

Doubled patterns with reversal and square-free doubled patterns

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Abstract

In combinatorics on words, a word w over an alphabet Σ is said to avoid a pattern p over an alphabet Δ if there is no factor f of w such that $f = h(p)$ where $h : \Delta^* \rightarrow \Sigma^*$ is a non-erasing morphism. A pattern p is said to be k -avoidable if there exists an infinite word over a k -letter alphabet that avoids p . A pattern is *doubled* if every variable occurs at least twice. Doubled patterns are known to be 3-avoidable. Currie, Mol, and Rampersad have considered a generalized notion which allows variable occurrences to be reversed. That is, $h(V^R)$ is the mirror image of $h(V)$ for every $V \in \Delta$. We show that doubled patterns with reversal are 3-avoidable. We also conjecture that (classical) doubled patterns that do not contain a square are 2-avoidable. We confirm this conjecture for patterns with at most 4 variables. This implies that for every doubled pattern p , the growth rate of ternary words avoiding p is at least the growth rate of ternary square-free words. A previous version of this paper containing only the first result has been presented at WORDS 2021.

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1 Introduction

The *mirror image* of the word $w = w_1w_2 \dots w_n$ is the word $w^R = w_nw_{n-1} \dots w_1$. A pattern with reversal p is a non-empty word over an alphabet $\Delta = \{A, A^R, B, B^R, C, C^R, \dots\}$ such that $\{A, B, C, \dots\}$ are the *variables* of p . An *occurrence* of p in a word w is a non-erasing morphism $h : \Delta^* \rightarrow \Sigma^*$ satisfying $h(X^R) = (h(X))^R$ for every variable X and such that $h(p)$ is a factor of w . The avoidability index $\lambda(p)$ of a pattern with reversal p is the size of the smallest alphabet Σ such that there exists an infinite word w over Σ containing no occurrence of p . A pattern p such that $\lambda(p) \leq k$ is said to be k -avoidable. To emphasize

that a pattern is without reversal (i.e., it contains no X^R), it is said to be *classical*. A pattern is *doubled* if every variable occurs at least twice.

Our aim is to strengthen the following result.

Theorem 1. [3, 10, 11] *Every doubled pattern is 3-avoidable.*

First, we extend it to patterns with reversal.

Theorem 2. *Every doubled pattern with reversal is 3-avoidable.*

Then, we notice that all the known classical doubled patterns that are 2-unavoidable contain a square, such as $AABB$, $ABAB$, or $ABCCBADD$.

Conjecture 3. Every square-free doubled pattern is 2-avoidable.

Notice that Conjecture 3 is related to but independent of the following conjecture.

Conjecture 4. [11, 13] There exist only finitely many 2-unavoidable doubled patterns.

The proof of Conjecture 3 for patterns up to 3 variables follows from the 2-avoidability of $ABACBC$, $ABCBABC$, $ABCACB$ and $ABCBAC$ since every square-free doubled pattern with 3 variables contains one of these patterns as factor. We were able to verify it for patterns up to 4 variables.

Theorem 5. *Every square-free doubled pattern with at most 4 variables is 2-avoidable.*

Finally, we obtain a lower bound on the number of ternary words avoiding a doubled pattern. The factor complexity of a factorial language L over Σ is $f(n) = |L \cap \Sigma^n|$. The growth rate of L over Σ is $\lim_{n \rightarrow \infty} f(n)^{\frac{1}{n}}$. We denote by $GR_3(p)$ the growth rate of ternary words avoiding the doubled pattern p .

Theorem 6. *For every doubled pattern p , $GR_3(p) \geq GR_3(AA)$.*

Let $v(p)$ be the number of distinct variables of the pattern p . In the proof of Theorem 1, the set of doubled patterns is partitioned as follows:

1. Patterns with $v(p) \leq 3$: the avoidability index of every ternary pattern has been determined [10].
2. Patterns shown to be 3-avoidable with the so-called power series method:
 - Patterns with $v(p) \geq 6$ [3]
 - Patterns with $v(p) = 5$ and prefix ABC or length at least 11 [11]
 - Patterns with $v(p) = 4$ and prefix $ABCD$ or length at least 9 [11]
3. Ten sporadic patterns with $4 \leq v(p) \leq 5$ whose 3-avoidability cannot be deduced from the previous results: they have been shown to be 2-avoidable [11] using the method in [10].

