

Positivity of chromatic symmetric functions associated with Hessenberg functions of bounce number 3

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Abstract

We give a proof of the Stanley-Stembridge conjecture on chromatic symmetric functions for the class of all unit interval graphs with independence number 3. That is, we show that the chromatic symmetric function of the incomparability graph of a unit interval order in which the length of a chain is at most 3 is positively expanded as a linear sum of elementary symmetric functions.

Mathematics Subject Classifications: 05E05, 05C15, 05C25

1 Introduction

A *chromatic symmetric function* $X_G(\mathbf{x})$ of a finite simple graph G with vertex set V is defined in a natural way to generalize the chromatic polynomials;

$$X_G(\mathbf{x}) = \sum_{\kappa} \left(\prod_{v \in V} x_{\kappa(v)} \right),$$

where the sum is over all proper colorings $\kappa : V \rightarrow \mathbb{P}$ of G with the set of positive integers $\mathbb{P}$. Since chromatic symmetric functions were introduced by Stanley in 1995 [12], they have become an important area of research in the relations to many different fields including combinatorics, representation theory and algebraic geometry.

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The Stanley-Stembridge conjecture [13, 12] is a well known open conjecture on chromatic symmetric functions which states that chromatic symmetric functions of the incomparability graph of a $(3+1)$ -free poset can be positively expanded as a sum of elementary symmetric functions, i.e. is *e-positive*.

Gasharov [4] proved that the chromatic symmetric functions in the Stanley-Stembridge conjecture can be positively expanded as a sum of Schur functions by constructing combinatorial objects called *P-tableaux*, that is a weaker result than the conjecture since elementary symmetric functions are positively expanded as a sum of Schur functions. The conjecture itself was proved for some special classes of graphs including the complement of a bipartite graph that was considered in [12] and recently in [2, 8]; see Remark 2.18 in [2] for a list of graphs with which the conjecture has been proved to be true. An important result concerning the Stanley-Stembridge conjecture due to Guay-Paquet [6] is that it is enough to prove the conjecture for all posets that are both $(3+1)$ -free *and* $(2+2)$ -free. This reduces the class of objects we have to consider for the proof of the conjecture down to the posets of *unit interval orders*.

The maximum length of possible chains in a unit interval order plays an important role in understanding the *e*-expansion of the corresponding chromatic symmetric function, and we prove the Stanley-Stembridge conjecture for the unit interval orders in which the maximum length of chains is at most 3. We note that the chains in the corresponding unit interval orders of the complements of bipartite graphs, in which the conjecture was proved to be true as stated above, have length at most 2. We also note that the Stanley-Stembridge conjecture was proved only for a few special cases when the longest chain in the unit interval poset has length 3 in [2]. We follow and generalize the basic idea in [2] to use Gasharov's *P-tableaux* for the Schur expansion of the chromatic symmetric functions and Jacobi-Trudi identity for the proof of the main theorem of the current paper. We write the coefficients in the *e*-expansion of the chromatic symmetric functions as a sum of signed sets of *P-tableaux* of possible shapes that correspond to permutations in the symmetric group $\mathfrak{S}_3$. Then we construct injective maps from negative sets to positive sets to complete the proof. Our work to write the coefficients as a sum of signed sets can be extended to the general case, while the construction of injective maps needs more fine work with insight.

Shareshian and Wachs [11] defined a quasisymmetric refinement of chromatic symmetric functions and introduced the *natural unit interval orders* as (naturally labeled) representatives of the classes of equivalent unit interval orders. Then, in terms of natural unit interval orders they derived a refined Gasharov's result, generalized the Stanley-Stembridge conjecture to its quasi form, and made a conjecture that their chromatic quasisymmetric functions, after we apply the usual involution ω on symmetric functions, are the Frobenius characteristics of the symmetric group representations derived from the Tymoczko's dot action [15, 14] on the cohomology of Hessenberg varieties of type *A*.

It is remarkable that the Shareshian-Wachs conjecture was proved to be true independently by Brosnan and Chow [1], and Guay-Paquet [7]. This enables one to understand the *e*-positivity conjecture by Stanley-Stembridge as the *h*-positivity, where *h* stands for the *homogeneous* symmetric functions, of the symmetric group representation on the co-

homology of Hessenberg varieties. We also have to note that the notion of *natural* unit interval orders is closely related with Hessenberg varieties through the Hessenberg functions or equivalently the Dyck paths.

With all of these profound theories developed so far on the chromatic symmetric functions, especially on the conjecture by Stanley-Stembridge, we could describe the conjecture in terms of *Hessenberg functions*. In the rest of this section, starting with a definition of Hessenberg functions we proceed to state the Stanley-Stembridge conjecture in Conjecture 6 and 7 and finally give a statement of our main theorem, Theorem 8. We adopt the h -positivity statement of the conjecture for our argument since that makes it easier to handle Gasharov's P -tableaux for the proof of the main theorem.

Definition 1. For a positive integer n , a non-decreasing function $f : [n] \rightarrow [n]$ is called a *Hessenberg function* if $i \leq f(i)$ for all $i \in [n]$ where $[n]$ is the set $\{1, 2, \dots, n\}$.

Definition 2. Let $f : [n] \rightarrow [n]$ be a Hessenberg function for a positive integer n .

- The *natural unit interval order* $P(f)$ associated with f is the poset on $[n]$ with the order relation $\prec_f$ defined by

$$i \prec_f j \text{ if and only if } f(i) < j.$$

- The *natural unit interval graph* $G(f)$ associated with f is the graph on the vertex set $[n]$ where

$$\{i, j\}, i < j, \text{ is an edge of } G(f) \text{ if and only if } i \not\prec_f j \text{ or equivalently } f(i) \geq j.$$

- The *Hessenberg variety* $\mathcal{H}(f, s)$ associated with f and a linear transformation $s : \mathbb{C}^n \rightarrow \mathbb{C}^n$ is the set of complete flags defined as follows;

$$\mathcal{H}(f, s) = \{F_0 \subset F_1 \subset \dots \subset F_n = \mathbb{C}^n \mid \dim F_i = i, sF_i \subseteq F_{f(i)} \text{ for all } i \in [n]\}.$$

It is well known that unit interval orders are characterized as $(3+1)$ -free and $(2+2)$ -free posets and the number of isomorphism classes of unit interval orders is the Catalan number. The poset $P(f)$ in Definition 2 are *naturally* labeled unit interval orders, which are representatives of the isomorphism classes of unit interval orders. (See Section 4 of [11] and the references therein for a detailed explanation on unit interval orders.) We also note that the natural interval graph $G(f)$ is the *incomparability graph* of the natural unit interval order $P(f)$, and therefore the independence number (the maximum size of an induced subgraph that has no edge), of $G(f)$ coincides with the length of the longest chain of $P(f)$.

There are many equivalent descriptions to define natural unit interval orders and the following proposition is from one of them.

Proposition 3 (Proposition 4.1 in [11]). *Let $f : [n] \rightarrow [n]$ be a Hessenberg function.*

1. *If $i \prec_f j$ then $i < j$ in the natural order on the integers.*
2. *If the direct sum (disjoint union) $\{i \prec_f k\} + \{j\}$ is an induced subposet of $P(f)$ then $i < j < k$ in the natural order on the integers.*

We fix a set of infinitely many variables $\mathbf{x} = (x_1, x_2, \dots)$ and consider the algebra of symmetric functions $\Lambda(\mathbf{x})$ over a field. For a given positive integer k , the k th *elementary symmetric function* e_k and the k th *homogeneous symmetric function* h_k are defined as

$$e_k = \sum_{i_1 < \dots < i_k} x_{i_1} \cdots x_{i_k} \quad \text{and} \quad h_k = \sum_{i_1 \leq \dots \leq i_k} x_{i_1} \cdots x_{i_k}.$$

A non-increasing sequence of positive integers $\lambda = (\lambda_1, \dots, \lambda_\ell)$ is a *partition* of $n = \sum_i \lambda_i$ whose *length* $\ell(\lambda)$ is ℓ , and we use $\lambda \vdash n$ to denote that λ is a partition of n . We use $\lambda' = (\lambda'_1, \dots, \lambda'_{\ell'})$ for the *conjugate* of $\lambda = (\lambda_1, \dots, \lambda_\ell) \vdash n$, that is $\lambda'_i = |\{j \mid \lambda_j \geq i\}|$ for each i . For a partition $\lambda = (\lambda_1, \dots, \lambda_\ell)$, we let

$$e_\lambda = e_{\lambda_1} \cdots e_{\lambda_\ell}, \text{ the elementary symmetric function,} \quad (1)$$

$$h_\lambda = h_{\lambda_1} \cdots h_{\lambda_\ell}, \text{ the homogeneous symmetric function,} \quad (2)$$

$$s_\lambda = \det(e_{\lambda'_i - i + j})_{\ell' \times \ell'} = \det(h_{\lambda_i - i + j})_{\ell \times \ell}, \text{ the Schur function,} \quad (3)$$

where e and h with negative subscripts are 0 and $e_0 = h_0 = 1$.

Then $\{e_\mu \mid \mu \vdash n\}$, $\{h_\mu \mid \mu \vdash n\}$ and $\{s_\mu \mid \mu \vdash n\}$ are well known bases of the space $\Lambda^n(\mathbf{x})$ of symmetric functions of degree n . The above definitions of Schur functions are known as *Jacobi-Trudi identities*. The algebra involution ω is defined by $\omega(e_i) = h_i$ or equivalently by $\omega(s_\lambda) = s_{\lambda'}$ on $\Lambda(\mathbf{x})$, which explains the equivalence of two kinds of Jacobi-Trudi identities for s_λ . The *Frobenius characteristic* ch is the map from the space of representations of $\mathfrak{S}_n$ to the space $\Lambda^n(\mathbf{x})$ of symmetric functions sending the natural permutation representation corresponding to a partition λ to the homogeneous symmetric function h_λ . For more details on symmetric functions and the representation of the symmetric group, the readers are referred to [10].

We let $\mathbb{P}$ be the set of positive integers. For a graph $G = (V, E)$ with vertex set V and edge set E , a *proper coloring* of G is a map $\kappa : V \rightarrow \mathbb{P}$ such that $\kappa(i) \neq \kappa(j)$ whenever $\{i, j\} \in E$. The chromatic symmetric function of a graph G was defined by Stanley in [12] and its refinement by Shareshian-Wachs in [11].

Definition 4 ([12, 11]). When $G = ([n], E)$ is a graph on the vertex set $[n]$, the *chromatic quasisymmetric function* of G is

$$X_G(\mathbf{x}, t) = \sum_{\kappa} t^{\text{asc}(\kappa)} \mathbf{x}_\kappa,$$

where the sum is over all proper colorings of G , $\text{asc}(\kappa) = |\{\{i, j\} \in E \mid i < j \text{ and } \kappa(i) < \kappa(j)\}|$ and $\mathbf{x}_\kappa = \prod_{i=1}^n x_{\kappa(i)}$.

We remark that $X_G(\mathbf{x}, 1)$ is Stanley's chromatic symmetric function $X_G(\mathbf{x})$. Moreover, $X_G(\mathbf{x}, t)$ is not a symmetric function in general while Shareshian and Wachs showed that the chromatic quasisymmetric function $X_{G(f)}(\mathbf{x}, t)$ of a natural unit interval graph $G(f)$ is a symmetric function in $\mathbf{x} = (x_1, x_2, \dots)$. Shareshian and Wachs conjectured the following in [11], which has been proved by Brosnan-Chow [1] and Guay-Paquet [7] independently.

Proposition 5. *Let $f : [n] \rightarrow [n]$ be a Hessenberg function and $s : \mathbb{C}^n \rightarrow \mathbb{C}^n$ be a linear transformation with n distinct eigenvalues, then*

$$\sum_j \text{ch} H^{2j}(\mathcal{H}(f, s)) t^j = \omega X_{G(f)}(\mathbf{x}, t).$$

A long standing conjecture on chromatic (quasi)symmetric function is about *positivity*, whose proof is known only for some special cases; see [2, 3, 5, 8].

Conjecture 6 ([12, 11]). For a given Hessenberg function $f : [n] \rightarrow [n]$, $X_{G(f)}(\mathbf{x}, t)$ is e -positive. That is, if we write $X_{G(f)}(\mathbf{x}, t) = \sum_{\lambda} b_{\lambda}(t) e_{\lambda}(\mathbf{x})$, then $b_{\lambda}(t)$ is a polynomial of nonnegative integer coefficients.

Conjecture 6 on e -positivity of $X_{G(f)}(\mathbf{x}, t)$ is equivalent to h -positivity of $\omega X_{G(f)}(\mathbf{x}, t)$.

Conjecture 7 ([12, 11]). For a given Hessenberg function $f : [n] \rightarrow [n]$, $\omega X_{G(f)}(\mathbf{x}, t)$ is h -positive. That is, if we write $\omega X_{G(f)}(\mathbf{x}, t) = \sum_{\lambda} c_{\lambda}(t) h_{\lambda}(\mathbf{x})$, then $c_{\lambda}(t)$ is a polynomial of nonnegative integer coefficients.

Our main work in the present paper is to give a proof of Conjecture 7 when $t = 1$ and $P(f)$ does not have a chain with 4 elements.

Theorem 8. *For a given Hessenberg function $f : [n] \rightarrow [n]$ such that a longest chain in the poset $P(f)$ has 3 elements, $\omega X_{G(f)}(\mathbf{x})$ is h -positive. That is, if we write $\omega X_{G(f)}(\mathbf{x}) = \sum_{\lambda} c_{\lambda} h_{\lambda}(\mathbf{x})$, then c_{λ} is a nonnegative integer.*

The rest of this paper is organized as follows. We introduce Gasharov's result on the Schur-expansion of chromatic symmetric functions of $(3 + 1)$ -free posets as well as important properties of the corresponding natural unit interval orders in Section 2. In Section 3 we work on the h -expansion of the dual chromatic symmetric functions to recognize each coefficient as a signed sum of numbers of dual P -tableaux of certain types. In Section 4 we prove the main theorem by constructing sign reversing injections from the negative sets to positive ones, while some technical proofs are done in Section 5.

2 Preliminaries

There is important work concerning Conjecture 6 by Gasharov, which shows that $X_{G(f)}(\mathbf{x})$ is expanded with nonnegative coefficients in terms of Schur functions. Note that this is a consequence of Conjecture 6 since e_{λ} is Schur positive. We state Gasharov's result in its dual form by taking the conjugates, so the following definition is the dual notion of P -tableau of Gasharov [4].

Definition 9. For a Hessenberg function $f : [n] \rightarrow [n]$ and $\lambda \vdash n$, an f -tableau of shape λ is a filling of the diagram of λ with $1, 2, \dots, n$ such that

i) each column is strictly increasing in terms of the ordering $\prec_f$,

ii) if i and j are adjacent in a row so that j is to the right of i , then $i \not\prec_f j$.

We let $\mathcal{T}_\lambda(f)$ be the set of all f -tableaux of shape λ and $d_\lambda(f) = |\mathcal{T}_\lambda(f)|$.

Definition 10. For a Hessenberg function $f : [n] \rightarrow [n]$, a partition $\lambda \vdash m \leq n$, and a subset $A \subseteq [n]$ of size m , a *partial f -tableau of shape λ with content A* is a filling of the diagram of λ with elements in A satisfying two conditions for f -tableaux given in Definition 9.

Proposition 11 ([4]). For a Hessenberg function $f : [n] \rightarrow [n]$,

$$\omega X_{G(f)}(\mathbf{x}) = \sum_{\lambda} d_{\lambda}(f) s_{\lambda}(\mathbf{x}). \quad (4)$$

Example 12. Let $f : [4] \rightarrow [4]$ be a function given by $(f(1), f(2), f(3), f(4)) = (2, 3, 4, 4)$ so that $1 \prec_f 3, 1 \prec_f 4$, and $2 \prec_f 4$. Then, there are eight f -tableaux of shape (4) , four and two f -tableaux of shape $(3, 1)$ and $(2, 2)$, respectively. See Figure 1. Examples of ‘partial’ f -tableaux include

$\begin{array}{|c|c|} \hline 1 & 2 \\ \hline 4 & \\ \hline \end{array}$ and $\begin{array}{|c|c|} \hline 2 & 4 \\ \hline & \\ \hline \end{array}$.

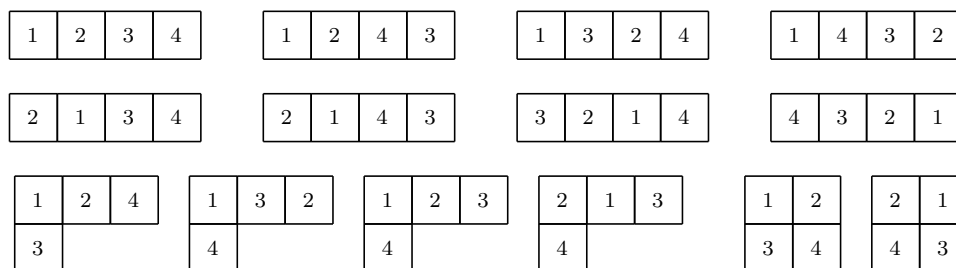


Figure 1: f -tableaux for $f = (2, 3, 4, 4)$.

