The Minimum Spectral Radius of tP_3 - or K_5 -Saturated Graphs via the Number of 2-Walks

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Abstract

For a given graph H, a graph G is H-saturated if G does not contain H as a subgraph, but for $e \in E(\overline{G})$, G+e contains H as a subgraph; the spectral saturation number of H, written $sat_{\rho}(n, H)$, is the minimum value of $\rho(G)$ in an *n*-vertex H-saturated graph G.

For a vertex $v \in V(G)$, let $l_2(v)$ be the number of 2-walks starting from v. In this paper, when G is an n-vertex tP_3 - or K_5 -saturated connected graph, for each vertex $v \in V(G)$, we prove the best lower bounds for $l_2(v)$ in terms of n and d(v), implying that $sat_{\rho}(n, tP_3) = \rho(F)$ and $sat_{\rho}(n, K_5) = \rho(S_{n,4})$, where F is the 6-vertex graph obtained from K_3 by attaching a pendant vertex to each vertex in K_3 and $S_{n,4}$ is the join of K_3 and $(n-3)K_1$.

Mathematics Subject Classifications: 05C15, 05C50, 15A18

1 Introduction

For undefined terms of graph theory, see West [31]. For basic properties of spectral graph theory, see Brouwer and Haemers [3] or Godsil and Royle [16].

Given a graph H, determining the maximum number of edges in an *n*-vertex H-free graph, written ex(n, H), has a long history. (See K_3 [22], K_r [30], $K_{s,t}$ [21, 20], C_{2k+1} [26], C_{2k} [2, 25], P_k [8], T_k [7], C_4 [10, 4], $K_{2,t}$ [13], $K_{3,3}$ [4, 14], Q_8 [11], consecutive cycles [8] and three surveys [15, 17, 27].) Thus if an *n*-vertex graph G has more than ex(n, H) edges, then G must contain H as a subgraph. If we create a copy of H by adding any additional edge to an H-free graph, then it would be an interesting property. Even if

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an *n*-vertex *H*-free graph *G* has less than ex(n, H) edges and if we add any additional edge to *G*, then the resulting graph may contain a copy of *H*. In this case, *G* is called *H*-saturated. More precisely, if *G* does not contain *H* as a subgraph but for any edge $e \in E(\overline{G}), G + e$ contains *H* as a subgraph, then we call *G H*-saturated. Then we may be interested in the minimum number of edges in an *n*-vertex *H*-saturated graph, which is called the saturation number of *H*, written sat(n, H).

Erdős, Hajnal, and Moon [9] proved $sat(n, K_{r+1}) = |E(S_{n,r})|$, which was the first result on saturation number. The graph $S_{n,r}$ is the join of K_{r-1} and $(n-r+1)K_1$.

For a graph G with given number of vertices, the average degree $\frac{2|E(G)|}{|V(G)|}$ and the number of edges give the same information, and we note that the spectral radius of G, written $\rho(G)$, is at least the average degree, where A(G) is the adjacency matrix of G and $\rho(G) = \max_{\{\lambda: A(G)x = \lambda x\}} |\lambda|$. Thus it may be natural to ask what will happen if we replace the average degree with the spectral radius.

Like sat(n, H), we can define the spectral saturation number of a graph H, written $sat_{\rho}(n, H)$, to be the minimum value of $\rho(G)$ in an n-vertex H-saturated graph G.

Nikiforov [24] proved that if G is an *n*-vertex K_{r+1} -saturated graph, then $\rho(G) \leq \rho(T_{n,r})$, where $T_{n,r}$ is the *n*-vertex *r*-partite Turán graph; equality holds only when G is $T_{n,r}$. Kim, Kim, Kostochka, and O [18] proved that if G is an *n*-vertex K_{r+1} -saturated graph, then

$$\rho(G) \ge \sqrt{\frac{(n-1)^2(r-1) + (r-1)^2(n-r+1)}{n}} \tag{1}$$

by using the fact $\rho^2(G) \ge \frac{1}{n} \sum_{v \in V(G)} d^2(v)$ and by determining the best lower bound for $\sum_{v \in V(G)} d^2(v)$. Note that for r = 2, equality in (1) holds only when G is $S_{n,2}$ or a Moore graph with diameter 2. However, for $r \ge 3$, equality does not hold for any graphs, which means that $sat_{\rho}(K_{r+1}) > \sqrt{\frac{(n-1)^2(r-1)+(r-1)^2(n-r+1)}{n}}$. For r = 3, Kim, Kostochka, O, Shi, and Wang [19] proved the sharp lower bound for $\rho(G)$ in an *n*-vertex K_{r+1} -saturated graph; equality holds only when G is $S_{n,3}$. For $r \ge 4$, determining $sat_{\rho}(n, K_{r+1})$ is still open. In this paper, we determine $sat_{\rho}(n, K_{r+1})$ when r = 4.

Note that $\sum_{v \in V(G)} d^2(v) = \sum_{v \in V(G)} \sum_{w \in V(G)} (A^2(G))_{vw} = \sum_{v \in V(G)} \sum_{w \in N(v)} d(w)$. For a vertex $v \in V(G)$, let $l_2(v)$ be the number of 2-walks starting at v in a graph G, where a *t*-walk is a walk of length t.

Let $P = \{V_1, \ldots, V_s\}$ be a partition of V(G) into s non-empty subsets. The quotient matrix Q corresponding to P is the $s \times s$ matrix whose (i, j)-entry is the average number of incident edges in V_j of the vertices in V_i . More precisely, $Q_{i,j} = \frac{|[V_i, V_j]|}{|V_i|}$ if $i \neq j$, and $Q_{i,i} = \frac{2|E(G[V_i])|}{|V_i|}$. A partition P is equitable if for each $1 \leq i, j \leq s$, any vertex $v \in V_i$ has exactly $Q_{i,j}$ neighbors in V_j . In this case, the eigenvalues of the quotient matrix are eigenvalues of G and the spectral radius of the quotient matrix equals the spectral radius of G (see [3, 16] for more details).

Observation 1. Let Q be a 2×2 equitable quotient matrix of a graph H, and let $p_Q(x)$ be the characteristic polynomial of Q. If G is an n-vertex graph with the spectral radius $\rho(G)$ and $p_Q(\rho(G)) \ge 0$, then we have $\rho(G) \ge \rho(H)$.