The proofs of Theorems 2 and 6 use the same partition. Sections 3 to 5 are each devoted to one type of doubled pattern with reversal. Theorem 5 is proved in Section 6 Theorem 6 is proved in Section 7

2 Preliminaries

A variable that appears only once in a pattern is said to be *isolated*. The *formula* f associated to a pattern p is obtained by replacing every isolated variable in p by a dot. The factors between the dots are called *fragments*. An occurrence of a formula f in a word w is a non-erasing morphism h such that the h -image of every fragment of f is a factor of w . As for patterns, the avoidability index $\lambda(f)$ of a formula f is the size of the smallest alphabet allowing the existence of an infinite word containing no occurrence of f . Recently, the avoidability of formulas with reversal has been considered by Currie, Mol, and Rampersad [6, 7] and Ochem [12].

A word w is *d-directed* if for every factor f of w of length d , the word f^R is not a factor of w .

Remark 7. If a d -directed word contains an occurrence h of $X.X^R$ for some variable X , then $|h(X)| \leq d - 1$.

Recall that a formula is *nice* if every variable occurs at least twice in the same fragment. In particular, a doubled pattern is a nice formula with exactly one fragment.

The *avoidability exponent* $AE(f)$ of a formula f is the largest real x such that every x -free word avoids f . Every nice formula f with $v(f) \geq 3$ variables is such that $AE(f) \geq 1 + \frac{1}{2v(f)-3}$ [15].

Let \simeq be the equivalence relation on words defined by $w \simeq w'$ if $w' \in \{w, w^R\}$. Avoiding a pattern up to \simeq has been investigated for every binary formula [5]. Remark that for a given classical pattern or formula p , avoiding p up to \simeq implies avoiding simultaneously all the variants of p with reversal.

Recall that a word is (β^+, n) -free if it contains no repetition with exponent strictly greater than β and period at least n . Finally, the repetition threshold $RT(n)$ is the smallest real number α such that there exists an infinite α^+ -free word over Σ_n . We denote by w_k any infinite $RT(k)^+$ -free word over Σ_k (w_k is often called a Dejean word).

A morphism is m given in the format $m(0)/m(1)/\dots$

We denote by b_2 , b_3 , b_4 , and b_5 , respectively, the fixed-point of the well-known morphisms:

- 01/10 [17],
- 012/02/1 [8],
- 01/21/03/23 [2]
- 01/23/4/21/0 [1]

3 Formulas with at most 3 variables

For classical doubled patterns with at most 3 variables, all the avoidability indices are known. There are many such patterns, so it would be tedious to consider all their variants with reversal.

However, we are only interested in their 3-avoidability, which follows from the 3-avoidability of nice formulas with at most 3 variables [14].

Thus, to obtain the 3-avoidability of doubled patterns with reversal with at most 3 variables, we show that every minimally nice formula with at most 3 variables is 3-avoidable up to \simeq .

The minimally nice formulas with at most 3 variables, up to symmetries, are determined in [14] and listed in the following table. Every such formula f is avoided by the image by a q -uniform morphism of either any infinite $\left(\frac{5}{4}\right)^+$ -free word w_5 over Σ_5 or any infinite $\left(\frac{7}{5}\right)^+$ -free word w_4 over Σ_4 , depending on whether the avoidability exponent of f is smaller than $\frac{7}{5}$.

