

# Subgraph densities in $K_r$ -free graphs

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## Abstract

A counterexample to a recent conjecture of Lidický and Murphy on the structure of  $K_r$ -free graph maximizing the number of copies of a given graph with chromatic number at most  $r - 1$  is known in the case  $r = 3$ . Here, we show that this conjecture does not hold for any  $r$ , and that the structure of extremal graphs can be richer. We also provide an alternative conjecture and, as a step towards its proof, we prove an asymptotically tight bound on the number of copies of any bipartite graph of radius at most 2 in the class of triangle-free graphs.

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For graphs  $H$  and  $F$  the generalized Turán number  $\text{ex}(n, H, F)$  is defined to be the maximum number of (not necessarily induced) copies of  $H$  in an  $n$ -vertex graph  $G$  which does not contain  $F$  as a subgraph. Estimating  $\text{ex}(n, H, F)$  for various pairs  $H$  and  $F$  has been a central topic of research in extremal combinatorics. The case when  $H$  and  $F$  are both cliques was settled early on by Zykov [13] and independently by Erdős [2]. The problem of maximizing 5-cycles in a triangle-free graph was a long-standing open problem. The problem was finally settled by Grzesik [5] and independently by Hatami, Hladký, Král, Norine and Razborov [9]. In the case when the forbidden graph  $F$  is a triangle and  $H$  is any bipartite graph containing a matching on all but at most one of its vertices,  $\text{ex}(n, H, F)$  was determined exactly by Győri, Pach and Simonovits [6] in 1991. More recently there has been extensive work on the topic following the work of Alon and Shikhelman [1], who showed various properties of the extremal function  $\text{ex}(n, H, F)$  for general pairs  $H$  and  $F$ .

We now introduce some further notation that we will require in the statements and proofs of our main results. For a graph  $G$ , the vertex set of  $G$  is denoted by  $V(G)$  and the edge set of  $G$  is denoted by  $E(G)$ . We also write  $v(G) = |V(G)|$  and  $e(G) = |E(G)|$ . We denote the path, cycle, and complete graph on  $r$  vertices by  $P_r$ ,  $C_r$ , and  $K_r$ , respectively. The complete multipartite graph with  $r \geq 2$  parts of sizes  $n_1, n_2, \dots, n_r$  is denoted by  $K_{n_1, n_2, \dots, n_r}$ . In the case when each  $n_i$  differs by at most one from the others the  $n$ -vertex graph is referred to as the Turán graph and is denoted by  $T_r(n)$ . For a graph  $H$ , the  $k^{\text{th}}$  power of  $H$ , denoted  $H^k$ , is defined to be the graph with vertex set  $V(H)$  and with an edge between vertices of distance at most  $k$  in  $H$ . For graphs  $G$  and  $H$ , the number of labeled copies of  $H$  in  $G$  is denoted by  $H^*(G)$ , and the number of unlabelled copies of  $H$  in  $G$  is denoted by  $H(G)$ . In particular we have that  $H^*(G)/H(G) = |\text{Aut}(H)|$  where  $\text{Aut}(H)$  is the set of automorphisms of  $H$ .

Recently Lidický and Murphy proposed the following natural conjecture.

**Conjecture 1** (Lidický, Murphy [11]). Let  $H$  be a graph and let  $r$  be an integer such that  $r > \chi(H)$ . Then there exist integers  $n_1, n_2, \dots, n_{r-1}$  such that  $n_1 + n_2 + \dots + n_{r-1} = n$  and

$$\text{ex}(n, H, K_r) = H(K_{n_1, n_2, \dots, n_{r-1}}).$$

Recently Morrison, Nir, Norin, Rzażewski and Wesolek [12] showed that for any graph  $H$  and large enough  $r$ , the maximum number of copies of  $H$  in a  $K_r$ -free  $n$ -vertex graph is obtained by the Turán graph  $T_{r-1}(n)$ , the balanced blow-up of  $K_{r-1}$ . In other words, the above conjecture works if  $r$  is enough large comparing to  $\chi(H)$ .

Using the graph removal lemma one can easily show that for any graphs  $H$  and  $F$  with  $\chi(F) = r$  we have  $\text{ex}(n, H, F) \leq \text{ex}(n, H, K_r) + o(n^{v(H)})$  (see [4]). Therefore, the above conjecture asymptotically determines  $\text{ex}(n, H, F)$  in the case  $\chi(F) > \chi(H)$ , which shows its importance. Unfortunately, the conjecture is not true in general. Indeed a counterexample when  $r = 3$  already appeared in [6]. Here we give a counterexample for arbitrary  $r$ .