Since $\{V(K_3), V((n-3)K_1)\}$ and $\{\{v_2, v_3, v_5\}, \{v_1, v_4, v_6\}\}$ (see Figure 2) are equitable partitions of $S_{n,4}$ and F, respectively, the corresponding quotient matrices are

$$Q_1 = \begin{pmatrix} 2 & n-3 \\ 3 & 0 \end{pmatrix}$$
 and $Q_2 = \begin{pmatrix} 2 & 1 \\ 1 & 0 \end{pmatrix}$.

Thus the characteristic polynomials are $p_{Q_1}(x) = x^2 - 2x - 3(n-3)$ and $p_{Q_2}(x) = x^2 - 2x - 1$, respectively. If $A(G)\mathbf{x} = \rho(G)\mathbf{x}$, where $\sum_{v \in V(G)} x_v = 1$, then for i = 1, 2, we have

$$p_{Q_i}(A(G))\mathbf{x} = p_{Q_i}(\rho(G))\mathbf{x}$$

Thus we have

$$p_{Q_i}(\rho(G)) = p_{Q_i}(\rho(G)) \sum_{v \in V(G)} x_v =$$

$$\sum_{v \in V(G)} p_{Q_i}(\rho(G)) x_v$$

$$= \sum_{v \in V(G)} \sum_{w \in V(G)} p_{Q_i}(A(G))_{vw} x_w$$

$$= \sum_{v \in V(G)} x_v \sum_{u \in V(G)} p_{Q_i}(A(G))_{uv}$$

$$\geqslant \min_{v \in V(G)} \sum_{u \in V(G)} p_{Q_i}(A(G))_{uv}.$$

Note that $\sum_{u \in V(G)} A^2(G)_{uv} = l_2(v)$ and $\sum_{u \in V(G)} A(G)_{uv} = d(v)$. Thus for each vertex $v \in V(G)$, if $l_2(v) \ge 2d(v) + 3(n-3)$ for i = 1, or if $l_2(v) \ge 2d(v) + 1$ for i = 2, then we have $p_{Q_i}(\rho(G)) \ge 0$, which implies that by Observation 1, $\rho(G) \ge \rho(S_{n,r})$ or $\rho(G) \ge \rho(F)$, respectively.

In Section 4, we prove that if G is an *n*-vertex K_5 -saturated graph, then for each vertex $v \in V(G)$, we have $l_2(v) \ge 2d(v) + 3(n-3)$.

Theorem 2. If G is an n-vertex K_5 -saturated graph, then for each vertex $v \in V(H)$, we have

$$\sum_{w \in N(v)} d(w) \ge 2d(v) + 3(n-3).$$

Thus, Theorem 2 implies Theorem 3.

Theorem 3. If G is an n-vertex K_5 -saturated graph, then we have

$$\rho(G) \geqslant \rho(S_{n,4});$$

equality holds only when G is $S_{n,4}$.

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Similarly, we also want to prove that if G is an n-vertex tP_3 -saturated graph, then for every vertex $v \in V(G)$, we have $l_2(v) \ge 2d(v) + 1$. However, depending on n and t, *n*-vertex tP_3 -saturated graphs may be disconnected or just a complete graph. If t = 1, then each component of G is at most 2 vertices, which implies that $sat_{\rho}(n, tP_3) = 1$. If $2 \leq n < 3t$, then $sat_{\rho}(n, tP_3) = n - 1$. We may assume that $t \geq 2$ and $n \geq 3t$. By Lemma 10, we replace G with a component G_0 of G in the argument above Theorem 3 such that $\rho(G) = \rho(G_0)$ and for each vertex $v \in V(G_0)$, we have $l_2(v) \ge 2d(v) + 1$. How can we guarantee the existence of such a component in an *n*-vertex tP_3 -saturated graph? In fact, there are some exceptional graphs. For $k \ge 2$, let $F_k = K_1 \lor (kK_2)$ and $F_k^+ = K_1 \vee (kK_2 \cup K_1)$. See Figure 1 for F_2 and F_2^+ . Let $\mathcal{H} = \{H : H \text{ is isomorphic to } F_k \text{ or } F_k^+$ for some $k \ge 2\}$. If an *n*-vertex tP_3 -saturated graph G contains a component G' in \mathcal{H} such that $\rho(G) = \rho(G')$, then there exists a vertex $v \in V(G')$ such that $l_2(v) < 2d(v) + 1$. (For all vertices v in any graph in \mathcal{H} , we have $l_2(v) \ge 2d(v)$.) However, note that $\rho(G) = \rho(G') \ge \rho(F_2) > \rho(F)$. Thus we consider *n*-vertex tP_3 -saturated graphs G whose components do not belong to \mathcal{H} . Since $t \ge 2$ and G is tP_3 -saturated, the graph $(t-1)P_3$ is a subgraph of G, which means that some component G_0 on n_0 vertices of G contains P_3 as a subgraph and so $n_0 \ge 3$.

Theorem 4. If any component of an n-vertex tP_3 -saturated graph G does not belong to \mathcal{H} , then there exists a component G_0 of G such that $\rho(G) = \rho(G_0)$ and for each vertex $v \in V(G_0)$, we have

$$\sum_{w \in N(v)} d(w) \ge 2d(v) + 1;$$

equality holds only when G_0 is isomorphic to F, where F is the 6-vertex graph obtained from K_3 by attaching a pendent vertex at each vertex of $V(K_3)$ (see Figure 2).

Thus Theorem 4 implies Theorem 5.



Figure 1: Two graphs F_2 and F_2^+

Theorem 5. If G is an n-vertex tP_3 -saturated graph with $t \ge 2$ and $n \ge 3t$, then we have

$$\rho(G) \ge \rho(F);$$

equality holds only when G is the union of (t-1)F and $\lfloor \frac{(n-6t+6)}{2} \rfloor K_2 \cup \eta K_1$, where $\eta = 0$ if n is even or $\eta = 1$ if n is odd.

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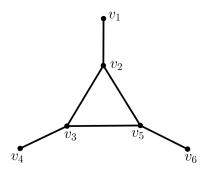


Figure 2: The graph F

Interestingly, the graphs holding equality in the bound of Theorem 5 are from the following conjecture.