Formula f	$= f^R$	$AE(f)$	q	d	freeness
$ABA.BAB$	yes	1.5	9	9	$\left(\frac{131}{90}^+, 28\right)$
w_4 ; 002112201/001221122/001220112/001122012					
$ABCA.BCAB.CABC$	yes	1.333333333	6	8	$\left(\frac{4}{3}^+, 25\right)$
w_5 ; 021221/021121/020001/011102/010222					
$ABCBA.CBABC$	yes	1.333333333	4	9	$\left(\frac{30}{23}^+, 18\right)$
w_5 ; 2011/1200/1120/0222/0012					
$ABCA.BCAB.CBC$	no	1.381966011	9	4	$\left(\frac{62}{45}^+, 37\right)$
w_5 ; 020112122/020101112/020001222/010121222/000111222					
$ABA.BCB.CAC^1$	yes	1.5	9	4	$\left(\frac{67}{45}^+, 37\right)$
w_4 ; 001220122/001220112/001120122/001120112					
$ABCA.BCAB.CBAC$	yes ²	1.333333333	6	6	$\left(\frac{31}{24}^+, 31\right)$
w_5 ; 012220/012111/012012/011222/010002					
$ABCA.BAB.CAC$	yes	1.414213562	6	8	$\left(\frac{89}{63}^+, 61\right)$
w_4 ; 021210/011220/002111/001222					
$ABCA.BAB.CBC$	no	1.430159709	6	7	$\left(\frac{17}{12}^+, 61\right)$
w_4 ; 011120/002211/002121/001222					
$ABCA.BAB.CBAC$	no	1.381966011	8	7	$\left(\frac{127}{96}^+, 41\right)$
w_5 ; 01222112/01112022/01100022/01012220/01012120					
$ABCBA.CABC$	no	1.361103081	6	8	$\left(\frac{4}{3}^+, 25\right)$
w_5 ; 021121/012222/011220/011112/000102					
$ABCBA.CAC$	yes	1.396608253	6	13	$\left(\frac{4}{3}^+, 25\right)$
w_5 ; 022110/021111/012222/012021/011220					

¹The formula $ABA.BCB.CAC$ seems to be also avoided up to \simeq by b_3 .

²We mistakenly said in [14] that $ABCA.BCAB.CBAC$ is different from its reverse.

In the table above, the columns indicate respectively, the considered minimally nice formula f , whether f is equivalent to its reversed formula, the avoidability exponent of f , the value q such that the corresponding morphism is q -uniform, the value such that the avoiding word is d -directed, the suitable property of (β^+, n) -freeness used in the proof that f is avoided. The second line contains the infinite ternary word avoiding f .

As an example, we show that $ABCBA.CAC$ is avoided by $g(w_5)$, where

$g = 022110/021111/012222/012021/011220$. First, we check that $g(w_5)$ is $\left(\frac{4}{3}^+, 25\right)$ -free using the main lemma in [10], that is, we check the $\left(\frac{4}{3}^+, 25\right)$ -freeness of the g -image of every $\left(\frac{5}{4}^+\right)$ -free word of length at most $\frac{2 \times \frac{4}{3}}{\frac{4}{3} - \frac{5}{4}} = 32$. Then we check that $g(w_5)$ is 13-directed by inspecting the factors of $g(w_5)$ of length 13. For contradiction, suppose that $g(w_5)$ contains an occurrence h of $ABCBA.CAC$ up to \simeq . Let us write $a = |h(A)|$, $b = |h(B)|$, $c = |h(C)|$.

Suppose that $a \geq 25$. Since $g(w_5)$ is 13-directed, all occurrences of $h(A)$ are identical. Then $h(ABCBA)$ is a repetition with period $|h(ABCBA)| \geq 25$. So the $\left(\frac{4}{3}^+, 25\right)$ -freeness implies the bound $\frac{2a+2b+c}{a+2b+c} \leq \frac{4}{3}$, that is, $a \leq b + \frac{1}{2}c$.

In every case, we have

$$a \leq \max \left\{ b + \frac{1}{2}c, 24 \right\}.$$

Similarly, the factors $h(BCB)$ and $h(CAC)$ imply

$$b \leq \max \left\{ \frac{1}{2}c, 24 \right\}$$

and

$$c \leq \max \left\{ \frac{1}{2}a, 24 \right\}.$$

Solving these inequalities gives $a \leq 36$, $b \leq 24$, and $c \leq 24$. Now we can check exhaustively that $g(w_5)$ contains no occurrence up to \simeq satisfying these bounds.

