

# The combinatorics of interval-vector polytopes

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## Abstract

An *interval vector* is a  $(0, 1)$ -vector in  $\mathbb{R}^n$  for which all the 1's appear consecutively, and an *interval-vector polytope* is the convex hull of a set of interval vectors in  $\mathbb{R}^n$ . We study three particular classes of interval vector polytopes which exhibit interesting geometric-combinatorial structures; e.g., one class has volumes equal to the Catalan numbers, whereas another class has face numbers given by the Pascal 3-triangle.

**Keywords:** Interval vector, lattice polytope, Ehrhart polynomial, root polytope, Catalan number,  $f$ -vector

# 1 Introduction

An *interval vector* is a  $(0, 1)$ -vector  $x \in \mathbb{R}^n$  such that, if  $x_i = x_k = 1$  for  $i < k$ , then  $x_j = 1$  for every  $i \leq j \leq k$ . In [2] Dahl introduced the class of *interval-vector polytopes*, which are formed by taking the convex hull of a set of interval vectors in  $\mathbb{R}^n$ . Our goal is to derive combinatorial properties of certain interval-vector polytopes.

For  $i \leq j$ , let  $\alpha_{i,j} := e_i + e_{i+1} + \cdots + e_j$ , where  $e_i$  is the  $i^{\text{th}}$  standard unit vector. The *interval length* of  $\alpha_{i,j}$  is  $j - i + 1$ . Let  $S \subset \mathbb{N}$ . For a fixed  $n$ , let  $\mathcal{I}_S$  be the set of interval vectors in  $\mathbb{R}^n$  with interval length in  $S$ . (If  $S$  is small, we may leave out the brackets in the set notation; e.g., we will denote  $\mathcal{I}_{\{i,j\}}$  by  $\mathcal{I}_{i,j}$ .) We will denote the set of all non-zero interval vectors in a given dimension as  $\mathcal{I}_{[n]}$ . Let  $\mathcal{P}_n(\mathcal{I}_S)$  be the convex hull of  $\mathcal{I}_S \subset \mathbb{R}^n$ .

There are three classes of interval vector polytopes that we will consider in this paper. In Section 3 we study the *complete interval vector polytope*  $\mathcal{P}_n(\mathcal{I}_{[n]})$ , the convex hull of all interval vectors in  $\mathbb{R}^n$  except the zero vector. In Section 4 we look at the *fixed interval vector polytope*  $\mathcal{P}_n(\mathcal{I}_i)$  given by the convex hull of all interval vectors with interval length  $i$ . In Section 5 we introduce the first in a class of *pyramidal interval polytopes*: the *first pyramidal interval vector polytope*  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$ , the convex hull of all interval vectors in  $\mathbb{R}^n$  with interval length 1 or  $n - 1$ . (The reason for the term *pyramidal interval polytope* will also become clear in Section 5.) In Section 6 we generalize this to the  $i^{\text{th}}$  *pyramidal interval vector polytope*  $\mathcal{P}_n(\mathcal{I}_{1,n-i})$ . We examine combinatorial characteristics of these polytopes such as the  $f$ -vector and volume and discover unexpected relations to well-known numerical sequences.

Let  $t$  be a positive integer variable. For a lattice polytope  $\mathcal{P}$  (i.e., the vertices of  $\mathcal{P}$  all have integer coordinates), the *Ehrhart polynomial*  $L_{\mathcal{P}}(t)$  is the counting function yielding the number of lattice points in  $t\mathcal{P} := \{tv \mid v \in \mathcal{P}\}$ . Ehrhart [5] proved that  $L_{\mathcal{P}}(t)$  is indeed a polynomial; see, e.g., [1] for more about Ehrhart polynomials. The Ehrhart polynomial contains useful geometric information about a polytope; in particular, the leading coefficient of the Ehrhart polynomial gives the volume of the polytope.

In [9], Postnikov defines the *complete root polytope*  $Q_n \subset \mathbb{R}^n$  as the convex hull of 0 and  $e_i - e_j$  for all  $i < j$  where  $e_i$  is the  $i^{\text{th}}$  standard unit vector. He showed (among many other things) that the volume of  $Q_{n+1}$  is  $C_n := \frac{1}{n+1} \binom{2n}{n}$ , the  $n^{\text{th}}$  Catalan number. In Section 3 we prove, in a discrete-geometric sense, that  $Q_{n+1}$  and the complete interval vector polytope  $\mathcal{P}_n(\mathcal{I}_{[n]})$  are interchangeable, that is, the two polytopes have the same Ehrhart polynomial.

