

# Cyclic Orderings of Paving Matroids

Sean McGuinness<sup>a</sup>

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## Abstract

A matroid  $M$  of rank  $r$  is *cyclically orderable* if there is a cyclic permutation of the elements of  $M$  such that any  $r$  consecutive elements form a basis in  $M$ . An old conjecture of Kajitani, Miyano, and Ueno states that a matroid  $M$  is cyclically orderable if and only if for all  $\emptyset \neq X \subseteq E(M)$ ,  $\frac{|X|}{r(X)} \leq \frac{|E(M)|}{r(M)}$ . In this paper, we verify this conjecture for all paving matroids.

**Mathematics Subject Classifications:** 05D99,05B35

## 1 Introduction

A matroid  $M$  of rank  $r$  is **cyclically orderable** if there is a cyclic permutation of the elements of  $M$  such that any  $r$  consecutive elements is a base.

For a matroid  $M$  and a subset  $\emptyset \neq X \subseteq E(M)$ , we define  $\beta(X) := \frac{|X|}{r(X)}$ , if  $r(X) \neq 0$ ; otherwise,  $\beta(X) := \infty$ . Let  $\gamma(M) = \max_{\emptyset \neq X \subseteq E(M)} \beta(X)$ .

It turns out that the condition  $\gamma(M) = \beta(E(M))$  is a necessary condition for a matroid  $M$  to be cyclically orderable. To see this, suppose  $e_1 e_2 \cdots e_n$  is a cyclic ordering of a rank- $r$  matroid  $M$ . Then for any nonempty subset  $A \subseteq E(M)$ , we have  $r|A| = \sum_{i=1}^n |A \cap \{e_i, e_{i+1}, \dots, e_{i+r}\}| \leq nr(A)$ . The first equality follows from the fact that each element of  $A$  appears in exactly  $r$  sets  $\{e_i, e_{i+1}, \dots, e_{i+r}\}$  and the second inequality follows from the fact that  $|A \cap \{e_i, e_{i+1}, \dots, e_{i+r}\}| \leq r(A)$ . Consequently,  $\beta(A) \leq \beta(E(M))$  and hence  $\gamma(M) = \beta(E(M))$ . In light of this, the following conjecture of Kajitani, Miyano, and Ueno [6] seems natural:

**Conjecture 1.** A matroid  $M$  is cyclically orderable if and only if  $\gamma(M) = \beta(E(M))$ .

Despite having been around for decades, the above conjecture is only known to be true for a few special classes of matroids. In [2], the conjecture was shown to be true for sparse paving matroids. Perhaps the strongest result thus far can be found in [8] where it was shown that Conjecture 1 is true when  $r(M)$  and  $|E(M)|$  are relatively prime.

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<sup>a</sup>Department of Mathematics, Thompson Rivers University, Kamloops, BC, Canada  
(smcguinness@tru.ca).

## 2 Theorem (Van Den Heuvel and Thomasse)

Let  $M$  be a matroid for which  $\gamma(M) = \beta(E(M))$ . If  $|E(M)|$  and  $r(M)$  are relatively prime, then  $M$  has a cyclic ordering.

It follows from recent results in [1] on *split matroids*, a class which includes paving matroids, that the conjecture is true for paving matroids  $M$  where  $|E(M)| \leq 2r(M)$ . Coupled with Theorem 2, we can replace  $2r(M)$  by  $2r(M) + 1$  in this bound since  $|E(M)|$  and  $r(M)$  are relatively prime when  $|E(M)| = 2r(M) + 1$ . In this paper, we verify Conjecture 1 for all paving matroids.

**Theorem 3.** *Let  $M$  be a paving matroid where  $\gamma(M) = \beta(E(M))$ . Then  $M$  is cyclically orderable.*

For concepts, terminology, and notation pertaining to matroids, we shall follow Oxley [7] when possible. For a matroid  $M$ ,  $\mathcal{C}(M)$  will denote the set of all circuits of  $M$ .

For a finite set  $A$  and integer  $k \leq |A|$ , we let  $\binom{A}{k}$  denote the set of all  $k$ -subsets of  $A$ . For a collection of subsets  $\mathcal{A}$  and integer  $k$  we let  $\binom{\mathcal{A}}{k}$  denote the set of all sets in  $\mathcal{A}$  having cardinality  $k$ .

For a set  $A$  and elements  $x_1, \dots, x_k$  we will often write, for convenience,  $A + x_1 + x_2 + \dots + x_k$  (resp.  $A - x_1 - x_2 - \dots - x_k$ ) in place of  $A \cup \{x_1, \dots, x_k\}$  (resp.  $A \setminus \{x_1, \dots, x_k\}$ ).

For a positive integer  $n$ , we let  $[n]$  denote the set  $\{1, \dots, n\}$ .

### 1.1 Idea behind the proof

To prove the main theorem, we shall use induction on  $|E(M)|$ . To do this, we shall first remove a basis  $S$  from  $M$  so that the resulting matroid  $M'$  satisfies  $\gamma(M') = \beta(E(M) - S)$ . While generally such a basis  $S$  may not exist, we will show that such bases exist when  $|E(M)| \geq 2r(M) + 2$ . Applying the inductive assumption,  $M'$  is cyclically orderable, with a cyclic ordering say  $e_1 e_2 \dots e_m$ . We will show that for some  $i \in [m]$  and some ordering of  $S$ , say  $s_1 s_2 \dots s_r$  (where  $r = r(M)$ ), the ordering  $e_1 \dots e_i s_1 s_2 \dots s_r e_{i+1} \dots e_m$  is a cyclic ordering of  $M$ . To give a rough idea of how to prove this, we will illustrate the proof in the case where  $r(M) = 3$ .

Suppose  $S = \{s_1, s_2, s_3\}$  is a basis of  $M$  where  $\gamma(M \setminus S) = \beta(E(M) - S)$  and  $r(M \setminus S) = 3$ . Assume that  $M' = M \setminus S$  has a cyclic ordering  $e_1 e_2 \dots e_m$ . Suppose we try to insert the elements of  $S$ , in some order, between  $e_m$  and  $e_1$ , so as to achieve a cyclic ordering for  $M$ . Assume this is not possible. Then for every permutation  $\pi$  of  $\{1, 2, 3\}$ ,  $e_1 e_2 \dots e_m s_{\pi(1)} s_{\pi(2)} s_{\pi(3)}$  is not a cyclic ordering of  $M$ . Thus for all permutations  $\pi$  of  $\{1, 2, 3\}$ , at least one of  $\{e_{m-1}, e_m, s_{\pi(1)}\}$ ,  $\{e_m, s_{\pi(1)}, s_{\pi(2)}\}$ ,  $\{s_{\pi(2)}, s_{\pi(3)}, e_1\}$ , or  $\{s_{\pi(3)}, e_1, e_2\}$  is a circuit. As an exercise for the reader, one can now show that there exist distinct  $i, j \in \{1, 2, 3\}$  such  $\{s_i, e_{m-1}, e_m\}$ ,  $\{s_j, e_1, e_2\}$ ,  $S - s_i + e_m$ , and  $S - s_j + e_1$  are circuits. We may assume that  $i = 1$  and  $j = 2$ . If instead, one were to assume that one could not insert the elements of  $S$  in some order between  $e_1$  and  $e_2$  so as to achieve a cyclic ordering of  $M$ , then as above, there exist distinct  $i', j' \in \{1, 2, 3\}$ , such that  $\{s_{i'}, e_m, e_1\}$ ,  $\{s_{j'}, e_2, e_3\}$ ,  $S - s_{i'} + e_1$ , and  $S - s_{j'} + e_2$  are circuits. If  $i' = 1$ , then  $\{s_1, e_{m-1}, e_m\}$  and  $\{s_1, e_m, e_1\}$  are circuits. The

circuit elimination axiom (together with the fact that  $M$  is a paving matroid) would then imply that  $(\{s_1, e_{m-1}, e_m\} \cup \{s_1, e_m, e_1\}) - s_1 = \{e_{m-1}, e_m, e_1\}$  is a circuit, contradicting our assumption that  $e_1 e_2 \cdots e_m$  is a cyclic ordering of  $M'$ . Also, if  $i' = 2$ , then  $\{s_2, e_m, e_1\}$  and  $\{s_2, e_1, e_2\}$  are circuits and hence by the circuit elimination axiom,  $\{e_m, e_1, e_2\}$  is a circuit, a contradiction. Thus  $i' \notin \{1, 2\}$  and hence  $i' = 3$  and  $\{e_m, e_1, s_3\}$  and  $\{s_1, s_2, e_2\}$  are circuits. Given that  $\{s_2, e_1, e_2\}$  is also a circuit, it follows that  $\{e_1, e_2\} \subset \text{cl}(\{s_1, s_2\})$ . Now  $j' \in \{1, 2\}$ , and  $\{s_{i'}, e_2, e_3\}$  is a circuit, implying that  $e_3 \in \text{cl}(\{s_1, s_2\})$ . However, this is impossible since (by assumption)  $\{s_1, s_2, s_3\}$  is a basis. Thus there must be some ordering of  $S$  so that when the elements of  $S$  are inserted (in this order) between  $e_m$  and  $e_1$  or between  $e_1$  and  $e_2$ , the resulting ordering is a cyclic ordering for  $M$ .

## 2 Removing a basis from a matroid

Let  $M$  be a paving matroid where  $\gamma(M) = \beta(E(M))$ . As a first step in the proof of Theorem 3, we wish to find a basis  $B$  of  $M$  where  $\gamma(M \setminus B) = \beta(E(M) - B)$ . Unfortunately, there are matroids where there is no such basis, as for example, the Fano plane. In this section, we will show that, despite this, such bases exist when  $|E(M)| \geq 2r(M) + 2$ .

The following is an elementary observation which we will refer to in a number of places.

**Observation 4.** *For a basis  $B$  in a matroid  $M$  and an element  $x \in E(M) - B$ , the set  $B + x$  has a unique circuit which contains  $x$ .*

We will need the following strengthening of Edmonds' matroid partition theorem [3] given in [4]:

**Theorem 5.** *Let  $M$  be a matroid where  $\gamma(M) = k + \varepsilon$ , where  $k \in \mathbb{N}$  and  $0 \leq \varepsilon < 1$ . Then  $E(M)$  can be partitioned into  $k + 1$  independent sets with one set of size at most  $\varepsilon r(M)$ .*

We are now in a position to prove the main result of this section.

**Proposition 6.** *Let  $M$  be a paving matroid where  $\gamma(M) = \beta(E(M))$ ,  $|E(M)| \geq 2r(M) + 2$ , and  $r(M) \geq 3$ . Then there is a basis  $B$  of  $M$  where  $\gamma(M \setminus B) = \beta(E(M) - B)$  and  $r(M \setminus B) = r(M)$ .*

*Proof.* Let  $\gamma(M) = k + \frac{\ell}{r(M)}$  where  $0 \leq \ell < r(M)$  and  $k \geq 2$ . Then  $|E(M)| = kr(M) + \ell$  and it follows by Theorem 5 that one can partition  $E(M)$  into  $k$  independent sets  $F_1, \dots, F_k$  and one independent set  $F_{k+1}$  having at most  $\ell$  elements. Since for all  $i \in [k]$ ,  $|F_i| \leq r(M)$  and  $|F_{k+1}| \leq \ell$  it follows that  $kr(M) + \ell = |E(M)| = \sum_{i=1}^k |F_i| + |F_{k+1}| \leq kr(M) + \ell$ . Thus equality must hold in the inequality and as such, for all  $i \in [k]$ ,  $|F_i| = r(M)$  and  $|F_{k+1}| = \ell$ . Thus  $F_1, \dots, F_k$  are bases in  $M$ . Let  $r = r(M)$ . If  $\ell = 0$ , then  $|E(M)| = kr \geq 3r$ . In this case, we can take  $B = F_k$  since for  $M' = M \setminus F_k$ , it is seen that  $\gamma(M') = k - 1 = \beta(E(M'))$ . Thus we may assume that  $\ell > 0$ .

