

A Max-Min Problem on Spectral Radius and Connectedness of Graphs

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Abstract

In the past decades, many scholars have been concerned with the question of which edge-extremal problems have spectral analogues. Recently, Wang, Kang, and Xue established an interesting result on F -free graphs [*J. Combin. Theory Ser. B* 159 (2023) 20–41]. In this paper, we investigate this problem in the context of critical graphs. Let P be a property defined on a family \mathbb{G} of graphs. A graph $G \in \mathbb{G}$ is said to be P -critical if it satisfies P but $G - e$ does not satisfy P for any edge $e \in E(G)$. Specifically, a graph is *minimally k -(edge)-connected* if it is k -connected (respectively, k -edge-connected) and the deletion of any edge results in a graph that is not k -connected (respectively, k -edge-connected). A natural max-min problem is to determine the maximum spectral radius of minimally k -(edge)-connected graphs with n vertices. In 2019, Chen and Guo [*Discrete Math.* 342 (2019) 2092–2099] resolved the case $k = 2$. In 2021, Fan, Goryainov, and Lin [*Discrete Appl. Math.* 305 (2021) 154–163] determined the extremal spectral radius for minimally 3-connected graphs. In this paper, we establish structural properties of minimally k -(edge)-connected graphs. Furthermore, we solve the max-min problem for the case $k \geq 3$, demonstrating that any minimally k -(edge)-connected graph attaining the maximum spectral radius simultaneously achieves the maximum number of edges.

Mathematics Subject Classifications: 05C50, 05C75

1 Introduction

Perhaps the most basic property a graph may possess is that of being connected. At a more refined level, there are various functions that may be said to measure the connectedness of a connected graph [2]. A graph is said to be *connected* if for every pair of vertices there is a path joining them. Otherwise the graph is disconnected. The *connectivity* (or *vertex-connectivity*) $\kappa(G)$ of a graph G is the minimum number of vertices

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whose removal results in a disconnected graph or in a trivial graph. The *edge-connectivity* $\kappa'(G)$ is defined analogously, only instead of vertices we remove edges. A graph is *k-connected* if its connectivity is at least k and *k-edge-connected* if its edge-connectivity is at least k . It is almost as simple to check that the minimal degree $\delta(G)$, the edge-connectivity and *vertex-connectivity* satisfy the following inequality:

$$\delta(G) \geq \kappa'(G) \geq \kappa(G).$$

A number of extremal problems related to graph connectivity have been studied in recent years. One of the most important tasks for characterization of k -connected graphs is to give a certain operation such that they can be produced from simple k -connected graphs by repeatedly applying this operation [2]. This goal has been accomplished by Tutte [27] for 3-connected graphs, by Dirac [12] and Plummer [25] for 2-connected graphs, and by Slater [26] for 4-connected graphs.

A graph is said to be *minimally k-(edge)-connected* if it is k -(edge)-connected but omitting any of edges the resulting graph is no longer k -(edge)-connected. Clearly, a k -(edge)-connected graph whose every edge is incident with one vertex of degree k is minimally k -(edge)-connected. Especially, a k -regular and k -(edge)-connected graph is minimally k -(edge)-connected.

One of the central problems in this area is to determine the number of vertices of degree k in a minimally k -edge-connected graph. In 1972, Lick [16] showed that every minimally k -edge-connected finite graph has at least two vertices of degree k (see also Lemma 13 in [20]), which is clearly best possible. But for simple graphs, this was improved in [17] as follows: every minimally k -edge-connected finite simple graph has at least $k + 1$ vertices of degree k . It was proved in [19] that for every $k \notin \{1, 3\}$ there exists a $c_k > 0$ such that every minimally k -edge-connected finite simple graph G has at least $c_k|G|$ vertices of degree k . The value of the constant c_k was improved in [3] and [5], and a rather good estimate for c_k was given by Cai [6]. In 1995, Mader [21] further improved the value c_k and gave the best possible linear bound for $k \equiv 3 \pmod{4}$.

Another interesting problem is to determine the maximum number of edges in a minimally k -(edge)-connected graph. Mader [18] proved that $e(G) \leq kn - \binom{k+1}{2}$ for every minimally k -connected graph G of order n , and if $n \geq 3k - 2$ then $e(G) \leq k(n - k)$, where the equality is uniquely attained by the complete bipartite graph $K_{k,n-k}$ provided that $k \geq 2$ and $n \geq 3k - 1$. Cai [4] proved that $e(G) \leq \lfloor \frac{(n+k)^2}{8} \rfloor$ for every minimally k -connected graph G of order $n < 3k - 2$. Mader [18] also proved that every minimally k -edge-connected graph on n vertices has at most $k(n - k)$ edges provided $n \geq 3k - 2$. The complete bipartite graph $K_{k,n-k}$ shows that this bound is tight. Dalmazzo [11] proved that every minimally k -edge-connected multidigraph on n vertices has at most $2k(n - 1)$ edges. In 2005, Berg and Jordán [1] showed that if multiple edges are not allowed then Dalmazzo's bound can be improved to $2k(n - k)$ for n sufficiently large. In this paper, we first obtain an extremal result for every subgraph of a minimally k -(edge)-connected graph.

Theorem 1. *Let G be a minimally k -(edge)-connected graph and let H be a subgraph of G . Then $e(H) \leq k(|H| - 1)$. Moreover, if $|H| \geq \frac{1}{2}k(k + 5)$, then $e(H) \leq k(|H| - k)$, where the equality holds if and only if $H \cong K_{k, |H|-k}$.*

Let $A(G)$ be the adjacency matrix of a graph G . The largest eigenvalue of $A(G)$ is called the *spectral radius* of G , and denoted by $\rho(G)$. In classical theory of graph spectra, many scholars are interested in an extremal problem, that is, what is the maximal spectral radius of a family \mathbb{G} of graphs, where graphs in \mathbb{G} have a common property P . A graph is said to be *P -saturated*, if it has the property P but adding an edge between an arbitrary pair of non-adjacent vertices results in a graph which does not have the property. It is known that $A(G)$ is a non-negative matrix, and adding an edge in G always increases the spectral radius provided that G is connected. Therefore, most of the spectral extremal problems have saturated extremal graphs (see for example, [8, 9, 23, 15, 28, 22, 30, 31, 32]). Particularly, we have the following problem.