Hence, we have $\omega X_{G(f)}(\mathbf{x}) = 8s_{(4)} + 4s_{(3,1)} + 2s_{(2,2)}$.

Hessenberg functions correspond to Dyck paths in a natural way, and we define the bounce number of a Hessenberg function as a statistic of the bounce path of the corresponding Dyck path: (See [9] for example.)

Definition 13. For a Hessenberg function $f : [n] \rightarrow [n]$, define a sequence as $x_1 = f(1)$ and $x_{l+1} = f(x_l + 1)$ for $l = 1, 2, \dots$ as long as $x_l < n$.

1. The *Dyck path of f* is the path from $(0, 0)$ to (n, n) such that n east steps are from $(i - 1, f(i))$ to $(i, f(i))$ for $i = 1, 2, \dots, n$.
2. The *bounce path of f* is the path connecting the points $(0, 0)$, $(0, x_1)$, (x_1, x_1) , (x_1, x_2) , (x_2, x_2) , (x_2, x_3) , $\dots$, (n, n) , vertically and horizontally alternatingly.

3. The *bounce number* $b(f)$ of f is the number of points the bounce path of f hits the diagonal line $y = x$, except the initial point $(0, 0)$, i.e. the k such that $x_k = n$.
4. We let $P_l(f) = \{x_{l-1} + 1, \dots, x_l\}$ for $l = 1, \dots, b(f)$ where we set $x_0 = 0$, so that $P_1(f), P_2(f), \dots, P_{b(f)}(f)$ form a set partition of $[n]$.
5. We call the unit square box $\{(x, y) \mid i - 1 < x < i, j - 1 < y < j\}$ for $1 \leq i < j \leq n$, (i, j) -square.

Example 14. If f is given by $(f(1), f(2), f(3), f(4)) = (2, 3, 4, 4)$, then $x_1 = 2$, $x_2 = f(3) = 4$ hence the bounce number $b(f)$ of f is 2 and $P_1(f) = \{1, 2\}$, $P_2(f) = \{3, 4\}$. The paths from $(0, 0)$ to $(4, 4)$ drawn as solid line and dashed line are the Dyck path of f and the bounce path of f , respectively in Figure 2. The (i, j) -squares are indicated in the figure also.

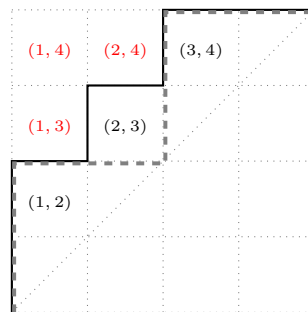


Figure 2: The Dyck path and the bounce path of $f = (2, 3, 4, 4)$.

Lemma 15. Let f be a Hessenberg function.

1. $i < j$ are incomparable with respect to the order $\prec_f$ if and only if (i, j) -square is below the Dyck path of f .
2. If $b \prec_f c$ in $P(f)$ and $a \leq b$, $c \leq d$, then $a \prec_f c$ and $b \prec_f d$.
3. Two elements a, b in $P_l(f)$ are incomparable with respect to the order $\prec_f$ for all l .
4. Let $a_1 \prec_f a_2 \prec_f \dots \prec_f a_k$ be a chain in $P(f)$ with $a_i \in P_{l(i)}(f)$, $i = 1, \dots, k$. Then $l(i) \leq l(i+1)$ for $i \leq k-1$, and $k \leq b(f)$. Moreover, if $a_1 \prec_f a_2 \prec_f \dots \prec_f a_{b(f)}$ is a chain, then $a_l \in P_l(f)$ for all $l = 1, 2, \dots, b(f)$.
5. [(3+1)-free condition] For a chain $a_1 \prec_f a_2 \prec_f a_3$ and an element b of $P(f)$, if $a_1 \not\prec_f b$ then $b \prec_f a_3$ and if $b \not\prec_f a_3$ then $a_1 \prec_f b$.

Proof. Statements 1, 2, 3 are immediate from the definition of the order relation $\prec_f$, and the fourth follows from the second and the third statements. Suppose that $a_1 \prec_f a_2 \prec_f a_3$ and $a_1 \not\prec_f b$. Then $b \leq a_2$ must hold, for otherwise we have $a_1 \prec_f b$. This, with the condition $a_2 \prec_f a_3$ implies $b \prec_f a_3$, completing the proof of a part of the (3+1)-free condition. A similar argument works for the other part of statement 5. $\square$

Remark 16. For a given Hessenberg function $f : [n] \rightarrow [n]$, the bounce number $b(f)$ is the same as the independence number of $G(f)$ and the length of the longest chain in $P(f)$.

The following lemma is immediate from Lemma 15, yet plays an important role in the subsequent arguments.

Lemma 17 (Lemma 2.15 in [2]). *Let $\omega X_{G(f)}(\mathbf{x}) = \sum_{\lambda} d_{\lambda}(f) s_{\lambda}(\mathbf{x}) = \sum_{\lambda} c_{\lambda}(f) h_{\lambda}(\mathbf{x})$ for a Hessenberg function f . Then, $d_{\lambda}(f) = 0$ for a partition λ with $\ell(\lambda) > b(f)$ and therefore $c_{\lambda}(f) = 0$ for a partition λ with $\ell(\lambda) > b(f)$.*

Proof. There cannot be a chain longer than the bounce number of f due to part 4 of Lemma 15, and there is no f -tableau of shape λ if $\ell(\lambda) > b(f)$. Hence, by Proposition 11 we can conclude that $d_{\lambda}(f) = 0$ if $\ell(\lambda) > b(f)$. The Jacobi-Trudi identity (3) completes the proof since h_{μ} appears in the expansion of s_{λ} only when $\ell(\mu) \leq \ell(\lambda)$. $\square$

With the notion of bounce number, the main theorem (Theorem 8) of the current paper can be stated as follows.

Main Theorem. For a given Hessenberg function $f : [n] \rightarrow [n]$ with $b(f) = 3$, $\omega X_{G(f)}(\mathbf{x})$ is h -positive.

3 h -expansion of $\omega X_{G(f)}(\mathbf{x})$ when $b(f) = 3$

Let us fix a positive integer n and a Hessenberg function $f : [n] \rightarrow [n]$ with $b(f) = 3$ and consider the expansion of $\omega X_{G(f)}(\mathbf{x})$ into the sum of homogeneous symmetric functions h_{μ} . We use $\text{Par}(n, \leq 3)$ to denote the set of all partitions of n with length at most 3.

If $\lambda = (\lambda_1, \lambda_2, \lambda_3) \in \text{Par}(n, \leq 3)$ is a partition of n with length at most 3, allowing 0 for λ_2 and λ_3 , then Jacobi-Trudi identity (3) becomes

$$s_{\lambda} = \det \begin{bmatrix} h_{\lambda_1} & h_{\lambda_1+1} & h_{\lambda_1+2} \\ h_{\lambda_2-1} & h_{\lambda_2} & h_{\lambda_2+1} \\ h_{\lambda_3-2} & h_{\lambda_3-1} & h_{\lambda_3} \end{bmatrix}. \quad (5)$$

We define two (signed) sets associated with $\lambda = (\lambda_1, \lambda_2, \lambda_3)$:

$$\begin{aligned} S(\lambda) = & \{(\lambda_1, \lambda_2, \lambda_3)^+, (\lambda_1, \lambda_2 + 1, \lambda_3 - 1)^-, \\ & (\lambda_1 + 1, \lambda_2 - 1, \lambda_3)^-, (\lambda_1 + 1, \lambda_2 + 1, \lambda_3 - 2)^+, \\ & (\lambda_1 + 2, \lambda_2 - 1, \lambda_3 - 1)^+, (\lambda_1 + 2, \lambda_2, \lambda_3 - 2)^-\}, \text{ and} \\ \widetilde{S(\lambda)} = & \{\tilde{\alpha} \mid \alpha \in S(\lambda)\}, \end{aligned}$$

where $\tilde{\alpha}$ is obtained by rearranging the parts of α so that the parts are non-increasing and the sign of $\tilde{\alpha}$ is the same as the sign of α .

Then we let

$$\mathcal{S} = \bigcup_{\lambda \in \text{Par}(n, \leq 3)} S(\lambda) \quad \text{and} \quad \widetilde{\mathcal{S}} = \bigcup_{\lambda \in \text{Par}(n, \leq 3)} \widetilde{S(\lambda)}.$$

We use $\text{sgn}(\alpha, \lambda)$ for the sign of $\alpha \in S(\lambda)$, which we write to the upper right of α for convenience in the definition of $S(\lambda)$. Remember that $h_\alpha = h_{\alpha_1} h_{\alpha_2} h_{\alpha_3}$ for each $\alpha = (\alpha_1, \alpha_2, \alpha_3) \in \mathcal{S}$, where $h_0 = 1$ and $h_{-k} = 0$ for k a positive integer.

From Proposition 11 and the Jacobi-Trudi identity, we have

$$\omega X_{G(f)}(\mathbf{x}) = \sum_{\lambda} d_{\lambda}(f) s_{\lambda}(\mathbf{x}) = \sum_{\lambda} d_{\lambda}(f) \sum_{\alpha \in S(\lambda)} \text{sgn}(\alpha, \lambda) h_{\alpha}. \quad (6)$$

Now, we let

$$\mathcal{C}_{\mu} = \{\alpha \in \mathcal{S} \mid \tilde{\alpha} = \mu\} \text{ for } \mu \in \text{Par}(n, \leq 3), \text{ and}$$

$$\mathcal{K}_{\alpha} = \{\lambda \in \text{Par}(n, \leq 3) \mid \alpha \in S(\lambda)\} \text{ for } \alpha \in \mathcal{S}.$$

Then (6) becomes

$$\omega X_{G(f)}(\mathbf{x}) = \sum_{\mu \in \text{Par}(n, \leq 3)} \left(\sum_{\alpha \in \mathcal{C}_{\mu}} \sum_{\lambda \in \mathcal{K}_{\alpha}} \text{sgn}(\alpha, \lambda) d_{\lambda}(f) \right) h_{\mu}. \quad (7)$$

Lemma 18. For any $\mu = (\mu_1, \mu_2, \mu_3) \in \text{Par}(n, \leq 3)$,

$$\mathcal{C}_{\mu} = \begin{cases} \{(\mu_1, \mu_2, \mu_3)\} & \text{if } \mu_1 \neq \mu_2 + 1 \text{ and } \mu_2 \neq \mu_3 + 1, \\ \{(\mu_1, \mu_2, \mu_3), (\mu_2, \mu_1, \mu_3)\} & \text{if } \mu_1 = \mu_2 + 1 \text{ and } \mu_2 \neq \mu_3 + 1, \\ \{(\mu_1, \mu_2, \mu_3), (\mu_1, \mu_3, \mu_2)\} & \text{if } \mu_1 \neq \mu_2 + 1 \text{ and } \mu_2 = \mu_3 + 1, \\ \{(\mu_1, \mu_2, \mu_3), (\mu_2, \mu_1, \mu_3), (\mu_1, \mu_3, \mu_2)\} & \text{if } \mu_1 = \mu_2 + 1 \text{ and } \mu_2 = \mu_3 + 1. \end{cases}$$

Proof. We first remark that, for a partition $\lambda \in \text{Par}(n, \leq 3)$ the only elements of $S(\lambda)$ that can be a *non-partition* are $(\lambda_1, \lambda_2 + 1, \lambda_3 - 1)$ and $(\lambda_1 + 1, \lambda_2 - 1, \lambda_3)$. Moreover, $(\lambda_1, \lambda_2 + 1, \lambda_3 - 1)$ is not a partition if and only if $\lambda_1 = \lambda_2$ and $(\lambda_1 + 1, \lambda_2 - 1, \lambda_3)$ is not a partition if and only if $\lambda_2 = \lambda_3$. For a $\mu = (\mu_1, \mu_2, \mu_3) \in \text{Par}(n, \leq 3)$, $\alpha = (\alpha_1, \alpha_2, \alpha_3) \in \mathcal{C}_{\mu}$ if and only if $\tilde{\alpha} = \mu$ and $\alpha \in S(\lambda)$ for some $\lambda \in \text{Par}(n, \leq 3)$.

Let $\alpha = (\alpha_1, \alpha_2, \alpha_3)$ be an element of $\mathcal{C}_{\mu}$ where $\alpha \in S(\lambda)$ for $\lambda \in \text{Par}(n, \leq 3)$. Then, one of the following cases happens:

- i. $\alpha = (\lambda_1, \lambda_2, \lambda_3) = \mu$.
- ii. $\alpha = (\lambda_1, \lambda_2 + 1, \lambda_3 - 1) = \begin{cases} (\mu_1, \mu_2, \mu_3) & \text{if } \lambda_1 > \lambda_2, \\ (\mu_2, \mu_1, \mu_3) & \text{if } \lambda_1 = \lambda_2. \end{cases}$
- iii. $\alpha = (\lambda_1 + 1, \lambda_2 - 1, \lambda_3) = \begin{cases} (\mu_1, \mu_2, \mu_3) & \text{if } \lambda_2 > \lambda_3, \\ (\mu_1, \mu_3, \mu_2) & \text{if } \lambda_2 = \lambda_3. \end{cases}$
- iv. $\alpha = (\lambda_1 + 1, \lambda_2 + 1, \lambda_3 - 2) = \mu$.

v. $\alpha = (\lambda_1 + 2, \lambda_2 - 1, \lambda_3 - 1) = \mu$.

vi. $\alpha = (\lambda_1 + 2, \lambda_2, \lambda_3 - 2) = \mu$.

Therefore, we can conclude that $\alpha = (\mu_1, \mu_2, \mu_3)$ is an element of $\mathcal{C}_\mu$ for all $\mu \in \text{Par}(n, \leq 3)$, $\alpha = (\mu_2, \mu_1, \mu_3)$ is an element of $\mathcal{C}_\mu$ if $\mu_1 = \mu_2 + 1$, and (μ_1, μ_3, μ_2) is an element of $\mathcal{C}_\mu$ if $\mu_2 = \mu_3 + 1$. $\square$

Lemma 19. For $\alpha = (\alpha_1, \alpha_2, \alpha_3) \in \mathcal{S}$, let

$$T(\alpha) = \{(\alpha_1 - 2, \alpha_2, \alpha_3 + 2), (\alpha_1 - 2, \alpha_2 + 1, \alpha_3 + 1), \\ (\alpha_1 - 1, \alpha_2 - 1, \alpha_3 + 2), (\alpha_1 - 1, \alpha_2 + 1, \alpha_3), \\ (\alpha_1, \alpha_2 - 1, \alpha_3 + 1), (\alpha_1, \alpha_2, \alpha_3)\}.$$

Then, $\mathcal{K}_\alpha = T(\alpha) \cap \text{Par}(n, \leq 3)$.

Proof. For any $\lambda \in T(\alpha) \cap \text{Par}(n, \leq 3)$, $\lambda \in \mathcal{K}_\alpha$ due to the way how $T(\alpha)$ is defined. Hence, we have $T(\alpha) \cap \text{Par}(n, \leq 3) \subseteq \mathcal{K}_\alpha$. Now, let $\lambda \in \text{Par}(n, \leq 3)$ be an element of $\mathcal{K}_\alpha$ so that $\alpha \in S(\lambda)$. Then, by the definitions of $S(\lambda)$ and $T(\alpha)$, λ must be in $T(\alpha)$. $\square$

Since we have Lemma 18 and Lemma 19, we are ready to analyse the coefficient

$$c_\mu := \sum_{\alpha \in \mathcal{C}_\mu} \sum_{\lambda \in \mathcal{K}_\alpha} \text{sgn}(\alpha, \lambda) d_\lambda(f) \quad (8)$$

of h_μ in the expansion of $\omega X_{G(f)}(\mathbf{x})$, given in (7).

There are four cases to be considered according to Lemma 18. In each case, we draw a diagram of $\cup_{\alpha \in \mathcal{C}_\mu} \mathcal{K}_\alpha$ in which two partitions $\xi, \eta \in \cup_{\alpha \in \mathcal{C}_\mu} \mathcal{K}_\alpha$ are connected by an arrow, $\xi \xrightarrow{\sigma_{j,i}^k} \eta$ for $1 \leq i < j \leq 3$ and $k \in \{1, 2\}$, if η is obtained from ξ by subtracting k from the j th part of ξ and adding k to the i th part of ξ . We write $\text{sgn}(\alpha, \lambda)$ to the upper right of each $\lambda \in \mathcal{K}_\alpha$. We remark that some elements in the diagram can be obsolete, i.e. can be a non-partition, depending on the given partition μ . Moreover, if η is not a partition where $\xi \xrightarrow{\sigma_{j,i}^k} \eta$ in the diagram, then ξ is not a partition either.

