmod{2}$ , Proposition 14 implies that  $G$  contains a pivot-minor isomorphic to  $C_k$ .  $\square$

## 4 Proof of Theorem 6

First we choose  $\alpha > 0$  and  $\varepsilon_0 > 0$  so that

$$4\alpha \leq \alpha(\lceil \frac{3}{2}k+6 \rceil) \text{ and } \varepsilon_0 = \varepsilon(\lceil \frac{3}{2}k+6 \rceil) \text{ where } \alpha(\cdot), \varepsilon(\cdot) \text{ are specified in Lemma 13} \quad (1)$$

and in addition  $\alpha < 1/(8k)$  as well. Let  $\delta > 0$  be a constant obtained by applying Corollary 10 with  $\alpha/3$  as  $\alpha$  and  $C_k$  as  $H$ . Choose  $\varepsilon > 0$  so that

$$\varepsilon < \min \left( \frac{\delta}{12}, (1 - 4(k+3)\alpha) \frac{\delta}{240}, \frac{\varepsilon_0 \delta}{12} \right).$$

Let  $n > 1$  be an integer and  $G$  be an  $n$ -vertex graph with no pivot-minor isomorphic to  $C_k$ . In particular,  $G$  does not have  $C_k$  as an induced subgraph. To derive a contradiction, we assume that  $G$  contains no pure pair  $(A, B)$  with  $|A|, |B| \geq \varepsilon n$ . We may assume that  $\varepsilon n > 1$ , because otherwise an edge or a non-edge of  $G$  gives a pure pair.

By Corollary 10, there exists a subset  $U$  of  $V(G)$  such that  $|U| \geq \delta|V(G)|$  and  $\Delta(G^0[U]) \leq (\alpha/3)|U|$  for some  $G^0 \in \{G, \overline{G}\}$ . By the assumption on  $G$ ,  $G^0[U]$  has no pure pair  $(A, B)$  with  $|A|, |B| \geq (\varepsilon/\delta)|U|$ . As  $\varepsilon/\delta < 1/3$ , by Lemma 11,  $G^0[U]$  has a connected induced subgraph  $G'$  such that  $|V(G')| \geq |U|/3$ . Let  $n' = |V(G')|$ .

Then  $n' \geq (\delta/3)n$  and  $\Delta(G') \leq (\alpha/3)|U| \leq \alpha n'$ . By the assumption on  $G$ ,

$$G' \text{ contains no pure pair } (A, B) \text{ with } |A|, |B| \geq (3\varepsilon/\delta)n'. \quad (2)$$

By applying Lemma 7 with  $G'$ , we obtain a dominating induced tree  $T$  and  $r : V(G') \rightarrow V(T)$  satisfying Lemma 7 (T1)–(T2) with  $G'$ . For each  $u \in V(T)$ , let

$$w(u) := \frac{|r^{-1}(\{u\})|}{n'}$$

be the *weight* of  $u$ . By applying Lemma 12 with the weight  $w$ , we obtain either an induced path  $P$  of  $T$  with weight at least  $1/4$  or two unrelated sets  $A$  and  $B$  both with weight at least  $1/4$ .

In the latter case, Lemma 7 (T2) implies that  $r^{-1}(A)$  is anticomplete to  $r^{-1}(B)$  in  $G'$  and  $|r^{-1}(A)|, |r^{-1}(B)| \geq n'/4 \geq (3\varepsilon/\delta)n'$ , contradicting (2).

Hence, there exists an induced path  $P$  in  $G'$  with  $|V(P) \cup N_{G'}(V(P))| \geq n'/4$ . Let  $W := V(P) \cup N_{G'}(V(P))$ . Note that  $n'/4 \geq \delta n/12 > \varepsilon n > 1$  and so  $|W| \geq 2$ .

Suppose that  $G'$  is an induced subgraph of  $\overline{G}$ . Using (1), we apply Lemma 13 to  $G'[W]$  with  $4\alpha$  as  $\alpha$  and  $\lceil \frac{3}{2}k + 6 \rceil$  as  $k$ . Then we can deduce from (2) and  $\varepsilon'|W| \geq \frac{12\varepsilon n'}{\delta} = (3\varepsilon/\delta)n'$  that the graph  $G'[W]$  contains an induced cycle  $C_m$  with  $m \geq \lceil \frac{3}{2}k + 6 \rceil$  and by Proposition 15,  $\overline{G'}$  contains a pivot-minor isomorphic to  $C_k$ , and so does  $G$ , a contradiction.

