# **Grid Minors and Products**

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#### Abstract

Motivated by recent developments regarding the product structure of planar graphs, we study relationships between treewidth, grid minors, and graph products. We show that the Cartesian product of any two connected n-vertex graphs contains an  $\Omega(\sqrt{n}) \times \Omega(\sqrt{n})$  grid minor. This result is tight: The lexicographic product (which includes the Cartesian product as a subgraph) of a star and any n-vertex tree has no  $\omega(\sqrt{n}) \times \omega(\sqrt{n})$  grid minor.

Mathematics Subject Classifications: 05C83, 05C76

## 1 Introduction

Treewidth<sup>1</sup> is a ubiquitous parameter in structural graph theory measuring how close a graph is to a tree. It was first introduced as *dimension* by Bertelè and Brioschi [2, pp. 37–38] in 1972, then rediscovered by Halin [35] in 1976. The parameter was popularized when it was once again rediscovered by Robertson and Seymour [48] in 1984 and has since been at the forefront of structural graph theory research. Graphs of bounded treewidth are of particular interest due to the wide implications of their tree-like structure. For example, Courcelle's Theorem [9] implies that many NP-Complete problems can be solved in linear time on graphs of bounded treewidth.

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<sup>&</sup>lt;sup>1</sup>A tree-decomposition of a graph G is a collection  $(B_x : x \in V(T))$  of subsets of V(G) (called bags) indexed by the vertices of a tree T, such that (a) for every edge  $uv \in E(G)$ , some bag  $B_x$  contains both u and v, and (b) for every vertex  $v \in V(G)$ , the set  $\{x \in V(T) : v \in B_x\}$  induces a non-empty (connected) subtree of T. The width of  $(B_x : x \in V(T))$  is  $\max\{|B_x| : x \in V(T)\} - 1$ . The treewidth of a graph G, denoted by  $\operatorname{tw}(G)$ , is the minimum width of a tree-decomposition of G.

Another key way to study the structure of graphs is through analysis of their minors.<sup>2</sup> Finding a highly structured minor of a graph G allows us to use properties of the minor to study G itself. Our focus is on grid minors. Let  $\bigoplus_k$  be the  $k \times k$  grid, which is the graph with vertex-set  $\{1, \ldots, k\}^2$  and edge-set  $\{(x, y)(x', y') : |x - x'| + |y - y'| = 1, x, y, x', y' \in \{1, \ldots, k\}\}$ . For a graph G, let gm(G) be the maximum integer K such that m is a minor of G. It is folklore that m tw(m) = m; see [36] for a proof. This, combined with the fact that treewidth is minor-monotone, implies that for every graph G,

$$tw(G) \geqslant gm(G). \tag{1}$$

A foundational result in this area is the *Grid Minor Theorem* (also known as the *Excluded Grid Theorem*) of Robertson and Seymour [46], which says there exists a function f such that for every positive integer k, f(k) is the minimum integer such that every graph with treewidth at least f(k) contains a  $k \times k$  grid minor. Thus grid graphs are canonical examples of graphs with large treewidth.

This result had widespread impact on structural graph theory research and led to further investigation into the best possible bounds for the function f. Robertson and Seymour [46] proved the existence of f(k), which they, along with Thomas, later showed to be in  $2^{O(k^5)}$  [47]. Diestel, Jensen, Gorbunov, and Thomassen [15] showed that if G has treewidth  $\Omega(k^{4m^2(k+2)})$  where k and m are integers, then G contains either  $K_m$  or the  $k \times k$  grid as a minor. Leaf and Seymour [44] improved the upper bound to  $f \in 2^{O(k \log k)}$ . The first polynomial upper bound, stating that  $f \in O(k^{98} \log k)$ , was found by Chekuri and Chuzhoy [7]. Chuzhoy continued to work towards lowering this exponent, with the current state-of-the-art result by Chuzhoy and Tan [8] showing that  $f \in O(k^9 \log^{O(1)} k)$ . A lower bound of  $f \in \Omega(k^2 \log k)$  was shown by Robertson et al. [47], and Demaine, Hajiaghayi, and Kawarabayashi [13] conjectured  $f \in O(k^3)$ .

For particular classes of graphs, much stronger Grid Minor Theorems are known. Say a class  $\mathcal{G}$  has the *linear grid minor property* if, for some constant c, every graph in  $\mathcal{G}$  with treewidth at least ck contains  $\boxplus_k$  as a minor. For example, Robertson et al. [47] showed that every planar graph with treewidth at least 6k contains  $\boxplus_k$  as a minor. Thus the class of planar graphs has the linear grid minor property. More generally, Demaine and Hajiaghayi [12] proved that every proper minor-closed class has the linear grid minor property. The proof used the Graph Minor Structure Theorem, which in turn depends on the Grid Minor Theorem. Kawarabayashi and Kobayashi [41] gave an alternative self-contained proof. In particular, they showed that for any graph H there exists  $c \leq |V(H)|^{O(|E(H)|)}$  such that every H-minor-free graph with treewidth at least ck contains  $\boxplus_k$  as minor. The linear grid minor property has been used to devise efficient polynomial time approximation schemes for many NP-hard problems on planar graphs and related graph families [10, 11, 13, 28, 34]. Note that the  $\Omega(k^2 \log k)$  lower bound mentioned above shows that general graphs do not have the linear grid minor property.

<sup>&</sup>lt;sup>2</sup>A graph  $G_1$  is a *minor* of a graph  $G_2$  if a graph isomorphic to  $G_1$  can be obtained from a subgraph of  $G_2$  through a sequence of edge contractions.

In this paper, we study grid minors in graph products<sup>3</sup>. This is motivated both by the fact that the grid graph itself is isomorphic to the Cartesian product of two paths, and also by recent developments in Graph Product Structure Theory. This area of research studies complex graph classes by modelling them as a product of simpler graphs and investigating the properties of these highly structured supergraphs. Before discussing Graph Product Structure Theory further, we first define the three types of graph products that we consider, each illustrated in Figure 1. Let  $G_1$  and  $G_2$  be graphs. The Cartesian product of  $G_1$  and  $G_2$ , denoted  $G_1 \square G_2$ , is the graph with vertex set  $V(G_1) \times V(G_2)$  where two distinct vertices  $(u_1, u_2)$  and  $(v_1, v_2)$  are adjacent if and only if:

- $u_1 = v_1$  and  $u_2 v_2 \in E(G_2)$ , or
- $u_2 = v_2$  and  $u_1 v_1 \in E(G_1)$ .

The *strong product* of  $G_1$  and  $G_2$ , denoted  $G_1 \boxtimes G_2$ , is the graph with vertex set  $V(G_1) \times V(G_2)$  where two distinct vertices  $(u_1, u_2)$  and  $(v_1, v_2)$  are adjacent if and only if:

- $u_1 = v_1$  and  $u_2 v_2 \in E(G_2)$ ,
- $u_2 = v_2$  and  $u_1 v_1 \in E(G_1)$ , or
- $u_1v_1 \in E(G_1)$  and  $u_2v_2 \in E(G_2)$ .

The *lexicographic product* of  $G_1$  and  $G_2$ , denoted  $G_1 \cdot G_2$ , is the graph with vertex set  $V(G_1) \times V(G_2)$  where two distinct vertices  $(u_1, u_2)$  and  $(v_1, v_2)$  are adjacent if and only if:

- $u_1v_1 \in E(G_1)$ , or
- $u_1 = v_1$  and  $u_2 v_2 \in E(G_2)$ .

It follows from the above definitions that

$$G_1 \square G_2 \subseteq G_1 \boxtimes G_2 \subseteq G_1 \cdot G_2$$
.

The starting point for recent developments in Graph Product Structure Theory is the Planar Graph Product Structure Theorem of Dujmović, Joret, Micek, Morin, Ueckerdt, and Wood [23], which states that every planar graph G is isomorphic to a subgraph of the strong product of two very simple graphs, a graph H of treewidth<sup>4</sup> at most 8 and a path P, written as  $G \subseteq H \boxtimes P$ . Although  $H \boxtimes P$  is a supergraph of the original graph G, it often shares or inherits properties of G, and its rigid structure makes it easier to work with. For example, planar graphs with diameter k have treewidth O(k), and similarly any subgraph of  $H \boxtimes P$  of diameter k has treewidth O(k). For a more global example, any n-vertex subgraph of  $H \boxtimes P$  has a balanced separator of size  $O(\sqrt{n})$  (see [23, Lemma 6] and [25, Lemma 10]). The proofs of both of these facts are considerably simpler than the same result for planar graphs.

Product structure theorems have also been developed for other classes of graphs such as surface embeddable graphs [16, 23], graphs excluding an apex minor [22, 23, 39], graphs excluding any fixed minor [21, 23], and various non-minor-closed classes [1, 17, 26, 38]. These product structure theorems have been key in resolving many long-standing open problems on queue layouts [23], non-repetitive colourings [20], centred colourings [18, 22],

<sup>&</sup>lt;sup>3</sup>See [6, 30, 31, 37, 42, 52] for related work on the treewidth of graph products, and see [5, 51] for related work on complete graph minors in graph products.

<sup>&</sup>lt;sup>4</sup>This treewidth bound was improved to 6 by Ueckerdt, Wood, and Yi [50].

