

Andrews-Beck type congruences for overpartitions

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Submitted: May 26, 2021; Accepted: Feb 11, 2022; Published: Feb 25, 2022

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Abstract

We prove Andrews-Beck type congruences for overpartitions concerning the D -rank and M_2 -rank. To prove congruences, we establish the generating function for weighted D -rank (respectively, M_2 -rank) moment of overpartitions and find a connection with the second D -rank (respectively, M_2 -rank) moment for overpartitions.

Mathematics Subject Classifications: 11P81, 05A17

1 Introduction

Ramanujan's congruences for the partition function $p(n)$ are one of remarkable results in the theory of partitions:

$$\begin{aligned}p(5n + 4) &\equiv 0 \pmod{5}, \\p(7n + 5) &\equiv 0 \pmod{7}, \\p(11n + 6) &\equiv 0 \pmod{11},\end{aligned}$$

Dyson [8] defined the rank of a partition, which is defined as the largest part minus the number of parts, conjectured combinatorial explanations for the Ramanujan congruences modulo 5 and 7, and conjectured the existence of a crank function for partitions that could provide a combinatorial proof of Ramanujan's congruences modulo 11. Atkin and Swinnerton-Dyer [3] proved Dyson's conjecture on the rank. Andrews and Garvan [2] found the crank function and proved that the crank explains all Ramanujan congruences modulo 5, 7 and 11.

*Supported by the Basic Science Research Program through the National Research Foundation of Korea funded by the Ministry of Education (NRF-2020R1I1A1A01065877, NRF-2019R1A6A1A11051177).

Let $NT(b, k, n)$ be the total number of parts in the partitions of n with rank congruent to b modulo k . Beck conjectured surprising congruences for the certain linear combinations among $NT(b, k, b)$. Andrews [1] has confirmed Beck's conjectures: for all non-negative integers n ,

$$NT(1, 5, 5n + i) + 2NT(2, 5, 5n + i) - 2NT(3, 5, 5n + i) - NT(4, 5, 5n + i) \equiv 0 \pmod{5}$$

for $i = 1$ or 4 , and

$$NT(1, 7, 7n + i) + 2NT(2, 7, 7n + i) + 3NT(3, 7, 7n + i) - 3NT(4, 7n + i) - 2NT(5, 7, 7n + i) - NT(6, 7, 7n + i) \equiv 0 \pmod{7}$$

for $i = 1$ or $i = 5$. A crank version of Beck's conjecture is confirmed by Chern [6]. For example, if $M_\omega(b, k, n)$ counts the total number of ones in the partitions of n with crank congruent to b modulo k , we have, for all integers $n \geq 0$,

$$M_\omega(1, 5, 5n + 4) + 2M_\omega(2, 5, 5n + 4) - 2M_\omega(3, 5, 5n + 4) - M_\omega(4, 5, 5n + 4) \equiv 0 \pmod{5}.$$

In the recent article [7], Chern also provided a list of over 70 Andrews-Beck type congruences involving $NT(b, k, b)$ and $M_\omega(b, k, n)$.

Now, we will consider overpartition analogue to Andrew-Beck type congruences. Recall that an overpartition is a partition in which the first occurrence of a number may be overlined. For example, the 14 overpartitions of 4 are

$$4, \bar{4}, 3 + 1, \bar{3} + 1, 3 + \bar{1}, \bar{3} + \bar{1}, 2 + 2, \bar{2} + 2, 2 + 1 + 1, \bar{2} + 1 + 1, 2 + \bar{1} + 1, \bar{2} + \bar{1} + 1, 1 + 1 + 1 + 1, \bar{1} + 1 + 1 + 1.$$

For an overpartition λ of n , the D -rank of λ [11] is defined as Dyson's rank for ordinary partition,

$$D\text{-rank}(\lambda) = \ell(\lambda) - \#(\lambda),$$

and the M_2 -rank of λ [12] is defined by

$$M_2\text{-rank}(\lambda) = \left\lceil \frac{\ell(\lambda)}{2} \right\rceil - \#(\lambda) + \#(\lambda_o) - \chi(\lambda),$$

where $\ell(\lambda)$ is the largest part of λ , $\#(\lambda)$ is the number of parts in λ , $\#(\lambda_o)$ is the number of odd non-overlined parts of λ , and $\chi(\lambda) = 1$ if the largest part of λ is odd and non-overlined and $\chi(\lambda) = 0$ otherwise.

Let $\overline{NT}(b, k, n)$ denote the total number of parts in the overpartitions of n with D -rank congruent to b modulo k and $\overline{NT2}(b, k, n)$ denote the total number of parts in the overpartitions of n with M_2 -rank congruent to b modulo k . Then the following congruences are proved by Chan-Mao-Osburn [5]: for all $n \in \mathbb{N}$,

$$\overline{NT2}(1, 5, 5n+2) + 2\overline{NT2}(2, 5, 5n+2) - 2\overline{NT2}(3, 5, 5n+2) - \overline{NT2}(4, 5, 5n+2) \equiv 0 \pmod{5} \tag{1.1}$$

and

$$\overline{NT}(1, 3, 3n+i) - \overline{NT}(2, 3, 3n+i) \equiv \overline{NT2}(1, 3, 3n+i) - \overline{NT2}(2, 3, 3n+i) \pmod{3} \quad (1.2)$$

for $i = 0$ or 1 .

In this paper, we prove Andrews-Beck type congruence on $\overline{NT}(b, k, n)$ and $\overline{NT2}(b, k, n)$ modulo 4 and 8 as follows.