**Theorem 2.** *For every  $r \geq 3$  there is a counterexample to Conjecture 1.*

*Proof.* First, we fix some constants later used for constructing a counterexample. Let  $\varepsilon$  be a positive real number such that  $\varepsilon < \frac{1}{4r}$ . Take a positive integer  $a$  for which

$$2\varepsilon^{2r-2}(1 - (2r - 2)\varepsilon)^{2a} > \frac{1}{2^{2a}}.$$

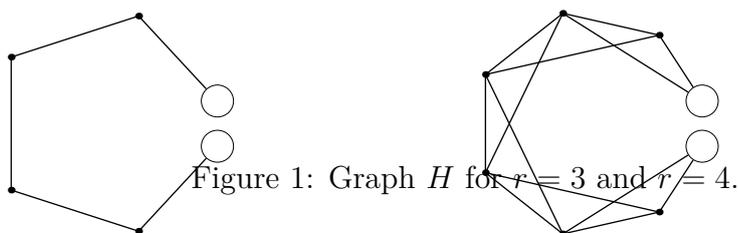


Figure 1: Graph  $H$  for  $r = 3$  and  $r = 4$ .

Let  $H$  be the graph, depicted in Figure 1, obtained from  $P_{2r}^{r-2}$  by replacing each of the two vertices of degree  $r - 2$  with independent sets of size  $a$  each with the same neighborhood as the original vertex. We refer to these  $a$  vertices as copies of the terminal vertex. Note that there is a unique  $(r - 1)$ -coloring of  $H$ , and the copies of different terminal vertices are in different color classes. For integers  $n, n_1, n_2, \dots, n_{r-1}$  such that  $n = n_1 + n_2 + \dots + n_{r-1}$ , we have

$$H(K_{n_1, n_2, \dots, n_{r-1}}) = \frac{1}{|\text{Aut}(H)|} \cdot H^*(K_{n_1, n_2, \dots, n_{r-1}}) \leq n^{2r-2} \left(\frac{n}{2}\right)^{2a}.$$

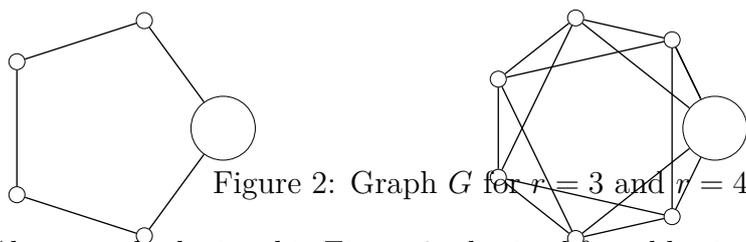


Figure 2: Graph  $G$  for  $r = 3$  and  $r = 4$ .

Let  $G$  be a graph, depicted in Figure 2, obtained from blowing up  $C_{2r-1}^{r-2}$  in the following way. We replace each vertex with a disjoint independent set of size  $\lfloor \varepsilon n \rfloor$  except for one vertex which we replace by an independent set  $A$  of size  $n - (2r - 2) \lfloor \varepsilon n \rfloor$ . Note that  $G$  is an  $n$ -vertex graph and the number of labelled copies of  $H$  in  $G$  is at least

$$2(\lfloor \varepsilon n \rfloor)^{2r-2}(n - (2r - 2) \lfloor \varepsilon n \rfloor)^{2a} + o(n^{2r+2a-2}).$$

Recall by the choice of  $a$  we have

$$2(\lfloor \varepsilon n \rfloor)^{2r-2}(n - (2r - 2) \lfloor \varepsilon n \rfloor)^{2a} + o(n^{2r+2a-2}) > n^{2r-2} \left(\frac{n}{2}\right)^{2a}$$

for large enough  $n$ . Therefore for sufficiently large  $n$  the number of labeled copies, as well as unlabelled copies of  $H$  in  $G$ , is greater than the number in any  $n$  vertex  $(r - 1)$ -partite graph.  $\square$

In the above counterexample when  $r = 3$  a blow-up of a pentagon contains more copies of  $H$  than any complete bipartite graph. It may be natural to expect that for  $r = 3$  the blow-up of a pentagon is the only obstacle, in particular, that for every bipartite graph  $H$ ,  $\text{ex}(n, H, K_3)$  is asymptotically achieved by either a blow-up of an edge (that is, a complete bipartite graph) or a blow-up of a cycle of length five. Surprisingly this is not the case. Here we give an intuitive sketch of the argument.

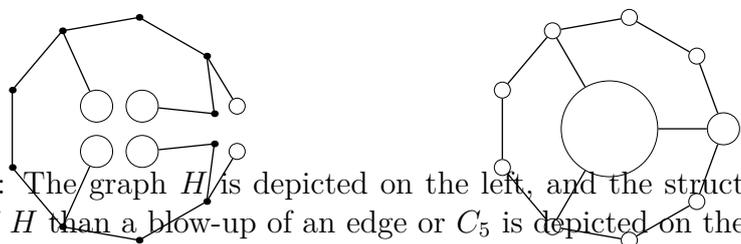


Figure 3: The graph  $H$  is depicted on the left, and the structure of a graph with more copies of  $H$  than a blow-up of an edge or  $C_5$  is depicted on the right.

