# THE INDEPENDENCE NUMBER OF DENSE GRAPHS WITH LARGE ODD GIRTH 

James B. Shearer<br>Department of Mathematics<br>IBM T.J. Watson Research Center<br>Yorktown Heights, NY 10598<br>JBS at WATSON.IBM.COM

Submitted: January 31, 1995; Accepted: February 14, 1995


#### Abstract

Let $G$ be a graph with $n$ vertices and odd girth $2 k+3$. Let the degree of a vertex $v$ of $G$ be $d_{1}(v)$. Let $\alpha(G)$ be the independence number of $G$. Then we show $\alpha(G) \geq 2^{-\left(\frac{k-1}{k}\right)}\left[\sum_{v \in G} d_{1}(v)^{\frac{1}{k-1}}\right]^{(k-1) / k}$. This improves and simplifies results proven by Denley [1].


AMS Subject Classification. 05C35

Let $G$ be a graph with $n$ vertices and odd girth $2 k+3$. Let $d_{i}(v)$ be the number of points of degree $i$ from a vertex $v$. Let $\alpha(G)$ be the independence number of $G$. We will prove lower bounds for $\alpha(G)$ which improve and simplify the results proven by Denley [1].

We will consider first the case $k=1$. We need the following lemma.
Lemma 1: Let $G$ be a triangle-free graph. Then

$$
\alpha(G) \geq \sum_{v \in G} d_{1}(v) /\left[1+d_{1}(v)+d_{2}(v)\right]
$$

Proof. Randomly label the vertices of $G$ with a permutation of the integers from 1 to $n$. Let $A$ be the set of vertices $v$ such that the minimum label on vertices at distance 0,1 or 2 from $v$ is on a vertex at distance 1. Clearly the probability that $A$ contains a vertex $v$ is $d_{1}(v) /\left[1+d_{1}(v)+d_{2}(v)\right]$. Hence the expected size of $A$ is $\sum_{v \in G} d_{1}(v) /\left[1+d_{1}(v)+d_{2}(v)\right]$.
Furthermore, $A$ must be an independent set since if $A$ contains an edge it is easy to see that it must lie in a triangle of $G$ a contradiction. The result follows at once.

We can now prove the following theorem.
Theorem 1. Suppose $G$ contains no 3 or 5 cycles. Let $\bar{d}$ be the average degree of vertices of $G$. Then

$$
\alpha(G) \geq \sqrt{n \bar{d} / 2}
$$

Proof. Since $G$ contains no 3 or 5 cycles, we have $\alpha(G) \geq d_{1}(v)$ (consider the neighbors of $v$ ) and $\alpha(G) \geq 1+d_{2}(v)$ (consider $v$ and the points at distance 2 from $v$ ) for any vertex $v$ of $G$. Hence $\alpha(G) \geq \sum_{v \in G} d_{1}(v) /\left[1+d_{1}(v)+d_{2}(v)\right] \geq \sum_{v \in G} d_{1}(v) / 2 \alpha(G)$ (by lemma 1 and the preceding remark). Therefore $\alpha(G)^{2} \geq n \bar{d} / 2$ or $\alpha(G) \geq \sqrt{n \bar{d} 2}$ as claimed.

This improves Denley's Theorems 1 and 2. It is sharp for the regular complete bipartite graphs $K_{a a}$.

The above results are readily extended to graphs of larger odd girth.
Lemma 2: Let $G$ have odd girth $2 k+1$ or greater $(k \geq 2)$. Then

$$
\alpha(G) \geq \sum_{v \in G} \frac{\frac{1}{2}\left(1+d_{1}(v)+\cdots+d_{k-1}(v)\right)}{1+d_{1}(v)+\cdots+d_{k}(v)} .
$$

Proof. Randomly label the vertices of $G$ with a permutation of the integers from 1 to $n$. Let $A$ (respectively $B$ ) be the set of vertices $v$ of $G$ such that the minimum label on vertices at distance $k$ or less from $v$ is at even (respectively odd) distance $k-1$ or less. It is easy to see that $A$ and $B$ are independent sets and that the expected size of $A \cup B$ is $\sum_{v \in G} \frac{\left(1+d_{1}(v)+\cdots+d_{k-1}(v)\right)}{1+d_{1}(v)+\cdots+d_{k}(v)}$. The lemma follows at once.
Theorem 2: Let $G$ have odd girth $2 k+3$ or greater $(k \geq 2)$. Then

$$
\alpha(G) \geq 2^{-\left(\frac{k-1}{k}\right)}\left[\sum_{v \in G} d_{1}(v)^{\frac{1}{k-1}}\right]^{\frac{k-1}{k}}
$$

Proof. By Lemmas 1, 2

$$
\begin{aligned}
\alpha(G) \geq \sum_{v \in G}\left[\left[\frac{d_{1}(v)}{1+d_{1}(v)+d_{2}(v)}\right]\right. & +\frac{1}{2}\left[\frac{1+d_{1}(v)+d_{2}(v)}{1+d_{1}(v)+d_{2}(v)+d_{3}(v)}\right] \\
+\cdots+ & \left.\frac{1}{2}\left[\frac{1+d_{1}(v)+\cdots+d_{k-1}(v)}{1+d_{1}(v)+\cdots+d_{k}(v)}\right]\right] /(k-1) .
\end{aligned}
$$

Since the arithmetic mean is greater than the geometric mean, we can conclude that $\alpha(G) \geq \sum_{v \in G}\left[\frac{d_{1}(v) 2^{-(k-2)}}{1+d_{1}(v)+\cdots+d_{k}(v)}\right]^{1 / k-1}$. Since the points at even (odd) distance less than or equal $k$ from any vertex $v$ in $G$ form independent sets we have $2 \alpha(G) \geq 1+$
$d_{1}(v)+\cdots+d_{k}(v)$. Hence $\alpha(G) \geq \sum_{v \in G}\left[\frac{d_{1}(v)}{2^{k-1} \alpha(G)}\right]^{\frac{1}{k-1}}$ or $\alpha(G)^{\frac{k}{k-1}} \geq \frac{1}{2}\left[\sum_{v \in G} d_{1}(v)^{\frac{1}{k-1}}\right]$
or $\alpha(G) \geq 2^{-\left(\frac{k-1}{k}\right)}\left[\sum_{v \in G} d_{1}(v)^{\frac{1}{k-1}}\right]^{\frac{k-1}{k}}$ as claimed.
Corollary 1: Let $G$ be regular degree $d$ and odd girth $2 k+3$ or greater $(k \geq 2)$. Then

$$
\alpha(G) \geq 2^{-\left(\frac{k-1}{k}\right)} n^{\frac{k-1}{k}} d^{\frac{1}{k}} .
$$

Proof. Immediate from Theorem 3.
This improves Denley's Theorem 4.

## References

1. Denley, T., The Independence number of graphs with large odd girth, The Electronic Journal of Combinatorics 1 (1994) \#R9.
