

# An Asymptotically Sharp Bound on the Maximum Number of Independent Transversals

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## Abstract

Let  $G$  be a multipartite graph with partition  $V_1, V_2, \dots, V_k$  of  $V(G)$ . Let  $d_{i,j}$  denote the edge density of the pair  $(V_i, V_j)$ . An independent transversal is an independent set of  $G$  with exactly one vertex in each  $V_i$ . In this paper, we prove an asymptotically sharp upper bound on the maximum number of independent transversals given the  $d_{i,j}$ 's.

**Mathematics Subject Classifications:** 05C35, 05C69

## 1 Introduction

Let  $G$  be a multipartite graph with vertex partition  $V_1, V_2, \dots, V_k$ . Jacob Fox asked the following question: given the edge density between every two vertex parts, what is the asymptotically maximum number of independent transversals in  $G$  as  $|V_i|$  goes to infinity for each  $i$ ? An *independent transversal* is an independent set of  $G$  with exactly one vertex in each  $V_i$ . More precisely, for each  $i \neq j$ , let constant  $d_{i,j}$  be the edge density between  $V_i, V_j$ , defined as  $e(V_i, V_j)/|V_i||V_j|$ . Independent transversals arise naturally in extremal combinatorics and bounding its number appears, for example, in inducibility type problems [2, 3]. The previous known bound to this question is the following result of Fox, Huang, and Lee, which is an ingredient in [3] to prove a bound on the number of induced copies of a given subgraph in another graph.

**Theorem 1** (Lemma 4.1 [3]). *Let  $k \geq 2$  be an integer. For each integer pair  $1 \leq i < j \leq k$ , let  $d_{i,j} = d_{j,i}$  be constants in  $[0, 1]$ . Let  $G$  be a multipartite graph with vertex partition*

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$V_1, V_2, \dots, V_k$  such that for each pair  $1 \leq i < j \leq k$ , the edge density between  $V_i, V_j$  is  $d_{i,j}$ . Let  $|V_i| = n_i$ .

Then the number of independent transversals in  $G$  is at most

$$\left( \prod_{1 \leq i < j \leq k} (1 - d_{i,j})^{\lfloor k/2 \rfloor / \binom{k}{2}} \right) \left( \prod_{i=1}^k n_i \right).$$

However, this bound is not sharp in general, or even not asymptotically sharp. This means that there are choices of constants  $d_{i,j}$ 's such that the number of independent transversals divided by  $\prod_{i=1}^k |V_i|$  is strictly less than  $\prod_{1 \leq i < j \leq k} (1 - d_{i,j})^{\lfloor k/2 \rfloor / \binom{k}{2}}$  as  $\prod_{i=1}^k |V_i|$  goes to infinity. In this paper, we prove an asymptotically sharp bound, previously asked by Jacob Fox [1]. Before stating our main theorem, we need the following definition.

**Definition 2.** An *odd cycle decomposition*  $H$  of the complete graph on  $k$  vertices  $K_k$  is a collection of disjoint multigraphs  $F_1, F_2, \dots, F_\ell$  satisfying  $\bigcup_{i \in \ell} V(F_i) = V(K_k)$  such that for all  $i \in [\ell]$ ,  $F_i$  is an odd cycle, a double edge, or an isolated vertex. A double edge is obtained by adding an additional edge between the ends of an isolated edge.

Note that our definition of odd cycle decomposition may be different from other uses in the literature. See Figure 1 for an example. As a matter of notation, we denote an edge between vertices  $v_i, v_j$  by  $ij$ .

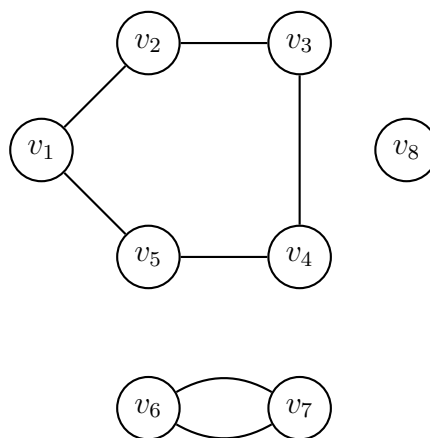


Figure 1: An odd cycle decomposition of  $K_8$ .

Our main result is the following:

**Theorem 3.** Let  $k \geq 2$  be an integer. For each integer pair  $1 \leq i < j \leq k$ , let  $d_{i,j} = d_{j,i}$  be constants in  $[0, 1]$ . Let  $G$  be a multipartite graph with vertex partition  $V_1, V_2, \dots, V_k$  such that for each pair  $1 \leq i < j \leq k$ , the edge density between  $V_i, V_j$  is  $d_{i,j}$ . Let  $|V_i| = n_i$ . Then the number of independent transversals in  $G$  is at most

$$\min_{H \in \mathcal{H}} \left\{ \prod_{F \in H} \prod_{ij \in E(F)} \sqrt{1 - d_{i,j}} \right\} \prod_{i=1}^k n_i,$$

where  $\mathcal{H}$  is the set of all odd cycle decompositions of  $K_k$ . Furthermore, this bound is asymptotically sharp.

By asymptotically sharp we mean that the bound is sharp up to a  $o(n_1 \cdots n_k)$ -term, which refers to a function  $f(n_1, \dots, n_k)$  with the property that  $\lim_{n_1, n_2, \dots, n_k \rightarrow \infty} f(n_1, \dots, n_k) / (n_1 \cdots n_k) = 0$ . In particular, given densities  $d_{i,j}$  for  $i \neq j \in [k]$ , we can construct a  $k$ -partite graph  $G$  that attains the bound in Theorem 3 up to a  $o(n_1 \cdots n_k)$ -term.

Observe that Theorem 3 implies Theorem 1 as follows: Let  $G$  be a multipartite graph as in the hypotheses of the two theorems. Let  $\{a_t b_t\}_{t=1}^{\lfloor k/2 \rfloor}$  with  $a_t, b_t \in [k]$  be a set of  $\lfloor k/2 \rfloor$  edges corresponding to a matching on  $K_k$ . This corresponds to an odd cycle decomposition of  $K_k$  where each subgraph  $F_i$  is a double edge between  $a_t$  and  $b_t$ . Since each edge  $ij \in \binom{[k]}{2}$  is in  $\lfloor k/2 \rfloor / \binom{k}{2}$  fraction of all possible matchings as above, the bound in Theorem 1 is equal to the geometric mean over all products  $\prod_{t=1}^{\lfloor k/2 \rfloor} (1 - d_{a_t, b_t}) \prod_{i=1}^k n_i$  given by all matchings. This geometric mean is at least the minimum over all possible products, which is at least the bound in Theorem 3 on the maximum number of independent transversals in  $G$ .

## 2 Proof of Theorem 3

The proof of Theorem 3 comprises of two parts. In Section 2.1, we show that the result is an upper bound. In Section 2.2, we show that the result is asymptotically sharp.

### 2.1 Proof of Upper Bound

The following lemma is a special case of Theorem 3 (except that we consider transversal cliques instead of independent transversals). We use this case in the proof of Lemma 11, which is key to proving Theorem 3.

