Parking functions of types A and B

P. Biane

CNRS, Département de Mathématiques et Applications École Normale Supérieure, 45, rue d'Ulm 75005 Paris, FRANCE Philippe.Biane@ens.fr

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Abstract

The lattice of noncrossing partitions can be embedded into the Cayley graph of the symmetric group. This allows us to rederive connections between noncrossing partitions and parking functions. We use an analogous embedding for type B noncrossing partitions in order to answer a question raised by R. Stanley on the edge labeling of the type B non-crossing partitions lattice.

1 Introduction

A (type A) parking function is a sequence of positive integers (a_1, \ldots, a_n) such that its increasing rearrangement (b_1, \ldots, b_n) satisfies $b_i \leq i$, while a noncrossing partition of [1, n] is a partition such that there are no a, b, c, d with a < b < c < d, a and c belong to some block of the partition and c, d belong to some other block. The set of noncrossing partitions of [1, n] is denoted by NC_n , it is a lattice for the refinement order. In [S], R. Stanley gives a labeling of edges in NC_{n+1} , and proves that, through this labeling, parking functions are in one-to-one correspondance with maximal chains in the lattice NC_{n+1} .

A type B parking function is a sequence (a_1, \ldots, a_n) of positive integers satisfying $a_i \leq n$. A noncrossing partition of type B, as defined by Reiner [R], is a noncrossing partition of $\{-1, -2, \ldots, -n, 1, 2, \ldots, n\}$ which is invariant under sign change.

In this paper we shall use a natural embedding of NC_{n+1} in the Cayley graph of the symmetric group S_{n+1} to recover Stanley's result. An analogous embedding of NC_n^B into W_n , the hyperoctahedral group, then leads to a parallel treatment of the type B case. In particular we give an edge labeling of NC_n^B which gives a bijection between maximal chains and type B parking functions, thus answering R. Stanley's question in [S], page 12. The embeddings allow us to use the symmetries of these structures in a very efficient way.

This paper is organized as follows. In the section 2 we describe the embeddings of the non crossing partitions lattices in the corresponding Weyl groups. In section 3 we define

the edge labelings and show that they yield bijections with the corresponding parking functions.

2 The embeddings

Let G be a connected non-oriented graph, with its natural distance. For any pair of vertices (v_1, v_2) , we call $[v_1, v_2]$ the set of all vertices in G which lie on a geodesic (i.e. a path of minimal length) from v_1 to v_2 . This is an ordered set, in which v_1 is the smallest element and v_2 the largest element, while one has $w_1 \leq w_2$ if there exists a geodesic from w_1 to v_2 which passes through w_2 , or equivalently there exists a geodesic from v_1 to v_2 which passes through v_1 . This ordered set is ranked by the distance from v_1 .

Consider now the Cayley graph built from a Weyl group W, taking as generators all the reflexions, and let w be the Coxeter element. We call NC_W the ranked ordered set [e, w].

If $W = S_n$ is the group of permutations of [1, n], then the reflections are the transpositions, and w is the cycle $(1 \ 2 \dots n)$. To any permutation $\sigma \in S_n$ we associate the partition of [1, n] given by its cycle structure. This defines a bijection from NC_{S_n} to NC_n , which preserves the order (see e.g. [B1]). In particular an edge $[\tau, \sigma]$ in NC_n , with $\tau \leq \sigma$, corresponds to a pair of permutations such that $\tau^{-1}\sigma$ is a transposition.

Consider now the case $W=W_n$, the hyperoctahedral group. Recall that W_n can be identified with the subgroup of S_{2n} , acting on $\{-n, -n+1, \ldots, -1, 1, 2, \ldots, n\}$, which commutes with the sign change $i \mapsto -i$. The reflections are the transpositions (i-i) and the permutations (ij)(-i-j), with $i \neq j$, which are the even reflexions. The Coxeter element is the cycle $(-1-2\ldots -n 1 2\ldots n)$. The map from S_{2n} to partitions of $\{-n, -n+1, \ldots, -1, 1, 2, \ldots, n\}$ defined above restricts to a bijection from NC_{W_n} to NC_n^B , see [G], where this is used to recover the type B analogue of the main result in [B2]. Note that the rank function on NC_n^B does not coincide with the restriction of the rank function on NC_{2n} .

Although we have not looked at this, it would be interesting to investigate the case of other Weyl groups.

