

Upper bounds on the minimum size of Hamilton saturated hypergraphs

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Abstract

For $1 \leq \ell < k$, an ℓ -overlapping k -cycle is a k -uniform hypergraph in which, for some cyclic vertex ordering, every edge consists of k consecutive vertices and every two consecutive edges share exactly ℓ vertices.

A k -uniform hypergraph H is ℓ -Hamiltonian saturated if H does not contain an ℓ -overlapping Hamiltonian k -cycle but every hypergraph obtained from H by adding one edge does contain such a cycle. Let $\text{sat}(n, k, \ell)$ be the smallest number of edges in an ℓ -Hamiltonian saturated k -uniform hypergraph on n vertices. In the case of graphs Clark and Entringer showed in 1983 that $\text{sat}(n, 2, 1) = \lceil \frac{3n}{2} \rceil$. The present authors proved that for $k \geq 3$ and $\ell = 1$, as well as for all $0.8k \leq \ell \leq k - 1$, $\text{sat}(n, k, \ell) = \Theta(n^\ell)$. In this paper we prove two upper bounds which cover the remaining range of ℓ . The first, quite technical one, restricted to $\ell \geq \frac{k+1}{2}$, implies in particular that for $\ell = \frac{2}{3}k$ and $\ell = \frac{3}{4}k$ we have $\text{sat}(n, k, \ell) = O(n^{\ell+1})$. Our main result provides an upper bound $\text{sat}(n, k, \ell) = O(n^{(k+\ell)/2})$ valid for all k and ℓ . In the smallest open case we improve it further to $\text{sat}(n, 4, 2) = O(n^{14/5})$.

1 Introduction

A hypergraph H is a pair $H = (V, E)$ where V is a set of elements called *vertices*, and E is a set of non-empty subsets of V called *edges*. If every edge of H has exactly k vertices, then H is called a k -uniform hypergraph or a k -graph. In what follows we will often identify H with its set of edges.

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Given integers $1 \leq \ell < k$, we define an ℓ -overlapping k -cycle as a k -graph in which, for some cyclic ordering of its vertices, every edge consists of k consecutive vertices, and every two consecutive edges (in the natural ordering of the edges induced by the ordering of the vertices) share exactly ℓ vertices. The notion of an ℓ -overlapping k -path is defined similarly, that is, with vertices ordered v_1, \dots, v_s , the edges of the path are $\{v_1, \dots, v_k\}, \{v_{k-\ell+1}, \dots, v_{k+\ell}\}, \dots, \{v_{s-k+1}, \dots, v_s\}$. Note that the number of edges of an ℓ -overlapping k -cycle with s vertices is $s/(k-\ell)$ (and thus, s is divisible by $k-\ell$). Similarly, it can be easily seen that the number of vertices s of an ℓ -overlapping k -path equals ℓ modulo $k-\ell$.

We denote an ℓ -overlapping k -cycle on s vertices by $C_s^{(k,\ell)}$. We further denote by $g := g(k, \ell)$ the number of vertices between any two consecutive *disjoint* edges belonging to an ℓ -overlapping path (or cycle) and notice that

$$0 \leq g = \left\lceil \frac{k}{k-\ell} \right\rceil (k-\ell) - k < k-\ell < k, \quad (1)$$

and that $g = 0$ if and only if $k-\ell$ divides k .

An ℓ -overlapping Hamiltonian k -cycle in a n -vertex k -graph H is defined as any sub-hypergraph of H isomorphic to $C_n^{(k,\ell)}$. If H contains an ℓ -overlapping Hamiltonian k -cycle then H itself is called ℓ -Hamiltonian.

Given a k -graph H and a k -element set $e \in H^c$, where $H^c = \binom{V}{k} \setminus H$ is the complement of H , we denote by $H + e$ the hypergraph obtained from H by adding e to its edge set. A k -graph H is ℓ -Hamiltonian saturated, $1 \leq \ell \leq k-1$, if H is not ℓ -Hamiltonian but for every $e \in H^c$ the k -graph $H + e$ is such. The largest number of edges in an ℓ -Hamiltonian saturated k -graph on n vertices is called the Turán number for the cycle $C_n^{(k,\ell)}$. In [2] this number has been determined in terms of the Turán number of a $(k-1)$ -uniform path with a constant number of vertices.

In this paper we are interested in the other extreme. For n divisible by $k-\ell$, let $\text{sat}(n, k, \ell)$ be the *smallest* number of edges in an ℓ -Hamiltonian saturated k -graph on n vertices. In the case of graphs, Clark and Entringer proved in 1983 that $\text{sat}(n, 2, 1) = \lceil \frac{3n}{2} \rceil$ for $n \geq 52$.

For k -graphs with $k \geq 3$ the problem was first mentioned in [3, 4]. It seems to be quite hard to obtain such precise results as for graphs. Therefore, the emphasis has been put on the order of magnitude of $\text{sat}(n, k, \ell)$. The present authors proved in [5] that for $k \geq 3$ and $\ell = 1$, as well as for all $0.8k \leq \ell \leq k-1$,

$$\text{sat}(n, k, \ell) = \Theta(n^\ell), \quad (2)$$

see also [6] for the case $\ell = k-1$. On the other hand, we have the easy lower bound ([5, Prop. 2.1])

$$\text{sat}(n, k, \ell) = \Omega(n^\ell).$$

The facts that (2) holds for very small and very large (with respect to k) values of ℓ and that no better lower bound is known suggest, as conjectured already in [5], that (2) holds for all $1 \leq \ell \leq k-1$ and $k \geq 2$.

Conjecture 1. For all $k \geq 2$ and $1 \leq \ell \leq k - 1$,

$$\text{sat}(n, k, \ell) = O(n^\ell).$$

Our first result provides an upper bound on $\text{sat}(n, k, \ell)$ higher than the conjectured $O(n^\ell)$, but for a broader range of ℓ than in [5].

Theorem 1. For all $k \geq 3$ and $\ell \geq \frac{k+1}{2}$

$$\text{sat}(n, k, \ell) = O(n^{\ell+2g+1}).$$

Of course, this bound is good only when g is small, and when $g = 0$ it is only by a factor of n worse than the conjectured optimum. All cases of Theorem 1 which are not covered by the result from [5], but for which $g = 0$, are given in the following corollary.

Corollary 2. For every k divisible by three and $\ell = \frac{2}{3}k$, as well as for every k divisible by four and $\ell = \frac{3}{4}k$, we have $\text{sat}(n, k, \ell) = O(n^{\ell+1})$.

In the remaining range of ℓ , that is, for $2 \leq \ell \leq k/2$, nothing else than the trivial upper bound

$$\text{sat}(n, k, \ell) = O(n^k)$$

have been known. Our main result in this paper provides a first, non-trivial, general upper bound on $\text{sat}(n, k, \ell)$.

Theorem 3. For all $k \geq 3$ and $2 \leq \ell \leq k - 1$,

$$\text{sat}(n, k, \ell) = O(n^{(k_\ell)/2}).$$

One consequence of Theorem 3, combined with the case $\ell = k - 1$ of (2), is that for all ℓ and k we have

$$\text{sat}(n, k, \ell) = O(n^{k-1}).$$

In view of Theorem 3, the bound in Theorem 1 is not overwritten only when $\ell + 2g + 1 \leq \frac{k+\ell-1}{2}$, equivalently, when $g \leq (k - \ell - 1)/4$. Theorems 1 and 3 are proved, respectively, in Sections 3 and 4. In the smallest open case, $k = 4$, $\ell = 2$, we improve Theorem 3 a bit by showing the following result in Section 5.

Theorem 4. $\text{sat}(n, 4, 2) = O(n^{14/5})$.

Our proofs expand and refine a general approach to this type of problems first developed in [6] and modified in [5]. In short, we begin with constructing two k -graphs, H' and H'' , such that H' is not ℓ -Hamiltonian, while $H'' \supset H'$ contains some “trouble-making” edges. Then we define H as a maximal non- ℓ -Hamiltonian k -graph satisfying $H' \subseteq H \subseteq H''$. It then remains to show that for every $e \notin H$, $H + e$ is ℓ -Hamiltonian, but, what is crucial, in doing so we may restrict ourselves to $e \notin H''$.

In [6] the constructions of H' and H'' were based on a special partition of the vertex set, while in [5] we used blow-ups of sparse Hamiltonian saturated graphs. In this paper we return to both these ideas: we use the approach from [5] in the proof of Theorem 1, and the approach from [6] in the proofs of Theorems 3 and 4.

2 Preliminaries

Our proofs utilize the following special construction of a k -graph. Given a partition of the vertex set $V = \bigcup_{i=1}^h U_i$, for a subset $S \subseteq V$, let

$$tr(S) = \{i : U_i \cap S \neq \emptyset\}$$

and

$$\min(S) = \min\{i : i \in tr(S)\} = \min\{i : U_i \cap S \neq \emptyset\}.$$

Let

$$H_{k,\ell}(U_1, \dots, U_h) := H_{k,\ell} = \left\{ e \in \binom{V}{k} : |e \cap U_{\min(e)}| \geq k - \ell + 1 \right\}.$$

For further use, note that

$$|tr(e)| \leq \ell \quad \text{for every } e \in H_{k,\ell}. \quad (3)$$

For $i = 1, \dots, h$, let

$$C_i = \{e \in H_{k,\ell} : \min(e) = i\}.$$

Obviously, $H_{k,\ell} = C_1 \cup \dots \cup C_h$.