**Lemma 4.** *For each integer pair  $1 \leq i < j \leq 3$ , let  $d_{i,j} = d_{j,i}$  be constants in  $[0, 1]$ . Let  $G$  be a tripartite graph with vertex partition  $V_1, V_2, V_3$  such that for each pair  $1 \leq i < j \leq 3$ , the edge density between  $V_i, V_j$  is  $d_{i,j}$ . Let  $|V_i| = n_i$ . Suppose  $d_{1,2} \leq d_{1,3} \leq d_{2,3}$ . Then the number of transversal cliques in  $G$  is at most*

$$\min \left( d_{1,2}, \sqrt{d_{1,2} d_{1,3} d_{2,3}} \right) n_1 n_2 n_3. \quad (1)$$

*Proof.* First suppose  $d_{1,2} \leq \sqrt{d_{1,2} d_{1,3} d_{2,3}}$ . Then we must prove that the number of transversal cliques in  $G$  is at most  $d_{1,2} n_1 n_2 n_3$ . However, there are at most  $d_{1,2} n_1 n_2$  choices for an edge between  $V_1$  and  $V_2$ , so clearly the number of transversal cliques is upper-bounded by  $d_{1,2} n_1 n_2 n_3$ . Thus we may assume  $d_{1,2} > \sqrt{d_{1,2} d_{1,3} d_{2,3}}$ . In particular, this implies  $d_{1,2}, d_{1,3}, d_{2,3} > 0$ . Let  $V_1 = \{v_1, v_2, \dots, v_{n_1}\}$ . For each  $i \in [n_1]$ , we define  $A_i = \{v \in V_2 \mid v_i v \in E(G)\}$  and  $B_i = \{v \in V_3 \mid v_i v \in E(G)\}$ . Let  $|A_i| = a_i n_2$ , and  $|B_i| = b_i n_3$  for some  $a_i, b_i \in [0, 1]$ . The number of transversal cliques in  $G$  is  $\sum_{i \in [n_1]} e(A_i, B_i)$ . Since  $0 \leq e(A_i, B_i) \leq n_2 n_3 \min(d_{2,3}, a_i b_i)$ , the number of transversal cliques in  $G$  is at most  $n_2 n_3 \sum_{i \in [n_1]} \min(d_{2,3}, a_i b_i)$ . Note that we have the constraints

$\sum_{i \in [n_1]} |A_i| = d_{1,2}n_1n_2$  and  $\sum_{i \in [n_1]} |B_i| = d_{1,3}n_1n_3$ . It suffices to solve the following problem:

$$\text{Max } \sum_{i \in [n_1]} \min(a_i b_i, d_{2,3}) \tag{2}$$

$$\text{subject to } \sum_{i \in [n_1]} a_i \leq d_{1,2}n_1, \sum_{i \in [n_1]} b_i \leq d_{1,3}n_1, \text{ where } 0 \leq a_i, b_i \leq 1. \tag{3}$$

Here we still have the assumption that  $d_{1,2} \leq d_{1,3}$ .

Let  $\mathcal{O}$  be the set of all optimal solutions to our problem. The set  $\mathcal{O}$  is nonempty because the objective function (2) is continuous on the compact set defined by the constraints (3). We prove a series of claims, using local adjustments, to show that there must be optimal solutions in  $\mathcal{O}$  that satisfy certain nice conditions. We then use these conditions to get the desired upper bound on the number of transversal cliques in  $G$ .

**Claim 5.** *There is a nonempty subset  $\mathcal{O}_1$  of  $\mathcal{O}$  such that for each  $O = \{a_1, \dots, a_{n_1}, b_1, \dots, b_{n_1}\} \in \mathcal{O}_1$ , we have  $a_i b_i \leq d_{2,3}$  for all  $i$ .*

*Proof.* Suppose that there is  $O \in \mathcal{O}$  where for some  $i \in [n_1]$ ,  $a_i b_i > d_{2,3}$ . Then we can decrease  $a_i, b_i$  to  $a'_i, b'_i$  such that  $a'_i b'_i = d_{2,3}$ . This new set of variables still satisfies the constraints (3) while the objective function (2) has the same value.  $\square$

**Claim 6.** *Let  $O = \{a_1, \dots, a_{n_1}, b_1, \dots, b_{n_1}\} \in \mathcal{O}_1$ . Then  $\sum_{i \in [n_1]} a_i \leq \sum_{i \in [n_1]} b_i$ .*

*Proof.* Assume, to the contrary, that  $\sum_{i \in [n_1]} a_i > \sum_{i \in [n_1]} b_i$ . This implies  $\sum_{i \in [n_1]} b_i < d_{1,3}n_1$ . To obtain a contradiction, we have the following two cases (note that Claim 5 implies  $a_i b_i \leq d_{2,3}$  for all  $i$ ).

First assume that there exists an  $i \in [n_1]$  such that  $a_i b_i < d_{2,3}$  and  $a_i > b_i$ . Then we can choose  $\varepsilon > 0$  such that  $\varepsilon \leq \min(d_{1,3}n_1 - \sum_{i \in [n_1]} b_i, 1 - b_i)$ . By increasing  $b_i$  by  $\varepsilon$ , we satisfy the constraints (3) and strictly increase the objective function (2), contradicting the optimality of  $O$ . This implies that for all  $i \in [n_1]$  with  $a_i b_i < d_{2,3}$  we have  $a_i \leq b_i$ .

Now assume that there exists  $i \in [n_1]$  such that  $a_i b_i = d_{2,3}$  and  $a_i > b_i$ . We prove that there exists  $j \in [n_1]$  with  $a_j b_j < d_{2,3}$ . To this end, assume for contradiction that for all  $j \in [n_1]$ , we have  $a_j b_j = d_{2,3}$ . Then  $d_{2,3}n_1 = \sum_{j \in [n_1]} a_j b_j$ . Since  $a_i > b_i$ , we have  $a_i(1 - b_i) > 0$ , and so  $\sum_{j \in [n_1]} a_j - a_j b_j = \sum_{j \in [n_1]} a_j(1 - b_j) > 0$ . Thus  $\sum_{j \in [n_1]} a_j > \sum_{j \in [n_1]} a_j b_j$ , which implies  $d_{1,2} > d_{2,3}$ , a contradiction. Therefore we can fix  $j \in [n_1]$  with  $a_j b_j < d_{2,3}$ . By the preceding paragraph, we must have  $a_j < 1$ . Choose  $\varepsilon > 0$  such that  $\varepsilon \leq \min(a_i - b_i, 1 - a_j, d_{1,3}n_1 - \sum_{i \in [n_1]} b_i)$ . Then  $(a_i - \varepsilon)(b_i + \varepsilon) \geq a_i b_i$  and  $(a_j + \varepsilon)b_j > a_j b_j$ . Hence, we can increase the objective function while satisfying the constraints, once again contradicting the optimality of  $O$ . Thus for all  $i \in [n_1]$  such that  $a_i b_i = d_{2,3}$ , we have  $a_i \leq b_i$ .

The two cases imply  $\sum_{i \in [n_1]} a_i \leq \sum_{i \in [n_1]} b_i$ , contradicting our initial assumption.  $\square$

**Claim 7.** *Let  $O = \{a_1, \dots, a_{n_1}, b_1, \dots, b_{n_1}\} \in \mathcal{O}_1$ . For all  $i \in [n_1]$  with  $a_i b_i < d_{2,3}$ , we have  $a_i \leq b_i$ .*

*Proof.* Assume for contradiction that there exists  $i \in [n_1]$  with  $a_i b_i < d_{2,3}$  but  $a_i > b_i$ . Then by Claim 6, there must exist  $j \in [n_1]$  with  $a_j < b_j$ . Notice that if  $\varepsilon \in (0, \min(a_i - b_i, b_j - a_j))$ , then  $(a_i - \varepsilon)(b_i + \varepsilon) - a_i b_i = \varepsilon(a_i - b_i) - \varepsilon^2 > 0$  and  $(a_j + \varepsilon)(b_j - \varepsilon) - a_j b_j = \varepsilon(b_j - a_j) - \varepsilon^2 > 0$ . Since  $a_i b_i < d_{2,3}$ , we can choose such an  $\varepsilon$  to obtain a solution to the objective function whose value is greater than that of  $O$  as long as the constraints are still satisfied. Thus, by choosing  $\varepsilon \in (0, \min(a_i - b_i, b_j - a_j, 1 - b_i, a_i, b_j, 1 - a_j))$ , we increase the objective function while satisfying the constraints. This contradicts the optimality of  $O$ .  $\square$

Let  $\mathcal{O}_2$  be the set of  $O$  in  $\mathcal{O}_1$  where  $\sum a_i + \sum b_i$  is minimized.