Case I If $\mu_1 \neq \mu_2 + 1$ and $\mu_2 \neq \mu_3 + 1$, then $\mathcal{C}_\mu = \{\alpha = (\mu_1, \mu_2, \mu_3)\}$ and the diagram of $\mathcal{K}_\alpha$ is given in Figure 3.

Case II If $\mu_1 = \mu_2 + 1$ and $\mu_2 \neq \mu_3 + 1$, then $\mathcal{C}_\mu = \{\alpha = (\mu_1, \mu_2, \mu_3), \beta = (\mu_2, \mu_1, \mu_3)\}$. In this case, $(\mu_1 - 2, \mu_2, \mu_3 + 2), (\mu_1 - 2, \mu_2 + 1, \mu_3 + 1), (\mu_1 - 1, \mu_2 + 1, \mu_3) \in T(\alpha)$ are not partitions, and only $(\mu_1 - 1, \mu_2 + 1, \mu_3)$ is a partition in $T(\beta)$. Hence $\mathcal{K}_\alpha \cap \mathcal{K}_\beta = \emptyset$, and the signs with λ in the diagram are for either $\text{sgn}(\alpha, \lambda)$ or $\text{sgn}(\beta, \lambda)$ depending whether $\lambda \in \mathcal{K}_\alpha$ or $\lambda \in \mathcal{K}_\beta$. The elements of $\mathcal{K}_\alpha$ are colored in red and the ones in $\mathcal{K}_\beta$ are colored in blue. Again, note that some element in the diagram can be obsolete depending on the given μ . See Figure 4. We remark that β itself is not an element of $\mathcal{K}_\alpha \cup \mathcal{K}_\beta$ since it is not a partition.

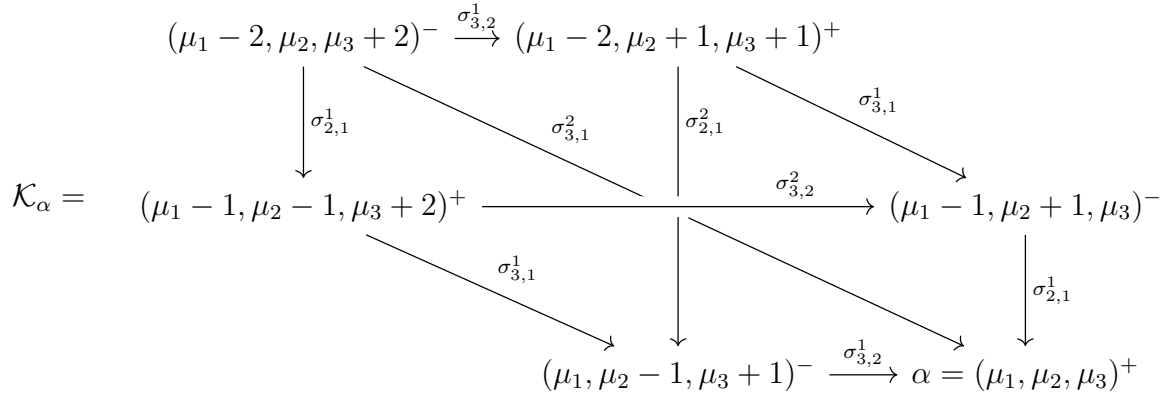


Figure 3: The diagram of $\cup_{\alpha \in \mathcal{C}_\mu} \mathcal{K}_\alpha$ when $\mu_1 \neq \mu_2 + 1$ and $\mu_2 \neq \mu_3 + 1$.

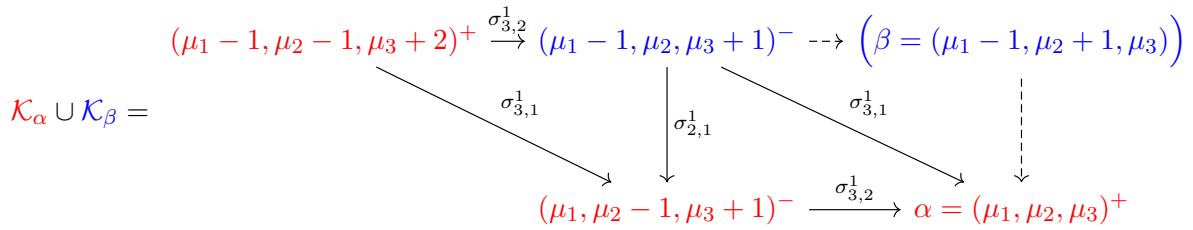


Figure 4: The diagram of $\cup_{\alpha \in \mathcal{C}_\mu} \mathcal{K}_\alpha$ when $\mu_1 = \mu_2 + 1$ and $\mu_2 \neq \mu_3 + 1$.

Case III If $\mu_1 \neq \mu_2 + 1$ and $\mu_2 = \mu_3 + 1$, then $\mathcal{C}_\mu = \{\alpha = (\mu_1, \mu_2, \mu_3), \gamma = (\mu_1, \mu_3, \mu_2)\}$. It is easy to show that $\mathcal{K}_\alpha \cap \mathcal{K}_\gamma = \emptyset$ and the diagram of $\mathcal{K}_\alpha \cup \mathcal{K}_\gamma$ is given in Figure 5. The elements of $\mathcal{K}_\alpha$ are colored red and the ones in $\mathcal{K}_\gamma$ are colored in blue. Note that γ itself is not an element of $\mathcal{K}_\alpha \cup \mathcal{K}_\gamma$ since it is not a partition.

Case IV If $\mu_1 = \mu_2 + 1$ and $\mu_2 = \mu_3 + 1$, then $\mathcal{C}_\mu = \{\alpha = (\mu_1, \mu_2, \mu_3), \beta = (\mu_2, \mu_1, \mu_3), \gamma = (\mu_1, \mu_3, \mu_2)\}$. In this case, $\mathcal{K}_\beta = \mathcal{K}_\gamma = \{(\mu_1 - 1, \mu_2, \mu_3 + 1)^-\}$ and $\mathcal{K}_\alpha = \{\alpha\}$. Hence we draw a diagram for $\mathcal{K}_\alpha \cup \mathcal{K}_\beta \cup \mathcal{K}_\gamma$ as in Figure 6 where $2(\mu_1 - 1, \mu_2, \mu_3 + 1)^-$ means $(\mu_1 - 1, \mu_2, \mu_3 + 1)^-$ appears in both $\mathcal{K}_\beta$ and $\mathcal{K}_\gamma$ with negative signs. In this case, neither β nor γ is contained in $\mathcal{K}_\alpha \cup \mathcal{K}_\beta \cup \mathcal{K}_\gamma$ since they are not partitions.

4 Proof of h -positivity when $b(f) = 3$

In this section we prove Theorem 8, that is the Stanley-Stembridge conjecture is true when f has bounce number 3. We cancel the negative terms in (8) with positive terms so that the remaining terms have positive signs.

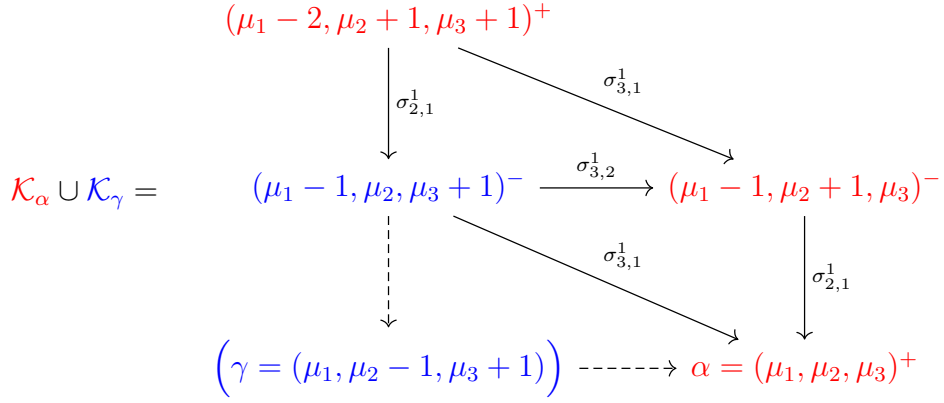


Figure 5: The diagram of $\cup_{\alpha \in \mathcal{C}_\mu} \mathcal{K}_\alpha$ when $\mu_1 \neq \mu_2 + 1$ and $\mu_2 = \mu_3 + 1$.

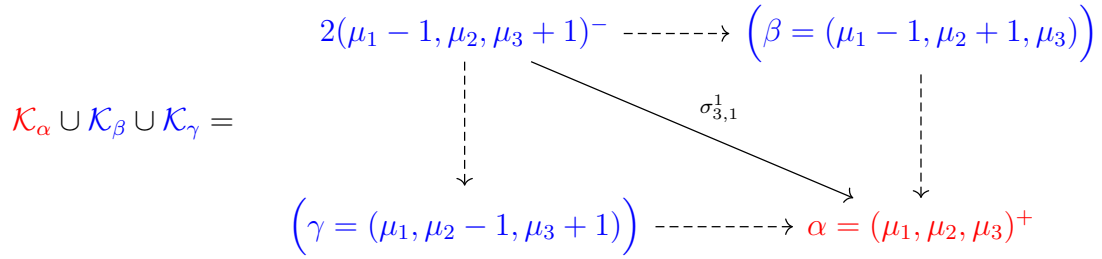


Figure 6: The diagram of $\cup_{\alpha \in \mathcal{C}_\mu} \mathcal{K}_\alpha$ when $\mu_1 = \mu_2 + 1$ and $\mu_2 = \mu_3 + 1$.

Since

$$\omega X_{G(f)}(\mathbf{x}) = \sum_{\mu \in \text{Par}(n, \leq 3)} \left(\sum_{\alpha \in \mathcal{C}_\mu} \sum_{\lambda \in \mathcal{K}_\alpha} \text{sgn}(\alpha, \lambda) d_\lambda(f) \right) h_\mu = \sum_{\mu \in \text{Par}(n, \leq 3)} c_\mu h_\mu \quad (9)$$

and we classified $\mathcal{C}_\mu$ and $\cup_{\alpha \in \mathcal{C}_\mu} \mathcal{K}_\alpha$ depending on the given μ in Section 3, we consider each of the four cases I - IV separately and show that every negative term in

$$c_\mu = \sum_{\alpha \in \mathcal{C}_\mu} \sum_{\lambda \in \mathcal{K}_\alpha} \text{sgn}(\alpha, \lambda) d_\lambda(f) \quad (10)$$

can be canceled with a positive term.

Remember that $d_\lambda(f) = |\mathcal{T}_\lambda(f)|$ is the number of f -tableaux of shape λ . We fix a positive integer n and a Hessenberg function $f : [n] \rightarrow [n]$ with bounce number $b(f) = 3$, and we use $\mathcal{T}(\lambda)$, $d(\lambda)$, P and P_l , $l = 1, 2, 3$, instead of $\mathcal{T}_\lambda(f)$, $d_\lambda(f)$, $P(f)$ and $P_l(f)$, respectively for convenience. We also use $a \prec b$ instead of $a \prec_f b$ for the order relation in $P = P_1 \cup P_2 \cup P_3$.

The basic idea is to use the relation $\xi \xrightarrow{\sigma_{j,i}^k} \eta$ in the diagram of $\cup_{\alpha \in \mathcal{C}_\mu} \mathcal{K}_\alpha$ to define an injective map from each negative $\mathcal{T}(\xi)$ to a positive $\mathcal{T}(\eta)$, where the sign of $\mathcal{T}(\lambda)$ for $\lambda \in \cup_{\alpha \in \mathcal{C}_\mu} \mathcal{K}_\alpha$ is the sign $\text{sgn}(\alpha, \lambda)$ of λ for a corresponding $\alpha \in \mathcal{C}_\mu$.

Definition 20. Let $\xi = (\xi_1, \xi_2, \xi_3)$ be a partition and T be a tableau of shape ξ . For given i, j such that $1 \leq i < j \leq 3$,

1. if $\xi_j \geq 1$, we define $\sigma_{j \rightarrow i}^\square(T)$ to be the tableau obtained by moving the rightmost entry of the j th row of T to the end of the i th row.
2. if $\xi_j \geq 2$, we define $\sigma_{j \rightarrow i}^{\square\square}(T)$ to be the tableau obtained by moving two rightmost entries of the j th row of T to the end of the i th row.

We need to mention that $\sigma_{j \rightarrow i}^*$, $*$ $\in \{\square, \square\square\}$, does not necessarily send an f -tableau to an f -tableau, but $\sigma_{3 \rightarrow 1}^*$ always does.

Lemma 21. Let $\xi = (\xi_1, \xi_2, \xi_3)$ be a partition and T be an f -tableau in $\mathcal{T}(\xi)$.

1. If $\xi_3 \geq 1$ then $\sigma_{3 \rightarrow 1}^\square(T)$ is an f -tableau in $\mathcal{T}(\xi_1 + 1, \xi_2, \xi_3 - 1)$. Hence, $\sigma_{3 \rightarrow 1}^\square$ is a map from $\mathcal{T}(\xi_1, \xi_2, \xi_3)$ to $\mathcal{T}(\xi_1 + 1, \xi_2, \xi_3 - 1)$ and it is injective.
2. If $\xi_3 \geq 2$ then $\sigma_{3 \rightarrow 1}^{\square\square}(T)$ is an f -tableau in $\mathcal{T}(\xi_1 + 2, \xi_2, \xi_3 - 2)$. Hence, $\sigma_{3 \rightarrow 1}^{\square\square}$ is a map from $\mathcal{T}(\xi_1, \xi_2, \xi_3)$ to $\mathcal{T}(\xi_1 + 2, \xi_2, \xi_3 - 2)$ and it is injective.

Proof. For $T \in \mathcal{T}(\xi_1, \xi_2, \xi_3)$, the entries in the third row of T are in P_3 and if $a \in P_3$ then $x \neq a$ for any x . Hence $\sigma_{3 \rightarrow 1}^\square(T)$ must be an f -tableau in $\mathcal{T}(\xi_1 + 1, \xi_2, \xi_3 - 1)$. The injectivity of $\sigma_{3 \rightarrow 1}^\square$ is immediate from the definition. Almost the same argument works for the second part. $\square$

Example 22. Let $f : [8] \rightarrow [8]$ be a Hessenberg function given by

$$(f(1), f(2), f(3), f(4), f(5), f(6), f(7), f(8)) = (2, 3, 5, 6, 7, 8, 8, 8)$$

so that the bounce number $b(f)$ of f is 3 and $P_1 = \{1, 2\}$, $P_2 = \{3, 4, 5\}$, $P_3 = \{6, 7, 8\}$. If we let T be an f -tableau of shape $\xi = (4, 2, 2)$ given as

$$T = \begin{array}{|c|c|c|c|} \hline 2 & 1 & 5 & 6 \\ \hline 4 & 3 & & \\ \hline 8 & 7 & & \\ \hline \end{array},$$

then

$$\sigma_{3 \rightarrow 1}^\square(T) = \begin{array}{|c|c|c|c|c|} \hline 2 & 1 & 5 & 6 & 7 \\ \hline 4 & 3 & & & \\ \hline 8 & & & & \\ \hline \end{array} \in \mathcal{T}(5, 2, 1)$$

and

$$\sigma_{3 \rightarrow 1}^{\square\square}(T) = \begin{array}{|c|c|c|c|c|c|} \hline 2 & 1 & 5 & 6 & 8 & 7 \\ \hline 4 & 3 & & & & \\ \hline & & & & & \\ \hline \end{array} \in \mathcal{T}(6, 2, 0).$$

We note that, since 7 and 8 are elements of P_3 , $6 \not\prec 7$ and $6 \not\prec 8$. On the contrary,

$$\sigma_{3 \rightarrow 2}^{\square}(T) = \begin{array}{|c|c|c|c|} \hline 2 & 1 & 5 & 6 \\ \hline 4 & 3 & 7 & \\ \hline 8 & & & \\ \hline \end{array} \notin \mathcal{T}(4, 3, 1),$$

since $5 \not\prec 7$.