Define an ℓ -component of a k -graph H as a minimal subset of edges $C \subseteq H$ such that for all $e \in C$ and $f \in H \setminus C$, we have $|e \cap f| < \ell$.

Proposition 5. *For each $i = 1, \dots, h$, the set C_i is an ℓ -component of $H_{k,\ell}$.*

Proof. By the definition of $H_{k,\ell}$, for every $e \in C_i$ and $f \in C_j$, where $i < j$, we have $|e \cap U_i| \geq k - \ell + 1$ and $f \cap U_i = \emptyset$, and so $|e \cap f| < \ell$. Moreover, for every $e \in C_i$ there is an $f \in C_i$, $f \neq e$ such that $|e \cap f| \geq k - 1 \geq \ell$ (just switch one vertex without violating the membership in C_i), so that C_i satisfies the minimality condition in the definition of an ℓ -component. \square

Since every ℓ -overlapping k -path in a k -graph H must be entirely contained in one of the ℓ -components of H , we have the following corollary of Proposition 5.

Corollary 6. *For every ℓ -overlapping k -path P in $H_{k,\ell}$ there is an $i \in \{1, \dots, h\}$ such that $P \subseteq C_i$, or equivalently, for every edge e of P , we have $\min(e) = i$.*

We now investigate the maximum length of an ℓ -overlapping k -path in C_i , $i < h$, which traverses through exactly x vertices of U_i . Our next, purely combinatorial, result provides an easy upper bound, independent of ℓ . Given a positive integer x , let A and B be two disjoint sets, with $|A| = x$ and $|B| = \infty$. Let $\nu(x) = \max_P |V(P)|$, where the maximum is taken over all ℓ -overlapping paths P with $A \subset V(P) \subset A \cup B$ and $|e \cap A| \geq k - \ell + 1$ for all $e \in P$.

Proposition 7. *For every $x \geq k - 2$, we have $\nu(x) \leq kx$.*

Proof. Suppose there is a path P with $A \subset V(P) \subset A \cup B$, $|e \cap A| \geq k - \ell + 1$ for all $e \in P$, and $|V(P)| \geq kx + 1$. Let us view $V(P)$ as a binary sequence, where each vertex of A is replaced by symbol a and each vertex of $V(P) \cap B$ is replaced by symbol b . If there is a pair of consecutive symbols a in the sequence then, by averaging, there is a run (=a sequence of consecutive symbols) of at least

$$\frac{(k-1)x+1}{x} > k-1,$$

that is, of at least k symbols b . But then there is an edge of P with at most $k - \ell$ vertices of A – a contradiction. If, on the other hand, there are no consecutive symbols a in the sequence then, again by averaging, there is a run of at least

$$\frac{(k-1)x+1}{x+1} > k-2,$$

that is, of at least $k-1$ symbols b (here we use the assumption $x \geq k-2$). Thus, there is a segment $b \cdots bab$ where the run of b 's is of length $k-1$. The first (from the left) edge of P whose leftmost end is in this run may have at most $k - \ell$ symbols a – a contradiction, again. \square

We also have the following lower bound on $\nu(x)$.

Proposition 8. *For every $x \geq (k-3)(k-1)$*

$$\nu(x) \geq x + \left\lfloor \frac{x}{k-1} \right\rfloor + 3 - k.$$

Proof. Let a sequence Q begin with a vertex in B and then traverse, alternately, groups of $k-1$ vertices of A followed by one vertex of B until fewer than $k-1$ vertices of A are left. The remaining vertices of A are placed all at one end of Q . Clearly, every k -tuple of consecutive vertices of Q contains $k-1 \geq k-\ell+1$ vertices of A . To turn Q into an ℓ -overlapping path, the number of vertices of Q must equal ℓ modulo $k-\ell$. Therefore, we may be forced to drop up to $k-\ell-1 \leq k-2$ vertices of B from Q . This is possible as

$$|Q \cap B| = \left\lfloor \frac{x}{k-1} \right\rfloor + 1 \geq k-2,$$

by our assumption on x . The obtained path has the required properties and the claimed number of vertices. \square

Note that $\nu(x)$ is a nondecreasing function of x (just replace any vertex of B with a new vertex of A). Our next observation shows that it cannot increase too fast.

Proposition 9. *For all $x \geq 1$ we have $\nu(x-1) \geq \nu(x) - k$.*

Proof. Consider a longest path P of length $\nu(x)$ and remove its first (from the left) s vertices, where $\ell \leq s \leq k$ and $s = \nu(x) \bmod k - \ell$. As there must be a vertex of A among the first ℓ vertices of any edge, the remaining path P' satisfies $x' := |V(P') \cap A| \leq x - 1$ and, by the monotonicity of $\nu(x)$ we have

$$\nu(x) - k \leq \nu(x) - s \leq \nu(x') \leq \nu(x - 1). \quad \square$$

Returning to the hypergraph $H_{k,\ell}$, Propositions 7-9 imply the following corollary.

Corollary 10. *Let $i < h$, $k^2 \leq x \leq |U_i|$, $A \subset U_i$, $|A| = x$, and $B \subset \bigcup_{j>i} U_j$, $|B| \geq (k-1)x$. Then the length of a longest path P in C_i such that $A \subset V(P) \subset A \cup B$ equals $\nu(x)$. Moreover, we have $\nu(x) - k \leq \nu(x-1) \leq \nu(x)$ and*

$$\frac{k}{k-1}x - k < \nu(x) \leq kx.$$

In addition to the basic construction $H_{k,\ell}$, the proof of Theorem 1 relies on the notion of a (hypergraph) blow-up of a graph which will be defined soon. First, however, we recall a simple fact about graphs proved in [5, Fact 2.2]. For a graph G , let $c(G)$ denote the number of components of G . Given a subset $T \subseteq V(G)$, let $G[T]$ be the subgraph of G induced by T .

Fact 11 ([5]). *Let k , ℓ , and Δ be constants, and for $h = 1, 2, \dots$, let G_h be a graph with h vertices and $\Delta(G_h) \leq \Delta$. Then the number of k -element subsets $T \subseteq V(G_h)$ with $c(G[T_h]) \leq \ell$ is $O(h^\ell)$.*

Given a graph G and an integer sequence $\mathbf{a} = (a_1, \dots, a_h)$, the \mathbf{a} -blow-up of G is the k -graph $H := H[G]$ with

$$\begin{aligned} V(H) &= \bigcup_{i=1}^h U_i, \quad |U_i| = a_i, \\ H &= \bigcup_{ij \in G} K^{(k)}(U_i \cup U_j) \end{aligned}$$

where $K^{(k)}(U)$ is the complete k -graph on U and the sets U_i are pairwise disjoint. For a subset $S \subset V(H)$, let

$$tr(S) = \{i \in V(G) : U_i \cap S \neq \emptyset\}.$$

Furthermore, set

$$c(S) = c(G[tr(S)]).$$

The following immediate corollary of Fact 11 has been already noted in [5, Cor. 2.3].

Corollary 12 ([5]). *Let a_1, \dots, a_h , k , ℓ , and Δ be constants. If $\Delta(G_h) \leq \Delta$ and $H_h = H[G_h]$ is the \mathbf{a} -blow-up of G_h then the number of k -element subsets $S \subseteq V(H_h)$ with $c(S) \leq \ell$ is $O(h^\ell)$. \square*

In order to facilitate the reading of the paper, the most frequent notation has been summarized in Table 1.

$g(k, \ell)$	$= \lceil \frac{k}{k-\ell} \rceil (k - \ell) - k$
H	a k -graph
G	an auxiliary graph
$V(H)$	$= \bigcup_{i=1}^h U_i$
$V(G)$	$= \{1, \dots, h\}$
n	$= V(H) $
$tr(S)$	$= \{i : U_i \cap S \neq \emptyset\}$
$\min(S)$	$= \min\{i : S \cap U_i \neq \emptyset\}$
$\min_2(S)$	$= \min\{i : (S \setminus U_{\min(S)}) \cap U_i \neq \emptyset\}$
$c(G)$	the number of components of G
$c(S)$	$= c(G[tr(S)])$
$H_{k,\ell}$	$= \{e \in \binom{V}{k} : e \cap U_{\min(e)} \geq k - \ell + 1\}.$
C_i	$= \{e \in H_{k,\ell} : \min(e) = i\}.$
$\nu(x)$	$= \max\{ V(P) : P \text{ is an } \ell\text{-overlapping path with } V(P) \cap A = x \text{ and } e \cap A \geq k - \ell + 1 \text{ for all } e \in P\}.$

Table 1: Notation

3 Proof of Theorem 1

In this section we prove Theorem 1, where the construction of an ℓ -Hamiltonian saturated k -graph is based on a blow-up of a suitably chosen Hamiltonian saturated graph.

Our proof is a substantial modification of the proof of Theorem 1.1 in [5]. Specifically, we have made the range of ℓ in (7) broader (it used to be $2k - \ell + 1 \leq a_i \leq 4\ell - 2k + 1$) and, at the same time, we altered the definition of H_2 (by introducing the cores \overline{U}_i). In what follows, we assume that

$$g \leq \frac{k - \ell - 1}{4}, \quad (4)$$

since otherwise $\ell + 2g + 1 \geq (k + \ell)/2$ and Theorem 1 follows from Theorem 3.

We begin with a technical inequality.

