Characterising 4-Tangles Through a Connectivity Property

Johannes Carmesin

Jan Kurkofka

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Abstract

Every large k-connected graph-minor induces a k-tangle in its ambient graph. The converse holds for $k \leq 3$, but fails for $k \geq 4$. This raises the question whether 'k-connected' can be relaxed to obtain a characterisation of k-tangles through highly cohesive graph-minors. We show that this can be achieved for k=4 by proving that internally 4-connected graphs have unique 4-tangles, and that every graph with a 4-tangle τ has an internally 4-connected minor whose unique 4-tangle lifts to τ .

Mathematics Subject Classifications: 05C83, 05C40, 05C05, 05C10

1 Introduction

Tangles have been introduced by Robertson and Seymour [32] as an abstract notion that unifies many established concrete notions of what a highly cohesive substructure somewhere in a graph could be. Curiously, the converse is open: there is no established concrete notion for high cohesion such that every tangle is induced by a highly cohesive substructure.

It is well-known that 2-connectivity characterises 2-tangles, and 3-connectivity characterises 3-tangles, in the following sense. Recall that a graph-property is a class of graphs closed under isomorphism. A graph-property characterises k-tangles for a $k \in \mathbb{N}$ if, on the one hand, every graph with the property has a unique k-tangle, and on the other hand, for every k-tangle τ in a graph G there is a graph-minor H of G which exhibits the property and such that the unique k-tangle in H lifts to τ ; see Section 2 for the definition of lifts. Graphs with the cube as a contraction-minor show that 4-connectivity fails to characterise 4-tangles, and more generally k-connectivity fails to characterise k-tangles for $k \geqslant 4$.

As our main result, we show that 4-tangles are characterised by a relaxation of 4-connectivity known as internal 4-connectivity. A graph G is internally 4-connected if it is 3-connected, has more than four vertices, and every 3-separator of G is independent and separates only one vertex from the rest of G.

University of Birmingham, Birmingham, UK

Theorem 1. Internal 4-connectedness characterises 4-tangles.

Conjecture 2. For every $k \in \mathbb{N}$ there is a connectivity-property that characterises k-tangles.

The key ingredient for the proof of Theorem 1 is a new decomposition theorem that provides a tree-decomposition of every 3-connected graph into torsos that are internally 4-connected or K_4 or K_3 , and such that distinct 4-tangles live in distinct bags; see Theorem 19. We also show how internal 4-connectedness can be used to prove Kuratowski's theorem.

Related work.

Over the past years, a rich Theory of Tangles has been established [21, 18, 1, 2, 4, 5, 6, 7, 8, 10, 13, 14, 16, 17, 22, 23, 24, 27, 28, 29, 32, 25, 31, 11, 20, 15, 19]. Grohe has studied 4-tangles as well [26]. He showed that quasi 4-connectivity¹ characterises 4-tangles, but only up to two counterexamples that can occur in infinitely many graphs [26, Theorem 4.5]. This inspired us to search for another relaxation of 4-connectivity that might work without any restrictions whatsoever. Internal 4-connectivity is an established relaxation of 4-connectivity, lying in between quasi 4-connectivity and 4-connectivity, which achieves just that.

Our decomposition result Theorem 19 is similar to Grohe's [26]. There are two differences worth pointing out. Grohe shows that the torsos he obtains are quasi 4-connected or K_4 or K_3 , while we obtain internally 4-connected torsos or K_4 or K_3 , and internally 4-connected graphs are quasi 4-connected. The other difference is that our decomposition arises from a short greedy construction², while Grohe's exciting construction is far more involved.

We unequivocally stress that Theorem 19 is much weaker than the tri-separation decomposition from [9]. The decompositions of Theorem 19 can be obtained as refinements of the tri-separation decomposition with some effort, but it is not possible to obtain the tri-separation decomposition from Theorem 19. This is because the tri-separation decomposition is canonical, meaning that it is invariant under graph-automorphisms, and because it admits an explicit description that uniquely determines it for every graph. The tri-separation decomposition has applications for which Theorem 19 is not suited because the decompositions provided by Theorem 19 are neither canonical nor explicit. These applications include Connectivity Augmentation, Cayley graphs or Parallel Computing.

We introduce Theorem 19 as a handy tool for those situations where canonicity or an explicit description are not crucial. Of course, we could also use [26] instead of Theorem 19,

¹A graph is quasi 4-connected if it is 3-connected, has more than four vertices, and every 3-separation of G has a side of size ≤ 4 .

²A 3-separation $\{A, B\}$ of G is claw-free if neither G[A] nor G[B] is a claw aka $K_{1,3}$. A set S of proper 3-separations is claw-freeable if S can be enumerated as s_0, s_1, \ldots, s_n such that for every index i either s_i is claw-free or there is j < i such that the separators of s_j and s_i intersect in at least two vertices. Take the tree-decomposition defined by an inclusionwise maximal claw-freeable nested set of 3-separations – that's it.

but we like the alternative construction we have for [26] and think it is worth sharing. We would also like to mention that an extension to 4-connectivity of the tri-separation decomposition [9] has recently been found [30].

For matroids, a version of Theorem 1 has been proved in parallel and independently from our project, by Brettell, Jowett, Oxley, Semple and Whittle [3]. They use weak 4-connectivity, which is not as strong as internal 4-connectivity but optimal in the setting of matroids. There are differences in our approaches and the results do not imply each other in an obvious way.

This paper is organised as follows. Section 2 investigates two substructures in graphs that induce 4-tangles. In Section 3, we prove the decomposition result Theorem 19. This is used at the end of the section to deduce Theorem 1. In Section 4, we show that the relevant torsos of the tree-decompositions provided by Theorem 19 are unique up to isomorphism. In Section 5, we provide a proof of Kuratowski's theorem that uses internal 4-connectedness.

2 Tangles from minors

For terminology regarding graphs, minors, separations and tangles, we follow [12]. A separation $\{A, B\}$ is proper if $A \setminus B \neq \emptyset \neq B \setminus A$. We refer to $K_{1,3}$ as a claw.

Suppose that G and H are two graphs where H is a minor of G. Then there are a vertex set $U \subseteq V(G)$ and a surjection $f: U \to V(H)$ such that the preimages $f^{-1}(x) \subseteq U$ form the branch sets of a model of H in G. A minor-map $\varphi: G \succcurlyeq H$ formally is such a pair (U, f). Given $\varphi = (U, f)$ we address U as $V(\varphi)$ and we write $\varphi = f$ by abuse of notation. Usually, we will abbreviate 'minor-map' as 'map'.

If $\varphi \colon G \succcurlyeq H$ and $s = \{A, B\}$ is a separation of G, then s induces the separation $\varphi(s) := \{A_{\varphi}, B_{\varphi}\}$ of H, where A_{φ} consists of those vertices of H whose branch set contains a vertex of A, and B_{φ} is defined analogously. The order of $\varphi(s)$ is no larger than the order of s. For oriented separations s, we define $\varphi(s)$ analogously. If τ is a k-tangle in H, then the φ -lift of τ to G is the collection of all oriented ($\langle k \rangle$ -separations s of G with $\varphi(s) \in \tau$.

Lemma 1. [32, (6.1)] Let φ : $G \succcurlyeq H$. Then the φ -lift to G of every k-tangle in H is a k-tangle in G.