Theorem 1. *For all integers $n \geq 0$,*

$$\overline{NT}(1, 4, 2n+1) + 2\overline{NT}(2, 4, 2n+1) + 3\overline{NT}(3, 4, 2n+1) \equiv 0 \pmod{4}.$$

Theorem 2. *For all integers $n \geq 0$,*

$$\overline{NT2}(1, 4, 4n+1) + 2\overline{NT2}(2, 4, 4n+1) + 3\overline{NT2}(3, 4, 4n+1) \equiv 0 \pmod{4},$$

$$\overline{NT2}(1, 4, 4n+2) + 2\overline{NT2}(2, 4, 4n+2) + 3\overline{NT2}(3, 4, 4n+2) \equiv 0 \pmod{4},$$

and

$$\begin{aligned} &\overline{NT2}(1, 8, 4n+2) + 2\overline{NT2}(2, 8, 4n+2) + 3\overline{NT2}(3, 8, 4n+2) \\ &+ 4\overline{NT2}(4, 8, 4n+2) + 5\overline{NT2}(5, 8, 4n+2) + 6\overline{NT2}(6, 8, 4n+2) \\ &\qquad\qquad\qquad + 7\overline{NT2}(7, 8, 4n+2) \equiv 0 \pmod{8}. \end{aligned}$$

Lastly, we also prove a congruence between $\overline{NT}(b, k, n)$ and $\overline{NT2}(b, k, n)$.

Theorem 3. *For all integers $n \geq 0$, we have*

$$\sum_{j=1}^7 j\overline{NT}(j, 8, 4n+1) \equiv \sum_{j=1}^7 j\overline{NT2}(j, 8, 4n+1) \pmod{8}.$$

The rest of the paper is organized as follows. In Section 2, we establish the generating function for weighted D -rank moment of overpartitions and find a relation with the second D -rank moment for overpartitions, from which we can prove Theorem 1. Also, we discover more congruences on $\overline{NT}(b, k, n)$. In Section 3, the generating function for weighted M_2 -rank moment of overpartitions and a proof of Theorem 2 will be presented. Employing generalized Lambert series identities, we prove the congruence between $\overline{NT}(b, k, n)$ and $\overline{NT2}(b, k, n)$ in Section 4.

2 Weighted D -rank moments of overpartitions

Using standard combinatorial arguments in partition theory as [11, Proposition 1.1], we find that

$$\overline{R}(x, z, q) := \sum_{n \geq 0} \sum_{\lambda \in \overline{P}_n} x^{\#(\lambda)} z^{D\text{-rank}(\lambda)} q^n = \sum_{n \geq 0} \frac{(-1)_n x^n q^{n(n+1)/2}}{(zq, xq/z)_n}, \quad (2.1)$$

where \overline{P}_n is the set of overpartitions of n .

Here and throughout the rest of the paper, we use the standard q -series notation,

$$(a)_n = (a; q)_n := \prod_{k=1}^n (1 - aq^{k-1}),$$

$$(a_1, \dots, a_m)_n = (a_1, \dots, a_m; q)_n := (a_1)_n \cdots (a_m)_n,$$

and

$$[a_1, \dots, a_m]_n = [a_1, \dots, a_m; q]_n = (a_1, q/a_1, \dots, a_m, q/a_m)_n,$$

for $n \in \mathbb{N}_0 \cup \{\infty\}$.

We will give two proofs for Theorem 1. For the first proof of Theorem 1, we will establish the generating function for the weighted D -rank moment of overpartitions and compare it with the ordinary and symmetrized D -rank moments. Here, the ordinary and symmetrized D -rank moments are defined by

$$\overline{N}_k(n) = \sum_{m \in \mathbb{Z}} m^k \overline{N}(m, n),$$

$$\overline{\eta}_k(n) = \sum_{m \in \mathbb{Z}} \binom{m - \lfloor \frac{k-1}{2} \rfloor}{k} \overline{N}(m, n),$$

where $\overline{N}(m, n)$ denotes the number of overpartitions of n with D -rank m .

Theorem 4. *We have*

$$\sum_{n \geq 0} \sum_{\lambda \in \overline{P}_n} \#(\lambda) D\text{-rank}(\lambda) q^n = - \sum_{n \geq 1} \frac{(-1)_n q^{n(n+1)/2}}{(q)_n^2} \sum_{m=1}^n \frac{q^m}{(1 - q^m)^2},$$

which implies

$$\sum_{\lambda \in \overline{P}_n} \#(\lambda) D\text{-rank}(\lambda) = -\frac{1}{2} \overline{N}_2(n) = -\overline{\eta}_2(n).$$

It follows that

$$\sum_{n \geq 1} \frac{(-1)_n q^{n(n+1)/2}}{(q)_n^2} \sum_{m=1}^n \frac{q^m}{(1 - q^m)^2} = 2 \frac{(-q)_\infty}{(q)_\infty} \sum_{n \geq 1} \frac{(-1)^{n+1} q^{n(n+1)}}{(1 - q^n)^2}.$$

Proof. Applying $[\partial/\partial x]_{x=1}$ to the generating function $\overline{R}(x, z, q)$ (2.1), we have

$$\begin{aligned} \sum_{n \geq 0} \sum_{\lambda \in \overline{P}_n} \#(\lambda) z^{D\text{-rank}(\lambda)} q^n &= \frac{\partial}{\partial x} \left[\sum_{n \geq 0} \frac{(-1)_n x^n q^{n(n+1)/2}}{(zq, xq/z)_n} \right]_{x=1} \\ &= \sum_{n \geq 0} \left[\frac{(-1)_n x^n q^{n(n+1)/2}}{(zq, xq/z)_n} \frac{\partial}{\partial x} \log \left(\frac{x^n}{(xq/z)_n} \right) \right]_{x=1} \end{aligned}$$

$$\begin{aligned}
&= \sum_{n \geq 0} \frac{(-1)_n q^{n(n+1)/2}}{(zq, q/z)_n} \left[\frac{n}{x} + \sum_{m=1}^n \frac{q^m}{z - xq^m} \right]_{x=1} \\
&= \sum_{n \geq 1} \frac{(-1)_n q^{n(n+1)/2}}{(zq, q/z)_n} \left(n + \sum_{m=1}^n \frac{q^m}{z - q^m} \right).
\end{aligned}$$

Then if we differentiate it by z and evaluate it at $z = 1$, we get

$$\begin{aligned}
\sum_{n \geq 0} \sum_{\lambda \in \overline{P}_n} \#(\lambda) D\text{-rank}(\lambda) q^n &= \frac{\partial}{\partial z} \left[\sum_{n \geq 1} \frac{(-1)_n q^{n(n+1)/2}}{(zq, q/z)_n} \left(n + \sum_{m=1}^n \frac{q^m}{z - q^m} \right) \right]_{z=1} \\
&= - \sum_{n \geq 1} \frac{(-1)_n q^{n(n+1)/2}}{(q)_n^2} \sum_{m=1}^n \frac{q^m}{(1 - q^m)^2}, \tag{2.2}
\end{aligned}$$

which proves the first part.