Proposition 13. *If $\frac{k+1}{2} \leq \ell \leq k - 1$ then $2k - \ell - 2g - 2 \leq 2\ell - 2$.*

Proof. The inequality in question is equivalent to

$$3\ell + 2g \geq 2k, \quad (5)$$

To prove (5), note that, by the assumptions on ℓ , there exists some integer $a \geq 1$ such that

$$\frac{ak + 1}{a + 1} \leq \ell < \frac{(a + 1)k + 1}{(a + 1) + 1} \leq \frac{2ak + 1}{2a + 1}.$$

Then, by the lower bound on ℓ ,

$$\begin{aligned} g &= \left\lceil \frac{k}{k-\ell} \right\rceil (k-\ell) - k \geq \left\lceil \frac{k}{k-(ak+1)/(a+1)} \right\rceil (k-\ell) - k \\ &= \left\lceil \frac{k}{k-1} (a+1) \right\rceil (k-\ell) - k \geq (a+2)(k-\ell) - k. \end{aligned}$$

Hence, by the upper bound on ℓ , we finally have

$$3\ell + 2g \geq (2a+2)k - (2a+1)\ell > 2k - 1,$$

which implies (5). \square

It follows from Proposition 13, as in [5], that every sufficiently large integer n can be expressed as a sum

$$n = a_1 + \cdots + a_h, \quad (6)$$

for some h , where

$$2k - \ell - 2 - 2g \leq a_i \leq 2\ell - 1, \quad i = 1, \dots, h. \quad (7)$$

(This is because the range of a_i in (7) has at least two consecutive values.)

Fix a large integer n which is divisible by $(k-\ell)$ and let $\mathbf{a} = (a_1, \dots, a_h)$, where the a_i 's and h are as in (7). Note that $n = \Theta(h)$. Let G_h be an h -vertex Hamiltonian saturated graph with $\Delta(G_h) = O(1)$, and let

$$H_1 = H[G_h]$$

be the \mathbf{a} -blow-up k -graph of G_h (see the definition in Section 2) with

$$V = V(H_1) = \bigcup_{i=1}^h U_i, \text{ where } |U_i| = a_i, \quad i = 1, \dots, h.$$

Thus, by (6),

$$|V| = n = \sum_{i=1}^h a_i.$$

It is easy to check that (4) implies that $a_i \geq k - \ell$, for all $i = 1, \dots, h$. Fix a $(k-\ell)$ -subset \overline{U}_i of U_i , $i = 1, \dots, h$, and let

$$H_2 = \left\{ e \in \binom{V}{k} : |e \cap U_{\min(e)}| \geq k - \ell + 1, e \supset \overline{U}_{\min(e)} \text{ and } c(e) \geq g + 2 \right\}.$$

Since $H_2 \subseteq H_{k,\ell}$, by (3), for every $e \in H_2$ we have, in fact,

$$2 \leq g + 2 \leq c(e) \leq |tr(e)| \leq \ell. \quad (8)$$

(Note that (4) implies that, indeed, $g \leq \ell - 2$, which guarantees that H_2 is nonempty.) We have the following immediate consequence of the definition of H_2 and Corollary 6.

Corollary 14. *If P is a path in H_2 , then there is $i \in \{1, \dots, h\}$ such that for every $e \in P$ we have $|e \cap U_i| \geq k - \ell + 1$ and $e \supset \bar{U}_i$. In particular, each path in H_2 has at most $\lfloor \frac{k}{k-\ell} \rfloor$ edges.* \square

Observe also that for each $e \in H_1$, the set $tr(e)$ is either a vertex or an edge of G . Consequently, $c(e) = 1$ and the k -graphs H_1 and H_2 are edge-disjoint. Set $H' = H_1 \cup H_2$

Lemma 15. *H' is not ℓ -Hamiltonian.*

Proof. Suppose that H' contains an ℓ -Hamiltonian k -cycle $C_H = (e_1, \dots, e_m)$. Unlike in [5], the proof breaks only into two cases:

Case 1. $C_H \subseteq H_1$: We omit the proof in this case, as it is identical to Case 1 of the proof of Lemma 4.1 in [5] (Indeed that proof relied only on the assumption that $a_i \leq 2\ell - 1$.)

Case 2. $H_2 \cap C_H \neq \emptyset$: Let (w.l.o.g.) e_1, \dots, e_{s-1} be a maximal segment in C_H of consecutive edges from H_2 . By Corollary 14, $s - 1 \leq \lfloor \frac{k}{k-\ell} \rfloor$ and there exists an index $i \in \{1, \dots, h\}$ such that

$$e_1 \cap e_{s-1} \supseteq \bar{U}_i, \quad \text{and thus} \quad |e_1 \cap e_{s-1}| \geq |\bar{U}_i| = k - \ell. \quad (9)$$

Let Z be the set of vertices that lie between e_m and e_s on C_H . Formally,

$$Z = \left(\bigcup_{t=1}^{s-1} e_t \right) \setminus (e_m \cup e_s).$$

Then $e_1 \subseteq e_m \cup Z \cup e_s$ and, consequently,

$$\{i\} \subseteq tr(e_1) \subseteq tr(e_m) \cup tr(Z) \cup tr(e_s). \quad (10)$$

What is more, $e_m \cap U_i \neq \emptyset$ and $e_s \cap U_i \neq \emptyset$. Since $e_m \in H_1$ and $e_s \in H_1$, by the definition of H_1 , each of $tr(e_m)$ and $tr(e_s)$ is either the singleton $\{i\}$ or an edge of G containing vertex i . Hence, by (10), $c(e_1) \leq 1 + |Z|$, which combined with the bound $g + 2 \leq c(e_1)$ from the definition of H_2 , yields

$$|Z| \geq g + 1. \quad (11)$$

This further implies that e_m and e_s are disjoint, but more importantly, that e_1 and e_s are disjoint too (since e_m and e_s cannot be consecutive disjoint edges). Thus, $s \geq 3$ and

$$|Z| \leq 2(k - \ell) - |e_1 \cap e_{s-1}| \leq k - \ell, \quad (12)$$

by (9). Note, however, that due to the structure of ℓ -overlapping k -paths,

$$|Z| = g + t(k - \ell) \text{ for some } t \geq 0. \quad (13)$$

Therefore, by (13), (12) and (11), $|Z| = k - \ell$ (and $g = 0$). Consequently, by (12), $|e_1 \cap e_{s-1}| = k - \ell$, implying that, in fact, $e_1 \cap e_{s-1} = Z = \bar{U}_i$. But then (10) becomes

$$\{i\} \subseteq tr(e_1) \subseteq tr(e_m) \cup tr(e_s),$$

and hence, $c(e_1) = 1$ – a contradiction with the definition of H_2 . \square

Let

$$H'' = \left\{ e \in \binom{V}{k} : c(e) \leq \ell + 2g + 1 \right\}.$$

Recall that $H_1 = H[G_h]$ is the \mathbf{a} -blow-up k -graph of a Hamiltonian saturated h -vertex graph G_h . It means that for all $e \in H_1$ we have $c(e) = 1$, while, by (8), for all $e \in H_2$ we have $c(e) \leq |tr(e)| \leq \ell$. Thus, $H' = H_1 \cup H_2 \subseteq H''$.

Finally, let H be a maximal non- ℓ -Hamiltonian k -graph on V such that $H' \subseteq H \subseteq H''$. In view of Lemma 15, H does exist. By Corollary 12,

$$|H| \leq |H''| = O(n^{\ell+2g+1}). \quad (14)$$

Thus, to complete the proof of Theorem 1, it remains to show the following lemma.

Lemma 16. *For every $e \in H^c$, $H + e$ is ℓ -Hamiltonian.*

Proof. By the maximality of H , $H + e$ is ℓ -Hamiltonian for each $e \in H'' \setminus H$. Hence, we may restrict ourselves only to $e \in (H'')^c$, that is, such that $c(e) \geq \ell + 2g + 2$. Let us fix one such e . Let $j_1, j_2, \dots, j_{\ell+2g}, y$, and $x = \min(e)$ belong to $\ell + 2g + 2$ different components of $G[tr(e)]$ and satisfy

$$\min\{j_1, j_2, \dots, j_{\ell+2g}\} > y > x. \quad (15)$$

Let $r_x = |e \cap U_x|$ and $r_y = |e \cap U_y|$. Note that, since $|tr(e)| \geq c(e) \geq \ell + 2g + 2$,

$$\max\{r_x, r_y\} \leq \max_{1 \leq i \leq n} |e \cap U_i| \leq k - (|tr(e)| - 1) \leq k - \ell - 2g - 1. \quad (16)$$

We will build an ℓ -overlapping Hamiltonian cycle C_H in $H + e$ using the Hamiltonian saturation of G_h . Let (u_1, \dots, u_n) be the vertices of V in the order as they will appear on the C_H under construction. Our goal is to define this ordering so that each segment of k consecutive vertices which begins at u_i , where $i \equiv 1 \pmod{k - \ell}$, is an edge of $H + e$. We will denote by e_1 the edge beginning at u_1 , by e_2 – the edge beginning at $u_{1+k-\ell}$ and so on, until the last edge e_m of C_H which begins at $u_{n-k+\ell+1}$, where $m = \frac{n}{k-\ell}$.

To achieve our goal, we will first construct an ℓ -overlapping path $P \subseteq H_2 + e$, extending e in both directions, and using only the vertices of U_x and U_y , one type at each end of e . Then, we will connect the endsets of P by an ℓ -overlapping path $P' \subseteq H_1$, covering all the remaining vertices and, thus, creating, together with P , an ℓ -overlapping Hamiltonian cycle in $H + e$. The construction of P' will be facilitated by tracing a Hamiltonian path in G connecting x and y .