Conjecture 6 ([6]). Let $t \ge 2$ be an integer. For sufficiently large n, $sat(n, tP_3) = \lfloor \frac{n+6t-6}{2} \rfloor$ and $(t-1)F \cup \lfloor \frac{(n-6t+6)}{2} \rfloor K_2 \cup \eta K_1$ is a tP_3 -saturated graph with size $sat(n, tP_3)$.

The conjecture was confirmed for t = 2 and $n \ge 12$, t = 3 and $n \ge 9$, t = 4 and $n \ge 3t+2$, and t = 5, $n \ge 3t+1$ [6, 29, 5]. For $t \ge 6$, Conjecture 6 is still open. However, we determine the exact value of $sat_{\rho}(n, tP_3)$ in this paper (See Theorem 5).

2 Tools

Given a graph G, we denote the minimum degree by $\delta(G)$, the maximum degree by $\Delta(G)$, and the average degree by d(G), respectively. Let \overline{G} denote the complement of a graph G. For a vertex $v \in V(G)$, let d(v) and N(v) denote the degree and neighborhood of v in G, respectively.

For $A \subseteq V(G)$, let G[A] denote the subgraph of G induced by A. For an edge $e \in E(\overline{G})$, G + e is the graph obtained from G by adding e. For a subset $S \subseteq V(G)$, G - S is the graph induced by $V(G) \setminus S$. For a positive integer k, let $[k] = \{1, 2, \ldots, k\}$.

Lemma 7 ([6]). For positive integers n, η , and $t \ge 2$, the graph $(t-1)F \cup \lfloor \frac{(n-6t+6)}{2} \rfloor K_2 \cup \eta K_1$ is tP_3 -saturated.

Lemma 8 ([1], Theorem 6.8). If G is a connected graph and H is a proper subgraph of G, then $\rho(H) < \rho(G)$.

Lemma 9 ([1], Theorem 6.3 (i)). If G is an n-vertex connected graph with $n \ge 2$, then $\rho(G) > 0$ and there is an eigenvector $\mathbf{x} > \mathbf{0}$ corresponding to the eigenvalue $\rho(G)$.

Lemma 10 ([3], Proposition 1.3.6). If $G = \bigcup_{i=1}^{s} G_i$, where G_i is a component of G, then the spectrum of G is the union of the spectrum of G_i (and multiplicities are added).

Lemma 11 ([6]). For an integer $t \ge 2$, let G be a tP_3 -saturated graph.

- (1) If $w \in V(G)$ with d(w) = 2, then w lies in a triangle.
- (2) No vertex is adjacent to two vertices of degree 1.
- (3) G is not a tree.

Lemma 12 ([5]). For an integer $t \ge 2$, let G be a tP_3 -saturated graph and $u \in V(G)$.

- (1) If d(u) = 1 and $v \in N(u)$ with d(v) = 3, then v lies in a triangle.
- (2) If d(u) = 2 and $v \in V(G)$ with d(v) = 2, then $|N(u) \cap N(v)| \leq 1$. Additionally, if there exists a vertex $w \in N(u) \cap N(v)$, then $d(w) \ge 5$. Furthermore, if $uv \in E(G)$, then d(w) = n 1.
- (3) If $N(u) = \{v, w\}$, then $d(v) \neq 3$ and $d(w) \neq 3$.

3 Proof of Theorem 4

Suppose that G is an n-vertex tP_3 -saturated graph whose components do not belong to \mathcal{H} . To prove Theorem 4, it suffices to show that G contains a component G_0 such that $\rho(G) = \rho(G_0)$ and for each vertex $v \in V(G_0)$, we have $\sum_{w \in N(v)} d(w) \ge 2d(v) + 1$. Since $(t-1)P_3$ is a subgraph of G, there exists a component G_0 in G containing P_3 as a subgraph. Let $|V(G_0)| = n_0$. Then $n_0 \ge 3$.

Proof of Theorem 4. First, note that equality in the bound holds when G = F.

Suppose $n_0 = 3$. Since $n \ge 3t \ge 6$, there is a vertex $x \in V(G) \setminus V(G_0)$. Let $y \in V(G_0)$. Note that G + xy contains a copy of tP_3 , say T. Since $n_0 = 3$, $G - V(G_0) - \{x\}$ contains a copy of $(t-1)P_3$ as a subgraph. Thus G contains a copy of tP_3 as a subgraph, which is a contradiction. If $4 \le n_0 \le 5$, then $G_0 = K_{n_0}$. Otherwise, for an edge $uv \in E(\overline{G_0})$, G + uv must contain a copy of tP_3 . Thus there is a copy of $(t-1)P_3$ in $G - V(G_0)$ since $n_0 \le 5$, which implies that G contains a copy of tP_3 , a contradiction.

Now, we may assume that $n_0 \ge 6$. For $u \in V(G_0)$, we will prove $\sum_{w \in N(u)} d(w) \ge 2d(u) + 1$ in the following three cases.

Case 1.
$$d(u) = 1$$
.

Let $N(u) = \{w\}$. By Lemma 11 (1), we have $d(w) \neq 2$, which implies $d(w) \ge 3$ since $n_0 \ge 6$. Then $\sum_{w \in N(u)} d(w) \ge 2d(u) + 1$.

Case 2. d(u) = 2.

Let $N(u) = \{u_1, u_2\}$. By Lemma 11 (1), we have $u_1 u_2 \in E(G_0)$. Since $n_0 \ge 6$, we have $d(u_1) \ge 3$ or $d(u_2) \ge 3$. Then $\sum_{w \in N(u)} d(w) = d(u_1) + d(u_2) \ge 5 = 2d(u) + 1$.

Case 3. $d(u) \ge 3$.

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By Lemma 11 (2), there is at most one vertex with degree 1 in N(u), say x (if it exists). If there exists a vertex $z \in N(u)$ with $d(z) \ge 4$, then

$$\sum_{w \in N(u)} d(w) \ge 2|N(u) \setminus \{x, z\}| + d(z) + d(x) \ge 2(d(u) - 2) + 5 = 2d(u) + 1$$

Now, we may assume that for any $w \in N(u)$, we have

$$d(w) \leqslant 3. \tag{2}$$

If $d(v) \ge 3$ for any vertex $v \in N(u) \setminus \{x\}$, then $\sum_{w \in N(u)} d(w) \ge 3|N(u) \setminus \{x\}| + d(x) \ge 3(d(u) - 1) + 1 \ge 2d(u) + 1$ since $d(u) \ge 3$. Thus we may assume that there is a vertex in N(u) with degree 2.

