

# On the (non-)existence of tight distance-regular graphs: a local approach

Jack H. Koolen<sup>a,b</sup>   Jae-Ho Lee<sup>c</sup>   Shuang-Dong Li<sup>d</sup>   Yun-Han Li<sup>e</sup>  
Xiaoye Liang<sup>e,f</sup>   Ying-Ying Tan<sup>e,f</sup>

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## Abstract

Let  $\Gamma$  denote a distance-regular graph with diameter  $D \geq 3$ . Jurišić and Vidali conjectured that if  $\Gamma$  is tight with classical parameters  $(D, b, \alpha, \beta)$ ,  $b \geq 2$ , then  $\Gamma$  is not locally the block graph of an orthogonal array nor the block graph of a Steiner system. In the present paper, we prove this conjecture and, furthermore, extend it from the following aspect. Assume that for every triple of vertices  $x, y, z$  of  $\Gamma$ , where  $x$  and  $y$  are adjacent, and  $z$  is at distance 2 from both  $x$  and  $y$ , the number of common neighbors of  $x, y, z$  is constant. We then show that if  $\Gamma$  is locally the block graph of an orthogonal array (resp. a Steiner system) with smallest eigenvalue  $-m$ ,  $m \geq 3$ , then the intersection number  $c_2$  is not equal to  $m^2$  (resp.  $m(m+1)$ ). Using this result, we prove that if a tight distance-regular graph  $\Gamma$  is not locally the block graph of an orthogonal array or a Steiner system, then the valency (and hence diameter) of  $\Gamma$  is bounded by a function in the parameter  $b = b_1/(1 + \theta_1)$ , where  $b_1$  is the intersection number of  $\Gamma$  and  $\theta_1$  is the second largest eigenvalue of  $\Gamma$ .

**Mathematics Subject Classifications:** 05E30

## 1 Introduction

Let  $\Gamma$  denote a distance-regular graph with diameter  $D \geq 3$ , intersection numbers  $a_i, b_i, c_i$  ( $0 \leq i \leq D$ ), and eigenvalues  $k = \theta_0 > \theta_1 > \dots > \theta_D$ . Jurišić, Koolen, and Terwilliger [8]

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<sup>a</sup>School of Mathematical Sciences, University of Science and Technology of China, Hefei, Anhui, 230026, PR China ([koolen@ustc.edu.cn](mailto:koolen@ustc.edu.cn)).

<sup>b</sup>CAS Wu Wen-Tsun Key Laboratory of Mathematics, University of Science and Technology of China, 96 Jinzhai Road, Hefei, Anhui, 230026, PR China.

<sup>c</sup>Department of Mathematics and Statistics, University of North Florida, Jacksonville, FL 32224, USA ([jaeho.lee@unf.edu](mailto:jaeho.lee@unf.edu)).

<sup>d</sup>Jianghuai College, Anhui University, Hefei, Anhui, 230031, PR China ([lisd@ahu.edu.cn](mailto:lisd@ahu.edu.cn)).

<sup>e</sup>School of Mathematics and Physics, Anhui Jianzhu University, Hefei, Anhui, 230601, PR China ([liyunhan1998@163.com](mailto:liyunhan1998@163.com), [liangxy0105@foxmail.com](mailto:liangxy0105@foxmail.com), [tansusan1@ahjzu.edu.cn](mailto:tansusan1@ahjzu.edu.cn)).

<sup>f</sup>Operations Research and Data Science Laboratory, Anhui Jianzhu University, Hefei, Anhui, 230601, PR China.

showed that  $\Gamma$  satisfies the following inequality:

$$\left(\theta_1 + \frac{k}{a_1 + 1}\right) \left(\theta_D + \frac{k}{a_1 + 1}\right) \geq -\frac{ka_1b_1}{(a_1 + 1)^2}. \quad (1)$$

We say  $\Gamma$  is *tight* whenever  $\Gamma$  is nonbipartite and equality holds in (1). Tight distance-regular graphs have been studied with considerable attention and characterized in various ways; see [6, 7, 16, 17]. A notable characterization is that, for each vertex  $x$  in a tight distance-regular graph, its local graph at  $x$  is a connected strongly regular graph with eigenvalues

$$a_1, \quad r := -1 - \frac{b_1}{1 + \theta_D}, \quad s := -1 - \frac{b_1}{1 + \theta_1}, \quad (2)$$

see [8, Theorem 12.6]. Suppose that  $\Gamma$  is tight with  $D \geq 3$ , and let  $\Delta$  denote a local graph of  $\Gamma$ . We observe that  $\Delta$  is a connected strongly regular graph with eigenvalues  $a_1, r, s$ . Throughout this paper, we assume that  $r$  and  $s$  are integers. Because if they are not,  $\Delta$  is a conference graph, which implies that  $\Gamma$  is a Taylor graph; see [12, 13]. Therefore, further discussion of  $\Gamma$  in this paper is unnecessary when  $r$  and  $s$  are not integers.

Suppose that  $s \leq -2$ , that is, the smallest eigenvalue of  $\Delta$  is less than or equal to  $-2$ . For notational convenience, we set  $m := -s$  and  $n := r - s$ . By Sims' result (cf. [15, Theorem 5.1]),  $\Delta$  belongs to one of the following families: (i) complete multipartite graphs with classes of size  $m$ , (ii) block graphs of orthogonal arrays  $\text{OA}(m, n)$ , (iii) block graphs of Steiner systems  $S(2, m, mn + m - n)$ , (iv) finitely many further graphs. If  $\Gamma$  has classical parameters  $(D, b, \alpha, \beta)$ , then in case (i),  $\Gamma$  is the complete multipartite graph  $K_{(n+1), m}$  with  $D = 2$  [3, Proposition 1.1.5]. For cases (ii) and (iii), when  $\Gamma$  has diameter  $D = 3$ , we must have  $b = 1$ . This restriction implies that  $\Gamma$  is one of the following three graphs: the Johnson graph  $J(6, 3)$ , the halved 6-cube, or the Gosset graph  $E_7(1)$ ; see [11, Section 7]. Hence, our focus lies on cases where  $D \geq 4$  and  $b \geq 2$ . Jurišić and Vidali posed the following conjecture:

**Conjecture 1** ([11, Conjecture 2]). Let  $\Gamma$  be a tight distance-regular graph with classical parameters  $(D, b, \alpha, \beta)$ ,  $b \geq 2$ , and diameter  $D \geq 4$ . For a vertex  $u$  of  $\Gamma$ , the local graph of  $\Gamma$  at  $u$  is not the block graph of an orthogonal array or a Steiner system.

In the present paper, we prove this conjecture and extend it to the case where a tight distance-regular graph  $\Gamma$  has no classical parameters; see Theorem 23 and Corollary 17. Furthermore, we extend the conjecture from the following viewpoint. Let  $\Gamma$  be a distance-regular graph with diameter  $D \geq 3$ . Note that a tight distance-regular graph is 1-homogeneous in the sense of Nomura [8, Theorem 11.7]. We consider a regular property for  $\Gamma$  that is a more general concept than the 1-homogeneous property: we say the *(triple) intersection number*  $\gamma(\Gamma)$  *exists* if, for every triple of vertices  $(x, y, z)$  of  $\Gamma$  such that  $x$  and  $y$  are adjacent and  $z$  is at distance 2 from both  $x$  and  $y$ , the number of common neighbors of  $x$ ,  $y$ , and  $z$  is constant and equal to  $\gamma(\Gamma)$ . To avoid the degenerate case, we assume that there exists at least one such triple  $(x, y, z)$  in  $\Gamma$  (i.e.,  $a_2 \neq 0$ ) when we say  $\gamma(\Gamma)$  exists. The result of our extension is the main result of this paper and is as follows:

**Theorem 2.** *Let  $\Gamma$  be a distance-regular graph with diameter  $D \geq 3$ , valency  $k$ , and intersection number  $c_2$ . Assume that  $\Gamma$  is locally strongly regular with smallest eigenvalue  $-m$ , where  $m \geq 3$ , and the intersection number  $\gamma(\Gamma)$  exists. Then the following (i) and (ii) hold.*

- (i) *If  $\Gamma$  is locally the block graph of an orthogonal array and  $k > m^2$ , then  $c_2 \neq m^2$ .*
- (ii) *If  $\Gamma$  is locally the block graph of a Steiner system and  $k > m(m+1)$ , then  $c_2 \neq m(m+1)$ .*

Theorem 2 is relevant to the problem of determining an upper bound on the diameter of a tight distance-regular graph. In the theory of distance-regular graphs, establishing an upper bound for the diameter of distance-regular graphs in terms of some intersection numbers is an important problem. In particular, with respect to the valency  $k = b_0$ , various bounds for the diameter have been known and have contributed to the theory of distance-regular graphs; see [14]. One of the significant results of these contributions is the proof of the Bannai-Ito conjecture [1, p. 237] by Bang, Dubickas, Koolen, and Moulton [2].

