

Disproof of a conjecture by Woodall on the choosability of $K_{s,t}$ -minor free graphs

Raphael Steiner*

Department of Computer Science
Institute of Theoretical Computer Science
ETH Zürich
Zürich, Switzerland

`raphaelmario.steiner@inf.ethz.ch`

Submitted: Jan 25, 2022; Accepted: June 3, 2022; Published: Jul 1, 2022

© The author. Released under the CC BY-ND license (International 4.0).

Abstract

In a 2001 survey article about list coloring, Woodall conjectured that for every pair of integers $s, t \geq 1$, all graphs without a $K_{s,t}$ -minor are $(s + t - 1)$ -choosable. In this note we refute this conjecture in a strong form: We prove that for every choice of constants $\varepsilon > 0$ and $C \geq 1$ there exists $N = N(\varepsilon, C) \in \mathbb{N}$ such that for all integers s, t with $N \leq s \leq t \leq Cs$ there exists a graph without a $K_{s,t}$ -minor and list chromatic number greater than $(1 - \varepsilon)(2s + t)$.

Mathematics Subject Classifications: 05C15, 05C83

1 Introduction

Preliminaries. All graphs considered in this paper are loopless and have no parallel edges. Given numbers $s, t \in \mathbb{N}$ we denote by K_t the complete graph of order t and by $K_{s,t}$ the complete bipartite graph with bipartition classes of size s and t , respectively. Given graphs G and F , an F -template in G consists of a collection of pairwise disjoint non-empty subsets $(Z_f)_{f \in V(F)}$ of the vertex-set of G such that for every edge $f_1 f_2 \in E(F)$, there exists at least one edge in G with endpoints in Z_{f_1} and Z_{f_2} . If G contains an F -template where in addition, for every $f \in V(F)$, the induced subgraph $G[Z_f]$ of G is connected, then we say that G contains F as a minor, in symbols, $G \succeq F$. It is easily seen that our definition above is equivalent to the standard definition of graph minors, i.e., $G \succeq F$ if and only if G can be transformed into a graph isomorphic to F by performing a sequence of vertex or edge deletions, and edge contractions.

*Supported by an ETH Zurich Postdoctoral Fellowship.

A *proper coloring* of a graph G with color-set S is a mapping $c : V(G) \rightarrow S$ such that $c^{-1}(s)$ is an independent set, for every $s \in S$. A *list assignment* for G is an assignment $L : V(G) \rightarrow 2^{\mathbb{N}}$ of finite sets $L(v)$ (called lists) to the vertices $v \in V(G)$. An *L -coloring* of G is a proper coloring $c : V(G) \rightarrow \mathbb{N}$ of G in which every vertex must be assigned a color from its respective list, i.e., $c(v) \in L(v)$ for every $v \in V(G)$. The chromatic number $\chi(G)$ of a graph G is defined as the smallest integer $k \geq 1$ such that G admits a proper coloring with color-set $[k] := \{1, \dots, k\}$. Similarly, the *list chromatic number* $\chi_\ell(G)$ of a graph G as introduced by Erdős, Rubin and Taylor [6] is defined as the smallest number $k \geq 1$ such that G admits an L -coloring for *every* assignment $L(\cdot)$ of color lists to the vertices of G , provided that $|L(v)| \geq k$ for every $v \in V(G)$ (we refer to this property by saying that G is *k -choosable*).

Clearly, $\chi(G) \leq \chi_\ell(G)$ for every graph G , but in general $\chi_\ell(G)$ is not bounded from above by a function in $\chi(G)$, as shown by complete bipartite graphs (we refer to [6] for details).

Hadwiger's conjecture, a vast generalization of the four-color-theorem [1, 2] and arguably one of the most important open problems in graph theory, states the following upper bound on the chromatic number of graphs containing no K_t -minor:

Conjecture 1 (Hadwiger [7], 1943). For every $t \in \mathbb{N}$, if G is a graph such that $G \not\cong K_t$, then $\chi(G) \leq t - 1$.

Hadwiger's conjecture has given rise to many beautiful results and open problems in the past. For a good overview of this field of research, encompassing the major results until about 2 years ago, we refer the reader to the survey article [24] by Seymour. Very recently, there has been considerable progress on the asymptotic version of Hadwiger's conjecture, see [19, 20, 21, 22, 23, 5] for further reference.

The remarkable difficulty of Hadwiger's conjecture has led to the study of several relaxations. One natural such relaxation is to prove the conjecture for graphs which (more strongly) exclude not only K_t , but a fixed sparser graph H on t vertices as a minor. In particular the case when H is a complete bipartite graph has received attention. In his survey article [27] on list coloring, Woodall made the following two conjectures, both of which have remained open problems thus far. The first conjecture is a weakening of Hadwiger's conjecture, that was independently proposed by Seymour (see [15]).

Conjecture 2 (cf. [27], Conjecture " $\mathbf{C}(r, s, \chi)$ "). For every $s, t \in \mathbb{N}$, if G is a graph with $G \not\cong K_{s,t}$, then $\chi(G) \leq s + t - 1$.