To construct P , let $e_1 := e$ and order the vertices of $e_1 = (u_1, \dots, u_k)$ so that the first r_x vertices belong to U_x , the last r_y vertices belong to U_y , and the $\ell - r_y$ vertices immediately preceding the r_y vertices of $U_y \cap e_1$ all belong to sets U_j with $j > y$. (We know from (15) that there are more than enough such vertices in e_1 .) In other words, we

request that

$$\{u_1, \dots, u_{r_x}\} \subset U_x, \quad (17)$$

$$\{u_{k-r_y+1}, \dots, u_k\} \subset U_y, \quad (18)$$

$$\min(\{u_{k-\ell+1}, \dots, u_{k-r_y}\}) > y. \quad (19)$$

The remaining vertices of e_1 are labeled arbitrarily by $u_{r_x+1}, \dots, u_{k-\ell}$.

Our plan is to extend e_1 in either direction, but only for as long as the new edges still intersect e_1 . This means that we will have in P precisely

$$\kappa := \left\lceil \frac{l}{k-\ell} \right\rceil$$

new edges, and thus, precisely

$$\kappa(k-\ell) = g + \ell$$

new vertices on each side of e_1 , where the last equality follows from (1).

Formally, we set

$$V(P) = \{u_{n-\ell-g+1}, \dots, u_n, u_1, \dots, u_k, u_{k+1}, \dots, u_{k+g+\ell}\}$$

and

$$E(P) = \{e_1\} \cup \{e_{m+1-i} : i = 1, \dots, \kappa\} \cup \{e_{1+i} : i = 1, \dots, \kappa\},$$

where, recall, the edge e_j begins at the vertex $u_{1+(j-1)(k-\ell)}$.

We request that all vertices of P to the left of e_1 belong to U_x and all vertices to the right of e_1 belong to U_y , that is,

$$\{u_{n-\ell-g+1}, \dots, u_n, u_1, \dots, u_{r_x}\} \subseteq U_x \quad \text{and} \quad \{u_{k-r_x+1}, \dots, u_k, u_{k+1}, \dots, u_{k+g+\ell}\} \subseteq U_y, \quad (20)$$

This is possible, since, by (16) and (7).

$$\min(|U_x \setminus e|, |U_y \setminus e|) \geq 2k - \ell - g - 2 - (k - \ell - 2g - 1) = k + g - 1 \geq \ell + g.$$

We also request that

$$\{u_{n-k+\ell+1}, \dots, u_{r_x}\} \supseteq \overline{U}_x \quad \text{and} \quad \{u_{k-r_y+1}, \dots, u_{2k-\ell}\} \supseteq \overline{U}_y. \quad (21)$$

This can be easily accommodated, as each of these sets contains precisely $k - \ell$ vertices from outside of e_1 . Note that P is, trivially, an ℓ -overlapping path *in the complete k -graph on V* . We will show that, in fact, $P \subseteq H_2 + e$.

Suppose first that $m + 1 - \kappa \leq j \leq m$. Then, by the definition of x , $\min(e_j) = x$. By our construction (see (17), (20), and (21)), $|e_j \cap U_x| \geq k - \ell + 1$ and $e_j \supseteq \overline{U}_x$. The same is true for e_j with $j = 2, \dots, \kappa + 1$, if we replace x by y (see (18), (19), (20), and (21)).

To conclude that $P \subseteq H_2 + e$, it remains to show that $c(e_j) \geq g + 2$ for each e_j , $j \neq 1$. As, clearly, $|e_j \setminus e_1| \leq \ell + g$, we also have

$$|e_1 \setminus e_j| \leq \ell + g. \quad (22)$$

Trivially, $c(e_1) \leq c(e_1 \setminus e_j) + c(e_1 \cap e_j)$. Moreover, $tr(e_j) = tr(e_1 \cap e_j)$. Therefore, by the choice of $e = e_1$ and (22),

$$c(e_j) = c(e_1 \cap e_j) \geq c(e_1) - c(e_1 \setminus e_j) \geq c(e_1) - |e_1 \setminus e_j| \geq \ell + 2g + 2 - (\ell + g) = g + 2.$$

Thus $e_j \in H_2$ for each $e_j \in P$, $j \neq 1$.

Now we will build the rest of C_H using only the edges of H_1 . Recall that x and y belong to different components of $tr(e)$ and, hence, $xy \notin G$. Therefore, by the Hamiltonian saturation of G , there is a Hamiltonian path $Q = (v_1 = y, v_2, \dots, v_{h-1}, v_h = x)$ from y to x in G . We connect the two ℓ -element endsets of P by an ℓ -overlapping path $P' = (e_{\kappa+2}, \dots, e_{m-\kappa})$ in $H_1 \subseteq H$ which, by tracing Q , “swallows” all the remaining $n - |V(P)|$ vertices of V .

Set $U'_v = U_v \setminus V(P)$, $v \in V(G)$, and

$$R := \bigcup_{v \in V(G)} U'_v.$$

Observe that

$$|R| = n - |V(P)| = n - 2\kappa(k - \ell) - k = n - 2(g + \ell) - k.$$

Let us order the elements R so that all elements of U'_{v_i} precede all elements of $U'_{v_{i+1}}$, for $i = 1, \dots, h - 1$, and denote this ordering by $(u_{k+g+\ell+1}, \dots, u_{n-g-\ell})$. The vertex set of P' is then defined as

$$V(P') = \{u_{k+g+1}, \dots, u_{k+g+\ell}, u_{k+g+\ell+1}, \dots, u_{n-g-\ell}, u_{n-g-\ell+1}, \dots, u_{n-g}\}.$$

Note that for $v \notin \{x, y\}$, by (7) and (16),

$$|U'_v| \geq |U_v| - (k - \ell - 2g - 1) \geq k - 1.$$

Hence, every edge of P' stretches over at most two sets U_v and each such two sets are always indexed by adjacent vertices of G . This implies that $P' \subseteq H_1$. \square

4 Proof of Theorem 3

In this section we prove Theorem 3, where the construction of an ℓ -Hamiltonian saturated k -graph is based on a special partition of the vertex set into $q + 1$ sets U_1, \dots, U_{q+1} (q to be chosen), and the associated with it notion of the hypergraph $H_{k,\ell}(U_1, \dots, U_{q+1})$, introduced at the beginning of Section 2.

Recall that the function $\nu(x)$ has been defined in Section 2. Given a large integer n divisible by $k - \ell$, choose integers $\alpha = \Theta(n^{1/2})$, $\beta = \Theta(n^{1/2})$, $p = \Theta(n^{1/2})$, and

$$q = \left\lfloor \frac{p(k + 2g) + (p - 1)\nu}{\alpha} \right\rfloor + 2, \quad (23)$$

where $g = g(k, \ell)$ is given by (1) and $\nu := \nu(\alpha)$, such that

$$\alpha \geq 10k^3p, \quad (24)$$

$$\beta \geq k\alpha,$$

and

$$n = (q - 1)\alpha + \beta + p(k - 2) + k - 3. \quad (25)$$

To see that such a choice is feasible, one may set, for instance, $\alpha = \lceil 2k^2\sqrt{n} \rceil$. Recall that, by Proposition 7, $\alpha \leq \nu \leq k\alpha$. Next, choose $p = \lfloor n/\nu \rfloor - k - 1$. Then, first of all, (24) holds. Furthermore, using (23) and the estimates $g \leq k$, $2p \geq k - 3$, and $4kp \leq \alpha$ among others, we can sandwich the quantity

$$n - \beta = (q - 1)\alpha + p(k - 2) + k - 3$$

as follows:

$$n - (k + 3)\nu \leq \nu(p - 1) \leq n - \beta \leq 4kp + \alpha + n - (k + 2)\nu \leq n - k\alpha.$$

Thus, there exists an integer β , $k\alpha \leq \beta \leq (k + 3)\alpha$, which satisfies (25). Note that, in particular, by (23) and Proposition 8,

$$q \geq p + 2k + 1. \quad (26)$$

Let

$$V = \bigcup_{i=1}^{q+1} U_i,$$

where

$$|U_i| = \alpha \quad \text{for } i = 1, \dots, q - 1, \quad |U_q| = \beta \quad \text{and} \quad |U_{q+1}| = p(k - 2) + k - 3,$$

and all sets U_i , $i = 1, \dots, q + 1$, are pairwise disjoint.

We begin our construction of the required ℓ -Hamiltonian saturated k -graph H , by letting

$$H_1 = H_{k,\ell}(U_1, \dots, U_{q+1}).$$

Recall from Section 2 that H_1 breaks naturally into $q + 1$ ℓ -components, that is, $H_1 = C_1 \cup \dots \cup C_{q+1}$. Thus, every path in H_1 is entirely contained in some C_i , and, by Corollary 10, for all $i \leq q - 1$ such paths are no longer than $k\nu \leq k^2\alpha$. On the other hand, by the definition of C_i , the vertex set of every path contained in $C_q \cup C_{q+1}$ must be a subset of $U_q \cup U_{q+1}$. Therefore, in view of our assumptions on β , p and α , we have the following conclusion.

Corollary 17. *The length of a longest path in H_1 is $O(\sqrt{n})$. In particular, H_1 is not ℓ -Hamiltonian. \square*

Following the outline described in the Introduction, we build a k -graph H' by slightly enriching H_1 , but so that it still remains non- ℓ -Hamiltonian. Let

$$H_2 = \left\{ e \in \binom{V}{k} : |e \cap U_{q+1}| \geq k - 2 \right\} \quad (27)$$

and $H' = H_1 \cup H_2$.