Lemma 23. *For a Hessenberg function $f : [n] \rightarrow [n]$, let R be a partial f -tableau of shape (m, m, m) with content $A \subseteq [n]$ and S be a partial f -tableau of shape $\lambda = (\lambda_1, \lambda_2, \lambda_3)$ with content $[n] - A$. Then the tableau $T := R \cup S$ of shape $(m + \lambda_1, m + \lambda_2, m + \lambda_3)$ obtained by concatenating R and S so that the first m columns of T is R and the rest is the same as S , is an f -tableau.*

Proof. We only need to check the conditions between the m th column and the $(m + 1)$ th column of T ; that is, $r_l \not\prec s_l$ for $l = 1, 2, 3$, where r_l, s_l are the l th entry of the last column of R and the first column of S , respectively. We remark that S can be a tableau with one or two rows, in which case we need to check only one or two relations. Since Lemma 15 (4) implies that $r_l \in P_l$ for $l = 1, 2, 3$, and s_l is in $P_{l'}$ for $l' \geq l$, we can conclude that $r_l \not\prec s_l$ for $l = 1, 2, 3$. $\square$

In the rest of this section, we prove that $c_\mu = \sum_{\alpha \in \mathcal{C}_\mu} \sum_{\lambda \in \mathcal{K}_\alpha} \text{sgn}(\alpha, \lambda) d(\lambda)$ is nonnegative for any partition μ by injectively mapping the elements of negative $\mathcal{T}(\xi)$ into positive $\mathcal{T}(\eta)$ for $\xi, \eta \in \cup_{\alpha \in \mathcal{C}_\mu} \mathcal{K}_\alpha$. We consider four separate cases as we did in Section 3 depending on the conditions that a given partition $\mu = (\mu_1, \mu_2, \mu_3)$ satisfy. We use $A \simeq B$ when two sets A and B are in bijection.

4.1 Case I

Assume that $\mu_1 \neq \mu_2 + 1$ and $\mu_2 \neq \mu_3 + 1$. Then from the diagram, Figure 3, of $\mathcal{K}_\alpha$, we can see that we need to define an injective map

$$\begin{aligned} & \text{from } \mathcal{T}(\mu_1 - 2, \mu_2, \mu_3 + 2)^- \cup \mathcal{T}(\mu_1 - 1, \mu_2 + 1, \mu_3)^- \cup \mathcal{T}(\mu_1, \mu_2 - 1, \mu_3 + 1)^- \\ & \text{into } \mathcal{T}(\mu_1 - 2, \mu_2 + 1, \mu_3 + 1)^+ \cup \mathcal{T}(\mu_1 - 1, \mu_2 - 1, \mu_3 + 2)^+ \cup \mathcal{T}(\mu_1, \mu_2, \mu_3)^+. \end{aligned}$$

We first use $\sigma_{3 \rightarrow 1}^*$'s that were shown to be injective in Lemma 21:

We have, as one can see in Figure 7,

$$\begin{aligned} \mathcal{T}(\mu_1 - 2, \mu_2, \mu_3 + 2)^- & \simeq \sigma_{3 \rightarrow 1}^{\square}(\mathcal{T}(\mu_1 - 2, \mu_2, \mu_3 + 2)^-) \subseteq \mathcal{T}(\mu_1, \mu_2, \mu_3)^+, \\ \mathcal{T}(\mu_1 - 1, \mu_2 - 1, \mu_3 + 2)^+ & \simeq \sigma_{3 \rightarrow 1}^{\square}(\mathcal{T}(\mu_1 - 1, \mu_2 - 1, \mu_3 + 2)^+) \subseteq \mathcal{T}(\mu_1, \mu_2 - 1, \mu_3 + 1)^-, \text{ and} \\ \mathcal{T}(\mu_1 - 2, \mu_2 + 1, \mu_3 + 1)^+ & \simeq \sigma_{3 \rightarrow 1}^{\square}(\mathcal{T}(\mu_1 - 2, \mu_2 + 1, \mu_3 + 1)^+) \subseteq \mathcal{T}(\mu_1 - 1, \mu_2 + 1, \mu_3)^-. \end{aligned}$$

Therefore, if we let

$$\widetilde{\mathcal{T}}(\mu_1, \mu_2, \mu_3)^+ = \mathcal{T}(\mu_1, \mu_2, \mu_3)^+ - \sigma_{3 \rightarrow 1}^{\square}(\mathcal{T}(\mu_1 - 2, \mu_2, \mu_3 + 2)^-),$$

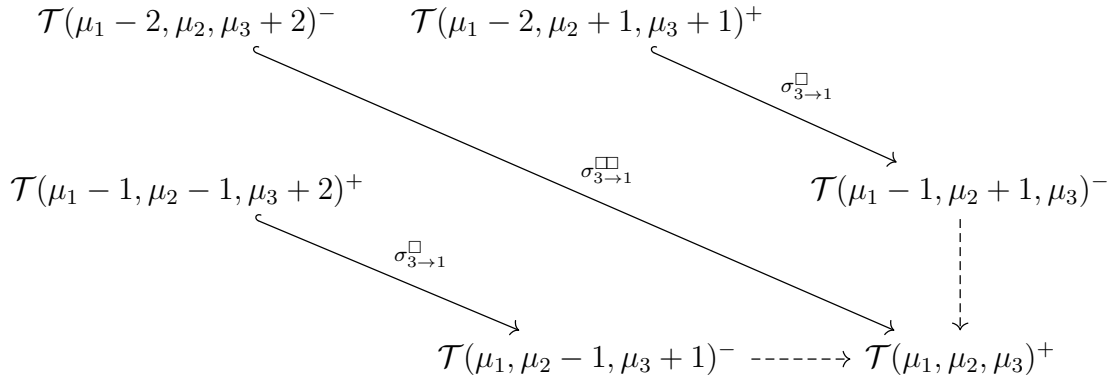


Figure 7: Case I.

$$\begin{aligned}\tilde{\mathcal{T}}(\mu_1, \mu_2 - 1, \mu_3 + 1)^- &= \mathcal{T}(\mu_1, \mu_2 - 1, \mu_3 + 1)^- - \sigma_{3 \rightarrow 1}^{\square}(\mathcal{T}(\mu_1 - 1, \mu_2 - 1, \mu_3 + 2)^+), \\ \tilde{\mathcal{T}}(\mu_1 - 1, \mu_2 + 1, \mu_3)^- &= \mathcal{T}(\mu_1 - 1, \mu_2 + 1, \mu_3)^- - \sigma_{3 \rightarrow 1}^{\square}(\mathcal{T}(\mu_1 - 2, \mu_2 + 1, \mu_3 + 1)^+),\end{aligned}$$

we are left to define an injection

$$\phi : \tilde{\mathcal{T}}(\mu_1 - 1, \mu_2 + 1, \mu_3)^- \cup \tilde{\mathcal{T}}(\mu_1, \mu_2 - 1, \mu_3 + 1)^- \longrightarrow \tilde{\mathcal{T}}(\mu_1, \mu_2, \mu_3)^+.$$

Note that if $\mu_1 = \mu_2$ then $\tilde{\mathcal{T}}(\mu_1 - 1, \mu_2 + 1, \mu_3)^- = \emptyset$, and if $\mu_2 = \mu_3$ then $\tilde{\mathcal{T}}(\mu_1, \mu_2 - 1, \mu_3 + 1)^- = \emptyset$, and these cases will be covered as special cases of the case $\mu_1 > \mu_2 + 1$ and $\mu_2 > \mu_3 + 1$, respectively. We thus assume that $\mu_1 > \mu_2 + 1$ and $\mu_2 > \mu_3 + 1$. A tableau T in $\tilde{\mathcal{T}}(\mu_1 - 1, \mu_2 + 1, \mu_3)^-$ can be written as $T = R \cup S$ where $R \in \mathcal{T}(\mu_3, \mu_3, \mu_3)$ and $S \in \mathcal{T}(\mu_1 - \mu_3 - 1, \mu_2 - \mu_3 + 1, 0)$; see Lemma 23. Since $\mu_1 > \mu_2 + 1 > \mu_3 + 2$ and we will manipulate S only to define the image $\phi(T)$ of T , we may assume that $(\mu_1 - 1, \mu_2 + 1, \mu_3) = (m + k, m, 0)$ for $m \geq 3$ and $k \geq 0$, and therefore $(\mu_1, \mu_2 - 1, \mu_3 + 1) = (m + k + 1, m - 2, 1)$ for $m \geq 3$ and $k \geq 0$. We remark that this process works as required as long as $\phi(S)$ is an f -tableau due to Lemma 23.

*Proof of Theorem 8 in **Case I**.* In what follows, to prove Theorem 8, we will do the following steps. See Figure 8.

1. We modify $\sigma_{2 \rightarrow 1}^{\square}$ and $\sigma_{3 \rightarrow 2}^{\square}$ to define injections

$$\begin{aligned}\tilde{\sigma}_{2 \rightarrow 1}^{\square} : \tilde{\mathcal{T}}(m + k, m, 0)^- &\rightarrow \tilde{\mathcal{T}}(m + k + 1, m - 1, 0)^+, \text{ and} \\ \tilde{\sigma}_{3 \rightarrow 2}^{\square} : \tilde{\mathcal{T}}(m + k + 1, m - 2, 1)^- &\rightarrow \tilde{\mathcal{T}}(m + k + 1, m - 1, 0)^+.\end{aligned}$$

2. We then modify $\tilde{\sigma}_{3 \rightarrow 2}^{\square}$ to define $\phi_2 : \tilde{\mathcal{T}}(m + k + 1, m - 2, 1)^- \rightarrow \tilde{\mathcal{T}}(m + k + 1, m - 1, 0)^+$ so that the map $\phi : \tilde{\mathcal{T}}(m + k, m, 0)^- \cup \tilde{\mathcal{T}}(m + k + 1, m - 2, 1)^- \longrightarrow \tilde{\mathcal{T}}(m + k + 1, m - 1, 0)^+$ defined by $\phi|_{\tilde{\mathcal{T}}(m + k, m, 0)^-} = \tilde{\sigma}_{2 \rightarrow 1}^{\square} := \phi_1$ and $\phi|_{\tilde{\mathcal{T}}(m + k + 1, m - 2, 1)^-} = \phi_2$ is an injection; that is, $\phi_1(\tilde{\mathcal{T}}(m + k, m, 0)^-) \cap \phi_2(\tilde{\mathcal{T}}(m + k + 1, m - 2, 1)^-) = \emptyset$.

In this subsection we will only give the definition of the maps $\tilde{\sigma}_{2 \rightarrow 1}^\square$, $\tilde{\sigma}_{3 \rightarrow 2}^\square$, and ϕ_2 in Definition 24, Definition 27 and Definition 33, respectively, and the proofs that they satisfy the desired properties will be done later in a new section. The proofs of Lemmas 29 through 32 and Proposition 34, that are rather technical will be done in Section 5.

$$\begin{array}{ccc}
& & \tilde{\mathcal{T}}(m+k, m, 0)^- \\
& & \downarrow \phi_1 = \tilde{\sigma}_{2 \rightarrow 1}^\square \\
\tilde{\mathcal{T}}(m+k+1, m-2, 1)^- & \xrightarrow{\phi_2 = (\tilde{\sigma}_{3 \rightarrow 2}^\square)^*} & \tilde{\mathcal{T}}(m+k+1, m-1, 0)^+
\end{array}$$

Figure 8: Reduced Case I.

Definition 24. Let $m \geq 3$ and $k \geq 0$ be integers. Then we define

$$\tilde{\sigma}_{2 \rightarrow 1}^\square : \tilde{\mathcal{T}}(m+k, m, 0)^- \rightarrow \tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$$

as follows. Let

$$T = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & b_2 & c_2 & & & & & & \\ \hline \end{array}$$

be an f -tableau in $\tilde{\mathcal{T}}(m+k, m, 0)^-$. Then $a_2 \not\prec d_1$ since $T \notin \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(m+k-1, m, 1)^+)$.

$\langle 1 \rangle$ When $d_1 \not\prec c_2$, move c_2 to the end of the first row;

$$\tilde{\sigma}_{2 \rightarrow 1}^\square(T) := \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & c_2 & \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & b_2 & & & & & & & & \\ \hline \end{array}.$$

$\langle 2 \rangle$ When $d_1 \succ c_2$, we consider two sequences of entries from T :

$d_1^{(0)} := d_1, d_1^{(1)}, d_1^{(2)}, \dots, d_1^{(k-1)}, d_1^{(k)} := c_1$ of length k , in the first row and

$b_2^{(-1)} := c_2, b_2^{(0)} := b_2, b_2^{(1)}, \dots, b_2^{(m-2)} := a_2$ of length $m-1$ in the second row:

$$T = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & b_2 & c_2 & & & & & & \\ \hline \end{array}$$

$\langle 2-i \rangle$ If there is $0 \leq i \leq \min(k-1, m-2)$ such that $d_1^{(j+1)} \succ b_2^{(j)}$ for all $j = -1, 0, \dots, i-1$ but $d_1^{(i+1)} \not\succ b_2^{(i)}$, then we exchange $(i+1)$ entries in the tail of the first row and $(i+2)$ entries in the tail of the second row of T to obtain $\tilde{\sigma}_{2 \rightarrow 1}^\square(T)$:

a_1	$\dots$	$b_1^{(i+1)}$	$b_1^{(i)}$	$\dots$	$b_1^{(1)}$	b_1	c_1	$\dots$	$d_1^{(i+1)}$	$b_2^{(i)}$	$\dots$	$b_2^{(0)}$	c_2
a_2	$\dots$	$b_2^{(i+1)}$	$d_1^{(i)}$	$\dots$	$d_1^{(1)}$	d_1							

$\langle 2-\infty \rangle$ Otherwise, in which case we have $m-2 < k-1$ and $d_1^{(j+1)} \succ b_2^{(j)}$ for all $j = 0, \dots, m-2$, we define $\tilde{\sigma}_{2 \rightarrow 1}^\square(T)$ to be

a_1	$\dots$	$b_1^{(2)}$	$b_1^{(1)}$	b_1	c_1	$\dots$	$d_1^{(m)}$	d_1	a_2	$b_2^{(m-3)}$	$\dots$	$b_2^{(1)}$	b_2	c_2
$d_1^{(m-2)}$	$\dots$	$d_1^{(2)}$	$d_1^{(1)}$	$d_1^{(m-1)}$										

Remark 25. In Definition 24 $\langle 2 \rangle$, if $m-2 \geq k-1$ then $\langle 2-i \rangle$ ($0 \leq i \leq k-1$) is always the case. That is because $c_1 \in P_1 \cup P_2$ and $b_2^{(j)} \in P_2 \cup P_3$ for $j = 0, \dots, m-2$ due to Lemma 15, and hence we have $c_1 = d_1^{(k)} \not\succ b_2^{(j)}$ for all j .

Remark 26. If T is of type $\langle 2-\infty \rangle$, then $c_2 \prec d_1^{(m-1)}$. For, from $a_2 \not\prec d_1$ that is because $T \notin \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(m+k-1, m, 1)^+)$, and $a_2 = b_1^{(m-2)} \prec d_1^{(m-1)}$ it follows that $d_1 < d_1^{(m-1)}$. Since $c_2 \prec d_1$, we have $c_2 \prec d_1^{(m-1)}$.

Definition 27. Let $m \geq 3$ and $k \geq 0$ be integers. Then we define

$$\tilde{\sigma}_{3 \rightarrow 2}^\square : \tilde{\mathcal{T}}(m+k+1, m-2, 1)^- \rightarrow \tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$$

as follows. Let

$$S = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & & & & & & & & \\ \hline a_3 & & & & & & & & & & & & \\ \hline \end{array}$$

be an f -tableau in $\tilde{\mathcal{T}}(m+k+1, m-2, 1)^-$. Then, since $S \notin \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(m+k-2, m, 2)^+)$ we have $b_2^{(m-3)} \not\prec e_1$.

(1) When $b_1 \prec a_3$, we let

$$\tilde{\sigma}_{3 \rightarrow 2}^\square(S) := \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}.$$

(2) When $b_1 \not\prec a_3$ and $b_1 \not\prec b_2^{(m-3)}$, we let

$$\tilde{\sigma}_{3 \rightarrow 2}^{\square}(S) := \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & a_2 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline b_1 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}.$$

(3) When $b_1 \not\prec a_3$ and $b_1 \succ b_2^{(m-3)}$,

(3-1) if $e_1 \not\prec a_3$ or ($e_1 \prec a_3$ and $a_2 \prec d_1$), then we define $\tilde{\sigma}_{3 \rightarrow 2}^{\square}(S)$ as

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & a_2 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & b_1 \\ \hline e_1 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}.$$

(3-2) if $e_1 \prec a_3$ and $a_2 \not\prec d_1$, then we consider two sequences of entries from S :

$$d_1^{(-1)} := e_1, d_1^{(0)} := d_1, d_1^{(1)}, d_1^{(2)}, \dots, d_1^{(k-1)}, d_1^{(k)} := c_1 \text{ of length } k+2, \text{ and}$$

$$b_1^{(0)} := b_1, b_1^{(1)}, b_1^{(2)}, \dots, b_1^{(m-2)} := a_1 \text{ of length } m-1.$$

$$S = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & & & & & & & & \\ \hline a_3 & & & & & & & & & & & & \\ \hline \end{array}$$

Let i be the smallest such that $d_1^{(i)} \not\prec b_1^{(i+2)}$, then we exchange the tails of length $(i+2)$ of two sequences (in the tableau) and move a_3 to the second row to obtain $\tilde{\sigma}_{3 \rightarrow 2}^{\square}(S)$;

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & \dots & b_1^{(i+2)} & d_1^{(i)} & \dots & d_1^{(0)} & e_1 & c_1 & \dots & d_1^{(i+1)} & b_1^{(i+1)} & \dots & b_1^{(1)} & b_1 \\ \hline a_2 & \dots & b_2^{(i+2)} & b_2^{(i+1)} & \dots & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}.$$

Remark 28. We note that the smallest i such that $d_1^{(i)} \not\prec b_1^{(i+2)}$ exists in case (3-2) of Definition 27: Since $a_1 \prec a_2 \prec a_3$ is a chain we know that $a_1 \in P_1$ and this implies $d_1^{(j)} \not\prec b_1^{(m-2)} = a_1$ for all $-1 \leq j \leq k$. Moreover, since $b_1^{(m-3)} \prec b_2^{(m-3)} \prec b_1$ is a chain we know that $b_1 \in P_3$, and this implies that c_1 is in $P_2 \cup P_3$ because $b_1 \not\prec c_1$. Now we can conclude that $d_1^{(k)} = c_1 \not\prec b_1^{(j)}$ for all $0 \leq j \leq m-2$.