If we apply $[\frac{\partial}{\partial z}(z \frac{\partial}{\partial z})]_{z=1}$ to $\overline{R}(1, z, q)$, then we have the generating function for the second D -rank moment as follows.

$$\begin{aligned}
\sum_{n \geq 0} \overline{N}_2(n) q^n &= \sum_{n \geq 0} \sum_{\lambda \in \overline{P}_n} D\text{-rank}(\lambda)^2 q^n \\
&= \sum_{n \geq 0} \left[\frac{\partial}{\partial z} \left(z \frac{\partial}{\partial z} \frac{(-1)_n q^{n(n+1)/2}}{(zq, q/z)_n} \right) \right]_{z=1} \\
&= \sum_{n \geq 0} \left[\frac{\partial}{\partial z} \frac{(-1)_n z q^{n(n+1)/2}}{(zq, q/z)_n} \sum_{m=1}^n \left(\frac{q^m}{1 - zq^m} + \frac{q^m}{zq^m - z^2} \right) \right]_{z=1} \\
&= 2 \sum_{n \geq 1} \frac{(-1)_n q^{n(n+1)/2}}{(q)_n^2} \sum_{m=1}^n \frac{q^m}{(1 - q^m)^2},
\end{aligned}$$

by comparing with (2.2), which implies that $\sum_{\lambda \in \overline{P}_n} \#(\lambda) D\text{-rank}(\lambda) = -\frac{1}{2} \overline{N}_2(n)$. Also, from the following relation between the ordinary and symmetrized D -rank moments

$$\overline{N}_{2k}(n) = \sum_{j=1}^k (2j)! S^*(k, j) \overline{\eta}_{2j}(n),$$

where the sequence $S^*(n, k)$ is defined recursively by $S^*(n+1, k) = S^*(n, k-1) + k^2 S^*(n, k)$ and $S^*(1, 1) = 1$, $S^*(n, k) = 0$ for $k \leq 0$ or $k > n$, we can see that $\frac{1}{2} \overline{N}_2(n) = \overline{\eta}_2(n)$. Finally, the generating function of $\overline{\eta}_2(n)$ [10, Theorem 2.1] gives

$$\sum_{n \geq 1} \frac{(-1)_n q^{n(n+1)/2}}{(q)_n^2} \sum_{m=1}^n \frac{q^m}{(1 - q^m)^2} = 2 \frac{(-q)_\infty}{(q)_\infty} \sum_{n \geq 1} \frac{(-1)^{n+1} q^{n(n+1)}}{(1 - q^n)^2}. \quad \square$$

Using the generating function for the weighted D -rank moment of overpartitions in Theorem 4, we can give a proof of Theorem 1.

Proof of Theorem 1. By Theorem 4, we have

$$\begin{aligned} & \sum_{n \geq 0} (\overline{NT}(1, 4, n) + 2\overline{NT}(2, 4, n) + 3\overline{NT}(3, 4, n)) q^n \\ & \equiv -2 \frac{(-q)_\infty}{(q)_\infty} \sum_{n \geq 1} \frac{(-1)^{n+1} q^{n(n+1)}}{(1 - q^n)^2} \pmod{4}. \end{aligned}$$

Using $(-q)_\infty / (q)_\infty \equiv 1 \pmod{2}$,

$$\begin{aligned} 2 \frac{(-q)_\infty}{(q)_\infty} \sum_{n \geq 1} \frac{(-1)^n q^{n(n+1)}}{(1 - q^n)^2} & \equiv 2 \sum_{n \geq 1} \frac{(-1)^n q^{n(n+1)}}{(1 - q^n)^2} \pmod{4} \\ & = 2 \sum_{n \geq 1} (-1)^n q^{n(n+1)} \sum_{m \geq 1} m q^{n(m-1)} \\ & = 2 \sum_{n \geq 1} \sum_{m \geq 1} (2m - 1) q^{4n^2 - 2n + (2m-2)(2n-1)} (q^{4n+2m-2} - 1) \\ & \quad + 2 \sum_{n \geq 1} \sum_{m \geq 1} (2m) q^{4n^2 - 2n + (2m-1)(2n-1)} (q^{4n+2m-1} - 1) \\ & \equiv 2 \sum_{n \geq 1} \sum_{m \geq 1} q^{4n^2 - 2n + (2m-2)(2n-1)} (q^{4n+2m-2} - 1) \pmod{4}, \end{aligned}$$

Since the last sum involves only terms where the power of q is even, the result follows. \square

From Theorem 1, we can have the following congruence for the second D -rank moment.

Corollary 5. *For all integers $n \geq 0$,*

$$\overline{N}_2(2n + 1) \equiv 0 \pmod{2}.$$

In fact, we prove more detailed results on congruences of $\overline{NT}(b, k, n)$, which also deduce the congruence in Theorem 1.