Thus  $G'$  is an induced subgraph of  $G$ . Let  $G^* := G'[W]$  and let  $n^* = |W|$ . Then  $G^*$  has no pivot-minor isomorphic to  $C_k$ ,  $n^* \geq n'/4$ , and  $\Delta(G^*) \leq 4\alpha n^*$ . By (2),  $G^*$  contains no pure pair  $(A, B)$  with  $|A|, |B| \geq (12\varepsilon/\delta)n^*$ . Now the theorem follows from applying the following lemma with  $G^*, n^*, 4\alpha, 12\varepsilon/\delta$  playing the roles of  $G, n, \alpha, \varepsilon$  respectively in the statement of the lemma.

**Lemma 18.** *Let  $k \geq 3$  be an integer. Let  $0 < \alpha < 1/(2k)$ ,  $0 < \varepsilon \leq (1 - (k + 3)\alpha)/20$ . Let  $G$  be a graph on  $n \geq 2$  vertices such that  $\Delta(G) \leq \alpha n$  and  $G$  has no pure pair  $(A, B)$  with  $|A|, |B| \geq \varepsilon n$ . If  $G$  has a dominating induced path  $P$ , then  $G$  has a pivot-minor isomorphic to  $C_k$ .*

*Proof.* Suppose that  $G$  has no pivot-minor isomorphic to  $C_k$ . Note that  $\varepsilon n > 1$  as otherwise we have a pure pair on two vertices since  $n \geq 2$ . Let us label vertices of  $P$  by  $1, 2, \dots, s$  in the order.

As  $P$  is a dominating path of  $G$  and  $1 \leq \Delta(G) \leq \alpha n$ , we have  $2\alpha ns \geq (\alpha n + 1)s \geq n$  and therefore

$$s \geq 1/(2\alpha).$$

Note that  $s - k > 0$  because  $\alpha < \frac{1}{2k}$ . As  $P$  is an induced path, it contains a pure pair  $(A, B)$  with  $|A|, |B| \geq \lfloor \frac{s-1}{2} \rfloor$  and so  $\frac{s-2}{2} \leq \lfloor \frac{s-1}{2} \rfloor < \varepsilon n$ . Because  $\varepsilon n > 1$ , we have



$2\varepsilon n + 2 < 4\varepsilon n$  and so

$$s < 2\varepsilon n + 2 < 4\varepsilon n. \quad (3)$$

Now, for each  $i \in [s - k + 1]$ , let

$$U_i^- := \{1, \dots, i - 1\}, \quad U_i^0 := \{i, \dots, i + k - 1\}, \quad \text{and} \quad U_i^+ := \{i + k, \dots, s\}.$$

In other words, this partitions  $P$  into three (possibly empty) subpaths. Furthermore, for all  $i \in [s - k + 1]$  and  $u \in N_G(U_i^-) - V(P)$ , let

$$m_i^-(u) := \max(N_G(u) \cap U_i^-)$$

and for all  $i \in [s - k + 1]$  and  $u \in N_G(U_i^+) - V(P)$ , let

$$m_i^+(u) := \min(N_G(u) \cap U_i^+),$$

indicating the largest neighbor of  $u$  in  $U_i^-$  and the smallest neighbor of  $u$  in  $U_i^+$  respectively. For each  $i \in [s - k + 1]$ , let

$$\begin{aligned} A_i &:= N_G(U_i^0) - V(P) \quad \text{and} \\ B_i &:= (N_G(U_i^-) \cap N_G(U_i^+)) - (A_i \cup V(P)). \end{aligned}$$

Note that for each  $u \in B_i$ , we have

$$m_i^+(u) - m_i^-(u) \not\equiv k \pmod{2}, \quad (4)$$

because otherwise  $(u, m_i^-(u), m_i^-(u) + 1, \dots, m_i^+(u), u)$  forms an induced cycle of length at least  $k$  and Proposition 14 implies that  $G$  contains a pivot-minor isomorphic to  $C_k$ , a contradiction.