**Bannai-Ito Conjecture.** *There are finitely many distance-regular graphs with fixed valency at least three.*

To prove this conjecture, they demonstrated that the diameter of the distance-regular graph is bounded by a univariate function with the variable valency  $k$ . Returning our attention to the present paper, we will discuss an upper bound on the diameter in a tight distance-regular graph using a specific parameter, distinct from valency  $k$ . Specifically, by utilizing the result of Theorem 2, we will show that when a tight distance-regular graph is not locally the block graph of an orthogonal array or a Steiner system, its diameter is bounded by a function of the parameter  $b = b_1/(1 + \theta_1)$ . We present this finding in the following theorem.

**Theorem 3.** *Let  $\Gamma$  be a tight distance-regular graph with diameter  $D \geq 3$ , intersection number  $b_1$ , and eigenvalues  $k > \theta_1 > \dots > \theta_D$ . Define*

$$b := b_1/(1 + \theta_1).$$

*We assume  $b \geq 2$ . If a local graph of  $\Gamma$  is neither the block graph of an orthogonal array nor the block graph of a Steiner system, then the valency  $k$  (and hence diameter  $D$ ) of  $\Gamma$  is bounded by a function of  $b$ .*

In Remark 26, we give an explicit bound in terms of  $b$  for the valency of  $\Gamma$ . From Theorem 3, it follows that the diameter of a tight distance-regular graph with classical parameters  $(D, b, \alpha, \beta)$ ,  $D \geq 3$ , and  $b \geq 2$ , is bounded by a function of  $b$ ; see Corollary 27.

This paper is organized as follows. In Section 2, we present basic definitions and some known results about distance-regular graphs. Section 3 discusses the block graph of an orthogonal array and its properties. We then analyze the structure of the  $\mu$ -graph of an

amply regular graph that is locally the block graph of an orthogonal array. Following that, Section 4 covers the block graph of a Steiner system and its properties. We also analyze the structure of the  $\mu$ -graph of an amply regular graph that is locally the block graph of a Steiner system. In Section 5, we revisit results related to the triple intersection number of a distance-regular graph and dedicate this section to proving our main result, Theorem 2. We conclude this section with a discussion of the case of tight distance-regular graphs with diameter three. Section 6 provides the proof of Conjecture 1 using Theorem 2. Finally, the paper concludes in Section 7 with the proof of Theorem 3 and a discussion of further direction.

## 2 Preliminaries

In this section, we review the basic definitions and some known results concerning distance-regular graphs that we will use later. For more background information, refer to [3].

Throughout this section, let  $\Gamma$  denote a finite, undirected, connected, and simple graph. We denote  $V(\Gamma)$  by the vertex set of  $\Gamma$ . For vertices  $x, y \in V(\Gamma)$ , the *distance* between  $x$  and  $y$ , denoted as  $\partial(x, y)$ , is the length of a shortest path from  $x$  to  $y$  in  $\Gamma$ . The *diameter*  $D$  of  $\Gamma$  is the maximum value of  $\partial(x, y)$  for all pairs of vertices  $x$  and  $y$  of  $\Gamma$ . Suppose that  $\Gamma$  has diameter  $D$ . For  $x \in V(\Gamma)$  and an integer  $0 \leq i \leq D$ , define  $\Gamma_i(x) = \{y \in V(\Gamma) \mid \partial(x, y) = i\}$ . Abbreviate  $\Gamma(x) = \Gamma_1(x)$ . For an integer  $k \geq 0$  we say  $\Gamma$  is *regular with valency*  $k$  (or  *$k$ -regular*) if  $|\Gamma(x)| = k$  for every  $x \in V(\Gamma)$ .

We now recall some special regular graphs. We say the graph  $\Gamma$  is *distance-regular* whenever for all integers  $0 \leq h, i, j \leq D$  and for all vertices  $x, y \in V(\Gamma)$  with  $\partial(x, y) = h$ , the number  $p_{i,j}^h = |\Gamma_i(x) \cap \Gamma_j(y)|$  is independent of  $x$  and  $y$ . The numbers  $p_{i,j}^h$  are called the *intersection numbers* of  $\Gamma$ . By construction, we observe that  $p_{i,j}^h = p_{j,i}^h$  for  $0 \leq i, j, h \leq D$ . We abbreviate

$$c_i = p_{1,i-1}^i, \quad a_i = p_{1,i}^i, \quad b_i = p_{1,i+1}^i, \quad (0 \leq i \leq D).$$

Observe that  $\Gamma$  is regular with valency  $k = b_0$ . Moreover, we note that  $a_0 = b_D = c_0 = 0$ ,  $c_1 = 1$ , and  $a_i + b_i + c_i = k$  for  $0 \leq i \leq D$ . We refer to the sequence  $\{b_0, b_1, \dots, b_{D-1}; c_1, c_2, \dots, c_D\}$  as the *intersection array* of  $\Gamma$ . Next, consider the following regularity properties of the graphs below:

- (i) Every pair of adjacent vertices has precisely  $\lambda$  common neighbors.
- (ii) Every pair of vertices at distance 2 has precisely  $\mu$  common neighbors.
- (iii) Every pair of nonadjacent vertices has precisely  $\mu$  common neighbors.

Let  $\Gamma$  be  $\kappa$ -regular with  $\nu$  vertices. We say  $\Gamma$  is *amply regular* with parameters  $(\nu, \kappa, \lambda, \mu)$  if (i) and (ii) hold. We also say  $\Gamma$  is *strongly regular* with parameters  $(\nu, \kappa, \lambda, \mu)$  if (i) and (iii) hold. Observe that every distance-regular graph is amply regular with  $\lambda = a_1$  and  $\mu = c_2$ . Moreover, every distance-regular graph with  $D \leq 2$  is strongly regular. If  $\Gamma$  is a

connected strongly regular graph with parameters  $(\nu, \kappa, \lambda, \mu)$  and diameter two, then it has precisely three distinct eigenvalues  $\kappa > r > s$ , satisfying

$$\nu = \frac{(\kappa - r)(\kappa - s)}{\kappa + rs}, \quad \lambda = \kappa + r + s + rs, \quad \mu = \kappa + rs. \quad (3)$$

The following is an example of a strongly regular graph for later use in this paper.

**Example 4.** A *generalized quadrangle* is an incidence structure such that: (i) every pair of points is on at most one line, and hence every pair of lines meets in at most one point, (ii) if  $p$  is a point not on a line  $L$ , then there is a unique point  $p'$  on  $L$  such that  $p$  and  $p'$  are collinear. If every line contains  $s + 1$  points, and every point lies on  $t + 1$  lines, we say that the generalized quadrangle has order  $(s, t)$ , denoted by  $\text{GQ}(s, t)$ . The *point graph* of a generalized quadrangle is the graph with the points of the quadrangle as its vertices, where two points are adjacent if and only if they are collinear. The point graph of a  $\text{GQ}(s, t)$  is strongly regular with parameters

$$\nu = (s + 1)(st + 1), \quad \kappa = s(t + 1), \quad \lambda = s - 1, \quad \mu = t + 1.$$

We recall the notion of a complete multipartite graph. A *clique* in  $\Gamma$  is a subset of  $V(\Gamma)$  such that every pair of distinct vertices is adjacent. A clique of size  $n$  is referred to as a complete graph  $K_n$ . A *coclique* of  $\Gamma$  is a subset of  $V(\Gamma)$  such that no two vertices are adjacent. A *complete bipartite graph*  $K_{m,n}$  is a graph whose vertex set can be partitioned into two cocliques, say an  $m$ -set  $M$  and an  $n$ -set  $N$ , where each vertex in  $M$  is adjacent to all vertices in  $N$ . A *complete multipartite graph*  $K_{t \times n}$  is a graph whose vertex set can be partitioned into cocliques  $\{M_i\}_{i=1}^t$  of size  $n$ , where each vertex in  $M_i$  is adjacent to all vertices in  $M_j$  ( $1 \leq j \neq i \leq t$ ). We note that  $K_{2 \times m}$  is the same as  $K_{m,m}$ .

Next, we recall the concepts of a local graph and a  $\mu$ -graph. For a vertex  $x \in V(\Gamma)$ , let  $\Delta(x)$  denote the subgraph of  $\Gamma$  induced on  $\Gamma(x)$ . We call  $\Delta(x)$  the *local graph* of  $\Gamma$  at  $x$ . Let  $\mathcal{P}$  be a property of a graph or a family of graphs. We say  $\Gamma$  is *locally*  $\mathcal{P}$  whenever every local graph of  $\Gamma$  has the property  $\mathcal{P}$  or belongs to the family  $\mathcal{P}$ . For example, we say  $\Gamma$  is locally complete multipartite or locally strongly regular. Suppose that  $\Gamma$  is amply regular with parameters  $(\nu, \kappa, \lambda, \mu)$ . For two vertices  $x, y$  with  $\partial(x, y) = 2$ , the subgraph of  $\Gamma$  induced on  $\Gamma(x) \cap \Gamma(y)$  is called a  $\mu$ -graph of  $\Gamma$ . If  $\Gamma$  is distance-regular, a  $\mu$ -graph is often called a  $c_2$ -graph of  $\Gamma$ .