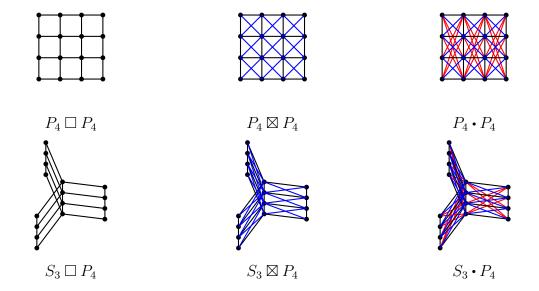


Figure 1: The products of two paths and of a star and a path.

adjacency labelling [19, 32], twin-width [3, 40, 43], vertex ranking [4], and box dimension [27]. This wide range of applications motivates the need for a deeper understanding of structural properties of graph products.

#### 1.1 Our Results

It is known<sup>5</sup> that for all *n*-vertex connected graphs  $G_1$  and  $G_2$ ,

$$tw(G_1 \square G_2) \geqslant n. \tag{2}$$

It thus makes sense for a grid minor theorem for graph products to be in terms of n. We show that this is in fact the case by proving the following results:

1. For any two *n*-vertex connected graphs  $G_1$  and  $G_2$ ,

$$\operatorname{gm}(G_1 \cdot G_2) \geqslant \operatorname{gm}(G_1 \boxtimes G_2) \geqslant \operatorname{gm}(G_1 \square G_2) \in \Omega(\sqrt{n})$$
 (see Theorem 7).

2. There exists two n-vertex connected graphs  $G_1$  and  $G_2$  (a star and any tree) such that

$$\operatorname{gm}(G_1 \square G_2) \leqslant \operatorname{gm}(G_1 \boxtimes G_2) \leqslant \operatorname{gm}(G_1 \cdot G_2) \in O(\sqrt{n})$$
 (see Theorem 11).

<sup>&</sup>lt;sup>5</sup>Let  $G_1$  and  $G_2$  be connected graphs each with at least n vertices. For  $i \in \{1,2\}$ , let  $v_i$  be a leaf of a spanning tree of  $G_i$ , and let  $G_i' := G_i - v_i$ , which is connected. For each  $x \in V(G_1')$ , let  $B_x$  be the subgraph of  $G_1 \square G_2$  induced by  $\{x\} \times V(G_2')$ . For each  $y \in V(G_2')$ , let  $B_y$  be the subgraph of  $G_1 \square G_2$  induced by  $V(G_1') \times \{y\}$ . Let  $B_1$  be the subgraph of  $G_1 \square G_2$  induced by  $V(G_1') \times \{v\}$ . Let  $V(G_1') \times \{v\}$  be the subgraph of  $V(G_1') \times \{v\}$ . Let  $V(G_1') \times \{v\}$  be the subgraph of  $V(G_1') \times \{v\}$  be the subgraph of

The previous best bound for the product of two n-vertex connected graphs comes from combining (2) with the state-of-the-art Grid Minor Theorem of Chuzhoy and Tan [8], giving  $gm(G_1 \square G_2) \in \Omega(n^{1/9}/\operatorname{polylog}(n))$ . The first result above gives an excluded grid theorem for graph products that is stronger than what is possible for general graphs and much stronger than what can be proven for general graphs.

The second result shows that the first result is tight for the Cartesian, strong, and lexicographic product of two trees. A consequence of the second result and (2) is that there exists two trees whose Cartesian product has treewidth at least n but whose largest grid minor has size  $O(\sqrt{n}) \times O(\sqrt{n})$ . Thus, even these simple products do not have the linear (or even subquadratic) grid minor property.

The remainder of this paper is organized as follows: In Section 2 we discuss background material. In Section 3 we prove the above lower bound. In Section 4 we prove the above upper bound. Section 5 proves some exact bounds for the grid minor number of the products of stars and trees. Finally, Section 6 concludes with directions for future work.

## 2 Preliminaries

For any standard graph-theoretic terminology and notation not defined here, we use the same conventions used in the textbook by Diestel [14]. In this paper, every graph G is undirected and simple with vertex set V(G) and edge set E(G). The *order* of G is denoted |G| := |V(G)|. For two graphs  $G_1$  and  $G_2$  we use the notation  $G_1 \leq G_2$  to indicate that  $G_1$  is a minor of  $G_2$ . We make use of the fact that the  $\subseteq$  relation is transitive. The following observation follows immediately from definitions.

**Observation 1.** Let  $G_1$ ,  $G_2$ , and H be graphs. If  $G_1 \leq G_2$ , then  $G_1 \square H \leq G_2 \square H$ .

A *model* of a graph H in a graph G is a set  $\mathcal{M} := \{B_x \subseteq V(G) : x \in V(H)\}$  of subsets of V(G), called *branch sets*, indexed by the vertices of H and such that:

- (i) for each distinct pair  $x, y \in V(H), B_x \cap B_y = \emptyset$ ;
- (ii) for each  $x \in V(H)$ ,  $G[B_x]$  is connected and
- (iii) for each  $xy \in E(H)$  there exists an edge  $vw \in E(G)$  with  $v \in B_x$  and  $w \in B_y$ . It follows from definitions that  $H \leq G$  if and only if there exists a model of H in G.

For each  $n \in \mathbb{N}$ , let  $S_n$  denote the n-star; the rooted tree with n leaves, each of which is adjacent to the root. For each  $\ell, p \in \mathbb{N}$ , let  $S_{\ell,p}$  denote the star with  $\ell$  leaves whose edges have been subdivided p-1 times. More formally,  $V(S_{\ell,p}) := \{v_0\} \cup \{v_{i,j} : (i,j) \in [\ell] \times [p]\}$  and  $E(S_{\ell,p}) := \{v_0v_{i,1} : i \in [\ell]\} \cup \{v_{i,j}v_{i,j+1} : (i,j) \in [\ell] \times [p-1]\}$ , where  $[\ell] = \{1, \ldots, \ell\}$ . We call  $S_{\ell,p}$  a subdivided star. Subdivided stars generalize both stars and paths: The n-vertex path  $P_n$  is isomorphic to  $S_{1,n-1}$  and the n-leaf star  $S_n$  is isomorphic to  $S_{n,1}$ .

**Lemma 2.** For any positive integer n and any n-vertex connected graph  $G, K_n \leq G \square S_n$ .

Note that this lemma is implied by [51, Lemma 5.1]; we include the proof here for the sake of completeness.

*Proof.* Let  $y_0$  denote the root of  $S_n$ , let  $y_1, \ldots, y_n$  denote the leaves of  $S_n$ . Let  $V(K_n) = \{1, \ldots, n\}$  and let  $v_1, \ldots, v_n$  denote the vertices of G. We now construct a model  $\mathcal{M} := \{B_x : x \in V(K_n)\}$  of  $K_n$  in  $G \square S_n$ . For each  $i \in V(K_n)$ , define the branch set

$$B_i := \{(v, y_i) : v \in V(G)\} \cup \{(v_i, y_0)\}$$
.

We now show that  $\mathcal{M}$  is a model of  $K_n$  in  $G \square S_n$ . The induced graph  $(G \square S_n)[B_i]$  is connected because  $(G \square S_n)[\{(v,y_i): v \in V(G)\}]$  is isomorphic to G and  $(v_i,y_0)$  is adjacent to  $(v_i,y_i)$ . For any  $1 \leq i < j \leq n$ ,  $B_i$  and  $B_j$  are disjoint because  $y_i \neq y_j$  and  $v_i \neq v_j$ . Furthermore, the vertex  $(v_i,y_0) \in B_i$  is adjacent to  $(v_i,y_j) \in B_j$ . Therefore, there is an edge in  $G \square S_n$  with one endpoint in  $B_i$  and one endpoint of  $B_j$  for each  $1 \leq i < j \leq n$ .

Note that, for any tree T with n leaves and at least one non-leaf vertex,  $S_n \leq T$ . In this case, Lemma 2 and Observation 1 imply that  $K_n \leq G \square T$ .

Finally, we mention the following upper bound on the treewidth of  $G_1 \cdot G_2$ . This result is stated in [37] for  $G_1 \boxtimes G_2$  and is implicit in earlier works; we include the easy proof for completeness.

**Lemma 3.** For any graphs  $G_1$  and  $G_2$ ,

$$\operatorname{tw}(G_1 \square G_2) \leqslant \operatorname{tw}(G_1 \boxtimes G_2) \leqslant \operatorname{tw}(G_1 \cdot G_2) \leqslant (\operatorname{tw}(G_1) + 1)|V(G_2)| - 1.$$

Proof. The first two inequalities hold since  $G_1 \square G_2 \subseteq G_1 \boxtimes G_2 \subseteq G_1 \cdot G_2$ . For the final inequality, start with a tree-decomposition  $(B_x : x \in V(T))$  of  $G_1$  with bags of size at most  $\operatorname{tw}(G_1) + 1$ . For each  $x \in V(T)$  let  $B'_x := \{(v, w) : v \in B_x, w \in V(G_2)\}$ . Observe that  $(B'_x : x \in V(T))$  is a tree-decomposition of  $G_1 \cdot G_2$ , and  $|B'_x| \leq |B_x| |V(G_2)| \leq (\operatorname{tw}(G_1) + 1) |V(G_2)|$  for each  $x \in V(T)$ . The result follows.