Theorem 6. *For all non-negative integers n ,*

$$\begin{aligned} \overline{NT}(0, 4, 4n + i) & \equiv 0 \pmod{4} \quad \text{for } i = 0, 2, 3, \\ \overline{NT}(2, 4, n) & \equiv 0 \pmod{4}, \\ \overline{NT}(2, 4, 4n + i) & \equiv 0 \pmod{8} \quad \text{for } i = 1, 2, 3, \\ \overline{NT}(1, 4, 2n + 1) & \equiv 0 \pmod{4}, \\ \overline{NT}(3, 4, 2n + 1) & \equiv 0 \pmod{4}. \end{aligned}$$

Proof. Applying Proposition 2.2 in [5] (a generalization [1, Theorem 3]) with setting $d = 1$ and $e \rightarrow 0$, we can rewrite (2.1) as follows.

$$\overline{R}(x, z, q) = \sum_{n \geq 0} \frac{(-1)_n x^n q^{n(n+1)/2}}{(zq, xq/z)_n}$$

$$= 1 - \frac{(-xq)_\infty}{(xq)_\infty} \sum_{n \geq 1} \frac{(xq, -1)_n}{(-xq)_n (q)_{n-1}} (-x)^n q^{n(n+1)} \left(\frac{1}{q^n(1-zq^n)} + \frac{x/z}{1-xq^n/z} \right).$$

By expanding the terms involving z in a geometric series as in the proof of [1, Corollary4], we have the generating function of $\overline{NT}(b, k, n)$, for $0 \leq b \leq k$,

$$\begin{aligned} & \sum_{n \geq 0} \overline{NT}(b, k, n) q^n \\ &= -\frac{\partial}{\partial x} \left[\sum_{n \geq 1} \frac{(-xq^{n+1})_\infty (-1)_n}{(xq^{n+1})_\infty (q)_{n-1}} (-x)^n q^{n(n+1)} \left(\frac{q^{(b-1)n}}{1-q^{kn}} + \frac{x^{k-b} q^{(k-1-b)n}}{1-x^k q^{kn}} \right) \right]_{x=1} \\ &= -\left[\sum_{n \geq 1} \frac{(-xq^{n+1})_\infty (-1)_n}{(xq^{n+1})_\infty (q)_{n-1}} (-x)^n q^{n(n+1)} \frac{q^{n(n+1)+(b-1)n}}{1-q^{kn}} \frac{\partial}{\partial x} \log \left(\frac{(-xq^{n+1})_\infty}{(xq^{n+1})_\infty} x^n \right) \right]_{x=1} \\ &\quad - \left[\sum_{n \geq 1} \frac{(-xq^{n+1})_\infty (-1)_n}{(xq^{n+1})_\infty (q)_{n-1}} (-x)^n q^{n(n+1)} \frac{x^{k-b} q^{(k-1-b)n}}{1-x^k q^{kn}} \frac{\partial}{\partial x} \log \left(\frac{(-xq^{n+1})_\infty}{(xq^{n+1})_\infty} \frac{x^{n+k-b}}{1-x^k q^{kn}} \right) \right]_{x=1} \\ &= -2 \frac{(-q)_\infty}{(q)_\infty} \sum_{n \geq 1} (-1)^n q^{n(n+1)} \frac{1-q^n}{1+q^n} \frac{q^{(b-1)n} + q^{(k-1-b)n}}{1-q^{kn}} \left(n + 2 \sum_{m > n} \frac{q^m}{1-q^{2m}} \right) \\ &\quad - 2 \frac{(-q)_\infty}{(q)_\infty} \sum_{n \geq 1} (-1)^n q^{n(n+1)} \frac{1-q^n}{1+q^n} \frac{q^{(k-1-b)n}}{1-q^{kn}} \left(\frac{k}{1-q^{kn}} - b \right). \end{aligned} \tag{2.3}$$

Setting $k = 4$ and using $(1-x)/(1+x) \equiv 1 \pmod{2}$ yield

$$\begin{aligned} \sum_{n \geq 0} \overline{NT}(b, 4, n) q^n &\equiv 2 \sum_{n \geq 1} q^{2n(2n-1)} \frac{q^{(b-1)(2n-1)} + q^{(3-b)(2n-1)}}{1-q^{4(2n-1)}} \\ &\quad + 2b \sum_{n \geq 1} (-1)^n q^{n(n+1)} \frac{q^{(3-b)n}}{1-q^{4n}} \pmod{4}. \end{aligned}$$

For the case $b = 0$, we have

$$\sum_{n \geq 0} \overline{NT}(0, 4, n) q^n \equiv 2 \sum_{n \geq 1} q^{(2n-1)^2} \pmod{4},$$

which has only terms with the powers of q congruent 1 modulo 4. Hence, $\overline{NT}(0, 4, 4n+i) \equiv 0 \pmod{4}$ for $i = 0, 2, 3$ and all integers $n \geq 0$. For the case $b = 1$ and 3, we find that

$$\sum_{n \geq 0} \overline{NT}(b, 4, n) q^n \equiv 2 \sum_{n \geq 1} q^{2n(2n-1)} \frac{q^{(b-1)(2n-1)}}{1-q^{4(2n-1)}} + 2 \sum_{n \geq 1} q^{2n(2n+1)} \frac{q^{(3-b)(2n)}}{1-q^{8n}} \pmod{4}$$

has only even powers of q , which implies that $\overline{NT}(1, 4, 2n+1) \equiv \overline{NT}(3, 4, 2n+1) \equiv 0 \pmod{4}$ for all integers $n \geq 0$. Similarly, for the case $b = 2$, from (2.3),

$$\sum_{n \geq 0} \overline{NT}(2, 4, n) q^n \equiv 4 \sum_{n \geq 1} q^{2n(2n-1)} \frac{q^{2n-1}}{1-q^{4(2n-1)}} + 4 \sum_{n \geq 1} (-1)^n q^{n(n+1)} \frac{q^n}{1-q^{4n}} \pmod{8}$$

$$\equiv 4 \sum_{n \geq 1} q^{2n(2n+1)} \frac{q^{2n}}{1 - q^{8n}} \pmod{8},$$

which includes terms only with the powers of q congruent 0 modulo 4. This proves that $\overline{NT}(2, 4, n) \equiv 0 \pmod{4}$ and $\overline{NT}(2, 4, 4n + i) \equiv 0 \pmod{8}$ for $i = 1, 2, 3$ for all integers n greater than 0. \square