Let  $F_k = \{x_1, x_2, \dots, x_r\}$ . Suppose there exist distinct  $i, j \in [r]$  for which  $r((F_k - x_i) \cup F_{k+1}) = r((F_k - x_j) \cup F_{k+1}) = r - 1$ . Let  $x \in F_{k+1}$ . Then  $x + (F_k - x_i)$  and  $x + (F_k - x_j)$  are (distinct) circuits, contradicting Observation 4. Thus there is at most one  $i \in [r]$  for

which  $r((F_k - x_i) \cup F_{k+1}) = r - 1$ . As such, we may assume that for  $i = 1, \dots, r - 1$ ,  $r((F_k - x_i) \cup F_{k+1}) = r$ . Thus for  $i = 1, \dots, r - 1$ , there is a subset  $A_i \subseteq F_k - x_i$  such that  $B_i = A_i \cup F_{k+1}$  is a basis for  $M$ .

We shall show that the bases  $B_i$ ,  $i = 1, \dots, r - 1$  can be chosen so that for some  $i \in [r - 1]$ ,  $B = B_i$  is a basis satisfying the proposition. Suppose that none of the bases  $B_i$  satisfy the proposition. Then for all  $i \in [r - 1]$ , there is a subset  $X_i \subseteq E(M) - B_i$  for which  $\beta(X_i) > \beta(E(M) - B_i)$ . Since  $k > 1$ , we have that  $F_1 \subseteq E(M \setminus B_i)$  and hence  $r(M \setminus B_i) = r$ . Thus we have  $\beta(E(M) - B_i) = k - 1 + \frac{\ell}{r}$ . If  $r(X_i) < r - 1$ , then  $X_i$  is independent and hence  $\beta(X_i) = 1 \leq \beta(E(M) - B_i)$ . Thus  $r(X_i) \geq r - 1$  and seeing as  $\beta(X_i) > \beta(E(M) - B_i)$ , we have  $r(X_i) \leq r - 1$ . Consequently,  $r(X_i) = r - 1$  and  $\beta(X_i) = \frac{|X_i|}{r-1} > k - 1 + \frac{\ell}{r}$ . Since  $r(X_i) = r - 1$ , it follows that for  $j = 1, \dots, k - 1$ ,  $|X_i \cap F_j| \leq r - 1$ . Consequently,  $|X_i| \leq (k - 1)(r - 1) + \ell$ . If  $|X_i| < (k - 1)(r - 1) + \ell$ , then  $\beta(X_i) \leq k - 1 + \frac{\ell-1}{r-1}$ , implying that  $\beta(X_i) \leq k - 1 + \frac{\ell}{r}$ , contradicting our assumptions. Thus it follows that  $|X_i| = (k - 1)(r - 1) + \ell$  and for all  $i \in [r - 1]$  and for all  $j \in [k - 1]$ ,  $|X_i \cap F_j| = r - 1$ , and  $F_k - A_i \subset X_i$ . Thus for all  $i \in [r - 1]$  and for all  $j \in [k - 1]$ ,  $X_{ij} = X_i \cap F_j$  spans  $X_i$ . Since all circuits in  $M$  have size at least  $r$ , it follows that for all  $j \in [k - 1]$ , and for all  $x \in X_i - X_{ij}$ ,  $X_{ij} + x$  is a circuit.

Suppose  $k \geq 3$ . Let  $i, j \in [r - 1]$  where  $i$  and  $j$  are distinct (noting that such  $i, j$  exists since  $r \geq 3$ ). Since  $r \geq 3$ , there exists  $x \in X_{i2} \cap X_{j2}$ . We have that  $x + X_{i1}$  and  $x + X_{j1}$  are circuits. It follows by Observation 4 that  $X_{i1} = X_{j1}$  and thus  $\text{cl}(X_i) = \text{cl}(X_j)$ . Let  $X = \text{cl}(X_i)$ . Since  $F_k - A_i \subset X_i$ ,  $F_k - A_j \subset X_j$ ,  $x_i \in F_k - A_i$  and  $x_j \in F_k - A_j$ , we have  $\{x_i, x_j\} \subset X$ . Since this applies to all  $j \in [r - 1] - i$ , it follows that  $F_k - x_r \subset X$ . If  $r((F_k - x_r) \cup F_{k+1}) = r$ , then one could let  $x_r$  play the role of  $x_{r-1}$ , and it would follow that  $x_r \in X$ . This would imply that  $F_k \subset X$ , an impossibility (since  $r(X) = r - 1$ ). Thus  $r((F_k - x_r) \cup F_{k+1}) = r - 1$ . Given that  $F_k - x_r \subset X$ , we have  $F_{k+1} \subseteq \text{cl}(F_k - x_r) \subset X$ . Now it is seen that  $\beta(X) = \frac{|X|}{r(X)} = \frac{k(r-1)+\ell}{r-1} = k + \frac{\ell}{r-1} > \gamma(M)$ , a contradiction.

From the above, we have  $k = 2$ . Since  $|E(M)| \geq 2r(M) + 2$ , we have  $\ell \geq 2$ . Let  $i \in [r - 1]$ .

**Claim 7.** For all  $j \in [r - 1] - i$ , one can choose  $B_j$  so that  $X_{j1} = X_{i1}$ .

*Proof.* Let  $j \in [r - 1] - i$ . Suppose there exists  $x \in (F_2 - A_i) \cap (F_2 - A_j)$ . Then  $x \in X_i \cap X_j$  (since  $F_2 - A_i \subset X_i$  and  $F_2 - A_j \subset X_j$ ) and, given that  $r(X_i) = r(X_j) = r - 1 = |X_{i1}| = |X_{j1}|$ , it follows that  $x + X_{i1}$  and  $x + X_{j1}$  are circuits. It now follows by Observation 4 that  $X_{i1} = X_{j1}$ . Suppose instead that  $(F_2 - A_i) \cap (F_2 - A_j) = \emptyset$ . That is,  $F_2 - A_i \subseteq A_j$  (and  $F_2 - A_j \subseteq A_i$ ). Since  $\ell \geq 2$ , there exists  $x_s \in F_2 - A_j - x_j$ . Now  $x_s + B_j$  contains a (unique) circuit  $C$  where  $x_s \in C$ . We claim that  $C \cap (F_2 - A_i) \neq \emptyset$ . To see this, we observe that  $|A_j - (F_2 - A_i)| = r - 2\ell$ . Thus

$$\begin{aligned} |C \cap (F_2 - A_i)| &= |C - x_s| - |C \cap ((A_j - (F_2 - A_i)) \cup F_3)| \\ &\geq |C| - 1 - ((r - 2\ell) + \ell) = |C| - 1 - r + \ell \geq \ell - 1 \geq 1. \end{aligned}$$

Let  $x_t \in C \cap (F_2 - A_i)$ . Observing that  $B_j - x_t + x_s$  is also a basis, let  $A'_j = A_j - x_t + x_s$  and  $B'_j = B_j - x_t + x_s$ . Then  $B'_j = A'_j + F_3$  and moreover,  $x_t \in (F_2 - A_i) \cap (F_2 - A'_j)$ .

Now defining  $X_j$  as before, using  $B'_j$  in place of  $B_j$ , one obtains that  $X_{i1} = X_{j1}$ , as in the previous case.  $\square$

By the above claim, we may assume that for all  $j \in [r - 1] - i$ , the base  $B_j$  can be chosen so that  $X_{i1} = X_{j1}$ . Letting  $X = \text{cl}(X_i)$  and following similar reasoning as before, we have that  $(F_2 - x_r) \cup F_3 \subset X$ . Thus  $\beta(X) = \frac{|X|}{r(X)} = \frac{2(r-1)+\ell}{r-1} = 2 + \frac{\ell}{r-1} > \gamma(M)$ , a contradiction. It follows that for some  $i \in [r - 1]$ , the proposition holds for  $B = B_i$ .  $\square$

### 3 S-Pairs

In the second part of the proof of Theorem 3, we will need to establish the existence of certain circuits. More specifically, suppose  $S$  is a basis as described in Proposition 6 where we assume that  $S = \{s_1, \dots, s_r\}$ . Suppose  $e_1 e_2 \dots e_m$  is cyclic ordering for  $M' = M \setminus S$  and our aim is to extend this ordering to a cyclic ordering for  $M$  by inserting the elements of  $S$ , in some order, between  $e_m$  and  $e_1$ . Assuming this is not possible, it turns out (as in the case where  $r(M) = 3$ ) that there must be certain circuits. For example, there are subsets  $\{B_1, B_2\} \in \binom{S}{r-2}$  such that for all  $s_i \in B_1$ ,  $\{s_i, e_{m-r+2}, \dots, e_m\} \in \mathcal{C}(M)$  and for all  $s_i \in B_2$ ,  $\{s_i, e_1, \dots, e_{r-1}\} \in \mathcal{C}(M)$ . The results in this section and its successor, lay the ground work to prove the existence of such circuits.

Let  $S$  be a finite, nonempty set. For  $i = 1, 2$ , let  $\mathcal{S}_i \subseteq 2^S$ . We call the pair  $(\mathcal{S}_1, \mathcal{S}_2)$  an **S-pair** if it has the following properties.

(S1) For  $i = 1, 2$ , if  $A, B \in \mathcal{S}_i$  where  $|A| = |B| + 1$  and  $B \subset A$ , then  $\binom{A}{|B|} \subseteq \mathcal{S}_i$ .

(S2) For  $i = 1, 2$ , if  $A, B \in \mathcal{S}_i$  where  $|A| = |B|$  and  $|A \cap B| = |A| - 1$ , then  $A \cup B \in \mathcal{S}_i$ .

(S3) For  $i = 1, 2$ ,  $\binom{S}{1} \not\subseteq \mathcal{S}_i$  and  $S \notin \mathcal{S}_i$ .

(S4) For  $k = 1, \dots, |S| - 1$ , if  $\binom{S-x}{k} \subseteq \mathcal{S}_1$  for some  $x \in S$ , then  $\binom{S-x}{|S|-k} \not\subseteq \mathcal{S}_2$ .

In the next section, we shall need the following observations for an  $S$ -pair  $(\mathcal{S}_1, \mathcal{S}_2)$  where  $|S| = r$ .

**Observation 8.** Let  $A \subseteq S$  where  $\alpha = |A|$ . Suppose that for some  $i \in \{1, 2\}$  and some  $j \in [\alpha]$ ,  $\binom{A}{j} \subseteq \mathcal{S}_i$ . Then for  $k = j, \dots, \alpha$ ,  $\binom{A}{k} \subseteq \mathcal{S}_i$ .

*Proof.* We may assume that  $j < \alpha$ . Suppose that for some  $k \in \{j, \dots, \alpha - 1\}$ ,  $\binom{A}{k} \subseteq \mathcal{S}_i$ . Let  $B \in \binom{A}{k+1}$ . Let  $\{b_1, b_2\} \subseteq B$  and for  $s = 1, 2$ , let  $B_s = B - b_s$ . By assumption, for  $s = 1, 2$ ,  $B_s \in \mathcal{S}_i$ . It now follows by (S2) that  $B = B_1 \cup B_2 \in \mathcal{S}_i$ . Consequently, we have that  $\binom{A}{k+1} \subseteq \mathcal{S}_i$ . Arguing inductively, we see that for  $k = j, \dots, \alpha$ ,  $\binom{A}{k} \subseteq \mathcal{S}_i$ .  $\square$

**Observation 9.** Let  $A \in \mathcal{S}_i$  where  $\alpha = |A|$ . Suppose that for some  $j \in [\alpha - 1]$  and  $x \in A$ , we have  $\binom{A-x}{j} \subseteq \mathcal{S}_i$ . Then  $\binom{A}{j} \subseteq \mathcal{S}_i$ .