Proofs of the following lemmas are given in Section 5.

Lemma 29. $\tilde{\sigma}_{2 \rightarrow 1}^{\square} : \tilde{\mathcal{T}}(m+k, m, 0)^- \rightarrow \tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$ is a well defined injective map.

Lemma 30. $\tilde{\sigma}_{3 \rightarrow 2}^{\square} : \tilde{\mathcal{T}}(m+k+1, m-2, 1)^{-} \rightarrow \tilde{\mathcal{T}}(m+k+1, m-1, 0)^{+}$ is a well defined injective map.

We now have two injective maps $\tilde{\sigma}_{2 \rightarrow 1}^{\square} : \tilde{\mathcal{T}}(m+k, m, 0)^{-} \rightarrow \tilde{\mathcal{T}}(m+k+1, m-1, 0)^{+}$ and $\tilde{\sigma}_{3 \rightarrow 2}^{\square} : \tilde{\mathcal{T}}(m+k+1, m-2, 1)^{-} \rightarrow \tilde{\mathcal{T}}(m+k+1, m-1, 0)^{+}$. However, $\tilde{\sigma}_{2 \rightarrow 1}^{\square}(\tilde{\mathcal{T}}(m+k, m, 0)^{-})$ and $\tilde{\sigma}_{3 \rightarrow 2}^{\square}(\tilde{\mathcal{T}}(m+k+1, m-2, 1)^{-})$ may intersect. We hence let $\phi_1 := \tilde{\sigma}_{2 \rightarrow 1}^{\square}$ and then modify $\tilde{\sigma}_{3 \rightarrow 2}^{\square}$ to define $\phi_2 : \tilde{\mathcal{T}}(m+k+1, m-2, 1)^{-} \rightarrow \tilde{\mathcal{T}}(m+k+1, m-1, 0)^{+}$ so that

$$\phi_1(\tilde{\mathcal{T}}(m+k, m, 0)^{-}) \cap \phi_2(\tilde{\mathcal{T}}(m+k+1, m-2, 1)^{-}) = \emptyset.$$

We give the definition of ϕ_2 in Definition 33 after we do some necessary background work.

We divide $\phi_1(\tilde{\mathcal{T}}(m+k, m, 0)^{-})$ into two parts according to the properties of the pre-images: When we adopt the names for the entries of $T \in \tilde{\mathcal{T}}((m+k, m, 0)^{-})$ as in Definition 24, let

$$\begin{aligned}\tilde{\mathcal{T}}^{+,1} &= \{\phi_1(T) \mid d_1 \not\succ c_2 \text{ in } T \in \tilde{\mathcal{T}}((m+k, m, 0)^{-})\}, \\ \tilde{\mathcal{T}}^{+,2} &= \{\phi_1(T) \mid d_1 \succ c_2 \text{ in } T \in \tilde{\mathcal{T}}((m+k, m, 0)^{-})\}\end{aligned}$$

be the images of the sets of tableaux satisfying the conditions $\langle 1 \rangle$ and $\langle 2 \rangle$ in Definition 24, respectively. We also let for $0 \leq i \leq \min(k-1, m-2)$,

$$\tilde{\mathcal{T}}^{+,2(i)} := \{\phi_1(T) \in \tilde{\mathcal{T}}^{+,2} \mid d_1^{(j+1)} \succ b_2^{(j)} \text{ for all } j = -1, \dots, i-1, \text{ but } d_1^{(i+1)} \not\succ b_2^{(i)} \text{ in } T\},$$

$$\text{and } \tilde{\mathcal{T}}^{+,2(\infty)} := \{\phi_1(T) \in \tilde{\mathcal{T}}^{+,2} \mid d_1^{(j+1)} \succ b_2^{(j)} \text{ for all } j = 0, \dots, m-2, \text{ in } T\}$$

be the images of the sets of tableaux satisfying the conditions $\langle 2-i \rangle$ and $\langle 2-\infty \rangle$ in Definition 24, respectively.

Then, we have the following lemmas whose proofs are given in Section 5.

Lemma 31. The image $\tilde{\sigma}_{3 \rightarrow 2}^{\square}(\tilde{\mathcal{T}}(m+k+1, m-2, 1)^{-})$ of $\tilde{\sigma}_{3 \rightarrow 2}^{\square}$ is disjoint from $\tilde{\mathcal{T}}^{+,2}$.

Lemma 32. The image of $\tilde{\sigma}_{3 \rightarrow 2}^{\square}$ restricted to the case (3) in Definition 27 is disjoint from $\tilde{\mathcal{T}}^{+,1}$.

Definition 33. We define $\phi_2 : \tilde{\mathcal{T}}(m+k+1, m-2, 1)^{-} \rightarrow \tilde{\mathcal{T}}(m+k+1, m-1, 0)^{+}$ for two integers $m \geq 3$ and $k \geq 0$ as follows: Let

$$S = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & & & & & & & & \\ \hline a_3 & & & & & & & & & & & & \\ \hline \end{array}$$

be an f -tableau in $\tilde{\mathcal{T}}(m+k+1, m-2, 1)^{-}$.

(1) When $b_1 \prec a_3$, set

$$R_0 := \tilde{\sigma}_{3 \rightarrow 2}^\square(S) = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}.$$

- If $R_0 \notin \tilde{\mathcal{T}}^{+,1}$, then we let $\phi_2(S) = R_0$.
- If $R_0 \in \tilde{\mathcal{T}}^{+,1}$, then set

$$R_1 := \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & a_2 \\ \hline e_1 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}.$$

- If $R_1 \notin \tilde{\mathcal{T}}^{+,2}$, then we let $\phi_2(S) = R_1$.
- If $R_1 \in \tilde{\mathcal{T}}^{+,2(i)}$ for some $0 \leq i < m-2$, then we let $\phi_2(S)$ be, where $b_2^{(0)} = a_3, d_1^{(0)} = d_1$,

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & \dots & b_1^{(i+1)} & b_1^{(i)} & \dots & b_1^{(1)} & b_1 & c_1 & \dots & d_1^{(i+1)} & b_2^{(i)} & \dots & b_2^{(1)} & a_3 & e_1 \\ \hline a_2 & \dots & b_2^{(i+1)} & d_1^{(i)} & \dots & d_1^{(1)} & d_1 & & & & & & & & \\ \hline \end{array}.$$

- If $R_1 \in \tilde{\mathcal{T}}^{+,2(m-2)}$, then we let $\phi_2(S)$ be

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(1)} & b_1 & c_1 & \dots & d_1^{(m)} & d_1^{(m-1)} & e_1 & b_2^{(m-3)} & \dots & b_2^{(1)} & a_3 & d_1^{(m-2)} \\ \hline a_2 & d_1^{(m-3)} & \dots & d_1^{(1)} & d_1 & & & & & & & & & & \\ \hline \end{array}.$$

- If $R_1 \in \tilde{\mathcal{T}}^{+,2(\infty)}$, then we let $\phi_2(S)$ be

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(1)} & b_1 & c_1 & \dots & d_1^{(m)} & a_3 & e_1 & b_2^{(m-3)} & \dots & b_2^{(1)} & d_1^{(m-1)} & d_1^{(m-2)} \\ \hline a_2 & d_1^{(m-3)} & \dots & d_1^{(1)} & d_1 & & & & & & & & & & \\ \hline \end{array}.$$

(2) When $b_1 \not\prec a_3$ and $b_1 \not\prec b_2^{(m-3)}$, set

$$Q_0 := \tilde{\sigma}_{3 \rightarrow 2}^\square(S) = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & a_2 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline b_1 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}.$$

- If $Q_0 \notin \tilde{\mathcal{T}}^{+,1}$, then we let $\phi_2(S) = Q_0$.

- If $Q_0 \in \tilde{\mathcal{T}}^{+,1}$, then we let

$$\phi_2(S) = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & a_2 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & \textcolor{red}{b}_1 \\ \hline \textcolor{red}{e}_1 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}.$$

(3) When $b_1 \not\succ a_3$ and $b_1 \succ b_2^{(m-3)}$, then we let $\phi_2(S) = \tilde{\sigma}_{3 \rightarrow 2}^\square(S)$.

A proof of the following proposition is given in Section 5.

Proposition 34. *The map $\phi_2 : \tilde{\mathcal{T}}(m+k+1, m-2, 1)^- \rightarrow \tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$ in Definition 33 is a well defined injective map and satisfies the following property;*

$$\phi_1(\tilde{\mathcal{T}}(m+k, m, 0)^-) \cap \phi_2(\tilde{\mathcal{T}}(m+k+1, m-2, 1)^-) = \emptyset,$$

where ϕ_1 is the injective map $\tilde{\sigma}_{2 \rightarrow 1}^\square : \tilde{\mathcal{T}}(m+k, m, 0)^- \rightarrow \tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$ defined in Definition 24.

Now, if we define the map

$$\phi : \tilde{\mathcal{T}}(m+k, m, 0)^- \cup \tilde{\mathcal{T}}(m+k+1, m-2, 1)^- \rightarrow \tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$$

by

$$\phi|_{\tilde{\mathcal{T}}(m+k, m, 0)^-} = \phi_1 \quad \text{and} \quad \phi|_{\tilde{\mathcal{T}}(m+k+1, m-2, 1)^-} = \phi_2,$$

then ϕ is an injective map. This completes a proof of Theorem 8 in **Case I**. $\square$

We close this subsection(Case I) with an example that illustrates the definitions of maps ϕ_1 and ϕ_2 .

Example 35. Let $f = (2, 3, 5, 6, 7, 8, 8, 8)$ be the Hessenberg function we considered in Example 22. We let $m = 3$ and $k = 2$ in Case I, whose diagrams are given in Figure 9.

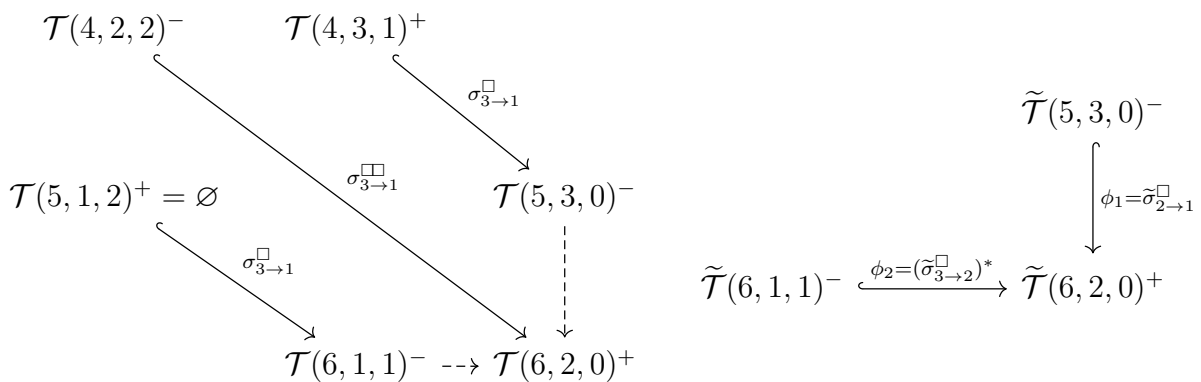


Figure 9: An example of Case I.

We let $T_1 = \begin{array}{|c|c|c|c|c|} \hline 1 & 3 & 2 & 6 & 5 \\ \hline 4 & 8 & 7 & & \\ \hline \end{array}$, $T_2 = \begin{array}{|c|c|c|c|c|} \hline 1 & 3 & 2 & 8 & 7 \\ \hline 5 & 6 & 4 & & \\ \hline \end{array}$, and $T_3 = \begin{array}{|c|c|c|c|c|} \hline 3 & 2 & 1 & 7 & 8 \\ \hline 6 & 4 & 5 & & \\ \hline \end{array}$

be f -tableaux in $\widetilde{\mathcal{T}}(5, 3, 0)^-$. Note that $T_i, i = 1, 2, 3$, are not in $\mathcal{T}(4, 3, 1)^+$ because $4 \not\prec 5$, $5 \not\prec 7$, $6 \not\prec 8$, respectively.

Then,

- T_1 is of type $\langle 1 \rangle$ and $\phi_1(T_1) = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 3 & 2 & 6 & 5 & 7 \\ \hline 4 & 8 & & & & \\ \hline \end{array}$ since $5 \not\prec 7$.
- T_2 is type $\langle 2-0 \rangle$ and $\phi_1(T_2) = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 3 & 2 & 8 & 6 & 4 \\ \hline 5 & 7 & & & & \\ \hline \end{array}$ since $4 \prec 7$ but $6 \not\prec 8$.
- T_3 is type $\langle 2-1 \rangle$ and $\phi_1(T_3) = \begin{array}{|c|c|c|c|c|c|} \hline 3 & 2 & 1 & 6 & 4 & 5 \\ \hline 7 & 8 & & & & \\ \hline \end{array}$ since $5 \prec 8$ and $4 \prec 7$ but $6 \not\prec 1$.

It is easy to check that $\phi_1(T_i) \notin \sigma_{3 \rightarrow 1}^{\square}(\mathcal{T}(4, 2, 2)^-)$ for $i = 1, 2, 3$. Note that type $\langle 2-\infty \rangle$ does not occur because $m - 2 = 1 = k - 1$.

We let $S_1 = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 3 & 2 & 5 & 8 & 6 \\ \hline 4 & & & & & \\ \hline 7 & & & & & \\ \hline \end{array}$, $S_2 = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 3 & 2 & 6 & 5 & 7 \\ \hline 4 & & & & & \\ \hline 8 & & & & & \\ \hline \end{array}$, and

$S_3 = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 5 & 3 & 2 & 6 & 8 \\ \hline 4 & & & & & \\ \hline 7 & & & & & \\ \hline \end{array}$ be f -tableaux in $\widetilde{\mathcal{T}}(6, 1, 1)^-$. Then

- S_1 is of type (1) and $\widetilde{\sigma}_{3 \rightarrow 2}^{\square}(S_1) = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 3 & 2 & 5 & 8 & 6 \\ \hline 4 & 7 & & & & \\ \hline \end{array} = R_0$. We can check that $R_0 \notin \widetilde{\mathcal{T}}^{+,1}$ since $4 \prec 8$; if $R_0 = \phi_1(T) = \widetilde{\sigma}_{2 \rightarrow 1}^{\square}(T)$ then T must be in $\mathcal{T}(4, 3, 1)^+$. Hence $\phi_2(S_1) = R_0$.

- S_2 is of type (1) and $\widetilde{\sigma}_{3 \rightarrow 2}^{\square}(S_2) = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 3 & 2 & 6 & 5 & 7 \\ \hline 4 & 8 & & & & \\ \hline \end{array} = R_0$. Then $R_0 = \phi_1(T_1)$

for $T_1 \in \widetilde{\mathcal{T}}(5, 3, 0)^-$ given above. Hence we set $R_1 := \begin{array}{|c|c|c|c|c|c|} \hline 1 & 3 & 2 & 6 & 5 & 4 \\ \hline 7 & 8 & & & & \\ \hline \end{array}$, and

$R_1 \notin \widetilde{\mathcal{T}}^{+,2}$ because $3 \not\prec 5$. Therefore $\phi_2(S_1) = R_1$.

- S_3 is of type (2) and $\widetilde{\sigma}_{3 \rightarrow 2}^{\square}(S_3) = \begin{array}{|c|c|c|c|c|c|} \hline 1 & 4 & 3 & 2 & 6 & 8 \\ \hline 5 & 7 & & & & \\ \hline \end{array} = Q_0$. Then we can check

that $Q_0 \in \widetilde{\mathcal{T}}^{+,1}$; since $Q_0 = \phi_1(T)$ for $T = \begin{array}{|c|c|c|c|c|} \hline 1 & 4 & 3 & 2 & 6 \\ \hline 5 & 7 & 8 & & \\ \hline \end{array} \in \widetilde{\mathcal{T}}(5, 3, 0)^-$. Thus

we let $\phi_2(S_3) =$

1	4	3	2	6	5
8	7				

.