Letting  $n = \min\{|V(T_1)|, |V(T_2)|\}$ , Equation (2) and Lemma 3 imply that for any trees  $T_1$  and  $T_2$ ,

$$n \leqslant \operatorname{tw}(T_1 \square T_2) \leqslant \operatorname{tw}(T_1 \boxtimes T_2) \leqslant \operatorname{tw}(T_1 \cdot T_2) \leqslant 2n - 1.$$
 (3)

Thus the treewidth of the Cartesian, strong or lexicographic product of two trees is determined (up to a factor of 2) by the number of vertices in the two trees. The remainder of the paper shows that determining the largest grid minor in such a product is more nuanced.

### 3 The Lower Bound

#### 3.1 Connected Graphs Contain Large Subdivided Stars

We first state some terminology that will be relevant in the following results. The *length* of a path  $v_0, \ldots, v_r$  is the number, r, of edges in the path. The *depth* of a vertex v in a rooted tree T is the length of the path, in T, from v to the root of T. A path P in a rooted tree T is *vertical* if, for each  $i \in \mathbb{N}$ , V(P) contains at most one vertex of depth

i. The vertex of minimum depth in a vertical path is its upper endpoint, and the vertex of maximum depth in a vertical path is its lower endpoint. A vertex v is a T-ancestor of a vertex w if the vertical path from w to the root of T contains v. Two vertices of T are unrelated if neither is a T-ancestor of the other, otherwise they are related. A pair of paths  $P_1$  and  $P_2$  in T is completely unrelated if v and w are unrelated, for each  $v \in V(P_1)$  and each  $w \in V(P_2)$ . We say that  $P_1$  and  $P_2$  are completely related if v and v are related, for each  $v \in V(P_1)$  and each  $v \in V(P_2)$ . The height v of a vertex v in v is the maximum order of a vertical path in v whose upper endpoint is v. For each  $v \in V(P_1) : h_T(v) := v \in V(T) : h_T(v) := v \in V(T)$ . We have the following observation:

**Observation 4.** For any rooted tree T and any  $i \in \mathbb{N}$ , T contains a set of  $n_i(T)$  pairwise completely unrelated vertical paths, each of order i. As a consequence,  $S_{n_i(T),i} \preceq T$  for each  $i \in \mathbb{N}$ .

Proof. Let  $v_1, \ldots, v_{n_i(T)} := H_i(T)$  and observe that  $v_1, \ldots, v_{n_i(T)}$  are pairwise unrelated. For each  $j \in \{1, \ldots, n_i(T)\}$ , let  $P_j$  be a path of order i that has  $v_j$  as an upper endpoint. (Such a path exists by the definition of  $H_i(T)$ .) Observe that, for distinct j and k,  $P_j$  and  $P_k$  are vertex-disjoint, and completely unrelated since  $v_j$  and  $v_k$  are unrelated. By contracting each edge that has both endpoints of depth less than i into a single vertex x and removing all vertices not in  $\{x\} \cup \bigcup_{j \in \{1, \ldots, n_i(T)\}} V(P_j)$  we obtain  $S_{n_i(T),i}$ . Thus  $S_{n_i(T),i} \leq T$ .

We will show that the product  $G_1 \square G_2$  of two connected n-vertex graphs  $G_1$  and  $G_2$  contains an  $\Omega(\sqrt{n}) \times \Omega(\sqrt{n})$  grid minor by studying the product  $T_1 \square T_2$  of two spanning trees of  $G_1$  and  $G_2$ , respectively. Lemma 2 allow us to dispense with the case when  $n_i(T_b) \in \Omega(n)$  for some i and some  $b \in \{1, 2\}$  since, if  $n_i(T_b) \in \Omega(n)$ , then  $T_b$  contains a  $S_{\Omega(n)}$ -minor, so Lemma 2 implies  $K_{\Omega(n)} \leq T_1 \square T_2$ , so  $\bigoplus_{\Omega(\sqrt{n})} \leq T_1 \square T_2$ . The following lemma will be helpful when this is not possible. (In several places, including the following lemma, we make use of Euler's solution [33] to the Basel Problem:  $\sum_{i=1}^{\infty} 1/i^2 = \pi^2/6$ .)

**Lemma 5.** Let T be a rooted tree with  $n \ge 1$  vertices, and let  $p \ge 1$  be an integer such that  $n_i(T) \le \frac{3}{2}n/(\pi i)^2$  for each  $i \in \{1, \ldots, p-1\}$ . Then T contains pairwise vertex-disjoint vertical paths  $P_1, \ldots, P_{\lceil n/4p \rceil}$ , each of order p such that, for each  $i \ne j$ ,  $P_i$  and  $P_j$  are either completely unrelated or completely related.

*Proof.* Let  $T' := T - (\bigcup_{i=1}^{p-1} H_i(T))$  be the subtree of T induced by vertices of height at least p. Then,

$$|T'| \ge |T| - \sum_{i=1}^{p-1} n_i(T) \ge n - \frac{3n}{2\pi^2} \sum_{i=1}^{p-1} \frac{1}{i^2} \ge n - \frac{n}{4} = \frac{3n}{4}$$
.

Let L be the set of non-root leaves of T'. Each vertex in L is the upper endpoint of a vertical path in T of order p, as illustrated in Figure 2. Therefore, if  $|L| \ge \frac{n}{4p}$  then we are done, so assume that  $|L| < \frac{n}{4p}$ .

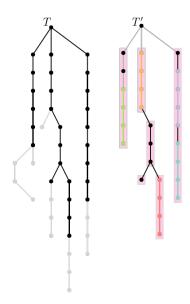


Figure 2: Finding paths in the tree T' induced by vertices of height at least p=4.

Let S be the set of vertices of T' that have two or more children in T'. In any rooted tree, the number of non-root leaves is greater than the number of non-leaf vertices with at least two children<sup>6</sup> Therefore,  $|S| < |L| \leqslant \frac{n}{4n}$ .

at least two children<sup>6</sup> Therefore,  $|S| < |L| \leqslant \frac{n}{4p}$ . For each  $v \in S \cup L$ , let  $P_v$  be the vertical path of maximum length whose lower endpoint is v and that does not contain any vertex in  $(S \cup L) \setminus \{v\}$ . Then  $\mathcal{P} := \{P_v : v \in S \cup L\}$  is a partition of V(T') into at most  $r := |S| + |L| \leqslant \frac{n}{2p}$  parts, each of which induces a vertical path in T'.

Let  $\{P_1, \ldots, P_r\} := \mathcal{P}$  and, for each  $i \in \{1, \ldots, r\}$ , let  $P'_i$  be a subpath of  $P_i$  of order  $p \lfloor |P_i|/p \rfloor$ . (So  $P'_i$  has order rounded down to a multiple of p.) Then

$$\sum_{i=1}^{r} |P_i'| \geqslant \sum_{i=1}^{r} (|P_i| - (p-1)) = |T'| - (p-1)r \geqslant \frac{3n}{4} - \frac{n}{2} = \frac{n}{4}.$$

For each  $i \in \{1, ..., r\}$ ,  $P'_i$  can be partitioned into exactly  $|P'_i|/p$  vertex-disjoint paths, each of order p. The set  $\mathcal{P}'$  of paths obtained this way has size  $\ell := \sum_{i=1}^r |P'_i|/p \geqslant \frac{n}{4p}$ . Therefore T contains  $\ell$  pairwise vertex-disjoint paths, each of order p, where  $\ell \geqslant \frac{n}{4p}$ . Except for its lower endpoint, each vertex of a path in  $\mathcal{P}'$  has exactly one child in T. This ensures that each path in  $\mathcal{P}'$  is either completely related or completely unrelated to any other path in  $\mathcal{P}'$ .

### 3.2 The Product of Two Special Trees

**Lemma 6.** Let  $s, p \ge 1$  be integers, let  $\ell := 5s^2$ , and let T be a rooted tree that contains  $s^2$  pairwise-disjoint vertical paths, each of order 6p such that any pair of these paths is either completely related or completely unrelated. Then  $gm(T \square S_{\ell,2p}) \ge sp$ .

<sup>&</sup>lt;sup>6</sup>Let  $n_i$  be the number of vertices with i children in a rooted tree T. Thus  $\sum_{i\geqslant 0} in_i = |E(T)| < |V(T)| = \sum_{i\geqslant 0} n_i$ . Hence, the number of non-root leaves  $n_0 > \sum_{i\geqslant 1} (i-1)n_i \geqslant \sum_{i\geqslant 2} n_i$ , as claimed.