Conjecture 3 (cf. [27], Conjecture " $\mathbf{C}(r, s, \text{ch})$ "). For every $s, t \in \mathbb{N}$, if G is a graph with $G \not\cong K_{s,t}$, then $\chi_\ell(G) \leq s + t - 1$.

For both conjectures, several partial results have been obtained in the past, which we summarize in the following.

Woodall proved in [27, 28] that Conjecture 3 holds if $s \leq 2$. The correctness for $s = 2$ can also be obtained as a consequence of the main result of Chudnovsky et al. [4]:

They proved, extending a result by Myers [18], that every n -vertex-graph with no $K_{2,t}$ -minor has at most $\frac{t+1}{2}(n-1)$ edges. Hence the average degree of such graphs is less than $t+1$. The latter implies that every graph with no $K_{2,t}$ -minor is t -degenerate and therefore $(t+1)$ -choosable by a greedy coloring algorithm.

For $s = t = 3$, Conjecture 3 was confirmed by Woodall in [27]. The conjecture is also valid for $s = 3$ and $t = 4$, since Jørgensen proved (cf. Corollary 7 in [8]) that every $K_{3,4}$ -minor free graph is 5-degenerate, and hence 6-choosable. For $s = 3$ and large values of t , it was proved in [15] by Kostochka and Prince that for $t \geq 6300$ every n -vertex graph without a $K_{3,t}$ -minor has at most $\frac{t+3}{2}(n-2) + 1$ edges. Therefore (by considering the average degree), every such graph is $(t+2)$ -degenerate. Using greedy coloring one can conclude that every graph without a $K_{3,t}$ -minor is $(3+t)$ -choosable for $t \geq 6300$, which misses Woodall's conjecture by an additive constant of 1 only. With an additional argument (which does not seem to extend to list coloring), Kostochka and Prince proved in [15] that Conjecture 2 holds for $s = 3$ and $t \geq 6300$.

Finally, the case $s = 4 \leq t$ was considered by Kawarabayashi [9], who proved that graphs without a $K_{4,t}$ -minor are $4t$ -choosable, for every $t \geq 1$. For $s = t = 4$, a better result is known: Jørgensen proved (cf. Corollary 6 in [8]) that every graph without a $K_{4,4}$ -minor is 7-degenerate, and hence 8-choosable.

Let us now turn to asymptotic bounds for large s and t . By recent results of Delcourt and Postle [5], every graph with no K_t -minor is $O(t \log \log t)$ -colorable and $O(t(\log \log t)^2)$ -choosable. This in particular means that the maximum (list) chromatic number of graphs with no $K_{s,t}$ -minor is bounded by $O((s+t) \log \log(s+t))$ (respectively $O((s+t)(\log \log(s+t))^2)$). These are the asymptotically best known bounds for Conjecture 2 and 3 when s and t are of comparable size. However, if t is significantly bigger than s , then better bounds are known. Notably, Kostochka [12, 13] proved that for every $s \geq 1$, Conjecture 2 holds if $t \geq t_0(s)$, where $t_0(s) = O(s^3 \log^3 s)$. However, an analogous result is not known for the list chromatic number. The only similar result in this direction was proved in [14, 16], see also [17] for related results: If t is huge compared to s , concretely if $t > (240s \log_2 s)^{1+8s \log_2 s}$, then every graph with no $K_{s,t}$ -minor is $(3s+t)$ -degenerate, and therefore $(3s+t+1)$ -choosable.

In this note, we disprove Conjecture 3, by constructing counterexamples for sufficiently large values of s and t which have a comparable size.

Theorem 4. *For every choice of constants $\varepsilon > 0$ and $C \geq 1$ there exists $N = N(\varepsilon, C) \in \mathbb{N}$ such that for all integers s, t with $N \leq s \leq t \leq Cs$ there exists a graph without a $K_{s,t}$ -minor and list chromatic number greater than $(1-\varepsilon)(2s+t)$.*

For instance, if $s = t$, then the above implies that the maximum list chromatic number of graphs with no $K_{t,t}$ -minor is at least $3t - o(t)$, which substantially exceeds the conjectured upper bound of $2t - 1$ for large t . It is an interesting open problem whether Conjecture 3 remains true if s is fixed and t is sufficiently large in terms of s . It would also be interesting to determine the smallest values of s and t (or of $s+t$) for which Conjecture 3 fails, since the bounds coming from our proof of Theorem 4 are quite big¹. In that

¹After some concrete calculations, we came to the conclusion that using the probability bounds given

regard, it could be interesting to study the following smallest open cases of Conjecture 3.

Question 5. Is every graph without a $K_{4,4}$ -minor 7-choosable? Is every graph without a $K_{3,5}$ -minor 7-choosable?

Regarding the true asymptotics of the list chromatic number of graphs with no $K_{s,t}$ -minor, the following natural problem arises.

Question 6. Is it true that for all integers $1 \leq s \leq t$, every graph G with $G \not\cong K_{s,t}$ satisfies $\chi_\ell(G) \leq 2s + t$?