**Theorem 1.**  $L_{Q_{n+1}}(t) = L_{\mathcal{P}_n(\mathcal{I}_{[n]})}(t)$ .

**Corollary 2.** *The volume of the complete interval vector polytope  $\mathcal{P}_n(\mathcal{I}_{[n]})$  equals the  $n^{\text{th}}$  Catalan number.*

A *unimodular simplex* in  $\mathbb{R}^d$  is an  $n$ -dimensional lattice simplex  $\Delta$  whose edge direction at any vertex form a lattice basis for  $\mathbb{Z}^d \cap \text{aff}(\Delta)$ , where  $\text{aff}(\Delta)$  is the affine hull of  $\Delta$ . In Section 4 we prove:

**Theorem 3.** *The fixed interval vector polytope  $\mathcal{P}_n(\mathcal{I}_i)$  is an  $(n - i)$ -dimensional unimodular simplex.*

Given an  $n$ -dimensional polytope  $\mathcal{P}$  with  $f_k$   $k$ -dimensional faces, the  $f$ -vector of  $\mathcal{P}$  is written as  $f(\mathcal{P}) := (f_{-1}, f_0, f_1, \dots, f_n)$  where  $f_{-1}, f_n := 1$  (see, e.g., [7] for more about  $f$ -vectors). In Section 5 we show:

**Theorem 4.** *For  $n \geq 3$ , the  $f$ -vector of the first pyramidal interval vector polytope satisfies  $f_k(\mathcal{P}_n(\mathcal{I}_{1,n-1})) = \binom{n-1}{k} + \binom{n+1}{k+1}$ .*

The  $f$ -vector of  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$  is thus the  $n^{\text{th}}$  row of the *Pascal 3-triangle* (see, e.g., [10, Sequence A028262]), in particular, it is symmetric. We also show that the volume of the 1<sup>st</sup> pyramidal interval vector polytope is simple:

**Theorem 5.** *For  $n \geq 3$ ,  $\text{vol}(\mathcal{P}_n(\mathcal{I}_{1,n-1})) = 2(n-2)$ .*

Finally, in Section 6 we lay out future work on  $i^{\text{th}}$  pyramidal interval vector polytopes.

## 2 Preliminaries

In this paper, we will be analyzing the properties of certain classes of *convex polytopes* which are formed by taking the convex hull of finitely many points in  $\mathbb{R}^n$ . The *convex hull* of a set  $A = \{v_1, v_2, \dots, v_m\} \subset \mathbb{R}^n$ , denoted  $\text{conv}(A)$ , is defined as

$$\left\{ \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_m v_m \mid \lambda_1, \lambda_2, \dots, \lambda_m \in \mathbb{R}_{\geq 0} \text{ and } \sum_{i=1}^m \lambda_i = 1 \right\}. \quad (1)$$

The polytope  $\text{conv}(A)$  is contained in the *affine hull*  $\text{aff}(A)$  of  $A$ , defined as in (1) but without the restriction that  $\lambda_1, \lambda_2, \dots, \lambda_m \geq 0$ . We call a set of points *affinely* (resp. *convexly*) *independent* if each point is not in the affine (resp. convex) hull of the rest. The *vertex set* of a polytope is the minimal convexly independent set of points whose convex hull form the polytope. A polytope is *d-dimensional* if the dimension of its affine hull is  $d$ . We denote the dimension of the polytope  $\mathcal{P}$  as  $\dim(\mathcal{P})$ . We call a  $d$ -dimensional polytope a *d-simplex* if it has  $d+1$  vertices.

A *lattice point* is a point with integral coordinates. A *lattice polytope* is a polytope whose vertices are lattice points. The *normalized volume* of a polytope  $\mathcal{P}$ , denoted  $\text{vol}(\mathcal{P})$ , is the volume with respect to a unimodular simplex (recall definition in Section 1). We will refer to the normalized volume of a polytope as its *volume*. Note that the leading coefficient of the Ehrhart polynomial of a lattice polytope  $\mathcal{P}$  is  $\frac{1}{d!} \text{vol}(\mathcal{P})$ .

A *hyperplane* is a set of the form

$$H := \{x \in \mathbb{R}^n \mid a_1 x_1 + \dots + a_n x_n = b\},$$

where not all  $a_j$ 's are 0. The *half-spaces* defined by this hyperplane are formed by the two weak inequalities corresponding to the equation defining the hyperplane. A *face* of  $\mathcal{P}$  is the intersection of a hyperplane and  $\mathcal{P}$  such that  $\mathcal{P}$  lies completely in one half-space of the hyperplane. This face is a polytope called a *k-face* if its dimension is  $k$ . A vertex is a 0-face and an *edge* is a 1-face. Given an  $n$ -dimensional polytope  $\mathcal{P}$  with

$f_k$   $k$ -dimensional faces, the  $f$ -vector of  $\mathcal{P}$  is written as  $f(\mathcal{P}) := (f_{-1}, f_0, \dots, f_n)$ . For example, a triangle  $\triangle$  is a 2-dimensional polytope with 3 vertices and 3 edges and thus has  $f$ -vector  $f(\triangle) = (1, 3, 3, 1)$ .