Proof. Recall that  $S_{\ell,2p}$  has vertex set  $\{v_0\} \cup \{v_{i,j} : (i,j) \in \{1,\ldots,\ell\} \times \{1,\ldots,2p\}\}$ . For each  $i \in \{1,\ldots,\ell\}$ , let  $A_i = S_{\ell,2p}[\{v_{i,1},\ldots,v_{i,2p}\}]$  denote the ith arm of  $S_{\ell,2p}$ , which is a path of order 2p. Let  $P_1,\ldots,P_{s^2}$  be pairwise vertex-disjoint paths in T, each of order 6p, each pair of which is either completely related or completely unrelated. For each  $i \in \{1,\ldots,s^2\}$ , let  $P_i := p_{i,1},\ldots,p_{i,6p}$  where  $p_{i,1}$  is the upper endpoint of  $P_i$ . Let  $T_0 := T \square \{v_0\}$ . For each  $i \in \{1,\ldots,\ell\}$  let  $T_i := T \square A_i$ , for each  $j \in \{1,\ldots,p\}$  let  $T_{i,j} := T \square \{v_{i,j}\}$ , for each  $k \in \{1,\ldots,s^2\}$  let  $P_{k,i} := P_k \square A_i$  and  $P_{k,i,j} := P_k \square \{v_{i,j}\}$ . Note that  $P_{k,i}$  is isomorphic to a  $6p \times 2p$  grid.

Refer to Figure 3. Consider  $T_i$  for some  $i \in \{1, ..., \ell\}$ . For visualization purposes, it is helpful to organize  $T_i$  into 2p rows  $T_{i,1}, ..., T_{i,2p}$ . For each  $j \in \{1, ..., 2p-1\}$ ,  $T_{i,j}$  and  $T_{i,j+1}$  are 'adjacent', in the sense that each vertex  $(a, v_{i,j}) \in V(T_{i,j})$  is adjacent to  $(a, v_{i,j+1}) \in V(T_{i,j+1})$ . We then organize  $T_1, ..., T_\ell$  into a sequence of blocks. These blocks are independent in the sense that there is no edge between  $T_i$  and  $T_j$  for any  $i \neq j$ . Moreover, there is an additional row  $T_0$  adjacent to the first row,  $T_{i,1}$ , of each block  $T_i$ .

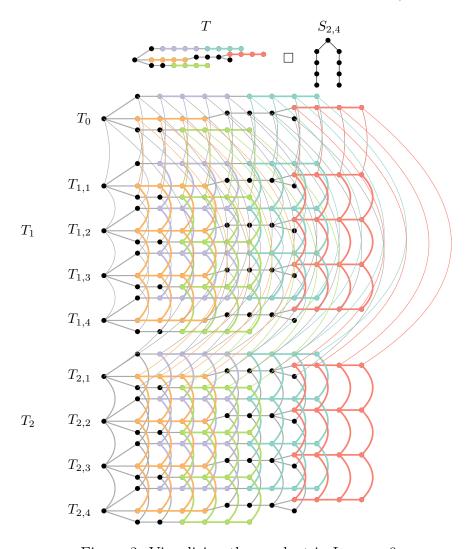


Figure 3: Visualizing the product in Lemma 6

Refer to Figure 4. We will construct a model of  $\boxplus_{sp}$ . We partition this model into  $s^2$  subgrids each of which is isomorphic to  $\boxplus_p$ . Therefore, we need  $s^2$  such subgrids  $G_1, \ldots, G_{s^2}$ . The branch sets of each subgrid  $G_i$  will include a  $p \times p$  grid within the  $6p \times 2p$  grid  $P_{i,i}$  (which is contained in the block  $T_i$ ). The additional row  $T_0$  will allow us to extend the branch sets of the 4p-4 boundary vertices of the  $G_i$  into  $T_{i'}$  for any i' and from there they can be extended so that they are adjacent to any other subgrid  $G_j$ .

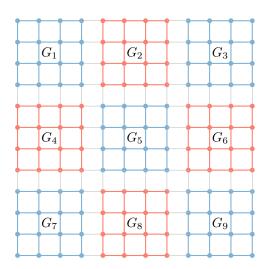


Figure 4: The  $sp \times sp$  grid can be partitioned into  $(sp/p)^2 = s^2$  subgrids, each of which is a  $p \times p$  grid. (The case sp = 12 and p = 4 is shown here.)

Refer to Figure 5. To construct the branch sets for  $G_i$  we start with a  $p \times p$  subgrid in  $P_{i,i}$  whose top row is  $(p_{i,p+1}, v_{i,1}), \ldots, (p_{i,2p}, v_{i,1})$ . This subgrid has 4p-4 'boundary' vertices, four of which are 'corner' vertices. As illustrated in Figure 5, we create 4p disjoint paths in  $P_{i,i}$  from the boundary vertices to  $P_{i,i,1}$ . We then add one vertex of  $P_{i,0}$  to each path. In this way, the first 4p vertices of the path  $P_{i,0}$  are partitioned into four subpaths, each of size p corresponding to edges coming out of the left, top, right, and bottom of boundary of  $G_i$ . The final 2p vertices of  $P_{i,0}$  are not yet used (though we may add them to the branch sets of some boundary vertices later).

Our model is not yet complete. At this point, it is a model of a graph that consists of  $s^2$  components, each of which is a  $p \times p$  grid. At this point, the vertices in our (not yet-complete) model are all contained in  $T_0, T_1, \ldots, T_{s^2}$  and, for each  $i \in \{1, \ldots, s^2\}$ , the branch sets of vertices in  $G_i$  are contained in  $P_{i,i} \cup P_{i,0} \subseteq T_i \cup P_{i,0}$ . This still leaves the vertices of  $T_{s^2+1}, \ldots, T_{5s^2}$  unused. We will use these to extend the branch sets of vertices on the boundary of each subgrid  $G_i$  to create the required adjacencies. For each  $i \in \{1, \ldots, s^2\}$ , the vertices of  $T_{s^2+4i-3}, \ldots, T_{s^2+4i}$  will be reserved for the branch sets of  $G_i$ .

First, suppose that  $G_i$  is a subgrid that is immediately to the right of some subgrid  $G_j$ , so that the left boundary of  $G_i$  is adjacent to the right boundary of  $G_j$ . We will extend the branch sets for vertices on the left boundary of  $G_i$  so that they become adjacent to the branch sets for vertices in the right boundary of  $G_j$ . Let  $x_1, \ldots, x_p$  be the vertices

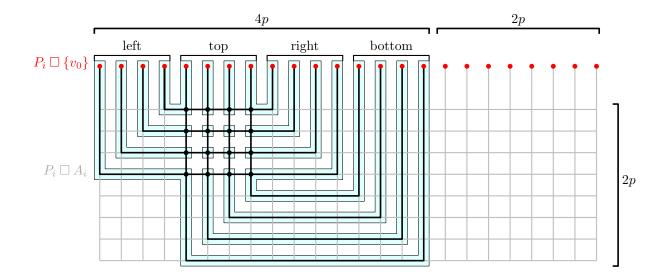


Figure 5: One of the  $p \times p$  subgrids used in the proof of Lemma 6.

on the left boundary of  $G_i$ , ordered from top to bottom and, for each  $k \in \{1, \ldots, p\}$ , let  $x'_k := p_{i,p-k+1}$  so that  $(x'_k, v_0)$  is already included in the branch set for  $x_k$ . Let  $y_0, \ldots, y_p$  be the vertices on the right boundary of  $G_j$ , ordered from top to bottom and, for each  $k \in \{1, \ldots, p\}$ , let  $y'_k := p_{j,2p+k}$  so that  $(y'_k, v_0)$  is already included in the branch set of  $y_k$ . There are two cases to consider. (We strongly urge the reader to refer to Figures 6 and 7.)

•  $P_i$  and  $P_j$  are completely related (see Figure 6): We will extend the branch sets of  $x_1, \ldots, x_p$  into  $T_{s^2+4i-3}$ . For each  $k \in \{1, \ldots, p\}$ , we extend the branch set of  $x_k$  by adding the path

$$(x'_k, v_{s^2+4i-3,1}), \dots, (x'_k, v_{s^2+4i-3,k})$$
,

the path in  $T_{s^2+4i-3,k}$  from  $(x'_k, v_{s^2+4i-3,k})$  to  $(y'_k, v_{s^2+4i-3,k})$ , and the path

$$(y'_k, v_{s^2+4i-3,k}), \dots, (y'_k, v_{s^2+4i-3,1})$$
.

The first vertex  $(x'_k, v_{s^2+4i-3,1})$  of this path is adjacent to  $(x'_k, v_0)$ , which ensures that the branch set for  $x_k$  is connected. The last vertex  $(y'_k, v_{s^2+4i-3,1})$  is adjacent to  $(y'_k, v_0)$  which ensures that the branch sets for  $x_k$  and  $y_k$  are adjacent.

•  $P_i$  and  $P_j$  are completely unrelated (see Figure 7): We will extend the branch sets of  $x_1, \ldots, x_k$  into  $T_{s^2+4i-3}$  and  $T_{s^2+4i-2}$ . To make the connections between these two blocks we will use an additional p vertices of  $P_{i,0}$ . The need for a second block in this case is due to the fact that the obvious paths in  $T_{s^2+4i-3}$  that were used in the previous case would either intersect each other or reverse the order of connections so that the top-left vertex of  $G_i$  would become adjacent to the bottom-right vertex of  $G_j$ . Routing these paths through two trees allows us to make the connections in the right order using pairwise vertex-disjoint paths.