Let  $H$  be the first graph depicted in Figure 3 defined in the following way. We take a path on 10 vertices  $v_1, v_2, \dots, v_{10}$ , let  $A_2$  and  $A_9$  be big sets of  $y$  independent vertices attached to  $v_2$  and  $v_9$ , accordingly, and let  $B_1, B_4, B_7$  and  $B_{10}$  be huge sets of  $x$  independent vertices attached to the vertices  $v_1, v_4, v_7$  and  $v_{10}$ , accordingly, where  $x \gg y \gg 1$ . If one wants to maximize the number of copies of  $H$  in a complete bipartite graph, then the huge sets  $B_1, B_7$  will be mapped into one color class and the huge sets  $B_4$  and  $B_{10}$  will be mapped into the other color class. Thus, the number of copies of  $H$  will be exponentially small in terms of  $x$ . If one wants to maximize the number of copies of  $H$  in a blow-up of a pentagon, then the largest number of such copies (the dominant term as a function of  $x$ ) will be obtained when the vertices of big degree  $v_1, v_4, v_7$  and  $v_{10}$  are mapped to blobs neighboring to the biggest blob. But then the two big sets  $A_2$  and  $A_9$  need to be mapped to different blobs and not to the largest blob. On the other hand, when one counts the number of copies of  $H$  in the graph depicted on the right in Figure 3, then the dominant term as a function of  $x$  will be obtained when the vertices of big degree  $v_1, v_4, v_7$  and  $v_{10}$  are mapped to blobs neighboring to the largest blob, and in such a case it is still possible to map the sets  $A_2$  and  $A_9$  to one big part, so the dominant term as a function of  $y$  will be bigger than for the blow-up of a pentagon. Therefore, after fixing  $x$  and  $y$  to appropriate values, the maximum number of copies of  $H$  in a triangle-free graph will be achieved neither in a complete bipartite graph nor in a blow-up of a pentagon.

The main idea behind the counterexample we presented to Conjecture 1 is to have a graph with many vertices that cannot have the same color in any two-coloring but can be in the same part in a blow-up of a non-bipartite graph. One can avoid having

such vertices by bounding the diameter of a graph, therefore it is natural to consider the following problem instead of Conjecture 1.

**Conjecture 3.** If  $G$  is a bipartite graph with diameter at most 4, then  $\text{ex}(n, G, K_3)$  is asymptotically achieved in a complete bipartite graph.

In the initial version of this paper, we proposed a more general conjecture for all graphs with the chromatic number  $r$ . In particular, our conjecture stated that for every graph  $G$  with the diameter at most  $2r - 2$  and  $\chi(G) < r$  the maximum number of  $G$  in a  $K_r$ -free graph is asymptotically achieved by a blow-up of  $K_{r-1}$ . This conjecture was subsequently disproved by Keat and Mergoni in [10].

A first step towards Conjecture 3 for  $r = 3$  is to prove it for all bipartite graphs of radius 2. Each such graph can be viewed as a star with additional adjacent vertices. Here we prove a slightly more general result, i.e., for bipartite graphs consisting of some complete bipartite graph and additional adjacent vertices.

**Theorem 4.** *Let  $H$  be a bipartite graph containing a subgraph  $K$  isomorphic to  $K_{s,t}$ . Assume the distance of each vertex  $v \in V(H)$  to  $V(K)$  is at most one. Then the maximum number of copies of  $H$  in a triangle-free  $n$ -vertex graph is obtained asymptotically by a complete bipartite graph.*

*Proof.* We start proof with a simple observation. Let us assume that the maximum number of copies of a connected graph  $H'$  in a triangle-free  $n$ -vertex graph is obtained by a blow-up of an edge. Then for every bipartite graph  $H$  such that  $H' \subseteq H$  we have that the maximum number of copies of  $H$  in a triangle-free  $n$ -vertex graph is obtained by a blow-up of an edge. Therefore we may assume that  $H$  consists of a complete bipartite graph  $K_{s,t}$  with color classes  $S$  and  $T$  and some pendant edges. The number of pendant edges attached to the vertices of  $S$  are denoted  $a_1, a_2, \dots, a_s$  and the number of pendant edges attached to vertices of  $T$  are denoted  $b_1, b_2, \dots, b_t$ .