*Proof.* Suppose first that  $j = \alpha - 1$ . Then  $A' = A - x \in \mathcal{S}_i$ . It follows by **(S1)** that  $\binom{A}{\alpha-1} \subseteq \mathcal{S}_i$ . Assume that  $j < \alpha - 1$  and the assertion holds for  $j + 1$ ; that is, if  $\binom{A-x}{j+1} \subseteq \mathcal{S}_i$ , then  $\binom{A}{j+1} \subseteq \mathcal{S}_i$ . Suppose  $\binom{A-x}{j} \subseteq \mathcal{S}_i$ . Then by Observation 8,  $\binom{A-x}{j+1} \subseteq \mathcal{S}_i$ . Thus by assumption,  $\binom{A}{j+1} \subseteq \mathcal{S}_i$ . Let  $B \in \binom{A}{j}$ , where  $x \in B$ . Let  $y \in A - B$  and let  $B' = B - x + y$ . Since  $B' \in \binom{A-x}{j}$ , it follows that  $B' \in \mathcal{S}_i$ . However, we also have that  $B + y \in \mathcal{S}_i$ . Thus it follows by **(S1)** that  $B \in \mathcal{S}_i$ . We now see that  $\binom{A}{j} \subseteq \mathcal{S}_i$ . The assertion now follows by induction.  $\square$

**Observation 10.** Let  $A \subseteq S$ . Suppose for some  $x \in A$ ,  $i \in \{1, 2\}$ , and  $j \geq 2$ , we have that  $\{B \in \binom{A}{j} \mid x \in B\} \subseteq \mathcal{S}_i$ . Then  $\binom{A}{j} \subseteq \mathcal{S}_i$  and  $A \in \mathcal{S}_i$ .

*Proof.* We may assume that  $|A| \geq j + 1$ . Let  $B' \in \binom{A-x}{j}$ . Let  $\{y_1, y_2\} \subseteq B'$  and for  $s = 1, 2$ , let  $B_s = B' - y_s + x$ . By assumption,  $\{B_1, B_2\} \subseteq \mathcal{S}_i$ . It follows by **(S2)** that  $B = B' + x = B_1 \cup B_2 \in \mathcal{S}_i$ . Thus by **(S1)** we have that  $\binom{B}{j} \subseteq \mathcal{S}_i$  and hence  $B' \in \mathcal{S}_i$ . It now follows that  $\binom{A}{j} \subseteq \mathcal{S}_i$ , and moreover,  $A \in \mathcal{S}_i$  (by Observation 8).  $\square$

## 4 Order-consistent pairs

Let  $S = \{s_1, s_2, \dots, s_n\}$  be a set of  $n$  elements and let  $\mathcal{S}_1 \subseteq 2^S$  and  $\mathcal{S}_2 \subseteq 2^S$ . We say that the pair  $(\mathcal{S}_1, \mathcal{S}_2)$  is **order-consistent** with respect to  $S$  if for any permutation  $\pi$  of  $[n]$ , there exists  $i \in [n]$  for which either  $\{s_{\pi(1)}, \dots, s_{\pi(i)}\} \in \mathcal{S}_1$  or  $\{s_{\pi(i)}, \dots, s_{\pi(n)}\} \in \mathcal{S}_2$ . Note that if  $(\mathcal{S}_1, \mathcal{S}_2)$  is order-consistent, then  $(\mathcal{S}_2, \mathcal{S}_1)$  is also order consistent. To see this, let  $\pi$  be a permutation of  $[n]$  and let  $\pi'$  be the permutation which is the reverse of  $\pi$ ; that is, for all  $i \in [n]$ ,  $\pi'(i) = \pi(n - i + 1)$ . Since  $(\mathcal{S}_1, \mathcal{S}_2)$  is order-consistent, there exists  $i \in [n]$  such that either  $\{s_{\pi'(1)}, \dots, s_{\pi'(i)}\} \in \mathcal{S}_1$  or  $\{s_{\pi'(i)}, \dots, s_{\pi'(n)}\} \in \mathcal{S}_2$ . Thus either  $\{s_{\pi(n-i+1)}, \dots, s_{\pi(n)}\} \in \mathcal{S}_1$  or  $\{s_{\pi(1)}, \dots, s_{\pi(n-i+1)}\} \in \mathcal{S}_2$ . Given that this holds for all permutations  $\pi$ , it follows that  $(\mathcal{S}_2, \mathcal{S}_1)$  is an order-consistent pair.

Let  $\Pi$  denote the set of all permutations of  $[n]$  and let  $\pi \in \Pi$ . We say that a subset  $A \in \mathcal{S}_1$  (resp.  $B \in \mathcal{S}_2$ ) is  $\pi$ -**relevant** if there exists  $i \in [n]$  such that  $A = \{s_{\pi(1)}, \dots, s_{\pi(i)}\}$  (resp.  $B = \{s_{\pi(i)}, \dots, s_{\pi(n)}\}$ ). Let  $\Pi' \subseteq \Pi$  be a subset of permutations. We say that a subset  $\mathcal{A} \subseteq \mathcal{S}_1$  (resp.  $\mathcal{B} \subseteq \mathcal{S}_2$ ) is  $\Pi'$ -**relevant** if for all  $A \in \mathcal{A}$  (resp.  $B \in \mathcal{B}$ ), there exists  $\pi \in \Pi'$  such that  $A$  (resp.  $B$ ) is  $\pi$ -relevant. We say that  $(\mathcal{A}, \mathcal{B})$  is order-consistent relative to  $\Pi'$  if for all  $\pi \in \Pi'$ , either there exists  $A \in \mathcal{A}$  for which  $A$  is  $\pi$ -relevant, or there exists  $B \in \mathcal{B}$  for which  $B$  is  $\pi$ -relevant. For  $i \in [n]$ , we let  $\Pi_i$  denote the set of permutations  $\pi \in \Pi$  where  $\pi(1) = i$ . The following theorem will be instrumental in the proof of main theorem.

**Theorem 11.** Let  $S = \{s_1, \dots, s_n\}$  be a set where  $n \geq 3$  and let  $(\mathcal{S}_1, \mathcal{S}_2)$  be an  $S$ -pair. Then  $(\mathcal{S}_1, \mathcal{S}_2)$  is order-consistent if and only if there exists  $(A_1, A_2) \in \binom{S}{\mathcal{S}_1} \times \binom{S}{\mathcal{S}_2}$ ,  $A_1 \neq A_2$ , and  $\{B_1, B_2\} \subset \binom{S}{n-2}$  where for  $i = 1, 2$ ,  $B_i \cap A_i = B_1 \cap B_2 \in \binom{A_1 \cap A_2}{n-3}$  and  $\binom{B_i}{1} \subseteq \mathcal{S}_i$ .

*Proof.* To prove sufficiency, suppose  $A_i, B_i$ ,  $i = 1, 2$  are as described in the theorem. Note that since  $A_1 \neq A_2$ , we have  $A_1 \cup A_2 = S$ . Also, since  $B_1 \cap B_2 \subseteq A_1 \cap A_2$ , we have  $|B_1 \cap B_2| = n - 3 = |A_1 \cap A_2| - 1$ . Now  $B_1 \not\subseteq A_1$ , for otherwise  $|B_1 \cap B_2| = |A_1 \cap B_1| = |B_1| = n - 2$ . Thus  $B_1 \subseteq A_2$ , and likewise,  $B_2 \subseteq A_1$ . For  $i = 1, 2$ , let  $\mathcal{T}_i = \{A_i\} \cup \binom{B_i}{1}$ . We need only show that  $(\mathcal{T}_1, \mathcal{T}_2)$  is order-consistent. Suppose it is not. Clearly it is order-consistent relative to the set of permutations  $\pi$  for which  $s_{\pi(1)} \in B_1$  or  $s_{\pi(n)} \in B_2$ . Let  $\pi \in \Pi$  where  $s_{\pi(1)} \notin B_1$  and  $s_{\pi(n)} \notin B_2$ . If  $s_{\pi(1)} \notin A_2$ , then  $A_2 = \{s_{\pi(2)}, \dots, s_{\pi(n)}\}$  and  $A_2$  is  $\pi$ -relevant. Thus  $A_2 - B_1 = \{s_{\pi(1)}\} = (A_1 \cap A_2) - B_1$ . By similar reasoning, we also have  $A_1 - B_2 = \{s_{\pi(n)}\} = (A_1 \cap A_2) - B_1$ . However, our assumptions imply that  $(A_1 \cap A_2) - B_1 = (A_1 \cap A_2) - B_2$ , and consequently,  $s_{\pi(1)} = s_{\pi(n)}$ . This yields a contradiction. It follows that  $(\mathcal{T}_1, \mathcal{T}_2)$  is order-consistent.

To prove necessity, we shall use induction on  $n$ . It is a straightforward exercise to verify the assertion for  $n = 3$ . We shall assume that  $n \geq 4$  and the assertion is valid to all values less than  $n$ . That is, if  $|S| < n$ , and  $(\mathcal{S}_1, \mathcal{S}_2)$  is an  $S$ -pair which is order-consistent, then there exist sets  $A_i, B_i$ ,  $i = 1, 2$  as described in the theorem. Assume now that  $S = \{s_1, \dots, s_n\}$  and  $(\mathcal{S}_1, \mathcal{S}_2)$  is an  $S$ -pair which is order-consistent.

For all  $k \in [n]$ , let  $S^k = S - s_k$  and let  $\mathcal{S}_1^k = \{A - s_k \mid A \in \mathcal{S}_1 \text{ and } s_k \in A\}$  and  $\mathcal{S}_2^k = \{A \in \mathcal{S}_2 \mid s_k \notin A\}$ . We observe that properties **(S1)** and **(S2)** still hold for the pair  $(\mathcal{S}_1^k, \mathcal{S}_2^k)$  whereas **(S3)** and **(S4)** may not.

**(A)** For all  $k \in [n]$ , one of the following holds:

**(a1)**  $\{s_k\} \in \mathcal{S}_1$ .

**(a2)**  $S^k \in \mathcal{S}_2$ .

**(a3)**  $\binom{S^k}{1} \subseteq \mathcal{S}_2$ .

**(a4)** For some  $D \in \binom{S^k}{n-2}$ , and positive integers  $i, j$  where  $i + j = n - 1$ ,  $\binom{D}{i} \subseteq \mathcal{S}_1^k$  and  $\binom{D}{j} \subseteq \mathcal{S}_2^k$ .

**(a5)** There exist  $(A_1^k, A_2^k) \in \binom{n-2}{\mathcal{S}_1^k} \times \binom{n-2}{\mathcal{S}_2^k}$ ,  $A_1^k \neq A_2^k$ , and  $\{B_1^k, B_2^k\} \subseteq \binom{S^k}{n-3}$  where for  $i = 1, 2$ ,  $B_i^k \cap A_i^k = B_1^k \cap B_2^k \in \binom{A_1^k \cap A_2^k}{n-4}$  and  $\binom{B_i^k}{1} \subseteq \mathcal{S}_i^k$ .