For each  $i \in [s - k + 1]$ , let

$$\begin{aligned} C_i^1 &:= \{u \in N_G(U_i^-) - (A_i \cup B_i \cup V(P)) : m_i^-(u) \equiv 1 \pmod{2}\}, \\ C_i^2 &:= \{u \in N_G(U_i^-) - (A_i \cup B_i \cup V(P)) : m_i^-(u) \equiv 0 \pmod{2}\}, \\ D_i^1 &:= \{u \in N_G(U_i^+) - (A_i \cup B_i \cup V(P)) : m_i^+(u) \equiv k \pmod{2}\}, \quad \text{and} \\ D_i^2 &:= \{u \in N_G(U_i^+) - (A_i \cup B_i \cup V(P)) : m_i^+(u) \equiv k + 1 \pmod{2}\}. \end{aligned}$$

Recall that  $P$  is dominating. Hence, for each  $i$ , the sets  $\{A_i, B_i, C_i^1, C_i^2, D_i^1, D_i^2, V(P)\}$  forms a partition of  $V(G)$  into 7 possibly empty sets.

If there exists an edge between  $u \in C_i^j$  and  $v \in D_i^j$  for some  $j \in [2]$ , then we obtain an induced cycle  $(u, m_i^-(u), m_i^-(u) + 1, \dots, m_i^+(v), v, u)$  having length  $m_i^+(v) - m_i^-(u) + 3 > k$  and  $m_i^+(v) - m_i^-(u) + 3 \equiv k \pmod{2}$ , contradicting our assumption that  $G$  has no pivot-minor isomorphic to  $C_k$  by Proposition 14. Thus  $C_i^j$  is anticomplete to  $D_i^j$ . Hence,

$$\min\{|C_i^j|, |D_i^j|\} < \varepsilon n. \quad (5)$$

for all  $i \in [s - k + 1]$  and  $j \in [2]$ . Furthermore, we prove the following.

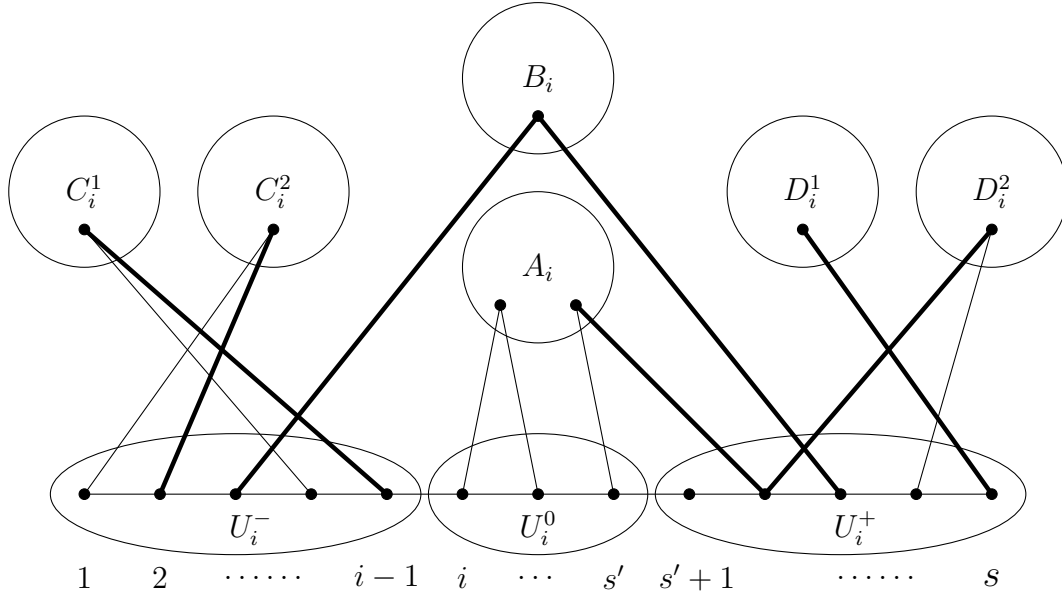


Figure 3:  $s' = i + k - 1$ . Bold lines indicate  $m_i^-(u)$  and  $m_i^+(u)$ .