Except for $ABCBA.CBABC$, the avoidability index of the nice formulas in the above table is 3. So the results in this section extend their 3-avoidability up to \simeq .

4 The power series method

The so-called power series method has been used [3, 11] to prove the 3-avoidability of many classical doubled patterns with at least 4 variables and every doubled pattern with at least 6 variables, as mentioned in the introduction.

Let p be such a classical doubled pattern and let p' be a doubled pattern with reversal obtained by adding some $-^R$ to p . Without loss of generality, the leftmost appearance of every variable X of p remains free of $-^R$ in p' . Then we will see that p' is also 3-avoidable. The power series method is a counting argument that relies on the following observation. If the h -image of the leftmost appearance of the variable X of p is fixed, say $h(X) = w_X$, then there is exactly one possibility for the h -image of the other appearances

of X , namely $h(X) = w_X$. This observation can be extended to p' , since there is also exactly one possibility for $h(X^R)$, namely $h(X^R) = w_X^R$.

Notice that this straightforward generalization of the power series method from classical doubled patterns to doubled patterns with reversal cannot be extended to avoiding a doubled pattern up to \simeq . Indeed, if $h(X) = w_X$ for the leftmost appearance of the variable X and w_X is not a palindrome, then there exist two possibilities for the other appearances of X , namely w_X and w_X^R .

5 Sporadic patterns

Up to symmetries, there are ten doubled patterns whose 3-avoidability cannot be deduced by the previous results. They have been identified in [11] and are listed in Table 1.

Doubled pattern	Avoidability exponent
$ABACBDCD$	1.381966011
$ABACDBDC$	1.333333333
$ABACDCBD$	1.340090632
$ABCADBDC$	1.292893219
$ABCADCBD$	1.295597743
$ABCADCDB$	1.327621756
$ABCBDADC$	1.302775638
$ABACBDCED E$	1.366025404
$ABACDBCED E$	1.302775638
$ABACDBDECE$	1.320416579

Table 1: The seven sporadic patterns on 4 variables and the three sporadic patterns on 5 variables

Let h be the 9-uniform morphism

$$020022221/011111221/010202110/010022112/000022121.$$

Using the same method as in Section 3, we show that $h(w_5)$ avoids up to \simeq these ten sporadic patterns simultaneously. The suitable properties are that $h(w_5)$ is 7-directed and $\left(\frac{139}{108}^+, 46\right)$ -free.

6 Square-free doubled patterns with at most 4 variables

Here we show Theorem 5, that is, every square-free doubled pattern with at most 4 variables is 2-avoidable. We list them as follows:

- Among patterns that are equal up to letter permutation, we only list the lexicographically least.

- If a pattern is distinct from its mirror image, we only list the lexicographically least among the pattern and its mirror image.
- We do not include the seven sporadic patterns on 4 variables from Table 1, which are 2-avoidable [11].
- We do not list patterns that contain an occurrence of a (strictly smaller) square-free doubled pattern.

Table 2 contains every pattern p in this list with an infinite binary word avoiding p . Let us detail how to read Table 2:

- If the avoiding word is a pure morphic word $m^\omega(0)$, then m is given.
- If the avoiding word is a morphic word $f(m^\omega(0))$, then we write $m; f$.
- If the avoiding word is of the form $f(w_k)$, then we write $w_k; f$.

The proofs that a (pure) morphic word avoids a pattern use Cassaigne's algorithm [4] and the proofs that a morphic image word a Dejean word avoids a pattern use the technique described in Section 3.

7 Growth rate of ternary words avoiding a doubled pattern

Theorem 6 obviously holds for $p = AA$. Without loss of generality, we do not need to consider a doubled pattern p that contains an occurrence of another doubled pattern. In particular, p is square-free. So we need to show that $GR_3(p)$ is at least $GR_3(AA)$, which is close to 1.30176 [16].