**Claim 8.** *Let  $O = \{a_1, \dots, a_{n_1}, b_1, \dots, b_{n_1}\} \in \mathcal{O}_2$ . For all  $i, j \in [n_1]$  with  $a_i b_i = a_j b_j = d_{2,3}$ , we have  $a_i = a_j$  and  $b_i = b_j$ .*

*Proof.* Assume for contradiction that there exists  $i, j \in [n_1]$  with  $a_i b_i = a_j b_j = d_{2,3}$  but  $a_i \neq a_j$ . By symmetry, say  $a_i > a_j$ . Then  $b_i < b_j$ . Choose sufficiently small  $\varepsilon, \varepsilon' > 0$  and let  $a'_i = a_i - \varepsilon, a'_j = a_j + \varepsilon, b'_i = b_i + \varepsilon', b'_j = b_j - \varepsilon'$ . Now  $a'_i b'_i + a'_j b'_j = (a_i - \varepsilon)(b_i + \varepsilon') + (a_j + \varepsilon)(b_j - \varepsilon') = 2d_{2,3} + \varepsilon'(a_i - a_j) + \varepsilon(b_j - b_i) - 2\varepsilon\varepsilon' > 2d_{2,3}$  when  $\varepsilon, \varepsilon'$  are sufficiently small. Observe  $a'_i b'_i - a'_j b'_j = \varepsilon'(a_i + a_j) - \varepsilon(b_i + b_j)$ . Thus if  $\varepsilon'/\varepsilon = (b_i + b_j)/(a_i + a_j)$  with  $\varepsilon, \varepsilon'$  sufficiently small, then we must have  $a'_i b'_i = a'_j b'_j > d_{2,3}$ . Therefore we can decrease the values of  $a'_i, a'_j$  so that  $a'_i b'_i = a'_j b'_j = d_{2,3}$ , and in this way the objective function value does not change although  $\sum a_i + \sum b_i$  strictly decreases. This contradicts the definition of  $\mathcal{O}_2$ .  $\square$

For each  $O = \{a_1, \dots, a_{n_1}, b_1, \dots, b_{n_1}\} \in \mathcal{O}_2$ , define  $C_O = \{i \in [n_1] \mid 0 < a_i b_i < d_{2,3}\}$  and  $D_O = \{i \in [n_1] \mid a_i b_i = d_{2,3}\}$ . We prove the following two claims involving  $C_O$ .

**Claim 9.** *Let  $O = \{a_1, \dots, a_{n_1}, b_1, \dots, b_{n_1}\} \in \mathcal{O}_2$ . Either the objective function is at most  $\sqrt{d_{1,2} d_{1,3} d_{2,3} n_1}$  (and so the desired upper bound (1) holds), or for all  $i \in C_O$ , we have  $b_i < 1$ .*

*Proof.* Suppose that there exists  $i \in C_O$  with  $b_i = 1$ . Then we must prove that the objective function is at most  $\sqrt{d_{1,2} d_{1,3} d_{2,3} n_1}$ . Since  $b_i = 1$ , the definition of  $C_O$  implies  $a_i < 1$ . Assume for contradiction that there exists  $j$  with  $a_j b_j > 0$  and  $b_j < 1$ . Then we can decrease  $a_j$  and increase  $a_i$  by some sufficiently small  $\varepsilon > 0$  to contradict the optimality of  $O$ . Thus for all  $j$  with  $a_j b_j > 0$ , we must have  $b_j = 1$ . Since  $\sum_{i \in [n_1]} b_i \leq d_{1,3} n_1$  (recall constraints (3)), the number of  $j$  such that  $a_j b_j > 0$  is at most  $d_{1,3} n_1$ . The objective function is  $\sum_{j \in [n_1]} \min(a_j b_j, d_{2,3}) = \sum_{j \in [n_1], a_j b_j > 0} \min(a_j b_j, d_{2,3}) \leq d_{1,3} n_1 d_{2,3}$ . By our assumption that  $d_{1,2} > \sqrt{d_{1,2} d_{1,3} d_{2,3}}$ , we have  $d_{1,3} d_{2,3} < \sqrt{d_{1,2} d_{1,3} d_{2,3}}$ , and so  $\sum_{j \in [n_1]} \min(a_j b_j, d_{2,3}) < \sqrt{d_{1,2} d_{1,3} d_{2,3} n_1}$ , as desired.  $\square$

**Claim 10.** *Let  $O = \{a_1, \dots, a_{n_1}, b_1, \dots, b_{n_1}\} \in \mathcal{O}_2$ . Then  $|C_O| \leq 1$ .*

*Proof.* Assume for contradiction that  $|C_O| \geq 2$ . Without loss of generality, assume indices  $1, 2 \in C_O$ . By definition of  $C_O$  and Claim 7, we have  $0 < a_1, a_2 < 1$ . We have three cases. If  $b_1 < b_2$ , then we can decrease  $a_1$  and increase  $a_2$  by some  $\varepsilon \in (0, \min(a_1, 1 - a_2)]$  to

increase the objective function, thereby contradicting the optimality of  $O$ . Similarly, if  $b_1 > b_2$ , then we can decrease  $a_2$  and increase  $a_1$  by some  $\varepsilon \in (0, \min(a_2, 1 - a_1)]$  to contradict the optimality of  $O$ . If  $b_1 = b_2$ , then we can assume  $0 < b_1 = b_2 < 1$  by Claim 9 and the definition of  $C_O$ . Now, without loss of generality, suppose  $a_1 \leq a_2$ . In this case, we can decrease  $a_1, b_1$  and increase  $a_2, b_2$  by the same amount  $\varepsilon \in (0, \min(a_1, 1 - a_2, b_1, 1 - b_2)]$  to contradict the optimality of  $O$ .  $\square$

To finish the proof, fix  $O = \{a_1, \dots, a_{n_1}, b_1, \dots, b_{n_1}\} \in \mathcal{O}_2$ . Claim 8 implies that for each  $i, i' \in D_O$ , we have  $a_i = a_{i'} = a$  and  $b_i = b_{i'} = b$  for some  $a, b \in (0, 1]$  with  $ab = d_{2,3}$ . The objective function is

$$\sum_{i \in [n_1]} \min(a_i b_i, d_{2,3}) = \sum_{i \in C_O \cup D_O} \min(a_i b_i, d_{2,3}) = d_{2,3} |D_O| + \sum_{j \in C_O} a_j b_j.$$

By the constraints, the quantity  $|D_O|$  satisfies  $|D_O|a + \sum_{j \in C_O} a_j \leq d_{1,2} n_1$  and  $|D_O|b + \sum_{j \in C_O} b_j \leq d_{1,3} n_1$ . Using this, we can write

$$|D_O| \leq \sqrt{(d_{1,2} n_1 - \sum_{j \in C_O} a_j)(d_{1,3} n_1 - \sum_{j \in C_O} b_j) / d_{2,3}}.$$

If  $|C_O| = 0$ , then  $|D_O| \leq n_1 \sqrt{d_{1,2} d_{1,3} / d_{2,3}}$ . This implies that the objective function is at most  $n_1 \sqrt{d_{1,2} d_{1,3} d_{2,3}}$ , and so the number of transversal cliques in  $G$  is at most  $n_1 n_2 n_3 \sqrt{d_{1,2} d_{1,3} d_{2,3}}$ .