For a graph  $H$ , we estimate the number of labeled copies of  $H$  in a graph  $G$ . First we fix a set of size  $s$  in  $G$  say  $\{x_1, x_2, \dots, x_s\}$ , onto which we will map the color class  $S$  of  $H$ . Let us denote the common neighborhood of  $\{x_1, x_2, \dots, x_s\}$  in  $G$  by  $X = \bigcap_{i=1}^s N_G(x_i)$ . In the estimates below the vertices  $x_1, x_2, \dots, x_s$  are variables, and therefore the common neighborhood is another variable. After the set  $\{x_1, x_2, \dots, x_s\}$  is chosen we choose a permutation  $\sigma \in S_s$  to map vertices of  $\{x_1, x_2, \dots, x_s\}$  to the vertices of  $S$ . Next we choose vertices  $y_1, y_2, \dots, y_t \in X$  as representatives of  $T$ . Finally, we choose the endpoints of the pendant edges. Note that during this process it is possible that we have chosen a vertex of  $G$  as a representative of more than one vertex of  $H$ , which does not qualify as a copy of  $H$  in  $G$ . Hence we overestimate here by  $o(n^{v(H)})$ . We have

$$H^*(G) = \sum_{\{x_1, \dots, x_s\} \subset V(G)} \left( \sum_{\sigma \in S_s} \prod_{i=1}^s d(x_{\sigma(i)})^{a_i} \right) \left( \sum_{y_1, \dots, y_t \in X} \prod_{j=1}^t d(y_j)^{b_j} \right) + o(n^{v(H)}). \quad (1)$$

We use Muirhead's inequality [8, Theorem 45] to estimate both terms of the product above. For the degrees of  $x_1, x_2, \dots, x_s$  since the sequence  $(a_1, a_2, \dots, a_s)$  is majorized by

the sequence  $(\sum_{i=1}^s a_i, 0, \dots, 0)$  we have

$$\sum_{\sigma \in S_s} \prod_{i=1}^s d(x_{\sigma(i)})^{a_i} \leq (s-1)! \sum_{x \in \{x_1, \dots, x_s\}} d(x)^{\sum_{i=1}^s a_i}. \quad (2)$$

Moreover for the degrees of all vertices of  $X$  the sequence  $(b_1, b_2, \dots, b_t, 0, 0, \dots, 0)$  is majorized by the sequence  $(\sum_{i=j}^t b_i, 0, \dots, 0)$  we have

$$\sum_{y_1, \dots, y_t \in X} \prod_{j=1}^t d(y_j)^{b_j} \leq \frac{(|X|-1)!}{(|X|-t)!} \sum_{y \in X} d(y)^{\sum_{j=1}^t b_j}. \quad (3)$$

Note that we have  $\frac{(|X|-1)!}{(|X|-t)!} \leq |X|^{t-1} \leq d(x)^{t-1}$  for all  $x$  in  $\{x_1, x_2, \dots, x_s\}$ . Putting together the bounds (1), (2) and (3) we obtain

$$H^*(G) \leq \sum_{x \in \{x_1, \dots, x_s\} \subset V(G)} (s-1)! d(x)^{t-1 + \sum_{i=1}^s a_i} \sum_{y \in X} d(y)^{\sum_{j=1}^t b_j} + o(n^{v(H)}) = F^*(G) + o(n^{v(H)}) \quad (4)$$

where  $F$  is a double-star with central vertices  $v$  and  $u$  joined by an edge,  $\sum_{i=1}^s a_i + t - 1$  pendant edges attached to  $v$  and  $\sum_{i=1}^t b_i + s - 1$  pendant edges attached to  $u$ . Here we explain the last equality. Let us fix a set  $S'$  in  $V(F)$  containing the vertex  $v$  and  $s - 1$  leaves adjacent with  $u$ . In order to find a copy of  $F$  in  $G$  first we choose vertices  $x_1, x_2, \dots, x_s$  of  $G$ , then we map vertices from  $S'$  to it and choose representatives of all vertices adjacent to  $v$  in  $F$  except  $u$ . Then we fix a vertex  $y$  representing  $u$ , and finally, we choose the remaining leaves adjacent to it.

For a given  $n$  and  $F$ , Győri, Wang and Woolfson [7] proved that there exists  $n'$  such that for all triangle-free graphs  $G$  on  $n$  vertices we have  $F(G) \leq F(K_{n', n-n'}) + o(n^{v(F)})$ . Therefore we have  $F^*(G) \leq F^*(K_{n', n-n'}) + o(n^{v(F)})$ . Hence the maximum number of labeled copies of  $H$  in  $G$  is also asymptotically attained when  $G = K_{n', n-n'}$ , so

$$H(G) \leq H(K_{n', n-n'}) + o(n^{v(H)}). \quad \square$$

In a follow-up work [3], Gerbner sharpened the above mentioned result of Győri, Wang and Woolfson, and as a consequence proved that Theorem 4 holds as an exact result.

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