In the remainder of this note we present the proof of Theorem 4. It is probabilistic and relies on a few modifications of an argument previously used by the author in [25] to prove that the maximum list chromatic number of graphs without a K_t -minor is at least $2t - o(t)$, addressing a conjecture by Kawarabayashi and Mohar [10], see also [29], known as the *List Hadwiger Conjecture*.

2 Proof of Theorem 4

Following standard notation, for a pair of natural numbers $m, n \in \mathbb{N}$ and a probability $p \in [0, 1]$, we denote by $G(m, n, p)$ the bipartite Erdős-Renyi graph, that is, a random bipartite graph G with bipartition $A; B$ such that $|A| = m$, $|B| = n$, and in which every pair ab with $a \in A, b \in B$ is selected as an edge of G with probability p , independently from all other such pairs.

Lemma 7. *Let $\varepsilon \in (0, 1)$, $C \geq 1$, $f \in \mathbb{N}$ and $\delta \in (0, 1)$ be constants such that $f^2\delta < 1$. For every $n \in \mathbb{N}$, let $p = p(n) := n^{-\delta}$ and $m = m(n) := \lfloor Cn \rfloor$. Then with probability tending to 1 as $n \rightarrow \infty$, the random graph $G = G(m(n), n, p(n))$ with bipartition $A \cup B$ simultaneously satisfies the following two properties:*

(2.1) *For every collection of pairwise disjoint non-empty sets $X_1, \dots, X_k \subseteq A$, $Y_1, \dots, Y_k \subseteq B$ such that $k \geq \varepsilon n$ and $\max\{|X_1|, \dots, |X_k|, |Y_1|, \dots, |Y_k|\} \leq f$, there exists a pair of indices $(i, j) \in [k] \times [k]$ such that G contains all the edges xy , $(x, y) \in X_i \times Y_j$.*

(2.2) *G has maximum degree at most εn .*

Proof. It will clearly be sufficient to prove that for each of the two events above individually, the probability for it not to occur tends to 0 as $n \rightarrow \infty$. It then follows using a union bound that also the probability that at least one of the two events does not occur tends to 0 as $n \rightarrow \infty$, proving the claim of the lemma.

in the proof of Theorem 4, counterexamples to Woodall's conjecture are guaranteed only starting from $s, t \approx 10^{29}$. While this bound for s and t can probably be significantly reduced by a more careful estimation of the probabilities, it seems hard to bring down s and t to reasonably small values using our approach.

- Let us first consider the random event E_n that G does not satisfy (2.1). We want to show that $\mathbb{P}(E_n) \rightarrow 0$ as $n \rightarrow \infty$. So consider a fixed collection $X_1, \dots, X_k \subseteq A, Y_1, \dots, Y_k \subseteq B$ of disjoint non-empty sets, where $k \geq \varepsilon n$ and $|X_1|, \dots, |X_k| \leq f, |Y_1|, \dots, |Y_k| \leq f$. Let $E(X_1, \dots, X_k, Y_1, \dots, Y_k)$ be the random event “there exists no pair $(i, j) \in [k] \times [k]$ such that all the edges xy with $x \in X_i$ and $y \in Y_j$ are included in G ”. Fixing a pair of indices $(i, j) \in [k] \times [k]$, clearly the probability of the event that “ X_i is not fully connected to Y_j ” equals $1 - p^{|X_i||Y_j|} \leq 1 - p^{f^2}$. Since these events are independent for different choices of (i, j) , it follows that

$$\begin{aligned} \mathbb{P}(E(X_1, \dots, X_k, Y_1, \dots, Y_k)) &\leq (1 - p^{f^2})^{k^2} \\ &\leq (1 - p^{f^2})^{\varepsilon^2 n^2} \leq \exp(-p^{f^2} \varepsilon^2 n^2) = \exp(-\varepsilon^2 n^{2-f^2\delta}). \end{aligned}$$

With a (very) rough estimate, there are at most²

$$(m + n + 1)^{m+n} = \exp(\ln(m + n + 1)(m + n)) \leq \exp(\ln((C + 1)n + 1)(C + 1)n)$$

different ways to select the sets $X_1, \dots, X_k, Y_1, \dots, Y_k$. Hence, applying a union bound we find that

$$\mathbb{P}(E_n) \leq \exp(\ln((C + 1)n + 1)(C + 1)n - \varepsilon^2 n^{2-f^2\delta}).$$

The right hand side of the above inequality tends to 0 as $n \rightarrow \infty$, since $f^2\delta < 1$ and hence $\varepsilon^2 n^{2-f^2\delta} = \Omega(n^{2-f^2\delta})$ grows faster than $\ln((C + 1)n + 1)(C + 1)n = O(n \ln n)$. This proves that G satisfies (2.1) w.h.p., as required.