*Proof.* Let  $k \in [n]$ . Assume that none of **(a1)** - **(a4)** hold for  $k$ . We will show that **(a5)** must hold for  $k$ . Clearly  $S^k \notin \mathcal{S}_1^k$ , for otherwise this would mean that  $S \in \mathcal{S}_1$  which is not allowed by **(S3)**. We also have that  $\binom{S^k}{1} \not\subseteq \mathcal{S}_1^k$ . For if this was the case, then it would follow that for all  $i \in [n] - k$ ,  $\{s_i, s_k\} \in \mathcal{S}_1$ . It would then follow by Observation 10 that  $S \in \mathcal{S}_1$  violating **(S3)**. Given that **(a2)** - **(a4)** do not hold,  $(\mathcal{S}_1^k, \mathcal{S}_2^k)$  is seen to be an  $S^k$ -pair. Let  $\pi \in \Pi_k$  and let  $\pi' = \pi(2)\pi(3) \cdots \pi(n)$ . Since  $(\mathcal{S}_1, \mathcal{S}_2)$  is order-consistent, there exists  $A \in \mathcal{S}_1$  or  $B \in \mathcal{S}_2$  and  $i \in [n]$  such that either  $A = \{s_{\pi(1)}, \dots, s_{\pi(i)}\}$  or  $B = \{s_{\pi(i)}, \dots, s_{\pi(n)}\}$ . Given that **(a1)** and **(a2)** do not hold, it follows that in the former case,  $i \geq 2$ ,  $A' = \{s_{\pi(2)}, \dots, s_{\pi(i)}\} \in \mathcal{S}_1^k$  and hence  $A'$  is  $\pi'$ -relevant. In the latter case,  $i \geq 3$  and  $B' = \{s_{\pi(i)}, \dots, s_{\pi(n)}\} \in \mathcal{S}_2^k$  and  $B'$  is  $\pi'$ -relevant. Given that  $\pi$  was arbitrarily chosen

from  $\Pi_k$ , we see that  $(\mathcal{S}_1^k, \mathcal{S}_2^k)$  is order-consistent with respect to  $S^k$ . By the inductive assumption, there exist  $(A_1^k, A_2^k) \in \binom{n-2}{\mathcal{S}_1^k} \times \binom{n-2}{\mathcal{S}_2^k}$ ,  $A_1^k \neq A_2^k$ , and  $\{B_1^k, B_2^k\} \subset \binom{S^k}{n-3}$  where for  $i = 1, 2$ ,  $B_i^k \cap A_i^k = B_1^k \cap B_2^k \in \binom{A_1^k \cap A_2^k}{n-4}$  and  $\binom{B_i^k}{1} \subset \mathcal{S}_i^k$ . Thus **(a5)** holds for  $k$ .  $\square$

**(B)** *There is at most one integer  $k$  for which **(a2)** or **(a3)** holds.*

*Proof.* It suffices to prove that **(a2)** can hold for at most one integer  $k$ ; if **(a3)** holds for some integer  $k$ , then it follows by Observation 8 that  $S^k \in \mathcal{S}_2$ , and hence **(a2)** holds for  $k$ . Suppose to the contrary that **(a2)** holds for distinct integers  $k$  and  $\ell$ . Then  $S^k \in \mathcal{S}_2$  and  $S^\ell \in \mathcal{S}_2$ . It then follows by **(S2)** that  $S = S^k \cup S^\ell \in \mathcal{S}_2$ . However, this violates **(S3)**. Thus no two such integers can exist.  $\square$

**(C)** *Property **(a4)** holds for at most one integer  $k$ .*

*Proof.* Suppose **(a4)** holds for distinct integers  $k$  and  $\ell$ . Then for some  $i, j, i', j'$  where  $i + j = n - 1$ ,  $i' + j' = n - 1$ , and subsets  $D \in \binom{S^k}{n-2}$  and  $D' \in \binom{S^\ell}{n-2}$ , we have  $\binom{D}{i} \subseteq \mathcal{S}_1^k$ ,  $\binom{D}{j} \subseteq \mathcal{S}_2^k$ ,  $\binom{D'}{i'} \subseteq \mathcal{S}_1^\ell$ , and  $\binom{D'}{j'} \subseteq \mathcal{S}_2^\ell$ . By Observation 10, we have that  $F_1 = D + s_k \in \mathcal{S}_1$  and  $F_2 = D' + s_\ell \in \mathcal{S}_1$ . If  $F_1 \neq F_2$ , then by property **(S2)**,  $F_1 \cup F_2 = S \in \mathcal{S}_1$ , violating **(S3)**. Thus  $F_1 = F_2 = S - s = S'$  for some  $s \in S - s_k - s_\ell$  and  $S' \in \mathcal{S}_1$ .

Let  $i^* = \max\{i, i'\}$  and  $j^* = \min\{j, j'\}$ . We claim that  $\binom{S'}{i^*+1} \subseteq \mathcal{S}_1$  and  $\binom{S'}{j^*} \subseteq \mathcal{S}_2$ . To prove the first assertion, we first note that it is true when  $i^* = n - 2$  since  $S' \in \mathcal{S}_1$ . We may assume that  $i^* < n - 2$ . Then  $i^* \leq n - 3 = |D \cap D'| = |S' - s_k - s_\ell|$ . Suppose first that  $i^* = i$ . Then by assumption,  $\binom{D \cap D'}{i^*} \subset \mathcal{S}_1^k$ . Thus for all  $X \in \binom{S' - s_k - s_\ell}{i^*}$ ,  $X + s_k \in \mathcal{S}_1$ . It now follows by Observation 10 that  $\binom{S' - s_\ell}{i^*+1} \subseteq \mathcal{S}_1$ . Now Observation 9 implies that  $\binom{S'}{i^*+1} \subseteq \mathcal{S}_1$ . Suppose now that  $i^* > i$ . Then  $i < n - 3$  and it follows by assumption that  $\binom{D \cap D'}{i} \subseteq \mathcal{S}_1^k$ . It now follows by Observation 10 that  $\binom{D'}{i+1} \subseteq \mathcal{S}_1$ . Also, since  $\binom{D}{i} \subseteq \mathcal{S}_1^k$ , we have  $\binom{D}{i+1} \subseteq \mathcal{S}_1$ . Let  $X \in \binom{S'}{i+1}$ . If  $X \subseteq D$  or  $X \subseteq D'$ , then  $X \in \mathcal{S}_1$ . Suppose neither occurs. Then  $\{s_k, x_i\} \subseteq X$  and hence  $X - s_k \in \binom{D}{i} \subseteq \mathcal{S}_1^k$ . It follows that  $X \in \mathcal{S}_1$ . Consequently,  $\binom{S'}{i+1} \subseteq \mathcal{S}_1$ . Since  $i + 1 \leq i^* + 1$ , it follows by Observation 8 that  $\binom{S'}{i^*+1} \subseteq \mathcal{S}_1$ .

To prove that  $\binom{S'}{j^*} \subseteq \mathcal{S}_2$ , first suppose that  $j^* = n - 2$ . Then  $j^* = j = j' = n - 2$ . In this case,  $D, D' \in \mathcal{S}_2$  and hence  $S' = D \cup D' \in \mathcal{S}_2$  by **(S2)**. It would then follow by **(S1)** that  $\binom{S'}{n-2} \subseteq \mathcal{S}_2$ . Thus we may assume that  $j^* < n - 2$ . We have that  $\binom{D \cap D'}{j^*} \subseteq \mathcal{S}_2$ . Given that  $D \cap D' = S' - s_k - s_\ell$ , it follows by Observation 9 that  $\binom{S' - s_\ell}{j^*} \subseteq \mathcal{S}_2$  and this in turn implies that  $\binom{S'}{j^*} \subseteq \mathcal{S}_2$ .

Given that  $i + j = i' + j' = n - 1$ , it follows that  $i^* \leq n - 1 - j^*$ , and hence  $i^* + 1 + j^* \leq n$ . By application of Observation 8, we have that  $\binom{S'}{n-i^*-1} \subseteq \mathcal{S}_2$ . However, we now have both  $\binom{S'}{i^*+1} \subseteq \mathcal{S}_1$  and  $\binom{S'}{n-i^*-1} \subseteq \mathcal{S}_2$ , violating **(S4)**. We conclude that **(a4)** can hold for at most one integer  $k$ .  $\square$

**(D)** *There exists  $T \in \binom{S}{n-3}$  such that either  $\binom{T}{1} \subseteq \mathcal{S}_1$  or  $\binom{T}{1} \subseteq \mathcal{S}_2$ .*



*Proof.* Assume that there is no subset  $T \in \binom{S}{n-3}$  such that  $\binom{T}{1} \subseteq \mathcal{S}_1$ . Then there are at least three integers  $k$  for which **(a1)** does not hold. By **(B)** and **(C)**, **(a2)** or **(a3)** holds for at most one integer  $k$  and **(a4)** holds for at most one integer  $k$ . Thus there exists  $k \in [n]$  such that none of **(a1)** - **(a4)** hold. By **(A)**, **(a5)** holds for  $k$ . Thus there exists  $(A_1^k, A_2^k) \in \binom{n-2}{\mathcal{S}_1^k} \times \binom{n-2}{\mathcal{S}_2^k}$ ,  $A_1^k \neq A_2^k$ , and  $\{B_1^k, B_2^k\} \subset \binom{S^k}{n-3}$  where for  $i = 1, 2$ ,  $B_i^k \cap A_i^k = B_1^k \cap B_2^k \in \binom{A_1^k \cap A_2^k}{n-4}$  and  $\binom{B_i^k}{1} \subset \mathcal{S}_i^k$ . Thus we see that  $\binom{B_1^k}{1} \subseteq \mathcal{S}_2^k \subseteq \mathcal{S}_2$ . This completes the proof.  $\square$

**(E)** There exists  $T \in \binom{S}{n-2}$  such that either  $\binom{T}{1} \subseteq \mathcal{S}_1$  or  $\binom{T}{1} \subseteq \mathcal{S}_2$ .

*Proof.* By **(D)**, there exists  $T \in \binom{S}{n-3}$  such that either  $\binom{T}{1} \subseteq \mathcal{S}_1$  or  $\binom{T}{1} \subseteq \mathcal{S}_2$ . We claim that it suffices to prove the assertion when  $\binom{T}{1} \subseteq \mathcal{S}_1$ . For if instead  $\binom{T}{1} \subseteq \mathcal{S}_2$ , then redefine  $\mathcal{S}_i^k$  so that for all  $k \in [n]$ ,  $\mathcal{S}_1^k = \{A \in \mathcal{S}_1 \mid s_k \notin A\}$  and  $\mathcal{S}_2^k = \{A - s_k \mid A \in \mathcal{S}_2 \text{ and } s_k \in A\}$ . Now it is seen that **(A)** - **(C)** still hold when in **(a1)** - **(a5)**, we switch  $\mathcal{S}_1$  with  $\mathcal{S}_2$  and switch  $\mathcal{S}_1^k$  with  $\mathcal{S}_2^k$ . Now one can use the same proof as in the case when  $\binom{T}{1} \subseteq \mathcal{S}_1$ .