Problem 2. What is the maximal spectral radius among all n -vertex saturated graphs with fixed vertex-connectivity or edge-connectivity?

Ye, Fan and Wang [29] showed that among all graphs of order n with vertex (edge)-connectivity k , $K(n-1, k)$ has the maximal spectral radius, where $K(n-1, k)$ is obtained from the complete graph K_{n-1} by adding a new vertex of degree k . Clearly, $K(n-1, k)$ has the same vertex-connectivity, edge-connectivity and minimum degree. Ning, Lu and Wang [24] proved that for all graphs of order n with minimum degree δ and edge connectivity $\kappa' < \delta$, the maximal spectral radius is attained by joining κ' edges between two disjoint complete graphs $K_{\delta+1}$ and $K_{n-\delta-1}$, and they also determined the unique extremal graph with minimum degree δ and edge-connectivity $\kappa' \in \{0, 1, 2, 3\}$. Very recently, Fan, Gu and Lin [14] determined the unique spectral extremal graph over all n -vertex graphs with minimum degree δ and edge connectivity $\kappa' \in \{4, \dots, \delta-1\}$.

A graph G is said to be *P -critical*, if it admits a property P but $G - e$ does not have it for any edge $e \in E(G)$. Clearly, every minimally k -(edge)-connected graph is a connectivity-critical graph. Comparing with Problem 2, the following problem also attracts interest of scholars.

Problem 3. What is the maximal spectral radius among all n -vertex critical graphs with fixed vertex-connectivity or edge-connectivity?

Obviously, every minimally 1-(edge)-connected graph is a tree. Furthermore, it is known that the maximal spectral radius of a tree is attained uniquely by a star (see [10]). In 2019, Chen and Guo [7] showed that $K_{2,n-2}$ attains the maximal spectral radius among all minimally 2-connected graphs and minimally 2-edge-connected graphs, respectively. Subsequently, Fan, Goryainov and Lin [13] proved that $K_{3,n-3}$ attains the largest spectral radius over all minimally 3-connected graphs.

Now let $k \geq 3$ be a fixed integer and $\alpha = \frac{1}{24k(k+1)}$. Let $X = (x_1, x_2, \dots, x_n)^T$ be a non-negative eigenvector with respect to $\rho(G)$. We may assume that $x_{u^*} = \max_{1 \leq i \leq n} x_i$ for some $u^* \in V(G)$. In this paper, we prove the following result, which implies that every

minimally k -(edge)-connected graph with large spectral radius contains a certain number of vertices of high degrees.

Theorem 4. *Let G be an n -vertex minimally k -(edge)-connected graph, where $n \geq \frac{18k}{\alpha^2}$. If $\rho^2(G) \geq k(n-k)$, then G contains a k -vertex subset L such that $x_v \geq (1 - \frac{1}{2k})x_{u^*}$ and $d_G(v) \geq (1 - \frac{2}{3k})n$ for each vertex $v \in L$.*

The main result of the paper is the following Max-Min theorem, which implies that every minimally k -(edge)-connected graph with maximal spectral radius also has maximal number of edges.

Theorem 5. *For $n \geq \frac{18k}{\alpha^2}$, the maximal spectral radius of an n -vertex minimally k -(edge)-connected graph is attained uniquely by the complete bipartite graph $K_{k,n-k}$.*

Finally, we present the following problem.

Problem 6. Consider a given property P . Does an edge-extremal problem on P -critical graphs possess a spectral analogue?

The rest of the paper is organized as follows. In Section 2, we give some structural properties of a minimally k -(edge)-connected graph as well as the proof of Theorem 1. In Section 3, we use Theorem 1 to show Theorems 4 and 5.

2 Structural properties

Let G be a graph with vertex set $V(G)$ and edge set $E(G)$. We write $|G|$ for the number of vertices and $e(G)$ the number of edges in G . For a vertex $v \in V(G)$, let $N_G(v)$ be the neighborhood of v . For $S \subseteq V(G)$, we denote $N_S(v) = N(v) \cap S$ and $d_S(v) = |N_S(v)|$. The subgraph of G induced by S and $V(G) \setminus S$ are denoted by $G[S]$ and $G - S$, respectively. Let $e_G(S)$ be the number of edges within S , and let $e_G(S, V(G) \setminus S)$ be the number of edges between S and $V(G) \setminus S$. All the subscripts defined here will be omitted if it is clear from the context. We start with the following lemma.

Lemma 7. *Every k -(edge)-connected subgraph of a minimally k -(edge)-connected graph is minimally k -(edge)-connected.*

Proof. We first prove that for every subgraph of a minimally k -edge-connected graph, if it is k -edge-connected then it is minimally k -edge-connected. Let G be a minimally k -edge-connected graph. Suppose to the contrary that H is a k -edge-connected subgraph of G but it is not minimally k -edge-connected. Then there exists an edge, say u_1u_2 , of H such that $H - u_1u_2$ is also k -edge-connected.

Notice that G is a minimally k -edge-connected graph. Hence, $G - u_1u_2$ is $(k-1)$ -edge-connected. Thus, there exists a partition $V(G) = V_1 \cup V_2$ such that $u_1 \in V_1$, $u_2 \in V_2$ and $e(V_1, V_2) = k$. Now, let $V_i(H) = V(H) \cap V_i$ for $i \in \{1, 2\}$. Clearly,

$$e(V_1(H), V_2(H)) \leq e(V_1, V_2) = k,$$

and thus $e(V_1(H), V_2(H)) \leq k - 1$ in $H - u_1u_2$, which contradicts the fact that $H - u_1u_2$ is k -edge-connected. Therefore, the result follows.

The vertex-connected case of the lemma is an exercise of Chapter one in [2]. Hence, we omit its proof here. \square

Next, we give the maximal number of edges in every subgraph of a minimally k -edge-connected graph. Before proceeding, we need two more lemmas due to Mader [17].

Lemma 8 ([17]). *Let G be a graph of order $n \geq k$. If G does not contain any $(k + 1)$ -edge-connected subgraph, then*

$$e(G) \leq k(n - k) + \binom{k}{2}.$$

Furthermore, this bound is best possible.

Lemma 9 ([17]). *Let G be a minimally k -edge-connected graph of order $n \geq 3k$. Then*

$$e(G) \leq k(n - k),$$

with equality if and only if $G \cong K_{k, n-k}$.