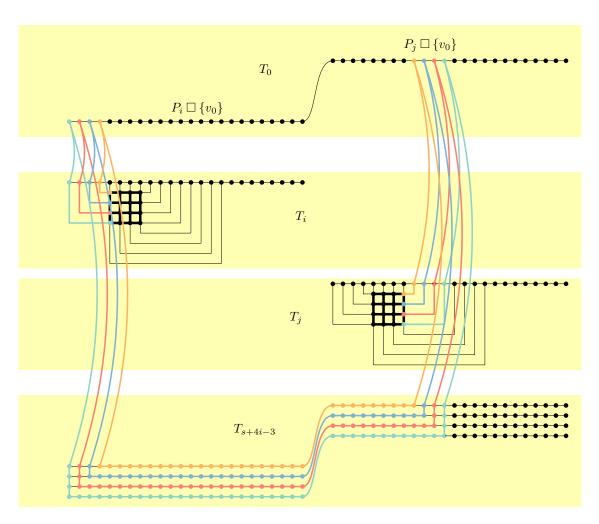


Figure 6: Connecting the left side of  $G_i$  to the right side of  $G_j$  when  $P_i$  and  $P_j$  are completely related.

For each  $k \in \{1, ..., p\}$  we extend the branch set of  $x_k$  by adding the path,

$$(x'_k, v_{s^2+4i-3,1}), \ldots, (x'_k, v_{s^2+4i-3,k}),$$

the path in  $T_{s^2+4i-3,k}$  from  $(x'_k, v_{s^2+4i-3,k})$  to  $(p_{i,4p+k}, v_{s^2+4i-3,k})$ , the path

$$(p_{i,4p+k}, v_{s^2+4i-3,k}), \dots, (p_{i,4p+k}, v_{s^2+4i-3,0}), (p_{i,4p+k}, v_{s^2+4i-2,1}), \dots, (p_{i,4p+k}, v_{s^2+4i-2,k})$$

the path in  $T_{s^2+4i-2,k}$  from  $(p_{i,4p+k}, v_{s^2+4i-2,k})$  to  $(y'_k, v_{s^2+4i-2,k})$  and finally the path

$$(y'_k, v_{s^2+4i-3,k}), \dots, (y'_k, v_{s^2+4i-3,1})$$
.

As in the previous case, the first vertex of this path ensures that the branch set for  $x_k$  is connected and the last vertex ensures that the branch sets of  $x_k$  and  $y_k$  are adjacent.

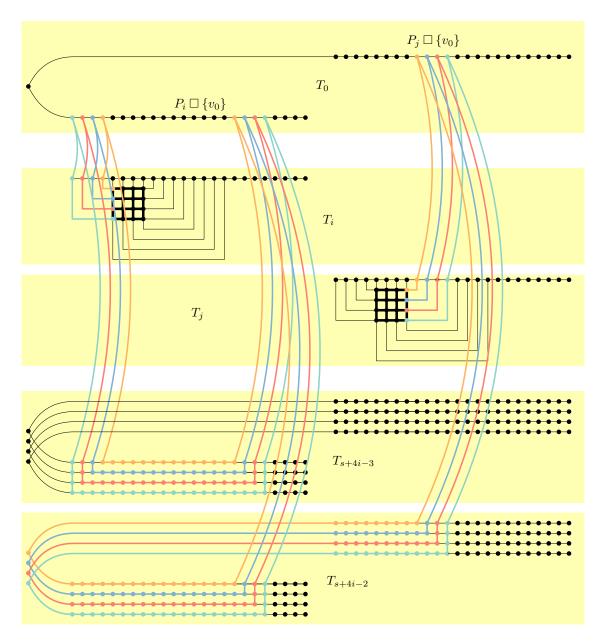


Figure 7: Connecting the left side of  $G_i$  to the right side of  $G_j$  when  $P_i$  and  $P_j$  are completely unrelated.

So far every horizontal grid edge is modelled, but not the vertical edges between  $p \times p$  subgrids. We now sketch how these can be included. Suppose that the subgrid  $G_i$  is directly below the subgrid  $G_j$ . Let  $x_1, \ldots, x_p$  be the top boundary of  $G_i$  ordered so that  $x_1$  is the the leftmost vertex and  $x_p$  is the rightmost. For each  $k \in \{1, \ldots, p\}$ , let  $x'_k := (p_{i,p+k})$  so that the branch set of  $x_k$  includes  $x'_k$ . Let  $y_1, \ldots, y_p$  be the bottom boundary of  $G_j$  ordered so that  $y_1$  is the the leftmost vertex and  $y_p$  is the rightmost. For each  $k \in \{1, \ldots, p\}$ , let  $y'_k := (p_{j,4p-k+1})$  so that the branch set of  $y_k$  includes  $y'_k$ . Observe that  $x'_1, \ldots, x'_p$  occur in order along  $P_i$  but  $y'_1, \ldots, y'_k$  occur in reverse order along  $P_j$ . The

effect of this is to reverse the two cases that appear above, so that the straightforward case occurs when  $P_i$  and  $P_j$  are completely unrelated and the more complicated case occurs when they are completely related. Otherwise, the process of growing the branch sets for  $x_1, \ldots, x_p$  is the same except that the vertices used to grow these new branch sets are contained in  $T_{s+4i-1}$ ,  $T_{s+4i}$ , and  $(p_{i,5p+1}, v_0), \ldots, (p_{i,6p}, v_0)$ . This ensures that these branch sets do not reuse vertices that are used to make  $G_i$  adjacent to the neighbour on its left.

Checking that the resulting collection of branch sets is indeed a model of the  $sp \times sp$  grid is straightforward; both the disjointedness of the branch sets and the required adjacencies are guaranteed by the construction.

We now establish our lower bound on the largest grid minor in a Cartesian product:

**Theorem 7.** For any connected graphs  $G_1$  and  $G_2$  each having at least  $n \ge 1$  vertices,

$$gm(G_1 \square G_2) \in \Omega(\sqrt{n}).$$

Proof. For each  $b \in \{1,2\}$ , let  $T_b$  be a tree contained in  $G_b$  and having exactly n vertices (which can be constructed by successively deleting leaves starting with a spanning tree of  $G_b$ ). For each  $b \in \{1,2\}$ , let  $p_b = \min\{i : n_i(T_b) \geqslant \frac{3n}{2(\pi i)^2}\}$ . (This is well-defined since, otherwise  $n = \sum_{i=1}^{\infty} n_i(T_b) < \sum_{i=1}^{\infty} \frac{3n}{2(\pi i)^2} = \frac{n}{4}$ .) Without loss of generality, assume  $p_2 \leqslant p_1$  and let  $\ell := \lceil \frac{3n}{2(\pi p_2)^2} \rceil$ . By Observation 4,  $S_{\ell,p_2} \preceq T_2 \preceq G_2$ . If  $p_2 \leqslant 5$  then  $\ell > \frac{3n}{50\pi^2} \in \Omega(n)$  and by Lemma 2  $K_\ell \preceq G_1 \square S_\ell$ . Since  $\boxplus_{\sqrt{\ell}} \preceq K_\ell$ , this implies that  $gm(G_1 \square G_2) \geqslant \sqrt{\ell} = \Omega(\sqrt{n})$  and we are done, so we may assume that  $p_2 \geqslant 6$ . Let  $p := \lfloor p_2/6 \rfloor \geqslant 1$ .

Since  $p_1 \geqslant p_2$ ,  $n_i(T_1) \leqslant \frac{3n}{2(\pi i)^2}$  for all  $i \in \{1, \dots, p_2 - 1\}$ . Therefore, Lemma 5 implies that  $T_1$  contains at least  $n/4p_2$  pairwise disjoint paths  $P_1, \dots, P_{\lceil n/4p_2 \rceil}$ , each of length  $p_2 \geqslant 6p$ , such that each pair of paths is either completely related or completely unrelated. Let

$$s := \lfloor \min\{\sqrt{\ell/5}, \sqrt{n/4p_2}\} \rfloor = \Theta(\sqrt{n}/p)$$

so that  $\ell \geqslant 5s^2$  and  $\lceil n/4p_2 \rceil \geqslant s^2$ . By Lemma 6,  $gm(T_1 \square S_{\ell,6p}) \geqslant sp = \Theta(\sqrt{n})$ . The lemma now follows from Observation 1, the fact that  $T_1 \preceq G_1$ , and the fact that  $S_{\ell,6p} \preceq S_{\ell,p_2} \preceq G_2$ .

Our next result completes the relationships between grid minors and treewidth in Cartesian and strong products of trees.

**Theorem 8.** For any two trees  $T_1$  and  $T_2$ ,

$$\operatorname{gm}(T_1 \square T_2) \leqslant \operatorname{gm}(T_1 \boxtimes T_2) \leqslant \operatorname{tw}(T_1 \boxtimes T_2) \in O(\operatorname{gm}(T_1 \square T_2)^2)$$
.

*Proof.* First note that  $gm(T_1 \square T_2) \leq gm(T_1 \boxtimes T_2)$  since  $T_1 \square T_2 \subseteq T_1 \boxtimes T_2$ . Equation (1) shows that  $gm(T_1 \boxtimes T_2) \leq tw(T_1 \boxtimes T_2)$ . It remains to show that  $tw(T_1 \boxtimes T_2) \in O(gm(T_1 \square T_2)^2)$ .