3 Weighted M_2 -rank moments of overpartitions

As in Section 2, we find the generating function for the weighted M_2 -rank moments of overpartitions and compare it with the ordinary and symmetrized M_2 -rank moments. We have the ordinary and symmetrized M_2 -rank moments defined by

$$\begin{aligned} \overline{N2}_k(n) &= \sum_{m \in \mathbb{Z}} m^k \overline{N2}(m, n), \\ \overline{\eta2}_k(n) &= \sum_{m \in \mathbb{Z}} \binom{m - \lfloor \frac{k-1}{2} \rfloor}{k} \overline{N2}(m, n), \end{aligned}$$

where $\overline{N2}(m, n)$ denotes the number of overpartitions of n with M_2 -rank m . The generating function [12, Theorem 1.2] is

$$\overline{R2}(x, z, q) := \sum_{n \geq 0} \sum_{\lambda \in \overline{P}_n} x^{\#(\lambda)} z^{M_2\text{-rank}(\lambda)} q^n = \sum_{n \geq 0} \frac{(-1, -q; q^2)_n (xq)^n}{(zq^2, xq^2/z; q^2)_n}.$$

Theorem 7. *We have*

$$\sum_{n \geq 0} \sum_{\lambda \in \overline{P}_n} \#(\lambda) M_2\text{-rank}(\lambda) q^n = - \sum_{n \geq 1} \frac{(-1, -q; q^2)_n q^n}{(q^2; q^2)_n^2} \sum_{m=1}^n \frac{q^{2m}}{(1 - q^{2m})^2},$$

which implies

$$\sum_{\lambda \in \overline{P}_n} \#(\lambda) M_2\text{-rank}(\lambda) = -\frac{1}{2} \overline{N2}_2(n) = -\overline{\eta2}_2(n).$$

It follows that

$$\sum_{n \geq 1} \frac{(-1, -q; q^2)_n q^n}{(q^2; q^2)_n^2} \sum_{m=1}^n \frac{q^{2m}}{(1 - q^{2m})^2} = 2 \frac{(-q)_\infty}{(q)_\infty} \sum_{n \geq 1} \frac{(-1)^{n+1} q^{n(n+2)}}{(1 - q^{2n})^2}.$$

Proof of Theorem 7. If we differentiate $\overline{R2}(x, z, q)$ by x and evaluate it at $x = 1$, we get

$$\sum_{n \geq 0} \sum_{\lambda \in \overline{P}_n} \#(\lambda) z^{M_2\text{-rank}(\lambda)} q^n = \frac{\partial}{\partial x} \left[\sum_{n \geq 0} \frac{(-1, -q; q^2)_n (xq)^n}{(zq^2, xq^2/z; q^2)_n} \right]_{x=1}$$

$$\begin{aligned}
&= \sum_{n \geq 0} \left[\frac{(-1, -q; q^2)_n (xq)^n}{(zq^2, xq^2/z; q^2)_n} \frac{\partial}{\partial x} \log \left(\frac{x^n}{(xq^2/z; q^2)_n} \right) \right]_{x=1} \\
&= \sum_{n \geq 1} \frac{(-1, -q; q^2)_n q^n}{(zq^2, q^2/z; q^2)_n} \left(n + \sum_{m=1}^n \frac{q^{2m}}{z - q^{2m}} \right).
\end{aligned}$$

Then applying $[\partial/\partial z]_{z=1}$ gives

$$\begin{aligned}
\sum_{n \geq 0} \sum_{\lambda \in \overline{P}_n} \#(\lambda) M_2\text{-rank}(\lambda) q^n &= \frac{\partial}{\partial z} \left[\sum_{n \geq 1} \frac{(-1, -q; q^2)_n q^n}{(zq^2, q^2/z; q^2)_n} \left(n + \sum_{m=1}^n \frac{q^{2m}}{z - q^{2m}} \right) \right]_{z=1} \\
&= - \sum_{n \geq 1} \frac{(-1, -q; q^2)_n q^n}{(q^2; q^2)_n^2} \sum_{m=1}^n \frac{q^{2m}}{(1 - q^{2m})^2}, \tag{3.1}
\end{aligned}$$

which is the first part. Next, to compare with the M_2 -rank moments, when we apply $[\frac{\partial}{\partial z}(z\frac{\partial}{\partial z})]_{z=1}$ to $\overline{R2}(1, z, q)$, we find that

$$\begin{aligned}
\sum_{n \geq 0} \overline{N2}_2(n) q^n &= \sum_{n \geq 0} \sum_{\lambda \in \overline{P}_n} M_2\text{-rank}(\lambda)^2 q^n \\
&= 2 \sum_{n \geq 1} \frac{(-1, -q; q^2)_n q^n}{(q^2; q^2)_n^2} \sum_{m=1}^n \frac{q^{2m}}{(1 - q^{2m})^2}. \tag{3.2}
\end{aligned}$$

Then the second part follows from comparing (3.1) with (3.2) and the following relation between the ordinary and symmetrized M_2 -rank moments

$$\overline{N2}_{2k}(n) = \sum_{j=1}^k (2j)! S^*(k, j) \overline{\eta}2_{2j}(n).$$

Lastly, we have the last identity by considering the generating function for $\overline{\eta}2_2(n)$ [10, Theorem 2.1]. \square

From the generating function for weighted M_2 -rank moment of overpartitions, we can prove Theorem 2.