### 3 Complete Interval Vector Polytopes

In [2] Dahl provides a method for determining the dimension of these polytopes which we will use throughout this paper. We utilized the software packages `polymake` [6] and `LattE` [4, 8] to find most of the patterns described by our results.

*Proof of Theorem 1.* Each of the vertices of  $Q_n$  are vectors with entries that sum to zero, so any linear combination (and specifically any convex combination) of these vertices also has entries who sum to zero. Define  $B := \{x \in \mathbb{R}^n \mid \sum_{i=1}^n x_i = 0\}$ ; thus  $Q_n \subset B$ , and  $B$  is an  $(n-1)$ -dimensional affine subspace of  $\mathbb{R}^n$ .

Consider the linear transformation  $T$  given by the  $n \times n$  lower triangular matrix with entries  $t_{i,j} = 1$  if  $i \geq j$  and  $t_{i,j} = 0$  otherwise. Then

$$T(B) \subseteq A := \{x \in \mathbb{R}^n \mid x_n = 0\}.$$

Since (the matrix representing)  $T$  has determinant 1, it is injective when restricting the domain to  $B$ . For the same reason, we know that for any  $y \in A$ , there exists  $x \in \mathbb{R}^n$  such that  $y = T(x)$ . But since  $y_n = \sum_{i=1}^n x_i = 0$ , then  $x \in B$ , so that  $T|_B : B \rightarrow A$  is surjective, and therefore a linear bijection.

Also, the projection  $\Pi : A \rightarrow \mathbb{R}^{n-1}$  given by

$$\Pi((x_1, \dots, x_{n-1}, 0)) = (x_1, \dots, x_{n-1}),$$

is clearly a linear bijection.

Now we show that the linear bijection  $\Pi \circ T|_B : B \rightarrow \mathbb{R}^{n-1}$  is a lattice-preserving map, i.e., an isomorphism from  $B \cap \mathbb{Z}^n$  to  $\mathbb{Z}^{n-1}$  (viewed as additive groups). First we find a lattice basis for  $B$ . Consider

$$C := \{e_{i,n} = e_i - e_n \mid i < n\}.$$

We notice that any integer point of  $B$  can be represented as

$$\left(a_1, \dots, a_{n-1}, -\sum_{i=1}^{n-1} a_i\right) = \sum_{i=1}^{n-1} a_i e_{i,n}$$

and so  $C$  is a lattice basis.

Note that  $\Pi \circ T(e_{i,n}) = e_i + \dots + e_{n-1} =: u_i$ . Therefore

$$\Pi \circ T(C) = \{u_i \mid i \leq n-1\} =: U.$$

We notice that  $e_{n-1} = u_{n-1}$  and  $e_i = u_i - u_{i+1}$ , so that each of the standard unit vectors  $e_i$  of  $\mathbb{R}^{n-1}$  is an integral combination of the vectors in  $U$ . Since the standard basis is a

lattice basis, so is  $U$ , thus  $\Pi \circ T|_B$  is a lattice-preserving map. Since our bijection is linear and lattice-preserving, all we have left to show is that the vertices of  $Q_n$  map to those of  $\mathcal{P}_{n-1}(\mathcal{I}_{[n-1]})$ . By linearity,  $\Pi \circ T(0) = 0$ , and given any vertex  $\alpha_{i,j}$  of  $\mathcal{P}_{n-1}(\mathcal{I}_{[n-1]})$ , we know that  $\Pi \circ T(e_{i,j+1}) = \alpha_{i,j}$  where  $i < j+1 \leq n$  so that  $\Pi \circ T|_B$  maps vertices to vertices.  $\square$

Corollary 2 follows directly from this theorem and [9], since the leading coefficient of the Ehrhart polynomial of  $\mathcal{P}_n$  is  $\frac{1}{n!}$  times the volume of  $\mathcal{P}_n$ .

## 4 Fixed Interval Vector Polytopes

The following construction is due to [2]. We define the set of *elementary vectors* as containing all  $e_{i,j} = e_i - e_j$ , each unit vector  $e_i$ , and the zero vector. Let  $T$  be the lower triangular matrix from the proof of Theorem 1. We notice that  $T(e_i) = \alpha_{i,n}$  and  $T(e_{i,j}) = \alpha_{i,j-1}$ . So the image of an elementary vector is an interval vector. Since  $T$  is invertible, for any set of interval vectors  $\mathcal{I}$ , there is a unique set  $\mathcal{E}$  of elementary vectors such that  $T(\mathcal{E}) = \mathcal{I}$ , namely  $\mathcal{E} = T^{-1}(\mathcal{I})$ .