Theorem 10. *Let G be a minimally k -edge-connected graph and let H be a subgraph of G . Then $e(H) \leq k(|H| - 1)$. Moreover, if $|H| \geq \frac{1}{2}k(k + 5)$, then $e(H) \leq k(|H| - k)$, where the equality holds if and only if $H \cong K_{k, |H|-k}$.*

Proof. Firstly, we will show that $e(H) \leq k(|H| - 1)$. If $|H| < k$, then $e(H) \leq \frac{1}{2}|H|(|H| - 1) \leq k(|H| - 1)$, as desired. Now assume that $|H| \geq k$. It suffices to show $e(H) \leq k(|H| - \frac{k+1}{2})$. By Lemma 7, every k -edge-connected subgraph of G is minimally k -edge-connected, and thus has edge-connectivity k . Hence, G contains no $(k + 1)$ -edge-connected subgraphs. By Lemma 8, we have $e(H) \leq k(|H| - k) + \binom{k}{2} = k(|H| - \frac{k+1}{2})$, as required.

In the following, we prove that $e(H) \leq k(|H| - k)$ for $|H| \geq \frac{1}{2}k(k + 5)$. The proof should be distinguished into two cases.

Case 1: H contains no k -edge-connected subgraphs. By Lemma 8, we know that $e(H) \leq (k - 1)(|H| - \frac{k}{2})$. Note that $|H| \geq \frac{1}{2}k(k + 5)$. It is easy to see that $(k - 1)(|H| - \frac{k}{2}) < k(|H| - k)$, and the result follows.

Case 2: H contains k -edge-connected subgraphs. Let H_0 be a maximal k -edge-connected subgraph of H . Then H_0 is a vertex-induced subgraph with $|H_0| \geq k + 1$. If $H = H_0$, then by Lemma 7, H is minimally k -edge-connected. Since $|H| \geq \frac{1}{2}k(k + 5) \geq 3k$, by Lemma 9 we have $e(H) \leq k(|H| - k)$, with equality if and only if $H \cong K_{k, |H|-k}$.

Now we may assume that H_0 is a proper induced subgraph of H . Then $\kappa'(H) \leq k - 1$, and thus we can find a partition $V(H) = V_0 \cup V_1$ such that $e(H) \leq e(V_0) + e(V_1) + (k - 1)$. One can observe that H_0 is a subgraph of $H[V_0]$ or $H[V_1]$ (otherwise, write $U_i = V(H_0) \cap V_i$ for $i \in \{0, 1\}$, then $e(U_0, U_1) \geq k$ as H_0 is k -edge-connected, consequently, $e(V_0, V_1) \geq k$, a contradiction). For $i \in \{0, 1\}$, if $\kappa'(H[V_i]) \leq k - 1$ and $|V_i| \geq 2$, then we can find a partition $V_i = V'_i \cup V''_i$ such that $e(V_i) \leq e(V'_i) + e(V''_i) + (k - 1)$. Similarly, every k -edge-connected subgraph of $H[V_i]$ can only be a subgraph of $H[V'_i]$ or $H[V''_i]$.

By a series of above iterative operations (say s steps), we can obtain a partition $V(H) = \cup_{i=0}^s V_i$ satisfying that

$$e(H) \leq \sum_{i=0}^s e(V_i) + (k-1)s \quad (1)$$

and every $H[V_i]$ is either k -edge-connected or a single vertex. Recall that G contains no $(k+1)$ -edge-connected subgraphs. If $H[V_i]$ is k -edge-connected, then $|V_i| \geq k+1$ and $e(H[V_i]) \leq k(|V_i| - \frac{k+1}{2})$ by Lemma 8. Let $S_1 = \{i \mid |V_i| = 1\}$ and $S_2 = \{0, \dots, s\} \setminus S_1$. Then $s = |S_1| + |S_2| - 1$ and $|H| = \sum_{i \in S_2} |V_i| + |S_1|$. In view of (1), we have

$$\begin{aligned} e(H) &\leq \sum_{i \in S_2} k(|V_i| - \frac{k+1}{2}) + (k-1)(|S_1| + |S_2| - 1) \\ &= k|H| - \frac{1}{2}(k^2 - k + 2)|S_2| - |S_1| - (k-1). \end{aligned} \quad (2)$$

If $|S_2| \geq 2$, then $\frac{1}{2}(k^2 - k + 2)|S_2| + (k-1) > k^2$, and so $e(H) < k(|H| - k)$, as desired. Now assume that $|S_2| = 1$ (say $S_2 = \{0\}$ and $H[V_0] = H_0$). Then $S_1 \neq \emptyset$ as H_0 is a proper induced subgraph of H . By Lemma 7, H_0 is minimally k -edge-connected. If $|H_0| \geq 3k$, then by Lemma 9, we have $e(H_0) \leq k(|V_0| - k)$. Combining (1), we obtain $e(H) \leq k(|V_0| - k) + (k-1)|S_1| = k(|H| - k) - |S_1|$. The result follows. If $|H_0| < 3k$, then $|S_1| = |H| - |H_0| > \frac{1}{2}k(k-1)$, and by (2) we have $e(H) \leq k|H| - \frac{1}{2}(k^2 - k + 2) - |S_1| - (k-1) < k(|H| - k)$. This completes the proof. \square

Now we give a vertex-connected version of Theorem 10, which will be proved by a different approach.

Lemma 11 ([2]). *Let G be a minimally k -connected graph and let S be the set of vertices of degree k in G . Then $G - S$ is empty or a forest.*

Recall that $e(G) \leq k(n - k)$ for $n \geq 3k - 2$ and every n -vertex minimally k -connected graph G . We also want to know the maximal number of edges in every subgraph of a minimally k -connected graph.