**Lemma 5** ([3, Proposition 1.3.2]). *Let  $\Gamma$  be a regular graph with  $v$  vertices, valency  $k$ , and smallest eigenvalue  $-m$ .*

- (i) *If  $C$  is a coclique of  $\Gamma$ , then  $|C| \leq v(1 + k/m)^{-1}$ , with equality if and only if every vertex outside  $C$  has exactly  $m$  neighbors in  $C$ .*
- (ii) *If  $\Gamma$  is strongly regular and  $C$  is a clique of  $\Gamma$ , then*

$$|C| \leq 1 + k/m, \quad (4)$$

*with equality if and only if every vertex outside  $C$  has exactly  $\mu/m$  neighbors in  $C$ , where  $\mu$  is the number of common neighbors of any two nonadjacent vertices.*

The upper bound for the size of a clique in (4) is called the *Hoffman bound* (or *Delsarte bound*). If a clique  $C$  in a distance-regular graph attains the Hoffman bound, we call  $C$  a *Delsarte clique*.

**Lemma 6.** *Let  $\Gamma$  be an amply regular graph with parameters  $(\nu, k, a_1, c_2)$ . Assume that  $\Gamma$  is locally strongly regular with parameters  $(k, a_1, \lambda, \mu)$ . For a vertex  $x$  of  $\Gamma$ , let  $\Delta(x)$  be the local graph of  $\Gamma$  at  $x$  with smallest eigenvalue  $-m$ . If  $C$  is a Delsarte clique of  $\Delta(x)$ , then a vertex at distance two from  $x$  either has  $1 + \mu/m$  neighbors in  $C$  or no neighbors in  $C$ .*

*Proof.* Let  $z$  be a vertex of  $\Gamma$  at distance two from  $x$ . Suppose that the Delsarte clique  $C$  has a neighbor of  $z$ . We will show that the number of neighbors of  $z$  in  $C$  is  $1 + \mu/m$ . Select a vertex  $y \in C$  that is adjacent to  $z$ . Consider the local graph  $\Delta(y)$  in  $\Gamma$ , and note that  $\Delta(y)$  is strongly regular with smallest eigenvalue  $-m$ . Now, consider the vertex subset  $C' = C \cup \{x\} \setminus \{y\}$  in  $\Gamma$ . Obviously,  $C'$  forms a clique in  $\Delta(y)$  of the same size as  $C$ . Hence,  $C'$  is a Delsarte clique of  $\Delta(y)$ . Since  $\Delta(y)$  is strongly regular and  $z \in \Delta(y)$  is not an element of  $C'$ , Lemma 5(ii) implies that  $z$  has  $\mu/m$  neighbors in  $C'$ . Therefore,  $z$  has precisely  $1 + \mu/m$  neighbors in  $C$ .  $\square$

We recall the  $Q$ -polynomial property. Let  $\Gamma$  be distance-regular with diameter  $D \geq 3$ . We abbreviate the vertex set as  $X = V(\Gamma)$ . We denote  $\text{Mat}_X(\mathbb{R})$  as the  $\mathbb{R}$ -algebra consisting of real matrices, where both rows and columns are indexed by  $X$ . For each integer  $0 \leq i \leq D$ , define the matrix  $A_i \in \text{Mat}_X(\mathbb{R})$  with  $(x, y)$ -entry 1 if  $\partial(x, y) = i$  and 0 otherwise. Observe that

$$A_i A_j = \sum_{h=0}^D p_{i,j}^h A_h \quad (0 \leq i, j \leq D).$$

It is known that the matrices  $\{A_i\}_{i=0}^D$  form a basis for a commutative subalgebra  $M$  of  $\text{Mat}_X(\mathbb{R})$ . We call  $M$  the *Bose-Mesner algebra* of  $\Gamma$ . The algebra  $M$  has a second basis  $\{E_i\}_{i=0}^D$  such that  $E_i E_j = \delta_{i,j} E_i$  ( $0 \leq i, j \leq D$ ), where the matrices  $E_i$  ( $0 \leq i \leq D$ ) are called the primitive idempotents of  $\Gamma$ . We note that  $M$  is closed under the entrywise multiplication  $\circ$  since  $A_i \circ A_j = \delta_{i,j} A_i$ . Thus, there exist real numbers  $q_{i,j}^h$  such that

$$E_i \circ E_j = |X|^{-1} \sum_{h=0}^D q_{i,j}^h E_h \quad (0 \leq i, j \leq D).$$

An ordering  $\{E_i\}_{i=0}^D$  is called  *$Q$ -polynomial* whenever for all distinct  $h, j$  ( $0 \leq h, j \leq D$ ) we have  $q_{1,j}^h = 0$  if and only if  $|h - j| \neq 1$ . We say  $\Gamma$  is  *$Q$ -polynomial* whenever there is a  $Q$ -polynomial ordering of the primitive idempotents; cf. [3, p. 235]. Suppose  $\Gamma$  is a tight distance-regular graph. In [16], several characterizations of  $\Gamma$  with the  $Q$ -polynomial property were introduced. In [8, Section 13(vi)], the authors provided many examples of  $\Gamma$ , both with and without the  $Q$ -polynomial property. Here, we recall one example of  $\Gamma$  that does not have the  $Q$ -polynomial property, which will be used later in this paper.

**Example 7** ([3, Section 13.2.D]). The graph  $3.O_7(3)$  is distance-transitive with 1134 vertices and has intersection array  $\{117, 80, 24, 1; 1, 12, 80, 117\}$ . The graph  $3.O_7(3)$  is tight but not  $Q$ -polynomial. Each local graph of  $3.O_7(3)$  is strongly regular with parameters  $(117, 36, 15, 9)$ , and has nontrivial eigenvalues  $r = 9$ ,  $s = -3$ .

We finish this section with one comment. Let  $\Gamma$  be a graph with valency  $k$  and diameter  $D$ . It is well-known that the number of vertices is bounded in terms of  $k$  and  $D$ :

$$|V(\Gamma)| \leq 1 + k + k(k-1) + \cdots + k(k-1)^{D-1} = \begin{cases} 1 + \frac{k((k-1)^D - 1)}{k-2} & k > 2; \\ 2D + 1 & k = 2. \end{cases} \quad (5)$$

The right-hand side of (5) is called the *Moore bound*. We call  $\Gamma$  a *Moore graph* if the equality in (5) holds. For more detailed information about Moore graphs, see [14].

### 3 The block graph of an orthogonal array

In this section, we discuss the block graph of an orthogonal array and its properties. We then analyze the structure of the  $\mu$ -graphs of an amply regular graph that is locally the block graph of an orthogonal array. An *orthogonal array*, denoted as  $OA(m, n)$ , is a structured  $m \times n^2$  array with entries chosen from the set  $\{1, \dots, n\}$ . It possesses the property that the columns of every  $2 \times n^2$  subarray contain all possible  $n^2$  pairs exactly once. In other words, for each pair of rows, every pair of elements from the set  $\{1, \dots, n\}$  appears precisely once in a column. The *block graph of an orthogonal array* is a graph whose vertices are the columns of  $OA(m, n)$ , where two columns are adjacent if and only if there exists a row where they share the same entry. We note that the block graph of  $OA(m, n)$  is the same concept as the Latin square graph  $L_m(n)$ ; see [4, Section 8.4].

**Lemma 8** (cf. [5, Theorem 5.5.1]). *If  $OA(m, n)$  is an orthogonal array with  $n \geq m$ , then its block graph is a strongly regular graph with parameters*

$$(n^2, m(n-1), (m-1)(m-2) + n - 2, m(m-1)). \quad (6)$$

Moreover, the spectrum of the block graph of  $OA(m, n)$  is

$$\begin{pmatrix} m(n-1) & n-m & -m \\ 1 & m(n-1) & (n-1)(n+1-m) \end{pmatrix}.$$

Using Lemma 5(ii) and Lemma 8, we find that the maximum clique size in the block graph of  $OA(m, n)$  is  $n$ . Constructing a Delsarte clique in the block graph of  $OA(m, n)$  is straightforward: for each  $i \in \{1, \dots, n\}$ , consider the set  $S_{r,i}$ , which consists of the columns of  $OA(m, n)$  containing the entry  $i$  in row  $r$ . Note that these sets naturally form cliques. Furthermore, as each element in  $\{1, \dots, n\}$  appears exactly  $n$  times in each row, the size of each clique  $S_{r,i}$  is  $n$  for all  $i$  and  $r$ . These cliques are referred to as the *canonical cliques* of the block graph of  $OA(m, n)$ .