Proof. Let τ' be the φ -lift to G of a k-tangle τ in H. If τ' contains oriented separations (A'_i, B'_i) for $i \in [3]$ such that $G[A'_1] \cup G[A'_2] \cup G[A'_3] = G$, then $H[A_1] \cup H[A_2] \cup H[A_3] = H$ for $(A_i, B_i) := \varphi(A'_i, B'_i)$, contradicting the fact that τ is a tangle in H. It remains to show that τ' does not contain both orientations of the same separation $\{A, B\}$ of G. Indeed, this could only happen if φ sends $\{A, B\}$ to $\{V(H), V(H)\}$, in which case H would have at most $|A \cap B| < k$ vertices; but graphs on less than k vertices have no k-tangles, so this cannot happen.

2.1 Internal 4-connectivity

Lemma 2. Let $\{A, B\}$ be a 3-separation of graph G such that |A| = 3 or the induced subgraph G[A] is a claw. Then every 4-tangle in G orients $\{A, B\}$ towards B.

Proof. Let τ be a 4-tangle in G. If |A| = 3, then G[B] = G, so τ cannot orient $\{A, B\}$ towards A. Suppose that G[A] is a claw. Then G[A] can be written as the union of two paths P_1, P_2 with two edges. For both i = 1, 2, the 4-tangle τ orients $\{V(P_i), V(G)\}$ towards V(G). As $G = G[B] \cup P_1 \cup P_2$, the 4-tangle τ must orient $\{A, B\}$ towards B. \square

Proposition 3. Let G be an internally 4-connected graph. Then every 3-separation of G has one side that is larger than the other. Orienting every 3-separation of G towards its largest side defines a 4-tangle in G, and this is the only 4-tangle in G.

Proof. Let G be an internally 4-connected graph. Then G has at least six vertices or $G = K_5$. Hence every 3-separation of G has one side that contains more vertices than the other, and we let τ orient each 3-separation to its largest side. Suppose for a contradiction that τ contains separations $(A_1, B_1), (A_2, B_2), (A_3, B_3)$ such that $G[A_1] \cup G[A_2] \cup G[A_3] = G$. Since G is internally 4-connected and $|A_i| < |B_i|$, each $G[A_i]$ has only three vertices or is a claw, so G has at most nine edges. Combining this with the fact that G has minimum degree at least three, we find that G has exactly six vertices and is 3-regular. Combining 3-regularity with internal 4-connectedness, we find that the neighbourhoods of the vertices of G form independent sets. We now derive that $G = K_{3,3}$, as follows. Let G be an arbitrary vertex of G, and let G denote its neighbourhood. Since $G[\{v\} \cup X]$ is a claw and G is 3-regular, every vertex in G is adjacent to both G and G so G contains a spanning G has a spanning G has 3-regular, every vertex in G is a 4-tangle.

Suppose now for a contradiction that τ' is another 4-tangle in G. Then τ' orients some 3-separation $\{A,B\}$ of G differently than τ . Since G is internally 4-connected, one of G[A] or G[B] has only three vertices or is a claw, contradicting Lemma 2. Thus, τ is unique.

2.2 Cubes

The *cube* means the graph that resembles the 3-dimensional cube, see Figure 1. A set X of four vertices in a graph G is *cubic* in G if the set of neighbourhoods of the components of $G \setminus X$ is equal to $[X]^3$ (the collection of all subsets of X of size three).



Figure 1: The red and black vertex sets are cubic in the cube

Example 4. We claim that if $X \subseteq V(G)$ is cubic in G, then G contains the cube as a minor. Indeed, let us view the cube Q as a bipartite graph with classes A and B. For the branch sets of the vertices in A we take the vertex sets of components C_x of $G \setminus X$ with neighbourhoods equal to X - x, for $x \in X$, while for the vertices in B we take the singletons $\{x\}$ as branch sets.

Given a cubic vertex set X in a graph G, we can obtain a minor-map $\varphi \colon G \succcurlyeq Q$ to the cube Q as in Example 4. We call such minor-maps standard cube-minors at X.

Lemma 5. [12, §12 Excercise 43] Let τ be a k-tangle in a graph G. Then for every set $X \subseteq V(G)$ of fewer than k vertices there exists a unique component $C = C(X, \tau)$ of $G \setminus X$ such that, for every (< k)-separation $\{A, B\}$ of G with $A \cap B = X$, the k-tangle τ orients $\{A, B\}$ to the side that includes the component C.

Recall that a set $\{(A_i, B_i) : i \in I\}$ of (oriented) separations (A_i, B_i) of a graph G is a star if $(A_i, B_i) \leq (B_j, A_j)$ for all $i \neq j \in I$. The bag of this star is $\bigcap_{i \in I} B_i$ (where the empty intersection is set to be V(G)).

Proposition 6. Let G be a 3-connected graph. Let σ be a star of 3-separations of G such that the bag X of σ has four vertices. Then the following assertions are equivalent:

- (i) σ is included in some 4-tangle in G;
- (ii) X is cubic in G;
- (iii) X is cubic in G, and for every standard cube-minor $\varphi \colon G \succcurlyeq Q$ at X the φ -lift to G of the unique 4-tangle in Q includes σ .

Proof. (i) \rightarrow (ii). Let τ be a 4-tangle in G with $\sigma \subseteq \tau$. Suppose for a contradiction that X is not cubic in G. Then one of the four elements of $[X]^3$ is not equal to the neighbourhood of any component of $G \setminus X$. Let X_1, X_2, X_3 be the other three elements of $[X]^3$. For each $i \in [3]$, let U_i denote the (possibly empty) union of all components of $G \setminus X$ with neighbourhood equal to X_i , and let $s_i := \{V(U_i) \cup X_i, V(G \setminus U_i)\}$. Since all s_i are 3-separations of G and $\bigcup_{i=1}^3 G[U_i \cup X_i] = G$, no 4-tangle in G orients all s_i towards $G \setminus U_i$. Hence τ orients s_1 , say, towards $U_1 \cup X_1$. As τ is a 4-tangle, U_1 must include the component $C(X_1, \tau) =: C$ of $G \setminus X_1$ provided by Lemma 5. Since σ is a star of 3-separations with bag X, some $(A, B) \in \sigma$ satisfies $C \subseteq G[A \setminus B]$. But then τ orients $\{A, B\}$ towards A by Lemma 5, contradicting the assumption that $\sigma \subseteq \tau$.

(ii) \rightarrow (iii). The cubic vertex set X gives rise to a standard cube-minor $\varphi \colon G \succcurlyeq Q$. Since the cube is internally 4-connected, it has a unique 4-tangle τ_Q by Proposition 3. This 4-tangle lifts to a 4-tangle τ in G by Lemma 1. Every $s \in \sigma$ induces an oriented 3-separation $\varphi(s)$ of the cube minor Q. The separator of s is an element $Y \in [X]^3$, and the left side of s includes only components of $G \setminus X$ with neighbourhood equal to Y. Hence the left side of $\varphi(s)$ either induces a claw in Q or has size three, depending on whether one of the components included in its left side is used as a branch set by the cube minor. In either case, $\varphi(s) \in \tau_Q$ by Lemma 2. Hence $s \in \tau$ and, more generally, $\sigma \subseteq \tau$.

$$(iii) \rightarrow (i)$$
 is trivial.

3 Greedy decompositions of 3-connected graphs

The aim of this section is to find a tree-decomposition of every 3-connected graph from which we can read the internally 4-connected minors that we need for a proof of Theorem 1. This will be achieved with Theorem 19.