By Claim 10, the only other case that we need to consider is  $|C_O| = 1$ . Let  $j \in C_O$ . In this case, we can bound  $|D_O|$  by

$$\begin{aligned} |D_O| &\leq \sqrt{(d_{1,2} n_1 - a_j)(d_{1,3} n_1 - b_j) / d_{2,3}} \\ &= \sqrt{1/d_{2,3} \sqrt{d_{1,2} d_{1,3} n_1^2 - (d_{1,2} b_j + d_{1,3} a_j) n_1 + a_j b_j}} \\ &\leq \sqrt{1/d_{2,3} \sqrt{d_{1,2} d_{1,3} n_1^2 - 2\sqrt{d_{1,2} d_{1,3} a_j b_j} n_1 + a_j b_j}} \\ &= \sqrt{1/d_{2,3}} \left( \sqrt{d_{1,2} d_{1,3} n_1^2} - \sqrt{a_j b_j} \right), \end{aligned}$$

where the second inequality follows from the AM-GM inequality ( $x + y \geq 2\sqrt{xy}$  for any  $x, y \geq 0$ ). In the last step above, note that  $\sqrt{d_{1,2} d_{1,3} n_1^2} - \sqrt{a_j b_j} \geq 0$  because  $a_j \leq d_{1,2} n_1$  and  $b_j \leq d_{1,3} n_1$  by the constraints. Using the fact that  $0 < a_j b_j < d_{2,3}$ , we can bound the objective function by

$$\begin{aligned} d_{2,3} |D_O| + a_j b_j &\leq n_1 \sqrt{d_{1,2} d_{1,3} d_{2,3}} - \sqrt{a_j b_j d_{2,3}} + a_j b_j \\ &< n_1 \sqrt{d_{1,2} d_{1,3} d_{2,3}}. \end{aligned}$$

This implies that the number of transversal cliques in  $G$  is at most  $n_1 n_2 n_3 \sqrt{d_{1,2} d_{1,3} d_{2,3}}$ , completing the proof.  $\square$

We use Lemma 4 in the below proof of Lemma 11, which is key to the proof of the upper bound in Theorem 3.

**Lemma 11.** *Let  $k \geq 3$  be an integer. For each integer pair  $1 \leq i < j \leq k$ , let  $d_{i,j} = d_{j,i}$  be constants in  $[0, 1]$ . Let  $G$  be a multipartite graph with vertex partition  $V_1, V_2, \dots, V_k$  such that for each pair  $1 \leq i < j \leq k$ , the edge density between  $V_i, V_j$  is  $d_{i,j}$ . Let  $|V_i| = n_i$ . Then the number of transversal cliques in  $G$  is at most*

$$\left( \prod_{i=1}^k \sqrt{d_{i,i+1}} \right) \left( \prod_{i=1}^k n_i \right),$$

where the index  $i + 1$  is modulo  $k$ .

*Proof.* We show by induction on  $k$  that the statement holds for all  $k \geq 3$ . By Lemma 4, the statement holds for  $k = 3$ . Assume that the statement holds for  $k - 1 \geq 3$ . Let  $N(v) = \{u : uv \in E(G)\}$ . For  $v_i \in V_1$ , let  $A_{1,2}^i = N(v_i) \cap V_2$  and  $A_{1,k}^i = N(v_i) \cap V_k$ . Let  $|A_{1,2}^i| = a_{1,2}^i n_2$  and  $|A_{1,k}^i| = a_{1,k}^i n_k$ . We bound the number of transversal cliques in  $G$  containing  $v_i$  (i.e., the number of transversal cliques in  $G[A_{1,2}^i, V_3, \dots, V_{k-1}, A_{1,k}^i]$ ), and then sum this quantity over all  $v_i \in V_1$  to get the bound in the lemma.

If  $a_{1,2}^i = 0$  or  $a_{1,k}^i = 0$ , then there are zero transversal cliques in  $G$  containing  $v_i$ , so we need only consider  $i$  with  $a_{1,2}^i, a_{1,k}^i > 0$ . Observe that the edge density between  $A_{1,2}^i, V_3$  is at most  $\min(d_{2,3}/a_{1,2}^i, 1)$ , the edge density between  $A_{1,k}^i, V_{k-1}$  is at most  $\min(d_{k-1,k}/a_{1,k}^i, 1)$ , and the edge density between  $A_{1,2}^i, A_{1,k}^i$  is at most 1. Then applying the inductive hypothesis to  $G[A_{1,2}^i, V_3, \dots, V_{k-1}, A_{1,k}^i]$ , the number of transversal cliques in  $G[A_{1,2}^i, V_3, \dots, V_{k-1}, A_{1,k}^i]$  is at most

$$a_{1,2}^i n_2 n_3 \cdots n_{k-1} a_{1,k}^i n_k \sqrt{\min(d_{2,3}/a_{1,2}^i, 1) d_{3,4} \cdots d_{k-2,k-1} \min(d_{k-1,k}/a_{1,k}^i, 1)}.$$

To bound the number of transversal cliques in  $G$ , we sum the above quantity over all  $v_i \in V_1$ . This amounts to bounding

$$\sum_{v_i \in V_1} a_{1,2}^i a_{1,k}^i \sqrt{\min(d_{2,3}/a_{1,2}^i, 1) \min(d_{k-1,k}/a_{1,k}^i, 1)}$$

subject to  $\sum_{v_i \in V_1} a_{1,2}^i = d_{1,2} n_1$  and  $\sum_{v_i \in V_1} a_{1,k}^i = d_{1,k} n_1$ . In turn, this amounts to bounding

$$\sqrt{d_{2,3} d_{k-1,k}} \sum_{v_i \in V_1} \sqrt{a_{1,2}^i a_{1,k}^i}$$

subject to  $\sum_{v_i \in V_1} a_{1,2}^i = d_{1,2} n_1$  and  $\sum_{v_i \in V_1} a_{1,k}^i = d_{1,k} n_1$ . Applying the Cauchy-Schwarz inequality, we have

$$\begin{aligned} \sum_{v_i \in V_1} \sqrt{a_{1,2}^i a_{1,k}^i} &\leq \sqrt{\sum_{v_i \in V_1} a_{1,2}^i \sum_{v_i \in V_1} a_{1,k}^i} \\ &= n_1 \sqrt{d_{1,2} d_{1,k}}. \end{aligned}$$

Hence, the number of transversal cliques in  $G$  is at most

$$n_1 n_2 \cdots n_k \sqrt{d_{1,2} d_{2,3} \cdots d_{k-1,k} d_{k,1}},$$

as desired.  $\square$

We now use Lemma 11 to prove the below proposition, which is the upper bound in Theorem 3. Although we may minimize over all (not necessarily odd) cycle decompositions in the below bound, it turns out that it suffices to minimize over just the odd ones to get that the bound is asymptotically sharp (see Section 2.2).

**Proposition 12.** *Let  $k \geq 2$  be an integer. For each integer pair  $1 \leq i < j \leq k$ , let  $d_{i,j} = d_{j,i}$  be constants in  $[0, 1]$ . Let  $G$  be a multipartite graph with vertex partition  $V_1, V_2, \dots, V_k$  such that for each pair  $1 \leq i < j \leq k$ , the edge density between  $V_i, V_j$  is  $d_{i,j}$ . Let  $|V_i| = n_i$ . Then the number of independent transversals in  $G$  is at most*

$$\min_{H \in \mathcal{H}} \left\{ \prod_{F \in H} \prod_{ij \in E(F)} \sqrt{1 - d_{i,j}} \right\} \prod_{i=1}^k n_i,$$

where  $\mathcal{H}$  is the set of all odd cycle decompositions of  $K_k$ .

*Proof.* Since the number of independent transversals in  $G$  is equal to the number of transversal cliques in  $\overline{G}$ , we obtain an upper bound for the latter. Note that each edge density  $d_{i,j}$  in  $G$  corresponds to the edge density  $1 - d_{i,j}$  in  $\overline{G}$ . Let  $H = \{F_1, F_2, \dots, F_l\} \in \mathcal{H}$ . By definition of odd cycle decomposition (Definition 2), the sets  $V(F_1), V(F_2), \dots, V(F_l)$  partition  $V(K_k)$ . For each  $i \in [l]$ , let  $S_i = \{V_j : v_j \in V(F_i)\}$ . Observe that  $S_1, \dots, S_l$  correspond bijectively to  $V(F_1), \dots, V(F_l)$  and partitions  $V_1, V_2, \dots, V_k$ . We now obtain a bound for each possible  $\overline{G}[S_i]$ , that is, each possible subgraph of  $\overline{G}$  induced by  $S_i$ . If  $S_r = \{V_1, \dots, V_m\}$  corresponds to an odd cycle, then the number of transversal cliques in  $\overline{G}[S_r]$  is at most  $n_1 \cdots n_m \prod_{ij \in E(F_r)} \sqrt{1 - d_{i,j}}$  by Lemma 11. If  $S_r = \{V_1, V_2\}$  corresponds to a double edge, then the number of transversal cliques in  $\overline{G}[S_r]$  is  $n_1 n_2 (1 - d_{1,2}) = n_1 n_2 \prod_{ij \in E(F_r)} \sqrt{1 - d_{i,j}}$ . If  $S_r = \{V_1\}$  corresponds to an isolated vertex, then the number of transversal cliques in  $\overline{G}[S_r]$  is trivially  $n_1$ . Putting the three cases together, the number of transversal cliques in  $\overline{G}$  is at most  $n_1 \cdots n_k \prod_{F \in H} \prod_{ij \in E(F)} \sqrt{1 - d_{i,j}}$ . Minimizing this over  $\mathcal{H}$ , we obtain the desired upper bound.  $\square$

The following section is dedicated to showing that the above upper bound is asymptotically sharp.