4.2 Case II

Assume that $\mu_1 = \mu_2 + 1$ and $\mu_2 \neq \mu_3 + 1$. Then from the diagram, Figure 4, of $\mathcal{K}_\alpha \cup \mathcal{K}_\beta$, we need to injectively map the f -tableaux in $\mathcal{T}(\mu_1 - 1, \mu_2, \mu_3 + 1) \cup \mathcal{T}(\mu_1, \mu_2 - 1, \mu_3 + 1)$ into $\mathcal{T}(\mu_1 - 1, \mu_2 - 1, \mu_3 + 2) \cup \mathcal{T}(\mu_1, \mu_2, \mu_3)$. Note that, if $\mu_2 = \mu_3$ then (μ_1, μ_2, μ_3) is the only partition in the diagram of $\mathcal{K}_\alpha \cup \mathcal{K}_\beta$ and c_μ is nonnegative. Thus we assume that $\mu_2 > \mu_3 + 1$.

$$\begin{array}{ccc}
 \mathcal{T}(\mu_1 - 1, \mu_2 - 1, \mu_3 + 2)^+ & & \mathcal{T}(\mu_1 - 1, \mu_2, \mu_3 + 1)^- \\
 \searrow \sigma_{3 \rightarrow 1}^\square & & \searrow \sigma_{3 \rightarrow 1}^\square \\
 & \mathcal{T}(\mu_1, \mu_2 - 1, \mu_3 + 1)^- \dashrightarrow \mathcal{T}(\mu_1, \mu_2, \mu_3)^+ &
 \end{array}$$

Figure 10: Case II.

By Lemma 21, we have

$\mathcal{T}(\mu_1 - 1, \mu_2, \mu_3 + 1)^- \simeq \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(\mu_1 - 1, \mu_2, \mu_3 + 1)^-) \subseteq \mathcal{T}(\mu_1, \mu_2, \mu_3)^+$, and $\mathcal{T}(\mu_1 - 1, \mu_2 - 1, \mu_3 + 2)^+ \simeq \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(\mu_1 - 1, \mu_2 - 1, \mu_3 + 2)^+) \subseteq \mathcal{T}(\mu_1, \mu_2 - 1, \mu_3 + 1)^-$, as described in Figure 10. Hence, we are left to define an injection ϕ from $\tilde{\mathcal{T}}(\mu_1, \mu_2 - 1, \mu_3 + 1)^- = \mathcal{T}(\mu_1, \mu_2 - 1, \mu_3 + 1)^- - \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(\mu_1 - 1, \mu_2 - 1, \mu_3 + 2)^+)$ to $\tilde{\mathcal{T}}(\mu_1, \mu_2, \mu_3)^+ = \mathcal{T}(\mu_1, \mu_2, \mu_3)^+ - \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(\mu_1 - 1, \mu_2, \mu_3 + 1)^-)$.

Note that, a tableau T in $\tilde{\mathcal{T}}(\mu_1, \mu_2 - 1, \mu_3 + 1)^-$ can be written as $T = R \cup S$ where $R \in \mathcal{T}(\mu_3, \mu_3, \mu_3)$ and $S \in \mathcal{T}(\mu_1 - \mu_3, \mu_2 - \mu_3 - 1, 1)$. Since $\mu_2 - 1 = \mu_1 - 2$, and we will manipulate only S to define the image $\phi(T)$ of T , we may assume that $(\mu_1, \mu_2 - 1, \mu_3 + 1) = (m + 2, m, 1)$ for $m \geq 1$.

Proof of Theorem 8 in Case II. We first give a definition of $\phi : \tilde{\mathcal{T}}(m + 2, m, 1)^- \rightarrow \tilde{\mathcal{T}}(m + 2, m + 1, 0)^+$, with the reasoning stated in parentheses that the given tableaux are contained in $\tilde{\mathcal{T}}(m + 2, m + 1, 0)^+$.

Let $S =$

a_1	b_1	$\cdots$	c_1	d_1	e_1
a_2	b_2	$\cdots$	c_2		
a_3					

be an element of $\tilde{\mathcal{T}}(m + 2, m, 1)^-$.

Then, note that $b_2 \not\prec e_1$ since $S \notin \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(m + 1, m, 2))$.

(1) When $d_1 \prec a_3$,

(1-1) if $a_2 \not\prec e_1$, then let

$$\phi(S) = \begin{array}{|c|c|c|c|c|c|} \hline a_1 & b_1 & \cdots & c_1 & d_1 & e_1 \\ \hline a_2 & b_2 & \cdots & c_2 & a_3 & \\ \hline \end{array}, \text{ and}$$

(1-2) if $a_2 \prec e_1$, then let

$$\phi(S) = \begin{array}{|c|c|c|c|c|c|} \hline a_1 & b_1 & \cdots & c_1 & d_1 & a_2 \\ \hline e_1 & b_2 & \cdots & c_2 & a_3 & \\ \hline \end{array}.$$

(Since $d_1 \in P_1 \cup P_2$ and $a_2 \in P_2$, we have $d_1 \not\prec a_2$.)

(2) When $d_1 \not\prec a_3$ and $d_1 \not\prec b_2$, (In this case we have $a_1 \prec d_1$ because $a_1 \prec a_2 \prec a_3$ and $d_1 \not\prec a_3$.)

(2-1) if $d_1 \not\prec e_1$, then let

$$\phi(S) = \begin{array}{|c|c|c|c|c|c|} \hline a_1 & b_1 & \cdots & c_1 & a_2 & e_1 \\ \hline d_1 & b_2 & \cdots & c_2 & a_3 & \\ \hline \end{array}, \text{ and}$$

(2-2) if $d_1 \prec e_1$, then let

$$\phi(S) = \begin{array}{|c|c|c|c|c|c|} \hline a_1 & b_1 & \cdots & c_1 & a_2 & d_1 \\ \hline e_1 & b_2 & \cdots & c_2 & a_3 & \\ \hline \end{array}.$$

(Since $d_1 \not\prec a_3$ and $d_1 \prec e_1$, $d_1 \in P_2$ and thus $a_2 \not\prec d_1$.)

(3) When $d_1 \not\prec a_3$ and $d_1 \succ b_2$,

(3-1) if $a_1 \prec e_1$, then let

$$\phi(S) = \begin{array}{|c|c|c|c|c|c|} \hline a_1 & b_1 & \cdots & c_1 & a_2 & d_1 \\ \hline e_1 & b_2 & \cdots & c_2 & a_3 & \\ \hline \end{array}, \text{ and}$$

(3-2) if $a_1 \not\prec e_1$, then let

$$\phi(S) = \begin{array}{|c|c|c|c|c|c|} \hline a_1 & b_1 & \cdots & c_1 & e_1 & d_1 \\ \hline a_2 & b_2 & \cdots & c_2 & a_3 & \\ \hline \end{array}.$$

(Since $a_1 \prec a_2 \prec a_3$ and $a_1 \not\prec e_1$, we have $e_1 \prec a_3$. Since $b_1 \prec b_2 \prec d_1$, we have $d_1 \in P_3$. Since $e_1 \prec a_3$ and $d_1 \not\prec e_1$, we have $e_1 \in P_2$. Thus $c_1 \not\prec e_1$ and $e_1 \not\prec d_1$.)

We defined a map $\phi : \tilde{\mathcal{T}}(m+2, m, 1)^- \rightarrow \tilde{\mathcal{T}}(m+2, m+1, 0)^+$ and we now check that ϕ is injective: In each case (1-1) through (3-2), it is clear that ϕ is injective. We hence show that the image sets of ϕ for different cases are disjoint.

The image of S of type (1) or of type (2) (respectively, of type (3)) is contained in the set of f -tableaux

α_1	β_1	$\cdots$	γ_1	δ_1	ϵ_1
α_2	β_2	$\cdots$	γ_2	δ_2	

such that $\beta_2 \not\prec \epsilon_1$ (respectively, $\beta_2 \prec \epsilon_1$).

The image of S of type (3-1) (respectively, of type (3-2)) is contained in the set of f -tableaux

α_1	β_1	$\cdots$	γ_1	δ_1	ϵ_1
α_2	β_2	$\cdots$	γ_2	δ_2	

such that $\alpha_1 \prec \delta_1$ (respectively, $\alpha_1 \not\prec \delta_1$).

The image of S of type (1-2) (respectively, of type (1-2) or of type (2)) is contained in the set of f -tableaux

α_1	β_1	$\cdots$	γ_1	δ_1	ϵ_1
α_2	β_2	$\cdots$	γ_2	δ_2	

such that $\alpha_2 \prec \delta_2$ (respectively, $\alpha_2 \not\prec \delta_2$).

The image of S of type (1-2) or of type (2-2) (respectively, of type (2-1)) is contained in the set of f -tableaux

α_1	β_1	$\cdots$	γ_1	δ_1	ϵ_1
α_2	β_2	$\cdots$	γ_2	δ_2	

such that $\alpha_2 \succ \epsilon_1$ (respectively, $\alpha_2 \not\succ \epsilon_1$).

The image of S of type (1-2) (respectively, of type (2-2)) is contained in the set of f -tableaux

α_1	β_1	$\cdots$	γ_1	δ_1	ϵ_1
α_2	β_2	$\cdots$	γ_2	δ_2	

such that $\delta_2 \succ \epsilon_1$ (respectively, $\delta_2 \not\succ \epsilon_1$).

This completes our proof of Theorem 8 in Case II. □

4.3 Case III

Assume that $\mu_1 \neq \mu_2 + 1$ and $\mu_2 = \mu_3 + 1$. Then, as in **Case II** it is enough to define an injective map ϕ

from $\tilde{\mathcal{T}}(\mu_1 - 1, \mu_2 + 1, \mu_3)^- = \mathcal{T}(\mu_1 - 1, \mu_2 + 1, \mu_3)^- - \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(\mu_1 - 2, \mu_2 + 1, \mu_3 + 1)^+)$
to $\tilde{\mathcal{T}}(\mu_1, \mu_2, \mu_3)^+ = \mathcal{T}(\mu_1, \mu_2, \mu_3)^+ - \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(\mu_1 - 1, \mu_2, \mu_3 + 1)^-)$,

as one can see in Figure 11. Also note that we may assume $(\mu_1 - 1, \mu_2 + 1, \mu_3) = (2 + k, 2, 0)$ for $k \geq 1$.

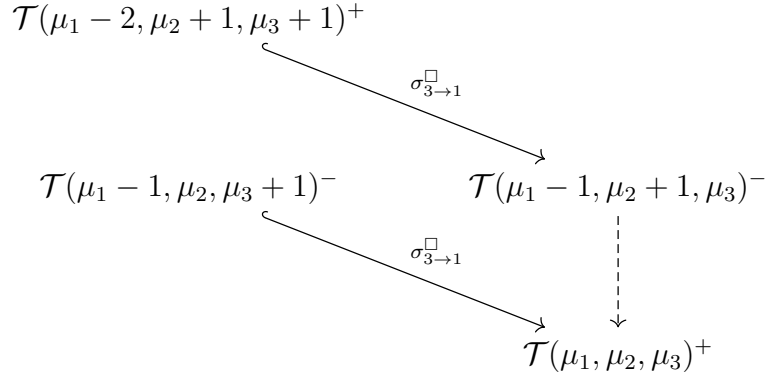


Figure 11: Case III.

*Proof of Theorem 8 in **Case III**.* We first give a definition of $\phi : \tilde{\mathcal{T}}(2+k, 2, 0)^- \rightarrow \tilde{\mathcal{T}}(3+k, 1, 0)^+$, with the reasoning stated in parentheses that the given tableaux are contained in $\tilde{\mathcal{T}}(3+k, 1, 0)^+$.

Let $T = \begin{array}{|c|c|c|c|c|} \hline a_1 & b_1 & c_1 & \cdots & d_1 \\ \hline a_2 & b_2 & & & \\ \hline \end{array}$ be an element of $\tilde{\mathcal{T}}(2+k, 2, 0)^-$.

That is, T is an f -tableau and $a_2 \not\prec d_1$.

$\langle 1 \rangle$ When $d_1 \not\prec b_2$,

$\langle 1-1 \rangle$ if $a_2 \not\prec b_2$, then let

$$\phi(T) = \begin{array}{|c|c|c|c|c|c|} \hline a_1 & b_1 & c_1 & \cdots & d_1 & b_2 \\ \hline a_2 & & & & & \\ \hline \end{array}, \text{ and}$$

(Since $d_1 \not\prec b_2$ and $a_2 \not\prec b_2$, we have $\phi(T) \in \tilde{\mathcal{T}}(3+k, 1, 0)$.)

$\langle 1-2 \rangle$ if $a_2 \prec b_2$, then let

$$\phi(T) = \begin{array}{|c|c|c|c|c|c|} \hline a_1 & b_1 & c_1 & \cdots & d_1 & a_2 \\ \hline b_2 & & & & & \\ \hline \end{array}.$$

(Since $a_1 \prec a_2 \prec b_2$ and $a_2 \not\prec d_1$, $\phi(T)$ is an f -tableau.)

$\langle 2 \rangle$ When $d_1 \succ b_2$, (then, since $a_1 \not\prec b_1$ and $b_2 \succ b_1$, we have $a_1 < b_2$ and thus $a_1 \prec d_1$)

$\langle 2-1 \rangle$ if $a_1 \not\prec b_2$ and $a_1 \not\prec c_1$, then let

$$\phi(T) = \begin{array}{|c|c|c|c|c|c|} \hline b_1 & a_1 & c_1 & \cdots & d_1 & a_2 \\ \hline b_2 & & & & & \\ \hline \end{array},$$

(Since $b_1 \prec b_2$, we have $b_1 < a_1$.)

$\langle 2-2 \rangle$ if $a_1 \not\prec b_2$ and $a_1 \succ c_1$, then let

$$\phi(T) = \begin{array}{|c|c|c|c|c|c|} \hline a_1 & b_1 & c_1 & \cdots & a_2 & b_2 \\ \hline d_1 & & & & & \\ \hline \end{array}, \text{ and}$$

(Since $c_1 \prec a_1 \prec a_2$, we have $a_2 \in P_3$)

$\langle 2-3 \rangle$ if $a_1 \prec b_2$, then let

$$\phi(T) = \begin{array}{|c|c|c|c|c|c|} \hline a_1 & b_1 & c_1 & \cdots & d_1 & a_2 \\ \hline b_2 & & & & & \\ \hline \end{array}.$$

Then ϕ is a map from $\tilde{\mathcal{T}}(2+k, 2, 0)$ to $\tilde{\mathcal{T}}(3+k, 1, 0)$. It remains to show that ϕ is injective.

The image of T of type $\langle 1 \rangle$ or of type $\langle 2-2 \rangle$ (respectively, of type $\langle 2-1 \rangle$ or of type $\langle 2-3 \rangle$) is contained in the set of f -tableaux

$$\begin{array}{|c|c|c|c|c|c|} \hline \alpha_1 & \beta_1 & \gamma_1 & \cdots & \delta_1 & \epsilon_1 \\ \hline \alpha_2 & & & & & \\ \hline \end{array}$$

such that $\alpha_2 \not\prec \delta_1$ (respectively, $\alpha_2 \prec \delta_1$). (If T is of type $\langle 2-2 \rangle$, then both δ_1 and α_2 are elements of P_3 and thus $\delta_1 \not\prec \alpha_2$)

The image of T of type $\langle 1-1 \rangle$ (respectively, of type $\langle 1-2 \rangle$ or of type $\langle 2-2 \rangle$) is contained in the set of f -tableaux

$$\begin{array}{|c|c|c|c|c|c|} \hline \alpha_1 & \beta_1 & \gamma_1 & \cdots & \delta_1 & \epsilon_1 \\ \hline \alpha_2 & & & & & \\ \hline \end{array}$$

such that $\alpha_2 \not\prec \epsilon_1$ (respectively, $\alpha_2 \succ \epsilon_1$).

The image of T of type $\langle 1-2 \rangle$ (respectively, of type $\langle 2-2 \rangle$) is contained in the set of f -tableaux

$$\begin{array}{|c|c|c|c|c|c|} \hline \alpha_1 & \beta_1 & \gamma_1 & \cdots & \delta_1 & \epsilon_1 \\ \hline \alpha_2 & & & & & \\ \hline \end{array}$$

such that $\alpha_1 \prec \epsilon_1$ (respectively, $\alpha_1 \not\prec \epsilon_1$).

The image of T of type $\langle 2-1 \rangle$ (respectively, of type $\langle 2-3 \rangle$) is contained in the set of f -tableaux

$$\begin{array}{|c|c|c|c|c|c|} \hline \alpha_1 & \beta_1 & \gamma_1 & \cdots & \delta_1 & \epsilon_1 \\ \hline \alpha_2 & & & & & \\ \hline \end{array}$$

such that $\alpha_2 \not\prec \beta_1$ (respectively, $\alpha_2 \succ \beta_1$).