3.1 Preparation

Lemma 7. Every proper 3-separation $\{A, B\}$ of a 3-connected graph G satisfies exactly one of the following:

- (C1) G[A] or G[B] is a claw with set of leafs equal to $A \cap B$ while the other includes a cycle:
- (C2) both G[A] and G[B] include cycles.

Proof. Clearly, (C1) and (C2) exclude each other. Suppose that (C2) fails; we shall show (C1). Since G has minimum degree three, it suffices to show for both $X \in \{A, B\}$ that G[X] is a claw if G[X] includes no cycle. Say X = A. Every component of $G[A \setminus B]$ has neighbourhood equal to $A \cap B$, so G[A] is connected. Thus, if G[A] includes no cycle, it is a tree T. All leafs of T lie in $A \cap B$. Since $A \cap B$ has size three, T has at most three leafs. As every non-leaf of T has degree at least three, T has at most one non-leaf. Since $A \setminus B$ is nonempty, T has at least one non-leaf. So T is a claw with set of leafs equal to $A \cap B$.

A proper 3-separation $\{A, B\}$ of G is *claw-free* if it satisfies (C2) instead of (C1).

Let $\sigma = \{(A_i, B_i) : i \in I\}$ be a star of separations of G. The torso of σ is the graph obtained from the subgraph of G induced by the bag of σ by turning each separator $A_i \cap B_i$ (for $i \in I$) into a clique. Now let S be a set of separations of G and recall that $\vec{S} = \{(A, B), (B, A) : \{A, B\} \in S\}$. We say that σ is a splitting star of S if $\sigma \subseteq \vec{S}$ and for every $\{C, D\} \in S$ there is $i \in I$ such that either $(C, D) \leq (A_i, B_i)$ or $(D, C) \leq (A_i, B_i)$. A separation $\{U, W\}$ of G interlaces a star σ if for every $i \in I$ either $(A_i, B_i) < (U, W)$ or $(A_i, B_i) < (W, U)$.

Lemma 8. Let N be a nested set of separations of a graph G, and let σ be a splitting star of N with torso X. For every proper separation $\{A, B\}$ of X there exists a separation $\{\hat{A}, \hat{B}\}$ of G such that $\hat{A} \cap V(X) = A$ and $\hat{B} \cap V(X) = B$ and $\hat{A} \cap \hat{B} = A \cap B$. Moreover, $\{\hat{A}, \hat{B}\}$ interlaces σ and is nested with N.

Proof. This is folklore. The proof is analogous to the proof of [9, Lemma 2.6.4]. \Box

In the context of Lemma 8, we say that $\{A,B\}$ lifts to $\{\hat{A},\hat{B}\}$, and call $\{\hat{A},\hat{B}\}$ a lift of $\{A,B\}$.

3.2 Decomposition

The purpose of the following definitions is to get Lemma 11 and Theorem 19 to work.

A set S of proper 3-separations is *claw-freeable* if S can be enumerated as s_0, s_1, \ldots, s_n such that for every index i either s_i is claw-free or there is j < i such that the separators of s_j and s_i intersect in at least two vertices. In this context, the linear ordering s_0, \ldots, s_n of S is called *claw-freeing*. A claw-freeable nested set of proper 3-separations is a β -chop. A 3-chop of G is maximal if it is not properly included as a subset in another 3-chop of G.

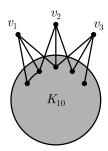


Figure 2: This graph is obtained from a K_{10} by first attaching the three vertices v_1 , v_2 and v_3 of degree three as illustrated, and then deleting all edges with both ends in the neighbourhood of a v_i , except one edge in the neighbourhood of v_1 which lies as in the figure.

Example 9. Let G be the graph depicted in Figure 2. Let N denote the set of all 3-separations of the form $s_i := \{V(G) - v_i, \{v_i\} \cup N(v_i)\}$ where $i \in [3]$. Then N has a claw-freeing linear ordering s_1, s_2, s_3 . This is the only claw-freeing ordering of N.

Let H and G be two graphs. We say that H is a faithful minor of G if there is $\varphi \colon G \succcurlyeq H$ such that $x \in \varphi^{-1}(x)$ for all $x \in V(H)$. Note that H being a faithful minor of G implies $V(H) \subseteq V(G)$.

Lemma 10. Let $\{A, B\}$ be a proper 3-separation of a 3-connected graph G. If G[B] includes a cycle, then the torso of $\{(B, A)\}$ is a faithful minor of G.

Proof. The torso H of $\{(B,A)\}$ is equal to the graph that is obtained from G[A] by turning $A \cap B$ into a triangle. Let $O \subseteq G[B]$ be a cycle. By Menger's theorem, we find a set \mathcal{P} of three disjoint paths in G from $A \cap B$ to O. We now find H as a faithful minor of G by deleting all the vertices and edges of G[B] that are not in $O \cup \bigcup \mathcal{P}$, contracting the three paths in \mathcal{P} to vertices, and contracting the three segments of O between the endvertices of paths in \mathcal{P} to edges.

A nested set N of separations of a graph G is torso-faithful to G if every torso of N is a faithful minor of G.

Lemma 11. All 3-chops of 3-connected graphs are torso-faithful.

Proof. Let N be a 3-chop of a 3-connected graph G. We proceed by induction on |N|. If N is empty, there is nothing to show. So let $\{A, B\}$ be the least element in a claw-freeing linear odering of N. Then $\{A, B\}$ is claw-free, so G[A] and G[B] include cycles by Lemma 7.

Let G_A denote the graph obtained from G[A] by turning $A \cap B$ into a triangle. Then G_A is 3-connected by Lemma 8. Let N'_A consist of all $\{C, D\} \in N$ that satisfy either (C, D) < (A, B) or (D, C) < (A, B), and let N_A consist of $\{C \cap A, D \cap A\}$ for all $\{C, D\} \in N'_A$. Note that N_A is a claw-freeable nested set of proper 3-separations (in G_A) and hence a 3-chop. Thus N_A is torso-faithful to G_A by the induction hypothesis. By Lemma 10, G_A is a faithful minor of G. Hence every torso of N_A in G_A is a faithful minor of G. A symmetric definition and argumentation show that every torso of N_B is a faithful minor of G.

Let σ be an arbitrary splitting star of N. If σ contains neither (A, B) nor (B, A), then σ defines a splitting star of N_A or of N_B with the same torso as σ , and so the torso of σ is a faithful minor of G as shown above. Otherwise σ contains (B, A), say. Then $\sigma_A := \sigma \cap N'_A$ defines a splitting star of N_A . The torso of σ_A in G_A is equal to the torso of σ in G, and so it is a faithful minor of G as shown above.

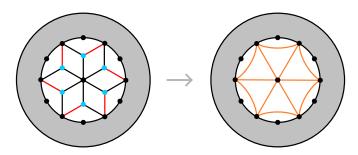


Figure 3: The grey donut is a large complete graph

Example 12. The converse of Lemma 11 fails in the following sense: There is a 3-connected graph G with a nested set N of proper 3-separations of G such that N is torso-faithful but not claw-freeable.

Proof. Consider a graph G as depicted in Figure 3 on the left-hand side. Let N denote the set of all 3-separations whose separator is the neighbourhood of a blue vertex. The torsos of the splitting stars of size one are faithful minors of G. The only nontrivial splitting star has the graph depicted on the right-hand side of Figure 3 as torso. This torso is also a faithful minor of G, as it can be obtained from G by contracting all red edges. However, N is not claw-freeable, since no element of N is claw-free and the first element of a claw-freeing ordering must be claw-free.