Theorem 12. *Let G be a minimally k -connected graph and let H be a subgraph of G . Then $e(H) \leq k(|H| - 1)$. Moreover, if $|H| \geq 5k - 4$, then $e(H) \leq k(|H| - k)$, where the equality holds if and only if $H \cong K_{k, |H| - k}$.*

Proof. Firstly, we show $e(H) \leq k(|H| - 1)$. We partition $V(H)$ into two parts: $V(H) = V_1 \cup V_2$, where V_1 is the set of vertices of degree k in G . If $|V_2| = 0$, then $e(H) \leq \frac{k|H|}{2} \leq k(|H| - 1)$, as desired. If $|V_2| \geq 1$, from Lemma 11 we know that $G[V_2]$ is a forest, and so $e(V_2) \leq |V_2| - 1$. Thus, we can get an upper bound of $e(H)$ as below:

$$e(H) = e(V_1) + e(V_1, V_2) + e(V_2) \leq k|V_1| + (|V_2| - 1), \quad (3)$$

where the equality holds if and only if $G[V_2]$ is a tree and $N_G(v) \subseteq V_2$ for each $v \in V_1$. It is clear that $k|V_1| + |V_2| - 1 \leq k(|V_1| + |V_2| - 1)$, and hence $e(H) \leq k(|H| - 1)$.

Next, we shall distinguish three cases to show $e(H) \leq k(|H| - k)$ for $|H| \geq 5k - 4$. If $k = 1$, then G is a tree. Clearly, the result holds. In the following, we may assume $k \geq 2$.

Case 1: $|V_2| \geq k + 1$. From (3) we have

$$e(H) \leq k|V_1| + |V_2| - 1 < k(|V_1| + |V_2| - k) = k(|H| - k).$$

The result follows.

Case 2: $|V_2| = k$. Then $|V_1| \geq 4(k - 1)$. If $e(V_2) = 0$, then by (3), we have $e(H) \leq k|V_1| = k(|V_1| + |V_2| - k) = k(|H| - k)$, with equality if and only if $H \cong K_{k, |H| - k}$.

Now, assume that $e(V_2) \geq 1$, and let $V'_1 = \{v \in V_1 \mid N_G(v) = V_2\}$. Then $K_{|V'_1|, |V_2|} \subseteq G[V'_1 \cup V_2]$. We will see that $|V'_1| \leq k - 1$. Otherwise, if $|V'_1| \geq k$, then $G[V'_1 \cup V_2]$ is k -connected. By Lemma 7, $G[V'_1 \cup V_2]$ is minimally k -connected, which implies that $G[V'_1 \cup V_2] \cong K_{|V'_1|, |V_2|}$ and so $e(V_2) = 0$, a contradiction. Hence, $|V'_1| \leq k - 1$.

On the other hand, let $V''_1 = V_1 \setminus V'_1$, then

$$e(V''_1) + e(V''_1, V_2) \leq (|V_2| - 1)|V''_1| + \frac{1}{2}|V''_1| = (k - \frac{1}{2})|V''_1|.$$

Since $|V'_1| \leq k - 1$ and $|V'_1| + |V''_1| = |V_1|$, we further obtain

$$e(V_1) + e(V_1, V_2) \leq k|V'_1| + e(V''_1) + e(V''_1, V_2) \leq (k - \frac{1}{2})|V_1| + \frac{1}{2}(k - 1).$$

Recall that $|V_1| \geq 4(k - 1)$ and $e(V_2) \leq k - 1$. Thus we also have

$$e(H) \leq (k - \frac{1}{2})|V_1| + \frac{3}{2}(k - 1) < k|V_1| = k(|H| - k).$$

Case 3: $|V_2| \leq k - 1$. Then $|V_1| \geq 4k - 3$. Let $|V_1| = x$ and $|V_2| = y$. Then

$$\begin{aligned} e(H) &= e(V_1, V_2) + e(V_1) + e(V_2) \\ &\leq |V_1||V_2| + \frac{1}{2}|V_1|(k - |V_2|) + (|V_2| - 1) \\ &= \frac{1}{2}xy + \frac{1}{2}kx + (y - 1), \end{aligned}$$

Notice that $k(|H| - k) = k(x + y - k)$. Let

$$f(x, y) = \frac{1}{2}xy + \frac{1}{2}kx + (y - 1) - k(x + y - k).$$

It suffices to show $f(x, y) < 0$ for $x \geq 4k - 3$ and $y \leq k - 1$. Note that $\frac{\partial f(x, y)}{\partial x} = \frac{1}{2}(y - k) < 0$ and $\frac{\partial f(x, y)}{\partial y} = \frac{1}{2}x + 1 - k > 0$. Hence, $f(x, y)$ is decreasing with respect to x and increasing with respect to y . Therefore, $f(x, y) \big|_{\max} = f(4k - 3, k - 1) = -\frac{1}{2}$, as desired. \square

Observe that $\frac{1}{2}k(k + 5) \geq 5k - 4$ for every positive integer k . Combining Theorems 10 and 12, we immediately obtain Theorem 1.

3 Spectral extremal results

Let G be a minimally k -(edge)-connected graph of order n . By Perron-Frobenius theorem, there exists a positive unit eigenvector with respect to $\rho(G)$, which is called the *Perron vector* of G . Let $X = (x_1, x_2, \dots, x_n)^T$ be the Perron vector with coordinate $x_{u^*} = \max\{x_i \mid i \in V(G)\}$. In this section, we first show Theorem 4, that is, if $\rho^2(G) \geq k(n-k)$, then G contains a k -vertex subset L such that $x_v \geq (1 - \frac{1}{2k})x_{u^*}$ and $d(v) \geq (1 - \frac{2}{3k})n$ for each vertex $v \in L$. Before proceeding, we define three subsets of $V(G)$.

$$L_\alpha = \{v \in V(G) \mid x_v > \alpha x_{u^*}\}, \quad \text{where } 0 < \alpha \leq \frac{1}{24k(k+1)};$$

$$L_\beta = \{v \in V(G) \mid x_v > \beta x_{u^*}\}, \quad \text{where } \frac{5}{3}\alpha \leq \beta \leq \frac{1}{6k^2};$$

$$L_\gamma = \{v \in V(G) \mid x_v \geq \gamma x_{u^*}\}, \quad \text{where } \frac{1}{2k} \leq \gamma \leq 1.$$

Clearly, $L_\gamma \subseteq L_\beta \subseteq L_\alpha$. In the following, assume that $k \geq 3$ and $n \geq \frac{18k}{\alpha^2}$. We shall prove some lemmas on these three subsets.

Lemma 13. $|L_\alpha| < \sqrt{4kn}$.