Lemma 18. *H' is not ℓ -Hamiltonian.*

Proof. Suppose that C is an ℓ -overlapping Hamiltonian cycle in H' . Let M be a maximal set of disjoint edges in $C \cap H_2$. By Corollary 17, $M \neq \emptyset$. Set $t := |M|$. Since

$$|U_{q+1}| = p(k - 2) + k - 3 < (p + 1)(k - 2),$$

we have $t \leq p$.

From C we now extract t vertex disjoint paths, all contained in H_1 , as follows. For every $e \in M$, denote by $N(e)$ the union of the set of vertices of e , the set of g consecutive vertices lying just before e , and the set of g consecutive vertices lying just after e (here, ‘before’ and ‘after’ refer to an arbitrarily fixed direction of traversing C). Let $W = \bigcup_{e \in M} N(e)$. Then $C[V \setminus W]$ consists of at most t paths (we treat a nonempty set of fewer than k consecutive isolated vertices as a single trivial path). Observe that

$$|W| \leq t(k + 2g). \quad (28)$$

Since each obtained path P is contained in H_1 , either $\min(V(P)) \leq q - 1$ or $V(P) \subseteq U_q \cup U_{q+1}$. If all t paths are of the former kind, then their total number of vertices is at most $t\nu$, and otherwise, it is at most $(t - 1)\nu + |U_q| + |U_{q+1}|$. Note that, since $|U_q| = \beta \geq k\alpha \geq \nu$, we have

$$\max\{t\nu, (t - 1)\nu + |U_q| + |U_{q+1}|\} \leq (t - 1)\nu + |U_q| + |U_{q+1}|. \quad (29)$$

Finally, by (23), (28), and (29), and using $t \leq p$, we get

$$\begin{aligned} n = |V(C)| &\leq |W| + (t - 1)\nu + |U_q| + |U_{q+1}| \\ &\leq p(k + 2g) + (p - 1)\nu + |U_q| + |U_{q+1}| \\ &< (q - 1)\alpha + |U_q| + |U_{q+1}| = n, \end{aligned}$$

which is a contradiction. Hence, there is no ℓ -overlapping Hamiltonian cycle in H' . \square

Before we finalize our construction, we need one more piece of notation. For each $e \in \binom{V}{k}$ with $|tr(e)| \geq 2$, let

$$\min_2(e) = \min\{i : (e \setminus U_{\min(e)}) \cap U_i \neq \emptyset\}. \quad (30)$$

Finally, set

$$H_3 = \left\{ e \in \binom{V}{k} : |tr(e)| \geq 2 \quad \text{and} \quad \min_2(e) \geq q - 2k \right\},$$

$$H'' = H_1 \cup H_2 \cup H_3,$$

and let H be a maximal non- ℓ -Hamiltonian k -graph such that $H' \subseteq H \subseteq H''$. By Lemma 18, such a k -graph H exists.

Fact 19.

$$|H| = O(n^{(k+\ell)/2})$$

Proof. By the definitions of H and H'' ,

$$|H| \leq |H''| \leq |H_1| + |H_2| + |H_3|.$$

Now, noticing that $\max_{1 \leq i \leq q+1} |U_i| = \beta$, we have

$$\begin{aligned} |H_1| &\leq \sum_{i=1}^{q+1} \binom{|U_i|}{k-\ell+1} \cdot \binom{n}{\ell-1} \leq (q+1) \cdot \beta^{k-\ell+1} \cdot n^{\ell-1} = O(n^{(k+\ell)/2}), \\ |H_2| &\leq \binom{|U_q|}{k-2} \cdot \binom{n}{2} \leq \beta^{k-2} \cdot n^2 = O(n^{(k+2)/2}), \text{ and} \\ |H_3| &\leq \sum_{i=1}^q \sum_{t=1}^{k-1} \binom{|U_i|}{t} \cdot \binom{|U_{q-2k}| + \dots + |U_{q+1}|}{k-t} = O(q \cdot \alpha^t \cdot \beta^{k-t}) = O(n^{(k+1)/2}), \end{aligned}$$

where $i = \min(e)$ and $t = |e \cap U_{\min(e)}|$. □

To complete the proof of Theorem 3, it remains to show the following lemma.

Lemma 20. *For every $e \in \binom{V}{k} \setminus H$ the k -graph $H + e$ is ℓ -Hamiltonian.*

Proof. Fix $e \in \binom{V}{k} \setminus H$. If $e \in H''$, then, by the definition of H , $H + e$ is ℓ -Hamiltonian. Therefore, we may assume that $e \notin H''$. This implies that $|tr(e)| \geq 2$, since otherwise $e \in H_1$. Define

$$x = \min(e) \quad \text{and} \quad y = \min_2(e).$$

Since $e \notin H_1 \cup H_3$, we have $|U_x \cap e| \leq k - \ell$ and $x < y \leq q - 2k - 1$.

Our ultimate goal is to construct in H an ℓ -overlapping Hamiltonian cycle C . Recalling (26), let $J = \{j_1, \dots, j_{p-2}\}$ be the set of the $p - 2$ smallest indices in the set $\{1, \dots, q - 2k - 1\} \setminus \{x, y\}$. Further, let

$$r_i = |e \cap U_i|, \quad i = 1, \dots, q + 1.$$

Since $e \notin H_2$, we have $r_{q+1} \leq k - 3$. Thus $|U_{q+1} \setminus e| \geq p(k - 2)$. Let us now set aside p disjoint $(k - 2)$ -element subsets B_1, \dots, B_p of $U_{q+1} \setminus e$ and let

$$B = \bigcup_{i=1}^p B_i.$$

Note that

$$|U_{q+1} \setminus (B \cup e)| = k - 3 - r_{q+1} \leq k. \tag{31}$$

Furthermore, let us also put aside a set $Q = A_q \cup A'_q$ of $2(g+1)$ elements of $U_q \setminus e$, where $|A_q| = |A'_q| = g+1$. The vertices in B and Q will be used later in our construction.

First, however, we construct p vertex disjoint paths $P_{j_1}, \dots, P_{j_{p-2}}, P_{xy}$ and P_q . Together, these p paths will contain all elements of V , except for some $k - \ell + g + 1$ vertices of U_x , the same number of vertices of U_y , twice as many vertices of each U_j , $j \in J$, and except for the vertices in $B \cup Q$. Using these exceptional vertices, the paths will be connected by p ‘bridges’, made mostly of the edges of H_2 , to form an ℓ -overlapping Hamiltonian cycle C in H .

Construction of P_{xy} . Order the vertices of e so that the set $e \cap U_x$ constitutes the leftmost segment of e , while the rightmost vertex of e belongs to U_y . Next, we will extend e in both directions (see Fig. 1). Let A'_x be a set of arbitrary $k - \ell + g$ vertices of $U_x \setminus e$ and A_y be a set of arbitrary $k - \ell + g$ vertices of $U_y \setminus e$ (the reader should not worry, we will later construct sets A_x and A'_y too). Let

$$R = \bigcup_{i=q-2k}^{q-1} U_i \setminus e.$$

Further, for each $z \in \{x, y\}$, let $P_z \subseteq C_z$ be a path containing precisely

$$\alpha_z := \alpha - r_z - (2k - 2\ell + 2g + 1)$$

vertices of $U_z \setminus (e \cup A'_x \cup A_y)$ and $\nu(\alpha_z) - \alpha_z$ vertices of R , where $V(P_x) \cap V(P_y) = \emptyset$. Since, by Proposition 7, each of P_x and P_y requires no more than $(k-1)\alpha$ vertices of R , while $|R| \geq 2k\alpha - k$, we will not run out of the vertices of R .

To finish the construction of P_{xy} , we extend e

- to the left, by adding the set A'_x , followed by P_x , and
- to the right, by adding the set A_y , followed by P_y .

Thus,

$$V(P_{xy}) = V(P_x) \cup A'_x \cup e \cup A_y \cup V(P_y) \subset U_x \cup U_y \cup e \cup R.$$

Set

$$A_x = U_x \setminus V(P_{xy}) \quad \text{and} \quad A'_y = U_y \setminus V(P_{xy})$$

and observe that

$$|A_x| = |A'_y| = k - \ell + g + 1. \tag{32}$$

Fact 21.

$$P_{xy} \subseteq H_1 + e$$

Proof. The path P_{xy} consists, besides the edges of P_x , P_y , and e itself, also of a set A of $2\lceil \frac{k}{k-\ell} \rceil$ additional edges, $\lceil \frac{k}{k-\ell} \rceil$ on each side of e . These are precisely those edges of P_{xy} which intersect the set $A'_x \cup A_y$. Thus, to prove that $P_{xy} \subseteq H_1 + e$, it remains to show that each edge from A belongs to H_1 .