3 Labeling of edges

3.1 Type A

As we have seen in the previous section, using the embedding of NC_{n+1} into S_{n+1} every edge $[\tau, \sigma]$ corresponds to a pair of permutations such that $\tau^{-1}\sigma$ is a transposition (ij) where i < j. We label such an edge by i. This corresponds to the labeling defined by Stanley in [S]. A maximal chain in NC_{n+1} is a sequence of permutations which differ by a transposition, therefore it corresponds to a factorization of (12...n+1) into a product of n transpositions.

Theorem 3.1 The map which associates to any factorization

$$(1 2 \dots n n + 1) = (i_1 j_1) \dots (i_n j_n)$$

into a product of n transpositions, with $i_k < j_k$, the sequence (i_1, \ldots, i_n) , is a bijection from the set of all such factorizations to the set of parking functions.

The above considerations show that this is just a rephrasing of Stanley's Theorem 3.1. We shall give a direct proof of this result, since the type B case will be very similar. The map from factorizations to parking functions is straightforward, but given a parking function, finding the associate factorization is not obvious. The proof below gives an algorithm for associating a factorization to any parking function. In particular we do not use the fact that these two sets have the same number of elements. First we remark that there is a natural action of S_n on the set of parking functions, which permutes the a_i . There is also an action of S_n on the set of factorizations, which goes as follows. We define an action of the transposition (k k + 1) on the set of factorizations. Suppose $(1 \ 2 \dots n \ n+1) = (i_1 j_1) \dots (i_n j_n)$ is such a factorization, and look at the product $(i_k j_k)(i_{k+1} j_{k+1})$. There is a unique pair (u, v) with $i_k < v$; $i_{k+1} < u$ such that $(i_k j_k)(i_{k+1} j_{k+1}) = (i_{k+1} u)(i_k v)$. We insert this product in the factorization to get a new factorization. One checks that this extends to an action of S_n on the set of factorizations. This corresponds to the local action of S_n on $V_{NC_{n+1}}$ in [S], Proposition 4.1. Thus we have two actions of S_n , one on factorizations and one on parking functions, and the map we are looking at is obviously covariant with respect to these actions, therefore in order to prove the theorem it is enough to prove that the restriction of the map to factorizations with nondecreasing i_1, i_2, \ldots, i_n is a bijection with the set of nondecreasing parking functions. We prove this by induction on n. We shall make use of the fact

(F) if $\sigma = \sigma_1 \dots \sigma_k$ is a factorization in S_n such that $|\sigma| = \sum |\sigma_i|$ (where $|\sigma| = d(e, \sigma)$ is the length in the Cayley graph) then for each i each cycle of σ_i is contained in some cycle of σ (see e.g. [B1, B2]).

Let $(i_1 j_1) \dots (i_n j_n)$ be a factorization with $i_1 \leq \dots \leq i_n$, we claim that $j_n = i_n + 1$. Indeed one has

$$(12\ldots n+1)(i_n\,j_n)=(12\ldots i_n\,j_n+1\ldots n+1)(i_n+1\ldots j_n)=(i_1\,j_1)\ldots(i_{n-1}\,j_{n-1})$$

where $i_1 \leq i_2 \leq \ldots \leq i_{n-1} \leq i_n$ therefore by (F) all transpositions $(i_k j_k)$ for $k \leq n-1$ have their support in the set $\{1, 2, \ldots, i_n, j_n + 1, \ldots, n+1\}$, and the cycle $(i_n + 1 \ldots j_n)$ is the identical permutation. Thus we have

$$(1 \ 2 \dots i_n \ i_n + 2 \dots n + 1) = (i_1 \ j_1) \dots (i_{n-1} \ j_{n-1}).$$

Relabeling $i_n + 2, ..., n + 1$ as $i_n + 1, ..., n$, we get a factorization of (1 2 ... n), and since $i_1 \le ... \le i_{n-1} \le i_n$, we see by the induction hypothesis that $(i_1, ..., i_{n-1})$ is a parking function of length n - 1. Since $i_n \le n$, we see that $(i_1, ..., i_n)$ is a parking function of length n.