Proof. For every $v \in L_\alpha$, we have $\rho x_v = \sum_{u \in N(v)} x_u$, and thus

$$\rho x_v = \sum_{u \in N(v) \cap L_\alpha} x_u + \sum_{u \in N(v) \setminus L_\alpha} x_u \leq \left(d_{L_\alpha}(v) + \alpha \cdot d_{V(G) \setminus L_\alpha}(v)\right) x_{u^*}. \quad (4)$$

Since $\rho x_v \geq \sqrt{k(n-k)}\alpha x_{u^*}$ for $v \in L_\alpha$, from (4) we have

$$\sqrt{k(n-k)}\alpha \leq d_{L_\alpha}(v) + \alpha \cdot d_{V(G) \setminus L_\alpha}(v). \quad (5)$$

Summing (5) over all $v \in L_\alpha$, we have

$$|L_\alpha|\sqrt{k(n-k)}\alpha \leq 2e(L_\alpha) + \alpha \cdot e(L_\alpha, V(G) \setminus L_\alpha). \quad (6)$$

By Theorem 1, we have $e(L_\alpha) \leq k|L_\alpha|$ and $e(L_\alpha, V(G) \setminus L_\alpha) \leq e(G) \leq k(n-k)$. Combining (6), we get that

$$|L_\alpha|\sqrt{k(n-k)} \leq \frac{2k}{\alpha}|L_\alpha| + k(n-k). \quad (7)$$

Since $n \geq \frac{18k}{\alpha^2}$, we have $n-k > \frac{16k}{\alpha^2}$, and hence $\frac{2k}{\alpha} < \frac{1}{2}\sqrt{k(n-k)}$. Combining (7), we obtain that $|L_\alpha| < 2\sqrt{k(n-k)}$, and thus $|L_\alpha| < \sqrt{4kn}$, as desired. \square

For a vertex $v \in V(G)$, let $N[v] = N(v) \cup \{v\}$ and $N^2(v)$ denote the set of vertices at distance two from v .

Lemma 14. $|L_\beta| < \frac{12k}{\alpha}$.

Proof. We proceed the proof by contradiction. Suppose that $|L_\beta| \geq \frac{12k}{\alpha}$. Recall that $L_\beta \subseteq L_\alpha$ and $\alpha \leq \frac{1}{24k(k+1)}$. Then $|L_\alpha| \geq \frac{12k}{\alpha} \geq \max\{5k - 4, \frac{1}{2}k(k + 5)\}$. We first prove that $d(v) > \frac{\alpha}{12}n + k$ for each vertex $v \in L_\beta$.

By Theorem 1, we get that $e(G) \leq kn$, $e(N[v]) \leq k(|N[v]| - 1) = kd(v)$ and $e(N(v) \cup L_\alpha) \leq k(d(v) + |L_\alpha| - k)$. Since $v \in L_\beta$, we can easily see that $v \in L_\alpha$. Let $S = N(v) \cup (L_\alpha \setminus \{v\})$. Then $e(S) = e(N(v) \cup L_\alpha) - d(v) \leq (k - 1)d(v) + k|L_\alpha| - k^2$, where $|L_\alpha| < \sqrt{4kn} < \frac{\alpha}{2}n$ by Lemma 13 and the assumption that $n \geq \frac{18k}{\alpha^2}$.

It is easy to see that

$$d(v)x_v + \sum_{u \in N(v)} d_{N(v)}(u)x_u \leq (d(v) + 2e(N(v)))x_{u^*} = (e(N[v]) + e(N(v)))x_{u^*}.$$

Note that $S = N(v) \cup (L_\alpha \setminus \{v\})$. Then $e(N^2(v) \cap L_\alpha, N(v)) \leq e(S) - e(N(v))$ and

$$\begin{aligned} \sum_{u \in N^2(v)} d_{N(v)}(u)x_u &= \sum_{u \in N^2(v) \cap L_\alpha} d_{N(v)}(u)x_u + \sum_{u \in N^2(v) \setminus L_\alpha} d_{N(v)}(u)x_u \\ &\leq (e(S) - e(N(v)) + \alpha \cdot e(G))x_{u^*}. \end{aligned}$$

Combining the above two inequalities, we obtain

$$\begin{aligned} \rho^2 x_v &= d(v)x_v + \sum_{u \in N(v)} d_{N(v)}(u)x_u + \sum_{u \in N^2(v)} d_{N(v)}(u)x_u \\ &\leq (e(N[v]) + e(S) + \alpha \cdot e(G))x_{u^*} \\ &\leq ((2k - 1)d(v) + \frac{3\alpha}{2}kn - k^2)x_{u^*}. \end{aligned}$$

Notice that $\frac{5}{3}\alpha \leq \beta < 1$ and $\rho^2 x_v \geq k(n - k)\beta x_{u^*} > (\beta kn - k^2)x_{u^*}$ for each vertex $v \in L_\beta$. In view of the above inequality, we have $(\beta - \frac{3}{2}\alpha)kn < (2k - 1)d(v)$, which yields that $d(v) > \frac{k}{2k-1}(\beta - \frac{3}{2}\alpha)n > \frac{\alpha}{12}n + k$ for each vertex $v \in L_\beta$.

By Theorem 1, we also have $e(L_\beta) \leq k|L_\beta|$. Observe that $\sum_{u \in V(G) \setminus L_\beta} d(u) \geq e(L_\beta, V(G) \setminus L_\beta) = \sum_{v \in L_\beta} d(v) - 2e(L_\beta)$. Therefore,

$$2e(G) = \sum_{v \in L_\beta} d(v) + \sum_{u \in V(G) \setminus L_\beta} d(u) \geq 2 \sum_{v \in L_\beta} d(v) - 2e(L_\beta) > |L_\beta| \frac{\alpha}{6}n.$$

Combining $e(G) \leq kn$, we obtain $|L_\beta| < \frac{12k}{\alpha}$. This completes the proof. \square

Lemma 15. $d(v) > (\gamma - \frac{1}{6k})n$ for each $v \in L_\gamma$.

Proof. Suppose to the contrary that there exists a vertex $v_0 \in L_\gamma$ with $d(v_0) \leq (\gamma - \frac{1}{6k})n$. We may assume that $x_{v_0} = \gamma_0 x_{u^*}$. By the definition of L_γ , we know that $\frac{1}{2k} \leq \gamma \leq \gamma_0 \leq 1$, and thus $d(v_0) \leq (\gamma_0 - \frac{1}{6k})n$.