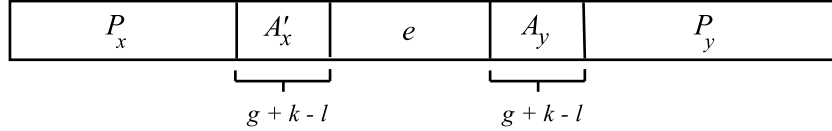


Figure 1: Construction of P_{xy}

Let us consider an edge e' intersecting A'_x . Obviously, $\min(e') = x$. Also, $|e' \cap A'_x| \geq k - \ell$, and so $|e' \cap U_x| \geq k - \ell$. Furthermore, if $|e' \cap A'_x| = k - \ell$ then either e' contains also the leftmost vertex of e (which belongs to U_x), or $|e' \cap V(P_x)| = \ell$. In the latter case, recall that each edge of P_x contains at least $k - \ell + 1$ vertices from U_x , and consequently there is always a vertex from U_x among any ℓ vertices of such an edge. In either case, this implies that $|e' \cap U_x| \geq k - \ell + 1$, thus $e' \in H_1$. If an edge e' intersects A_y then, by the same argument, we also have $|e' \cap U_y| \geq k - \ell + 1$. Finally, note that $\min(e') = y$. Indeed, since $|U_x \cap e| \leq k - \ell$, none of the ℓ rightmost vertices of e is in U_x , and hence, we have $e' \cap U_x = \emptyset$. \square

Construction of P_q . Let P_q be a longest path with $V(P_q) \subset U_q \setminus (e \cup Q)$. Clearly, at most $k - \ell - 1$ vertices of U_q will be left out, that is,

$$|U_q \setminus (V(P_q) \cup e \cup Q)| \leq k - \ell - 1 \leq k. \quad (33)$$

Trivially, $P_q \subset H_1$.

Construction of P_j , $j \in J$. Set

$$W := \left(\bigcup_{i \in \{1, \dots, q+1\} \setminus (J \cup \{x, y\})} U_i \right) \setminus (V(P_{xy}) \cup V(P_q) \cup B \cup Q \cup e),$$

and, for each $j \in J$, let $P_j \subseteq C_j \subseteq H_1$ be a path with $V(P_j) \subseteq U_j \cup W$ which uses precisely

$$\alpha_j := \alpha - r_j - (2k - 2\ell + 2g + 2)$$

vertices of $U_j \setminus e$ and *as many as possible* vertices from W (we maintain that all paths P_j , $j \in J$, are pairwise vertex-disjoint). Since $i > j$ for every $i \in [q+1] \setminus (J \cup \{x, y\})$, we do have $\min(V(P_j)) = j$. Also,

$$|U_j \setminus (V(P_j) \cup e)| = 2(k - \ell + g + 1) \quad \text{for each } j \in J. \quad (34)$$

Split arbitrarily the set $U_j \setminus (V(P_j) \cup e)$ into two sets A_q and A'_q of equal size $|A_q| = |A'_q| = k - \ell + g + 1$.

Next, we perform crucial calculations showing that we have, indeed, used all the vertices of W , that is, there are no vertices outside the constructed paths except for those listed in (32,34) and those put aside in $B \cup Q$.

Fact 22.

$$W \subseteq \bigcup_{j \in J} V(P_j)$$

Proof. We have, by the definition of P_{xy} , and by (31) and (33),

$$\begin{aligned} |W| &= (q-1-p)\alpha - |R \cap V(P_{xy})| + |U_q \setminus (V(P_q) \cup e \cup Q)| + |U_{q+1} \setminus (B \cup e)|, \\ &\leq (q-1-p)\alpha - (\nu(\alpha_x) - \alpha_x) - (\nu(\alpha_y) - \alpha_y) + 2k. \end{aligned}$$

Recall that each path P_j , $j \in J$, may have the maximum length $\nu(\alpha_j)$, and thus cover up to $\nu(\alpha_j) - \alpha_j$ vertices of W . Therefore, to complete the proof it suffices to show that

$$(q-1-p)\alpha - (\nu(\alpha_x) - \alpha_x) - (\nu(\alpha_y) - \alpha_y) + 2k \leq \sum_{j \in J} (\nu(\alpha_j) - \alpha_j),$$

or, equivalently,

$$\sum_{j \in J \cup \{x, y\}} (\nu(\alpha_j) - \alpha_j) \geq (q-1-p)\alpha + 2k.$$

Note that for each $j \in J \cup \{x, y\}$

$$r_j + 2k - 2\ell + 2g + 2 \leq 5k. \quad (35)$$

Hence, by the monotonicity of the function $\nu(\cdot)$ and by Proposition 9, we have

$$\nu(\alpha_j) - \alpha_j \geq \nu(\alpha - 5k) - \alpha \geq \nu - 5k^2 - \alpha,$$

and it remains to show that

$$p(\nu - 5k^2 - \alpha) \geq (q-1-p)\alpha + 2k. \quad (36)$$

To this end,

$$\begin{aligned} p(\nu - 5k^2) - p\alpha &\geq (p-1)\nu + (\alpha + \alpha/(k-1) - k) - 5k^2p - p\alpha \quad (\text{by Corollary 10}) \\ &\geq (p-1)\nu + \alpha + p(k+2g) + 2k - p\alpha \quad (\text{by (24)}) \\ &\geq (q-1-p)\alpha + 2k \quad (\text{by (23)}). \end{aligned}$$

(Since there is some margin in the above estimates, it means that not all the paths P_j , $j \in J$, are of maximum length.) \square

Now comes the final stage of our construction, where we glue together the paths $P_{j_1}, \dots, P_{j_{p-2}}, P_q$, and P_{xy} , in this order, to form a Hamiltonian cycle C . We do it as indicated in Fig. 4, with the set A_x placed at the left end of P_{xy} , that is, next to the end of the path P_x (see Fig. 4).

Clearly, every edge of $\bigcup_{i=1}^{p-2} P_{j_i} \cup P_{xy} \cup P_q$ belongs to $H + e$. As the last ingredient of our proof of Theorem 3, we now show that every other edge of C belongs to $H_1 \cup H_2 \subseteq H$.

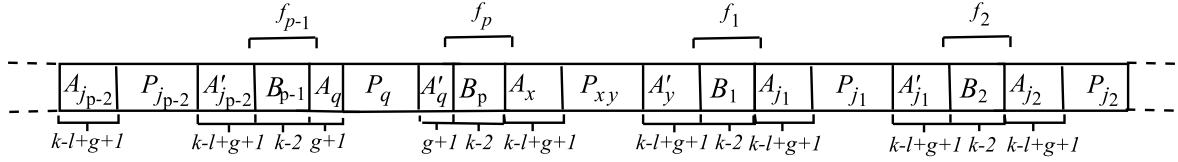


Figure 2: Construction of C

Fact 23.

$$C \setminus \left(\bigcup_{i=1}^{p-2} P_{j_i} \cup P_{xy} \cup P_q \right) \subseteq H_1 \cup H_2$$

Proof. Let

$$\mathcal{A} := \{A_{j_i}, A'_{j_i} : i = 1, \dots, p-2\} \cup \{A_q, A'_q, A_x, A'_y\}.$$

Note that each edge of $C \setminus (\bigcup_{i=1}^{p-2} P_{j_i} \cup P_{xy} \cup P_q)$ intersects some set $A \in \mathcal{A}$. recall that between any two disjoint edges of C there are exactly $g + t(k - \ell)$ vertices on C , for some $t \geq 0$. In that case we say that the edge to the right (in some fixed ordering of C) t -follows the other edge. Let f_1 , be the edge of C which 1-follows the rightmost edge of P_{xy} . Similarly, for $i = 1, \dots, p-2$, let f_{i+1} be the edge of C which 1-follows the rightmost edge of P_{j_i} . Finally, let f_p be the edge of C which 1-follows the rightmost edge of P_q , see Fig. 4. Note that for each $i = 1, \dots, p$, we have $B_i \subset f_i$, and thus $f_i \in H_2$. Furthermore, these are the only edges of C which intersect more than one set from \mathcal{A} .

Consider now some $f \in C$, $f \neq f_i$ intersecting A_{j_i} . Obviously $\min(f) = j_i$. Also $|f \cap A_{j_i}| \geq k - \ell$. However, if $|f \cap A_{j_i}| = k - \ell$, then $|f \cap V(P_{j_i})| = \ell$. Recall that each edge of P_{j_i} contains at least $k - \ell + 1$ vertices of U_{j_i} , and consequently there is always a vertex of U_{j_i} among any ℓ vertices of such an edge. This implies that $|f \cap U_{j_i}| \geq k - \ell + 1$ and so, $f \in H_1$. The same argument works for any $f \in C$ intersecting some set $A \in \mathcal{A}$. \square

Thus, we have constructed an ℓ -overlapping Hamiltonian cycle C in $H + e$, which completes the proof of Lemma 20, which together with Fact 19, implies Theorem 3.

5 The smallest open case: $k = 4$ and $\ell = 2$

In this section we prove Theorem 4. Our ultimate goal is, given large even integer n , to construct a maximally non-2-Hamiltonian 4-graph H . In doing so we refine the technique used in the proof of Theorem 3.

Choose integers $\alpha = \Theta(n^{2/5})$, $\alpha \equiv 1 \pmod{3}$, $\beta = O(n^{3/5})$, $p = \Theta(n^{3/5})$, and

$$q = \left\lfloor \frac{4(\alpha-1)}{3\alpha}(p-1) \right\rfloor + 1 \quad (37)$$

such that

$$n = q\alpha + 3p + \beta. \quad (38)$$

To see that such a choice is feasible, one may set, for instance, $\alpha = \lceil n^{2/5} \rceil + \epsilon$ where $\epsilon \in \{0, 1, 2\}$ is such that $\alpha \equiv 1 \pmod 3$. Next choose $p = \lceil \frac{3n}{4\alpha+8} \rceil + 1$. Then, using (37,38) we have

$$\begin{aligned} n - \beta &> \frac{4}{3}(\alpha - 1)(p - 1) \geq n - \frac{3n}{\alpha + 2} \quad \text{and} \\ n - \beta &\leq \frac{4}{3}(\alpha - 1)(p - 1) + \alpha + 3p = (p - 2) \left(\frac{4}{3}(\alpha - 1) + 4 \right) - \left(p - \frac{7}{3}(\alpha - 1) - 9 \right) \\ &\leq n - \left(p - \frac{7}{3}(\alpha - 1) - 9 \right), \end{aligned}$$

which shows that a choice of an appropriate β is possible.