We claim that for any vertex $y \in V(G_0)$ with |N(y)| = 2, say $N(y) = \{u, y_1\}$, we have $d(y_1) = 2$. By Lemma 11 (1), y_1 lies in a triangle and $y_1 \in N(u)$. Then by Lemma 12 (3) and Inequality (2), we have $d(y_1) = 2$. Thus by Lemma 12 (2), we have $d(u) = n_0 - 1$. Since $G_0 \notin \mathcal{H}$, there exists a vertex $z \in N(u)$ with d(z) = 3 by Inequality (2). Thus we have $|N(z) \cap N(u)| = 2$. Let $N(z) \cap N(u) = \{z_1, z_2\}$. By Lemma 12 (3), we have $d(z_1) \ge 3$ and $d(z_2) \ge 3$. Thus

$$\sum_{w \in N(u)} d(w) \ge 2|N(u) \setminus \{z, z_1, z_2, x\}| + d(z) + d(z_1) + d(z_2) + d(x)$$
$$\ge 2(d(u) - 4) + 3 + 3 + 3 + 1$$
$$= 2d(u) + 1.$$

To have equality in the bound means that $\sum_{w \in N(u)} d(w) = 2d(u) + 1$, we have the following cases: In Case 1, we must have d(w) = 3, where $N(u) = \{w\}$. In Case 2, we must have $d(u_1) = 2$ and $d(u_2) = 3$ (or the other way). Then by Lemma 12 (2), we have $d(u_2) = n_0 - 1$, which follows that $n_0 = 4$, a contradiction. Thus there is no vertex of degree 2 in G_0 . In Case 3, since there is at most one vertex of degree 1 in N(u) and there is no vertex of degree 2 in G_0 , we have

$$2d(u) + 1 = \sum_{w \in N(u)} d(w) \ge 1 + 3(d(u) - 1) = 3d(u) - 2,$$

which yields d(u) = 3. Thus there is a vertex $w_1 \in N(u)$ of degree 1 and d(w) = 3 for any $w \in N(u) \setminus \{w_1\}$.

By Lemma 12 (1), u lies in a triangle. Let $N(u) = \{w_1, w_2, w_3\}$. Thus $w_2w_3 \in E(G_0)$ and $d(w_2) = d(w_3) = 3$. Let $N(w_2) = \{u, w_3, w'_2\}$ and $N(w_3) = \{u, w_2, w'_3\}$. By applying $u = w_i$ for any $i \in \{2, 3\}$, we have $d(w'_i) = 1$, which gives $G_0 = F$.

4 Proof of Theorem 3

To prove Theorem 3, we recall the result of Erdős, Hajnal, and Moon [9].

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Theorem 13. (Erdős et al. [9]) If $2 \leq r < n$, then $sat(n, K_{r+1}) = (r-1)(n-r+1) + \binom{r-1}{2}$. The only n-vertex K_{r+1} -saturated graph with $sat(n, K_{r+1})$ edges is the graph $S_{n,r}$.

Proof of Theorem 3: By Lemma 2, it suffices to show that for each vertex $v \in V(G)$,

$$\sum_{w \in N(v)} d(w) \ge 2d(v) + 3(n-3).$$
(3)

We consider two cases depending on the property of the closed neighborhood of a vertex. Case 1. For a vertex $v \in V(G)$, the graph G[N[v]] is K_5 -saturated.

Since G[N[v]] is K_5 -saturated, G[N(v)] is K_4 -saturated. Then we have $|E(G[N(v)])| \ge 2d(v) - 3$ by Theorem 13. Note that for each $x \notin N[v]$, G + vx contains a copy of K_5 since G is K_5 -saturated. Thus we may assume that $|N(x) \cap N(v)| \ge 3$, which implies that

$$\sum_{w \in N(v)} d(w) \ge d(v) + 2|E(G[N(v)])| + 3(n - d(v) - 1)$$
(4)

$$\geq d(v) + 2(2d(v) - 3) + 3(n - d(v) - 1)$$
(5)

$$= 2d(v) + 3(n-3).$$
(6)

Equalities in (3) and (5) hold when |E(G[N(v)])| = 2d(v) - 3 and $|N(x) \cap N(v)| = 3$ for any $x \in V(G) \setminus N[v]$. Equality in (4) holds when G[N(v)] is isomorphic to $S_{d(v),3}$ by Theorem 13. Note that all triangles of $S_{d(v),3}$ have two common vertices, say y and z. Thus, for any vertex $x \in V(G) \setminus N[v]$, we have $\{y, z\} \subseteq N(x)$, which follows that d(y) = d(z) = n - 1 and G[N[y]] = G is K_5 -saturated. Thus G[N(y)] is isomorphic to $S_{n-1,3}$, which implies that G is isomorphic to $S_{n,4}$.

Case 2. The graph induced by the closed neighborhood N[v] is not K_5 -saturated.

We may assume that G contains at most one vertex of degree n-1. Otherwise, there are two vertices u and w with d(u) = d(w) = n-1. Then $v \notin \{u, w\}$ and $\{u, w\} \subseteq N(v)$ since G[N[u]](= G[N[w]] = G) is K_5 -saturated. Note that $G[N(v)] = S_{d(v),3}$ since G is K_5 -free. Thus $G = G[N[v]] = S_{d(v)+1,4}$, which contradicts that G[N[v]] is not K_5 -saturated.

Claim 14. $\delta(G) \ge 5$.

Proof. If there exists a vertex w with d(w) = 3, then every vertex in V(G) - N[w] is adjacent to the three neighbors of w and $G[N(w)] = K_3$ since G is K_5 -saturated. Thus each vertex in N(w) has degree n - 1, which contradicts that G contains at most one vertex of degree n - 1.

If there exists a vertex w with d(w) = 4, then there are two non-adjacent vertices $u, u' \in N(w)$ and G[N(w)] contains a copy of K_3 as a subgraph since G is K_5 -saturated. Also note that the copy of K_3 contains the two vertices $x, y \in N(w) \setminus \{u, u'\}$ since $uu' \notin E(G)$. Thus every vertex in V(G) - N[w] must be adjacent to the vertices x and y. If $\{u, u'\} \subseteq N(x) \cap N(y)$, then d(x) = d(y) = n - 1, a contradiction. Thus $\{u, u'\} \not\subseteq N(x) \cap N(y)$. We may assume that there is exactly one copy of K_3 in G[N(w)]and it contains u. Then u is adjacent to all the vertices in V(G) - N[w], which implies that V(G) - N[w] is an independent set. Since $\{u, u'\} \not\subseteq N(x) \cap N(y)$ and G is K_5 -saturated, G[N(w)] does not contain a copy of K_4 as a subgraph and by adding the edge between uand u', we must have a copy of K_5 , which implies that V(G) - N[w] is not independent, a contradiction.