Thus for any interval vector polytope  $\mathcal{P}_n(\mathcal{I}_S) \subset \mathbb{R}^n$ , we can construct the corresponding *flow-dimension graph*  $G_{\mathcal{I}_S} = (V, E)$  as follows. Let  $\mathcal{E}_S = T^{-1}(\mathcal{I}_S)$ . Let the vertex set  $V = [n]$ . Specify a subset  $V_1 = \{j \in V \mid e_j \in \mathcal{E}_S\}$ , and define the directed edge set  $E = \{(i, j) \mid e_{i,j} \in \mathcal{E}_S\}$ . Let  $k_0$  denote the number of connected components  $\mathcal{C}$  of the graph  $G$  (ignoring direction) so that  $\mathcal{C} \cap V_1$  is empty.

Recall that the fixed interval vector polytope  $\mathcal{P}_n(\mathcal{I}_i)$  is the convex hull of all interval vectors in  $\mathbb{R}^n$  with interval length  $i$ . For example, the fixed interval vector polytope with  $n = 5$ ,  $i = 3$  is

$$\mathcal{P}_5(\mathcal{I}_3) = \text{conv}((1, 1, 1, 0, 0), (0, 1, 1, 1, 0), (0, 0, 1, 1, 1))$$

and its flow-dimension graph is depicted in Figure 1.

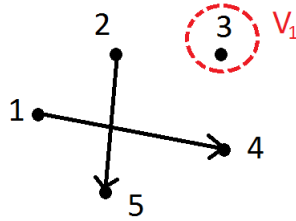


Figure 1: The flow-dimension graph of  $\mathcal{P}_5(\mathcal{I}_3)$ .

**Theorem 6** (Dahl [2]). *If  $0 \in \text{aff}(\mathcal{I}_S)$ , then the dimension of  $\mathcal{P}_n(\mathcal{I}_S)$  is  $n - k_0$ . Else, if  $0 \notin \text{aff}(\mathcal{I}_S)$  then the dimension of  $\mathcal{P}_n(\mathcal{I}_S)$  is  $n - k_0 - 1$ .*

For a fixed  $i$ ,

$$T^{-1}(\mathcal{I}_i) = \mathcal{E}_i = \{e_{k,k+i} \mid k \leq n-i\} \cup \{e_{n-i+1}\}.$$

The corresponding flow-dimension graph is  $G_{\mathcal{P}_n(\mathcal{I}_i)} = (V, E)$  where  $V = \{1, \dots, n\}$  and  $E = \{(k, k+i) \mid k \in [n-i]\}$ . Then  $V_1 = \{n-i+1\}$  corresponds to  $e_{n-i+1} \in \mathcal{E}_i$ .

Two nodes  $a, b$  in a graph  $G = (V, E)$  are said to be *connected* if there exists a *path* from  $a$  to  $b$ , that is there exist  $q_0, \dots, q_s \in V$  such that  $(a, q_0), (q_0, q_1), \dots, (q_s, b) \in E$ .

**Lemma 7.** *Let  $a, b$  be nodes in the flow-dimension graph  $G_{\mathcal{P}_n(\mathcal{I}_i)}$ . Then  $a$  and  $b$  are connected if and only if  $a \equiv b \pmod i$ .*

*Proof.* The edges in  $G_{\mathcal{P}_n(\mathcal{I}_i)}$  are of the form  $(k, k+i)$ , and therefore the nodes of a path in  $G_{\mathcal{P}_n(\mathcal{I}_i)}$  are all in the same congruence class modulo  $i$ .  $\square$

**Proposition 8.**  $\mathcal{P}_n(\mathcal{I}_i)$  is an  $(n-i)$ -dimensional simplex.

*Proof.* For a given dimension and interval length, an interval vector is uniquely determined by the location of the first 1, hence we can determine the number of vertices of  $\mathcal{P}_n(\mathcal{I}_i)$  by counting all possible placements of the first 1 in an interval of  $i$  1's. Since the string must have length  $i$ , the number of spaces before the first 1 must not exceed  $n-i$  and so there are  $n-i+1$  possible locations for the first 1 in the interval to be placed. Thus,  $\mathcal{P}_n(\mathcal{I}_i)$  has  $n-i+1$  vertices.