**Claim 19.** *Let  $i \in [s - k + 1]$ . For each  $v \in B_i$ , all integers in  $N_G(v) \cap U_i^-$  have the same parity and all integers in  $N_G(v) \cap U_i^+$  have the same parity.*

*Proof of Claim 19.* If  $N_G(v) \cap U_i^+$  has two integers  $a < b$  of the different parity, then  $G$  contains a strongly  $k$ -good generalized fan by taking a subpath of  $P$  from  $m_i^-(v)$  to  $b$  as its main path and  $v$  as its center. Then by Proposition 17,  $G$  contains a pivot-minor isomorphic to  $C_k$ , contradicting the assumption. Thus all integers in  $N_G(v) \cap U_i^+$  have the same parity and similarly all integers in  $N_G(v) \cap U_i^-$  have the same parity. ■

**Claim 20.** *For all  $i \in [s - k + 1]$ ,  $|B_i| < 2(\alpha + 2\varepsilon)n$ .*

*Proof of Claim 20.* Suppose  $|B_i| \geq 2(\alpha + 2\varepsilon)n$  for some  $i \in [s - k + 1]$ . Then there exists  $r_B \in \{0, 1\}$  such that

$$B' := \{u \in B_i : m_i^-(u) \equiv r_B \pmod{2}\}$$

has size at least  $(\alpha + 2\varepsilon)n$ . By (4),  $m_i^+(u) \equiv k + r_B + 1 \pmod{2}$  for all  $u \in B'$ .

We claim that if  $uv$  is an edge in  $G[B']$ , then  $(m_i^-(u), m_i^+(u)) = (m_i^-(v), m_i^+(v))$ . Suppose not. Without loss of generality, we may assume that  $m_i^-(u) < m_i^-(v)$ , because otherwise we may reverse the ordering of  $P$  to ensure that  $m_i^-(u) \neq m_i^-(v)$  and swap  $u$  and  $v$  if necessary.

If  $m_i^+(u) \geq m_i^+(v)$ , then by Claim 19,  $\{m_i^-(v), m_i^-(v) + 1, \dots, m_i^+(u), u, v\}$  induces a strongly  $k$ -good generalized fan with  $v$  as a center and  $(m_i^-(v), m_i^-(v) + 1, \dots, m_i^+(u), u)$  as its main path. This implies that  $G$  has a pivot-minor isomorphic to  $C_k$  by Proposition 17, contradicting our assumption.

If  $m_i^+(u) < m_i^+(v)$ , then  $(m_i^-(v), m_i^-(v) + 1, \dots, m_i^+(u), u, v)$  is an induced cycle of length  $m_i^+(u) - m_i^-(v) + 3 \geq k$ , and  $m_i^+(u) - m_i^-(v) + 3 \equiv (k + r_B + 1) - r_B + 3 \equiv k \pmod{2}$ , a contradiction by Proposition 14.

Hence,  $(m_i^-(u), m_i^+(u)) = (m_i^-(v), m_i^+(v))$  for all  $uv \in E(G[B'])$ . Let  $C_1, \dots, C_t$  be the connected components of  $G[B']$ . By the above observation, for each  $j \in [t]$ , there exist  $a_j \in U_i^-$  and  $b_j \in U_i^+$  such that  $V(C_j) \subseteq N_G(a_j) \cap N_G(b_j)$ . So,  $|V(C_j)| \leq \alpha n$ . As  $|B'| \geq (\alpha + 2\varepsilon)n$ , there exists a set  $I \subseteq \{1, 2, \dots, t\}$  such that  $\varepsilon n \leq |\bigcup_{i \in I} V(C_i)| \leq (\alpha + \varepsilon)n$ . Let  $A := \bigcup_{i \in I} V(C_i)$  and  $B := B' - A$ . Then  $(A, B)$  is a pure pair of  $G$  with  $|A|, |B| \geq \varepsilon n$ , a contradiction.  $\blacksquare$

**Claim 21.** *There exist  $i_* \in [s - k + 1]$  and  $j_* \in [2]$  such that*

$$|C_{i_*}^{j_*}|, |D_{i_*}^{3-j_*}| \geq 3\varepsilon n.$$

*Proof of Claim 21.* First, since  $\Delta(G) \leq \alpha n$ ,  $|A_i| \leq k\alpha n$  for each  $i \in [s - k + 1]$ .