Therefore, ϕ is an injective map from $\tilde{\mathcal{T}}(2+k, 2, 0)$ to $\tilde{\mathcal{T}}(3+k, 1, 0)$. □

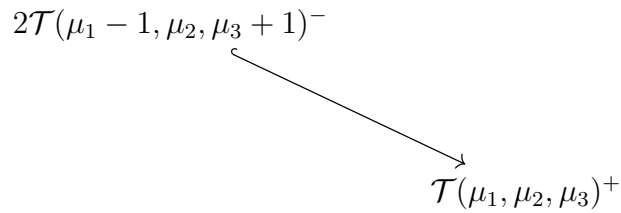


Figure 12: Case IV.

4.4 Case IV

Assume $\mu_1 = \mu_2 + 1$ and $\mu_2 = \mu_3 + 1$. Then we have the diagram given in Figure 12, where $2\mathcal{T}(\mu_1 - 1, \mu_2, \mu_3 + 1)^-$ means two copies of the set $\mathcal{T}(\mu_1 - 1, \mu_2, \mu_3 + 1)^-$.

*Proof of Theorem 8 in **Case IV**.* Since $2(\mu_1 - 1, \mu_2, \mu_3 + 1)$ have negative sign and (μ_1, μ_2, μ_3) have positive sign in the diagram of $\cup_{\alpha \in \mathcal{C}_\mu} \mathcal{K}_\alpha$, we need to show that

$$2d(\mu_1 - 1, \mu_2, \mu_3 + 1) \leq d(\mu_1, \mu_2, \mu_3),$$

where $d(\lambda)$ is the number of f -tableaux of shape λ . We define two injective maps from $\mathcal{T}(\mu_1 - 1, \mu_2, \mu_3 + 1)$ to $\mathcal{T}(\mu_1, \mu_2, \mu_3)$ so that the image sets are disjoint. Note that $\mu_1 - 1 = \mu_2 = \mu_3 + 1$. Hence if T is an f -tableau in $\mathcal{T}(\mu_1 - 1, \mu_2, \mu_3 + 1)$ then the last column of T must have length 3, say $\begin{array}{|c|} \hline c_1 \\ \hline c_2 \\ \hline c_3 \\ \hline \end{array}$ such that $c_1 \prec c_2 \prec c_3$.

We let $\phi_1(T), \phi_2(T)$ be the tableaux of shape (μ_1, μ_2, μ_3) such that the first $\mu_2 - 1$ columns are the same as the ones of T and the last two columns are $\begin{array}{|c|c|} \hline c_1 & c_2 \\ \hline c_3 & \\ \hline \end{array}$ and $\begin{array}{|c|c|} \hline c_1 & c_3 \\ \hline c_2 & \\ \hline \end{array}$, respectively.

Then it is easy to check that $\phi_1(T), \phi_2(T)$ are f -tableaux in $\mathcal{T}(\mu_1, \mu_2, \mu_3)$ and ϕ_1 and ϕ_2 are injective with disjoint image sets. $\square$

5 Proofs of Lemmas and a Proposition in Section 4.1

In this section, we prove Lemma 29, Lemma 30, Lemma 31, Lemma 32 and Proposition 34, stated in Section 4.

Proof of Lemma 29. Let

$$T = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & b_2 & c_2 & & & & & \\ \hline \end{array}$$

be an f -tableau in $\tilde{\mathcal{T}}(m+k, m, 0)^-$. Here, $a_1 = b_1^{(m-2)}$, $a_2 = b_2^{(m-2)}$ and $c_1 = d_1^{(k)}$. Then $a_2 \not\prec d_1$ since $T \notin \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(m+k-1, m, 1)^+)$.

If T is of type $\langle 1 \rangle$, then $\tilde{\sigma}_{2 \rightarrow 1}^\square(T)$ is an f -tableau and, furthermore, is an element of $\tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$ because $a_2 \not\prec d_1$.

If T is of type $\langle 2-i \rangle$, then, since $d_1 \succ c_2 \succ c_1$ and $b_1 \not\prec c_1$, we have $d_1 \succ b_1$. Similarly, $d_1^{(j+1)} \succ b_1^{(j+1)}$ for all $j = -1, 0, \dots, i-1$. From $d_1^{(i)} \in P_3$ and $c_1 \in P_1$, it follows that $\tilde{\sigma}_{2 \rightarrow 1}^\square(T)$ is an f -tableau. Since $c_2 \in P_2$, $\tilde{\sigma}_{2 \rightarrow 1}^\square(T)$ is an element of $\tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$.

If T is of type $\langle 2-\infty \rangle$, then, since $d_1 \not\prec a_2$ and $d_1^{(m-1)} \succ a_2$, we have $d_1^{(m-1)} \succ d_1$ and thus $b_1 \prec d_1^{(m-1)}$ and $c_2 \prec d_1^{(m-1)}$. Hence $\tilde{\sigma}_{2 \rightarrow 1}^\square(T)$ is an element of $\tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$.

The image of T of type $\langle 1 \rangle$ (respectively, of type $\langle 2 \rangle$) is contained in the set of tableaux

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline \alpha_1 & \beta_1^{(m-3)} & \dots & \beta_1^{(2)} & \beta_1^{(1)} & \beta_1 & \gamma_1 & \delta_1^{(k-1)} & \dots & \delta_1^{(2)} & \delta_1^{(1)} & \delta_1 & \epsilon_1 \\ \hline \alpha_2 & \beta_2^{(m-3)} & \dots & \beta_2^{(2)} & \beta_2^{(1)} & \beta_2 & & & & & & & \\ \hline \end{array}$$

such that $\beta_2 \not\prec \epsilon_1$ (respectively, $\beta_2 \succ \epsilon_1$).

If T is of type $\langle 2-i \rangle$, i.e., there is $i \leq \min(k-1, m-2)$ such that $d_1^{(j+1)} \succ b_2^{(j)}$ for all $j = -1, 0, \dots, i-1$ but $d_1^{(i+1)} \not\prec b_2^{(i)}$, then $\tilde{\sigma}_{2 \rightarrow 1}^\square(T)$ is contained in the set of tableaux

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline \alpha_1 & \beta_1^{(m-3)} & \dots & \beta_1^{(2)} & \beta_1^{(1)} & \beta_1 & \gamma_1 & \delta_1^{(k-1)} & \dots & \delta_1^{(2)} & \delta_1^{(1)} & \delta_1 & \epsilon_1 \\ \hline \alpha_2 & \beta_2^{(m-3)} & \dots & \beta_2^{(2)} & \beta_2^{(1)} & \beta_2 & & & & & & & \\ \hline \end{array}$$

such that $\beta_2^{(j+1)} \succ \delta_1^{(j)}$ for all $j = 0, \dots, i-1$ and $\beta_2^{(i+1)} \not\prec \delta_1^{(i)}$. Therefore, $\tilde{\sigma}_{2 \rightarrow 1}^\square$ restricted to the set of tableau T of type $\langle 2-i \rangle$ is injective.

To show that the map $\tilde{\sigma}_{2 \rightarrow 1}^\square$ is injective, it suffices to show that the image of $\tilde{\sigma}_{2 \rightarrow 1}^\square$ restricted to the set of tableau of type $\langle 2-i \rangle$ with $i = (m-2)$ is disjoint of the image of $\tilde{\sigma}_{2 \rightarrow 1}^\square$ restricted to the set of tableau of type $\langle 2-\infty \rangle$. The first is of the form

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline \alpha_1 & \dots & \beta_1^{(2)} & \beta_1^{(1)} & \beta_1 & \gamma_1 & \dots & \delta_1^{(m)} & \delta_1^{(m-1)} & \beta_2^{(m-2)} & \dots & \beta_2^{(0)} & \gamma_2 \\ \hline \delta_1^{(m-2)} & \dots & \delta_1^{(2)} & \delta_1^{(1)} & \delta_1 & & & & & & & & \\ \hline \end{array}$$

with $\delta_1 \not\prec \beta_2^{(m-2)} = \alpha_2$, and the second is of the form

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline \alpha_1 & \dots & \beta_1^{(2)} & \beta_1^{(1)} & \beta_1 & \gamma_1 & \dots & \delta_1^{(m)} & \delta_1 & \beta_2^{(m-2)} & \dots & \beta_2^{(0)} & \gamma_2 \\ \hline \delta_1^{(m-2)} & \dots & \delta_1^{(2)} & \delta_1^{(1)} & \delta_1^{(m-1)} & & & & & & & & \\ \hline \end{array}$$

with $\delta_1^{(m-1)} \succ \beta_2^{(m-2)} = \alpha_2$. Consequently, $\tilde{\sigma}_{2 \rightarrow 1}^\square$ is injective. $\square$

Proof of Lemma 30. Let

$$S = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & & & & & & & & \\ \hline a_3 & & & & & & & & & & & & \\ \hline \end{array}$$

be an f -tableau in $\tilde{\mathcal{T}}(m+k+1, m-2, 1)$. Here, $a_1 = b_1^{(m-2)}$ and $a_2 = b_2^{(m-2)}$ and $c_1 = d_1^{(k)}$. Then, since $S \notin \sigma_{3 \rightarrow 1}^\square(\mathcal{T}(m+k-2, m, 2)^+)$ we have $b_2^{(m-3)} \not\prec e_1$.

Claim. If $b_1 \not\prec a_3$, then $a_1 \prec b_1$ and $a_2 \not\prec c_1$.

Proof of Claim. If $b_1 \not\prec a_3$, then, from $a_2 \prec a_3$ and $b_1 \not\prec a_3$, it follows that $a_2 < b_1$ and thus $a_1 \prec b_1$ (This is essentially the $(3+1)$ -free condition). Since $a_2 < b_1$ and $b_1^{(1)} \not\prec b_1$, we have $a_2 \not\prec c_1$. $\square$

If S is of type (1), then $\tilde{\sigma}_{3 \rightarrow 2}^\square(S)$ is an element of $\tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$.

If S is of type (2), then by **Claim.** together with the property $a_2 \in P_2$, $\tilde{\sigma}_{3 \rightarrow 2}^\square(S)$ is an element of $\tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$.

If S is of type (3), i.e., $b_1 \not\prec a_3$ and $b_1 \succ b_2^{(m-3)}$, then $b_1 \in P_3$ and thus $c_1 \in P_2 \cup P_3$.

Assume that S is of type (3) and $e_1 \not\prec a_3$ or $a_2 \prec d_1$. Then $a_1 \prec e_1$. To see this, use $a_1 \prec a_2 \prec a_3$ and $e_1 \not\prec a_3$ or $a_1 \prec a_2 \prec d_1$ and $e_1 \not\prec d_1$. Thus, if S is of type (3-1), then $\tilde{\sigma}_{3 \rightarrow 2}^\square(S)$ is an element of $\tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$.

Now assume that S is of type (3) and $e_1 \prec a_3$. If $b_1^{(1)} \not\prec e_1$, then

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & e_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & b_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}$$

is an element of $\tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$. Here, $e_1 \not\prec c_1$ follows from the property that $e_1 \in P_1 \cup P_2$ and $c_1 \in P_2 \cup P_3$.

If $b_1^{(1)} \succ e_1 = d_1^{(-1)}$ and $b_1^{(2)} \succ d_1 = d_1^{(0)}$, then, from $b_2^{(1)} \succ b_1^{(1)} \succ e_1$ and $d_1 \not\prec e_1$, it follows that $b_1^{(1)} > d_1$ and thus $d_1 \prec b_2^{(1)}$ and $d_1^{(1)} \not\prec b_1^{(1)}$. Therefore,

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & d_1 & e_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & b_1^{(1)} & b_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}$$

is an element of $\tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$. Similarly, if $b_1^{(1)} \succ e_1 = d_1^{(-1)}$, $b_1^{(2)} \succ d_1^{(0)} = d_1, \dots, b_1^{(i+1)} \succ d_1^{(i-1)}$, $b_1^{(i+2)} \not\prec d_1^{(i)}$, then

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & \dots & b_1^{(i+2)} & d_1^{(i)} & \dots & d_1^{(0)} & e_1 & c_1 & \dots & d_1^{(i+1)} & b_1^{(i+1)} & \dots & b_1^{(1)} & b_1 \\ \hline a_2 & \dots & b_2^{(i+2)} & b_2^{(i+1)} & \dots & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}$$

is an element of $\tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$. Thus, if S is of type (3-2), then $\tilde{\sigma}_{3 \rightarrow 2}^\square(S)$ is an element of $\tilde{\mathcal{T}}(m+k+1, m-1, 0)^+$.

The image of S of type (1) or of type (2) (respectively, of type (3)) is contained in the set of tableaux

α_1	$\beta_1^{(m-3)}$	$\dots$	$\beta_1^{(2)}$	$\beta_1^{(1)}$	β_1	γ_1	$\delta_1^{(k-1)}$	$\dots$	$\delta_1^{(2)}$	$\delta_1^{(1)}$	δ_1	ϵ_1
α_2	$\beta_2^{(m-3)}$	$\dots$	$\beta_2^{(2)}$	$\beta_2^{(1)}$	β_2							

such that $\beta_2^{(m-3)} \not\prec \epsilon_1$ (respectively, $\beta_2^{(m-3)} \prec \epsilon_1$).

The image of S of type (1) (respectively, of type (2)) is contained in the set of tableaux

α_1	$\beta_1^{(m-3)}$	$\dots$	$\beta_1^{(2)}$	$\beta_1^{(1)}$	β_1	γ_1	$\delta_1^{(k-1)}$	$\dots$	$\delta_1^{(2)}$	$\delta_1^{(1)}$	δ_1	ϵ_1
α_2	$\beta_2^{(m-3)}$	$\dots$	$\beta_2^{(2)}$	$\beta_2^{(1)}$	β_2							

such that $\alpha_2 \prec \beta_2$ (respectively, $\alpha_2 \not\prec \beta_2$).

The proof for the injectivity of $\tilde{\sigma}_{3 \rightarrow 2}^\square$ restricted to the set of f -tableaux S of type (3) is similar to the previous cases and we omit the proof. $\square$

Proof of Lemma 31. Any f -tableaux

α_1	$\beta_1^{(m-3)}$	$\dots$	$\beta_1^{(2)}$	$\beta_1^{(1)}$	β_1	γ_1	$\delta_1^{(k-1)}$	$\dots$	$\delta_1^{(2)}$	$\delta_1^{(1)}$	δ_1	ϵ_1
α_2	$\beta_2^{(m-3)}$	$\dots$	$\beta_2^{(2)}$	$\beta_2^{(1)}$	β_2							

in $\tilde{\mathcal{T}}^{+,2(i)}$ satisfies $\alpha_2 \not\prec \beta_2$, $\gamma_1 \prec \epsilon_1$, $\beta_2 \succ \epsilon_1$ and $\beta_1^{(j)} \prec \delta_1^{(j)} \prec \beta_2^{(j+1)}$ for $j = 0, \dots, i-1$, where $\delta_1^{(0)} := \delta_1$. Moreover, $\beta_1^{(i)} \prec \delta_1^{(i)} \not\prec \beta_2^{(i+1)}$ and $\delta_1^{(i+1)} \not\prec \beta_2^{(i)}$.

Any f -tableaux

α_1	$\beta_1^{(m-3)}$	$\dots$	$\beta_1^{(2)}$	$\beta_1^{(1)}$	β_1	γ_1	$\delta_1^{(k-1)}$	$\dots$	$\delta_1^{(2)}$	$\delta_1^{(1)}$	δ_1	ϵ_1
α_2	$\beta_2^{(m-3)}$	$\dots$	$\beta_2^{(2)}$	$\beta_2^{(1)}$	β_2							

in $\tilde{\mathcal{T}}^{+,2(\infty)}$ satisfies $\alpha_2 \not\prec \beta_2$, $\gamma_1 \prec \epsilon_1$ and $\beta_1^{(j)} \prec \delta_1^{(j)} \prec \beta_2^{(j+1)}$ for $j = 0, \dots, m-2$, where $\delta_1^{(0)} := \delta_1$, and $\beta_1 \prec \delta_1^{(m-1)}$. Furthermore, $\beta_2 \succ \epsilon_1$ by Remark 26.

Therefore, the set $\tilde{\mathcal{T}}^{+,2}$ is contained in the set of f -tableaux

α_1	$\beta_1^{(m-3)}$	$\dots$	$\beta_1^{(2)}$	$\beta_1^{(1)}$	β_1	γ_1	$\delta_1^{(k-1)}$	$\dots$	$\delta_1^{(2)}$	$\delta_1^{(1)}$	δ_1	ϵ_1
α_2	$\beta_2^{(m-3)}$	$\dots$	$\beta_2^{(2)}$	$\beta_2^{(1)}$	β_2							

such that $\alpha_2 \not\prec \beta_2$ and $\gamma_1 \prec \epsilon_1$ and $\beta_2 \succ \epsilon_1$.