Example 12 raises the question why we do not replace 'claw-freeable' with 'torso-faithful' in the definition of 3-chop. In fact, we could do this and Theorem 19 would hold with this alternative definition of 3-chop. But it turns out that we need the definition via 'claw-freeable' instead of 'torso-faithful' to show Theorem 23.

Lemma 13. Let G be a 3-connected graph other than $K_{3,3}$. Let σ be a splitting star of a maximal 3-chop N of G with torso X. If $|X| \ge 5$, then X is internally 4-connected.

Proof. The torso X is 3-connected, by Lemma 8 and since $|X| \ge 5$. We have $X \ne K_4$ since $|X| \ge 5$. If X is a $K_{3,3}$, then σ must be empty since X contains no triangle, and so $G = X = K_{3,3}$ would contradict our assumptions.

Suppose for a contradiction that X has a claw-free proper 3-separation $\{A, B\}$. Let $\{\hat{A}, \hat{B}\}$ be a lift of $\{A, B\}$ from X to G, which interlaces σ and is nested with N, by Lemma 8. Let N' be obtained from N by adding the lift $\{\hat{A}, \hat{B}\}$. If the lift $\{\hat{A}, \hat{B}\}$ is claw-free, then N' is a 3-chop of G by Lemma 11, which contradicts the maximality of N.

Otherwise $G[\hat{A}]$ is a claw, say. Since $\{\hat{A}, \hat{B}\}$ is a lift of $\{A, B\}$ and $\{A, B\}$ is proper, we have $\hat{A} = A$. Since X[A] includes a cycle but $G[\hat{A}] \subseteq X[A]$ is a claw, some edge in $X[A \cap B]$ must be missing in G. So there is an element of σ whose separator intersects $A \cap B$ in at least two vertices. As $A \cap B = \hat{A} \cap \hat{B}$, the set N' is a 3-chop of G, which contradicts the maximality of N.

Lemma 14. Let G be a 3-connected graph. Let σ be a star of 3-separations of G such that the torso X of σ is a faithful minor of G witnessed by $\varphi \colon G \succcurlyeq X$. Then the φ -lift to G of every 4-tangle in X includes σ .

Proof. Otherwise some 4-tangle in X would contain $\varphi(B, A) = (B \cap V(X), A \cap B)$ for some $(A, B) \in \sigma$, contradicting Lemma 2 as $A \cap B$ consists of three vertices.

Recall that a separation *distinguishes* two tangles if they orient it differently, and that it does so *efficiently* if no separation of strictly lower order distinguishes the two tangles.

Lemma 15. Let τ_1, τ_2 be two 4-tangles in a 3-connected graph G such that a 3-separation $\{A_1, A_2\}$ of G efficiently distinguishes the τ_i , say so that τ_i orients $\{A_{3-i}, A_i\}$ towards A_i for both i. Suppose that $\{C, D\}$ is a proper 3-separation of G that crosses $\{A_1, A_2\}$, but such that both τ_i orient $\{C, D\}$ towards D. Then there is i such that the corner $\{C \cup A_{3-i}, D \cap A_i\}$ efficiently distinguishes the τ_i .

Proof. Let $c_i := \{C \cup A_{3-i}, D \cap A_i\}$ and $d_i := \{C \cap A_{3-i}, D \cup A_i\}$ for both i, see Figure 4. We claim that there is an index i such that c_i has order $|c_i| \leq 3$, and assume for a contradiction that both c_1 and c_2 have order greater than three. Recall that $|c_i| + |d_i| \leq |A_1 \cap A_2| + |C \cap D| = 6$ for both i by submodularity [12, §12.5]. Then both d_i have order at most two. As G is 3-connected, this means that there are no vertices in the sets $(C \setminus D) \cap (A_i \setminus A_{3-i})$ for both i. Since $\{C, D\}$ is proper, there is a vertex v in $C \setminus D$, and v must lie in $A_1 \cap A_2$. Now the three vertices in $C \cap D$ lie in the union of the separators of the d_i . So the separator of d_1 , say, contains at least two vertices from $C \cap D$. But this separator also contains v, so it has size at least three, contradicting that we deduced above that it has size at most two.

So c_1 , say, has order at most three. By the tangle-property, τ_1 must orient c_1 towards the side $D \cap A_1$, while τ_2 must orient c_2 towards the side $C \cup A_2$.

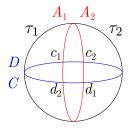


Figure 4: The situation in the proof of Lemma 15

Lemma 16. Let N be a nested set of proper 3-separations of a 3-connected graph G. Let τ_1, τ_2 be two 4-tangles in G that include the same splitting star σ of N. Then there is a claw-free proper 3-separation of G that efficiently distinguishes the τ_i and interlaces σ .

Proof. Let $\{A_1, A_2\}$ be a 3-separation of G that efficiently distinguishes the τ_i , chosen so that it crosses as few elements of σ as possible. Then $\{A_1, A_2\}$ is proper and claw-free by Lemma 2.

We claim that $\{A_1, A_2\}$ crosses no elements of σ , and suppose for a contradiction that it crosses some $(C, D) \in \sigma$. Both τ_i contain (C, D), but orient $\{A_1, A_2\}$ differently. By Lemma 15, some corner $c = \{A_1 \cap D, A_2 \cup C\}$ (say) efficiently distinguishes the τ_i . Every element of σ that crosses c must cross $\{A_1, A_2\}$ or $\{C, D\}$ by [12, Lemma 12.5.5]. Since every element of σ is nested with $\{C, D\}$, and since c is nested with $\{C, D\}$, the corner c crosses less elements of σ than $\{A_1, A_2\}$, a contradiction.

Since $\{A_1, A_2\}$ is nested with all the elements of σ , and since both τ_i include σ , the separation $\{A_1, A_2\}$ must interlace σ .

Corollary 17. Every nested set of proper 3-separations of a 3-connected graph G can be extended to a nested set of proper 3-separations of G that efficiently distinguishes all 4-tangles in G.

Lemma 18. Every maximal 3-chop of a 3-connected graph G efficiently distinguishes all the 4-tangles in G.

Proof. Let N be a maximal 3-chop of G. Suppose for a contradiction that two distinct 4-tangles τ_1, τ_2 in G include the same splitting star σ of N. By Lemma 16, there is a claw-free proper 3-separation s of G that efficiently distinguishes the τ_i and is nested with N. Then $N \cup \{s\}$ is a larger 3-chop of G, a contradiction.

Theorem 19. Let G be a 3-connected graph, and let N be a maximal 3-chop of G.

- (i) N efficiently distinguishes all the 4-tangles in G.
- (ii) All torsos of N are faithful minors of G.

Let σ be a splitting star of N with torso X.