By the above, we may assume that  $\binom{T}{1} \subseteq \mathcal{S}_1$ . Furthermore, we may assume that  $T = \{s_1, \dots, s_{n-3}\}$ . Next, we will show that either  $\{s_i\} \in \mathcal{S}_1$  for some  $i \in \{n-2, n-1, n\}$ , or  $\binom{S'}{1} \subseteq \mathcal{S}_2$  for some  $S' \in \binom{S}{n-2}$ . We may assume that **(a1)** and **(a3)** do not hold for all  $k \in \{n-2, n-1, n\}$ . Furthermore, by **(B)** and **(C)**, **(a2)** holds for at most one integer  $k \in \{n-2, n-1, n\}$  as does **(a4)**. As such, we may assume that **(a2)** and **(a4)** do not hold for  $k = n-2$ . Thus by **(A)**, **(a5)** holds for  $k = n-2$ . Thus there exist  $(A_1^{n-2}, A_2^{n-2}) \in \binom{n-2}{\mathcal{S}_1^{n-2}} \times \binom{n-2}{\mathcal{S}_2^{n-2}}$ ,  $A_1^{n-2} \neq A_2^{n-2}$ , and  $\{B_1^{n-2}, B_2^{n-2}\} \subset \binom{S^{n-2}}{n-3}$  where for  $i = 1, 2$ ,  $B_i^{n-2} \cap A_i^{n-2} = B_1^{n-2} \cap B_2^{n-2} \in \binom{A_1^{n-2} \cap A_2^{n-2}}{n-4}$  and  $\binom{B_i^{n-2}}{1} \subset \mathcal{S}_i^{n-2}$ .

Suppose  $s_i \in B_1^{n-2} \cap \{s_1, \dots, s_{n-3}\}$ . By assumption,  $\{s_i\} \in \mathcal{S}_1$ . However, given that  $s_i \in B_1^{n-2}$ , we also have that  $\{s_i\} \in \mathcal{S}_1^{n-2}$  and hence  $\{s_i, s_{n-2}\} \in \mathcal{S}_1$ . By **(S1)**,  $\{s_{n-2}\} \in \mathcal{S}_1$ , a contradiction. Thus  $B_1^{n-2} \cap \{s_1, \dots, s_{n-3}\} = \emptyset$  and hence  $B_1^{n-2} \subseteq \{s_{n-1}, s_n\}$ . Consequently,  $n-3 \leq 2$  and hence  $n \leq 5$ . To complete the proof, we need only consider two cases:

**Case 1:**  $n = 5$ .

We have  $B_1^{n-2} = B_1^3 = \{s_4, s_5\}$ . We may assume that  $A_2^3 = \{s_1, s_4, s_5\}$ , where  $B_1^3 \cap B_2^3 = \{s_4\}$ . Thus  $A_1^3 = \{s_1, s_2, s_4\}$  and  $B_2^3 = \{s_2, s_4\}$ . Then  $A_1^3 + s_3 = \{s_1, s_2, s_3, s_4\} \in \mathcal{S}_1$  and  $A_2^3 \in \mathcal{S}_2$ . Given that  $\binom{B_2^3}{1} \subseteq \mathcal{S}_2$ , we may assume that for all  $i \in \{1, 3, 4\}$ ,  $\{s_i\} \notin \mathcal{S}_2$ . Since by assumption **(a1)** and **(a3)** do not hold for  $k \in \{3, 4, 5\}$ , it follows by **(A)** that for all  $k \in \{4, 5\}$ , one of **(a2)**, **(a4)**, or **(a5)** must hold.

Suppose **(a5)** holds for  $k = 5$ . Then arguing as above, we have that  $B_1^5 = \{s_3, s_4\}$  and hence  $A_1^5 = \{s_1, s_2, s_3\}$  or  $A_2^5 = \{s_1, s_2, s_4\}$ . Thus either  $\{s_1, s_2, s_3, s_5\} \in \mathcal{S}_1$  or  $\{s_1, s_2, s_4, s_5\} \in \mathcal{S}_1$ . Given that  $\{s_1, s_2, s_3, s_4\} \in \mathcal{S}_1$ , it would follow by **(S2)** that  $S \in \mathcal{S}_1$ , contradicting **(S3)**. Thus **(a5)** does not hold for  $k = 5$ .

Suppose **(a4)** holds for  $k = 5$ . Then there exists a subset  $D' \in \binom{S^5}{3}$  and integers  $i, j$  where  $i + j = 4$  such that  $\binom{D'}{i} \subseteq \mathcal{S}_1^5$  and  $\binom{D'}{j} \subseteq \mathcal{S}_2^5$ . Let  $D = D' + s_5$ . By Observation

10, it follows that  $\binom{D}{i+1} \subseteq \mathcal{S}_1$  and  $D \in \mathcal{S}_1$ . Clearly  $D \neq \{s_1, s_2, s_3, s_4\}$  and hence it follows by property **(S2)** that  $D \cup \{s_1, s_2, s_3, s_4\} = S \in \mathcal{S}_1$ , yielding a contradiction. Thus **(a2)** holds for  $k = 5$  and hence  $\{s_1, s_2, s_3, s_4\} \in \mathcal{S}_2$ . By **(A)** and **(B)** it follows that either **(a4)** or **(a5)** holds for  $k = 4$ .

Suppose **(a5)** holds for  $k = 4$ . Arguing as before, we see that  $B_1^4 = \{s_3, s_5\}$  and either  $A_1^4 = \{s_1, s_2, s_3\}$  or  $A_1^4 = \{s_1, s_2, s_5\}$ . In the latter case, we have that  $\{s_1, s_2, s_4, s_5\} \in \mathcal{S}_1$ . It would then follow by **(S2)** that  $\{s_1, s_2, s_3, s_4\} \cup \{s_1, s_2, s_4, s_5\} = S \in \mathcal{S}_1$ , contradicting **(S3)**. Thus we have that  $A_1^4 = \{s_1, s_2, s_3\}$ . It now follows that  $\{s_3\} = A_1^4 \cap B_1^4 = B_1^4 \cap B_2^4$ . Thus  $s_3 \in B_2^4$ , implying that  $\{s_3\} \in \mathcal{S}_2$ , contradicting our assumptions.

By the above, **(a4)** must hold for  $k = 4$ . Thus there exists a subset  $D' \in \binom{S^4}{3}$  and integers  $i, j$  where  $i + j = 4$  such that  $\binom{D'}{i} \subseteq \mathcal{S}_1^4$  and  $\binom{D'}{j} \subseteq \mathcal{S}_2^4$ . It follows by Observation 10 that for  $D = D' + s_4$ ,  $\binom{D}{i+1} \subseteq \mathcal{S}_1$  and  $D \in \mathcal{S}_1$ . If  $D \neq \{s_1, s_2, s_3, s_4\}$ , then we would have  $D \cup \{s_1, s_2, s_3, s_4\} = S \in \mathcal{S}_1$ , contradicting **(S3)**. Thus  $D = \{s_1, s_2, s_3, s_4\}$  and consequently,  $D' = \{s_1, s_2, s_3\}$ . Given that  $\binom{D'}{j} \subseteq \mathcal{S}_2$  and  $\{s_3\} \notin \mathcal{S}_2$ , it follows that  $j \geq 2$ .

Suppose  $i = 1$ . Then  $\binom{D}{2} \subseteq \mathcal{S}_1$ . Given that  $\binom{B_1^3}{1} \subseteq \mathcal{S}_1^3$ , it follows that  $\{s_5\} \in \mathcal{S}_1^3$  and hence  $\{s_3, s_5\} \in \mathcal{S}_1$ . Thus we have  $\{B \in \binom{S}{2} \mid s_3 \in B\} \subseteq \mathcal{S}_1$ . It now follows by Observation 10 that  $S \in \mathcal{S}_1$ , contradicting **(S3)**. Thus  $i \geq 2$  and  $i = j = 2$ . We now have that  $\binom{D}{3} \subseteq \mathcal{S}_1$ . Given that  $B_2^3 = \{s_2, s_4\} \in \mathcal{S}_2$  and  $\binom{D'}{2} \subseteq \mathcal{S}_2$ , it follows  $\{B \in \binom{D}{2} \mid s_2 \in B\} \subseteq \mathcal{S}_2$ . Thus by Observation 10, we have  $\binom{D}{2} \subseteq \mathcal{S}_2$ . However, we now have both  $\binom{D}{3} \subseteq \mathcal{S}_1$  and  $\binom{D}{2} \subseteq \mathcal{S}_2$ , contradicting **(S4)**. This completes the case  $n = 5$ .

## Case 2: $n = 4$ .

We may assume that  $B_1^{n-2} = B_1^2 = \{s_4\}$ ,  $A_1^2 = \{s_1, s_3\}$ . There are two possible cases to consider for  $A_2^2$  and  $B_2^2$ : either  $A_2^2 = \{s_1, s_4\}$  and  $B_2^2 = \{s_3\}$  or  $A_2^2 = \{s_3, s_4\}$  and  $B_2^2 = \{s_1\}$ . We shall assume the former – the latter case can be handled similarly. We have that  $A_1^2 = \{s_1, s_3\}$  and hence  $A_1^2 + s_2 = \{s_1, s_2, s_3\} \in \mathcal{S}_1$  and  $B_1^2 + s_2 = \{s_2, s_4\} \in \mathcal{S}_1$ . We also have that  $A_2^2 = \{s_1, s_4\} \in \mathcal{S}_2$  and  $\{s_3\} \in \mathcal{S}_2$ . We may assume that **(a1)** and **(a3)** do not hold for  $k = 3$  or  $k = 4$ .

Suppose **(a5)** holds for  $k = 3$ . Then  $B_1^3 = \{s_2\}$  or  $B_1^3 = \{s_4\}$ . In the former case, we have  $A_1^3 = \{s_1, s_4\}$ , and hence  $A_1^3 + s_3 = \{s_1, s_3, s_4\} \in \mathcal{S}_1$ . However, since  $\{s_1, s_2, s_3\} \in \mathcal{S}_1$ , it would follow that  $\{s_1, s_3, s_4\} \cup \{s_1, s_2, s_3\} = S \in \mathcal{S}$ , contradicting **(S3)**. Thus  $B_1^3 = \{s_4\}$  and  $A_1^3 = \{s_1, s_2\}$ . We have that  $B_1^3 + s_3 = \{s_3, s_4\} \in \mathcal{S}_1$ . However, given that  $\{s_2, s_4\} \in \mathcal{S}_1$ , it follows by **(S2)** that  $\{s_3, s_4\} \cup \{s_2, s_4\} = \{s_2, s_3, s_4\} \in \mathcal{S}_1$ . Again, since  $\{s_1, s_2, s_3\} \in \mathcal{S}_1$ , it follows that  $\{s_2, s_3, s_4\} \cup \{s_1, s_2, s_3\} = S \in \mathcal{S}_1$ , yielding a contradiction. We conclude that **(a5)** does not hold for  $k = 3$ . By similar arguments, one can also show that **(a5)** does not hold for  $k = 4$  either.