**Lemma 9.** *Let  $\Gamma$  be an amply regular graph with parameters  $(v, k, a_1, c_2)$  and locally the block graph of an orthogonal array  $\text{OA}(m, n)$ . If  $c_2 = m^2$ , then every  $c_2$ -graph of  $\Gamma$  is the block graph of an orthogonal array  $\text{OA}(m, m)$ , and therefore, is complete  $m$ -partite.*

*Proof.* Observe that for each row  $r$  ( $1 \leq r \leq m$ ) in  $\text{OA}(m, n)$ , the set  $S_{r,i}$  ( $1 \leq i \leq n$ ) forms a canonical clique of size  $n$ . Fix a vertex  $x$  of  $\Gamma$ , and let  $\Delta$  denote the local graph of  $\Gamma$  at  $x$ . By construction of  $\text{OA}(m, n)$ ,  $\Delta$  consists of  $n$  (disjoint) canonical cliques

$$S_{r,1}, S_{r,2}, \dots, S_{r,n} \quad (1 \leq r \leq m).$$

Note that every vertex of  $\Delta$  belongs to exactly  $m$  canonical cliques. Fix a row  $r = 1$  and observe that each  $S_{1,i}$  is a canonical clique in  $\Delta$ . Select a vertex  $z$  of  $\Gamma$  at distance two from the vertex  $x$ . Let  $M = M(x, z)$  denote the  $c_2$ -graph of  $\Gamma$  induced by the vertices  $x$  and  $z$ . Since  $c_2 = m^2$ ,  $M$  consists of  $m^2$  columns obtained from the orthogonal array  $\text{OA}(m, n)$ . Let  $\mathcal{O}$  be the  $m \times m^2$  array consisting of the vertices of  $M$ . We claim that  $\mathcal{O}$  has the structure of an orthogonal array  $\text{OA}(m, m)$ , which implies that  $M$  is a block graph of  $\text{OA}(m, m)$ . To prove this claim, we will show that in each row of  $\mathcal{O}$ , precisely  $m$  distinct symbols occur, each exactly  $m$  times. In other words, it is equivalent to proving that  $M$  consists of  $m$  disjoint canonical cliques, with each vertex of  $M$  being incident to precisely  $m$  canonical cliques.

For  $1 \leq i \leq n$ , define  $C_i := S_{1,i} \cap \Gamma(z)$ . Applying Lemma 6, we find that for each  $i$ , the size of  $C_i$  is either  $m$  or  $0$ . Observe that  $C_i$  forms a canonical clique of  $M$  if its size is  $m$ . Therefore,  $\{C_i \mid 1 \leq i \leq n, C_i \neq \emptyset\}$  is a partition of the vertex set of  $M$  into  $m$  canonical cliques of size  $m$ . Note that, without loss of generality, we may permute the entries of  $\text{OA}(m, n)$  so that  $C_i = \emptyset$  for all  $i > m$ , and thus  $\mathcal{O}$  consists of the entries  $\{1, 2, \dots, m\}$  and each vertex in  $M$  is incident to  $m$  canonical cliques. Therefore, we conclude that  $M$  is the block graph of  $\text{OA}(m, m)$ .  $\square$

## 4 The block graph of a Steiner system

In this section, we discuss the block graph of a Steiner system and its properties. We then analyze the structure of  $\mu$ -graph of an amply regular graph that is locally the block graph of a Steiner system. A *Steiner system*  $S(2, m, n)$  is a  $2$ -( $n, m, 1$ ) *design*, that is, a collection of  $m$ -sets taken from a set of size  $n$ , satisfying the property that every pair of elements from the  $n$ -set is contained in exactly one  $m$ -set. In this context, the elements of the  $n$ -set are referred to as *points*, and the  $m$ -sets are referred to as *blocks* of the system. A straightforward counting argument reveals that the number of blocks in a Steiner system  $S(2, m, n)$  is given by  $n(n-1)/m(m-1)$ , and each point occurs in exactly  $(n-1)/(m-1)$  blocks. A Steiner system  $S(2, m, n)$  is said to be *symmetric* if the number of points is equal to the number of blocks; otherwise, it is regarded as *non-symmetric*. The *block graph of a Steiner system*  $S(2, m, n)$  is defined as the graph whose vertices correspond to the blocks of the system. Two blocks are adjacent in this graph if and only if they intersect at exactly one point.



**Lemma 10** (cf. [5, Theorem 5.3.1]). *The block graph of a non-symmetric Steiner system  $S(2, m, n)$  is a strongly regular graph with parameters*

$$\left( \frac{n(n-1)}{m(m-1)}, \frac{m(n-m)}{m-1}, (m-1)^2 + \frac{n-1}{m-1} - 2, m^2 \right). \quad (7)$$

Moreover, the spectrum of this graph is

$$\left( \begin{array}{ccc} \frac{m(n-m)}{m-1} & \frac{n-m^2}{m-1} & -m \\ 1 & n-1 & \frac{n(n-1)}{m(m-1)} - n \end{array} \right). \quad (8)$$

The block graph of a Steiner system  $S(2, m, mn + m - n)$  with  $n \geq m + 1$  is called a *Steiner graph*  $S_m(n)$ . By Lemma 10, the graph  $S_m(n)$  is strongly regular with parameters

$$\left( \frac{(m + n(m-1))(n+1)}{m}, mn, m^2 - 2m + n, m^2 \right). \quad (9)$$

Using (4) and (8), we can determine that the size of a maximum clique in the block graph of a Steiner system  $S(2, m, n)$  is  $(n-1)/(m-1)$ . Constructing a Delsarte clique in the block graph of  $S(2, m, n)$  is straightforward: for each  $i \in \{1, \dots, n\}$ , we define  $S_i$  as the set of all blocks in the design that contain the point  $i$ . These cliques  $S_i$  are referred to as the *canonical cliques* of the block graph.

**Lemma 11.** *Let  $\Gamma$  be an amply regular graph with parameters  $(v, k, a_1, c_2)$  and locally the block graph of a Steiner system  $S(2, m, n)$ . If  $c_2 = m(m+1)$ , then every  $c_2$ -graph of  $\Gamma$  is the block graph of a Steiner system  $S(2, m, m^2)$ , and therefore, is complete  $(m+1)$ -partite.*

*Proof.* For a vertex  $x$  of  $\Gamma$ , let  $\Delta$  denote the local graph of  $\Gamma$  at  $x$ , that is, the block graph of a Steiner system  $S(2, m, n)$ . We denote its corresponding Steiner system by  $(\mathcal{P}, \mathcal{B})$ , where  $\mathcal{P}$  denotes the set of points and  $\mathcal{B}$  denotes the set of blocks. Observe that  $\mathcal{B}$  is the vertex set of the local graph  $\Delta$ , and furthermore,  $|\mathcal{P}| = n$  and  $|\mathcal{B}| = n(n-1)/(m(m-1))$ . Select a vertex  $y$  of  $\Gamma$  at distance two from the vertex  $x$ . Let  $M(x, y)$  denote the  $c_2$ -graph of  $\Gamma$  induced by the vertices  $x$  and  $y$ . Let  $\mathcal{B}'$  denote the vertex set of  $M(x, y)$ . Observe that  $\mathcal{B}'$  is a subset of  $\mathcal{B}$  with cardinality  $m(m+1)$  since  $c_2 = m(m+1)$ . We define the subset  $\mathcal{P}'$  of  $\mathcal{P}$  by

$$\mathcal{P}' = \left\{ p \in \mathcal{P} \mid p \in \bigcup_{B \in \mathcal{B}'} B \right\}.$$

We claim that  $|\mathcal{P}'| = m^2$ . To prove this claim, let us consider a vertex  $B$  in  $M(x, y)$ . Since  $B$  is a block in  $\mathcal{B}'$ , we can write it as  $B = \{p_1, p_2, \dots, p_m\}$ , where  $p_i \in \mathcal{P}'$  ( $1 \leq i \leq m$ ). Now, for the point  $p_1$  we consider the canonical clique  $S_{p_1}$  of  $\Delta$ . By Lemma 10 and (7),  $\Delta$  is strongly regular with  $\mu = m^2$ . Applying Lemma 6, we find that there are exactly  $m+1$  neighbors of  $y$  in  $S_{p_1}$ , denoted as  $B = B_0, B_1, \dots, B_m$ . Observe that each  $B_i$  contains  $m-1$  points, excluding the common point  $p_1$ . It implies that the total number of points in  $\bigcup_{i=0}^m B_i$  is  $m^2$ . Since each  $B_i$  belongs to  $\mathcal{B}'$ , all  $m^2$  points are elements of  $\mathcal{P}'$ . Therefore, we have  $|\mathcal{P}'| \geq m^2$ .