The image $\tilde{\sigma}_{3 \rightarrow 2}^\square(S)$ of S of type (1) and (3-2) (respectively, of type (3-1)) in Definition 27 is an f -tableau

α_1	$\beta_1^{(m-3)}$	$\dots$	$\beta_1^{(2)}$	$\beta_1^{(1)}$	β_1	γ_1	$\delta_1^{(k-1)}$	$\dots$	$\delta_1^{(2)}$	$\delta_1^{(1)}$	δ_1	ϵ_1
α_2	$\beta_2^{(m-3)}$	$\dots$	$\beta_2^{(2)}$	$\beta_2^{(1)}$	β_2							

satisfying $\alpha_2 \prec \beta_2$ (respectively, $\gamma_1 \not\prec \epsilon_1$). Thus they are not contained in $\tilde{\mathcal{T}}^{+,2}$.

Suppose that the image

$$\tilde{\sigma}_{3 \rightarrow 2}^\square(S) :=$$

a_1	$b_1^{(m-3)}$	$\dots$	$b_1^{(2)}$	$b_1^{(1)}$	a_2	c_1	$d_1^{(k-1)}$	$\dots$	$d_1^{(2)}$	$d_1^{(1)}$	d_1	e_1
b_1	$b_2^{(m-3)}$	$\dots$	$b_2^{(2)}$	$b_2^{(1)}$	a_3							

of S of type (2) in Definition 27 is contained in $\tilde{\mathcal{T}}^{+,2}$. Then $c_1 \prec e_1 \prec a_3$ and $b_1 \not\prec a_3$ must hold, which implies that $c_1 \prec b_1$ by (3+1)-free condition in Lemma 15. This is a contradiction since $b_1 \not\prec c_1$ in S . Therefore, the image $\tilde{\sigma}_{3 \rightarrow 2}^\square(S)$ is not contained in $\tilde{\mathcal{T}}^{+,2}$. $\square$

Proof of Lemma 32. The set $\tilde{\mathcal{T}}^{+,1}$ consists of f -tableaux

α_1	$\beta_1^{(m-3)}$	$\dots$	$\beta_1^{(2)}$	$\beta_1^{(1)}$	β_1	γ_1	$\delta_1^{(k-1)}$	$\dots$	$\delta_1^{(2)}$	$\delta_1^{(1)}$	δ_1	ϵ_1
α_2	$\beta_2^{(m-3)}$	$\dots$	$\beta_2^{(2)}$	$\beta_2^{(1)}$	β_2							

such that $\gamma_1 \prec \epsilon_1$, $\beta_2 \not\prec \epsilon_1$ and $\alpha_2 \not\prec \delta_1$; where the last condition is from the fact that the f -tableaux in $\tilde{\mathcal{T}}^{+,1}$ are $\tilde{\sigma}_{2 \rightarrow 1}^\square(T)$ for $T \notin \tilde{\mathcal{T}}(m+k-1, m, 1)^+$. The image $\tilde{\sigma}_{3 \rightarrow 2}^\square(S)$ of S of type (3) is an f -tableau

α_1	$\beta_1^{(m-3)}$	$\dots$	$\beta_1^{(2)}$	$\beta_1^{(1)}$	β_1	γ_1	$\delta_1^{(k-1)}$	$\dots$	$\delta_1^{(2)}$	$\delta_1^{(1)}$	δ_1	ϵ_1
α_2	$\beta_2^{(m-3)}$	$\dots$	$\beta_2^{(2)}$	$\beta_2^{(1)}$	β_2							

satisfying $\gamma_1 \not\prec \epsilon_1$. $\square$

Proof of Proposition 34. Let

$$S = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \hline a_3 & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \hline \end{array}$$

be an f -tableau in $\tilde{\mathcal{T}}(m+k+1, m-2, 1)$. Then $b_2^{(m-3)} \not\prec e_1$ and here, $a_1 = b_1^{(m-2)}$ and $a_2 = b_2^{(m-2)}$.

(1) When $b_1 \prec a_3$, we set

$$R_0 := \tilde{\sigma}_{3 \rightarrow 2}^\square(S) = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}.$$

Then by Lemma 31, $R_0 \notin \tilde{\mathcal{T}}^{+,2}$.

- If $R_0 \notin \tilde{\mathcal{T}}^{+,1}$, then we let $\phi_2(S) = R_0$.
- If $R_0 \in \tilde{\mathcal{T}}^{+,1}$, i.e. $c_1 \prec e_1$, $a_3 \not\prec e_1$ and $a_2 \not\prec d_1$, then we set

$$R_1 := \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & a_2 \\ \hline e_1 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}.$$

Then R_1 is an f -tableau (because $a_3 \not\prec e_1$, $a_2 \not\prec d_1$ and $b_2^{(m-3)} \not\prec e_1$), and is not contained in $\tilde{\mathcal{T}}^{+,1}$ (because $a_3 \succ a_2$), and is not of the form R_0 (because $e_1 \not\prec a_3$).

- If $R_1 \notin \tilde{\mathcal{T}}^{+,2}$, then we let $\phi_2(S) = R_1$.
- If $R_1 \in \tilde{\mathcal{T}}^{+,2(i)}$ for some $0 \leq i < m-2$, then we have $(c_1 \prec a_2, b_1 \prec d_1, b_1^{(j)} \prec d_1^{(j)}$ for $j = 0, \dots, i$, and $d_1^{(j)} \prec b_2^{(j+1)}$ for $j = -1, \dots, i-1$, where $d_1^{(0)} = d_1$). Moreover, we have $(b_2^{(i+1)} \not\prec d_1^{(i)})$ and $(d_1^{(i+1)} \not\prec b_2^{(i)})$, and we let $\phi_2(S) =: R_2$ be, where $b_2^{(-1)} = a_3$ and $d_1^{(0)} = d_1$,

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & \dots & b_1^{(i+1)} & b_1^{(i)} & \dots & b_1^{(1)} & b_1 & c_1 & \dots & d_1^{(i+1)} & b_2^{(i)} & \dots & b_2^{(1)} & a_3 & e_1 \\ \hline a_2 & \dots & b_2^{(i+1)} & d_1^{(i)} & \dots & d_1^{(1)} & d_1 & & & & & & & & \\ \hline \end{array}$$

Then R_2 is an f -tableau (because $e_1 \not\prec a_3$), and is contained neither in $\tilde{\mathcal{T}}^{+,1}$ nor in $\tilde{\mathcal{T}}^{+,2}$ (because $a_2 \prec a_3$ and $d_1 \not\prec e_1$), and is not of the form R_0 , R_1 (because $a_2 \not\prec d_1$, $d_1 \not\prec e_1$).

- If $R_1 \in \tilde{\mathcal{T}}^{+,2(m-2)}$, then we have $(c_1 \prec a_2, b_1 \prec d_1, b_1^{(j)} \prec d_1^{(j)}$ for $j = 1, \dots, m-2$, and $d_1^{(j)} \prec b_2^{(j+1)}$ for $j = 0, \dots, m-4$, where $d_1^{(0)} = d_1$). Moreover, we have $(d_1^{(m-3)} \prec e_1, a_3 \not\prec d_1^{(m-2)})$ and $d_1^{(m-1)} \not\prec e_1$, and we let $\phi_2(S) =: R_2$ be

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(1)} & b_1 & c_1 & \dots & d_1^{(m)} & d_1^{(m-1)} & e_1 & b_2^{(m-3)} & \dots & b_2^{(1)} & a_3 & d_1^{(m-2)} \\ \hline a_2 & d_1^{(m-3)} & \dots & d_1^{(1)} & d_1 & & & & & & & & & & \\ \hline \end{array}$$

Then R_2 is an f -tableau (because both a_2 and $d_1^{(m-3)}$ belong to P_2), and is contained neither in $\tilde{\mathcal{T}}^{+,1}$ nor in $\tilde{\mathcal{T}}^{+,2}$ (because $a_2 \prec a_3$ and $d_1 \not\prec d_1^{(m-2)}$ for $d_1 \in P_2$ and $d_1^{(m-2)} \in P_2 \cup P_3$), and is not of the form R_0, R_1 (because $e_1 \not\prec d_1, d_1 \not\prec d_1^{(m-2)}$).

– If $R_1 \in \tilde{\mathcal{T}}^{+,2(\infty)}$,

then we have $(c_1 \prec a_2, b_1 \prec d_1, b_1^{(j)} \prec d_1^{(j)}$ for $j = 1, \dots, m-2$, and $d_1^{(j)} \prec b_2^{(j+1)}$ for $j = 0, \dots, m-4$, where $d_1^{(0)} = d_1$). Moreover, we have $(d_1^{(m-3)} \prec e_1, b_1 \prec d_1^{(m-1)}, a_3 \succ d_1^{(m-2)}, a_3 \not\prec d_1^{(m)}$ and $d_1^{(m-1)} \succ a_2)$, and we let $\phi_2(S) =: R_2$ be

a_1	$b_1^{(m-3)}$	$\dots$	$b_1^{(1)}$	b_1	c_1	$\dots$	$d_1^{(m)}$	a_3	e_1	$b_2^{(m-3)}$	$\dots$	$b_2^{(1)}$	$d_1^{(m-1)}$	$d_1^{(m-2)}$
a_2	$d_1^{(m-3)}$	$\dots$	$d_1^{(1)}$	d_1										

Then R_2 is an f -tableau (because both a_3 and $d_1^{(m-1)}$ are elements of P_3), and is contained neither in $\tilde{\mathcal{T}}^{+,1}$ nor in $\tilde{\mathcal{T}}^{+,2}$ (because $a_2 \prec d_1^{(m-1)}$, and $d_1 \not\prec d_1^{(m-2)}$ for $d_1, d_1^{(m-2)} \in P_2$), and is not of the form R_0, R_1 (because $a_2 \not\prec d_1$ and $d_1 \not\prec d_1^{(m-2)}$ for both d_1 and $d_1^{(m-2)}$ are contained in P_2).

Furthermore, R_2 's are all different. For, if $R_1 \in \tilde{\mathcal{T}}^{+,2(i)}$ for some $0 \leq i \leq m-3$, then R_2 is contained in the set of f -tableaux

α_1	$\dots$	$\beta_1^{(i+1)}$	$\beta_1^{(i)}$	$\dots$	$\beta_1^{(1)}$	β_1	γ_1	$\dots$	$\delta_1^{(i+1)}$	$\delta_1^{(i)}$	$\dots$	$\delta_1^{(1)}$	δ_1	ϵ_1
α_2	$\dots$	$\beta_2^{(i+1)}$	$\beta_2^{(i)}$	$\dots$	$\beta_2^{(1)}$	α_2								

such that $\beta_1^{(j)} \prec \delta_1^{(j)}$ for all $j = 0, \dots, i$, where $\delta_1^{(0)} = \delta_1$, and $\beta_2^{(j)} \prec \delta_1^{(j+1)}$ for $j = 1, \dots, i-1$, and $\beta_2^{(i)} \not\prec \delta_1^{(i+1)}$.

On the other hand, if $R_1 \in \tilde{\mathcal{T}}^{+,2(m-2)}$ (respectively, $\tilde{\mathcal{T}}^{+,2(\infty)}$), then R_2 is contained in the set of f -tableaux

α_1	$\dots$	$\beta_1^{(i+1)}$	$\beta_1^{(i)}$	$\dots$	$\beta_1^{(1)}$	β_1	γ_1	$\dots$	$\delta_1^{(i+1)}$	$\delta_1^{(i)}$	$\dots$	$\delta_1^{(1)}$	δ_1	ϵ_1
α_2	$\dots$	$\beta_2^{(i+1)}$	$\beta_2^{(i)}$	$\dots$	$\beta_2^{(1)}$	α_2								

such that $\beta_1^{(j)} \prec \delta_1^{(j)}$ for all $j = 0, \dots, m-2$, where $\delta_1^{(0)} = d_1$, and $\beta_2^{(j)} \prec \delta_1^{(j+1)}$ for $j = 1, \dots, m-4$ and $\beta_2^{(m-3)} \prec \delta_1^{(m-2)}$, and $\delta_1^{(m-1)} \not\prec \epsilon_1$ (respectively, $\delta_1^{(m-1)} \succ \epsilon_1$).

(2) When $b_1 \not\prec a_3$ and $b_1 \not\prec b_2^{(m-3)}$, we set

$$Q_0 := \tilde{\sigma}_{3 \rightarrow 2}^\square(S) = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & \textcolor{red}{a}_2 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline \textcolor{red}{b}_1 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array}.$$

Then by Lemma 31, $Q_0 \notin \tilde{T}^{+,2}$, and is not of the form R_1, R_2 (because $b_1 \not\prec c_1$).

- If $Q_0 \notin \tilde{T}^{+,1}$, then we let $\phi_2(S) = Q_0$.
- If $Q_0 \in \tilde{T}^{+,1}$, then we let

$$\phi_2(S) = \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & a_2 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & \textcolor{red}{b}_1 \\ \hline \textcolor{red}{e}_1 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & a_3 & & & & & & & \\ \hline \end{array} =: Q_1.$$

Then Q_1 is an f -tableau (because $a_3 \not\prec e_1$, $b_2^{(m-3)} \not\prec e_1$ and $d_1 \not\prec b_1$), that is contained neither in $\tilde{T}^{+,1}$ nor in $\tilde{T}^{+,2}$ (because $c_1 \not\prec b_1$), and is not of the form R_0, R_1, R_2 or Q_0 (because $e_1 \not\prec a_3$, $a_3 \not\prec b_1$, $c_1 \not\prec b_1$, and $e_1 \succ c_1$).

(3) When $b_1 \not\prec a_3$ and $b_1 \succ b_2^{(m-3)}$, then we let $\phi_2(S) = \tilde{\sigma}_{3 \rightarrow 2}^\square(S)$.

Then by Lemma 31 and Lemma 32, $\phi_2(S)$ is contained neither in $\tilde{T}^{+,1}$ nor in $\tilde{T}^{+,2}$, and is not of the form R_1, R_2 or Q_1 (because $\phi_2(S)$ is an f -tableau

$$\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|} \hline a_1 & b_1^{(m-3)} & \dots & b_1^{(2)} & b_1^{(1)} & b_1 & c_1 & d_1^{(k-1)} & \dots & d_1^{(2)} & d_1^{(1)} & d_1 & e_1 \\ \hline a_2 & b_2^{(m-3)} & \dots & b_2^{(2)} & b_2^{(1)} & b_2 & & & & & & & \\ \hline \end{array}.$$

satisfying $e_1 \in P_3$, $c_1 \not\prec e_1$, and $b_2^{(m-3)} \prec e_1$).

We proved that $\phi_2(S)$ is not an image of ϕ_1 for any S , by considering all cases that appear in the definition of ϕ_2 , Definition 33. This completes the proof of Proposition 34. $\square$

6 Concluding Remarks

We proved the Stanley-Stembridge conjecture for the natural unit interval orders corresponding to the Hessenberg functions with bounce number 3 in the current paper. There are a few concluding remarks.

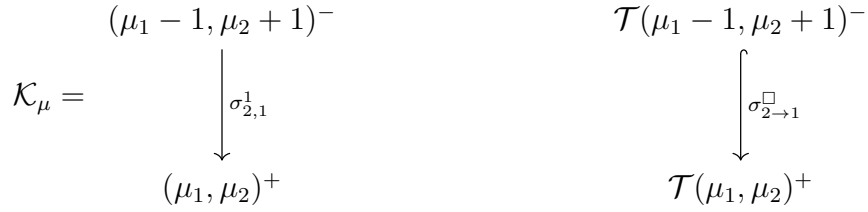


Figure 13: Diagrams for the case when bounce number is 2.

1. We can give a simple proof of the Stanley-Stembridge conjecture when the bounce number $b(f)$ of a given Hessenberg function f is 2: For any partition $\mu = (\mu_1, \mu_2)$ with two parts, we have $\mathcal{C}_\mu = \{\mu\}$ and $\mathcal{K}_\mu = \{((\mu_1, \mu_2)^+, (\mu_1 - 1, \mu_2 + 1)^-)\}$. Moreover, $\sigma_{2 \rightarrow 1}^\square$ is an injection from $\mathcal{T}(\mu_1 - 1, \mu_2 + 1)^-$ to $\mathcal{T}(\mu_1, \mu_2)^+$, as one can see in Figure 13.
2. Our work done in Section 3 to write the coefficients in the h -expansion of the chromatic symmetric functions as a signed sum of the number of dual P -tableaux can be extended to the general cases with arbitrary bounce number. We, however, were not able to extend the work to construct sign reversing involutions in Section 4 to general cases.
3. The injections defined for the proof of the h -positivity are not weight(ascent) preserving. Hence our proof does not give a proof of the *refined* Stanley-Stembridge conjecture: Conjecture 7. We think that it would be the case that weight preserving injections could be defined in a more natural way than the ones we defined for non-refined cases.

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