Let  $f(i) := |C_i^1| + |C_i^2|$ . Then

$$\begin{aligned} f(1) &= 0, \\ f(s - k + 1) &= n - |A_{s-k+1}| - s \quad \text{because } U_{s-k+1}^+ = D_{s-k+1}^1 = D_{s-k+1}^2 = B_{s-k+1} = \emptyset, \\ &\geq n - k\alpha n - 4\varepsilon n \quad \text{by (3) and the assumption that } \Delta(G) \leq \alpha n, \\ &= (1 - k\alpha - 4\varepsilon)n \geq 6\varepsilon n, \end{aligned}$$

and for each  $i \in [s - k]$ , we have

$$f(i + 1) - f(i) \leq \deg_G(i) \leq \alpha n.$$

Hence, there exists  $i_* \in [s - k + 1]$  such that  $6\varepsilon n \leq f(i_*) < (6\varepsilon + \alpha)n$ . As  $|B_{i_*}| < 2(\alpha + 2\varepsilon)n$ , we have

$$\begin{aligned} |D_{i_*}^1| + |D_{i_*}^2| &= n - |A_{i_*}| - |B_{i_*}| - (|C_{i_*}^1| + |C_{i_*}^2|) - |V(P)| \\ &\geq n - k\alpha n - 2(\alpha + 2\varepsilon)n - (6\varepsilon + \alpha)n - 4\varepsilon n \\ &= (1 - (k + 3)\alpha - 14\varepsilon)n \geq 6\varepsilon n. \end{aligned}$$

So, there exist  $a, b \in \{1, 2\}$  such that  $|C_{i_*}^a|, |D_{i_*}^b| \geq 3\varepsilon n$ . By (5),  $a \neq b$  and so we take  $j_* := a$ . This proves the claim.  $\blacksquare$

**Claim 22.** *For each component  $C$  of  $G[C_{i_*}^{j_*}]$  and each component  $D$  of  $G[D_{i_*}^{3-j_*}]$ ,  $(C, D)$  is a pure pair of  $G$ .*

*Proof of Claim 22.* Assume not. By symmetry, we may assume that  $C$  has a vertex  $u$  having both a neighbor and a non-neighbor in  $D$ , because otherwise we swap  $C$  and  $D$  by reversing the order of  $P$ . As  $D$  is connected, there exist  $v, v' \in V(D)$  such that  $uv, vv' \in E(G)$  and  $uv' \notin E(G)$ .

Note that  $m_{i_*}^+(v) \equiv m_{i_*}^+(v') \pmod{2}$  and

for every neighbor  $\ell \in N_G(v) \cap U_{i_*}^+$ , the number  $\ell - m_{i_*}^+(v)$  is even, (6)

because otherwise for the minimum  $\ell \in N_G(v) \cap U_{i_*}^+$  with odd  $\ell - m_{i_*}^+(v)$ , a vertex set  $\{v, m_{i_*}^-(u), m_{i_*}^-(u) + 1, \dots, \ell, u\}$  induces a strongly  $k$ -good generalized fan with  $v$  as its center, a contradiction by Proposition 17.

If  $m_{i_*}^+(v) \leq m_{i_*}^+(v')$ , then  $\{v, u, m_{i_*}^-(u), m_{i_*}^-(u) + 1, \dots, m_{i_*}^+(v'), v'\}$  induces a strongly  $k$ -good generalized fan with  $v$  as a center by (6).