Conversely, consider (a_1, \ldots, a_n) a nondecreasing parking function. If it comes from some factorization $(a_1 b_1) \ldots (a_n b_n)$, then $b_n = a_n + 1$ as we just saw. But (a_1, \ldots, a_{n-1}) is a non-decreasing parking function of length n-1. Since $a_1, \ldots, a_{n-1} \leq a_n$, relabeling $a_n + 2, \ldots, n+1$ as $a_n + 1, \ldots, n$, we see by induction hypothesis that there is a unique factorization

$$(1 2 \dots a_n a_n + 2 \dots n + 1) = (a_1 b_1)(a_2 b_2) \dots (a_{n-1} b_{n-1})$$

therefore

$$(12...n+1) = (a_1 b_1)...(a_n a_n + 1)$$

is the unique factorization corresponding to (a_1, \ldots, a_n) .

3.2 Type B

In NC_{W_n} the edges are labelled by reflections in W_n , and the maximal chains thus correspond to factorizations

$$(-1-2...-n \, 1 \, 2...n) = r_1 \, r_2 ... r_n$$

where r_i are reflections.

We shall distinguish three kinds of reflections. For odd reflections i.e. of the kind (-ii) with $i \ge 1$, we label the edge by i. For an even reflection of the kind (ij)(-i-j) with $1 \le i < j$ we label it by i, and for an even reflection of the kind (-ij)(i-j) with $1 \le i < j$, we label it by j.

Note that the labels l(r) have the following covariance property with respect to conjugation by the Coxeter element

$$l(wrw^{-1}) = c(l(r)) \tag{1}$$

where c is the cyclic permutation $(1 2 \dots n)$ acting on $\{1, \dots, n\}$.

Theorem 3.2 The map which associates, to any factorization

$$(-1-2\ldots-n\,1\,2\ldots n)=r_1\,r_2\ldots r_n$$

into reflections of W_n , its sequence of labels $(l(r_1), \ldots, l(r_n))$, is a bijection from the set of all factorizations to the set of type B parking functions.

For example the label of the factorization

$$(-1-2-3123) = [(12)(-1-2)][(3-3)][(-23)(2-3)]$$

is 133.

There is again an action of S_n on factorizations, similar to the one we had in the type A case, it relies on the fact that any product $r_1 r_2$ of reflections with labels i_1 , i_2 can be written uniquely as a product of two reflections $s_1 s_2$ with labels i_2 , i_1 , as we leave the

reader to check case by case. Actually we can also make use of the further symmetry (1) which was absent in the type A case. Let (a_1, \ldots, a_n) be a type B parking function. Consider all the increasing rearrangements of $(c^k(a_1), \ldots, c^k(a_n))$ for $k = 0, \ldots, n-1$, then either these are all equal to $(1, 2, \ldots, n)$, or there exists among them some (b_1, \ldots, b_n) such that $b_1 = 1$ and (b_2, \ldots, b_n) is a nondecreasing parking function. To see this, arrange the a_i in increasing order, and consider $m = \max\{a_i - i \mid 1 \le i \le n\}$ and $j = \max\{i \mid a_i - i = m\}$. If the a_i are not all distinct, then $(c^{-j+1}(a_{c^{-j+1}(1)}), \ldots, c^{-j+1}(a_{c^{-j+1}(n)}))$ works.

Making use of the actions of S_n and of the symmetry (1), it is thus enough to prove the existence of a unique factorization with label (1, 2, ..., n) or $(b_1, ..., b_n)$ as above.

The existence is easy. For the first case take

$$[(1 n)(-1 - n)][(2 n)(-2 - n)] \dots [(n - 1 n)(-n + 1 - n)][(n - n)]$$

For the second, take $r_1 = (1-1)$ then take the factorization of $(1 \ 2 \dots n)$ in S_n corresponding to the type A parking function (b_2, \dots, b_n) and symmetrize it to obtain a factorization $r_2 \dots r_n$ of $(1 \ 2 \dots n)(-1 \ -2 \dots -n)$ with label (b_2, \dots, b_n) .

It remains to prove uniqueness of this factorization. We do it in the second case, the first being easy. Let $s_1 cdots s_n$ be another factorization with the same label. If $s_1 = (-1, 1)$, then by the type A case we are done. If not then $s_1 = (1 k)(-1 - k)$ for some k and

$$r_2r_3...r_n = (1 2...k - 1)(-1 - 2... - k + 1)(k k + 1...n - k... - n)$$

Since the labels satisfy $b_2 \leq b_3 \leq \ldots \leq b_k \leq k-1$ it follows from (F) that r_2, \ldots, r_k have their support in $\{-1, \ldots, -k, 1, \ldots, k\}$ but this is impossible since, the factorization being minimal, $(12\ldots k-1)(-1-2\ldots -k+1)$ is the product of at most k-2 reflections.

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