Suppose **(a4)** holds for  $k = 4$ . Then there exists a subset  $D' \in \binom{S^4}{2}$  and integers  $i, j$  where  $i + j = 3$  such that  $\binom{D'}{i} \subseteq \mathcal{S}_1^4$  and  $\binom{D'}{j} \subseteq \mathcal{S}_2^4$ . We have that  $D = D' + s_4 \in \mathcal{S}_1$ . Given that  $\{s_1, s_2, s_3\} \in \mathcal{S}_1$ , it follows by **(S2)** that  $S = D \cup \{s_1, s_2, s_3\} \in \mathcal{S}_1$ , a contradiction. Thus **(a4)** does not hold for  $k = 4$  and hence **(a2)** holds for  $k = 4$ . Furthermore, since by **(B)**, **(a2)** holds for at most one of  $k = 3$  or  $k = 4$ , it must be the case that **(a4)** holds for

$k = 3$ . As such, there exists a subset  $D' \in \binom{S^3}{2}$  and integers  $i, j$  where  $i + j = 3$  such that  $\binom{D'}{i} \subseteq \mathcal{S}_1^3$  and  $\binom{D'}{j} \subseteq \mathcal{S}_2^3$ . We have that  $D = D' + s_3 \in \mathcal{S}_1$ . Given that  $\{s_1, s_2, s_3\} \in \mathcal{S}_1$ , if  $D \neq \{s_1, s_2, s_3\}$ , then by **(S2)**,  $S = D \cup \{s_1, s_2, s_3\} \in \mathcal{S}_1$ , a contradiction. Thus we must have that  $D = \{s_1, s_2, s_3\}$ , and thus  $D' = \{s_1, s_2\}$ . If  $j = 1$ , then  $\binom{D'}{1} \subseteq \mathcal{S}_2^3 \subseteq \mathcal{S}_2$ . Given  $|D'| = 2 = n - 2$ , the assertion holds in this case. Thus we may assume that  $j = 2$  and  $i = 1$ . However, this means that  $\{s_1\} \in \mathcal{S}_1^3$ , implying that  $\{s_1, s_3\} \in \mathcal{S}_1$ . This in turn implies that  $\{s_3\} \in \mathcal{S}_1$  (since  $\{s_1\} \in \mathcal{S}_1$ ) yielding a contradiction. This completes the case for  $n = 4$ .  $\square$

By **(E)**, there exists  $i \in \{1, 2\}$  and  $T \in \binom{S}{n-2}$  for which  $\binom{T}{1} \subseteq \mathcal{S}_i$ . Using similar reasoning as before, it suffices to prove the case where  $\binom{T}{1} \subseteq \mathcal{S}_1$  (see the first paragraph of the proof of **(E)**). Thus we may assume  $\binom{T}{1} \subseteq \mathcal{S}_1$  and moreover,  $T = \{s_1, \dots, s_{n-2}\}$ .

Suppose first that **(a1)** holds for  $k = n - 1$ ; that is,  $\{s_{n-1}\} \in \mathcal{S}_1$ . Then  $\binom{S^n}{1} \subseteq \mathcal{S}_1$  and (by Observation 8),  $S^n \in \mathcal{S}_1$ . We shall show that **(a1)** - **(a5)** do not hold for  $k = n$ , violating **(A)**. Clearly **(a1)** does not hold for  $k = n$ , for otherwise **(S3)** is violated. If **(a2)** or **(a3)** holds for  $k = n$ , then  $S^n \in \mathcal{S}_2$ . In this case, **(S4)** is violated. Suppose **(a4)** holds for  $k = n$ .

Then there exists  $D' \in \binom{S^n}{n-2}$  and  $1 \leq i \leq n-2$  where  $\binom{D'}{i} \subseteq \mathcal{S}_1^n$ , and  $D = D' + s_n \in \mathcal{S}_1$ . However, since  $S^n \in \mathcal{S}_1$ , it follows by **(S2)** that  $D \cup S^n = S \in \mathcal{S}_1$ , violating **(S3)**. Thus **(a4)** does not hold for  $k = n$ . If **(a5)** holds for  $k = n$ , then there is a set  $A_1^n \in \binom{S^n}{S_1^n}$ , implying that  $D = A_1^n + s_n \in \mathcal{S}_1$ . Again, we have  $D \cup S^n = S \in \mathcal{S}_1$ , a contradiction. This shows that **(a1)** - **(a5)** do not hold for  $k = n$  (a contradiction) and hence **(a1)** can not hold for  $k = n - 1$ . By similar arguments, one can also show that **(a1)** does not hold for  $k = n$ .

Suppose **(a2)** holds for  $k = n - 1$ . Then  $S^{n-1} = \{s_1, \dots, s_{n-2}, s_n\} \in \mathcal{S}_2$ . We will show that **(a4)** holds for  $k = n$ . By **(B)**, neither **(a2)** nor **(a3)** holds for  $k = n$ . Suppose **(a5)** holds for  $k = n$ . Following a previous argument, we have that  $\{s_1, \dots, s_{n-2}\} \cap B_1^n = \emptyset$ . Thus  $B_1^n \subseteq \{s_{n-1}\}$  and  $n \leq 4$ . Given  $n \geq 4$ , it follows that  $n = 4$  and  $B_1^4 = \{s_3\}$  and  $A_1^4 = \{s_1, s_2\}$ . Thus  $S^3 = \{s_1, s_2, s_4\} \in \mathcal{S}_1$ . Since for  $i = 1, 2$ ,  $\{s_i\} \in \mathcal{S}_1$ , it follows by Observation 9 that  $\binom{S^3}{1} \subseteq \mathcal{S}_1$ . However, this implies that  $\{s_4\} \in \mathcal{S}_1$ , a contradiction.

It follows from the above that, assuming **(a2)** holds for  $k = n - 1$ , **(a4)** holds for  $k = n$ . Thus there exists  $D' \in \binom{S^n}{n-2}$  and integers  $i, j$ ,  $i + j = n - 1$ , such that  $\binom{D'}{i} \subseteq \mathcal{S}_1^n$  and  $\binom{D'}{j} \subseteq \mathcal{S}_2^n$ . Then  $D = D' + s_n \in \mathcal{S}_1$ . If  $D' = \{s_1, \dots, s_{n-2}\}$ , then  $D' \in \mathcal{S}_1$ , (since  $\binom{D'}{1} \subseteq \mathcal{S}_1$ ). It now follows by Observation 9 that  $\binom{D'}{1} \subseteq \mathcal{S}_1$ . However, this implies that  $\{s_n\} \in \mathcal{S}_1$ , a contradiction. Thus  $s_{n-1} \in D'$ . We have  $\binom{D' - s_{n-1}}{1} \subseteq \mathcal{S}_1$  and  $D' - s_{n-1} \in \mathcal{S}_1$ . Note that  $D' \notin \mathcal{S}_1$ ; for otherwise, Observation 9 would imply that  $\binom{D'}{1} \subseteq \mathcal{S}_1$ , contradicting the fact that  $\{s_{n-1}\} \notin \mathcal{S}_1$ .

Suppose  $i \leq n - 3$ . Then  $\binom{D' - s_{n-1}}{i} \subseteq \mathcal{S}_1^n$ . Thus for all  $S' \in \binom{D' - s_{n-1}}{i}$ ,  $S' \in \mathcal{S}_1$  and  $S' + s_n \in \mathcal{S}_1$ . It follows by **(S1)** that  $\binom{S' + s_n}{i} \subseteq \mathcal{S}_1$ . This in turn implies that  $\binom{D' - s_{n-1} + s_n}{i} \subseteq \mathcal{S}_1$ . By Observation 8,  $D' - s_{n-1} + s_n \in \mathcal{S}_1$ . However, we also have that  $\{s_1, \dots, s_{n-2}\} \in \mathcal{S}_1$  and thus  $\{s_1, \dots, s_{n-2}\} \cup (D' - s_{n-1} + s_n) = S^{n-1} \in \mathcal{S}_1$ . Given that  $S^{n-1} = D' - s_{n-1} + s_n + s_i$ , for some  $i \in [n - 2]$ , it follows by Observation 9 that  $\binom{S^{n-1}}{i} \subseteq \mathcal{S}_1$ . By Observation 8, we

have  $\binom{S^{n-1}}{i+1} \subseteq \mathcal{S}_1$ . Since  $\binom{D'}{j} \subseteq \mathcal{S}_2^n \subseteq \mathcal{S}_2$  and  $S^{n-1} \in \mathcal{S}_2$  (since **(a2)** holds for  $k = n - 1$ ) and  $S^{n-1} - s_i = D'$ , for some  $i \in [n - 2]$ , it follows by Observation 9 that  $\binom{S^{n-1}}{j} \subseteq \mathcal{S}_2$ . However, we have  $\binom{S^{n-1}}{i+1} \subseteq \mathcal{S}_1$  and  $\binom{S^{n-1}}{j} \subseteq \mathcal{S}_2$  and  $i + 1 + j = n$ , in violation of **(S4)**.

From the above, we have  $i = n - 2$  and  $j = 1$ . Then  $D' \in \mathcal{S}_1^n$  and  $\binom{D'}{1} \subseteq \mathcal{S}_2$ . Let  $A_1 = D' + s_n$ ,  $A_2 = S^{n-1}$ ,  $B_1 = S - s_{n-1} - s_n$ , and  $B_2 = D'$ . Then by the above,  $(A_1, A_2) \in \binom{n-1}{\mathcal{S}_1} \times \binom{n-1}{\mathcal{S}_2}$  and  $A_1 \neq A_2$ . Furthermore, we have that for  $i = 1, 2$ ,  $\binom{B_i}{1} \subseteq \mathcal{S}_i$ . We also see that  $B_1 \cap B_2 = D' \cap \{s_1, \dots, s_{n-2}\} = A_1 \cap B_1 = A_2 \cap B_2$ . Thus in this case, the theorem is satisfied.

To finish the proof, we will show that no other options are possible. Suppose now that **(a2)** does not hold for  $k = n - 1$ , and we may assume the same is true for  $k = n$ . Thus **(a3)** does not hold for  $k = n - 1$  or  $k = n$ .

Suppose **(a4)** holds for  $k = n - 1$ . Then there exists  $D' \in \binom{S^{n-1}}{n-2}$  and integers  $i, j$ ,  $i + j = n - 1$ , such that  $\binom{D'}{i} \subseteq \mathcal{S}_1^{n-1}$  and  $\binom{D'}{j} \subseteq \mathcal{S}_2^{n-1} \subseteq \mathcal{S}_2$ . Then  $D = D' + s_{n-1} \in \mathcal{S}_1$ . As before,  $D' \neq \{s_1, \dots, s_{n-2}\}$ . Thus  $s_n \in D'$  and we may assume without loss of generality that  $D' = \{s_1, \dots, s_{n-3}, s_n\}$ . By **(C)**, **(a4)** does not hold for  $k = n$ . Thus **(a5)** holds for  $k = n$  and there exist  $(A_1^n, A_2^n) \in \binom{n-2}{\mathcal{S}_1^n} \times \binom{n-2}{\mathcal{S}_2^n}$ ,  $A_1^n \neq A_2^n$ , and  $\{B_1^n, B_2^n\} \subseteq \binom{S^n}{n-3}$  where for  $i = 1, 2$ ,  $B_i^n \cap A_i^n = B_1^n \cap B_2^n \in \binom{A_1^n \cap A_2^n}{n-4}$  and  $\binom{B_i^n}{1} \subseteq \mathcal{S}_i^n$ . Arguing as before, we have  $B_1^n \cap \{s_1, \dots, s_{n-2}\} = \emptyset$ . This in turn implies that  $B_1^n = \{s_{n-1}\}$  and hence  $n = 4$ . Furthermore, we have that  $A_1^n = A_1^4 = \{s_1, s_2\}$ , implying that  $\{s_1, s_2, s_4\} \in \mathcal{S}_1$ . However, we also have that  $D = \{s_1, s_3, s_4\} \in \mathcal{S}_1$ . It follows by **(S2)** that  $S = D \cup \{s_1, s_2, s_4\} \in \mathcal{S}_1$ , violating **(S3)**. Thus **(a4)** does not hold for  $k = n - 1$  and the same holds for  $k = n$ .

From the above, **(a5)** must hold for both  $k = n - 1$  and  $k = n$ . Using similar arguments as above, one can show that  $n = 4$ ,  $B_1^3 = \{s_4\}$ ,  $A_1^3 = \{s_1, s_2\}$ ,  $B_1^4 = \{s_3\}$ , and  $A_1^4 = \{s_1, s_2\}$ . We have  $A_1^3 + s_3 = \{s_1, s_2, s_3\} \in \mathcal{S}_1$  and  $A_1^4 + s_4 = \{s_1, s_2, s_4\} \in \mathcal{S}_1$ . It now follows by **(S2)** that  $\{s_1, s_2, s_3\} \cup \{s_1, s_2, s_4\} = S \in \mathcal{S}_1$ , contradicting **(S3)**. This completes the proof of the theorem.  $\square$

## 5 Proof of Theorem 3

Let  $M$  be a paving matroid where  $\gamma(M) = \beta(E(M))$  and  $|E(M)| = n$ .