If  $m_{i_*}^+(v) > m_{i_*}^+(v')$ , then simply  $(u, m_{i_*}^-(u), m_{i_*}^-(u) + 1, \dots, m_{i_*}^+(v'), v', v, u)$  is an induced cycle whose length is at least  $k$  and is of the same parity with  $k$ . Hence Proposition 14 implies a contradiction. ■

By Claim 22, there exists  $S \in \{C_{i_*}^{j_*}, D_{i_*}^{3-j_*}\}$  such that every component of  $G[S]$  has less than  $\varepsilon n$  vertices. By Claim 21, we can greedily find a set of components of  $G[S]$  covering at least  $\varepsilon n$  vertices and at most  $2\varepsilon n$  vertices. Since  $|S| \geq 3\varepsilon n$ , the vertices of  $S$  covered by this set of components with the vertices of  $S$  not covered by this set of components give a pure pair  $(A, B)$  with  $|A|, |B| \geq \varepsilon n$ , a contradiction. This proves the lemma. □

## 5 Discussions

For a graph  $G$ , we write  $\chi(G)$  to denote its chromatic number and  $\omega(G)$  to denote its clique number, that is the maximum size of a clique. A class  $\mathcal{G}$  of graphs is called  $\chi$ -bounded if there exists a function  $f : \mathbb{Z} \rightarrow \mathbb{Z}$  such that for every induced subgraph  $H$  of a graph in  $\mathcal{G}$ ,  $\chi(H) \leq f(\omega(H))$ . In addition, we say  $\mathcal{G}$  is *polynomially  $\chi$ -bounded* if  $f$  can be taken as a polynomial.

Every polynomially  $\chi$ -bounded class of graphs has the strong Erdős-Hajnal property, but the converse does not hold; see the survey paper by Scott and Seymour [22]. So it is natural to ask whether the class of graphs with no pivot-minor isomorphic to  $C_k$  is polynomially  $\chi$ -bounded, which is still open. So far Choi, Kwon, and Oum [4] showed that it is  $\chi$ -bounded.

**Theorem 23** (Choi, Kwon, and Oum [4, Theorem 4.1]). *For each  $k \geq 3$ , the class of graphs with no pivot-minor isomorphic to  $C_k$  is  $\chi$ -bounded.*

They showed that  $\chi(G) \leq 2(6k^3 - 26k^2 + 25k - 1)^{\omega(G)-1}$  holds for graphs  $G$  having no pivot-minor isomorphic to  $C_k$ , far from being a polynomial. Theorem 23 is now implied by a recent theorem of Scott and Seymour [21], solving three conjectures of Gyárfás [13] on  $\chi$ -boundedness all at once.

**Theorem 24** (Scott and Seymour [21]). *For all  $k \geq 0$  and  $\ell > 0$ , the class of all graphs having no induced cycle of length  $k$  modulo  $\ell$  is  $\chi$ -bounded.*

To see why Theorem 24 implies Theorem 23, take  $\ell := 2\lceil k/2 \rceil$  and apply Proposition 14. Still the bound obtained from Theorem 24 is far from being a polynomial.

And yet no one was able to answer the following problem of Esperet.

**Problem 25** (Esperet; see [15]). Is it true that every  $\chi$ -bounded class of graphs polynomially  $\chi$ -bounded?

Thus it is natural to pose the following conjecture.

**Conjecture 26.** For every graph  $H$ , the class of graphs with no pivot-minor isomorphic to  $H$  is polynomially  $\chi$ -bounded.

It is open whether Conjecture 26 holds when  $H = C_k$ . Conjecture 26 implies not only Conjectures 3, 5 but also the following conjecture of Geelen (see [8]) proposed in 2009 at the DIMACS workshop on graph colouring and structure held at Princeton University.

**Conjecture 27** (Geelen; see [8]). For every graph  $H$ , the class of graphs with no vertex-minor isomorphic to  $H$  is  $\chi$ -bounded.

Of course it is natural to pose the following conjecture, weaker than Conjecture 26 but stronger than Conjecture 27.

**Conjecture 28** (Kim, Kwon, Oum, and Sivaraman [16]). For every graph  $H$ , the class of graphs with no vertex-minor isomorphic to  $H$  is polynomially  $\chi$ -bounded.

For vertex-minors, more results are known. Kim, Kwon, Oum, and Sivaraman [16] proved that for each  $k \geq 3$ , the class of graphs with no vertex-minor isomorphic to  $C_k$  is polynomially  $\chi$ -bounded. Their theorem is now implied by the following two recent theorems. To describe these theorems, we first have to introduce a few terms. A *circle graph* is the intersection graph of chords in a circle. In particular,  $C_k$  is a circle graph. The *rank-width* of a graph is one of the width parameters of graphs, measuring how easy it is to decompose a graph into a tree-like structure while keeping every cut to have a small ‘rank’. Rank-width was introduced by Oum and Seymour [19]. We will omit the definition of the rank-width.