Let  $n_1 := |V(T_1)|$ , let  $n_2 := |V(T_2)|$ , and assume without loss of generality that  $n_1 \le n_2$ . By Lemma 3, tw $(T_1 \boxtimes T_2) \le 2n_1 - 1$ . By Theorem 7,  $c \cdot \text{gm}(T_1 \square T_2) \ge \sqrt{2n_1}$  for some fixed positive constant c. Therefore,

$$(c \cdot \operatorname{gm}(T_1 \square T_2))^2 \geqslant 2n_1 > \operatorname{tw}(T_1 \boxtimes T_2)$$
.

It is worth pointing out that each of the inequalities in Theorem 8 is tight for certain trees  $T_1$  and  $T_2$ . The first two inequalities are tight for the product of two paths. Specifically, it is obvious that  $gm(P_n \square P_n) = gm(P_n \boxtimes P_n) = n$ , and  $tw(P_n \boxtimes P_n) < 2n$  by (3). The last inequality is tight for  $S_n$  and  $P_n$  since  $tw(S_n \boxtimes P_n) \in \Theta(n)$  by (2) and Lemma 3, and  $gm(S_n \square P_n) \in \Theta(\sqrt{n})$  by Theorems 7 and 11 below.

## 4 Upper Bound

This section proves upper bounds of the form,  $gm(G) \in O(\sqrt{n})$ , where G is the product of various n-vertex graphs, as mentioned in Section 2.

**Lemma 9.** Fix numbers  $\Delta \geqslant c > 0$ . Let  $\mathcal{G}$  be a graph class closed under minors and disjoint unions, such that |E(H)| < c|V(H)| for every graph  $H \in \mathcal{G}$ . Let S be any star and H be any graph in  $\mathcal{G}$ . Let G be any graph with maximum degree  $\Delta$  that is a minor of  $S \cdot H$ . Then

$$|E(G)| < c|V(G)| + (\Delta - c)|V(H)|.$$

Proof. Let  $(B_x: x \in V(G))$  be a model of G in  $S \cdot H$ . Let r be the root of S. Let R be the set of vertices x of G such that  $(r,b) \in V(B_x)$  for some  $b \in V(H)$ . Let Q be the set of vertices x of G such that  $V(B_x) \subseteq \{(v,b): v \in V(S-r), b \in V(H)\}$ . Thus  $\{R,Q\}$  is a partition of V(G). Moreover, G[Q] is a minor of the disjoint union of n copies of H, implying  $G[Q] \in \mathcal{G}$  and |E(G[Q])| < c|Q|. The number of edges of G incident to R is at most  $\Delta |R|$ . Thus  $|E(G)| < c|Q| + \Delta |R| = c(|V(G)| - |R|) + \Delta |R| = c|V(G)| + (\Delta - c)|R| \leqslant c|V(G)| + (\Delta - c)|V(H)|$ .

The class of graphs with treewidth at most t is closed under minors and disjoint unions, and |E(H)| < t |V(H)| for every graph H with treewidth at most t. Lemma 9 implies:

**Corollary 10.** Fix numbers  $\Delta \geqslant t \geqslant 1$ . Let S be any star and H be any graph with treewidth at most t. Let G be any graph with maximum degree  $\Delta$  that is a minor of  $S \cdot H$ . Then

$$|E(G)| < t|V(G)| + (\Delta - t)|V(H)|.$$

The next result completes the proof of the second part of our main theorem stated in Section 1, showing that the lower bound in Theorem 7 is optimal.

**Theorem 11.** For any star S and any n-vertex tree T,

$$\operatorname{gm}(S \square T) \leqslant \operatorname{gm}(S \boxtimes T) \leqslant \operatorname{gm}(S \cdot T) < \sqrt{3n+1} + 1.$$

*Proof.* The first two inequalities hold by definition. Let  $k := gm(S \cdot T)$ . Now apply Corollary 10 with t = 1, and with  $G := \coprod_k$  and  $\Delta = 4$ . Thus

$$2k(k-1) = |E(G)| < |V(G)| + (\Delta - 1)|V(T)| = k^2 + 3n.$$

Thus  $k^2 - 2k < 3n$  and  $k < \sqrt{3n+1} + 1$ .

We now show that results like Theorem 11 can be concluded from results in the literature. (We include the above proofs for the sake of completeness and since Lemma 9 and Corollary 10 are of independent interest.)

A set S of vertices in a graph G is a feedback vertex set if G-S is a forest. Luccio [45] proved that the minimum size of a feedback vertex set in  $\boxplus_k$  is  $(\frac{1}{3}+o(1))k^2$ . If  $\boxplus_k$  is a minor of  $S \cdot T$  with |V(T)| = n, then  $\boxplus_k$  has a feedback vertex set of size at most n (consisting of the vertices of  $\boxplus_k$  whose branch sets intersect the copy of T corresponding to the root of S). Thus  $n \geqslant (\frac{1}{3}+o(1))k^2$  and  $k \leqslant (1+o(1))\sqrt{3n}$ , which implies Theorem 11.

A result similar to Theorem 11 can also be concluded from a more general result of Eppstein [29, Theorem 3], who proved that if n > k/2 and any set of n vertices are deleted from  $\boxplus_k$ , then the remaining graph contains a  $\boxplus_\ell$  minor, where  $\ell \geqslant \frac{k^2}{4n} - 1$ . Say  $k = \operatorname{gm}(S \cdot T)$  where S is a star and T is any n-vertex tree. By the definition of  $S \cdot T$ , a set of at most n vertices can be deleted from  $\boxplus_k$  (corresponding to branch sets that intersect the copy of T at the root of S) so that each component of the remaining graph is a tree (a minor of T), and thus contains no  $\boxplus_2$  minor. Note that  $k \leqslant \operatorname{tw}(S \cdot T) \leqslant 2n - 1$ , so Eppstein's result is applicable. Hence  $1 \geqslant \ell \geqslant \frac{k^2}{4n} - 1$ , implying  $k \leqslant \sqrt{8n}$ . Eppstein's result is substantially stronger than Theorem 11. For example, it implies:

**Theorem 12.** For any star S and any n-vertex graph H,

$$gm(S \cdot H) \leq 2\sqrt{n(tw(H) + 1)}$$
.

Theorem 12 can be generalised to allow for arbitrary trees with bounded radius.

**Theorem 13.** For any tree T with radius r and any n-vertex graph H with  $E(H) \neq \emptyset$ ,

$$gm(T \cdot H) \leqslant 5n^{1-1/2^r} \operatorname{tw}(H)^{1/2^r}.$$

*Proof.* We proceed by induction on  $r \ge 0$  (with H fixed). In the r = 0 case,  $T = K_1$  and

$$gm(T \cdot H) \leqslant tw(H) = n^{1-1/2^r} tw(H),$$

as desired. Now assume  $r \ge 1$  and the result holds for r-1. Let T be any tree T with radius r. Let  $G := T \cdot H$  and let  $k := \operatorname{gm}(G)$ . Let v the centre of T. Let  $T_1, \ldots, T_p$  be the components of T-v. Let  $v_i$  be the neighbour of v in  $T_i$ . So  $v_i$  has eccentricity at most r-1 in  $T_i$ , and  $T_i$  has radius at most r-1. Let  $k_i := \operatorname{gm}(T_i \cdot H)$ . By induction, for each  $i \in \{1, \ldots, p\}$ ,

$$gm(T_i \cdot H) \leq 5n^{1-1/2^{r-1}} tw(H)^{1/2^{r-1}}.$$

By the definition of  $T \cdot H$ , there is a set X of at most n vertices in G (corresponding to branch sets that intersect the copy of H at v) such that each component of G - X is a minor of some  $T_i \cdot H$ . By Eppstein's result (which is applicable since  $k \leq \operatorname{tw}(G) \leq 2n-1$ ),

$$\frac{k^2}{4n} - 1 \leqslant \operatorname{gm}(G - X) \leqslant \max_{i} \operatorname{gm}(T_i \cdot H) \leqslant 5n^{1 - 1/2^{r - 1}} \operatorname{tw}(H)^{1/2^{r - 1}}.$$

Since  $n \ge 1$  and  $tw(H) \ge 1$ ,

$$\frac{k^2}{4n} \le 6n^{1-1/2^{r-1}} \operatorname{tw}(H)^{1/2^{r-1}}$$

and

$$k \leqslant \sqrt{24}n^{1-1/2^r} \operatorname{tw}(H)^{1/2^r} < 5n^{1-1/2^r} \operatorname{tw}(H)^{1/2^r}.$$

The result follows.

## 5 Product of Stars and Trees

Given the importance of products of stars and trees in the previous section, we now consider the following natural question: What is the least constant c such that for any star S and any n-vertex tree T,

$$gm(S \square T) \leqslant (1 + o(1))\sqrt{cn}? \tag{4}$$

Analogous questions are interesting for  $S \boxtimes T$  and  $S \cdot T$ . Theorem 11 gives an upper bound of  $c \leq 3$  for  $S \square T$ ,  $S \boxtimes T$  or  $S \cdot T$ . For Cartesian products, we now show that c = 2 is the answer.