Set $R = N(v_0) \cup N^2(v_0)$. Then $x_v \leq \beta x_{u^*}$ for each $v \in R \setminus L_\beta$. Therefore,

$$\begin{aligned} \rho^2 x_{v_0} &= d(v_0)x_{v_0} + \sum_{v \in R} d_{N(v_0)}(v)x_v \\ &= d(v_0)x_{v_0} + \sum_{v \in R \setminus L_\beta} d_{N(v_0)}(v)x_v + \sum_{v \in R \cap L_\beta} d_{N(v_0)}(v)x_v \\ &\leq \left(\gamma_0 d(v_0) + \beta \sum_{v \in R \setminus L_\beta} d_{N(v_0)}(v) + \sum_{v \in R \cap L_\beta} d_{N(v_0)}(v) \right) x_{u^*}. \end{aligned} \quad (8)$$

Since $N(v_0) \subseteq R$, we can see that

$$\sum_{v \in R \setminus L_\beta} d_{N(v_0)}(v) \leq \sum_{v \in R} d_R(v) = 2e(R) \leq 2e(G) \leq 2kn. \quad (9)$$

Observe that $R \cap L_\beta \subseteq L_\beta \setminus \{v_0\}$. We also have

$$\begin{aligned} \sum_{v \in R \cap L_\beta} d_{N(v_0)}(v) &\leq \sum_{v \in L_\beta \setminus \{v_0\}} d_{N(v_0) \cap L_\beta}(v) + \sum_{v \in L_\beta \setminus \{v_0\}} d_{N(v_0) \setminus L_\beta}(v) \\ &\leq 2e(L_\beta) + e(L_\beta, N(v_0) \setminus L_\beta) - |N(v_0) \setminus L_\beta|. \end{aligned} \quad (10)$$

Furthermore, $e(L_\beta, N(v_0) \setminus L_\beta) \leq e(L_\beta \cup N(v_0)) - e(L_\beta)$. Notice that $e(L_\beta) \leq k|L_\beta|$ and $e(L_\beta \cup N(v_0)) \leq k(|L_\beta| + d(v_0))$. Combining (10), we obtain

$$\begin{aligned} \sum_{v \in R \cap L_\beta} d_{N(v_0)}(v) &\leq e(L_\beta \cup N(v_0)) - |N(v_0) \setminus L_\beta| + e(L_\beta) \\ &\leq (k-1)d(v_0) + (k+1)|L_\beta| + e(L_\beta) \\ &\leq (k-1)d(v_0) + (2k+1)|L_\beta|. \end{aligned} \quad (11)$$

Substituting (9) and (11) into (8), we get that

$$\begin{aligned} \rho^2 x_{v_0} &\leq \left(\gamma_0 d(v_0) + 2k\beta n + (k-1)d(v_0) + (2k+1)|L_\beta| \right) x_{u^*} \\ &= \left((\gamma_0 + k-1)d(v_0) + 2k\beta n + (2k+1)|L_\beta| \right) x_{u^*}. \end{aligned} \quad (12)$$

Since $n \geq \frac{18k}{\alpha^2}$ and $\alpha < \frac{1}{24k^2}$, we have $\frac{12k}{\alpha} \leq \frac{2}{3}\alpha n < \frac{n}{(6k)^2}$. Moreover, by Lemma 14, we have $|L_\beta| < \frac{12k}{\alpha}$. Thus, we can check that $(2k+1)|L_\beta| < \frac{n}{6k} - k^2 \leq \frac{n}{6k} - k^2\gamma_0$. Recall that $\rho^2 x_{v_0} \geq k(n-k)\gamma_0 x_{u^*}$ and $d(v_0) \leq (\gamma_0 - \frac{1}{6k})n$. Combining (12), we obtain that

$$k(n-k)\gamma_0 < (\gamma_0 + k-1)\left(\gamma_0 - \frac{1}{6k}\right)n + 2k\beta n + \frac{n}{6k} - k^2\gamma_0,$$

which gives $k\gamma_0 < (\gamma_0 + k-1)\left(\gamma_0 - \frac{1}{6k}\right) + 2k\beta + \frac{1}{6k}$. Recall that $\beta \leq \frac{1}{6k^2}$. It follows that

$$(\gamma_0 - 1)\left(\gamma_0 - \frac{1}{6k}\right) > \frac{k-1}{6k} - 2k\beta \geq \frac{k-3}{6k} \geq 0. \quad (13)$$

Now let $f(\gamma) = (\gamma - 1)\left(\gamma - \frac{1}{6k}\right)$, where $\frac{1}{2k} \leq \gamma \leq 1$. Obviously, $f(\gamma)|_{\max} = f(1) = 0$, which contradicts (13). The proof is completed. \square

Recall that $L_\gamma = \{u \in V(G) \mid x_u \geq \gamma x_{u^*}\}$, where $\frac{1}{2k} \leq \gamma \leq 1$. Let $\gamma_0 := \frac{1}{2k}$. Clearly, $L_{1-\gamma_0} \subseteq L_{\gamma_0}$. We will see that every vertex $u \in L_{\gamma_0}$ has a larger value x_u .

Lemma 16. $L_{\gamma_0} = L_{1-\gamma_0}$.

Proof. Suppose to the contrary that there exists a vertex $u_0 \in L_{\gamma_0} \setminus L_{1-\gamma_0}$. Assume that $x_{u_0} = \gamma x_{u^*}$. Then $\gamma_0 \leq \gamma < 1 - \gamma_0$. Set $R = N[u^*] \cup N^2(u^*)$. Then we have

$$\rho^2 x_{u^*} = \sum_{u \in R} d_{N(u^*)}(u) x_u = \sum_{u \in R \setminus L_\beta} d_{N(u^*)}(u) x_u + \sum_{u \in R \cap L_\beta} d_{N(u^*)}(u) x_u. \quad (14)$$

Recall that $e(G) \leq kn$ and $x_u \leq \beta x_{u^*}$ for each $u \in R \setminus L_\beta$. Then

$$\sum_{u \in R \setminus L_\beta} d_{N(u^*)}(u) x_u \leq \sum_{u \in R} d_R(u) \beta x_{u^*} \leq 2e(G) \beta x_{u^*} \leq 2\beta kn x_{u^*}. \quad (15)$$