By Lemma 7 we know there are  $i$  connected components in the flow-dimension graph  $G_{\mathcal{P}_n(\mathcal{I}_i)}$  and since  $V_1$  has only one element,  $k_0 = i-1$ . Thus by Theorem 6 the dimension of  $\mathcal{P}_n(\mathcal{I}_i)$  is  $n-i$ . Therefore  $\mathcal{P}_n(\mathcal{I}_i)$  is an  $(n-i)$ -dimensional simplex.  $\square$

*Proof of Theorem 3.* It remains to show that  $\mathcal{P}_n(\mathcal{I}_i)$  is unimodular. Consider the affine space where the sum over every  $i^{\text{th}}$  coordinate is 1,

$$A = \left\{ \mathbf{x} \in \mathbb{R}^n \mid \sum_{j \equiv k \pmod i} x_j = 1, \text{ for all } k \in [i] \right\}.$$

Since the vertices of  $\mathcal{P}_n(\mathcal{I}_i)$  have interval length  $i$ , they are in  $A$ ; thus  $\mathcal{P}_n(\mathcal{I}_i) \subset A$ . We want to show that the following vectors in  $\mathcal{P}_n(\mathcal{I}_i)$  form a lattice basis for  $A$ :

$$\begin{aligned} w_1 &= \alpha_{1,i} - \alpha_{n-i+1,n} \\ w_2 &= \alpha_{2,i+1} - \alpha_{n-i+1,n} \\ &\vdots \\ w_{n-i} &= \alpha_{n-i,n-1} - \alpha_{n-i+1,n}. \end{aligned}$$

We will do this by showing that any integer point  $p \in A$  can be expressed as an integral linear combination of the proposed lattice basis, that is, there exist integer coefficients  $Y_1, \dots, Y_{n-i}$  so that  $p = Y_1 w_1 + \dots + Y_{n-i} w_{n-i} + \alpha_{n-i+1,n}$ .

We first notice that  $p$  can be expressed as

$$\left( p_1, p_2, \dots, p_{n-i}, \sum_{\substack{j \leq n-i \\ j \equiv t-i+1 \pmod i}} (-p_j) + 1, \sum_{\substack{j \leq n-i \\ j \equiv t-i+2 \pmod i}} (-p_j) + 1, \dots, \sum_{\substack{j \leq n-i \\ j \equiv t \pmod i}} (-p_j) + 1 \right)$$

by solving for the last term in each of the equations defining  $A$ . Let

$$Y_t = \begin{cases} p_1 & \text{if } t = 1, \\ p_t - p_{t-1} & \text{if } 1 < t \leq i, \\ p_t - Y_{t-i} & \text{if } i < t \leq n - i. \end{cases}$$

Then each  $Y_t$  is an integer. We claim that

$$Y_1 w_1 + \cdots + Y_{n-i} w_{n-i} + \alpha_{n-i+1,n} = p.$$

Clearly the first coordinate is  $p_1$  since  $w_1$  is the only vector with an element in the first coordinate. Next consider the  $t^{\text{th}}$  coordinate of this linear combination for  $1 < t \leq i$ , by summing the coefficients of all the vectors who have a 1 in the  $t^{\text{th}}$  position:

$$Y_t + Y_{t-1} + Y_{t-2} + \cdots + Y_1 = p_t - p_{t-1} + p_{t-1} - p_{t-2} + \cdots + p_2 - p_1 + p_1 = p_t$$

We next consider the  $t^{\text{th}}$  coordinate of the combination for  $i < t \leq n - i$  by summing the coefficients of the vectors who have a 1 in the  $t^{\text{th}}$  position.

$$Y_t + Y_{t-1} + \cdots + Y_{t-i+1} = (p_t - Y_{t-1} - \cdots - Y_{t-i+1}) + Y_{t-1} + \cdots + Y_{t-i+1} = p_t$$

Finally, we consider the  $t^{\text{th}}$  coordinate of the combination for  $n - i < t \leq n$ , noticing that each coordinate from  $w_1$  to  $w_t$  has a  $-1$  in the  $(t - i)^{\text{th}}$  position, and  $\alpha_{n-i+1,n}$  has a 1 in this position. This gives

$$-(Y_1 + Y_2 + \cdots + Y_{t-i}) + 1.$$

Applying the two relations we have defined between coordinates, and calling  $\langle t \rangle$  the least residue of  $t \bmod i$ , we see that

$$\begin{aligned} -(Y_1 + Y_2 + \cdots + Y_{t-i}) + 1 &= -(Y_1 + Y_2 + \cdots + Y_{t-2i} + p_{t-i}) + 1 \\ &= -(Y_1 + Y_2 + \cdots + Y_{t-3i} + p_{t-2i} + p_{t-i}) + 1 \\ &= -\left(Y_1 + Y_2 + \cdots + Y_{\langle t \rangle} + \sum_{\substack{i < j \leq n-i \\ j \equiv t \bmod i}} p_j\right) + 1 \\ &= -\left(\sum_{\substack{j \leq n-i \\ j \equiv t \bmod i}} p_j\right) + 1. \end{aligned}$$