- To show that (2.2) also holds true w.h.p., consider the probability that a fixed vertex $x \in A \cup B$ has more than εn neighbors in G . The degree of x in $G(m, n, p)$ is distributed like a binomial random variable $B(n, p)$ if $x \in A$ and like $B(m, p)$ if $x \in B$. Hence the expected degree of x is $np = n^{1-\delta}$ if $x \in A$ and $mp \in [n^{1-\delta}, Cn^{1-\delta}]$ if $x \in B$. Hence, $\mathbb{E}(d_G(x))$ is smaller than $\frac{\varepsilon n}{2}$ for n sufficiently large in terms of ε, δ and C . Applying Chernoff’s bound we find for every sufficiently large n :

$$\mathbb{P}(d_G(x) > \varepsilon n) \leq \mathbb{P}(d_G(x) > 2\mathbb{E}(d_G(x))) \leq \exp\left(-\frac{1}{3}\mathbb{E}(d_G(x))\right) \leq \exp\left(-\frac{1}{3}n^{1-\delta}\right).$$

Since this bound holds for every choice of $x \in A \cup B$, applying a union bound we find that the probability that G has a vertex of degree more than εn is at most

$$(m + n) \exp\left(-\frac{1}{3}n^{1-\delta}\right) \leq \exp\left(\ln((C + 1)n) - \frac{1}{3}n^{1-\delta}\right)$$

which tends to 0 as $n \rightarrow \infty$, as desired (here we used that $\delta < 1$ and hence $n^{1-\delta}$ grows faster than $\ln((C + 1)n)$).

²The number of choices for $\{X_1, \dots, X_k, Y_1, \dots, Y_k\}$ is at most the number of collections of non-empty disjoint subsets of the $m + n$ -element set $A \cup B$. Given any coloring $c : A \cup B \rightarrow [m + n + 1]$, we can obtain a collection of non-empty subsets of $A \cup B$ as the non-empty color classes defined by the colors 1 up to $m + n$ (color $m + n + 1$ is reserved for elements outside all sets in the collection). Since this mapping from colorings c to collections of disjoint non-empty subsets of $A \cup B$ is surjective, the upper bound $(m + n + 1)^{m+n}$ follows.

□

In the next intermediate result we derive from Lemma 7 a useful deterministic statement about the existence of graphs with certain properties, which then come in handy when we construct the lower-bound examples for Theorem 4.

Lemma 8. *For every $\varepsilon \in (0, 1)$ and $C \geq 1$, there exists $n_0 = n_0(\varepsilon, C)$ such that for all integers m, n satisfying $n_0 \leq n \leq m \leq Cn$, there exists a graph H whose vertex-set $V(H) = A \cup B$ is partitioned into two disjoint sets A of size m and B of size n , and such that the following properties hold:*

- Both A and B form cliques of H ,
- every vertex in H has at most εn non-neighbors in H , and
- for all integers $1 \leq s \leq t$ such that $n \leq s$ and $m \leq (1 - 2\varepsilon)(s + t)$, H does not contain $K_{s,t}$ as a minor. In fact, H does not even contain a $K_{s,t}$ -template.

Proof. Let $f := \lceil \frac{C}{\varepsilon} \rceil \in \mathbb{N}$ and $\delta := \frac{\varepsilon^2}{4C^2}$. Then $f^2\delta < 1$, and hence we may apply Lemma 7. It follows directly that there exists $n_0 = n_0(\varepsilon, C) \in \mathbb{N}$ such that for every $n \geq n_0$ there exists a bipartite graph G' , whose bipartition classes A' and B' are of size $\lfloor Cn \rfloor$ and n respectively, and such that the following hold:

(3.1) For every collection of disjoint non-empty sets $X_1, \dots, X_k \subseteq A', Y_1, \dots, Y_k \subseteq B'$ such that $k \geq \varepsilon n$ and $\max\{|X_1|, \dots, |X_k|, |Y_1|, \dots, |Y_k|\} \leq f$, there exists a pair $(i, j) \in [k] \times [k]$ such that G' contains all the edges $xy, (x, y) \in X_i \times Y_j$.

(3.2) G' has maximum degree at most εn .

For any m such that $n \leq m \leq \lfloor Cn \rfloor$, we may select and fix a subset $A \subseteq A'$ such that $|A| = m$. Also, put $B := B'$. In the following, let $G := G'[A \cup B]$ denote the induced subgraph of G' with bipartition $A; B$. We now define H as the complement of G (also with vertex-set $A \cup B$). It is clear from the definition of G that A and B form cliques in H and have the required size, verifying the first item in the claim of the lemma. The second item follows directly from (3.2), since $\Delta(G) \leq \Delta(G')$.

It hence remains to verify the last item. Towards a contradiction, suppose that there exist numbers $1 \leq s \leq t$ with $n \leq s, m \leq (1 - 2\varepsilon)(s + t)$, such that H contains a $K_{s,t}$ -template. This means that there exists a collection $\mathcal{Z} = \mathcal{Z}_1 \cup \mathcal{Z}_2$ of non-empty and pairwise disjoint subsets of $V(H)$ such that $|\mathcal{Z}_1| = s, |\mathcal{Z}_2| = t$ and such that for every pair $Z_1 \in \mathcal{Z}_1, Z_2 \in \mathcal{Z}_2$, there exists at least one edge in H connecting a vertex in Z_1 to a vertex in Z_2 .