**Lemma 14.** Let G be a bipartite graph with bipartition  $\{A, B\}$ . Let S be a star with at least |A| leaves. Let T be any tree with at least |B| vertices. Then G is a minor of  $S \square T$ .

Proof. Let r be the root of S. Let f be an injection from A into the leaf-set of S. Let g be an injection from B into the vertex-set of T. For each vertex  $v \in A$ , define the branch set  $B_v := \{f(v)\} \times V(T)$ , which induces a connected copy of T in  $S \square T$ . For each vertex  $w \in B$ , define the branch set  $B_w := \{(r, g(w))\}$ . For each edge vw of G with  $v \in A$  and  $w \in B$ , the edge (f(v), g(w))(r, g(w)) of  $S \square T$  joins  $B_v$  and  $B_w$ . Hence  $(B_v : v \in V(G))$  is a model of G in  $S \square T$ .

Corollary 15. For any tree T with n vertices, and for any star S with at least n + 1 leaves,

$$gm(S \square T) \geqslant \lfloor \sqrt{2n} \rfloor.$$

*Proof.* Let  $k:=\lfloor \sqrt{2n}\rfloor$ . Let  $\{A,B\}$  be the bipartition of  $\boxplus_k$ , where  $|A|=\lceil \frac{k^2}{2}\rceil$  and  $|B|=\lfloor \frac{k^2}{2}\rfloor$ . So S has at least  $n+1\geqslant \frac{k^2+1}{2}\geqslant |A|$  leaves, and T has at least  $n\geqslant \frac{k^2}{2}\geqslant |B|$  vertices. By Lemma 14,  $\boxplus_k$  is a minor of  $S\square T$ , and  $\operatorname{gm}(S\square T)\geqslant k$ .

**Lemma 16.** For any star S and any n-vertex tree T,

$$gm(S \square T) \leqslant \sqrt{2n} + 1.$$

Proof. Let  $\mathcal{M} := \{B_x : x \in V(\boxplus_k)\}$  be a model of  $\boxplus_k$  in  $G := S \square T$ . Let  $v_0, \ldots, v_\mu$  denote the vertices of S, where  $v_0$  has degree  $\mu$  and  $v_1, \ldots, v_\mu$  are leaves. For each  $i \in \{0, \ldots, \mu\}$  let  $R_i := \{v_i\} \square V(T)$ , so that  $T_i := G[R_i]$  is isomorphic to T. The idea behind the rest of this proof is that the vertices in  $R_0$  are special because each vertex in  $R_0$  is adjacent to the corresponding vertex in each of  $T_1, \ldots, T_\mu$ . This makes the vertices in  $R_0$  a scarce resource that the model  $\mathcal{M}$  must use efficiently. Let  $X_0 := \{x \in V(\boxplus_k) : B_x \cap R_0 \neq \emptyset\}$  be the set of vertices in  $\boxplus_k$  whose branch sets intersect  $R_0$ .

Observe that  $G - R_0$  is the vertex-disjoint union of trees  $T_1, \ldots, T_{\mu}$ . Therefore, for each component C of  $\bigoplus_k - X_0$ ,  $G[\bigcup_{x \in V(C)} B_x]$  is a subtree of  $T_i$  for some  $i \in \{1, \ldots, \mu\}$ . Let  $\mathcal{F}$  be the set of 4-cycles in  $\bigoplus_k$ . (So  $\mathcal{F}$  is the set of inner faces in the usual plane drawing of  $\bigoplus_k$ .) Observe that, for each  $F \in \mathcal{F}$ ,  $|V(F) \cap X_0| \ge 1$  since otherwise F would be a minor of  $G - R_0$ , which is not possible since F is a cycle and  $G - R_0$  is a forest.

Next we show that  $|\bigcup_{x\in V(F)} B_x \cap R_0| \geqslant 2$  for each  $F\in \mathcal{F}$ . If  $|V(F)\cap X_0| \geqslant 2$ , then this is immediate, so suppose that  $|V(F)\cap X_0|=1$ . Let  $F:=xx_1x_2x_3$  where  $x\in X_0$  and  $x_1,x_2,x_3\in V(\boxplus_k)\setminus X_0$ . Since  $G[\{x_1,x_2,x_3\}]$  is connected, there exists an  $i\in\{1,\ldots,n\}$  such that  $T'_j:=G[B_{x_j}]$  is a subtree of  $T_i$  for each  $j\in\{1,2,3\}$ . Furthermore, the subtree  $T'_2$  is 'between'  $T'_1$  and  $T'_3$ , in the sense that  $B_{x_1}$  and  $B_{x_2}$  are in different components of  $T_i-B_{x_2}$ . Since  $N_{\boxplus_k}(x)$  contains both  $x_1$  and  $x_3$ ,  $B_x$  contains vertices in  $N_G(B_{x_1})$  and in  $N_G(B_{x_3})$ . Since  $G[B_x]$  is connected, this implies that  $G[B_x]$  contains a path from some vertex in  $N_G(B_{x_1})$  to some vertex in  $N_G(B_{x_3})$ . Since  $G[B_x]$  is a subgraph of  $G-B_{x_2}$ , this implies that any such path must contain at least two vertices of  $R_0$ . Thus  $|\bigcup_{x\in V(F)} B_x \cap R_0| = |B_x \cap R_0| \geqslant 2$ , as claimed.

Now we can finish the proof with

$$\sum_{F \in \mathcal{F}} \sum_{x \in V(F)} |B_x \cap R_0| \geqslant \sum_{F \in \mathcal{F}} 2 = 2|\mathcal{F}| = 2(k-1)^2.$$

Each vertex in  $R_0$  appears in  $B_x$  for at most one  $x \in V(\boxplus_k)$ , and each such x appears in V(F) for at most four cycles  $F \in \mathcal{F}$ , so

$$\sum_{F \in \mathcal{F}} \sum_{x \in V(F)} |B_x \cap R_0| \leqslant 4 \sum_{x \in V(\boxplus_k)} |B_x \cap R_0| \leqslant 4|R_0| = 4n .$$

Combining the previous two equations gives  $4n \ge 2(k-1)^2$ , so  $k \le \sqrt{2n} + 1$ .

Corollary 15 and Lemma 16 together show that c=2 in (4).

Now consider (4) for the strong product  $S \boxtimes T$ . We now show that the answers for Cartesian and strong products are different. In particular, for  $S \boxtimes T$  the minimum c in (4) satisfies  $\frac{5}{2} \leqslant c \leqslant 3$ .

<sup>&</sup>lt;sup>7</sup>This is true for the Cartesian product  $S\square T$ , but not true for the strong product  $S\square T$ , since  $N_{S\square T}(B_{x_1})$  and  $N_{S\square T}(B_{x_3})$  can have a common vertex in  $T_0$ . This fact is used in the construction described in Lemma 17.

**Lemma 17.** For any star S with at least  $\sqrt{10(n-2)} + 1$  leaves and any path P on at least n vertices,

$$\operatorname{gm}(S \boxtimes P) \geqslant \lfloor \sqrt{5(n-2)/2} \rfloor$$

Proof. Let  $k := \lfloor \sqrt{5(n-2)/2} \rfloor$  and note that  $n \ge 2k^2/5 + 2$ . Let  $G := S \boxtimes P$ . Recall that  $V(\boxplus_k) = \{1, \ldots, k\}^2$ . For each  $i \in \{0, \ldots, 2k-2\}$ , let  $D_i := \{(x,y) \in V(\boxplus_k) : y-x = k-i-1\}$ , and for convenience let  $D_i = \emptyset$  for any  $i \notin \{0, \ldots, 2k-2\}$ . (The vertices of each  $D_i$  are contained in a line of slope 1.) Let the vertices of S be  $v_0, \ldots, v_{2k+1}$ , where  $v_0$  is the vertex of degree 2k+1 and  $v_1, \ldots, v_{2k+1}$  are the leaves.

For each  $\ell \in \{0, \ldots, 4\}$ , let  $\alpha_{\ell} := |\bigcup_{a \in \mathbb{Z}} D_{\ell+5a+1} \cup D_{\ell+5a+2}|$ . Observe that  $\sum_{\ell=0}^4 \alpha_{\ell} = 2|V(\boxplus_k)| = 2k^2$ , since each diagonal  $D_i$  contributes to this sum exactly twice (when  $i - \ell \equiv 1 \pmod{5}$  and when  $i - \ell \equiv 2 \pmod{5}$ ). Therefore,  $\alpha_{\ell} \leqslant 2k^2/5$  for some  $\ell \in \{0, \ldots, 4\}$ . For the sake of brevity, assume that  $\alpha_0 \leqslant 2k^2/5$ . (The only reason for this assumption is to avoid having to include an  $\ell$  term in many of the subscripts in the following paragraphs.)