Proof of Theorem 2. By Theorem 7, we notice that

$$\sum_{n \geq 0} (\overline{NT2}(1, 4, n) + 2\overline{NT2}(2, 4, n) + 3\overline{NT2}(3, 4, n)) q^n \equiv -2 \frac{(-q)_\infty}{(q)_\infty} \sum_{n \geq 1} \frac{(-1)^{n+1} q^{n(n+2)}}{(1 - q^{2n})^2} \pmod{4}.$$

By applying $(-q)_\infty/(q)_\infty \equiv 1 \pmod{2}$,

$$2 \frac{(-q)_\infty}{(q)_\infty} \sum_{n \geq 1} \frac{(-1)^n q^{n(n+2)}}{(1 - q^{2n})^2} \equiv 2 \sum_{n \geq 1} \frac{(-1)^n q^{n(n+2)}}{(1 - q^n)^2} \pmod{4}$$

$$\begin{aligned}
&= 2 \sum_{n \geq 1} (-1)^n q^{n(n+2)} \sum_{m \geq 1} m q^{2n(m-1)} \\
&\equiv 2 \sum_{n \geq 1} \sum_{m \geq 1} \left(q^{4n(n+1)+8n(m-1)} - q^{4n^2-1+4(2n-1)(m-1)} \right) \pmod{4},
\end{aligned}$$

which contributes the term with powers congruent to 0 or 3 modulo 4. Then we have

$$\begin{aligned}
\overline{NT2}(1, 4, 4n + 1) + 2\overline{NT2}(2, 4, 4n + 1) + 3\overline{NT2}(3, 4, 4n + 1) &\equiv 0 \pmod{4} \\
\overline{NT2}(1, 4, 4n + 2) + 2\overline{NT2}(2, 4, 4n + 2) + 3\overline{NT2}(3, 4, 4n + 2) &\equiv 0 \pmod{4}.
\end{aligned}$$

Also, by Theorem 7, we find that

$$\sum_{n \geq 0} \sum_{j=1}^7 j \overline{NT2}(j, 8, n) q^n \equiv -2 \frac{(-q)_\infty}{(q)_\infty} \sum_{n \geq 1} \frac{(-1)^{n+1} q^{n(n+2)}}{(1 - q^{2n})^2} \pmod{8}.$$

Using

$$\frac{(-q)_\infty}{(q)_\infty} \equiv \frac{(q)_\infty}{(-q)_\infty} \pmod{4} = \sum_{k=-\infty}^{\infty} (-1)^k q^{k^2},$$

we have

$$\begin{aligned}
&2 \frac{(-q)_\infty}{(q)_\infty} \sum_{n \geq 1} \frac{(-1)^n q^{n(n+2)}}{(1 - q^{2n})^2} \\
&\equiv 2 \left(1 + 2 \sum_{k \geq 1} (-1)^k q^{k^2} \right) \sum_{n \geq 1} \frac{(-1)^n q^{n(n+2)}}{(1 - q^{2n})^2} \pmod{8} \\
&= 2 \left(1 + 2 \sum_{k \geq 1} (-1)^k q^{k^2} \right) \sum_{n \geq 1} (-1)^n q^{n(n+2)} \sum_{m \geq 1} m q^{2n(m-1)} \\
&\equiv 2 \left(1 + 2 \sum_{k \geq 1} (-1)^k q^{k^2} \right) \sum_{n \geq 1} \sum_{m \geq 1} (2m - 1) \left(q^{4n(n+1)+8n(m-1)} - q^{4n^2-1+4(2n-1)(m-1)} \right) \\
&\quad + 2 \sum_{n \geq 1} \sum_{m \geq 1} (2m) \left(q^{4n(n+1)+4n(2m-1)} - q^{4n^2-1+2(2n-1)(2m-1)} \right) \pmod{8}. \tag{3.3}
\end{aligned}$$

Here,

$$1 + 2 \sum_{k \geq 1} (-1)^k q^{k^2}$$

only contributes to terms with powers of q congruent to 0 or 1 modulo 4, while the factor

$$\sum_{n \geq 1} \sum_{m \geq 1} (2m - 1) \left(q^{4n(n+1)+8n(m-1)} - q^{4n^2-1+4(2n-1)(m-1)} \right)$$

involves terms with powers of q congruent to 0 or 3 modulo 4. Therefore, the first term in (3.3) does not have terms with powers of q congruent to 2 modulo 4. The second term in (3.3) has only terms with powers of q congruent to 0 or 1 modulo 4. Hence, we obtain

$$\overline{NT2}(1, 8, 4n + 2) + 2\overline{NT2}(2, 8, 4n + 2) + 3\overline{NT2}(3, 8, 4n + 2)$$

$$\begin{aligned}
&+4\overline{NT2}(4, 8, 4n + 2) + 5\overline{NT2}(5, 8, 4n + 2) \\
&+6\overline{NT2}(6, 8, 4n + 2) + 7\overline{NT2}(7, 8, 4n + 2) \equiv 0 \pmod{8}. \quad \square
\end{aligned}$$

From Theorem 2 and (1.1), we can have congruences for the second M_2 -rank moments as follows.

Corollary 8. *For all integers $n \geq 0$,*

$$\begin{aligned}
\overline{N2}_2(4n + 1) &\equiv 0 \pmod{2}, \\
\overline{N2}_2(4n + 2) &\equiv 0 \pmod{4}, \\
\overline{N2}_2(5n + 2) &\equiv 0 \pmod{5}.
\end{aligned}$$

4 Proof of Theorem 3

Let

$$\varphi(q) := \sum_{n=-\infty}^{\infty} q^{n^2} \quad \text{and} \quad \psi(q) := \sum_{n \geq 0} q^{n(n+1)/2}.$$

Then, by Jacobi's triple product identity and simple q -series manipulations, we have

$$\varphi(q) = \frac{(q^2; q^2)_{\infty}^5}{(q)_{\infty}^2 (q^4; q^4)_{\infty}^2}, \quad \varphi(-q) = \frac{(q)_{\infty}^2}{(q^2; q^2)_{\infty}}, \quad \text{and} \quad \psi(q) = \frac{(q^2; q^2)_{\infty}^2}{(q)_{\infty}}$$

and

$$\varphi^2(q) = \varphi^2(q^2) + 4q\psi^2(q^4), \quad \varphi(q)\varphi(-q) = \varphi^2(-q^2), \quad \text{and} \quad \psi^2(q) = \varphi(q)\psi(q^2). \quad (4.1)$$

We will also use the following identities [4, Theorem 6.1, and 7.1].

$$\varphi^4(-q) = 1 + 8 \sum_{n \geq 1} \frac{nq^{n(n+2)} + q^{n(n+1)} - nq^n}{(1 + q^n)^2}, \quad (4.2)$$

$$\psi^4(q) = \sum_{n \geq 0} \frac{(2n + 1 + 2q^{2n+1} - (2n + 1)q^{4n+2})q^{2n^2+2n}}{(1 - q^{2n+1})^2}. \quad (4.3)$$

We prove Theorem 3 using generalized Lambert series identities with above theta function identities.