Thus  $p = Y_1 w_1 + Y_2 w_2 + \cdots + Y_{n-i} w_{n-i} + \alpha_{n-i+1,n}$  and so  $w_1, \dots, w_{n-i}$  form a lattice basis of  $A$ . Thus the vertices of  $\mathcal{P}_n(\mathcal{I}_i)$  form a lattice basis, and so  $\mathcal{P}_n(\mathcal{I}_i)$  is a unimodular simplex.  $\square$

## 5 The first pyramidal interval vector polytope

Recall that  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$  is the convex hull of all vectors in  $\mathbb{R}^n$  with interval length 1 or  $n - 1$ . For example,

$$\mathcal{P}_4(\mathcal{I}_{1,3}) = \text{conv} \left( (1, 0, 0, 0), (0, 1, 0, 0), (0, 0, 1, 0), (0, 0, 0, 1), (1, 1, 1, 0), (0, 1, 1, 1) \right),$$

whose flow-dimension graph is depicted in Figure 2.

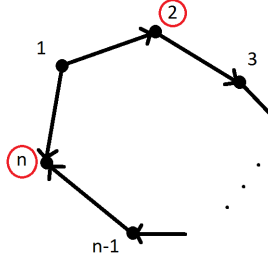


Figure 2:  $G_{\mathcal{P}_n(\mathcal{I}_{1,n-1})}$ .

**Proposition 9.** *The dimension of  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$  is  $n$ .*

*Proof.* The affine hull of  $e_1, \dots, e_n$  is the  $(n - 1)$ -dimensional set

$$\{x \in \mathbb{R}^n \mid x_1 + \dots + x_n = 1\}.$$

Since  $\alpha_{1,n-1}$  is not in this set,  $\dim(\mathcal{P}_n(\mathcal{I}_{1,n-1})) = n$ . □

Recall that the  $f$ -vector of a polytope tells us the number of faces the polytope has of each dimension. Our next task is to compute the  $f$ -vector of  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$ .

**Lemma 10.** *Let  $n \geq 3$ . Then  $\mathcal{B} := \text{conv}(e_1, e_n, \alpha_{1,n-1}, \alpha_{2,n})$  is a 2-dimensional face of  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$ .*

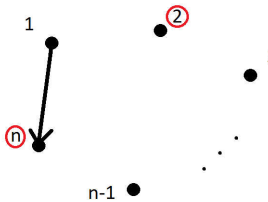


Figure 3:  $G_{\mathcal{A}}$ .



*Proof.* We first consider  $\mathcal{A} = \text{conv}(e_n, \alpha_{1,n-1}, \alpha_{2,n})$ . The corresponding elementary vectors of the vertex set are  $\{e_{1,n}, e_2, e_n\}$ . So we build the flow-dimension graph as seen in Figure 2,  $G_{\mathcal{A}} = (V, E)$  where  $V = [n]$ ,  $E = \{(1, n)\}$  corresponding to  $e_{1,n}$ . The subset  $V_1 = \{2, n\}$  (circled in Figure 2) corresponds to  $e_2$  and  $e_n$ . This graph has  $n-1$  connected components, two of which contain elements of  $V_1$  so that  $k_0 = n-3$ .

If we let  $\lambda_1 e_n + \lambda_2 \alpha_{1,n-1} + \lambda_3 \alpha_{2,n} = \mathbf{0}$ , we first notice that  $\lambda_2 = 0$  since  $\alpha_{1,n-1}$  is the only vector with a nonzero first coordinate. But this implies that  $\lambda_1 = \lambda_3 = 0$ . Since the coefficients cannot sum to 1, we conclude that  $\mathbf{0} \notin \text{aff}(e_n, \alpha_{1,n-1}, \alpha_{2,n})$ . So now by Theorem 6,

$$\dim(\text{conv}(e_n, \alpha_{1,n-1}, \alpha_{2,n})) = n - k_0 - 1 = n - (n-3) - 1 = 2.$$

Finally  $e_1 = (1)\alpha_{1,n-1} + (-1)\alpha_{2,n} + (1)e_n$  is in the affine hull of  $\mathcal{A}$  and thus does not add a dimension. We conclude that  $\dim(\mathcal{B}) = 2$ .  $\square$

**Corollary 11.** *Let  $\mathcal{I} := \{e_1, e_2, \dots, e_n, \alpha_{1,n-1}, \alpha_{2,n}\}$ . For  $2 \leq i \leq n-1$  each  $e_i$  adds a dimension to  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$ , that is,  $e_i \notin \text{aff}(\mathcal{I} \setminus \{e_i\})$ .*