Let $V = \bigcup_{i=1}^{q+1} U_i$, where $|U_i| = \alpha$, $i = 1, \dots, q$, while $|U_{q+1}| = 3p + \beta$, and all sets U_i , $i = 1, \dots, q + 1$, are pairwise disjoint. Furthermore, let $G \cong pK_3 + \beta K_1$ be a graph with vertex set $V(G) = U_{q+1}$ consisting of p vertex disjoint triangles and β isolated vertices.

We define H_1 in the same way as in the general case, while H_2 is defined smaller:

$$\begin{aligned} H_1 &= \left\{ e \in \binom{V}{4} : |e \cap U_{\min(e)}| \geq 3 \right\}, \\ H_2 &= \left\{ e \in \binom{V}{4} : |e \cap U_{q+1}| = 2, |tr(e)| = 2 \text{ and } G[e \cap U_{q+1}] = K_2 \right\}. \end{aligned} \quad (39)$$

The improvement of the upper bound on $\text{sat}(n, 4, 2)$ is possible mainly because in this particular case one can compute (quite easily) the value of $\nu(x)$. Below we give only a (sharp) upper bound in some special case.

Proposition 24. *Let $x \equiv 0 \pmod 3$. Then*

$$\nu(x) \leq 4\frac{x}{3}.$$

Proof. Let $P = (e_1, \dots, e_r)$, $P \subseteq H_1$ and $|V(P) \cap U_{\min(V(P))}| = x$. Recall that each e_i , $i = 1, \dots, r$, contains at least 3 vertices from $U_{\min(V(P))}$. Since the e_i 's with odd indices are disjoint,

$$\lceil r/2 \rceil \leq \frac{x}{3}.$$

If r is odd then

$$|V(P)| \leq 4\lceil r/2 \rceil \leq 4\frac{x}{3}$$

and the statement follows. Similarly, if r is even and $r/2 \leq \frac{x}{3} - 1$ then

$$|V(P)| \leq 2r + 2 \leq 4\frac{x}{3} - 2$$

and the statement follows again. Suppose, finally, that $r/2 = \frac{x}{3}$, r even. Since e_r contains at least 3 vertices from $U_{\min(V(P))}$, at least one of them is not in e_{r-1} , however there are no more available vertices in $U_{\min(V(P))}$, meaning that this case is vacuous. \square

Lemma 25. $H' = H_1 \cup H_2$ is not 2-Hamiltonian.

Proof. Suppose that C is a 2-overlapping Hamiltonian cycle in H' . As before (cf. Corollary 17), one can easily show that H_1 cannot be 2-Hamiltonian. Let M be a maximal set of edges in $C \cap H_2$ with the property that if $e_1, e_2 \in M$ then $(e_1 \cap e_2) \cap U_{q+1} = \emptyset$. In view of the above remark $M \neq \emptyset$. Set

$$V_2 = \bigcup_{e \in M} e \cap U_{q+1}.$$

Clearly, $t := |M| \leq p$ and $|V_2| = 2t$. We divide C into t vertex disjoint paths P_j , $j = 1, \dots, t$, by cutting through the middle of every edge from M (we treat a set of 2 consecutive isolated vertices as a single trivial path). More precisely, we keep all vertices in and take the edge set $C - M$. We number the obtained paths so that, for some $1 \leq s \leq t$, we have $\min(V(P_j)) \leq q$ for all $j = 1, \dots, s$ and $V(P_j) \subseteq U_{q+1}$ for all $j = s+1, \dots, t$. Note that, because $M \neq \emptyset$, at least one path must be of the first kind, but possibly $s = t$. Let

$$V'_2 = V_2 \cap \bigcup_{j=1}^s V(P_j).$$

Since $V(P_j) \subseteq U_{q+1}$ for all $j = s+1, \dots, t$, we have

$$\sum_{j=s+1}^t |V(P_j)| \leq |U_{q+1}| - |V'_2|. \quad (40)$$

Claim For every $j = 1, \dots, s$

$$|V(P_j) \setminus V'_2| \leq 4 \frac{\alpha - 1}{3}.$$

Proof. If some P_j consists of only two vertices then the claim obviously holds. Thus, we may assume that each P_j is non-trivial. For $j \leq s$, consider the path $P_j = (e_1, \dots, e_r)$. Let $e_m \in M$ with $|e_m \cap e_1| = 2$. That is e_m precedes e_1 on C . Similarly, let $e_{r+1} \in M$ with $|e_{r+1} \cap e_r| = 2$, which means that e_{r+1} follows e_r on C .

Note that the edges from H_2 can occur in P_j only at the ends. Thus $(e_2, \dots, e_{r-1}) =: P'_j \subset H_1$. If $e_1 \in H_1$ then $|e_1 \cap U_{\min(V(P_j))}| \geq 3$, meaning that $|e_m \cap U_{\min(V(P_j))}| \geq 1$. Thus, by the definition of H_2 , $|e_m \cap U_{\min(V(P_j))}| = 2$. If $e_1 \in H_2$ then, since $e_1 \notin M$, we have $|e_1 \cap V'_2| \in \{1, 2\}$. If $|e_1 \cap V'_2| = 1$ then $|e_m \cap U_{\min(V(P_j))}| \geq 1$ because $|e_m \cap e_1| = 2$ and $|tr(e_1)| = 2$. Thus, again, $|e_m \cap U_{\min(V(P_j))}| = 2$. To sum up

$$\text{if } e_1 \in H_1 \text{ or } |e_1 \cap V'_2| = 1 \text{ then } |e_m \cap U_{\min(V(P_j))}| = 2. \quad (41)$$

The same holds for e_r and e_{r+1}

$$\text{if } e_r \in H_1 \text{ or } |e_r \cap V'_2| = 1 \text{ then } |e_{r+1} \cap U_{\min(V(P_j))}| = 2. \quad (42)$$

Suppose first that the assumptions on both e_1 and e_r from (41,42), respectively, holds. Thus, $|V(P'_j) \cap U_{\min(V(P_j))}| \leq \alpha - 4$. Since $\alpha - 4 \equiv 0 \pmod 3$, by Proposition 24 and the monotonicity of the function ν ,

$$|V(P_j)| = |V(P'_j)| + 4 \leq 4\frac{\alpha - 4}{3} + 4 = 4\frac{\alpha - 1}{3}$$

and the claim follows.

Suppose now that $e_1 \in H_2$ with $|e_1 \cap V'_2| = 2$, while e_r satisfies the assumptions from (42). Let P''_j be defined by (e_3, \dots, e_{r-1}) . By the definition of H_2 , $|e_1 \cap U_{\min(V(P_j))}| = 2$. This together with (42) implies that $|V(P''_j) \cap U_{\min(V(P_j))}| \leq \alpha - 4$. Hence, by Proposition 24 and the assumption on e_1 ,

$$|V(P_j) \setminus V'_2| = (|V(P''_j)| + 6) - 2 \leq 4\frac{\alpha - 4}{3} + 4 = 4\frac{\alpha - 1}{3}$$

and the claim follows again.

The case when e_1 satisfies the assumption of (41) and $|e_r \cap V'_2| = 2$, is analogous (with $P''_j = (e_2, \dots, e_{r-2})$).

Finally, if $|e_1 \cap V'_2| = 2$ and $|e_r \cap V'_2| = 2$ then let $P''_j = (e_3, \dots, e_{r-2})$. Since $e_1, e_r \in H_2$ (and $e_2, e_{r-1} \in H_1$), we have $|e_1 \cap U_{\min(V(P_j))}| = 2$ and $|e_r \cap U_{\min(V(P_j))}| = 2$. Therefore,

$$|V(P_j) \setminus V'_2| = (|V(P''_j)| + 8) - 4 \leq 4\frac{\alpha - 4}{3} + 4 = 4\frac{\alpha - 1}{3}$$

and the claim follows. \square

Returning to the proof of Lemma 25, notice that $|V'_2| \leq |V_2| = 2t \leq 2p$. Thus

$$|U_{q+1}| = 3p > |V'_2| + 4\frac{\alpha - 1}{3}, \quad (43)$$

because $p \gg \alpha$. Recalling that $q > \frac{4(\alpha-1)}{3\alpha}(p-1)$ and using the above claim as well as (40,43), we finally argue that

$$\begin{aligned} n = |V(C_H)| &= \sum_{j=1}^s |V(P_j)| + \sum_{j=s+1}^t |V(P_j)| \\ &\leq \max\{|V'_2| + 4t\frac{\alpha - 1}{3}, |V'_2| + 4(t-1)\frac{\alpha - 1}{3} + |U_{q+1}| - |V'_2|\}, \\ &\quad (\text{according to whether } s = t \text{ or } s \leq t-1) \\ &= |V'_2| + 4(t-1)\frac{\alpha - 1}{3} + |U_{q+1}| - |V'_2| \quad \text{by (43)} \\ &\leq 4(p-1)\frac{\alpha - 1}{3} + 3p < q\alpha + 3p \leq n, \end{aligned}$$

which is a contradiction. Hence, no 2-overlapping Hamiltonian cycle exists in $H_1 \cup H_2$. \square

Let

$$H_3 = \left\{ e \in \binom{V}{4} : |tr(e)| \geq 2 \quad \text{and} \quad \min_2(e) \geq q \right\}$$

be the same as in the proof of Theorem 3. Finally, let $H'' = H_1 \cup H_2 \cup H_3$ and let H be a maximal non-2-Hamiltonian hypergraph such that $H' \subseteq H \subseteq H''$. By Lemma 25, such a 4-graph exists.