Now, we partition the vertex set V(G) - v into the following three sets:

 $N_1 = \{x \in N(v) : x \text{ lies in a triangle in } G[N(v)]\}, N_2 = N(v) - N_1, \text{ and } N_3 = V(G) - N[v].$ Note that $N(v) = N_1 \cup N_2$ and $N_3 \neq \emptyset$. For any $u \in N_1$, we have $|N(u) \cap N_1| \ge 2$ by the definition of N_1 . Suppose that R'(u) is a subset of $N(u) \cap N_1$ with |R'(u)| = 2 and $R(u) = R'(u) \cup \{v\}$. Then $R(u) \subseteq N(u)$ and |R(u)| = 3 for any $u \in N_1$. For any vertex $w \in N_3, G + wv$ contains a copy of K_5 since G is K_5 -saturated. We may assume that the vertices in this K_5 are x_1, x_2, x_3, w, v . Thus $\{x_1, x_2, x_3\} \subseteq N_1$ and $G[\{x_1, x_2, x_3, w\}]$ is isomorphic to K_4 . For each $w \in N_3$, we choose exactly three such edges $x_i w$ for $i \in \{1, 2, 3\}$ and put them in M. Then $M \subseteq E(G[N_1, N_3])$ and $|M| = 3|N_3|$. For $u \in N_1$, let $M(u) = \{u' \in N(u) \cap N_3 : u'u \in M\}$ and S(u) = N(u) - R(u) - M(u). Thus $\sum_{u \in N_1} |M(u)| = |M| = 3|N_3|$. Since $\delta(G) \ge 5$, we have

$$\sum_{u \in N_2} d(u) \geqslant \sum_{u \in N_2} 5 = 5|N_2|$$

Note that $|N_1| + |N_2| + |N_3| = n - 1$. Now we have

$$\sum_{u \in N(v)} d(u) = \sum_{u \in N_1} (|R(u)| + |M(u)| + |S(u)|) + \sum_{u \in N_2} d(u)$$

$$\geqslant \sum_{u \in N_1} |R(u)| + \sum_{u \in N_1} |M(u)| + \sum_{u \in N_1} |S(u)| + 5|N_2|$$

$$= 3|N_1| + 3|N_3| + \sum_{u \in N_1} |S(u)| + 5|N_2|$$

$$= 3(|N_1| + |N_2| + |N_3|) + 2|N_2| + \sum_{u \in N_1} |S(u)|$$

$$= 3n - 3 + 2|N_2| + \sum_{u \in N_1} |S(u)|.$$
(7)

One of our goal is to prove that

$$\sum_{u \in N_1} |S(u)| \ge 2|N_1| - 6.$$
(8)

Then Inequalities (7) and (8) yield

$$\sum_{u \in N(v)} d(u) \ge 3n - 3 + 2|N_2| + 2|N_1| - 6 = 2d(v) + 3(n - 3), \tag{9}$$

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as we desired. Another goal is to characterize the structure of the graph when equality in Inequality (9) holds.

Furthermore, we partition the vertex set N_1 into the three subsets A, B_1 and B_0 , where

$$\begin{split} &A = \{x \in N_1 : |S(x)| \ge 2\}, \ B_1 = \{x \in N_1 : |S(x)| = 1\}, \text{ and } B_0 = \{x \in N_1 : |S(x)| = 0\}.\\ &\text{Then we have } \sum_{u \in N_1} |S(u)| \ge 2|A| + |B_1| = 2|N_1| - |B_1| - 2|B_0|. \text{ If } |B_1| + 2|B_0| \le 5,\\ &\text{then } \sum_{u \in N_1} |S(u)| < 2|N_1| - 6, \text{ as we desired. Thus we may assume that } |B_1| + 2|B_0| \ge 6.\\ &\text{If } |B_0| = 2 \text{ and } |B_1| = 2, \text{ or } |B_0| = 3 \text{ and } |B_1| = 0, \text{ then } |B_1| + 2|B_0| = 6 \text{ and so}\\ &\sum_{u \in N_1} |S(u)| = 2|N_1| - 6. \text{ We will complete the proof of Inequality (8) by demonstrating}\\ &\text{that } \sum_{u \in N_1} |S(u)| < 2|N_1| - 6 \text{ in the following three cases.}\\ &Case \ 2.1. \ |B_0| \ge 4 \ and \ |B_1| = 0. \end{split}$$

For any vertex $x \in B_0$, we have $|N(x) \cap N_1| = 2$ by the definition of S(x). Since $|B_0| \ge 4$, there are two nonadjacent vertices $x_1, x_2 \in B_0$. Then $G + x_1x_2$ contains a copy of K_5 . Assume to the contrary that the copy contains a vertex y in N_3 . Then x_1 and x_2 are adjacent to y. By the definition of M, we have $x_i y \notin M$ for some $i \in \{1, 2\}$, which means that $|S(x_i)| \neq 0$, a contradiction. Thus we have $V(K_5) \subseteq N[v]$. If the copy of K_5 does not contain v, then there is a copy of K_4 in G[N(v)], which implies that there is a copy of K_5 in G[N[v]], a contradiction. Thus the copy contains v and the other two vertices in N(v), say a and b. Then $\{x_1, x_2\} \subseteq N(a) \cap N(b)$ and $ab \in E(G)$, as shown in Figure 3. We can see $|N(a) \cap N_1| \ge |\{b, x_1, x_2\}| = 3$ and $|N(b) \cap N_1| \ge |\{a, x_1, x_2\}| = 3$, which means that $\{a, b\} \cap B_0 = \emptyset$. Since $|B_0| \ge 4$ and $|N(x_i) \cap N_1| = 2$ for any $x_i \in B_0 \setminus \{x_1\}$, $x_1x_i \notin E(G)$. Similarly, $G + x_1x_i$ contains a copy of K_5 with all five vertices belonging to N[v] as a subgraph. Since $\{x_1, x_i\} \subseteq B_0$, we have $N(x_i) \cap N_1 = \{a, b\}$, as shown in Figure 3. Thus we have $B_0 \subseteq N(a) \cap N(b)$. Now we have

$$S(a) \ge |N(a) \cap N(v)| - 2 \ge |B_0| + 1 - 2 = |B_0| - 1$$

and

$$S(b) \ge |N(b) \cap N(v)| - 2 \ge |B_0| + 1 - 2 = |B_0| - 1.$$

Thus we have

$$\sum_{u \in N_1} |S(u)| = \sum_{u \in A} |S(u)| + \sum_{u \in B_1} |S(u)| + \sum_{u \in B_0} |S(u)|$$