*Proof.* This follows from Proposition 9 and Lemma 10. Since  $\mathcal{B}$  has dimension 2 and  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$  has dimension  $n$ , then the  $n-2$  remaining vertices must add the remaining  $n-2$  dimensions.  $\square$

**Lemma 12.** *Let  $\mathcal{B}$  as in Lemma 10. Then  $\mathcal{B}$  has  $f$ -vector  $(1, 4, 4, 1)$ .*

*Proof.* Since  $\mathcal{B}$  has dimension 2,  $f_1 = f_0$ . We know that  $\{e_n, \alpha_{1,n-1}, \alpha_{2,n}\}$  are three vertices of  $\mathcal{B}$ . If  $e_1 \in \text{conv}(e_n, \alpha_{1,n-1}, \alpha_{2,n})$  then

$$e_1 = \lambda_1 e_n + \lambda_2 \alpha_{1,n-1} + \lambda_3 \alpha_{2,n} \tag{2}$$

where the coefficients sum to 1. Since  $\alpha_{1,n-1}$  is the only vector with a nonzero coordinate in the first position,  $\lambda_2 = 1$ . This in turn implies that  $\lambda_1 = \lambda_3 = 0$ , contradicting (2). So  $e_1 \notin \text{conv}(e_n, \alpha_{1,n-1}, \alpha_{2,n})$  and therefore forms a fourth vertex.  $\square$

We can tie all this together with the following theorem. First we define a  $d$ -pyramid  $P$  as the convex hull of a  $(d-1)$ -dimensional polytope  $K$  (the *basis* of  $P$ ) and a point  $A \notin \text{aff}(K)$  (the *apex* of  $P$ ).

**Theorem 13** (see, e.g., [7]). *If  $P$  is a  $d$ -pyramid with basis  $K$  then*

$$\begin{aligned} f_0(P) &= f_0(K) + 1 \\ f_k(P) &= f_k(K) + f_{k-1}(K) \quad \text{for } 1 \leq k \leq d-2 \\ f_{d-1}(P) &= 1 + f_{d-2}(K). \end{aligned}$$

We notice that the rows of Pascal's 3-triangle act in the same manner and we claim the face numbers for  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$  can be derived from Pascal's 3-triangle.

*Proof of Theorem 4.* Recall that  $\mathcal{I} = \{e_1, e_2, \dots, e_n, \alpha_{1,n-1}, \alpha_{2,n}\}$  is the vertex set for  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$  with  $n \geq 3$ , and let  $\mathcal{R}_k := \text{conv}(\mathcal{I} \setminus \{e_k, e_{k+1}, \dots, e_{n-1}\})$  for  $1 \leq k < n$ . Then it is clear that  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$  is the convex hull of the union of the  $(n-1)$ -dimensional polytope  $\mathcal{R}_{n-1}$  and  $e_{n-1} \notin \text{aff}(\mathcal{R}_{n-1})$  (by Corollary 11), and thus is a pyramid and its face numbers can be computed as in Theorem 13 from the face numbers of  $\mathcal{R}_{n-1}$ .

Notice next that  $\mathcal{R}_{n-1}$  is the convex hull of the  $(n-2)$ -dimensional polytope  $\mathcal{R}_{n-2}$  and  $e_{n-2} \notin \text{aff}(\mathcal{R}_{n-2})$  (again by Corollary 11), so we can compute the face numbers of  $\mathcal{R}_{n-1}$  from those of  $\mathcal{R}_{n-2}$  as in Theorem 13.

We can continue this process until we get that  $\mathcal{R}_3$  is the convex hull of  $\mathcal{R}_2$  and  $e_2 \notin \text{aff}(\mathcal{R}_2)$ . But we notice that  $\mathcal{R}_2 = \mathcal{B}$ , so by Lemma 12,  $f_0(\mathcal{R}_2) = f_1(\mathcal{R}_2) = 4$ . From here we can build the  $f$ -vector of  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$  recursively, using Theorem 13.  $\square$

Our next goal is to compute the volume of  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$ . A simple induction proof gives:

**Lemma 14.** *The determinant of the  $n \times n$ -matrix*

$$\begin{bmatrix} 0 & 1 & 1 & \cdots & 1 \\ 1 & 0 & 1 & \cdots & 1 \\ & & \ddots & & \\ 1 & \cdots & 1 & 0 & 1 \\ 1 & 1 & \cdots & 1 & 0 \end{bmatrix}$$

is  $(-1)^{n-1}(n-1)$ .