Fact 26.

$$|H| = O(n^{14/5})$$

Proof. By the definitions of H and H'' ,

$$|H| \leq |H''| \leq |H_1| + |H_2| + |H_3|.$$

Furthermore,

$$\begin{aligned} |H_1| &= O(q \cdot \alpha^3 \cdot n + p^4) = O(n^{14/5}), \\ |H_2| &= O(3p \cdot n \cdot n^{2/5}) = O(n^2) \quad \text{and} \\ |H_3| &= O(n \cdot p^3) = O(n^{14/5}). \end{aligned}$$

□

To complete the proof of Theorem 4, it remains to show the following lemma.

Lemma 27. *For every $e \in \binom{V}{4} \setminus H$ the 4-graph $H + e$ is 2-Hamiltonian.*

Proof. Let $e = \{u_1, u_2, u_3, u_4\}$, where $u_j \in U_{i_j}$, $j = 1, 2, 3, 4$, and $i_1 \leq i_2 \leq i_3 \leq i_4$. As $e \notin H_1$, we have $|tr(e)| \geq 2$. Let x and y stand for the two smallest *different* indices among i_1, i_2, i_3, i_4 . Note that by the definition of H , $e \notin H_3$, and thus $y \leq q - 1$.

Set $I = [q - 1] \setminus \{x, y\}$, note that $p - 2$ is (much) smaller than $q - 3$, and let $J = \{j_1, \dots, j_{p-2}\}$ be the set of the $p - 2$ smallest indices in I . We will construct p paths $P_{j_1}, \dots, P_{j_{p-2}}, P_{xy}$, and P_{q+1} , such that for each $j \in J$, we have $V(P_j) \supseteq U_j \setminus e$,

$$U_x \cup U_y \cup e \subseteq V(P_{xy}) \subset U_x \cup U_y \cup e \cup U_q,$$

and $V(P_{q+1}) \subset U_{q+1}$. Together, these paths will contain all vertices in V except some $2p$ vertices of U_{q+1} . Using these exceptional vertices, the paths will be connected by p ‘bridges’ made of the edges of H_2 , to form a 2-Hamiltonian cycle in H .

For the ease of notation assume that $x = q - 2$ and $y = q - 1$. Then $J = [p - 2]$. To display the structure of each path we will use a shorthand notation j for any element of U_j , $j = 1, \dots, p - 2, x, y, q, q + 1$. Finally, we designate by $*$ each of the two unknown elements of $e = \{u_1, u_2, u_3, u_4\}$ (other than x and y); recall that $u_1 \in U_x$, while $\{u_2, u_3, u_4\} \subseteq \bigcup_{i=x}^{q+1} U_i$ and $|\{u_2, u_3, u_4\} \cap U_x| \leq 1$.

Construction of P_{xy} . We consider five cases with respect to the multiplicities of the vertices of V_x and V_y in e .

Case 1. In the case when $u_1 \in U_x$, $u_2 \in U_y$ and none of u_3, u_4 belongs to U_y , the path P_{xy} is constructed as follows:

$$xx|xx|xx|qx|xx|qx|xx|\dots|qx|xx|\underbrace{xx*|*y}_{e}|yy|yq|yy|yq|\dots|yy|yq|yy|yy|yy$$

(the sequence begins with 3 blocks $|xx|$ followed by $(\alpha - 7)/3$ pairs $|qx|xx|$ and the edge e ; the right side is constructed similarly with y replacing x and the blocks being arranged in the opposite order), where every element of $U_x \cup U_y$ appears exactly once, while $\frac{2}{3}(\alpha - 7) \leq |V(P_{xy}) \cap U_q| \leq \frac{2}{3}(\alpha - 7) + 2$ or equivalently $\frac{2}{3}(\alpha - 1) - 4 \leq |V(P_{xy}) \cap U_q| \leq \frac{2}{3}(\alpha - 1) - 2$ (recall that $3|(\alpha - 1)|$). Note that each pair of consecutive blocks of size two forms an edge of H_1 (except the middle pair $xx * | * y$, which is just the edge e) and $|V(P_{xy})| = 2 \left(4\frac{\alpha-7}{3} + 8 \right) = \frac{8}{3}(\alpha - 1)$.

Case 2. If $u_1 \in U_x$, $u_2 \in U_y$ and exactly one of u_3, u_4 belongs to U_y , the path P_{xy} is constructed as follows:

$$xx|xx|xx|qx|xx|\dots|qx|xx|\underbrace{xx*|yy}_{e}|yq|yy|yq|\dots|yy|yq|yy|yy|yy.$$

Again, $|V(P_{xy})| = \frac{8}{3}(\alpha - 1)$, while $\frac{2}{3}(\alpha - 1) - 3 \leq |V(P_{xy}) \cap U_q| \leq \frac{2}{3}(\alpha - 1) - 2$.

Case 3. If $u_1 \in U_x$ and $u_2, u_3, u_4 \in U_y$ then we form P_{xy} as follows:

$$xx|xx|xx|qx|xx|\dots|qx|xx|\underbrace{xy|yy}_{e}|yq|yy|yq|\dots|yy|yq|yy|yy|yy.$$

This time $|V(P_{xy})| = \frac{8}{3}(\alpha - 1) - 2$ and $|V(P_{xy}) \cap U_q| = \frac{2}{3}(\alpha - 1) - 4$.

Case 4. If $u_1, u_2 \in U_x$, $u_3 \in U_y$ and $u_4 \notin U_y$, the path P_{xy} is constructed as follows:

$$xx|xx|qx|xx|\dots|qx|xx|qx|\underbrace{xx*|y}_{e}|yy|yq|yy|\dots|yq|yy|yy|yy|yy.$$

Now $|V(P_{xy})| = \frac{8}{3}(\alpha - 1)$ and $\frac{2}{3}(\alpha - 1) - 3 \leq |V(P_{xy}) \cap U_q| \leq \frac{2}{3}(\alpha - 1) - 2$.

Case 5. If $u_1, u_2 \in U_x$ and $u_3, u_4 \in U_y$, we form the path P_{xy} as follows:

$$xx|xx|qx|xx|\dots|qx|xx|qx|\underbrace{xx|yy}_{e}|yq|yy|yq|\dots|yy|yq|yy|yy|yy.$$

We have again $|V(P_{xy})| = \frac{8}{3}(\alpha - 1)$, while $|V(P_{xy}) \cap U_q| = \frac{2}{3}(\alpha - 1) - 2$.

Let us now set aside p 2-element disjoint subsets B_1, \dots, B_p of U_{q+1} which correspond to disjoint edges of the graph G , one from each triangle of G . Set $B = \bigcup_{i=1}^p B_i$. These pairs will be used to glue together all p paths into a Hamiltonian 2-cycle.

To describe the remaining paths, let symbol w represent any element of the set

$$W := \bigcup_{i=p-1}^{q-3} U_i \cup U_q \cup (U_{q+1} \setminus B) \setminus V(P_{xy}).$$

Construction of P_j , $j = 1, \dots, p-2$. For $j = 1, \dots, p-2$, we build path P_j by splitting $\alpha - 4$ vertices of U_j into $(\alpha - 4)/3$ blocks of length 3, separating them by arbitrary vertices from W and putting the remaining 4 vertices of U_j at the end. In a diagram form

$$P_j = jj|jw|jj|jw| \dots |jj|jw|jj|jj.$$

Because $j < \min\{i : U_i \cap W \neq \emptyset\}$, each pair of consecutive blocks of size two forms an edge of H_1 . Also, $|V(P_j)| = \frac{4}{3}(\alpha - 1)$, which means that P_j can accommodate precisely $(\alpha - 4)/3$ vertices from W . As, by our choice of q ,

$$(p - 2)\frac{\alpha - 4}{3} \geq (q - p - 1)(\alpha - 1) + \frac{\alpha - 1}{3} + 3, \quad (44)$$

we have

$$\bigcup_{r=1}^{p-2} V(P_j) \supseteq \bigcup_{i=p-1}^{q-3} U_i \cup (U_q \setminus V(P_{xy})).$$

On the other hand, the difference between the L-H-S and R-H-S of (44) is less than $4\frac{\alpha}{3} < p$, so that the surplus w -spots can be filled with some elements of U_{q+1} .

Construction of P_{q+1} . The last path, P_{q+1} , consists of all the remaining vertices of U_{q+1} whose number is even, because n is even and every so far built path, as well as the set B , consists of an even number of vertices.

The constructed paths $P_1, \dots, P_{p-2}, P_{xy}$, and P_{q+1} are now connected together, in arbitrary order, by the 2-element blocks B_1, \dots, B_p . Note that each B_j makes edges of H_2 with arbitrary 2-element sets from some U_i , $i = 1, \dots, q$. This completes the construction of a 2-Hamiltonian cycle in $H + e$. \square

The proof of Theorem 4 follows immediately from Lemma 27 and Fact 26.

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