=
$$\sum_{u \in A} |S(u)|$$

$$\geqslant |S(a)| + |S(b)| + 2(|A| - 2)$$

$$\geqslant 2(|B_0| - 1) + 2(|A| - 2)$$

$$\geqslant 2|N_1| - 6,$$

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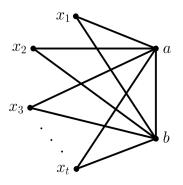


Figure 3: The book graph

saying that Inequality (8) holds. If equality in Inequality (8) holds, then we have

$$N(a) \cap N(v) = B_0 \cup \{b\}, N(b) \cap N(v) = B_0 \cup \{a\}, |S(u)| = 2 \text{ for any } u \in A \setminus \{a, b\},$$

and $d(u) = 5$ for any $u \in N_2$.

In fact, we have $N(v) \neq B_0 \cup \{a, b\}$, all triangles in G[N(v)] have two common vertices a, b, and for any vertex $w \in V(G) \setminus N[v]$, we have $w \in N(a) \cap N(b)$. Those yield that d(a) = d(b) = n - 1, which contradicts the fact that there is at most one vertex with degree n - 1. Thus there is a vertex $w \in N(v) \setminus B_0$ such that $wx_i \notin E(G)$ for any $x_i \in B_0$. Then $G + wx_i$ contains a copy of K_5 as a subgraph. If the copy contains at least three vertices in N(v), say x_i, w, c , then we have $c \in \{a, b\}$ since $N(x_i) \cap N(v) = \{a, b\}$. Thus we have $w \in N(a) \cup N(b)$, which contradicts $N(a) \cup N(b) = B_0 \cup \{a, b\}$. If the copy contains at most two vertices in N(v), say x_i, w , then it contains three vertices in N_3 , say y_1^i, y_2^i, y_3^i . Then we have $\{y_1^i, y_2^i, y_3^i\} \subseteq N(x_i), G[\{y_1^i, y_2^i, y_3^i\}]$ is isomorphic to K_3 , and $y_j^i x_i \in M$ for any $j \in \{1, 2, 3\}$ since $x_i \in B_0$. By the definition of M, we have $\{a, b\} \subseteq N(y_1^i) \cap N(y_2^i) \cap N(y_3^i)$ since there is exactly one triangle containing $x_i, x_i ab$. Thus $G[\{a, b, y_1^1, y_2^1, y_3^1\}]$ is isomorphic to K_5 , a contradiction. Thus equality in Inequality (8) does not hold.

Case 2.2. $|B_0| = 0$ and $|B_1| \ge 6$.

By the definition of B_1 , for any $u \in B_1$, we have $|N(u) \cap N_1| \leq 3$. Since $|B_1| \ge 6$, for any $x_1 \in B_1$, there is $x_i \in B_1$ with $i \ne 1$ such that $x_1 x_i \notin E(G)$.

Claim 15. For any $x_1, x_2 \in B_1$ with $x_1x_2 \notin E(G)$, there are two triangles $x_1f_1g_1$ and $x_2f_2g_2$ such that $|\{f_1, g_1\} \cap \{f_2, g_2\}| \ge 1$ and $f_1, g_1, f_2, g_2 \in N_1$. Furthermore, if for any two triangles $x_1f_1g_1$ and $x_2f_2g_2$, we have $|\{f_1, g_1\} \cap \{f_2, g_2\}| \le 1$, then we have $|N(x_i) \cap N(v)| = 2$ for any $i \in \{1, 2\}$.

Proof. Since G is K_5 -saturated, $G + x_1x_2$ contains a copy of K_5 as a subgraph. If the copy contains at least four vertices in N(v), say $\{x_1, x_2, x'_1, x'_2\}$, then $G[\{x_i, x'_1, x'_2\}]$ is isomorphic to a triangle for each $i \in \{1, 2\}$, and the two triangles have two common vertices. If the copy contains at most three vertices in N(v), then there are at least two

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vertices in N_3 , say y_1 and y_2 . For any vertex y in the copy, if $y \in N_3$, then we have $y \in N(x_1) \cap N(x_2)$. Since $x_1x_2 \notin E(G)$, we have $yx_i \notin M$ for some $i \in [2]$, and there is at most one vertex y such that $yx_i \notin M$ since $|S(x_i)| = 1$. Thus the copy contains at most two vertices in N_3 , that is, y_1 and y_2 , and three vertices in N(v), say x_1, x_2, w . Then $y_1x_j \notin M$ for some $j \in \{1, 2\}$ and $y_2x_i \notin M$ for some $i \in \{1, 2\}$ by the definition of M. Since $x_1, x_2 \in B_1$, we have $i \neq j$. We may assume that $x_ty_t \notin M$ for any $t \in \{1, 2\}$. Thus $|N(x_t) \cap N(v)| = 2$ for any $t \in \{1, 2\}$. There are two triangles containing $\{x_1, w\}$ and $\{x_2, w\}$, respectively, as claimed.

If there is a vertex w in N_1 with $B_1 \subseteq N(w)$, then we have $|S(w)| \ge |N(w) \cap N_1| - 2 \ge |B_1| - 2$. Thus we have

$$\sum_{u \in N_1} |S(u)| = \sum_{u \in A} |S(u)| + \sum_{u \in B_1} |S(u)| + \sum_{u \in B_0} |S(u)|$$

=
$$\sum_{u \in A} |S(u)| + |B_1|$$

$$\geqslant |S(w)| + 2(|A| - 1) + |B_1|$$

$$\geqslant |B_1| - 2 + 2|A| - 2 + |B_1|$$

$$= 2|N_1| - 4$$

$$> 2|N_1| - 6,$$

saying that Inequality (8) holds.