We now explain how to embed a small (width-4) strip  $B_a$  of  $\boxplus_k$  into a small subgraph  $S \subseteq G[\{v_0, v_1\} \times V(P)]$  of G. This will allow us to decompose  $\boxplus_k$  into disjoint independent strips and embed them all on  $G[\{v_0, v_1\} \times V(P)]$ . We will then complete the embedding by embedding the remaining (width-1) strips into  $G[\{v_2, \ldots, v_{k+1}\} \times V(P)\}]$ . Fix some integer  $a \in \{0, \ldots, \lfloor 2k/5 \rfloor - 1\}$ , and consider the induced subgraph  $B_a := \boxplus_k [D_{5a} \cup \cdots \cup D_{5a+3}]$ . Let  $S' := S[\{v_0, v_1\}]$  and let  $P'_a$  be any subpath of P with  $|D_{5a+1} \cup D_{5a+2}|$  vertices. As illustrated in Figure 8,  $G' := S' \boxtimes P'_a$  contains a subgraph isomorphic to  $B_a$ , where the isomorphism  $\varphi : B_a \to G'$  maps the vertices of  $D_{5a} \cup D_{5a+3}$  onto the vertices in  $\{v_0\} \times V(P'_a)$ . (Note that this makes use of the fact that  $|D_{5a} \cup D_{5a+3}| \leq |D_{5a+2} \cup D_{5a+2}|$ , valid for all  $a \in \{0, \ldots, 2k-5\}$ . Since  $P'_a$  uses only  $|D_{5a+1} \cup D_{5a+2}|$  vertices of P, this immediately implies that  $G[\{v_0, v_1\} \times V(P)]$  contains a subgraph isomorphic to  $X := \boxplus_k - \bigcup_{a \geqslant 0} D_{5a+4}$ . Furthermore, the vertex disjoint subpaths  $P'_a$ ,  $a \in \mathbb{Z}$  can be chosen so that  $P'_a$  and  $P'_{a+1}$  are always consecutive in P.

By setting  $B_x := \{\varphi(x)\}$  for each  $x \in V(X)$  we immediately obtain a model  $\{B_x : x \in V(X)\}$  of X in G. We can complete this model of X to a model of  $\boxplus_k$  by mapping, for each  $a \equiv 4 \pmod{5}$ , the at most k vertices in  $D_{5a+4}$  to subsets of  $\{v_2, \ldots, v_{2k+1}\} \times P$ . More precisely, let  $x_1, \ldots, x_r$  be the vertices in  $D_{5a+4}$ . These vertices have neighbours in  $D_{5a+3}$  and in  $D_{5(a+1)}$ . The vertices in  $D_{5a+3}$  have branch sets in  $\{v_0\} \times V(P'_a)$ . The vertices in  $D_{5(a+1)}$  have branch sets in  $\{v_0\} \times V(P'_{a+1})$ . If a is even then we set  $B_{x_i} := \{v_{2i}\} \times (V(P'_a) \cup V(P'_{a+1}))$ . This ensures that  $B_{x_i}$  is adjacent to the branch sets of  $x_i$ 's neighbours in  $D_{5a+3}$  and  $D_{5(a+1)}$ . Using different subsets of  $v_2, \ldots, v_{2k+1}$  for odd and even values of a ensures that the branch sets of vertices in  $D_{5a+4}$  are disjoint from those of vertices in  $D_{5(a+1)+4}$ . This completes the proof.

<sup>&</sup>lt;sup>8</sup>Recall that P has  $n \ge 2k^2/5 + 2 \ge \alpha_0 + 2$  vertices. The additional two vertices are required for two boundary cases where we can only guarantee that  $|D_{\ell+5a+1} \cup D_{\ell+5a+2}| + 1 \ge |D_{\ell+5a} \cup D_{\ell+5a+3}|$ . These cases can occur when  $\ell+5a=-2$  (because  $|D_{-1} \cup D_0|=1$  and  $|D_{-2} \cup D_1|=2$  and when  $\ell+5a=2k-3$  (because  $|D_{2k-2} \cup D_{2k-1}=1$  and  $|D_{2k-3} \cup D_{2k}|=2$ ).

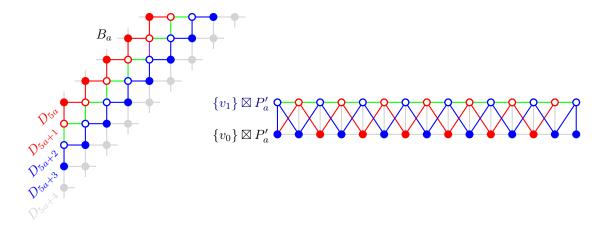


Figure 8: The construction in Lemma 17.

To complete this section, we now show that for lexicographic products  $S \cdot T$ , the answer to (4) is c = 3. By Theorem 11, it suffices to prove the following (where  $P_3 = S_2$  is the star with two leaves):

**Lemma 18.**  $\coprod_k$  is isomorphic to a subgraph of  $P_3 \cdot P_n$ , where  $k = \lfloor \sqrt{3n-2} \rfloor$ .

Proof. Recall that  $\boxplus_k$  has vertex-set  $\{1,\ldots,k\}^2$ . For each  $i \in \mathbb{Z}$ , let  $D_i := \{(x,x+i): x,x+i \in \{1,\ldots,k\}\}$ . Each  $D_i$  is an independent set in  $\boxplus_k$  contained in a diagonal line of slope 1. As illustrated in Figure 9, let  $S := \bigcup \{D_i : i \equiv 0 \pmod{3}\}$ , which is an independent set in  $\boxplus_k$ . Let  $A := \bigcup \{D_i : i \not\equiv 0 \pmod{3}, i > 0\}$  and  $B := \bigcup \{D_i : i \not\equiv 0 \pmod{3}, i < 0\}$ .

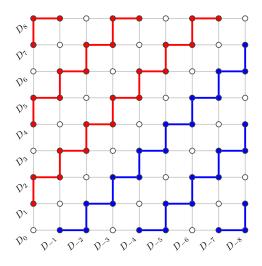


Figure 9: The proof of Lemma 18 with k = 9: vertices in S are white, red paths are in A, and blue paths are in B.

Each of A and B induce linear forests in  $\boxplus_k$ . Note that S, A, B partitions  $V(\boxplus_k)$ , and  $\lfloor k^2/3 \rfloor \leqslant |S|, |A|, |B| \leqslant \lceil k^2/3 \rceil \leqslant n$ . Consider the 3-vertex path  $P_3 = (a, s, b)$ . Injectively

map S to the the copy of  $P_n$  corresponding to s. Injectively and homomorphically map A to the copy of  $P_n$  corresponding to s. Injectively and homomorphically map s to the copy of s corresponding to s. This defines an injection from s to s to s to s injection from s to s to s injection from s to s is mapped to an edge of s is mapped to an edge of s is mapped to an edge of s is isomorphic to a subgraph of s is isomorphic to a subgraph of s injectively and homomorphically map s to the copy of s is mapped to an edge of s is mapped to an edge of s is isomorphic to a subgraph of s is isomorphically map s is isomorphically map s is isomorphically map s in the copy of s is mapped to an edge of s is mapped to an edge of s is mapped to an edge of s is isomorphically map s is isomorphically map s is isomorphically map s is in the copy of s is mapped to an edge of s is mapped to an edge of s is mapped to an edge of s is isomorphically map s is isomorphically map s is isomorphically map s is in the copy of s is mapped to an edge of s in s in s is isomorphically map s in s

# 6 Open Problems

A first open problem is to tighten the bounds for  $\operatorname{gm}(S \boxtimes T)$  presented in Theorem 11 and Lemma 17, which combine to show that  $\sqrt{5(n-2)/2} \leqslant \operatorname{gm}(S \boxtimes T) \leqslant \sqrt{3n+1}+1$ . This would fully resolve the discussions resulting from (4).

Another area of future work is to further investigate the Planar Graph Product Structure Theorem, which we recall states that for every planar graph G, there exists a graph H of bounded treewidth and a path P such that  $G \subseteq H \boxtimes P$ . A specific area to investigate is identifying which properties of G can be preserved in  $H \boxtimes P$ . Several results of this type are known. For example, in the proof of Dujmović et al. [23], H is a minor of G, and so H is planar. An impossibility result in this area is the following: Even if G is planar and has maximum-degree 5, a result of the form  $G \subseteq H \boxtimes P$  cannot guarantee that H has bounded treewidth and bounded degree [24].

A concrete question that remains open is whether the treewidth of G can be preserved in the product: Is it true that for every planar graph G, there exists a bounded treewidth graph H and a path P such that  $G \subseteq H \boxtimes P$  and  $\operatorname{tw}(H \boxtimes P) \in O(\operatorname{tw}(G))$ ? Note that

$$\Omega(\min\{|V(H)|,|V(P)|\}) \leqslant \operatorname{tw}(H \boxtimes P) \leqslant O(\min\{|V(H)|,|V(P)|\}).$$

This upper bound follows from Lemma 3 since both H and P have bounded treewidth. The lower bound follows from (2) since we may assume that G, H and P are connected. So this question really asks whether for every planar graph G, there exists a bounded treewidth graph H and a path P such that  $G \subseteq H \boxtimes P$  and  $\min\{|V(H)|, |V(P)|\} \le O(\operatorname{tw}(G))$ . It is even open whether  $\min\{|V(H)|, |V(P)|\} \le f(\operatorname{tw}(G))$  for some function f, or whether  $\min\{|V(H)|, |V(P)|\} \le O(\sqrt{|V(G)|})$  (which would be implied since  $\operatorname{tw}(G) \le O(\sqrt{|V(G)|})$ ) for every planar graph G).

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