Suppose that  $|\mathcal{P}'| > m^2$ . Recall the vertices  $B = \{p_1, p_2, \dots, p_m\}, B_1, \dots, B_m$ . For  $1 \leq i \leq m$ , let  $S_{p_i}$  denote the canonical clique of  $\Delta$  corresponding to the point  $p_i$ . By construction, the canonical cliques containing the vertex  $B$  are precisely  $S_{p_1}, S_{p_2}, \dots, S_{p_m}$ , and each  $S_{p_i}$  has precisely  $m$  neighbors of  $y$  besides  $B$ . Therefore, we obtain  $m^2 + 1$  vertices of  $M(x, y)$ . Now, choose a point  $q \in \mathcal{P}'$  such that  $q \notin B_i$  for all  $0 \leq i \leq m$ . Such a point can be chosen because  $|\bigcup_{i=0}^m B_i| = m^2$  and by our assumption  $|\mathcal{P}'| > m^2$ . Note that none of the points of  $p_1, p_2, \dots, p_m$  equals  $q$ . Consider the corresponding canonical clique  $S_q$  of  $\Delta$ . It follows that none of  $S_{p_1}, S_{p_2}, \dots, S_{p_m}$  equals  $S_q$ . By Lemma 6,  $S_q$  has  $m + 1$  neighbors of  $y$ , denoted as  $\check{B}_0, \check{B}_1, \dots, \check{B}_m$ . These blocks  $\{\check{B}_i\}_{i=0}^m$  belong to  $\mathcal{B}'$ , and each block  $\check{B}_i$  contains the point  $q$ , so we obtain  $m + 1$  new vertices in  $M(x, y)$ . This implies that the number of vertices of  $M(x, y)$  is at least  $(m^2 + 1) + (m + 1) = m^2 + m + 2$ . However, this contradicts the fact that  $|\mathcal{B}'| = c_2 = m^2 + m$ . Hence, we conclude that  $|\mathcal{P}'| = m^2$ , as claimed.

Next, we consider the pair  $(\mathcal{P}', \mathcal{B}')$ . We will show that this pair forms a  $2$ -( $m^2, m, 1$ ) design, that is, each pair of points in  $\mathcal{P}'$  is contained in exactly one block of  $\mathcal{B}'$ . For each pair of distinct points  $p$  and  $q$  in  $\mathcal{P}'$ , let  $B_{p,q}$  denote the (unique) block in  $\mathcal{B}$  that contains both  $p$  and  $q$ . We define  $\mathcal{B}''$  as the collection of blocks in  $\mathcal{B}$  that contain pairs of points from  $\mathcal{P}'$ , i.e.,  $\mathcal{B}'' = \{B_{p,q} \in \mathcal{B} \mid p, q \in \mathcal{P}'\}$ . We assert that  $\mathcal{B}' = \mathcal{B}''$ . First, it is clear that  $\mathcal{B}'$  is a subset of  $\mathcal{B}''$ . Next, we determine the cardinality of  $\mathcal{B}''$ . To do this, consider the set  $\{(\{p, q\}, B) \mid B \in \mathcal{B}'', \{p, q\} \in \binom{\mathcal{P}'}{2}\}$ . Through double-counting the pairs  $(\{p, q\}, B)$ , we find

$$\binom{m}{2} |\mathcal{B}''| \leq \binom{|\mathcal{P}'|}{2}.$$

Simplifying this inequality, we obtain  $|\mathcal{B}''| \leq m(m + 1)$ . On the other hand, since  $\mathcal{B}' \subseteq \mathcal{B}''$  and  $|\mathcal{B}'| = m(m + 1)$ , it follows that  $|\mathcal{B}''| = m(m + 1)$ . Therefore, we have  $\mathcal{B}' = \mathcal{B}''$ , as asserted. Consequently, the pair  $(\mathcal{P}', \mathcal{B}')$  possesses the structure of a  $2$ -( $m^2, m, 1$ ) design. The result follows.  $\square$

## 5 Proof of Theorem 2

In this section, we prove Theorem 2. To do this, we first recall and present some lemmas required for the proof without providing their proofs.

**Lemma 12** (cf. [10, Lemma 4]). *For integers  $t, n \geq 2$  let  $\Gamma$  be a connected graph of diameter at least 2, in which every  $\mu$ -graph is isomorphic to  $K_{t \times n}$ . Then  $\Gamma$  is regular. Moreover, for an arbitrary vertex  $x$  of  $\Gamma$ , the local graph  $\Delta$  of  $\Gamma$  at  $x$  satisfies the following properties:*

- (i)  $\Delta$  is regular;
- (ii)  $\Delta$  has diameter 2 and every  $\mu$ -graph of  $\Delta$  is isomorphic to  $K_{(t-1) \times n}$ ;
- (iii)  $\Delta$  is strongly regular if  $t \geq 3$ ;

- (iv) if the intersection number  $\gamma(\Gamma)$  exists, then  $\gamma(\Gamma) > 0$  and the intersection number  $\gamma(\Delta)$  exists with  $\gamma(\Delta) = \gamma(\Gamma) - 1$ .

**Lemma 13** (cf. [10, Theorem 8]). For integers  $t, n \geq 2$  let  $\Gamma$  be a connected graph in which every  $\mu$ -graph is isomorphic to  $K_{t \times n}$ . If the intersection number  $\gamma(\Gamma)$  exists with  $\gamma(\Gamma) \geq 2$ , then  $\gamma(\Gamma) = t$ .

**Lemma 14** (cf. [10, Theorem 11]). For an integer  $n \geq 3$  let  $\Gamma$  be a connected graph in which every  $\mu$ -graph is isomorphic to  $K_{n,n}$ . If the intersection number  $\gamma(\Gamma)$  exists and  $\gamma(\Gamma) = 2$ , then  $\Gamma$  is locally  $\text{GQ}(\lambda/n, n-1)$ . In particular,  $\Gamma$  has diameter 2 if and only if  $\Gamma$  is locally  $\text{GQ}(n-1, n-1)$ .

**Lemma 15** (cf. [10, Theorem 12]). For integers  $t \geq 1$  and  $n \geq 3$  let  $\Gamma$  be a connected graph in which every  $\mu$ -graph is isomorphic to  $K_{t \times n}$ . If the intersection number  $\gamma(\Gamma)$  exists, then  $t \leq 4$ . Moreover, equality holds only if  $\Gamma$  is the unique distance-regular graph  $3.O_7(3)$ , which is locally locally locally  $\text{GQ}(2, 2)$ .

Now we are ready to prove Theorem 2.

*Proof of Theorem 2.* Let  $\Delta$  denote the local graph of  $\Gamma$  at a vertex  $x \in V(\Gamma)$ . Since  $\Delta$  is strongly regular, we denote its parameters as  $(k, a_1, \lambda, \mu)$  and its eigenvalues as  $a_1 > r > -m$ , where  $a_1$  is the intersection number of  $\Gamma$ . For notational convenience, we let  $n = r + m$ . Now, we consider each case: (i)  $\Delta$  is the block graph of an orthogonal array, and (ii)  $\Delta$  is the block graph of a Steiner system.

**Case (i):** Suppose  $\Delta$  is the block graph of an orthogonal array with  $k > m^2$ . Assume that  $c_2 = m^2$ ; we will derive a contradiction from this assumption. To this end, we consider the  $c_2$ -graphs of  $\Gamma$ . By Lemma 9, every  $c_2$ -graph of  $\Gamma$  is the block graph of  $\text{OA}(m, m)$ , which is isomorphic to  $K_{m \times m}$ , where  $m \geq 3$ .

We claim that  $m = 3$ . To show this, we consider the (triple) intersection number  $\gamma(\Gamma)$ . We assert that  $\gamma(\Gamma) \geq 2$ . Suppose that  $\gamma(\Gamma) = 1$ . Choose a vertex  $z$  at distance two from  $x$ , and then choose a vertex  $y$  that is adjacent to both  $x$  and  $z$ . Next, choose a Delsarte clique  $C$  of  $\Delta$  that contains  $y$ . Consider the subset  $N_z := C \cap \Gamma(z)$  of  $C$ . Note that  $N_z$  is not empty since  $y \in N_z$ . By Lemma 6, and since  $\mu = m(m-1)$  by (6), we have  $|N_z| = 1 + \mu/m = m$ . Since  $n > m$ , one can choose a vertex  $y' \in C \setminus N_z$ . Considering the triple of vertices  $(x, y', z)$  and using the assumption  $\gamma(\Gamma) = 1$ , it follows that  $N_z = \{y\}$ . Thus,  $|N_z| = m = 1$ , which contradicts  $m \geq 3$ . Therefore, we have  $\gamma(\Gamma) \geq 2$ , as asserted. Since the  $c_2$ -graph of  $\Gamma$  is isomorphic to  $K_{m \times m}$  and the intersection number  $\gamma(\Gamma)$  exists with  $\gamma(\Gamma) \geq 2$ , by applying Lemma 13 to  $\Gamma$  we obtain  $\gamma(\Gamma) = m$ . In addition, applying Lemma 15 to  $\Gamma$  and considering the given condition  $m \geq 3$ , we have  $3 \leq m \leq 4$ . If  $m = 4$ , by Lemma 15,  $\Gamma$  must be the distance-regular graph  $3.O_7(3)$ . In this case, referring to Example 7,  $\Delta$  has the smallest eigenvalue  $-3$ , namely  $m = 3$ , contradicting the given  $m = 4$ . Therefore, we rule out the case  $m = 4$ . Consequently, we have  $m = 3$ , as claimed. From the claim, it follows that the  $c_2$ -graph of  $\Gamma$  is isomorphic to  $K_{3 \times 3}$ . With this comment, we apply Lemma 12 to  $\Gamma$ , obtaining that every  $\mu$ -graph of  $\Delta$  is isomorphic

to  $K_{2 \times 3}$ , and the intersection number  $\gamma(\Delta)$  exists with  $\gamma(\Delta) = \gamma(\Gamma) - 1 = 3 - 1 = 2$ . Subsequently, by applying Lemma 14 to  $\Delta$ , we conclude that  $\Delta$  is locally GQ(2, 2).