In the remaining case, we may assume that there is no vertex w with $B_1 \subseteq N(w)$. For any $x_1 \in B_1$, we have $2 \leq |N(x_1) \cap N(v)| \leq 3$. Since G is K_5 -free, G[N(v)] is K_4 -free and so there are at most two triangles in G[N(v)] containing x_1 . If there are two triangles containing x_1 , then we have $|N(x_1) \cap N(v)| = 3$. By letting $N(x_1) \cap N(v) = \{y_1, y_2, y_3\}$, we have $|E(G[\{y_1, y_2, y_3\}])| = 2$. We may assume that $y_1y_2 \in E(G)$ and $y_1y_3 \in E(G)$. For any vertex $x_2 \in B_1$ with $x_1 x_2 \notin E(G)$, Claim 15 guarantees that there is a triangle containing x_2 and one or two vertices of $\{y_1, y_2, y_3\}$. If the triangle contains two vertices in $\{y_1, y_2, y_3\}$, then the two vertices must be $\{y_1, y_2\}$ or $\{y_1, y_3\}$. Note that there is no vertex $w \in N_1$ with $B_1 \subseteq N(w)$. We claim that there exists a vertex x_3 such that there is no triangle containing x_3 and two vertices of $\{y_1, y_2, y_3\}$. Assume to the contrary that for any vertex $x \in B_1 \setminus N(x_1)$, there is a triangle containing x such that the triangle contains $\{y_1, y_2\}$ or $\{y_1, y_3\}$. Then the vertex y_1 is the vertex in N_1 such that $B_1 \subseteq N(y_1)$, a contradiction. By Claim 15, we have $|N(x_1) \cap N(v)| = 2$, which contradicts $|N(x_1) \cap N(v)| = 3$. Thus, for any $x_1 \in B_1$, there is exactly one triangle containing x_1 , say $\{x_1, u, w\}$. Since there is no vertex $z \in N_1$ such that $B_1 \subseteq N(z)$, there are two vertices x_2 and x_3 in B_1 such that there is no triangle containing x_j having two common vertices in $\{x_1, u, w\}$ for any $j \in \{2,3\}$. By Claim 15, we have $|N(x_2) \cap N(v)| = |N(x_3) \cap N(v)| = 2$ and there are two triangles containing $\{x_2, u\}$ and $\{x_3, w\}$, respectively, and the first triangle does not contain w and the second does not contain u. Assume that the third vertex in the triangle containing x_j is x'_j for any $j \in \{2,3\}$. Then we have $x'_2 u \in E(G)$, which implies that $x'_2 \neq x_3$ and $x_2x_3 \notin E(G)$. Since for any vertex $b \in B_1$, there is exactly one triangle containing b, by Claim 15, we have $x'_2 = x'_3$. For any vertex $b \in B_1$, we assert that $b \in N(u) \cup N(w) \cup N(x'_2)$. Since $|N(x_2) \cap N(v)| = 2$, we have $bx_2 \notin E(G)$ for any $b \in B_1 \setminus \{x_1, x_2, x_3\}$. By Claim 15, there is a triangle containing b and at least one vertex in $\{u, x'_2\}$, as asserted. Thus we have

$$|S(u)| + |S(w)| + |S(x'_2)| \ge |B_1 \setminus \{x_1, x_2, x_3\}| + 4 * 3 - 2 * 3 = |B_1| + 3,$$

which gives

$$\sum_{z \in N_1} |S(z)| = \sum_{z \in A} |S(z)| + \sum_{z \in B_1} |S(z)| + \sum_{z \in B_0} |S(z)|$$

=
$$\sum_{z \in A} |S(z)| + |B_1|$$

$$\geqslant |S(u)| + |S(w)| + |S(x'_2)| + 2(|A| - 3) + |B_1|$$

$$\geqslant |B_1| + 3 + 2|A| - 6 + |B_1|$$

$$= 2|N_1| - 3$$

$$> 2|N_1| - 6,$$

saying Inequality (8) holds.

Case 2.3. $|B_0| \ge 1$, $|B_1| \ge 1$, and $2|B_0| + |B_1| \ge 7$, or $|B_0| = 1$ and $|B_1| = 4$.

Note that for any $x \in B_0$, we have $|N(x) \cap N(v)| = 2$ and there is exactly one triangle containing x; for any $y \in B_1$, we have $|N(y) \cap N(v)| \leq 3$. We assert that there are two vertices $x_1 \in B_0$ and $y_1 \in B_1$ such that $x_1y_1 \notin E(G)$. Assume to the contrary that $G[B_0, B_1]$ is a complete bipartite graph. Then we have $|B_1| \leq 2$, $|B_0| \leq 3$, and $2|B_0| + |B_1| \geq 7$. Since $|N(x) \cap N(v)| = 2$ and there is exactly one triangle containing x for any $x \in B_0$, we have $|B_0| = |N(y_1) \cap B_0| \leq 2$. Thus $2|B_0| + |B_1| \leq 6$, a contradiction. Thus $x_1y_1 \notin E(G)$. Suppose that $N(x_1) \cap N(v) = \{u, w\}$. Then $G[\{x_1, u, w\}]$ is isomorphic to a triangle.

Claim 16. For any $y \in B_0 \cup B_1$ with $x_1y \notin E(G)$, we have $y \in N(u) \cap N(w)$.

Proof. $G + x_1 y$ contains a copy of K_5 as a subgraph. If the copy contains at least four vertices in N(v), then the four vertices must be $\{x_1, y, u, w\}$ and $y \in N(u) \cap N(w)$, as claimed. If the copy contains at most three vertices in N(v), then the other two vertices must be in N_3 , say z_1 and z_2 . Then we have $\{x_1, y\} \subseteq N(z_1) \cap N(z_2)$. Since $x_1 y \notin E(G)$, we have $z_i x_1 \notin M$ or $z_i y \notin M$ for any $i \in \{1, 2\}$ by the definition of M. Then we have $x_1 z_i \in M$ and $z_i y \notin M$ for any $i \in \{1, 2\}$ and $|S(y)| \ge 2$ since $x_1 \in B_0$, which contradicts $y \in B_0 \cup B_1$.