(iii) If $|X| \leq 4$, then:

- some 4-tangle in G includes σ if and only if V(X) is cubic in G;
- if a 4-tangle τ in G includes σ and $\varphi \colon G \succcurlyeq Q$ is a standard cube-minor at V(X), then $\varphi(\tau)$ is the unique 4-tangle in Q.
- (iv) If $|X| \ge 5$ and $G \ne K_{3,3}$, then:
 - the torso X is internally 4-connected;
 - a 4-tangle τ in G includes σ and $\varphi(\tau)$ is the unique 4-tangle in X for all $\varphi \colon G \succcurlyeq X$ witnessing that X is a faithful minor of G.
- *Proof.* (i) is Lemma 18. (ii) is Lemma 11.
- (iii). If $|X| \leq 3$, then every 4-tangle in G lives in some component of $G \setminus X$ in the sense of Lemma 5, and by the same lemma no 4-tangle in G includes σ . Hence we may assume that |X| = 4. Proposition 6 shows the first claim. Suppose now that a 4-tangle τ in G includes σ and $\varphi \colon G \succcurlyeq Q$ is a standard cube-minor at V(X). Since the cube Q is internally 4-connected, it has a unique 4-tangle θ_Q , by Proposition 3. Let θ denote the φ -lift of θ_Q to G. Then θ includes σ , by Proposition 6. By (i), this implies $\theta = \tau$, and hence $\varphi(\tau) = \theta_Q$.
- (iv). Assume $|X| \ge 5$. By Lemma 13 and since G is not a $K_{3,3}$, the torso X is internally 4-connected. Hence X has a unique 4-tangle τ_X by Proposition 3. Let $\varphi \colon G \succcurlyeq X$ witness that X is a faithful minor of G. Then the φ -lift τ of τ_X to G includes σ by Lemma 14. In particular, $\varphi(\tau) = \tau_X$. By (i), no other 4-tangle besides τ includes σ . Hence $\varphi'(\tau) = \tau_X$ for all faithful minor-maps $\varphi' \colon G \succcurlyeq X$.

A graph is quasi 4-connected if it is 3-connected, has more than four vertices, and every 3-separation of G has a side of size ≤ 4 .

Corollary 20. Every quasi 4-connected graph G has a star-decomposition of adhesion three such that the central torso is internally 4-connected or K_4 or K_3 , and all leaf-bags have size four.

Proof. If G has no proper 3-separation, then the trivial star-decomposition is as desired. If G has a proper 3-separation with two sides of equal size, then the $K_{1,1}$ -decomposition of G into the two sides is as desired (it does not matter which bag is the central one). So suppose that G has a proper 3-separation and that every proper 3-separation of G has a largest side. Let N be a maximal 3-chop of G. Let G consist of all G with G with G and G and G are proper and have a unique side of size four, G must be a star. The torso of G is a G or internally 4-connected by Theorem 19.

Example 21. The converse of Corollary 20 fails in the following sense. We claim that the graph G depicted on the left-hand side of Figure 5 is 3-connected, has a star-decomposition of adhesion three such that the central torso is (internally) 4-connected, and all leaf-bags have size four – but G is not quasi 4-connected.

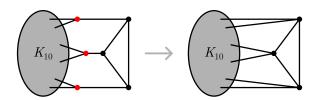


Figure 5: The converse of Corollary 20 fails

Proof. Let N denote the set of all 3-separations whose separators are the neighbourhoods of the red vertices, and consider the star-decomposition defined by N.

Lemma 22. For every 4-tangle τ in a graph G there is $\varphi \colon G \succcurlyeq H$ such that H is 3-connected and $\varphi(\tau)$ is a 4-tangle in H.

Proof. Let τ be a 4-tangle in G. Then τ is the lift of a 4-tangle in some block of G, so we may assume without loss of generality that G is 2-connected. Let N be a maximal nested set of proper 2-separations of G. Then every torso of N is either a complete graph on at most three vertices or 3-connected, by Lemma 8. If the bag of a splitting star σ of N has size at most three, then σ is not included in any 4-tangle in G. Hence τ includes a splitting star of N whose torso H is 3-connected. Then $\varphi(\tau)$ is a 4-tangle in H for every faithful $\varphi \colon G \succcurlyeq H$.

Proof of Theorem 1. By Proposition 3, every internally 4-connected graph H has a unique 4-tangle τ_H . It remains to show that, if θ is a 4-tangle in a graph G, then there is a map $\varphi \colon G \succcurlyeq H$ to some internally 4-connected minor H of G such that $\varphi(\theta) = \tau_H$. Let G and θ be given. By Lemma 22, we may assume that G is 3-connected. As $K_{3,3}$ has no 4-tangle, we may assume that $G \ne K_{3,3}$. Let N be a maximal 3-chop of G. Then θ includes a unique splitting star σ of N with torso X. The result follows by Theorem 19 (iii) and (iv).

4 The large torsos of maximal 3-chops are unique up to isomorphism

A 4-tangle τ in a 3-connected graph G is *cubic* if it includes a star of 3-separations of G whose bag is a cubic vertex set in G. Note that τ is cubic if and only if there exist a cubic vertex set $X \subseteq V(G)$ and a standard cube-minor $\varphi \colon G \succcurlyeq Q$ at X such that $\varphi(\tau)$ is the unique 4-tangle in Q, by Proposition 6.

A class \mathscr{C} of 3-chops of 3-connected graphs endorses 4-tangles if for every non-cubic 4-tangle τ in a 3-connected graph G and every two $N_1, N_2 \in \mathscr{C}$ the splitting stars σ_i of N_i included in τ (for i = 1, 2) have isomorphic internally 4-connected torsos.

The main result of this section is:

Theorem 23. The class of maximal 3-chops of 3-connected graphs endorses 4-tangles.

Example 24. In the definition of 'endorses 4-tangles', we do no require that cubic 4-tangles always include σ_i whose bags are cubic vertex sets, because this is not true for maximal 3-chops. To see this, let Q be a cube with bipartition classes A and B. Let G be obtained from Q by replacing an arbitrary number of vertices $a \in A$ with large cliques and joining them completely to all neighbours of a in B. Then G has a unique cubic 4-tangle τ , which includes a splitting star σ of every maximal 3-chop of G. The bag of σ can contain anywhere between four and eight vertices.

To prove Theorem 23, we use some machinery from [9]. For this, we assume familiarity with a few terms from [9], such as 'tri-separations', their 'reductions', and 'totally nested'.

4.1 Proof overview

Consider an arbitrary 3-connected graph G with a non-cubic 4-tangle τ . Let N_1, N_2 be maximal 3-chops of G with splitting stars $\sigma_1, \sigma_2 \subseteq \tau$ respectively. If the entire graph G is internally 4-connected, then $N_1 = \emptyset = N_2$, so we are done immediately. Hence by a result from [9], we may assume that G has a totally-nested tri-separation $\{C, D\}$. The 4-tangle τ will live either in C or in D, say in D (Lemma 25).

If the separator of $\{C, D\}$ consists of three vertices, then we can show that $\{C, D\}$ is nested with all separations in N_1 and N_2 , so $\{C, D\}$ lies in both N_1 and N_2 by maximality of the 3-chops. In this case, the splitting stars σ_i live in the side $D \subsetneq V(G)$, so we are essentially done by induction.

Otherwise, the separator of $\{C, D\}$ contains an edge e with endvertices $c \in C \setminus D$ and $d \in D \setminus C$. Here we plan to apply induction to G/e. For this, we have to show that e has at least one endvertex v_i outside of the torso of σ_i , to preserve internal 4-connectivity of the torso. The endvertex v_i may depend on i. To find v_i , we obtain a 3-separation $\{C_i, D_i\}$ from $\{C, D\}$ that is nested with all separations in N_i (Lemma 28). The separator of $\{C_i, D_i\}$ consists of the vertices in $C \cap D$ plus a choice of an endvertex of each edge in the separator of $\{C, D\}$. For the edge e, we try to choose its endvertex d to be in $C_i \cap D_i$. If successful, we may then take $v_i := c \in C_i \setminus D_i$, as it is not hard to show that σ_i lives in D_i . Otherwise there is $\{X_i, Y_i\} \in N$ that witnesses why we could not choose d: the vertex c lies in $X_i \cap Y_i$ but d lies in $Y_i \setminus X_i$, say. Moreover, d is the unique neighbour of c in $Y_i \setminus X_i$. Here we argue that σ_i lives in X_i (Lemma 31 and Lemma 32), which allows us to take $v_i := d$. This will complete the proof.