*Proof of Theorem 5.* In order to calculate the volume of  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$  we will first triangulate the 2-dimensional base of the pyramid  $\mathcal{B}$  from Lemma 10: namely,  $\mathcal{B}$  is the union of

$$\Delta_1 = \text{conv}(e_1, e_n, \alpha_{1,n-1}) \quad \text{and} \quad \Delta_2 = \text{conv}(e_n, \alpha_{1,n-1}, \alpha_{2,n}).$$

By Corollary 11, each  $e_2, \dots, e_{n-1}$  adds a dimension so that the convex hull of these points and  $\Delta_1$  is an  $n$ -dimensional simplex. The same can be said of  $\Delta_2$ . Call these simplices  $S_1$  and  $S_2$  respectively; thus  $S_1$  and  $S_2$  triangulate  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$ , and the sum of their volumes is equal to the volume of  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$ . In order to calculate the volume of  $S_1$  and  $S_2$ , we will use the Cayley Menger determinant [3]. Consider  $S_1$ , whose volume is the determinant of the matrix

$$\begin{bmatrix} e_1 - \alpha_{1,n-1} & e_2 - \alpha_{1,n-1} & \cdots & e_n - \alpha_{1,n-1} \end{bmatrix} = \begin{bmatrix} 0 & -1 & -1 & \cdots & -1 & -1 \\ -1 & 0 & -1 & \cdots & -1 & -1 \\ -1 & -1 & 0 & -1 & \cdots & -1 \\ & & & \ddots & & \\ -1 & -1 & \cdots & -1 & 0 & -1 \\ 0 & 0 & 0 & \cdots & 0 & 1 \end{bmatrix}.$$

Cofactor expansion on the last row will leave us with the determinant, up to a sign, of the  $(n-1) \times (n-1)$  matrix

$$\begin{bmatrix} 0 & -1 & -1 & \cdots & -1 \\ -1 & 0 & -1 & \cdots & -1 \\ & & \ddots & & \\ -1 & \cdots & -1 & 0 & -1 \\ -1 & -1 & \cdots & -1 & 0 \end{bmatrix}, \quad (3)$$

which, when ignoring sign, by Lemma 14 is  $n-2$ . Therefore the volume of  $S_1$  is  $n-2$ .

A similar computation gives the volume of  $S_2$  as  $n-2$ , and so the volume of  $\mathcal{P}_n(\mathcal{I}_{1,n-1})$  is  $2(n-2)$ , as desired.  $\square$

## 6 The $i^{\text{th}}$ pyramidal interval vector polytope

Recall that the  $i^{\text{th}}$  pyramidal interval vector polytope is  $\mathcal{P}_n(\mathcal{I}_{1,n-i})$ , the convex hull of all interval vectors in  $\mathbb{R}^n$  with interval length 1 or  $n-i$ .

**Example 15.** For  $n=6$  and  $i=2$ ,

$$\begin{aligned} \mathcal{P}_6(\mathcal{I}_{1,4}) = \text{conv} \big( & (1, 0, 0, 0, 0, 0), (0, 1, 0, 0, 0, 0), (0, 0, 1, 0, 0, 0), (0, 0, 0, 1, 0, 0) \\ & (0, 0, 0, 0, 1, 0), (0, 0, 0, 0, 0, 1), (1, 1, 1, 1, 0, 0), (0, 1, 1, 1, 1, 0) \\ & (0, 0, 1, 1, 1, 1) \big). \end{aligned}$$

The following proposition collects certain properties of  $\mathcal{P}_n(\mathcal{I}_{1,n-i})$ . We omit its proof, since it is similar to the proofs in Section 5.

**Proposition 16.** *The dimension of  $\mathcal{P}_n(\mathcal{I}_{1,n-i})$  is  $n$ . Furthermore,  $\mathcal{P}_n(\mathcal{I}_{1,n-i})$  can be constructed by taking iterative pyramids (with the sequence of top vertices  $e_{i+1}, e_{i+2}, \dots, e_{n-i}$ ) over the  $2i$ -dimensional base*

$$\text{conv} \left( \{e_1, e_2, \dots, e_i, e_{n-i+1}, \dots, e_n, \alpha_{1,n-i}, \alpha_{2,n-i-1}, \dots, \alpha_{i+1,n}\} \right).$$

Using `polymake` to generate  $f$ -vectors for varying  $n$ , we observed that the  $f$ -vectors of  $\mathcal{P}_n(\mathcal{I}_{1,n-i})$  correspond to the sum of multiple shifted Pascal triangles; this is again due to its pyramid property. We also offer the following:

**Conjecture 17.** The volume of  $\mathcal{P}_n(\mathcal{I}_{1,n-i})$  equals  $2^i(n-(i+1))$ .

We conjecture something more concrete: namely, that  $\mathcal{P}_n(\mathcal{I}_{1,n-i})$  can be triangulated into  $2^i$  simplices, and pyramiding over each of these simplices each yields a volume of  $n-(i+1)$ .

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