### 5.1 The case $r(M) = 2$

Suppose  $r(M) = 2$ . We shall prove by induction on  $n$  that  $M$  is cyclically orderable. Theorem 3 is seen to be true when  $n = 2$ . Assume that it is true when  $n = m - 1 \geq 2$ . We shall prove that it is also true for  $n = m$ . Assume that  $M$  is a paving matroid where  $r(M) = 2$ ,  $|E(M)| = m$  and  $\gamma(M) = \beta(E(M)) = \frac{m}{2}$ . For all elements  $e \in E(M)$ , let  $X_e$  denote the parallel class containing  $e$  and let  $m(e) = |X_e|$ . Then for all  $e \in E(M)$ ,  $\beta(X_e) = m(e) \leq \gamma(M) = \frac{m}{2}$ . If there are elements  $e \in E(M)$  for which  $m(e) = \frac{m}{2}$ , then choose  $f$  to be one such element. If no such elements exist, then let  $f$  be any element in  $M$ . Let  $M' = M \setminus f$ . Suppose there exists  $X \subseteq E(M')$  for which  $\beta(X) > \frac{m-1}{2} = \beta(E(M'))$ . Then clearly  $r(X) = 1$ . Thus  $X \subseteq X_g$  for some  $g \in E(M')$ . Given that  $m(g) \leq \frac{m}{2}$ , it

follows that  $X = X_g$  and  $m(g) = \frac{m}{2}$ . By the choice of  $f$ , we also have  $m(f) = \frac{m}{2}$ . Then  $E(M) = X_f \cup X_g$  and  $E(M) = m = 2\ell$ , for some integer  $\ell$ . Now let  $e_1e_2 \cdots e_m$  be an ordering of  $E(M)$  where for all  $i$ ,  $e_i \in X_f$ , if  $i$  is odd, and  $e_i \in X_g$ , if  $i$  is even. This gives a cyclic ordering for  $M$ . Thus we may assume that  $\gamma(M') = \beta(E(M')) = \frac{m-1}{2}$ . By assumption, there is a cyclic ordering for  $M'$ , say  $e_1e_2 \cdots e_{m-1}$ . Since  $m(f) \leq \frac{m}{2}$ , there exists  $i \in [m-1]$  such that  $\{e_i, e_{i+1}\} \cap X_f = \emptyset$ . Consequently,  $e_1 \cdots e_i f e_{i+1} \cdots e_{m-1}$  is seen to be a cyclic ordering for  $M$ . The proof now follows by induction.

## 5.2 The case where $|E(M)| \leq 2r(M) + 1$

Suppose  $|E(M)| \leq 2r(M) + 1$ . As mentioned earlier, if  $|E(M)| = 2r(M) + 1$ , then  $|E(M)|$  and  $r(M)$  are relatively prime and hence it follows by Theorem 2 that  $M$  has a cyclic ordering. Thus we may assume that  $|E(M)| \leq 2r(M)$ . It now follows by Theorem 5 that there are bases  $A$  and  $B$  for which  $A \cup B = E(M)$ .

The following is a well-known conjecture of Gabow [5].

### 12 Conjecture (Gabow)

Suppose that  $A$  and  $B$  are bases of a matroid  $N$  of rank  $r$ . Then there are orderings  $a_1a_2 \cdots a_r$  and  $b_1b_2 \cdots b_r$  of the elements of  $A$  and  $B$ , respectively, such that for  $i = 1, \dots, r-1$ ,  $\{a_1, \dots, a_i, b_{i+1}, \dots, b_r\}$  and  $\{a_{i+1}, \dots, a_r, b_1, \dots, b_i\}$  are bases.

We observe that in the special case of Conjecture 12 where  $E(N)$  is the union of two bases, the conjecture implies that  $N$  has a cyclic ordering. In [1], the authors verify, among other things, the above conjecture for *split matroids*, a class of matroids which includes all paving matroids. Given that the above conjecture is true for split matroids (and hence also paving matroids) and  $E(M) = A \cup B$ , it follows that  $M$  has a cyclic ordering.

## 5.3 The case where $|E(M)| \geq 2r(M) + 2$ and $r(M) \geq 3$ .

In this section, we shall assume that  $|E(M)| \geq 2r(M) + 2$  and  $r(M) \geq 3$ . By Proposition 6, there exists a basis  $S$  of  $M$  for which  $\gamma(M \setminus S) = \beta(E(M) - S)$  and  $r(M \setminus S) = r(M)$ . Let  $r = r(M)$  and let  $S = \{s_1, \dots, s_r\}$ . Let  $M' = M \setminus S$  and let  $m = |E(M')| = n - r$ . By assumption,  $M'$  is cyclically orderable and we will assume that  $e_1e_2 \cdots e_m$  is a cyclic ordering. Our goal is to show that the cyclic ordering for  $M'$  can be extended to a cyclic ordering of  $M$ . To complete the proof of Theorem 3, we need only prove the following:

**Proposition 13.** *There exists  $i \in [m]$  and a permutation  $\pi$  of  $[r]$  such that  $e_1e_2 \cdots e_i s_{\pi(1)} s_{\pi(2)} \cdots s_{\pi(r)} e_{i+1} \cdots e_m$  is a cyclic ordering of  $M$ .*

*Proof.* Assume to the contrary that for all  $i \in [m]$  and for all permutations  $\pi$  of  $[r]$ ,  $e_1e_2 \cdots e_i s_{\pi(1)} s_{\pi(2)} \cdots s_{\pi(r)} e_{i+1} \cdots e_m$  is not a cyclic ordering of  $M$ . For all  $j \in [m]$ , we shall define a pair  $(\mathcal{H}_1^j, \mathcal{H}_2^j)$ , where for  $i = 1, 2$ ,  $\mathcal{H}_i^j \subseteq 2^S$ . Let  $x_1^j = e_{j-1}$ ,  $x_2^j = e_{j-2}, \dots, x_{r-1}^j =$

$e_{j-r+1}$ , and let  $y_1^j = e_j$ ,  $y_2^j = e_{j+1}, \dots, y_{r-1}^j = e_{j+r-2}$  where for all integers  $k$ , we define  $e_k := e_\ell$  where

$$\ell := \begin{cases} k \bmod m & \text{if } k \bmod m \neq 0 \\ m & \text{otherwise.} \end{cases}$$

Let  $X^j = \{x_1^j, \dots, x_{r-1}^j\}$  and  $Y^j = \{y_1^j, \dots, y_{r-1}^j\}$ .

Let  $\pi$  be a permutation of  $[r]$ . By assumption,  $e_1 \cdots e_{j-1} s_{\pi(1)} s_{\pi(2)} \cdots s_{\pi(r)} e_j \cdots e_m$  is not a cyclic ordering for  $M$ . Then there exists  $i \in [r-1]$  such that either  $\{x_1^j, \dots, x_i^j\} \cup \{s_{\pi(1)}, \dots, s_{\pi(r-i)}\}$  is dependent or  $\{y_1^j, \dots, y_i^j\} \cup \{s_{\pi(i+1)}, \dots, s_{\pi(r)}\}$  is dependent. Since the smallest circuit has size  $r$ , this means that either  $\{x_1^j, \dots, x_i^j\} \cup \{s_{\pi(1)}, \dots, s_{\pi(r-i)}\}$  or  $\{y_1^j, \dots, y_i^j\} \cup \{s_{\pi(i+1)}, \dots, s_{\pi(r)}\}$  is a circuit. Let  $\mathcal{C}_1^j$  be the set of all  $r$ -circuits which occur in the former case, and let  $\mathcal{C}_2^j$  be the set of all  $r$ -circuits occurring in the latter case. That is,  $\mathcal{C}_1^j$  is the set of all  $r$ -circuits  $C$  where for some  $i \in [r-1]$ ,  $\{x_1^j, \dots, x_i^j\} \subset C \subset \{x_1^j, \dots, x_i^j\} \cup S$ , and  $\mathcal{C}_2^j$  is set of all  $r$ -circuits  $C$  where for some  $i \in [r-1]$ ,  $\{y_1^j, \dots, y_i^j\} \subset C \subseteq \{y_1^j, \dots, y_i^j\} \cup S$ . For  $i = 1, 2$ , let  $\mathcal{H}_i^j = \{C \cap S \mid C \in \mathcal{C}_i^j\}$ .

(A) For all  $j$ , the pair  $(\mathcal{H}_1^j, \mathcal{H}_2^j)$  is an  $S$ -pair which is order-consistent.

*Proof.* It suffices to prove the assertion for  $j = 1$ . For convenience, we let  $x_i = x_i^1$ ,  $y_i = y_i^1$ ,  $i = 1, \dots, r-1$ . Furthermore, we let  $X = X^1$ ,  $Y = Y^1$ ,  $\mathcal{H}_1 = \mathcal{H}_1^1$ ,  $\mathcal{H}_2 = \mathcal{H}_2^1$ ,  $\mathcal{C}_1 = \mathcal{C}_1^1$ , and  $\mathcal{C}_2 = \mathcal{C}_2^1$ . It follows from the definition of  $(\mathcal{H}_1, \mathcal{H}_2)$  that it is order-consistent. We need only show that it is an  $S$ -pair. Suppose  $A, B \in \mathcal{H}_1$  where  $|A| = |B| + 1$  and  $B \subset A$ . Then for some  $i \in [r-1]$ ,  $C_1 = A \cup \{x_1, \dots, x_i\} \in \mathcal{C}_1$  and  $C_2 = B \cup \{x_1, \dots, x_{i+1}\} \in \mathcal{C}_1$ . Let  $x \in B$ . Then  $x \in C_1 \cap C_2$  and hence by the circuit elimination axiom there is a circuit  $C \subseteq (C_1 \cup C_2) - x = (A - x) \cup \{x_1, \dots, x_{i+1}\}$ . Thus  $C = (A - x) \cup \{x_1, \dots, x_{i+1}\}$  and hence  $A - x \in \mathcal{H}_1$ . Since this applies to any element  $x \in B$ , it follows that  $\binom{A}{|B|} \subseteq \mathcal{H}_1$ . The same arguments can be applied to  $\mathcal{H}_2$ . Thus (S1) holds.

To show that (S2) holds, suppose  $A, B \in \mathcal{H}_1$  where  $|A| = |B|$  and  $|A \cap B| = |A| - 1$ . There exists  $i \in [r]$  such that  $C_1 = \{x_1, \dots, x_i\} \cup A \in \mathcal{C}_1$  and  $C_2 = \{x_1, \dots, x_i\} \cup B \in \mathcal{C}_1$ . By the circuit elimination axiom, there exists a circuit  $C \subseteq (C_1 \cup C_2) - x_i = (A \cup B) \cup \{x_1, \dots, x_{i-1}\}$ . Thus  $C = (A \cup B) \cup \{x_1, \dots, x_{i-1}\}$  is a circuit and hence  $A \cup B \in \mathcal{H}_1$ . The same reasoning applies if  $A, B \in \mathcal{H}_2$ . Thus (S2) holds.