Using the totally-nested tri-separation $\{C, D\}$ has the following advantage: The edge e in the above overview must be chosen independently of i, yet e must have an endvertex outside the torso of each σ_i , and $\{C, D\}$ readily provides such an edge e (or we are done almost immediately). We have explored proofs of Theorem 23 without tri-separations, but they all eventually led us to considerations that are reminiscent of tri-separations.

4.2 Proof of Theorem 23

Let (C, D) be a non-trivial tri-separation of a 3-connected graph G. The *right-shift* of (C, D) is the 3-separation (\hat{C}, D) where \hat{C} is obtained from C by adding every endvertex

in $D \setminus C$ of every edge in the separator of (C, D). The *left-shift* (C, \hat{D}) is defined similarly, with the roles of C and D reversed.

Lemma 25. Let G be a 3-connected graph with a tri-separation (C, D) and a 4-tangle τ . Let (C, \hat{D}) and (\hat{C}, D) denote the left-shift and the right-shift, respectively. Then τ lives either in C or in D in the following sense: either $(\hat{D}, C) \in \tau$ or $(\hat{C}, D) \in \tau$.

Proof. Assume that $(C, \hat{D}) \in \tau$, say. If the separator of (C, D) consists of vertices only, this means that $(C, \hat{D}) = (\hat{C}, D) \in \tau$ and we are done. So write $(C_0, D_0) := (C, \hat{D})$ and assume that cd is an edge in the separator of (C, D) with $c \in C_0 \setminus D_0$ and $d \in D_0 \setminus C_0$. Let $C_1 := C_0 \cup \{d\}$ and $D_1 := D_0 \setminus \{c\}$, so the separator of (C_1, D_1) is obtained from the separator of (C_0, D_0) by replacing c with d. Then $G = G[C_0] \cup G[D_1] \cup G[\{c, d\}]$. We apply the tangle-property to the following three separations: (C_0, D_0) , (D_1, C_1) and $(\{c, d\}, V(G))$. Since $(C_0, D_0) = (C, \hat{D}) \in \tau$ by assumption and $(\{c, d\}, V(G)) \in \tau$ as τ is a tangle, this yields $(C_1, D_1) \in \tau$. Proceeding in this manner for any other edges in the separator of (C, D), we eventually find that $(C_k, D_k) = (\hat{C}, D) \in \tau$.

Lemma 26. Let G be a 3-connected graph with a tri-separation (C, D). Let (A, B) be an oriented proper 3-separation of G, and let (\bar{A}, \bar{B}) be the reduction of (A, B). Assume that $(\bar{A}, \bar{B}) \leq (C, D)$. Then the right-shift (\hat{C}, D) of (C, D) satisfies $(A, B) \leq (\hat{C}, D)$.

Proof. Since $B \supseteq \bar{B} \supseteq D$, it remains to show that $A \subseteq \hat{C}$. For this, assume for a contradiction that there is a vertex $v \in A \setminus \hat{C}$. Since $\bar{A} \subseteq C \subseteq \hat{C}$, this means that $v \in A \cap B$ was reduced to an edge vw in the separator of (\bar{A}, \bar{B}) , and $w \in \bar{A} \setminus \bar{B} \subseteq C$. The vertex w cannot lie in $C \setminus D$ as $v \notin \hat{C}$. So w must lie in $C \cap D$. Hence $w \in D \setminus \bar{B}$, which contradicts that we have $\bar{B} \supseteq D$.

Corollary 27. Let G be a 3-connected graph. Let (C, D) be a totally-nested non-trivial tri-separation of G such that the separator of (C, D) consists of three vertices. Then (C, D) is nested with every proper 3-separation of G.

Proof. Let (\bar{A}, \bar{B}) be the reduction of (A, B). Since (\bar{A}, \bar{B}) is a tri-separation, it is nested with the totally nested tri-separation (C, D); say $(\bar{A}, \bar{B}) \leq (C, D)$. Then $(A, B) \leq (C, D)$ by Lemma 26.

Lemma 28. Let G be a 3-connected graph, and let N be a nested set of proper 3-separations of G. Let (C,D) be a totally-nested non-trivial tri-separation of G. Then there is a 3-separation $\{C',D'\}$ of G that is nested with all separations in N such that $C \subseteq C'$ and $D \subseteq D'$. Moreover, $\{C',D'\}$ can be chosen so that for each edge cd in the separator of (C,D) with $c \in C \setminus D$ and $d \in D \setminus C$, we either have $c \in C' \setminus D'$ and $d \in C' \cap D'$ or some separation in N has an orientation (A,B) such that $c \in A \cap B$ and d is the unique neighbour of c in $B \setminus A$.

Proof. Write $\{\{A_i, B_i\} : i \in I\} := N$. For each $i \in I$, denote the reduction of (A_i, B_i) by (\bar{A}_i, \bar{B}_i) . Since (C, D) is totally-nested, it is nested with every (\bar{A}_i, \bar{B}_i) . Without loss of generality, the sides A_i and B_i are named so that we have $(\bar{A}_i, \bar{B}_i) \leq (C, D)$ or

 $(C,D) \leqslant (\bar{A}_i,\bar{B}_i)$ for all $i \in I$. Let γ be the set of all $i \in I$ with $(\bar{A}_i,\bar{B}_i) \leqslant (C,D)$. Similarly, let δ be the set of all $i \in I$ with $(C,D) \leqslant (\bar{A}_i,\bar{B}_i)$. Let $C'' := C \cup \bigcup_{i \in \gamma} A_i$ and $D'' := D \cup \bigcup_{j \in \delta} B_j$. Then $\{C'',D''\}$ is a mixed-separation of G which satisfies $C \subseteq C''$ and $D \subseteq D''$.

Claim 29. $\{C'', D''\}$ has order 3.

Proof of Claim. Let (\hat{C}, D) and (\hat{D}, C) denote the right-shift and left-shift of (C, D), respectively. We have $C \subseteq C'' \subseteq \hat{C}$ and $D \subseteq D'' \subseteq \hat{D}$ by Lemma 26. Hence

$$(C, \hat{D}) \leqslant (C'', D'') \leqslant (\hat{C}, D). \tag{1}$$

So it suffices to show that for every edge cd in the separator of $\{C, D\}$ with $c \in C \setminus D$ and $d \in D \setminus C$, not both c and d are in the separator of $\{C'', D''\}$. Assume for a contradiction that $\{c, d\} \subseteq C'' \cap D''$. Then there are indices $i \in \gamma$ and $j \in \delta$ such that $d \in A_i$ and $c \in B_j$. Since $d \in A_i \setminus C$ but $\bar{A}_i \subseteq C$, the vertex d must have been reduced to an edge in the separator of (\bar{A}_i, \bar{B}_i) , and this edge can only be cd. Hence $c \in A_i \setminus B_i$. However, $(\bar{A}_i, \bar{B}_i) \leqslant (C, D) \leqslant (\bar{A}_j, \bar{B}_j)$ implies $(A_i, B_i) \leqslant (A_j, B_j)$ as $\{A_i, B_i\}$ and $\{A_j, B_j\}$ are nested by assumption. So $c \in B_j \subseteq B_i$ contradicts $c \in A_i \setminus B_i$.