## 2.2 Proof of Asymptotic Sharpness

Assume the hypotheses of Theorem 3. To show that the upper bound in Theorem 3 is asymptotically sharp, we use linear programming and duality to prove the existence of a  $k$ -partite graph  $G$  that attains the bound up to a  $o(n_1 \cdots n_k)$ -term. Since the number of



independent transversals in  $G$  equals the number of transversal cliques in  $\overline{G}$ , it suffices to consider the latter. Note that each edge density  $d_{i,j}$  in  $G$  corresponds to the edge density  $1 - d_{i,j}$  in  $\overline{G}$ . Consider the following construction of  $\overline{G}$  which satisfies our constraints:

For each  $1 \leq i \leq k$ , let  $S_i \subseteq V_i$  with  $|S_i| = \lfloor a_i n_i \rfloor$ , where  $a_i a_j \leq 1 - d_{i,j}$  (for all  $1 \leq i < j \leq k$ ), and  $a_i \in (0, 1]$  (for all  $i$ ). Suppose that each pair  $S_i, S_j$  ( $i \neq j$ ) forms a complete bipartite graph.

In this construction, the number of transversal cliques is at least  $\prod_{i=1}^k \lfloor a_i n_i \rfloor$ . We will show that some construction described above is close to the maximum number of transversal cliques. This motivates the following optimization problem:

$$\text{P: Max } \prod_{i=1}^k a_i n_i$$

subject to  $a_i a_j \leq 1 - d_{i,j}$  (for all  $1 \leq i < j \leq k$ ),  $a_i \in (0, 1]$  (for all  $i$ ).

If we have an optimal solution  $(a_1, \dots, a_k)$ , then  $\prod_{i=1}^k \lfloor a_i n_i \rfloor$  is a lower bound on the number of transversal cliques in the above construction in  $\overline{G}$ . Observe  $\prod_{i=1}^k \lfloor a_i n_i \rfloor = \left( \prod_{i=1}^k a_i n_i \right) + o(n_1 \cdots n_k)$ . Thus the construction will attain the bound in Theorem 3 up to a  $o(n_1 \cdots n_k)$ -term if we can prove that  $\prod_{i=1}^k a_i n_i$  is given by a product over some odd cycle decomposition of  $K_k$  (see Equation (4) for more specificity).

In problem P, note that  $a_i > 0$  for all  $i$  implies  $d_{i,j} < 1$  for all  $i, j$  (if  $d_{i,j} = 1$  is allowed, then the number of transversal cliques in  $\overline{G}$  is zero). Applying the natural log to P, we get an equivalent linear programming problem:

$$\text{LP1: Max } \sum_{i=1}^k \ln(n_i) + \sum_{i=1}^k \ln(a_i)$$

subject to  $\ln(a_i) + \ln(a_j) \leq \ln(1 - d_{i,j})$ ,  $\ln(a_i) \leq 0$ .

Ignoring the constant  $\sum_{i=1}^k \ln(n_i)$  and setting  $b_i = -\ln(a_i)$ ,  $p_{i,j} = -\ln(1 - d_{i,j})$ , we can rewrite LP1 as an equivalent problem more convenient to work with:

$$\text{LP2: Min } \sum_{i=1}^k b_i$$

subject to  $b_i + b_j \geq p_{i,j}$ ,  $b_i \geq 0$ .

The dual of LP2 is

$$\text{LP2-dual: Max } \sum_{1 \leq i < j \leq k} p_{i,j} x_{i,j}$$

subject to  $\sum_{i \neq j} x_{i,j} \leq 1$  for each fixed  $i$  ( $1 \leq i \leq k$ ),  $x_{i,j} \geq 0$ .

Introducing the slack variable  $y_i$ , LP2-dual becomes

$$\begin{aligned} \text{LP2-dual}' : \text{Max} \quad & \sum_{1 \leq i < j \leq k} p_{i,j} x_{i,j} \\ \text{subject to} \quad & y_i + \sum_{\substack{i \neq j \\ i < j}} x_{i,j} = 1 \text{ for each fixed } i \ (1 \leq i \leq k), \ x_{i,j} \geq 0, \ y_i \geq 0. \end{aligned}$$

We need the following results from linear programming. The first is known as the duality of linear programming.

**Theorem 13** (Duality of Linear Programming [4]). *For the linear programs*

$$\text{maximize } \mathbf{c}^T \mathbf{x} \text{ subject to } \mathbf{A}\mathbf{x} \leq \mathbf{b} \text{ and } \mathbf{x} \geq \mathbf{0} \quad (P)$$

and

$$\text{minimize } \mathbf{b}^T \mathbf{y} \text{ subject to } \mathbf{A}^T \mathbf{y} \geq \mathbf{c} \text{ and } \mathbf{y} \geq \mathbf{0} \quad (D),$$

the following holds: If both (P) and (D) have a feasible solution, then both have an optimal solution, and if  $\mathbf{x}^*$  is an optimal solution of (P) and  $\mathbf{y}^*$  is an optimal solution of (D), then

$$\mathbf{c}^T \mathbf{x}^* = \mathbf{b}^T \mathbf{y}^*.$$

That is, the maximum of (P) equals the minimum of (D).

We also need a corollary to the above duality theorem called complementary slackness.

**Theorem 14** (Complementary Slackness [4]). *Let  $\mathbf{x}^* = (x_1^*, x_2^*, \dots, x_n^*)$  be a feasible solution of the linear program*

$$\text{maximize } \mathbf{c}^T \mathbf{x} \text{ subject to } \mathbf{A}\mathbf{x} \leq \mathbf{b} \text{ and } \mathbf{x} \geq \mathbf{0} \quad (P),$$

and let  $\mathbf{y}^* = (y_1^*, y_2^*, \dots, y_m^*)$  be a feasible solution of the dual linear program

$$\text{minimize } \mathbf{b}^T \mathbf{y} \text{ subject to } \mathbf{A}^T \mathbf{y} \geq \mathbf{c} \text{ and } \mathbf{y} \geq \mathbf{0} \quad (D).$$

Then the following two statements are equivalent:

1.  $\mathbf{x}^*$  is optimal for (P) and  $\mathbf{y}^*$  is optimal for (D).
2. For all  $i \in [m]$ ,  $\mathbf{x}^*$  satisfies the  $i$ th constraint of (P) with equality or  $y_i^* = 0$ ; similarly, for all  $j \in [n]$ ,  $\mathbf{y}^*$  satisfies the  $j$ th constraint of (D) with equality or  $x_j^* = 0$ .

Since LP2-dual' and LP2 are both feasible, both have an optimal solution by Theorem 13. We will show that there is an optimal solution to LP2-dual' which is given by an odd cycle decomposition of  $K_k$ . Then Theorem 14 will show that optimal solutions to LP2 are given by that odd cycle decomposition of  $K_k$ , which will imply that  $\prod_{i=1}^k a_i n_i$  is given by a product over that odd cycle decomposition of  $K_k$ , as desired.