Let us now consider $\mathcal{Z}_{A,1} := \{Z \in \mathcal{Z}_1 | Z \cap A \neq \emptyset\}$ and $\mathcal{Z}_{A,2} := \{Z \in \mathcal{Z}_2 | Z \cap A \neq \emptyset\}$. Since the sets in \mathcal{Z} are pairwise disjoint, we can see that $|\mathcal{Z}_{A,1}| + |\mathcal{Z}_{A,2}| \leq |A| = m \leq (1 - 2\varepsilon)(s + t)$. We therefore must have $|\mathcal{Z}_{A,1}| \leq (1 - 2\varepsilon)s$ or $|\mathcal{Z}_{A,2}| \leq (1 - 2\varepsilon)t$. The first case yields $|\mathcal{Z}_1 \setminus \mathcal{Z}_{A,1}| \geq 2\varepsilon s \geq 2\varepsilon n$, and the second yields $|\mathcal{Z}_2 \setminus \mathcal{Z}_{A,2}| \geq 2\varepsilon t \geq 2\varepsilon s \geq 2\varepsilon n$. We will prove that the first case leads to a contradiction. Since the argument will not

mention s or t explicitly and only make use of the fact that $|\mathcal{Z}_2| \geq n$, and since also $|\mathcal{Z}_1| \geq n$, exactly the same argument with subscripts 1 and 2 interchanged shows that the second case also leads to a contradiction; and this will complete the proof that H satisfies all three properties required by the lemma.

So in the following, we will assume without loss of generality that $|\mathcal{Z}_1 \setminus \mathcal{Z}_{A,1}| \geq 2\epsilon n$. The sets in $\mathcal{Z}_1 \setminus \mathcal{Z}_{A,1}$ are exactly those $Z \in \mathcal{Z}_1$ such that $Z \subseteq B$. Since $|B| = n$, and since the sets in $\mathcal{Z}_1 \setminus \mathcal{Z}_{A,1}$ are pairwise disjoint, it follows that $\mathcal{Z}_1 \setminus \mathcal{Z}_{A,1}$ contains at most ϵn sets of size more than $\frac{1}{\epsilon}$. Consequently, at least $2\epsilon n - \epsilon n = \epsilon n$ sets in $\mathcal{Z}_1 \setminus \mathcal{Z}_{A,1}$ have size at most $\frac{1}{\epsilon} \leq f$. Fix a list $Y_1, \dots, Y_k \subseteq B$ of $k = \lceil \epsilon n \rceil$ distinct sets in $\mathcal{Z}_1 \setminus \mathcal{Z}_{A,1}$, each of size at most f .

Next, consider the set $\mathcal{Z}_{B,2} := \{Z \in \mathcal{Z}_2 \mid Z \cap B \neq \emptyset\}$. Since the elements of $(\mathcal{Z}_1 \setminus \mathcal{Z}_{A,1}) \cup \mathcal{Z}_{B,2}$ are pairwise disjoint and all intersect B , it follows that $|\mathcal{Z}_1 \setminus \mathcal{Z}_{A,1}| + |\mathcal{Z}_{B,2}| \leq |B| = n$, and hence that $|\mathcal{Z}_{B,2}| \leq n - |\mathcal{Z}_1 \setminus \mathcal{Z}_{A,1}| \leq n - 2\epsilon n$. Since $|\mathcal{Z}_2| \geq n$, we conclude that $|\mathcal{Z}_2 \setminus \mathcal{Z}_{B,2}| \geq |\mathcal{Z}_2| - (n - 2\epsilon n) \geq n - (n - 2\epsilon n) = 2\epsilon n$. All the sets $Z \in \mathcal{Z}_2 \setminus \mathcal{Z}_{B,2}$ are fully included in A . Therefore, and since $|A| = m \leq Cn$, there can be at most ϵn sets in $\mathcal{Z}_2 \setminus \mathcal{Z}_{B,2}$ whose size exceeds $\frac{C}{\epsilon}$. Hence, at least $2\epsilon n - \epsilon n = \epsilon n$ sets in $\mathcal{Z}_2 \setminus \mathcal{Z}_{B,2}$ have size at most $\frac{C}{\epsilon} \leq f$. Let $X_1, \dots, X_k \subseteq A$ be $k = \lceil \epsilon n \rceil$ distinct sets in $\mathcal{Z}_2 \setminus \mathcal{Z}_{B,2}$, each of size at most f . By (3.1), we know that there exists a pair $(i, j) \in [k] \times [k]$ such that all the edges $xy, (x, y) \in X_i \times Y_j$ are contained in G' (and hence in G). This, however, means that there exists no edge in H which connects a vertex in $X_i \in \mathcal{Z}_2$ to a vertex in $Y_j \in \mathcal{Z}_1$, contradicting our initial assumptions on the collection $\mathcal{Z} = \mathcal{Z}_1 \cup \mathcal{Z}_2$. This contradiction concludes the proof. □

We are now almost ready for proving Theorem 4. The only remaining ingredient is a simple lemma, which states that glueing two $K_{s,t}$ -minor-free graphs together along a sufficiently small clique separator results in a graph that is again $K_{s,t}$ -minor-free. We strongly suspect that this statement has appeared elsewhere before, but we decided to include the (simple) proof here for the reader's convenience.