If p is 2-avoidable, then p is avoided by sufficiently many ternary words. By Lemma 4.1 in [10], $\lambda(p) = 2$ implies that $GR_3(p) \geq 2^{\frac{1}{2}} > GR_3(AA)$. Thus, Conjecture 3 implies Theorem 6. By Theorem 5, we can assume that $v(p) \geq 5$. We can also rule out the three sporadic patterns on 5 variables from Table 1, which are 2-avoidable [11].

According to the partition of the set of doubled patterns mentioned in the introduction, there remains to consider the doubled patterns p whose 3-avoidability has been obtained via the power series method. In that case, we even get $GR_3(p) > 2 > GR_3(AA)$.

8 Conclusion

Unlike classical formulas, we know that there exist avoidable formulas with reversal of arbitrarily high avoidability index [12]. Maybe doubled patterns and nice formulas are easier to avoid. We propose the following open problems.

- Are there infinitely many doubled patterns up to \simeq that are not 2-avoidable?
- Is there a nice formula up to \simeq that is not 3-avoidable?

Doubled pattern p	Binary word avoiding p
ABCABDCBD	w_5 ; 0010101110/0010011000/0001111110/000110101/0000011001
ABCACDCBD	w_5 ; 000101010111/000100110111/000011001111/000001011111/000000111111
ABCBAABDCBD	b_4 ; 01/00/10/11
ABCBAACBCD	b_4 ; 0000/0011/1111/1010
ABCBDACBCD	b_4 ; 01/00/10/11
ABCBDACBCD	b_2
ABCBDACBCD	b_4 ; 1000/0111/0110/0010
ABCDACBD	w_5 ; 0010011011111000/00100110111011000/ 00011110110101010/0000111111011010/0000101010101111
ABCDABACBD	w_6 ; 010101111100/010010100000/001001110111/000111111101/000101010111/000100011011
ABCDABAC	w_5 ; 10001000101111101010110/0000011011010100011111/ 00000101011100100111111/0000001110101001001111/0000001101100010101111
ABCDACBCBD	001/011
ABCDACBCD	b_5 ; 001110110000/0011010100110/0001111100111/0001110001000/000110110111
ABCDACBACD	Every occurrence h of p is a repetition with period $ h(ABCD CB) \geq 6$ and exponent $\frac{ h(ABCD CBABCD) }{ h(ABCD CB) } = \frac{2a+3b+3c+2d}{a+2b+2c+d} = \frac{3}{2} + \frac{a+d}{2(a+2b+2c+d)} > \frac{3}{2}$. Thus, every $(\frac{4}{3}^+, 6)$ -free binary word avoids p [9].
ABCDACBACBD	b_5 ; 00/01/10/110/111
ABACDCBCD	w_5 ; 10011011000/01011111000/00111010100/00100100111/00001111111
ABCABDECD	w_5 ; 0010111111/0010011110/0010011100/0000010101/0000001101
ABCADBCBD	w_5 ; 001011010000/001001111000/000110011001/000011101010/000010111111
ABCADBCBD	w_5 ; 001101111000/001101101000/001001111111/000101110101/000001100101
ABCBAABDC	w_5 ; 0011111110110/0001010111100/000010110110/000001101011/000000101111
ABCBAABCD	w_4 ; 1111/1101/0010/0000
ABCBAABDC	w_5 ; 101110000001/101100100001/011111110100/010001111110/010001101110
ABCBAABCD	b_5 ; 00/01/10/110/111
ABCBAABDC	w_5 ; 00110111010010/00110000000010/0001111111011/00011110101000/00010101100011
ABCBAABDC	b_5 ; 111/101/000/011/001
ABCBAABDC	b_5 ; 00/01/10/110/111
ABCBAABDC	b_5 ; 000/011/001/111/101
ABCBAABDC	b_4 ; 01/00/10/11
ABCBAABDC	w_5 ; 0001111101010/0001110111000/0001011111111/0000111001111/0000011011001
ABCBAABDC	011/100
ABCBAABDC	w_5 ; 00111101110000/00111011000010/00111010100000/00011001001111/00010101111111
ABCBAABDC	b_5 ; 00/01/10/110/111
ABCBAABDC	b_5 ; 00/01/10/110/111
ABCBAABDC	w_5 ; 00011110110011/00011101101001/00011011010100/0001011111110/00000011111010
ABCBAABDC	b_4 ; 000/111/10/01
ABCBAABDC	b_2
ABCBAABDC	b_4 ; 00/01/10/11
ABCBAABDC	001/110
ABCBAABDC	b_5 ; 00/10/111/01/011
ABCBAABDC	w_5 ; 10000000011/01111010010/01101100010/0101111110/00001010101
ABCBAABDC	b_5 ; 111/101/000/100/110
ABCBAABDC	b_5 ; 00/01/10/110/111
ABCBAABDC	b_5 ; 00/01/10/110/111
ABCBAABDC	b_5 ; 00/01/10/110/111
ABCBAABDC	b_5 ; 00/01/10/1100/111
ABCBAABDC	w_5 ; 001101101100/001011111111/001001111100/000110010100/000001110100
ABCBAABDC	b_4 ; 000/111/10/01
ABCBAABDC	w_5 ; 1111100/1100110/0110101/0010010/0000101
ABCBAABDC	w_5 ; 0000010001111110101000100111110111/0000010001111100100001100101101111/ 000000100111111101000011010111011/000000100111110110100010101111011/ 000000010111001000011111010010111
ABCBAABDC	w_5 ; 0011111110101/0010110111010/0010101110000/0000111111001/0000110110001
ABCBAABDC	w_5 ; 0101111111/01001000111/00101000011/00011110101/00000001011
ABCBAABDC	b_5 ; 101/000/110/111/100
ABCBAABDC	w_5 ; 0110101/0100000/0011110/0001111/0000111
ABCBAABDC	w_5 ; 00010111001010/00001111010101/00001110001010/00001100111111/00001100010110
ABCBAABDC	w_5 ; 000000110110110011100011110110110000101111010100100101110111/ 0000001101101100001001111011010100001010111010100100101110111/ 00000010110011110101001100011100001010111010100100101110111/ 0000001010110110100010001111110100001010111010100100101110111/ 00000010101100111000111101001100001010111010100100101110111
ABCBAABDC	w_5 ; 01111101/00111100/00111001/00110110/00000101
ABCBAABDC	w_5 ; 00110001000110/0010101111110/00011111010011/00010101011111/00000001010011