More specifically, we define the graph  $Q$  on  $k$  vertices  $x_1, \dots, x_k$  as follows (recall that LP2-dual' has variables  $x_{i,j}$ ):

1. there exists an edge  $x_i x_j \in Q$  if and only if  $x_{i,j} > 0$ ,
2. there exists a self-loop on vertex  $x_i$  if and only if  $y_i > 0$ .

Thus  $Q$  can be used to represent elements of the feasible set of LP2-dual' (non-edges and non-self-loops correspond to variables being 0). Consider the set of  $Q$ 's that represent optimal solutions to LP2-dual' (this set is nonempty by Theorem 13). From this set, consider the  $Q$ 's with the minimum number of non-loop edges, and then among which choose the ones with the minimum number of self-loops. Call the resulting set  $\mathcal{Q}$ . Asymptotic sharpness of the bound in Theorem 3 is proved in the following proposition.

**Proposition 15.** *Let  $k \geq 2$  be an integer. For each integer pair  $1 \leq i < j \leq k$ , let  $d_{i,j} = d_{j,i}$  be constants in  $[0, 1]$ . For any sufficiently large integers  $n_1, \dots, n_k$ , there exists a multipartite graph  $G$  with vertex partition  $V_1, \dots, V_k$  where  $|V_i| = n_i$  for each  $i \in [k]$  such that the number of independent transversals in  $G$  is at least*

$$\min_{H \in \mathcal{H}} \left\{ \prod_{F \in H} \prod_{ij \in E(F)} \sqrt{1 - d_{i,j}} \right\} \left( \prod_{i=1}^k n_i \right) + o \left( \prod_{i=1}^k n_i \right),$$

where  $\mathcal{H}$  is the set of all odd cycle decompositions of  $K_k$ , and the edge density between  $V_i, V_j$  is  $d_{i,j}$  for each pair  $1 \leq i < j \leq k$ . Hence, the bound in Theorem 3 is asymptotically sharp.

*Proof.* Recall that we must prove that  $\prod_{i=1}^k a_i n_i$  is given by a product over some odd cycle decomposition of  $K_k$ . We show that Claim 16 below gives the required odd cycle decomposition. We then prove Claim 16 to complete the proof of the proposition.

**Claim 16.** *For each  $Q \in \mathcal{Q}$ , define a graph  $Q'$  constructed by adding an additional edge between the ends of isolated edges in  $Q$  and removing self-loops from isolated vertices in  $Q$ . Then there is a  $Q'$  constructed from some  $Q \in \mathcal{Q}$  which is an odd cycle decomposition of  $K_k$ .*

Suppose that Claim 16 holds. Fix such a  $Q$  and  $Q'$ . Recall that  $Q$  represents an optimal solution to LP2-dual'. We are done if we can prove

$$\prod_{i=1}^k a_i n_i = n_1 \cdots n_k \prod_{F \in Q'} \prod_{ij \in E(F)} \sqrt{1 - d_{i,j}}. \quad (4)$$

Let  $(b_1, \dots, b_k)$  be an optimal solution to LP2. Then Theorem 14 (Complementary Slackness) and the construction of  $Q$  imply that each edge  $x_i x_j$  in  $Q$  corresponds to an equality in LP2's constraints, that is,  $b_i + b_j = p_{i,j}$ . Similarly, each isolated vertex  $x_i$  of  $Q$  corresponds to  $b_i = 0$ . Converting  $(b_1, \dots, b_k)$  back to an optimal solution  $(a_1, \dots, a_k)$  of P, we get that for each edge  $x_i x_j$  in  $Q$ , we have an equality in P's constraints, that is,  $a_i a_j = 1 - d_{i,j}$ ; similarly, for each isolated vertex  $x_i$  of  $Q$ , we have the equation  $a_i = 1$ . Thus, since  $Q'$  is an odd cycle decomposition of  $K_k$ , the objective function of P,  $\prod a_i n_i$ , has the above form, as desired.

To prove Claim 16, we first need a series of claims.

**Claim 17.** For any  $Q \in \mathcal{Q}$ , there is at most one vertex with a self-loop in  $Q$ .

*Proof.* Assume for contradiction that there exists  $Q \in \mathcal{Q}$  with at least two vertices with a self-loop. Then by the construction of  $Q$ , there exist  $i < j$  with  $y_i > 0$  and  $y_j > 0$ . To contradict the optimality of  $Q$ , set  $\varepsilon = \min(y_i, y_j)$ . Let  $y'_i = y_i - \varepsilon$ ,  $y'_j = y_j - \varepsilon$ ,  $x'_{i,j} = x_{i,j} + \varepsilon$ , and all other primed variables have the same value as the corresponding non-primed variable. Then the objective function of LP2-dual',  $\sum p_{i,j}x_{i,j}$ , increases while the constraints are still satisfied. However, the number of self-loops has decreased, contradicting the minimality of self-loops in  $Q$ .  $\square$

**Claim 18.** For any  $Q \in \mathcal{Q}$ , there is no even cycle in  $Q$ .

*Proof.* Assume for contradiction that there exists  $Q \in \mathcal{Q}$  containing an even cycle. Say  $C = x_1x_2 \dots x_{m-1}x_mx_1$  is an even cycle in  $Q$ . Let

$$s = p_{1,2}x_{1,2} + p_{2,3}x_{2,3} + \dots + p_{m-1,m}x_{m-1,m} + p_{1,m}x_{1,m}$$

be the part of the objective function of LP2-dual',  $\sum p_{i,j}x_{i,j}$ , involving this even cycle. Without loss of generality, assume  $p_{1,2} + p_{3,4} + \dots + p_{m-3,m-2} + p_{m-1,m} \geq p_{2,3} + p_{4,5} + \dots + p_{m-2,m-1} + p_{1,m}$ . Set  $\varepsilon = \min(x_{2,3}, x_{4,5}, \dots, x_{m-2,m-1}, x_{1,m}) > 0$ . Then

$$p_{1,2}(x_{1,2} + \varepsilon) + p_{2,3}(x_{2,3} - \varepsilon) + \dots + p_{m-1,m}(x_{m-1,m} + \varepsilon) + p_{1,m}(x_{1,m} - \varepsilon) \geq s.$$

Notice that the constraints are still satisfied. If this inequality is strict, then the optimality of  $Q$  is contradicted. If there is equality, then, by our choice of  $\varepsilon$ , at least one of  $x_{2,3} - \varepsilon, x_{4,5} - \varepsilon, \dots, x_{1,m} - \varepsilon$  is 0. Thus we have obtained an optimal solution by removing an edge from  $Q$ , contradicting the minimality of non-loop edges in  $Q$ .  $\square$

Now our goal is to show that every connected component of  $Q \in \mathcal{Q}$  with at least three vertices is an induced odd cycle. In the following claim, the degree of a vertex includes the possibility of self-loops.

**Claim 19.** For any  $Q \in \mathcal{Q}$ , if  $C$  is a connected component of  $Q$  with at least three vertices, then  $C$  contains an odd cycle.

*Proof.* Suppose that  $C$  is a connected component of  $Q \in \mathcal{Q}$  with at least three vertices. We first prove that  $C$  has no vertex of degree 1 in  $Q$ . Assume, to the contrary, that  $C$  has a vertex  $x_i$  of degree 1 in  $Q$ . Let  $x_k$  be the neighbor of  $x_i$ . Then since  $C$  is a connected component on at least three vertices, it follows that  $x_k \in C$  and  $x_k \neq x_i$ . Thus  $x_i$  does not have a self-loop, and so, by the construction of  $Q$ , we have  $y_i = 0$ . Thus the  $i$ th constraint  $y_i + \sum_{i \neq j} x_{i,j} = 1$  in LP2-dual' becomes  $x_{i,k} = 1$ , and so the  $k$ th constraint in LP2-dual' implies that  $x_k$  has degree 1 in  $Q$ . Hence,  $C$  must be a connected component on only the two vertices  $x_i, x_k$ , a contradiction since  $C$  has at least three vertices.