Lemma 9. *Let $1 \leq s \leq t$ be integers, and let G_1 and G_2 be graphs not containing $K_{s,t}$ as a minor. Let $C := V(G_1) \cap V(G_2)$. If C forms a clique in both G_1 and G_2 , and if $|C| < s$, then the graph $G_1 \cup G_2$ also does not contain $K_{s,t}$ as a minor.*

Proof. Towards a contradiction, suppose that $G := G_1 \cup G_2$ contains $K_{s,t}$ as a minor. By definition, this means that there exists a collection of disjoint non-empty subsets $\mathcal{Z} = \mathcal{Z}_1 \cup \mathcal{Z}_2$ of $V(G_1) \cup V(G_2)$ such that $G[Z]$ is connected for every $Z \in \mathcal{Z}$, $|\mathcal{Z}_1| = s$, $|\mathcal{Z}_2| = t$, and for every pair $X \in \mathcal{Z}_1, Y \in \mathcal{Z}_2$, there exists an edge of G with endpoints in X and Y . Since $|C| < s = |\mathcal{Z}_1| \leq |\mathcal{Z}_2|$, there exist $Z_1 \in \mathcal{Z}_1$ and $Z_2 \in \mathcal{Z}_2$ such that $Z_1 \cap C = Z_2 \cap C = \emptyset$. Since Z_1 and Z_2 induce connected subgraphs of G , and since there exists an edge with endpoints in Z_1 and Z_2 , this means that either $Z_1, Z_2 \subseteq V(G_1) \setminus C$ or $Z_1, Z_2 \subseteq V(G_2) \setminus C$. Without loss of generality (possibly after renaming G_1 and G_2) we may assume from now on that $Z_1, Z_2 \subseteq V(G_1) \setminus C$. Then every $Z \in \mathcal{Z} \setminus \{Z_1, Z_2\}$ must also be linked with an edge to one of Z_1 or Z_2 , and hence cannot be entirely contained

in $V(G_2) \setminus C$. Hence, we have $Z \cap V(G_1) \neq \emptyset$ for every $Z \in \mathcal{Z}$. We now claim that the collection $\mathcal{Z}' := \{Z \cap V(G_1) \mid Z \in \mathcal{Z}\}$ of disjoint vertex-subsets in G_1 certifies that G_1 also contains a $K_{s,t}$ -minor.

Firstly, for every $Z \in \mathcal{Z}$, the graph $G_1[Z \cap V(G_1)]$ is connected. Namely, we either have $Z \subseteq V(G_1)$, and hence $G_1[Z \cap V(G_1)] = G_1[Z] = G[Z]$ is a connected subgraph of G_1 , or we have $Z \cap C \neq \emptyset$. In the latter case, note that since $G[Z]$ is connected, every vertex in $Z \setminus V(G_2)$ is connected by a path in $G[Z \cap V(G_1)]$ to a vertex in $Z \cap C$. Since the vertices in $Z \cap C$ are pairwise adjacent, this means that $G[Z \cap V(G_1)]$ is connected, as desired.

Secondly, for every pair of distinct sets $X, Y \in \mathcal{Z}$ with $X \in \mathcal{Z}_1, Y \in \mathcal{Z}_2$, there exists an edge e in G with endpoints in X and Y . If these endpoints both lie in $V(G_1)$, then the same edge links $X \cap V(G_1)$ and $Y \cap V(G_1)$ in G_1 . Otherwise, at least one endpoint of e is contained in $V(G_2) \setminus C$, and in this case the connectivity of $G[X], G[Y]$ implies that $X \cap C \neq \emptyset \neq Y \cap C$. Since C is a clique, the latter directly implies that there is an edge in G_1 joining a vertex in $X \cap C$ to a vertex in $Y \cap C$, again certifying that $X \cap V(G_1)$ and $Y \cap V(G_1)$ are linked by an edge in G_1 . All in all, this shows that the collection \mathcal{Z}' certifies the existence of a $K_{s,t}$ -minor in G_1 , a contradiction to the assumptions made in the lemma. This concludes the proof. \square

Proof of Theorem 4. Let fixed constants $\varepsilon \in (0, 1)$ and $C \geq 1$ be given, and assume without loss of generality that $\varepsilon < \frac{1}{2}$. Define $\varepsilon' := \frac{\varepsilon}{2}$ and $C' := 2C + 2 \geq 1$. Let $n_0 = n_0(\varepsilon', C') \in \mathbb{N}$ be chosen as in Lemma 8 applied with parameters ε', C' in place of ε, C , and define $N := \max\{n_0 + 1, \lceil \frac{4}{\varepsilon} \rceil\}$.