Table 2: Binary words avoiding doubled patterns

A first step would be to improve Theorem 2 by generalizing the 3-avoidability of doubled patterns with reversal to doubled patterns up to \simeq . Notice that the results in Sections 3 and 5 already consider avoidability up to \simeq . However, the power series method gives weaker results. Classical doubled patterns with at least 6 variables are 3-avoidable because

$$1 - 3x + \left(\frac{3x^2}{1 - 3x^2} \right)^v$$

has a positive real root for $v \geq 6$. The (basic) power series for doubled patterns up to \simeq with v variables would be

$$1 - 3x + \left(\frac{6x^2}{1 - 3x^2} - \frac{3x^2 + 3x^4}{1 - 3x^4} \right)^v.$$

The term $\frac{6x^2}{1-3x^2}$ counts for twice the term $\frac{3x^2}{1-3x^2}$ in the classical setting, for $h(V)$ and $h(V)^R$. The term $\frac{3x^2+3x^4}{1-3x^4}$ corrects for the case of palindromic $h(V)$, which should not be counted twice. This power series has a positive real root only for $v \geq 10$. This leaves many doubled patterns up to \simeq whose 3-avoidability must be proved with morphisms.

Looking at the proof of Theorem 2, we may wonder if a doubled pattern with reversal is always easier to avoid than the corresponding classical pattern. This is not the case: backtracking shows that $\lambda(ABCAR^R C^R B) = 3$, whereas $\lambda(ABCACB) = 2$ [10].

To get a more precise version of both conjectures 3 and 4, we plan to obtain the (conjectured) list of all 2-unavoidable doubled patterns, which should be a finite list containing no square-free pattern.

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