On the other hand, since $u_0 \in L_{\gamma_0}$ and $L_{\gamma_0} \subseteq L_\beta$, we have $u_0 \in L_\beta$, and thus

$$\sum_{u \in R \cap L_\beta} d_{N(u^*)}(u) x_u \leq \sum_{u \in L_\beta} d_{N(u^*)}(u) x_{u^*} + d_{N(u^*)}(u_0)(x_{u_0} - x_{u^*}), \quad (16)$$

where $x_{u_0} - x_{u^*} = (\gamma - 1)x_{u^*}$ and

$$\begin{aligned} \sum_{u \in L_\beta} d_{N(u^*)}(u) &= \sum_{u \in L_\beta} d_{N(u^*) \setminus L_\beta}(u) + \sum_{u \in L_\beta} d_{N(u^*) \cap L_\beta}(u) \\ &\leq e(L_\beta, N(u^*) \setminus L_\beta) + 2e(L_\beta) \\ &\leq e(G) + e(L_\beta). \end{aligned}$$

Recall that $e(G) \leq k(n - k)$ and $e(L_\beta) \leq k|L_\beta| < \frac{12}{\alpha}k^2$. Consequently, $\sum_{u \in L_\beta} d_{N(u^*)}(u) \leq k(n - k) + \frac{12}{\alpha}k^2$. Combining (14)-(16), we obtain

$$\rho^2 x_{u^*} \leq \left(2\beta kn + k(n - k) + \frac{12}{\alpha}k^2 + (\gamma - 1)d_{N(u^*)}(u_0) \right) x_{u^*}. \quad (17)$$

By Lemma 15, we have $d(u^*) \geq (1 - \frac{1}{6k})n$ and $d(u_0) \geq (\gamma - \frac{1}{6k})n$. Thus, $|V(G) \setminus N(u^*)| \leq \frac{n}{6k}$ and $d_{N(u^*)}(u_0) \geq (\gamma - \frac{1}{3k})n$. Notice that $\rho^2 \geq k(n - k)$. It follows from (17) that

$$(\gamma - 1)\left(\gamma - \frac{1}{3k}\right)n \geq -(2\beta kn + \frac{12}{\alpha}k^2).$$

Recall that $\alpha \leq \frac{1}{24k(k+1)}$, $\beta \geq \frac{5}{3}\alpha$ and $n \geq \frac{18k}{\alpha^2}$. Then $\frac{12}{\alpha}k^2 \leq \frac{2}{3}\alpha kn$. Now choose $\beta = \frac{5}{3}\alpha$. Then we have $2\beta kn + \frac{12}{\alpha}k^2 \leq 4\alpha kn$, and hence $(\gamma - 1)(\gamma - \frac{1}{3k}) \geq -4\alpha k \geq -\frac{1}{6(k+1)}$. Let $f(\gamma) = (\gamma - 1)(\gamma - \frac{1}{3k})$, where $\gamma_0 \leq \gamma \leq 1 - \gamma_0$ and $\gamma_0 = \frac{1}{2k}$. Obviously, $f(\gamma)|_{\max} = f(\gamma_0) = -\frac{2k-1}{12k^2} < -\frac{1}{6(k+1)}$ for $k \geq 3$, a contradiction. \square

With the above lemmas in hand, we now provide the proof of Theorem 4.

Proof. Choose $L = L_{\gamma_0}$ in Theorem 4. Given an arbitrary vertex $v \in L$. By Lemma 16, we have $v \in L_{1-\gamma_0}$, and thus $x_v \geq (1 - \gamma_0)x_{u^*} = (1 - \frac{1}{2k})x_{u^*}$. Furthermore, by Lemma 15 we have $d(v) \geq (1 - \gamma_0 - \frac{1}{6k})n = (1 - \frac{2}{3k})n$.

In the following, it remains to show that $|L| = k$. Firstly, suppose that $|L| \geq k + 1$. Taking v_1, v_2, \dots, v_{k+1} from L , we have

$$\left| \bigcap_{i=1}^{k+1} N(v_i) \right| \geq \sum_{i=1}^{k+1} |N(v_i)| - k \left| \bigcup_{i=1}^{k+1} N(v_i) \right| \geq (k+1) \left(1 - \frac{2}{3k}\right)n - kn = \frac{k-2}{3k}n \geq k+1.$$

Thus, G contains a copy of $K_{k+1, k+1}$, which is clearly a $(k+1)$ -(edge)-connected subgraph. However, by Lemma 7, every k -(edge)-connected subgraph of G is minimally k -(edge)-connected, which implies that G contains no any $(k+1)$ -(edge)-connected subgraph. We get a contradiction. Therefore, $|L| \leq k$.

Finally, suppose that $|L| \leq k - 1$. Since $L = L_{\gamma_0}$, we have $x_v < \gamma_0 x_{u^*} = \frac{1}{2k} x_{u^*}$ for every $v \in V(G) \setminus L$. Setting $R = N[u^*] \cup N^2(u^*)$, we have

$$\rho^2 x_{u^*} = \sum_{u \in R} d_{N(u^*)}(u) x_u \leq \left(\sum_{u \in R \cap L} d_{N(u^*)}(u) + \frac{1}{2k} \sum_{u \in R \setminus L} d_{N(u^*)}(u) \right) x_{u^*}, \quad (18)$$

Let E_0 be the set of edges incident to vertices of L . Then, every edge in E_0 can not be counted twice in $\sum_{u \in R \setminus L} d_{N(u^*)}(u)$. Moreover, it is easy to see that $u^* \in L$ and every edge incident to u^* can not be counted in $\sum_{u \in R \setminus L} d_{N(u^*)}(u)$. Consequently, $\sum_{u \in R \setminus L} d_{N(u^*)}(u) \leq 2e(G) - d(u^*) - |E_0|$. Note that $e(G) \leq kn$ and

$$d(u^*) + |E_0| = d(u^*) + \sum_{v \in L} d(v) - e(L) \geq (|L| + 1) \left(1 - \frac{2}{3k}\right)n - \frac{1}{2}k^2 \geq |L|n.$$

It follows that $\sum_{u \in R \setminus L} d_{N(u^*)}(u) \leq (2k - |L|)n$. Observe that $\sum_{u \in R \cap L} d_{N(u^*)}(u) \leq |L|n$. Combining (18) and $|L| \leq k - 1$, we obtain

$$\rho^2 \leq |L|n + \frac{1}{2k} (2k - |L|)n \leq (k - 1)n + \frac{(k+1)}{2k}n = kn - \frac{k-1}{2k}n,$$

which contradicts $\rho^2 \geq k(n - k)$. Therefore, $|L| = k$. This completes the proof. \square

At the end of this section, we give the proof of Theorem 5.