Proof of Theorem 3. Let

$$a(n) = \sum_{j=1}^7 j\overline{NT}(j, 8, n) - \sum_{j=1}^7 j\overline{NT2}(j, 8, n).$$

By Theorem 4 and 7, we have

$$\sum_{n \geq 0} a(n)q^n \equiv -2 \frac{(-q)_{\infty}}{(q)_{\infty}} \sum_{n \geq 1} \frac{(-1)^{n+1}q^{n(n+1)}}{(1 - q^n)^2} + 2 \frac{(-q)_{\infty}}{(q)_{\infty}} \sum_{n \geq 1} \frac{(-1)^{n+1}q^{n(n+2)}}{(1 - q^{2n})^2} \pmod{8}$$

$$= \frac{(-q)_\infty}{(q)_\infty} \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \left[\frac{(-1)^n q^{n(n+1)}}{(1-q^n)^2} - \frac{(-1)^n q^{n(n+2)}}{(1-q^{2n})^2} \right]. \quad (4.4)$$

We consider the 4-dissection for $\frac{(-q)_\infty}{(q)_\infty}$ [9],

$$\begin{aligned} \frac{(-q)_\infty}{(q)_\infty} &= \frac{(q^8; q^8)_\infty^{19}}{(q^4; q^4)_\infty^{14} (q^{16}; q^{16})_\infty^6} + 2q \frac{(q^8; q^8)_\infty^{13}}{(q^4; q^4)_\infty^{12} (q^{16}; q^{16})_\infty^2} \\ &\quad + 4q^2 \frac{(q^8; q^8)_\infty^7 (q^{16}; q^{16})_\infty^2}{(q^4; q^4)_\infty^{10}} + 8q^3 \frac{(q^8; q^8)_\infty (q^{16}; q^{16})_\infty^6}{(q^4; q^4)_\infty^8}. \end{aligned} \quad (4.5)$$

For the summation part in (4.4),

$$\begin{aligned} &\sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \left[\frac{(-1)^n q^{n(n+1)}}{(1-q^n)^2} - \frac{(-1)^n q^{n(n+2)}}{(1-q^{2n})^2} \right] \\ &= 2 \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{(-1)^n q^{n(n+1)} (1+q^n+3q^{2n}+q^{3n})}{(1-q^{4n})^2} \\ &\equiv 2 \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{(-1)^n q^{n(n+1)} (1+q^n+3q^{2n}+q^{3n})}{(1+q^{4n})^2} \pmod{8} \end{aligned} \quad (4.6)$$

using the fact

$$\sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{(-1)^n q^{n(n+1)} q^{mn}}{(1-q^{4n})^2} = \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{(-1)^n q^{n(n+1)} q^{(6-m)n}}{(1-q^{4n})^2}.$$

By letting

$$F_{a,b}(q) := \sum_{\substack{n=-\infty \\ n \neq 0 \\ n \equiv b \pmod{4}}}^{\infty} \frac{(-1)^n q^{n(n+a)}}{(1+q^{4n})^2} \quad \text{for } a = 1, 2, 3, \text{ and } 4,$$

we can have 4-dissection of (4.6). Invoking (4.5) and the dissection of (4.6) into (4.4) and collecting only terms where the power of q is congruent to 1 modulo 4 yield

$$\begin{aligned} &\sum_{n \geq 0} a(4n+1)q^{4n+1} \\ &\equiv 2 \frac{(q^8; q^8)_\infty^{13}}{(q^4; q^4)_\infty^{12} (q^{16}; q^{16})_\infty^2} \left[\frac{(q^8; q^8)_\infty^6}{(q^4; q^4)_\infty^2 (q^{16}; q^{16})_\infty^4} (F_{4,1}(q) + F_{4,3}(q)) \right. \\ &\quad \left. + 2q (F_{1,0}(q) + F_{1,3}(q) + F_{2,0}(q) + F_{2,2}(q)) \right. \\ &\quad \left. + 3F_{3,0}(q) + 3F_{3,1}(q) + F_{4,0}(q) + F_{4,2}(q) \right] \pmod{8}. \end{aligned}$$

Noting that

$$F_{1,0}(q) + F_{3,0}(q) = \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{q^{16n^2+4n}}{1+q^{16n}} \quad \text{and} \quad F_{1,3}(q) + F_{3,1}(q) = - \sum_{n=-\infty}^{\infty} \frac{q^{16n^2+28n+12}}{1+q^{16n+12}},$$

it turns out to be

$$\begin{aligned} & \sum_{n \geq 0} a(4n+1)q^n \\ & \equiv 2 \frac{(q^2; q^2)_{\infty}^{13}}{(q)_{\infty}^{12} (q^4; q^4)_{\infty}^2} \left[- \frac{(q^2; q^2)_{\infty}^6}{(q)_{\infty}^2 (q^4; q^4)_{\infty}^4} \sum_{n=-\infty}^{\infty} \frac{q^{n^2+3n+1}}{(1+q^{2n+1})^2} + 2 \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{q^{4n^2+n}}{1+q^{4n}} \right. \\ & \quad \left. - 2 \sum_{n=-\infty}^{\infty} \frac{q^{4n^2+7n+3}}{1+q^{4n+3}} + 2 \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{q^{n^2+n}}{(1+q^{2n})^2} + 2 \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{q^{n^2+2n}}{(1+q^{2n})^2} \right] \pmod{8}. \end{aligned}$$