Let us now go about proving the claim of Theorem 4. For that purpose, let s, t be any given integers such that $N \leq s \leq t \leq Cs$, and let us show that there exists a graph with no $K_{s,t}$ -minor and list chromatic number greater than $(1 - \varepsilon)(2s + t)$. For that purpose, define $n := s - 1$ and $m := \lfloor (1 - \varepsilon)(s + t) \rfloor \geq \lfloor (1 - \varepsilon)(2s) \rfloor \geq s$, so that $n_0 \leq n \leq m$ and $m \leq s + t \leq (C + 1)s = (C + 1)(n + 1) \leq (C + 1)(2n) = C'n$.

We may therefore apply Lemma 8 to the parameters m and n , which yields a graph H whose vertex-set is partitioned into two non-empty sets A and B of size m and n respectively, such that both A and B form cliques in H , every vertex in H has at most $\varepsilon'n$ non-neighbors, and H is $K_{s,t}$ -minor free (since $n \leq s$ and $m \leq (1 - \varepsilon)(s + t) = (1 - 2\varepsilon')(s + t)$, by definition of m and n).

For each possible choice of an assignment $c \in [m + n - 1]^B$ of colors from $[m + n - 1]$ to vertices in B , denote by $H(c)$ an isomorphic copy of H , such that the vertex-set of $H(c)$ decomposes into the cliques $A(c)$ and B of size m and n , respectively. More precisely, the distinct copies $H(c), c \in [m + n - 1]^B$ of H share the same set B but have pairwise disjoint sets $A(c)$. Since B forms a clique of size $n = s - 1 < s$ in the $K_{s,t}$ -minor-free graph $H(c)$ for every coloring $c : B \rightarrow [m + n - 1]$, it follows by repeated application of Lemma 9 that the graph \mathbf{G} with vertex set $\bigcup_{c \in [m+n-1]^B} A(c) \cup B$, defined as the union of the graphs $H(c), c \in [m + n - 1]^B$, is $K_{s,t}$ -minor free as well.

Now, consider an assignment $L : V(\mathbf{G}) \rightarrow 2^{\mathbb{N}}$ of color lists to the vertices of \mathbf{G} as follows: For every vertex $b \in B$, we define $L(b) := [m + n - 1]$, and for every vertex

$a \in A(c)$ for some coloring $c \in [m+n-1]^B$ of B , we define $L(a) := [m+n-1] \setminus \{c(b) | b \in B, ab \notin E(H(c))\}$. Note that since every vertex in $A(c)$ has at most $\varepsilon'n$ non-neighbors in $H(c)$, we have $|L(v)| \geq m+n-1-\varepsilon'n$ for every vertex $v \in V(\mathbf{G})$.

We now claim that \mathbf{G} does not admit an L -coloring, which will then imply the inequality $\chi_\ell(\mathbf{G}) \geq m+n-\varepsilon'n$. Indeed, suppose towards a contradiction there exists a proper coloring $c_{\mathbf{G}} : V(\mathbf{G}) \rightarrow \mathbb{N}$ of \mathbf{G} such that $c_{\mathbf{G}}(v) \in L(v)$ for every $v \in V(\mathbf{G})$. Let c denote the restriction of $c_{\mathbf{G}}$ to B , and consider the proper coloring of $H(c)$ obtained by restricting $c_{\mathbf{G}}$ to the vertices in $H(c)$. Since $|V(H(c))| = m+n$ and $c_{\mathbf{G}}(v) \in [m+n-1]$ for every $v \in V(H(c))$, there must exist two (necessarily non-adjacent) vertices in $H(c)$ which have the same color with respect to $c_{\mathbf{G}}$. Concretely, there exist $a \in A(c)$, $b \in B$ such that $ab \notin E(H(c))$ and $c_{\mathbf{G}}(a) = c_{\mathbf{G}}(b)$. This however yields a contradiction, since $c_{\mathbf{G}}(a) \in L(a)$ and by definition $c(b) = c_{\mathbf{G}}(b)$ is not included in the list of a .

We conclude that indeed, \mathbf{G} is a $K_{s,t}$ -minor-free graph which satisfies

$$\begin{aligned} \chi_\ell(\mathbf{G}) &\geq m+n-\varepsilon'n = \lfloor (1-\varepsilon)(s+t) \rfloor + \left(1 - \frac{\varepsilon}{2}\right)(s-1) \\ &> (1-\varepsilon)(s+t) - 1 + (1-\varepsilon)s + \frac{\varepsilon}{2}s - \left(1 - \frac{\varepsilon}{2}\right) \\ &= (1-\varepsilon)(2s+t) + \frac{\varepsilon}{2}s - 2 + \frac{\varepsilon}{2} > (1-\varepsilon)(2s+t), \end{aligned}$$

where for the last inequality we used that $s \geq N \geq \frac{4}{\varepsilon}$. □