Next, we show that $\{C'', D''\}$ is nested with $\{A_i, B_i\}$ for all $i \in I$. By symmetry we may assume $i \in \gamma$. Then $A_i \subseteq C''$ by definition of C'', on the one hand. On the other hand, $B_i \supseteq D \cup \bigcup_{j \in \delta} B_j$ since $(A_i, B_i) \leqslant (A_j, B_j)$ for all $j \in \delta$, as above. The union on the right-hand side equals D''. Hence $\{C'', D''\}$ is nested with all $\{A_i, B_i\}$.

We turn $\{C'', D''\}$ into a 3-separation $\{C', D'\}$ of G by taking D' := D'' and obtaining C' from C'' by adding the endvertex in $D'' \setminus C''$ of every edge in the separator of $\{C'', D''\}$.

Claim 30. Let cd be an edge in the separator of (C, D) with $c \in C \setminus D$ and $d \in D \setminus C$. Assume that either $c \notin C' \setminus D'$ or $d \notin C' \cap D'$. Then there is $i \in I$ such that $c \in A_i \cap B_i$ and d is the unique neighbour of c in $B_i \setminus A_i$.

Proof of Claim. The assumption implies that c is contained in the separator of $\{C'', D''\}$. So there is $i \in \delta \subseteq I$ such that $c \in B_i$. Since $C \subseteq \bar{A}_i \subseteq A_i$, we have $c \in A_i \cap B_i$. As G is 3-connected, c has a neighbour in $B_i \setminus A_i \subseteq \hat{D} \setminus C = D \setminus C$ (using Lemma 26). The vertex d is the unique neighbour of c in $D \setminus C$, so it also is the unique neighbour of c in $B_i \setminus A_i$.

The 'moreover'-part of the lemma holds by Claim 30.

Lemma 31. Let G be a 3-connected graph, and let N be a nested set of proper 3-separations of G. Assume that for some $\{C,D\} \in N$, there is a vertex $v \in C \cap D$ that has a unique neighbour w in $D \setminus C$. Let O denote the set of all 3-separations (A,B) with $\{A,B\} \in N$ and $(C,D) \leq (A,B)$ and $v \in A \cap B$. Then $w \in B \setminus A$ for all $(A,B) \in O$, and \leq linearly orders O.

Proof. Let $(A, B) \in O$. Since G is 3-connected, the vertex $v \in A \cap B$ has a neighbour in $B \setminus A$. Since $(C, D) \leq (A, B)$ we have that $B \setminus A \subseteq D \setminus C$. Since v only has the neighbour w in $D \setminus C$, we conclude that $w \in B \setminus A$.

Now to show that \leq linearly orders O, let $(A, B), (A', B') \in O$. Since both $\{A, B\}$ and $\{A', B'\}$ are contained in the nested set N, they have orientations that can be compared by \leq . Since $(C, D) \leq (A, B)$ and $(C, D) \leq (A', B')$, it must hold, after possibly interchanging the names of (A, B) and (A', B'), that either $(A', B') \leq (A, B)$ or $(B', A') \leq (A, B)$. But the latter case contradicts $w \in (B' \setminus A') \cap (B \setminus A)$.

Given a tangle τ and an edge e = vw, we say that a separation $\{A, B\}$ separates τ from e if τ lives in A and one of the endvertices v or w of e is in $B \setminus A$; or the same with the roles of 'A' and 'B' interchanged.

Lemma 32. Let G be a 3-connected graph, and let N be a nested set of proper 3-separations of G. Let τ be a 4-tangle in G, and let σ be the splitting star of N with $\sigma \subseteq \tau$. Assume that the torso H of σ is internally 4-connected. Assume that there is $\{C,D\} \in N$ with a vertex $v \in C \cap D$ such that v has a unique neighbour w in $D \setminus C$. Then some $\{A,B\} \in N$ separates τ from e := vw.

Proof. Let O be defined as in the statement of Theorem 31. By that lemma, \leq linearly orders O. So we may write the elements of O as

$$(A_0, B_0) < (A_1, B_1) < \cdots < (A_n, B_n)$$

with $w \in B_i \setminus A_i$ for all $i \leq n$. Note that $(A_0, B_0) = (C, D)$.

Claim 33. There is $i \leq n$ such that τ orients $\{A_i, B_i\}$ towards A_i , or some $\{X, Y\} \in N$ separates τ from e = vw.

Proof of Claim. Suppose that τ orients $\{A_i, B_i\}$ towards B_i for all $i \leq n$. As $\sigma \subseteq \tau$ and τ orients $\{A_n, B_n\} \in N$ towards B_n , there is $(X, Y) \in \sigma$ with $(A_n, B_n) \leq (X, Y)$. In particular, τ orients $\{X, Y\}$ towards Y.

Assume first that $(A_n, B_n) < (X, Y)$. So $(X, Y) \notin O$, which combined with $(C, D) \le (X, Y)$ means that v is contained in $X \setminus Y$. Thus $\{X, Y\}$ separates τ from e = vw.

So we may assume that $(A_n, B_n) = (X, Y)$. We will show that this case is impossible. Since (A_n, B_n) is the \leq -maximal element of O, it also is the only element of O. By the definition of a star, every $(U, W) \in O$ distinct from (A_n, B_n) satisfies $(C, D) \leq (A_n, B_n) < (W, U)$. As $(W, U) \notin O$, the vertex v cannot be contained in $U \cap W$; thus $v \in W \setminus U$ and so $w \in W$. Therefore, (A_n, B_n) is the only element of O that contains O in its separator. As O is the unique neighbour of O in O in O in the two vertices O in the separator O in the torso O in the vertex O and the two vertices O in the separator O in the torso O in the vertex O and the two vertices O in the separator O in the torso O in the vertex O and the two vertices O in the separator O in the vertex O in the two vertices O in the vertex O in the two vertices O in the vertex O in the two vertices O in the vertex O in the vertex O in the two vertices O in the vertex O in the vertex

If there is $i \leq n$ such that τ orients $\{A_i, B_i\}$ towards A_i , then $\{A_i, B_i\}$ separates τ from e = vw as $w \in B_i \setminus A_i$. Otherwise some $\{X, Y\} \in N$ separates τ from e by Claim 33. \square

Proof of Theorem 23. Let G be a 3-connected graph with a non-cubic 4-tangle τ . Let N_1, N_2 be maximal 3-chops of G. For each i = 1, 2 let σ_i denote the splitting star of N_i included in τ . Let H_i denote the torso of σ_i . Then both H_i are internally 4-connected by Theorem 19. We have to find an isomorphism $H_1 \to H_2$.

We proceed by induction on the number of vertices of G. The induction starts with the case that G is internally 4-connected, as here both N_i are empty so that $H_1 = G = H_2$.

For the induction step, assume now that G is not internally 4-connected. By the angry tri-separation theorem [9, Theorem 1.1.5], either G has a totally-nested non-trivial tri-separation, or G is a wheel, or G is a $K_{3,m}$ for some $m \ge 3$. As wheels and $K_{3,m}$'s have no 4-tangles, we find that G has a totally-nested non-trivial tri-separation (C, D). We consider two cases.