**Theorem 29** (Geelen, Kwon, McCarty, and Wollan [12]). *For each circle graph  $H$ , there is an integer  $r(H)$  such that every graph with no vertex-minor isomorphic to  $H$  has rank-width at most  $r(H)$ .*

**Theorem 30** (Bonamy and Pilipczuk [3]). *For each  $k$ , the class of graphs of rank-width at most  $k$  is polynomially  $\chi$ -bounded.*

As noted in [6], it is easy to prove directly that the class of graphs of bounded rank-width has the strong Erdős-Hajnal property, without using Theorem 30. See Figure 4 for a diagram showing the containment relations between these properties.

So, one may wonder whether the class of graphs with no pivot-minor isomorphic to  $C_k$  has bounded rank-width. Unfortunately, if  $k$  is odd, then it is not true, because all bipartite graphs have no pivot-minor isomorphic to  $C_k$  for odd  $k$  and yet have unbounded rank-width, see [17]. If  $k$  is even, then it would be true if the following conjecture hold.

**Conjecture 31** (Oum [18]). For every bipartite circle graph  $H$ , there is an integer  $r(H)$  such that every graph with no pivot-minor isomorphic to  $H$  has rank-width at most  $r(H)$ .

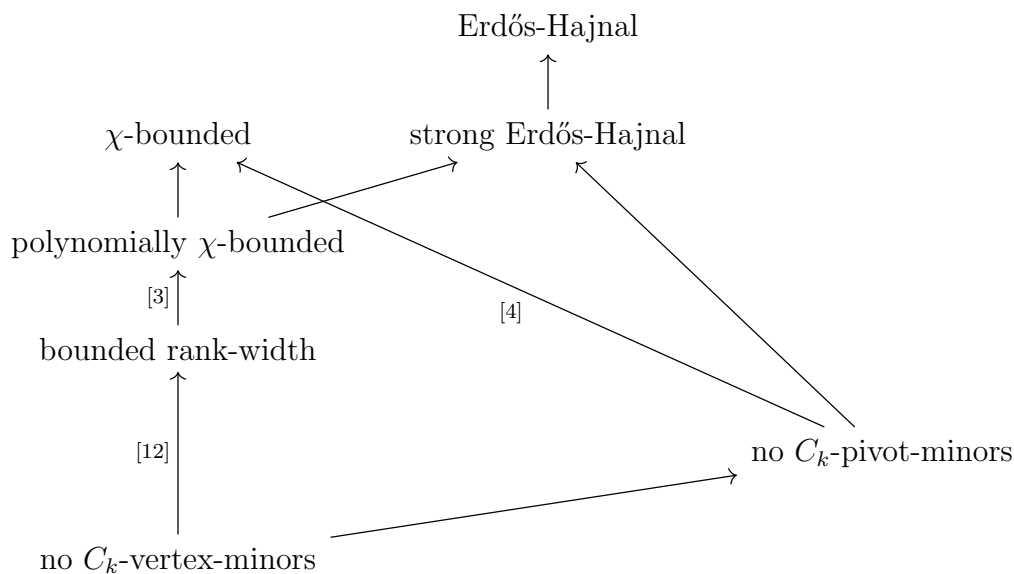


Figure 4: Known implications between properties of classes of graphs.

### Note.

Chudnovsky, Scott, Seymour, and Spirkl [7] proved that for every graph  $H$ , the class of graphs  $G$  such that neither  $G$  nor  $\overline{G}$  has any subdivision of  $H$  as an induced subgraph has the strong Erdős-Hajnal property. This implies that when  $k$  is even, the class of graphs with no induced even hole of length at least  $k$  and no induced even anti-hole of length at least  $k$  has the strong Erdős-Hajnal property. This is because every subdivision of a large theta graph<sup>2</sup> contains a large even hole. This implies Theorem 4 for even  $k$  but not for odd  $k$  by Propositions 14 and 15. The authors would like to thank the authors of [7] to share this observation.

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<sup>2</sup>A *theta graph* is a graph consisting of three internally disjoint paths of length at least 1 joining two fixed vertices.

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