However, this is impossible for the following reasons. Choose a vertex  $v$  in  $\Delta$  and consider the local graph  $\Delta(v)$  of  $\Delta$  at  $v$ . Then  $\Delta(v)$  is GQ(2, 2), a strongly regular graph with parameters (15, 6, 1, 3). By (4), the maximal size of a clique of  $\Delta(v)$  is 3. But we can find a clique of size 5 within  $\Delta(v)$  as follows. Consider a Delsarte clique  $C$  of  $\Delta$  containing  $v$ . Since  $|\Delta(v)| = 15$ , it follows that  $a_1 = 15$ , which is the valency of  $\Delta$ . Recall  $m = 3$ , where  $-m$  is the smallest eigenvalue of  $\Delta$ . By (4), we have  $|C| = 1 + a_1/m = 6$ . Since  $C \setminus \{v\}$  is a clique in  $\Delta(v)$ , we find that  $\Delta(v)$  contains a clique of size 5. This contradicts the requirement that the maximal size of a clique in  $\Delta(v)$  is 3. Therefore,  $\Delta$  cannot be locally GQ(2, 2). Consequently, we conclude  $c_2 \neq m^2$ .

**Case (ii):** The proof is similar to Case (i). Suppose  $\Delta$  is the block graph of a Steiner system with  $k > m(m + 1)$ . Assume that  $c_2 = m(m + 1)$ . By Lemma 11, every  $c_2$ -graph of  $\Gamma$  is the block graph of a Steiner system  $S(2, m, m^2)$ , which is isomorphic to  $K_{m \times (m+1)}$ . We determine the intersection number  $\gamma(\Gamma)$ . Using the same argument as in the proof of Case (i), we find that  $\gamma(\Gamma) = m = 3$ . Therefore, every  $c_2$ -graph of  $\Gamma$  is isomorphic to  $K_{3 \times 4}$ . By Lemma 12, every  $\mu$ -graph of  $\Delta$  is isomorphic to  $K_{2 \times 4}$  and the intersection number  $\gamma(\Delta)$  is 2. Therefore, by Lemma 14,  $\Delta$  is locally GQ(3, 3). However, this is impossible for the following reasons. Choose a vertex  $v$  in  $\Delta$ . Then, the local graph  $\Delta(v)$  of  $\Delta$  at  $v$  is GQ(3, 3), a strongly regular graph with parameters (40, 12, 2, 4). Therefore, the valency of  $\Delta$  is 40. By (7) and since  $m = 3$ , the valency of  $\Delta$  is  $3(n - 3)/2$ . From these comments, we have  $3(n - 3)/2 = 40$ , which implies  $n = 89/3$ . This contradicts the fact that  $n$  is an integer. Therefore,  $\Delta$  cannot be locally GQ(3, 3). Consequently, we conclude  $c_2 \neq m(m + 1)$ . The proof is now complete.  $\square$

*Remark 16.* In Theorem 2, we assumed that  $\Gamma$  is locally strongly regular with smallest eigenvalue  $-m$ , where  $m \geq 3$ . In the proof of the theorem, assuming  $c_2 = m^2$  (resp.  $c_2 = m(m + 1)$ ), we obtained that each  $c_2$ -graph of  $\Gamma$  is the block graph of the orthogonal array OA( $m, m$ ) (resp. the Steiner system  $S(2, m, m^2)$ ) from Lemma 9 (resp. Lemma 11), and derived a contradiction from its structure. It is worth noting that the existence of an orthogonal array OA( $m, m$ ) is equivalent to the existence of a projective plane of order  $m$ . Similarly, the existence of a Steiner system  $S(2, m, m^2)$  is equivalent to the existence of a projective plane of order  $m$ . Thus, if  $m$  is a number for which no projective plane of order  $m$  exists, then the  $c_2$ -graph of  $\Gamma$  does not exist, and hence we do not need the assumption that the intersection number  $\gamma(\Gamma)$  exists.

Next, we apply Theorem 2 to tight distance-regular graphs, resulting in the following.

**Corollary 17.** *Let  $\Gamma$  be a tight distance-regular graph with diameter  $D \geq 3$ , intersection numbers  $b_1, c_2$ , and eigenvalues  $k > \theta_1 > \dots > \theta_D$ . Define*

$$b := b_1/(1 + \theta_1).$$

*Assume  $b \geq 2$ . Then the following (i) and (ii) hold.*

- (i) If  $\Gamma$  is locally the block graph of an orthogonal array and  $k > (b+1)^2$ , then  $c_2 \neq (b+1)^2$ ,
- (ii) If  $\Gamma$  is locally the block graph of a Steiner system and  $k > (b+1)(b+2)$ , then  $c_2 \neq (b+1)(b+2)$ .

*Proof.* Since  $\Gamma$  is tight, it is locally connected strongly regular with smallest eigenvalue  $-1-b$ . Moreover, the tight property implies that  $\Gamma$  is 1-homogeneous, from which it follows that the intersection number  $\gamma(\Gamma)$  exists. With these comments, apply Theorem 2 to  $\Gamma$ . The result follows.  $\square$

*Remark 18.* From Corollary 17, we conclude that a distance-regular graph  $\Gamma$  with diameter at least 3 and  $b = b_1/(1+\theta_1) \geq 2$  cannot be tight if (i)  $\Gamma$  is locally the block graph of an orthogonal array and  $c_2 = (b+1)^2$ , or (ii)  $\Gamma$  is locally the block graph of a Steiner system and  $c_2 = (b+1)(b+2)$ .

We give a comment on the case when  $\Gamma$  has diameter  $D = 3$  in Corollary 17. Recall a *Taylor graph*, that is, a distance-regular graph with intersection array  $\{k, c_2, 1; 1, c_2, k\}$  with  $c_2 < k-1$ . We note that a nonbipartite distance-regular graph with diameter 3 is tight if and only if it is a Taylor graph [9, Theorem 3.2]. Let  $\Gamma$  be a Taylor graph. Then  $\Gamma$  is locally strongly regular with parameters  $(k, a_1, \lambda, \mu)$  and eigenvalues  $a_1 > r > s$ . Since  $\Gamma$  is a Taylor graph, its local graphs satisfy

$$a_1 = k - c_2 - 1, \quad \lambda = (3a_1 - k - 1)/2, \quad \mu = a_1/2, \quad (10)$$

and

$$k = -(2r+1)(2s+1). \quad (11)$$

In Corollary 17, the graph  $\Gamma$  with  $D = 3$  corresponds to a Taylor graph. In this case, referring to the above discussion, it can yield the following stronger result.

**Proposition 19.** *Let  $\Gamma$  be a Taylor graph with intersection numbers  $a_1, c_2$ . Let  $a_1 > r > s$  denote the eigenvalues of a local graph of  $\Gamma$ . Set  $m = -s$  and  $n = r - s$ . The following (i)–(iii) are equivalent:*

- (i)  $\Gamma$  is locally strongly regular with the parameters of the block graph of  $\text{OA}(m, n)$ ,
- (ii)  $n = 2m - 1$ , and
- (iii)  $c_2 = 2m(m - 1)$ .

Furthermore, the following (iv)–(vi) are equivalent:

- (iv)  $\Gamma$  is locally strongly regular with the parameters of the Steiner graph  $S_m(n)$ ,
- (v)  $n = 2m$ , and
- (vi)  $c_2 = 2(m+1)(m-1)$ .