In the first case, the tri-separation (C,D) only has vertices in its separator, so $\{C,D\}$ is a proper 3-separation. By Lemma 28, $\{C,D\}$ is nested with all separations in both N_i . Since $\{C,D\}$ is non-trivial, it is claw-free. So $\{C,D\} \in N_i$ for both i by maximality. Without loss of generality, $(C,D) \in \tau$. Let G^D denote the torso of $\{(C,D)\}$ with vertex-set D. Each N_i projects to a maximal 3-chop N_i^D of G^D which includes the projection σ_i^D of σ_i . Hence the torso H_i^D of σ_i^D equals H_i and, in particular, it is internally 4-connected. So each H_i^D has a unique 4-tangle τ_i^D by Proposition 3. Since $H_i^D = H_i$, its unique 4-tangle τ_i^D lifts to τ in G. Hence both τ_i^D lift to the same 4-tangle τ^D in G^D . The 4-tangle τ^D is non-cubic, as any star of 3-separations of G^D that says otherwise lifts to a star of 3-separations of G showing that τ is cubic after all. Applying the induction hypothesis to the maximal 3-chops N_i^D of G^D and the non-cubic 4-tangle τ^D yields an isomorphism $H_1^D \to H_2^D$. Since $H_i^D = H_i$ this also is an isomorphism $H_1 \to H_2$.

In the second case, the separator of (C, D) contains an edge e = cd, named so that $c \in C \setminus D$ and $d \in D \setminus C$. By Lemma 25, we may assume that $(\hat{C}, D) \in \tau$, where (\hat{C}, D) denotes the right-shift of (C, D). By Lemma 28, for both i there is a 3-separation (C_i, D_i) of G that is nested with all separations in N_i such that $C \subseteq C_i$ and $D \subseteq D_i$. Moreover, the lemma allows us to choose (C_i, D_i) so that either $c \in C_i \setminus D_i$ and $d \in C_i \cap D_i$, or some separation in N_i has an orientation (A_i, B_i) such that $c \in A_i \cap B_i$ and d is the unique neighbour of c in $B_i \setminus A_i$.

Claim 34. Each N_i has an element $\{X_i, Y_i\}$ which τ orients towards Y_i while at least one of c, d is contained in $X_i \setminus Y_i$.

Proof of Claim. If $c \in C_i \setminus D_i$ and $d \in C_i \cap D_i$, then $\{C_i, D_i\}$ is proper and claw-free as (C, D) is non-trivial and as τ orienting $\{\hat{C}, D\}$ towards D implies that G[D] contains a cycle by Lemma 2. Then, as $\{C_i, D_i\}$ is nested with all separations in N_i , we get that $\{C_i, D_i\}$ is contained in N_i by maximality, so we may take $(X_i, Y_i) := (C_i, D_i)$. Otherwise there is $\{A_i, B_i\} \in N_i$ such that $c \in A_i \cap B_i$ and d is the unique neighbour of c in $B_i \setminus A_i$. Then Lemma 32 produces a suitable $\{X_i, Y_i\}$.

Finally, we perform the induction. The graph G' := G/e is 3-connected as e stems from a tri-separator. Let M_i consist of all $\{A, B\} \in N_i$ such that $(X_i, Y_i) \leq (A, B)$ or $(X_i, Y_i) \leq (B, A)$. Note that σ_i is a splitting star of M_i . Let M'_i be the projection of M_i to G/e, which is a 3-chop of G/e, but it is not clear a priori that it is a maximal one. Let

 N_i' be an arbitrary extension of M_i' to a maximal 3-chop of G/e. Since at most one of the endvertices c, d of e is contained in Y_i , the splitting star σ_i carries over to a splitting star σ_i' of N_i' so that the torso H_i of N_i equals the torso H_i' of σ_i' (up to possibly renaming c or d). In particular, we can argue similarly to the first case that the 4-tangle τ_i' of H_i' lifts to a 4-tangle τ' of G' which is the same for both i. Moreover, τ' is not cubic, also by a similar argument. We may therefore apply the induction hypothesis to the maximal 3-chops N_i' of G' and the non-cubic 4-tangle τ' to find an isomorphism $H_1' \to H_2'$. Each torso H_i is isomorphic to H_i' , and we combine all three isomorphisms to an isomorphism $H_1 \to H_2$.

5 A proof of Kuratowski's theorem via internal 4-connectedness

Lemma 35. [12, Prop. 4.2.6] Every face of a 2-connected plane graph is bounded by a cycle.

Lemma 36. Let G be an excluded minor for the class of planar graphs. If G is internally 4-connected, then G - u - v is a cycle for every edge uv of G.

Proof. Suppose that G is internally 4-connected, and let uv be an arbitrary edge of G. By assumption, the graph G/e is planar, so the graph G' := G - u - v has a drawing in which the neighbourhood N(u, v) lies on the boundary of a single face f. Since G is internally 4-connected, the graph G' is 2-connected. Hence by Lemma 35, the face f is bounded by a cycle O. Let H denote the subgraph of G that is obtained from O by adding both vertices u, v and all the edges in G that are incident with u, v. Note that G is planar if and only if H is planar. Hence H is not planar. By minimality of G, we have G = H. \square

Lemma 37. If an excluded minor for the class of planar graphs is internally 4-connected, then it must be isomorphic to K_5 .

Proof. Let G be an excluded minor for planarity such that G is internally 4-connected. Let uv be an edge of G. By Lemma 36, O := G - u - v is a cycle.

We claim that O has length at most four. Assume for a contradiction that O has length at least five, and let xy be an arbitrary edge on O. Then the path O - x - y has at least one internal vertex, and we let w be an arbitrary such vertex. By Lemma 36, the graph G - x - y is a cycle. Hence the internal vertex w of the path O - x - y is not adjacent to u or v. But then the graph obtained from G by contracting an edge incident to the degree-two vertex w is not planar (as G is not planar), contradicting that G is an excluded minor for planarity.

So assume that O has length at most four. We apply Lemma 36 to all edges of O in some linear order once around O. If O has length four, we find that the neighbours in G of u and of v on O form two disjoint independent 2-sets in O. But then G is a $K_{3,3}$, contradicting that G is internally 4-connected. Hence O has length three, in which case we find that G is a K_5 .

Proof of Kuratowski's Theorem. Containing K_5 or $K_{3,3}$ as a minor obstructs planarity by the usual arguments via Euler's formula. For the hard implication, let G be an excluded minor for planarity. It is easy to see that G is 2-connected: otherwise all blocks of G are proper subgraphs of G, and hence planar, so we obtain a drawing of G by combining the drawings of its blocks, a contradiction. Similarly, we can show that G is 3-connected: otherwise G has a 2-separator X that leaves components C_i , and each graph H_i that is obtained from $G[C_i \cup X]$ by turning X into a K_2 is a planar minor of G, so the drawings of the H_i combine to a drawing of G, a contradiction. Hence we may assume that G is 3-connected.

If G is internally 4-connected, then G is a K_5 by Lemma 37. Otherwise G is a $K_{3,3}$ or G has a claw-free 3-separation $\{A, B\}$. In the latter case, let G_A be obtained from G[A] by turning the separator $X := A \cap B$ into a triangle, and note that G_A is a minor of G by Lemma 7 (C2) and Menger's theorem. Similarly, G_B is a minor of G. Hence G_A and G_B have planar drawings. These drawings can be combined into a drawing of G if both $G_A \setminus X$ and $G_B \setminus X$ are connected. Otherwise G contains $K_{3,3}$ as a minor, and must be equal to $K_{3,3}$ by minimality.

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