Replacing q by q^4 and setting $b_1 = -1$ and $b_2 = -q^3$ ($r = 0, s = 2$) in [4, Theorem 2.1], we obtain that

$$\frac{(q^4; q^4)_{\infty}^2}{[-1, -q^3; q^4]_{\infty}} = \frac{1}{[q^3; q^4]_{\infty}} \sum_{n=-\infty}^{\infty} \frac{q^{4n^2+n}}{1+q^{4n}} + \frac{1}{[q^{-3}; q^4]_{\infty}} \sum_{n=-\infty}^{\infty} \frac{q^{4n^2+7n}}{1+q^{4n+3}},$$

which implies

$$\sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{q^{4n^2+n}}{1+q^{4n}} - \sum_{n=-\infty}^{\infty} \frac{q^{4n^2+7n+3}}{1+q^{4n+3}} = \frac{(q)_{\infty}^2 (q^4; q^4)_{\infty}^5}{2(q^2; q^2)_{\infty}^3 (q^8; q^8)_{\infty}^2} - \frac{1}{2} = \frac{1}{2} \varphi(-q) \varphi(q^2) - \frac{1}{2}. \quad (4.7)$$

Similarly, replacing q by q^2 and setting $a_1 = 1, b_1 = -1,$ and $b_2 = -q$ ($r = 1, s = 2$) in [4, Theorem 2.2], we find that

$$- \frac{(q^2; q^2)_{\infty}^6}{(q)_{\infty}^2 (q^4; q^4)_{\infty}^4} \sum_{n=-\infty}^{\infty} \frac{q^{n^2+3n+1}}{(1+q^{2n+1})^2} + 2 \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{q^{n^2+2n}}{(1+q^{2n})^2} = \frac{1}{2} \varphi(q) \varphi^3(-q) - \frac{1}{2}. \quad (4.8)$$

Lastly, we also have that

$$\begin{aligned} 2 \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{q^{n^2+n}}{(1+q^{2n})^2} &= \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{q^{n^2+n}}{1+q^{2n}} \\ &= \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{(-1)^n q^{n^2+n}}{1+q^{2n}} + 2 \sum_{n=-\infty}^{\infty} \frac{q^{(2n+1)^2+(2n+1)}}{1+q^{2(2n+1)}} \\ &= \frac{1}{2} \varphi^2(-q^2) - \frac{1}{2} + 4 \sum_{n \geq 0} \frac{q^{(2n+1)^2+(2n+1)}}{1+q^{2(2n+1)}}, \end{aligned} \quad (4.9)$$

where we have the last equality by using the following identity, which is the case $r = 0$ and $s = 1$ of [4, Theorem 2.1],

$$\frac{(q)_\infty^2}{[a]_\infty} = \sum_{n=-\infty}^{\infty} \frac{(-1)^n q^{n(n+1)/2}}{1 - aq^n}.$$

By (4.7), (4.8), and (4.9), we now need to prove that

$$\varphi(q)\varphi^3(-q) + 2\varphi(-q)\varphi(q^2) + \varphi^2(-q^2) - 4 \equiv 0 \pmod{8}.$$

The identities (4.1), (4.2), and (4.3) will give us

$$\begin{aligned} \varphi(q)\varphi^3(-q) &= \varphi^2(-q^2) (\varphi^2(q^2) - 4q\psi^2(q^4)) \\ &= (\varphi(-q^2)\varphi(q^2))^2 - 4q (\varphi(-q^2)\psi(q^4))^2 \\ &= \varphi^4(-q^4) - 4q\psi^4(-q^2) \\ &\equiv 1 - 4q \sum_{n \geq 0} \frac{(1 - q^{8n+4})q^{4n^2+4n}}{(1 - q^{4n+2})^2} \pmod{8} \\ &\equiv 1 - 4 \sum_{n \geq 0} q^{(2n+1)^2} \pmod{8}. \end{aligned} \tag{4.10}$$

From the definition of $\varphi(q)$ and (4.1), we obtain that

$$\begin{aligned} &2\varphi(-q)\varphi(q^2) + \varphi^2(-q^2) \\ &= \varphi(-q) (2\varphi(q^2) + \varphi(q)) \\ &= \left(1 + 2 \sum_{n \geq 1} (-1)^n q^{n^2}\right) \left(3 + 4 \sum_{n \geq 1} q^{2n^2} + 2 \sum_{n \geq 1} q^{n^2}\right) \\ &\equiv 3 + 4 \sum_{n \geq 1} q^{(2n-1)^2} + 4 \sum_{n \geq 1} q^{2n^2} + 4 \left(\sum_{n \geq 1} (-1)^n q^{n^2}\right) \left(\sum_{n \geq 1} q^{n^2}\right) \pmod{8} \\ &= 3 + 4 \sum_{n \geq 1} q^{(2n-1)^2} + 4 \sum_{n \geq 1} (1 + (-1)^n) q^{2n^2} + 4 \sum_{n > m \geq 1} ((-1)^n + (-1)^m) q^{n^2+m^2} \\ &\equiv 3 + 4 \sum_{n \geq 1} q^{(2n-1)^2} \pmod{8}. \end{aligned} \tag{4.11}$$

The last two congruences (4.10) and (4.11) imply the desired result. □

Also, we have the following congruences between D -rank and M_2 -rank moments by Theorem 3 and (1.2).

Corollary 9. *For all integers $n \geq 0$,*

$$\begin{aligned} \overline{N}_2(3n + i) &\equiv \overline{N}2_2(3n + i) \pmod{3}, \quad \text{for } i = 1, 2, \\ \overline{N}_2(4n + 1) &\equiv \overline{N}2_2(4n + 1) \pmod{4}. \end{aligned}$$

Acknowledgements

The author would like to thank the referees for their careful reading and helpful comments.

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