*Proof.* Throughout this proof, let  $\Delta$  denote a local graph of  $\Gamma$  with parameters  $(k, a_1, \lambda, \mu)$ . Using (10), (11) along with  $\mu = a_1 + rs$  from (3), the parameters  $(k, a_1, \lambda, \mu)$  are expressed in terms of  $m$  and  $n$ :

$$((2n - 2m + 1)(2m - 1), 2m(n - m), (n - m)(m + 1) - m, m(n - m)). \quad (12)$$

First, we show that (i)–(iii) are equivalent.

(i)  $\Rightarrow$  (ii): Suppose  $\Delta$  has parameters (6) of the block graph of  $\text{OA}(m, n)$ . Then we have  $\mu = m(m - 1)$ . Since  $\Delta$  is the local graph of  $\Gamma$ , it also has the parameter  $\mu = m(n - m)$  from (12). From these two formulas for  $\mu$ , it follows that  $n = 2m - 1$ .

(ii)  $\Rightarrow$  (iii): Suppose that  $n = 2m - 1$ . Recall the parameters (12) of  $\Delta$ . Substituting  $n = 2m - 1$  into (12), we obtain the parameters

$$((2m - 1)^2, 2m(m - 1), m^2 - m - 1, m(m - 1)). \quad (13)$$

Observe that  $c_2 = k - a_1 - 1$  from the first equation in (10). Evaluate  $c_2$  using the parameters in (13) and simplify the result to get  $c_2 = 2m(m - 1)$ .

(iii)  $\Rightarrow$  (i): Using  $c_2 = 2m(m - 1)$  and the parameters in (12), express the equation  $c_2 = k - a_1 - 1$  in terms of  $m$  and  $n$  to obtain

$$2m(m - 1) = (2n - 2m + 1)(2m - 1) - 2m(n - m) - 1. \quad (14)$$

Simplify (14) to get the equation  $(m - 1)(n - 2m + 1) = 0$ . We note that  $m \neq 1$  since  $-m$  is the smallest eigenvalue of  $\Delta$ . Therefore, we have  $n = 2m - 1$ . Using this equation, we find that the parameters in (6) and (13) are equal. Therefore,  $\Delta$  has the same parameters as the block graph of  $\text{OA}(m, n)$ .

Next, we show that (iv)–(vi) are equivalent.

(iv)  $\Rightarrow$  (v): Suppose that  $\Delta$  has parameters (9) of the Steiner graph  $S_m(n)$ . Then we have  $\mu = m^2$ . Since  $\Delta$  is the local graph of  $\Gamma$ , it also has the parameter  $\mu = m(n - m)$  from (12). From these two formulas for  $\mu$ , it follows that  $n = 2m$ .

(v)  $\Rightarrow$  (vi): Suppose that  $n = 2m$ . Substituting  $n = 2m$  into (12), we obtain the parameters

$$(4m^2 - 1, 2m^2, m^2, m^2). \quad (15)$$

Evaluate  $c_2 = k - a_1 - 1$  using the parameters in (15) and simplify the result to get  $c_2 = 2(m + 1)(m - 1)$ .

(vi)  $\Rightarrow$  (iv): Using  $c_2 = 2(m + 1)(m - 1)$  and the parameters in (12), express the equation  $c_2 = k - a_1 - 1$  in terms of  $m$  and  $n$  to obtain

$$2(m + 1)(m - 1) = (2n - 2m + 1)(2m - 1) - 2m(n - m) - 1. \quad (16)$$

Simplify (16) to get the equation  $(m - 1)(n - 2m) = 0$ . Since  $m \neq 1$ , we have  $n = 2m$ . Using this equation, we find that the parameters in (9) and (15) are equal. Therefore,  $\Delta$  has the same parameters as the Steiner graph  $S_m(n)$ .  $\square$

**Example 20.** (i) The Johnson graph  $J(6, 3)$  has intersection array  $\{9, 4, 1; 1, 4, 9\}$ . Its local graph is strongly regular with parameters  $(9, 4, 1, 2)$  and eigenvalues  $4, 1, -2$ . Note that  $m = 2$  and  $n = 3$ . Thus, every local graph of  $J(6, 3)$  has the same parameters as the block graph of  $\text{OA}(2, 3)$ . Indeed,  $J(6, 3)$  is locally the block graph of  $\text{OA}(2, 3)$  since the structure of the local graphs is determined by their parameters.

(ii) The halved 6-cube has intersection array  $\{15, 6, 1; 1, 6, 15\}$ . Its local graph is strongly regular with parameters  $(15, 8, 4, 4)$  and eigenvalues  $8, 2, -2$ . Note that  $m = 2$  and  $n = 4$ . Thus, every local graph of the halved 6-cube has the same parameters as the Steiner graph  $S_2(4)$ . By the same reason as in (i), the halved 6-cube is locally the Steiner graph  $S_2(4)$ .

(iii) The Taylor graph from the Kneser graph  $K(6, 2)$  has intersection array  $\{15, 8, 1; 1, 8, 15\}$ . Its local graph is strongly regular with parameters  $(15, 6, 1, 3)$  with eigenvalues  $6, 1, -3$ . Note that  $m = 3$  and  $n = 4$ . Neither  $n = 2m - 1$  nor  $n = 2m$  is satisfied. Therefore, the Taylor graph from  $K(6, 2)$  is not locally the block graph of an orthogonal array or a Steiner graph.

## 6 Proof of Conjecture 1

In this section, we consider tight distance-regular graphs with classical parameters and prove Conjecture 1. We begin by recalling the notion of classical parameters. For a non-zero integer  $b$ , we define

$$\begin{bmatrix} i \\ 1 \end{bmatrix} = \begin{bmatrix} i \\ 1 \end{bmatrix}_b := 1 + b + b^2 + \cdots + b^{i-1}.$$

Let  $\Gamma$  be a distance-regular graph with diameter  $D \geq 3$ . We say  $\Gamma$  has *classical parameters*  $(D, b, \alpha, \beta)$  whenever its intersection array  $\{b_0, b_1, \dots, b_{D-1}; c_1, c_2, \dots, c_D\}$  satisfies

$$\begin{aligned} b_i &= \left( \begin{bmatrix} D \\ 1 \end{bmatrix} - \begin{bmatrix} i \\ 1 \end{bmatrix} \right) \left( \beta - \alpha \begin{bmatrix} i \\ 1 \end{bmatrix} \right) & (0 \leq i \leq D-1), \\ c_i &= \begin{bmatrix} i \\ 1 \end{bmatrix} \left( 1 + \alpha \begin{bmatrix} i-1 \\ 1 \end{bmatrix} \right) & (1 \leq i \leq D). \end{aligned}$$

We note that if  $\Gamma$  has classical parameters  $(D, b, \alpha, \beta)$ , then  $\Gamma$  is tight if and only if  $\beta = 1 + \alpha \begin{bmatrix} D-1 \\ 1 \end{bmatrix}$  and  $b, \alpha > 0$ ; see [11, Proposition 2].

**Lemma 21** (cf. [11, Theorem 7]). *Let  $\Gamma$  be a tight distance-regular graph with valency  $k$ , intersection number  $a_1$ , and classical parameters  $(D, b, \alpha, \beta)$ . Then, its local graphs are strongly regular with parameters  $(k, a_1, \lambda, \mu)$ , where*

$$\mu = \alpha(b+1), \quad \lambda = (\alpha-1)(b+1) + \alpha b \begin{bmatrix} D-2 \\ 1 \end{bmatrix},$$

*and eigenvalues  $a_1 > r > s$ , where*

$$a_1 = \alpha(b+1) \begin{bmatrix} D-1 \\ 1 \end{bmatrix}, \quad r = \alpha b \begin{bmatrix} D-2 \\ 1 \end{bmatrix}, \quad s = -1 - b. \quad (17)$$

*Remark 22.* Let  $\Gamma$  be a tight distance-regular graph with classical parameters  $(D, b, \alpha, \beta)$  and smallest eigenvalue  $s$ . From the equations  $s = -1 - b_1/(1 + \theta_1)$  in (2) and  $s = -1 - b$  in (17),  $\Gamma$  satisfies

$$b = \frac{b_1}{1 + \theta_1}. \quad (18)$$

Now, we are ready to prove Conjecture 1.

**Theorem 23** (cf. [11, Conjecture 2]). *Let  $\Gamma$  be a tight distance-regular graph with classical parameters  $(D, b, \alpha, \beta)$ , where  $D \geq 3$  and  $b \geq 2$ . Then, a local graph of  $\Gamma$  is neither the block graph of an orthogonal array or a Steiner system.*

*Proof.* For a vertex  $x \in V(\Gamma)$ , let  $\Delta$  denote the local graph of  $\Gamma$  at  $x$ . Since  $\Gamma$  is tight and by Lemma 21,  $\Delta$  is a strongly regular graph with eigenvalues  $a_1, r, s$  from (17). From Remark 22,  $\Gamma$  satisfies that  $b = b_1/(1 + \theta_1)$ . Set  $m := -s = 1 + b$  and  $n := r - s = \alpha b \begin{bmatrix} D-2 \\ 1 \end{bmatrix} + 1 + b$ . Observe that  $n > m$  and  $\Delta$  has the smallest eigenvalue  $-m$  with  $m \geq 3$ . Now, we consider two cases: (i)  $\Delta$  is the block graph of an orthogonal array; (ii)  $\Delta$  is the block graph of a Steiner system.