We now prove the claim. By Claim 18, it suffices to prove that  $C$  contains a cycle. Assume, to the contrary, that  $C$  is acyclic. Then since  $C$  is connected, acyclic, and  $|C| \geq 2$ , there are at least two vertices  $x_{i_1} \neq x_{j_1}$  in  $C$  of non-loop degree 1 in  $C$ . By the preceding paragraph,  $x_{i_1}$  must have another neighbor  $x_{i_2}$ , and  $x_{j_1}$  must have another

neighbor  $x_{j_2}$ . Since  $C$  is a connected component, these two neighbors must lie in  $C$ , and so we must have  $x_{i_2} = x_{i_1}$  and  $x_{j_2} = x_{j_1}$ . In other words,  $x_{i_1}, x_{j_1}$  have self-loops, contradicting Claim 17.  $\square$

**Claim 20.** *For any  $Q \in \mathcal{Q}$ , every odd cycle in  $Q$  is an induced cycle.*

*Proof.* Let  $C$  be an odd cycle in  $Q \in \mathcal{Q}$ . Assume for contradiction that  $C$  is not induced. Then there exists a chord in  $E(C)$ . This chord splits  $C$  into two cycles  $C'$  and  $C''$ . One of them is an even cycle, contradicting Claim 18.  $\square$

In the remaining claims, we use the following notation. Define an edge weight function  $w : V(Q)^2 \rightarrow \mathbb{R}$  such that for each distinct  $x_i, x_j \in V(Q)$ , we have  $w(x_i, x_j) = w(x_j, x_i) = x_{i,j}$  and  $w(x_i, x_i) = y_i$ . For convenience, we write  $w(e)$  for  $e \in E(Q)$ .

**Claim 21.** *For any  $Q \in \mathcal{Q}$ , the odd cycles in  $Q$  are vertex disjoint.*

*Proof.* It is easy to see that if there are two distinct odd cycles in  $Q$  sharing an edge or at least two vertices, then  $Q$  contains an even cycle, contradicting Claim 18.

Let  $C_1 = u_1 u_2 \cdots u_{2s+1} u_1$  and  $C_2 = v_1 v_2 \cdots v_{2s'+1} v_1$  be distinct odd cycles in  $Q \in \mathcal{Q}$ . Assume that  $C_1$  and  $C_2$  share exactly one vertex, say  $u_1 = v_1$ . Let  $\varepsilon = \min\{w(e) : e \in E(C_1) \cup E(C_2)\}$ . We show that by adjusting the values of the  $w(e)$ 's, we can either construct an optimal solution with fewer edges or increase the value of the objective function. In both cases, we reach a contradiction. We define a new edge weight function  $w'$  as follows (where the indices of  $u_{i+1}, v_{i+1}$  below are considered modulo  $2s+1, 2s'+1$  respectively):

$$w'(e) = \begin{cases} w(e) + (-1)^i \varepsilon & \text{if } e = u_i u_{i+1} \in E(C_1), \\ w(e) + (-1)^{i+1} \varepsilon & \text{if } e = v_i v_{i+1} \in E(C_2), \\ w(e) & \text{otherwise.} \end{cases}$$

By choice of  $\varepsilon$ , we know that  $w'(e) \geq 0$  for all  $e \in E(Q)$ . Furthermore, for each fixed  $x_r \in V(Q)$ , it is easy to see that  $\sum_{r \neq i} w'(x_r, x_i) = \sum_{r \neq i} w(x_r, x_i)$ . This shows that the constraints of LP2-dual' are satisfied. Let  $\Delta = \sum_{i < j} p_{i,j} (w'(x_i, x_j) - w(x_i, x_j))$ . If  $\Delta > 0$ , then the optimality of  $Q$  is contradicted. If  $\Delta < 0$ , then add 1 to the powers of  $-1$  in the definition of  $w'$  to contradict the optimality of  $Q$ . Assume  $\Delta = 0$ . By choice of  $\varepsilon$ , either there exists a  $w'(e) = 0$ , or there exists such a zero edge weight after adding 1 to the powers of  $-1$  in the definition of  $w'$ . Since  $\Delta = 0$ , we get an optimal solution with fewer non-loop edges, contradicting the minimality of non-loop edges in  $Q$ .  $\square$

**Claim 22.** *For any  $Q \in \mathcal{Q}$ , each connected component of  $Q$  contains at most one odd cycle.*

*Proof.* Assume, for the sake of contradiction, that  $C$  is a connected component of  $Q \in \mathcal{Q}$  that contains distinct odd cycles  $C_1$  and  $C_2$ . By Claim 21, these two cycles are vertex disjoint. Let  $P$  be a shortest  $(C_1, C_2)$ -path. Let  $C_1 = u_1 u_2 \cdots u_{2s+1} u_1$ ,  $P = w_1 w_2 \cdots w_\ell$ , and  $C_2 = v_1 v_2 \cdots v_{2s'+1} v_1$ . Let  $\delta = \min\{w(e) : e \in E(C_1) \cup E(C_2)\}$  and  $\delta' = \min\{w(e) : e \in E(P)\}$ . Define  $\varepsilon = \delta$  if  $2\delta \leq \delta'$ , and  $\varepsilon = \delta'/2$  otherwise. Without loss of generality,

say  $w_1 = u_1$  and  $w_\ell = v_1$ . We define a new edge weight function  $w'$  as follows (where the indices of  $u_{i+1}, v_{i+1}$  below are considered modulo  $2s + 1, 2s' + 1$  respectively):

$$w'(e) = \begin{cases} w(e) + (-1)^i \varepsilon & \text{if } e = u_i u_{i+1} \in E(C_1), \\ w(e) + (-1)^{\ell+i} \varepsilon & \text{if } e = v_i v_{i+1} \in E(C_2), \\ w(e) + (-1)^{i+1} 2\varepsilon & \text{if } e = w_i w_{i+1} \in E(P), \\ w(e) & \text{otherwise.} \end{cases}$$

We chose a shortest path  $P$  to ensure that  $P$  does not share edges with  $C_1$  or  $C_2$ . This makes  $w'$  well-defined. By choice of  $\varepsilon$ , we know that  $w'(e) \geq 0$  for all  $e \in E(Q)$ . Furthermore, for each fixed  $x_r \in V(Q)$ , it is easy to see that  $\sum_{r \neq i} w'(x_r, x_i) = \sum_{r \neq i} w(x_r, x_i)$ . This shows that the constraints of LP2-dual' are satisfied. Let  $\Delta = \sum_{i < j} p_{i,j} (w'(x_i, x_j) - w(x_i, x_j))$ . If  $\Delta > 0$ , then the optimality of  $Q$  is contradicted. If  $\Delta < 0$ , then add 1 to the powers of  $-1$  in the definition of  $w'$  to contradict the optimality of  $Q$ . Assume  $\Delta = 0$ . By choice of  $\varepsilon$ , either there exists a  $w'(e) = 0$ , or there exists such a zero edge weight after adding 1 to the powers of  $-1$  in the definition of  $w'$ . Since  $\Delta = 0$ , we get an optimal solution with fewer non-loop edges, contradicting the minimality of non-loop edges in  $Q$ .  $\square$

In the following claim, a pendant path  $P$  of an odd cycle  $C'$  is a path  $v_1 v_2 \dots v_\ell$  such that  $v_1$  is the only vertex on  $P$  that lies on  $C'$  and  $v_\ell$  has degree 1 in non-loop edges.