Since $x_1y_1 \notin E(G)$, by Claim 16, we have $y_1 \in N(u) \cap N(w)$. Thus there is another vertex $y_2 \in B_0 \cup B_1$ such that $y_2x_1 \notin E(G)$, else $B_1 \subseteq \{y_1, u, w\}$, $B_0 = \{x_1\}$, and $2|B_0| + |B_1| \leq 5$, a contradiction. By Claim 16, we have $y_2 \in N(u) \cap N(w)$ and so $\{u, w\} \subseteq A$. For any vertex $b \in (B_0 \cup B_1) \setminus \{x_1\}$, we have $bx_1 \notin E(G)$ and so $b \in N(u) \cap N(w)$ by Claim 16. Thus we have

$$|S(u)| \ge |B_0| + |B_1| + 1 - 2 = |B_0| + |B_1| - 1$$

and

$$|S(w)| \ge |B_0| + |B_1| + 1 - 2 = |B_0| + |B_1| - 1$$

Observe that

$$\begin{split} \sum_{z \in N_1} |S(z)| &= \sum_{z \in A} |S(z)| + \sum_{z \in B_1} |S(z)| + \sum_{z \in B_0} |S(z)| \\ &= \sum_{z \in A} |S(z)| + |B_1| \\ &\geqslant |S(u)| + |S(w)| + 2(|A| - 2) + |B_1| \\ &\geqslant 2|B_0| + 2|B_1| - 2 + 2|A| - 4 + |B_1| \\ &= 2|N_1| - 6 + |B_1| \\ &> 2|N_1| - 6, \end{split}$$

saying Inequality (8) holds.

Now we characterize the structure of the graph when equality in Inequality (9) holds in Case 2. In fact, equality holds only when $|B_1| + 2|B_0| = 6$, $|B_0| \ge 2$, |S(x)| = 2for any $x \in A$, and d(u) = 5 for any $u \in N_2$. If there are two nonadjacent vertices x_1, x_2 in B_0 then $G + x_1 x_2$ contains a copy of K_5 , and the copy does not contain any vertex in N_3 . Also there is a vertex $y \in N_3$ such that $y \in N(x_1) \cap N(x_2)$. Then we have $yx_i \notin M$ for some $i \in \{1, 2\}$ since $x_1x_2 \notin E(G)$, which contradicts $x_1, x_2 \in B_0$. Thus the copy must contain four vertices in N(v), say x_1, x_2, z_1, z_2 such that $z_1 z_2 \in E(G)$ and $\{x_1, x_2\} \subseteq N(z_1) \cap N(z_2)$. Assume to the contrary that $N_2 \neq \emptyset$. Then there is a vertex $w \in N_2$. Since there is no triangle in G[N(v)] containing w, the copy of K_5 in the graph $G + x_i w$ must contain at least two vertices in N_3 , say y_1^i, y_2^i . Then $y_1^i y_2^i \in E(G)$. Since $x_i \in B_0$, we have $y_1^i x_i \in M$ and $y_2^i x_i \in M$. Note that there is exactly one triangle containing x_i . We have $\{y_1^i, y_2^i\} \subseteq N(z_1) \cap N(z_2)$ and $G[\{x_i, y_1^i, y_2^i, z_1, z_2\}]$ is isomorphic to K_5 , a contradiction. Now assume that $N_2 = \emptyset$. Then $G[N_1]$ is not isomorphic to a book with the two spine vertices z_1, z_2 . Otherwise, by the definition of M, we have $d(z_1) = d(z_2) = n - 1$, a contradiction. Since $|S(z_i)| \leq 2$ for any $i \in [2]$ and $G[N_1]$ is not isomorphic to a book with the two spine vertices z_1, z_2 , there is a vertex $w \in N_1$ such that $wz_i \notin E(G)$ for some $i \in \{1, 2\}$. We may assume that $wz_1 \notin E(G)$. Since $wz_1 \notin E(G)$ and $N(x_i) \cap N_1 = \{z_1, z_2\}$, for any $i \in [2], G + wx_i$ contains a copy of K_5 , and the copy contains at most three vertices in N_1 and at least two vertices in N_3 , saying y_1^i, y_2^i . Since $x_i \in B_0$, we have $y_1^i x_i \in M$ and $y_2^i x_i \in M$. Also we have $\{y_1^1, y_2^1\} \cap \{y_1^2, y_2^2\} = \emptyset$ since $x_1x_2 \notin E(G)$. Thus we have $|N(w) \cap N_3| \ge 4$ and $|S(w)| \ge 4$, a contradiction.

Now we may assume that $G[B_0]$ is a complete graph. Assume that $G[B_0]$ is a triangle with three vertices x_1, x_2, x_3 . For any vertex $w \in N(v) \setminus B_0$ (such a vertex exists since $\delta(G) \ge 5$), we have $wx_i \notin E(G)$ for any $i \in \{1, 2, 3\}$. Since $|N(x_i) \cap N(v)| = 2$, the $G+wx_i$

contains at least three vertices in N_3 , say y_1^i, y_2^i, y_3^i . Thus $G[\{y_1^i, y_2^i, y_3^i\}]$ is a triangle. Since there is exactly one triangle containing x_1 , we have $\{y_1^1, y_2^1, y_3^1\} \subseteq N(x_2) \cap N(x_3)$, which yields that $G[\{y_1^1, y_2^1, y_3^1, x_1, x_2\}]$ is isomorphic to K_5 , a contradiction. Now assume that B_0 has exactly two vertices x_1, x_2 . Suppose that the triangle containing x_1, x_2 is x_1x_2u . Since $\delta(G) \ge 5$, there is a vertex $w \in N(v)$ such that $wx_i \notin E(G)$ for any $i \in \{1, 2\}$. Note that a copy of K_5 in $G + wx_i$ must contain at most three vertices in N(v) and at least two vertices in N_3 , say y_1^i, y_2^i , since $|N(x_i) \cap N(v)| = 2$. Thus we have $y_1^i x_i \in M$, $y_2^i x_i \in M$, and $y_1^i y_2^i \in E(G)$. Since there is exactly one triangle containing x_i , we have $\{y_1^1, y_2^1\} \subseteq N(x_1) \cap N(x_2) \cap N(u)$ by the definition of M. Thus $G[\{x_1, x_2, u, y_1^1, y_2^1\}]$ is isomorphic to K_5 , a contradiction. This completes the proof of Theorem 3.

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