To show that (S3) holds, suppose  $\binom{S}{1} \subseteq \mathcal{H}_1$ . Then for  $i = 1, \dots, r-1$ ,  $C_i = X \cup \{s_i\}$  is a circuit, and consequently,  $S \subseteq \text{cl}(X)$ . However, this is impossible since  $|X| = r-1 < r(S) = r$ . Thus  $\binom{S}{1} \not\subseteq \mathcal{H}_1$  and likewise,  $\binom{S}{1} \not\subseteq \mathcal{H}_2$ . Also, we clearly have that for  $i = 1, 2$ ,  $S \notin \mathcal{H}_i$  since  $S$  is a base of  $M$ . Thus (S3) holds.

Lastly, to show that (S4) holds, let  $S' = S - s_r$ . Suppose first that  $\binom{S'}{r-1} \subseteq \mathcal{H}_1$  and  $\binom{S'}{1} \subseteq \mathcal{H}_2$ . Then  $S' \in \mathcal{H}_1$  and hence  $S' + x_1 \in \mathcal{C}_1$ . Also, for all  $i \in [r-1]$ ,  $Y + s_i \in \mathcal{C}_2$ . Thus  $x_1 \in \text{cl}(S')$  and  $S' \subseteq \text{cl}(Y)$ . Given that  $S'$  is independent and  $|S'| = |Y| = r-1$ , it follows that  $\text{cl}(S') = \text{cl}(Y)$ . However, this implies that  $Y + x_1 = \{x_1, y_1, \dots, y_{r-1}\} = \{e_m, e_1, \dots, e_{r-1}\} \subseteq \text{cl}(S')$ , which contradicts the assumption that  $\{e_m, e_1, \dots, e_{r-1}\}$  is a basis of  $M$ .

Suppose now that for some  $k \in [r - 2]$ ,  $\binom{S'}{k} \subseteq \mathcal{H}_1$  and  $\binom{S'}{r-k} \subseteq \mathcal{H}_2$ . We claim that  $\{x_1, \dots, x_{r-k}\} \cup \{y_1, \dots, y_k\} \subseteq \text{cl}(S')$ . Following the proof of Observation 8, we have that for  $j = k, \dots, r - 1$ ,  $\binom{S'}{j} \subseteq \mathcal{H}_1$ . In particular,  $S' \in \mathcal{H}_1$ , and hence  $C_1 = S' + x_1 \in \mathcal{C}_1$ . This implies that  $x_1 \in \text{cl}(S')$ . However, seeing as  $\binom{S'}{r-2} \subseteq \mathcal{H}_1$ , we have that  $C_2 = (S' - s_{r-1}) \cup \{x_1, x_2\} \in \mathcal{C}_1$ . Given that  $x_1 \in \text{cl}(S')$ , it follows that  $x_2 \in \text{cl}(S')$ . Continuing, we see that  $\{x_1, \dots, x_{r-k}\} \subseteq \text{cl}(S')$ . By similar arguments, it can be shown that  $\{y_1, \dots, y_k\} \subseteq \text{cl}(S')$ . Thus proves our claim. It follows that  $r(\{x_1, \dots, x_{r-k}\} \cup \{y_1, \dots, y_k\}) \leq r - 1$ . However, this is impossible since by assumption  $\{x_1, \dots, x_{r-k}\} \cup \{y_1, \dots, y_k\}$  is a basis. Thus no such  $k$  exists. More generally, the same arguments can be applied to any  $j \in [r]$  and  $S' = S - s_j$ . Thus **(S4)** holds.  $\square$

By **(A)**, for all  $j \in [m]$ ,  $(\mathcal{H}_1^j, \mathcal{H}_2^j)$  is an  $S$ -pair which is order-consistent. Thus it follows by Theorem 11, that for all  $j \in [m]$ , there exists  $(A_1^j, A_2^j) \in \binom{r-1}{\mathcal{H}_1^j} \times \binom{r-1}{\mathcal{H}_2^j}$ ,  $A_1^j \neq A_2^j$ , and  $\{B_1^j, B_2^j\} \subseteq \binom{S}{r-2}$  where for  $i = 1, 2$ ,  $B_i^j \cap A_i^j = B_1^j \cap B_2^j \in \binom{A_1^j \cap A_2^j}{r-3}$  and  $\binom{B_i^j}{1} \subseteq \mathcal{H}_i^j$ .

Suppose  $r > 4$ . Given that  $|B_1^1| = |B_1^2| = r - 2$ , it follows that there exists  $s_i \in B_1^1 \cap B_1^2$ . Then  $\{s_i\} \in \mathcal{H}_1^1 \cap \mathcal{H}_1^2$  and consequently,  $C_1 = \{s_i, e_{m-r+2}, \dots, e_m\}$  and  $C_2 = \{s_i, e_{m-r+3}, \dots, e_m, e_1\}$  are distinct circuits in  $M$ . By the circuit elimination axiom, there exists a circuit  $C \subseteq (C_1 \cup C_2) - s_i = \{e_{m-r+2}, \dots, e_m, e_1\}$ . However, this is impossible since by assumption,  $\{e_{m-r+2}, \dots, e_m, e_1\}$  is a basis. Therefore,  $r \leq 4$ .

Suppose  $r = 3$ . Without loss of generality, we may assume that  $A_1^1 = \{s_1, s_2\}$ ,  $B_1^1 = \{s_3\}$ ,  $A_2^1 = \{s_2, s_3\}$ , and  $B_2^1 = \{s_1\}$ . Then  $\{s_3, e_m, e_{m-1}\}$  and  $\{s_1, e_1, e_2\}$  are circuits. We have that  $B_1^2 \neq \{s_3\}$  and  $B_2^2 \neq \{s_1\}$ ; for if  $B_1^2 = \{s_3\}$ , then  $B_1^1 = B_2^2 = \{s_3\}$  and it follows that  $\{s_3, e_{m-1}, e_m\}$  and  $\{s_3, e_1, e_m\}$  are circuits, implying that  $\{e_{m-1}, e_m, e_1\}$  is a circuit – a contradiction. Similar reasoning applies if  $B_2^2 = \{s_1\}$ . Suppose that  $B_1^2 = \{s_1\}$ . Then  $\{s_1, e_1, e_m\}$  is a circuit. However, seeing as  $\{s_1, e_1, e_2\}$  is a circuit (since  $B_2^1 = \{s_1\}$ ), it follows that  $\{s_1, e_1, e_2\} \cup \{s_1, e_1, e_m\} - s_1 = \{e_m, e_1, e_2\}$  is a circuit, which is false since by assumption  $\{e_m, e_1, e_2\}$  is a basis. Thus  $B_1^2 \neq \{s_1\}$ . Given that  $B_1^1 \neq \{s_3\}$ , it follows that  $B_1^2 = \{s_2\}$  and  $A_1^2 = \{s_1, s_3\}$ . Since  $B_2^2 \neq \{s_1\}$ , it follows that  $B_2^2 = \{s_3\}$  and  $A_2^2 = \{s_1, s_2\}$ . Since  $A_1^1 = A_2^2 = \{s_1, s_2\}$ , it follows that  $\{s_1, s_2, e_m\}$  and  $\{s_1, s_2, e_2\}$  are circuits. Furthermore, since  $B_1^2 = \{s_2\}$ , it follows that  $\{s_2, e_1, e_m\}$  is a circuit. It is now seen that  $\{e_m, e_1, e_2\} \subseteq \text{cl}(\{s_1, s_2\})$ , which contradicts the assumption that  $\{e_m, e_1, e_2\}$  is a basis.

Lastly, suppose  $r = 4$ . Suppose  $s_i \in B_1^1 \cap B_1^2$ . Then  $\{s_i, e_{m-2}, e_{m-1}, e_m\}$  and  $\{s_i, e_{m-1}, e_m, e_1\}$  are circuits and hence  $\{e_{m-2}, e_{m-1}, e_m, e_1\}$  is also a circuit, contradicting our assumptions. Thus  $B_1^1 \cap B_1^2 = \emptyset$  and similarly,  $B_2^1 \cap B_2^2 = \emptyset$ . More generally, for all  $i \in \{1, 2\}$  and  $j \in [m]$ ,  $B_i^j \cap B_i^{j+1} = \emptyset$ . Since for all  $i \in \{1, 2\}$ ,  $|B_i^1| = |B_i^2| = 2$  it follows that for all  $i \in \{1, 2\}$ ,  $j \in [m]$ ,  $B_i^j \cup B_i^{j+1} = S$ . Without loss of generality, we may assume  $B_1^1 = \{s_1, s_2\}$  and  $B_1^2 = \{s_3, s_4\}$ . Note that  $B_1^1 = \{s_1, s_2\}$  means that  $\{s_1, s_2\} \subset A_2^1$  and so  $A_2^1 = \{s_1, s_2, s_3\}$  or  $\{s_1, s_2, s_4\}$ . Given that  $B_1^1 \not\subseteq A_1^1 \cap A_2^1$ , irregardless of whether  $A_2^1$  is the former or latter we have that  $A_1^1 = \{s_1, s_3, s_4\}$  or  $\{s_2, s_3, s_4\}$ . However, since the indexing of the elements of  $S$  is essentially arbitrary, one can assume that  $A_2^1$  is any one of the first two choices and  $A_1^1$  is any one of the latter two choices. Thus we may assume without loss of generality that  $A_1^1 = \{s_2, s_3, s_4\}$  and  $A_2^1 = \{s_1, s_2, s_4\}$ . Since for

all  $i \in \{1, 2\}$ ,  $j \in [m]$ ,  $B_i^j \cup B_i^{j+1} = S$ , it follows that  $B_1^1 = B_1^3 = \dots = \{s_1, s_2\}$  and  $B_1^2 = B_1^4 = \dots = \{s_3, s_4\}$ . In particular,  $m$  must be even. Corresponding, for  $i = 1, 3, \dots$ ,  $A_1^i = \{s_1, s_3, s_4\}$  or  $\{s_2, s_3, s_4\}$  and for  $i = 2, 4, \dots$   $A_1^i = \{s_1, s_2, s_3\}$  or  $\{s_1, s_2, s_4\}$ .

Given that  $B_1^1 = \{s_1, s_2\}$  and  $\binom{B_1^1}{1} \subseteq \mathcal{H}_1^1$ , it follows that  $\{s_1, e_{m-2}, e_{m-1}, e_m\}$  and  $\{s_2, e_{m-2}, e_{m-1}, e_m\}$  are circuits.

Thus  $\{s_1, s_2\} \subset \text{cl}(\{e_{m-2}, e_{m-1}, e_m\})$ . By the above, we have that  $B_1^m = \{s_3, s_4\}$  and either  $A_1^m = \{s_1, s_2, s_3\}$  or  $A_1^m = \{s_1, s_2, s_4\}$ . Suppose the former holds. Then  $\{s_1, s_2, s_3, e_{m-1}\}$  is a circuit. Consequently,  $s_3 \in \text{cl}(\{e_{m-2}, e_{m-1}, e_m\})$ . However, since  $B_1^2 = \{s_3, s_4\} \in \mathcal{H}_1^2$ , it follows that  $\{s_3, e_{m-1}, e_m, e_1\}$  and  $\{s_4, e_{m-1}, e_m, e_1\}$  are circuits. By the circuit elimination axiom,  $\{s_3, s_4, e_{m-1}, e_m\}$  is a circuit and hence  $s_4 \in \text{cl}(\{e_{m-2}, e_{m-1}, e_m\})$ . However, it now follows that  $\{s_1, s_2, s_3, s_4\} \subset \text{cl}(\{e_{m-2}, e_{m-1}, e_m\})$ , yielding a contradiction. If instead,  $A_1^m = \{s_1, s_2, s_4\}$ , then similar arguments yield a contradiction. This concludes the case for  $r = 4$ .  $\square$

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