References

- [1] K. Appel and A. Haken. Every planar map is four colorable. Part I. Discharging. *Illinois Journal of Mathematics*, 21, 429–490, 1977.
- [2] K. Appel, A. Haken and J. Koch. Every planar map is four colorable. Part II. Reducibility. *Illinois Journal of Mathematics*, 21, 491–567, 1977.
- [3] B. Bollobás. Random Graphs. In: *Modern Graph Theory. Graduate Texts in Mathematics*, 184, Springer, New York. https://doi.org/10.1007/978-1-4612-0619-4_7
- [4] M. Chudnovsky, B. Reed and P. Seymour. The edge-density for $K_{2,t}$ -minors. *Journal of Combinatorial Theory, Series B*, 101(1), 18–46, 2011.
- [5] M. Delcourt and L. Postle. Reducing Linear Hadwiger’s Conjecture to Coloring Small Graphs. [arXiv:2108.01633](https://arxiv.org/abs/2108.01633), 2021.
- [6] P. Erdős, A. L. Rubin and H. Taylor. Choosability in graphs. In *Proc. West Coast Conference on Combinatorics, Graph Theory and Computing, Arcata, Congressus Numerantium*, 26, 125–157, 1979. https://web.archive.org/web/20160309235325/http://www.math-inst.hu/~p_erdos/1980-07.pdf
- [7] H. Hadwiger. Über eine Klassifikation der Streckenkomplexe. *Vierteljahrsschrift der Naturforschenden Gesellschaft in Zürich*, 88, 133–143, 1943.

- [8] L. K. Jørgensen. Vertex partitions of $K_{4,4}$ -minor-free graphs. *Graphs and Combinatorics*, 17, 265–274, 2001.
- [9] K. Kawarabayashi. List-coloring graphs without $K_{4,k}$ -minors. *Discrete Applied Mathematics*, 157, 659–662, 2009.
- [10] K. Kawarabayashi and B. Mohar. A relaxed Hadwiger’s conjecture for list colorings. *Journal of Combinatorial Theory, Series B*, 97(4), 647–651, 2007.
- [11] A. V. Kostochka. Lower bound on the Hadwiger number of graphs by their average degree. *Combinatorica*, 4, 307–316, 1984.
- [12] A. V. Kostochka. On $K_{s,t}$ -minors in $(s + t)$ -chromatic graphs. *Journal of Graph Theory*, 65, 343–350, 2010.
- [13] A. V. Kostochka. $K_{s,t}$ -minors in $(s+t)$ -chromatic graphs, II. *Journal of Graph Theory*, 75, 377–386, 2014.
- [14] A. V. Kostochka and N. Prince. On $K_{s,t}$ -minors in graphs with given average degree. *Discrete Mathematics*, 308(19), 4435–4445, 2008.
- [15] A. V. Kostochka and N. Prince. Dense graphs have $K_{3,t}$ -minors. *Discrete Mathematics*, 310(20), 2637–2654, 2010.
- [16] A. V. Kostochka and N. Prince. On $K_{s,t}$ -minors in graphs with given average degree, II. *Discrete Mathematics*, 312(24), 3517–3522, 2012.
- [17] D. Kühn and D. Osthus. Forcing unbalanced complete bipartite minors. *European Journal of Combinatorics*, 26(1), 75–81, 2005.
- [18] J. S. Myers. The extremal function for unbalanced bipartite minors. *Discrete Mathematics*, 271(1–3), 209–222, 2003.
- [19] S. Norine, L. Postle and Z. Song. Breaking the degeneracy barrier for coloring graphs with no K_t minor. arXiv preprint, [arXiv:1910.09378](https://arxiv.org/abs/1910.09378), 2019.
- [20] S. Norine and L. Postle. Connectivity and choosability of graphs with no K_t minor. *Journal of Combinatorial Theory, Series B*, 2020. <https://doi.org/10.1016/j.jctb.2021.02.001>
- [21] L. Postle. Further progress towards Hadwiger’s conjecture. [arXiv:2006.11798](https://arxiv.org/abs/2006.11798), 2020.
- [22] L. Postle. An even better density increment theorem and its application to Hadwiger’s conjecture. [arXiv:2006.14945](https://arxiv.org/abs/2006.14945), 2020.
- [23] L. Postle. Further progress towards the list and odd versions of Hadwiger’s conjecture. [arXiv:2010.05999](https://arxiv.org/abs/2010.05999), 2020.
- [24] P. Seymour. Hadwiger’s conjecture. In *Open Problems in mathematics*, 417–437, Springer, 2016, <https://web.math.princeton.edu/~pds/papers/hadwiger/paper.pdf>
- [25] R. Steiner. Improved lower bound for the list chromatic number of graphs with no K_t -minor. *Combinatorics, Probability and Computing*, <https://doi.org/10.1017/S0963548322000116>, 2022.

- [26] A. Thomason. An extremal function for contractions of graphs. *Mathematical Proceedings of the Cambridge Philosophical Society*, 95, 261–265, 1984.
- [27] D. R. Woodall. List colourings of graphs. In *Surveys in Combinatorics 2001 (Sussex)*, *London Math. Soc. Lecture Note Ser.*, 288, 269–301, 2001.
- [28] D. R. Woodall. Defective choosability of graphs with no edge-plus-independent-set minor. *Journal of Graph Theory*, 45, 51–56, 2004.
- [29] Open Problem Garden. List Hadwiger Conjecture.
http://www.openproblemgarden.org/op/list_hadwiger_conjecture