**Case (i):** Suppose  $\Delta$  is the block graph of an orthogonal array. Consider the parameter  $\mu$  of  $\Delta$ . By Lemma 8 we have  $\mu = m(m - 1)$  and by Lemma 21 we have  $\mu = \alpha(1 + b)$ . By these comments and since  $m = 1 + b$ , it follows  $\alpha = b$ . Thus, the intersection number  $c_2$  of  $\Gamma$  is given by

$$c_2 = \begin{bmatrix} 2 \\ 1 \end{bmatrix} \left( 1 + \alpha \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right) = (1 + b)(1 + \alpha) = (1 + b)^2.$$

However, this contradicts the result of Corollary 17(i).

**Case (ii):** The argument is similar to Case (i). Suppose  $\Delta$  is the block graph of a Steiner system  $S(2, m, n)$ . Consider the parameter  $\mu$  of  $\Delta$ . By Lemma 10 and Lemma 21, we have  $\mu = m^2 = \alpha(b + 1)$ . Since  $m = b + 1$ , it follows  $\alpha = b + 1$ . Thus, the intersection number  $c_2$  of  $\Gamma$  is given by

$$c_2 = \begin{bmatrix} 2 \\ 1 \end{bmatrix} \left( 1 + \alpha \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right) = (1 + b)(1 + \alpha) = (1 + b)(2 + b).$$

However, this contradicts the result of Corollary 17(ii).

Consequently,  $\Delta$  is neither the block graph of an orthogonal array nor the block graph of a Steiner system. The result follows.  $\square$

## 7 Proof of Theorem 3

In this section, we prove Theorem 3. To do this, we recall some known results that we need in the proof.



**Lemma 24** (cf. [15, Theorem 3.1]). *Let  $\Gamma$  be a primitive strongly regular graph with parameters  $(v, k, \lambda, \mu)$  and integral eigenvalues  $k > r > s = -m$ . Then*

$$\mu \leq m^3(2m - 3). \quad (19)$$

*If equality holds, then  $n = m(m - 1)(2m - 1)$ , where  $n = r - s$ .*

**Lemma 25** (cf. [4, Theorem 8.6.3]). *Let  $\Gamma$  be a primitive strongly regular graph with parameters  $(v, k, \lambda, \mu)$  and integral eigenvalues  $k > r > s$ . For convenience, we set  $m := -s$  and  $n := r - s$ . Let  $f(m, \mu) = \frac{1}{2}m(m - 1)(\mu + 1) + m - 1$ . Then*

- (i) *If  $\mu = m(m - 1)$  and  $n > f(m, \mu)$ , then  $\Gamma$  is the block graph of an orthogonal array  $\text{OA}(m, n)$ .*
- (ii) *If  $\mu = m^2$  and  $n > f(m, \mu)$ , then  $\Gamma$  is the block graph of a Steiner system  $S(2, m, mn + m - n)$ .*
- (iii) (Claw bound) *If  $\mu \neq m(m - 1)$  and  $\mu \neq m^2$ , then  $n \leq f(m, \mu)$ .*

Now we prove Theorem 3.

*Proof of Theorem 3.* Let  $\Delta$  denote a local graph of  $\Gamma$  at a vertex  $x \in V(\Gamma)$ . Then  $\Delta$  is strongly regular with parameters  $(k, a_1, \lambda, \mu)$  and eigenvalues  $a_1, r, s$  from (2). Set  $m := -s$  and  $n := r - s$ . By the given condition,  $\Delta$  is neither the block graph of an orthogonal array nor the block graph of a Steiner system. By Lemma 25, we find

$$n \leq \frac{1}{2}m(m - 1)(\mu + 1) + m - 1. \quad (20)$$

Substitute  $n = r + m$  into (20) and simplify the result to obtain

$$r \leq \frac{1}{2}m(m - 1)(\mu + 1) - 1. \quad (21)$$

Apply (19) to (21) to obtain

$$r \leq \frac{1}{2}m(m - 1)(m^3(2m - 3) + 1) - 1. \quad (22)$$

Next, we recall the equation  $\mu = a_1 + rs$  from (3). Eliminate  $\mu$  in (19) using this equation and simplify the result using  $s = -m$  to obtain

$$a_1 \leq m^3(2m - 3) + rm. \quad (23)$$

Eliminate  $r$  in the right-hand side of (23) by applying the inequality (22) and then simplify the result to obtain

$$a_1 \leq g(m), \quad (24)$$

where  $g(m) = \frac{1}{2}(m^3(2m-3)+1)(m^2(m-1)+2) - m - 1$ . We note that  $a_1$  is the valency of  $\Delta$  and the diameter of  $\Delta$  is two. Thus, by (5) we have

$$|V(\Delta)| = k \leq 1 + a_1^2. \quad (25)$$

Applying the inequality (24) to the right-hand side of (25), we find

$$k \leq 1 + g(m)^2.$$

Since  $m = 1 + b$ , the valency  $k$  of  $\Gamma$  is bounded by a function in  $b$ . Since the diameter of a distance-regular graph is bounded in terms of its valency (cf. [2, Section 4]), we conclude that the diameter of  $\Gamma$  is bounded by a function in  $b$ . The result follows.  $\square$

*Remark 26.* Referring to the proof of Theorem 3, the valency  $k$  is bounded by a function  $\varphi$  in the variable  $b$ , where

$$\varphi(b) = \frac{1}{4} \left[ ((1+b)^3(2b-1)+1)(b(1+b)^2+2) - 2b - 4 \right]^2 + 1.$$

Since  $b = m - 1$ , we also find that the diameter of  $\Gamma$  is bounded by a function in the variable  $m$ , where  $-m$  is the smallest eigenvalue of a local graph of  $\Gamma$ .

**Corollary 27.** *Let  $\Gamma$  be a tight distance-regular graph with classical parameters  $(D, b, \alpha, \beta)$ ,  $D \geq 3$ ,  $b \geq 2$ . Then, the diameter of  $\Gamma$  is bounded by a function in  $b$ .*

*Proof.* Let  $k > \theta_1 > \dots > \theta_D$  be eigenvalues of  $\Gamma$ . From Remark 22,  $\Gamma$  satisfies that  $b = b_1/(1 + \theta_1)$ . By Theorem 23, a local graph of  $\Gamma$  is neither the block graph of an orthogonal array nor the block graph of a Steiner system. Therefore, by Theorem 3, the diameter of  $\Gamma$  is bounded by a function in  $b$ . The result follows.  $\square$

We conclude the paper with a brief summary and a discussion of further direction. We considered a distance-regular graph  $\Gamma$  with diameter  $D \geq 3$ . Assuming that  $\Gamma$  is locally strongly regular with smallest eigenvalue  $-m$ , where  $m \geq 3$ , and the intersection number  $\gamma(\Gamma)$  exists, we have shown our main result that if  $\Gamma$  is locally the block graph of an orthogonal array (resp. a Steiner system), then the intersection number  $c_2$  is not equal to  $m^2$  (resp.  $m(m+1)$ ). In particular, when  $\Gamma$  is tight with classical parameters, it is not locally the block graph of an orthogonal array or a Steiner system. Additionally, using the main result, we have proven that if  $\Gamma$  is tight and not locally the block graph of an orthogonal array or a Steiner system, then the diameter of  $\Gamma$  is bounded by a function of the parameter  $b = b_1/(1 + \theta_1)$ . As we mentioned in Section 1, it is a significant problem to determine an upper bound for the diameter of distance-regular graphs using some intersection numbers of  $\Gamma$ . Our future goal is to generalize Theorem 3, demonstrating that the diameter of tight distance-regular graphs is bounded by a function of the variable  $b$ . We present the following conjecture.

**Conjecture 28.** Let  $\Gamma$  be a tight distance-regular graph. Let  $b = b_1/(1 + \theta_1)$ , where  $b_1$  is the intersection number of  $\Gamma$  and  $\theta_1$  is the second largest eigenvalue of  $\Gamma$ , and assume  $b \geq 2$ . Then, the diameter of  $\Gamma$  is bounded by a function in  $b$ .

*Remark 29.* To prove Conjecture 28, according to Theorem 3, it suffices to prove that for tight distance-regular graphs with  $D \geq 3$  which are locally the block graphs of orthogonal arrays or Steiner systems, their diameters are bounded by a function in  $b$ , provided  $b \geq 2$ . Furthermore, it is worth noting that, except for the halved  $2D$ -cubes and the Johnson graphs  $J(2D, D)$ , all known tight distance-regular graphs have diameter at most 4.

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