**Claim 23.** *For any  $Q \in \mathcal{Q}$ , no connected component in  $Q$  contains an odd cycle with a pendant path as a subgraph.*

*Proof.* Assume, for the sake of contradiction, that  $C$  is a connected component of  $Q \in \mathcal{Q}$  containing an odd cycle  $C'$  with a pendant path  $P$ . Say  $C' = u_1 u_2 \dots u_{2s+1} u_1$  and  $P = v_1 v_2 \dots v_\ell$  with  $u_1 = v_1$ . Let  $\delta = \min\{w(e) : e \in E(C')\}$  and  $\delta' = \min\{w(e) : e \in E(P)\} \cup \{w(v_\ell, v_\ell)\}$ . We know that  $w(v_\ell, v_\ell) > 0$  because  $P$  is a pendant path. Define  $\varepsilon = \delta$  if  $2\delta \leq \delta'$ , and  $\varepsilon = \delta'/2$  otherwise. We define a new edge weight function  $w'$  as follows (where the index of  $u_{i+1}$  below is considered modulo  $2s + 1$ ):

$$w'(e) = \begin{cases} w(e) + (-1)^i \varepsilon & \text{if } e = u_i u_{i+1} \in C', \\ w(e) + (-1)^{i+1} 2\varepsilon & \text{if } e = v_i v_{i+1} \in P, \\ w(e) + (-1)^{\ell+1} 2\varepsilon & \text{if } e = v_\ell v_\ell, \\ w(e) & \text{otherwise.} \end{cases}$$

By choice of  $\varepsilon$ , we know that  $w'(e) \geq 0$  for all  $e \in E(Q)$ . Furthermore, for each fixed  $x_r \in V(Q) \setminus v_\ell$ , it is easy to see that  $\sum_{r \neq i} w'(x_r, x_i) = \sum_{r \neq i} w(x_r, x_i)$ . For  $v_\ell$ , we have  $w'(v_{\ell-1}, v_\ell) + w'(v_\ell, v_\ell) = w(v_{\ell-1}, v_\ell) + w(v_\ell, v_\ell)$ . Thus the constraints of LP2-dual' are satisfied. Let  $\Delta = \sum_{i < j} p_{i,j} (w'(x_i, x_j) - w(x_i, x_j))$ . If  $\Delta > 0$ , then the optimality of  $Q$  is contradicted. If  $\Delta < 0$ , then add 1 to the powers of  $-1$  in the definition of  $w'$  to contradict the optimality of  $Q$ . Assume  $\Delta = 0$ . By choice of  $\varepsilon$ , either there exists a  $w'(e) = 0$ , or there exists such a zero edge weight after adding 1 to the powers of  $-1$  in the

definition of  $w'$ . Since  $\Delta = 0$ , we get an optimal solution with fewer edges, contradicting the minimality of edges in  $Q$ .  $\square$

We now have almost all the pieces needed to prove Claim 16. Fix  $Q \in \mathcal{Q}$ . Suppose that  $C$  is a connected component of  $Q$ . If  $C$  contains exactly one vertex, then  $C$  is an isolated vertex with a self-loop. If  $C$  contains exactly two vertices, then  $C$  is an isolated edge which, a priori, may have self-loops. Suppose now that  $C$  contains at least three vertices. By Claim 19,  $C$  contains an odd cycle. By Claims 20 and 22, this cycle in  $C$  must be induced and unique. By Claim 23, every vertex in  $C$  lies on this unique cycle. This implies that  $C$  is an odd cycle which may have self-loops. In fact, the following claim proves that isolated edges and connected components with at least three vertices must have no self-loops.

**Claim 24.** *For any  $Q \in \mathcal{Q}$ , if a vertex  $v \in V(Q)$  has a self-loop, then  $v$  is an isolated vertex.*

*Proof.* Let  $Q \in \mathcal{Q}$ , and let  $v \in V(Q)$  be a vertex with a self-loop. Assume, to the contrary, that  $v$  is not an isolated vertex. Then  $v$  must lie in a connected component  $C$  of  $Q$  on exactly two vertices or at least three vertices. In the first case, we can use the constraints to deduce that both vertices in  $C$  must have a self-loop. This contradicts Claim 17. Now assume that  $C$  contains at least three vertices and  $v \in C$ . By the above discussion,  $C$  is an odd cycle which may have self-loops. Say  $C = v_1v_2 \cdots v_{2s+1}v_1$  for some positive integer  $s$  with  $v = v_1$ . Let  $\delta = \min\{w(e) : e \in E(C)\}$  and  $\delta' = w(v, v)$ . Define  $\varepsilon = \delta$  if  $2\delta \leq \delta'$ , and  $\varepsilon = \delta'/2$  otherwise. We define a new edge weight function  $w'$  as follows (where the index of  $v_{i+1}$  below is considered modulo  $2s + 1$ ):

$$w'(e) = \begin{cases} w(e) + (-1)^i \varepsilon & \text{if } e = v_i v_{i+1} \in C, \\ w(e) + 2\varepsilon & \text{if } e = vv, \\ w(e) & \text{otherwise.} \end{cases}$$

Now the proof is similar to that of Claim 23. We can either increase the objective function or reduce the number of edges, a contradiction.  $\square$

By Claim 24, only isolated vertices in  $Q$  have self-loops. Constructing  $Q'$  from  $Q$ , we get that  $Q'$  is an odd cycle decomposition of  $K_k$ , thus proving Claim 16, as desired. This completes the proof of Proposition 15, finishing the proof of Theorem 3.  $\square$

**Concluding Remarks:** Observe that the  $o(n_1 \cdots n_k)$ -term in the bound of Proposition 15 occurs due to divisibility issues. In particular, it is nonzero when an optimal solution  $(a_1, \dots, a_k)$  of problem P is not rational. Here are two examples where the bound is sharp for infinitely many values of  $n_1, \dots, n_k$ .

1. Suppose that, in the hypotheses of Theorem 3,  $k \geq 3$  is an odd integer, and for all  $i \neq j \in [k]$ ,  $1 - d_{i,j} = a^2/b^2 \in \mathbb{Q}$ . That is, all the  $1 - d_{i,j}$ 's are the same rational perfect square. By Theorem 3, the number of independent transversals is at most  $\prod_{i=1}^k \sqrt{1 - d_{i,i+1}} \prod_{i=1}^k n_i = (a/b)^k \prod_{i=1}^k n_i$ , where the index  $i + 1$  is modulo  $k$ . We

now construct a graph  $G$  that attains this bound. Note that there are infinitely many integers  $n$  such that  $(a/b)n$  is an integer. Let  $n$  be such an integer, and for all  $i \in [k]$ , let  $n_i = n$  and  $S_i \subseteq V_i$  be a set of size  $(a/b)n$ . For each  $i \neq j \in [k]$ , put a complete bipartite graph between  $V_i \setminus S_i, V_j$  and between  $S_i, V_j \setminus S_j$  with no other edges occurring between  $V_i, V_j$ . Then  $G$  satisfies  $1 - d_{i,j} = a^2/b^2$  for all  $i \neq j \in [k]$ , and the number of independent transversals in  $G$  is  $\prod_{i=1}^k |S_i| = (a/b)^k n^k$ , showing that  $G$  attains the desired bound.

2. Suppose the hypotheses of Theorem 3. Let  $I_1, \dots, I_\ell$  be a partition of  $[k]$  such that for all  $r \in [\ell]$ ,  $|I_r|$  is odd. For all  $r \in [\ell]$  and  $i \neq j \in I_r$ , let  $1 - d_{i,j} = a_r^2/b_r^2 \in \mathbb{Q}$  (if  $|I_r| = 1$ , let  $a_r/b_r = 1$ ); otherwise, if  $i \in I_r, j \notin I_r$ , let  $1 - d_{i,j} = 1$ . We are essentially taking disjoint copies of example 1 above. By Theorem 3, the number of independent transversals is at most  $\prod_{r=1}^\ell (a_r/b_r)^{|I_r|} \prod_{i=1}^k n_i$ . We construct a graph  $G$  that attains this bound for infinitely many  $n_1, \dots, n_k$  by applying example 1 to each  $I_r$ .

One could also study stability type of results. One could show that if  $d_{i,j} = d$  for all  $i, j$ , then, by an argument similar to our proof, if the number of independent transversals in  $G$  is close to the optimal value, then  $G$  should be close to the construction in Section 2.2 where  $a_i = \sqrt{1-d}$  for each  $i$ . In the case where the  $d_{i,j}$  are not all equal to each other, there could be very different optimal constructions. However, one should be able to show that each of those optimal constructions is close to a construction corresponding to some solution of P.

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