Proof. Let G^* be a graph that has the maximal spectral radius among all minimally k -(edge)-connected graphs of order n , where $n \geq \frac{18k}{\alpha^2}$ and $\alpha = \frac{1}{24k(k+1)}$. Since $K_{k, n-k}$ is also minimally k -(edge)-connected, we have $\rho^2(G^*) \geq \rho^2(K_{k, n-k}) = k(n - k)$. Furthermore, by Theorem 4, G^* contains a k -vertex subset L such that $x_v \geq (1 - \frac{1}{2k})x_{u^*}$ and $d(v) \geq (1 - \frac{2}{3k})n$ for each vertex $v \in L$, where $L = L_{\frac{1}{2k}}$.

Denote by V the common neighbourhood of vertices in L , and let $U = V(G^*) \setminus (L \cup V)$. Since $|L| = k$ and every vertex in L has at most $\frac{2}{3k}n$ non-neighbors, we can see that

$$|L \cup V| \geq n - k \cdot \frac{2}{3k}n = \frac{n}{3} > \frac{1}{2}k(k + 5).$$

The key point is to show that $U = \emptyset$. Suppose to the contrary that $|U| = t \neq 0$. By Theorem 1, we have $e(G^*) \leq k(n - k) = k(|V| + |U|)$.

Now, define $G_0 = G^*$ and $U_0 = U$. Moreover, let E_0 be the subset of $E(G_0)$ in which every edge is incident to at least one vertex from U_0 . Then $|E_0| \leq e(G^*) - e(L, V) \leq k|U_0|$, as $e(L, V) = |L||V| = k|V|$. It follows that $\sum_{u \in U_0} d_{G_0}(u) \leq 2|E_0| \leq 2k|U_0|$, which implies that there exists a vertex $u_0 \in U_0$ such that $d_{G_0}(u_0) \leq 2k$.

Then, let $G_1 = G_0 - \{u_0\}$, $U_1 = U_0 \setminus \{u_0\}$ and E_1 be the subset of $E(G_1)$ in which every edge is incident to some vertices from U_1 . Similarly as above, we have $e(G_1) \leq k(|V| + |U_1|)$ and $|E_1| \leq e(G_1) - e(L, V) \leq k|U_1|$. Thus, we can find a vertex $u_1 \in U_1$ such that $d_{G_1}(u_1) \leq 2k$. Consequently, we can obtain a vertex ordering u_0, u_1, \dots, u_{t-1} such that $G_i = G_{i-1} - \{u_{i-1}\}$, $U_i = U_{i-1} \setminus \{u_{i-1}\}$ and $d_{G_i}(u_i) \leq 2k$ for each $i \in \{1, \dots, t-1\}$. For simplicity, we denote $d_L(u_i) = d_i$ and $d_{G_i-L}(u_i) = d'_i$. Then $d_i \leq k-1$ by the definition of U , and $d_i + d'_i = d_{G_i}(u_i) \leq 2k$ for $i \in \{0, \dots, t-1\}$.

We shall construct a new graph G from G^* in the following way. For each vertex u_i ($0 \leq i \leq t-1$), we delete all d'_i edges from u_i to $V(G_i - L)$, and then add all possible $k - d_i$ edges from u_i to L . Denote $\bar{N}_L(u_i) = L \setminus N_L(u_i)$. Then, we can see that

$$\rho(G) - \rho(G^*) \geq \sum_{uv \in E(G)} x_u x_v - \sum_{uv \in E(G^*)} x_u x_v = \sum_{i=0}^{t-1} x_{u_i} \left(\sum_{v \in \bar{N}_L(u_i)} x_v - \sum_{v \in N_{G_i-L}(u_i)} x_v \right). \quad (19)$$

Recall that $x_v \geq (1 - \frac{1}{2k})x_{u^*}$ for each $v \in L$. Moreover, since we choose $L = L_{\frac{1}{2k}}$, it is obvious that $x_v < \frac{1}{2k}x_{u^*}$ for each $v \notin L$. In view of (19), we obtain

$$\rho(G) - \rho(G^*) \geq \sum_{i=0}^{t-1} x_{u_i} x_{u^*} \left((k - d_i)(1 - \frac{1}{2k}) - d'_i \cdot \frac{1}{2k} \right).$$

Recall that $d_i + d'_i \leq 2k$ and $d_i \leq k-1$ for each $i \in \{0, \dots, t-1\}$. Thus,

$$(k - d_i)(1 - \frac{1}{2k}) - d'_i \cdot \frac{1}{2k} \geq (k - d_i)(1 - \frac{1}{2k}) - (2k - d_i) \frac{1}{2k} \geq 1 - \frac{k+2}{2k} > 0.$$

It follows that $\rho(G) > \rho(G^*)$.

Observe that $N_G(u_i) = L$ for each $u_i \in U$. We will further see that $G \cong K_{k, n-k}$. Indeed, otherwise, $G \not\cong K_{k, n-k}$, then either $e_G(L) \neq 0$ or $e_G(V) \neq 0$. However, $G^*[L \cup V]$ contains a spanning subgraph $K_{|L|, |V|}$, where $|L| = k$ and $|L \cup V| \geq \frac{n}{3}$. Hence, $G^*[L \cup V]$ is clearly k -(edge)-connected. By Lemma 7, $G^*[L \cup V]$ is minimally k -(edge)-connected, which implies that $G^*[L \cup V] \cong K_{|L|, |V|}$. Since $G[L \cup V] = G^*[L \cup V]$, we have $G[L \cup V] \cong K_{|L|, |V|}$, and thus $e_G(L) = e_G(V) = 0$, a contradiction. Hence, $G \cong K_{k, n-k}$. But now, the inequality $\rho(G^*) < \rho(G)$ contradicts the assumption that G^* has maximal spectral radius. Therefore, $U = \emptyset$ and $G^* \cong K_{k, n-k}$. This completes the proof. \square

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